

Enhancing the detection performance of a vision-based occupancy detector for buildings

Author 1

Paige Wenbin Tien, PhD Researcher

- Department of Architecture and Built Environment, Faculty of Engineering, University of Nottingham, Nottingham, United Kingdom

ORCID Number: 0000-0003-0123-248X

Author 2

- Shuangyu Wei, PhD Researcher
- Department of Architecture and Built Environment, Faculty of Engineering, University of Nottingham, Nottingham, United Kingdom

Author 3

- Tin Wai Chow, MSc
- Department of Architecture and Built Environment, Faculty of Engineering, University of Nottingham, Nottingham, United Kingdom

Author 4

- Jo Darkwa, Professor, PhD, MSc.
- Department of Architecture and Built Environment, Faculty of Engineering, University of Nottingham, Nottingham, United Kingdom

Author 5

- Christopher Wood, Associate Professor, PhD, MSc
- Department of Architecture and Built Environment, Faculty of Engineering, University of Nottingham, Nottingham, United Kingdom

Author 6

- John Kaiser Calautit, Associate Professor, PhD, MSc
- Department of Architecture and Built Environment, Faculty of Engineering, University of Nottingham, Nottingham, United Kingdom

Full contact details of corresponding author.

Email: paige.tien@gmail.com, paige.tien@nottingham.ac.uk

Abstract

Occupant behaviour is one of the key parameters that significantly impact the operation of heating, ventilation, and air-conditioning (HVAC) systems and the energy performance of buildings. The detailed occupancy information can improve HVAC operation and utilisation of building spaces. Strategies such as vision-based occupancy detection and recognition have recently garnered much interest. This study investigates the performance of a vision-based deep learning detection technique for enhancing building system operations and energy performances. The model used was the Faster RCNN with Inception V2. Two occupancy detection model configurations were developed, tested and evaluated. Both models were analysed based on the application of the detector within a selected case study building, along with the evaluation based on the different evaluation metrics. Results suggest that the occupancy detector (Model 1) provided an overall accuracy of 95.23% and an F1 score of 0.9756, while the occupancy activity detector (Model 2) provided an accuracy of 89.37% with an F1 score of 0.8298. Building Energy Simulation (BES) was used to evaluate and compare the impact of such an approach on the indoor occupancy heat gains. The study highlighted the potential of the detection approaches, but further development is necessary, including optimisation of the model, full integration with HVAC controls and further model training and field testing.

Keywords chosen from ICE Publishing list

Built environment; Energy; Artificial intelligence; Artificial neural network; Buildings

Nomenclature

API	Application Programming Interface
BES	Building Energy Simulation
COCO dataset	Common Objects in Context Dataset
CO ₂	Carbon Dioxide
CNN	Convolutional Neural Network
DLIP	Deep Learning Influenced Profile
HVAC	Heating, Ventilation and air-conditioning

IoU	Intersection over Union
mAP	Mean Average Precision
PC	Personal Computer
R-CNN	Region-based Convolutional Neural Network
RFID	Radio Frequency Identification
SSD	Single-shot Detector

1 **1. Introduction and Literature Review**

2 Occupancy behaviour and patterns within building spaces have been identified as significant
3 factors impacting building energy efficiency (Delzendeh et al., 2017). Recent studies have
4 investigated occupancy behaviour in buildings and developed demand-driven solutions to
5 improve building system operations (Paone and Baacher, 2018). To obtain occupancy data,
6 various technologies were employed, including infrared (Yun and Lee, 2014), Wi-Fi (Simm et al.,
7 2019) and Radio Frequency Identification (RFID) (Li et al., 2011). These solutions provide
8 information about a building space, such as occupancy count and location, however, there are
9 several limitations, such as the requirement of multiple sensors distributed across the room and
10 limitations in terms of recognising occupancy behaviour (activities, interaction with equipment or
11 appliances) and the determination of the location of the occupants within the space (Dongre et al.
12 2019). Furthermore, indirect methods such as environmental-based sensors were used (Yun and
13 Won, 2012), to monitor the changes within the space when occupants are present. Effectively,
14 the data collected is employed to develop demand-driven solutions for more effective system
15 controls (Kathirgamanathan et al., 2021), energy optimisation (Salimi and Hammad, 2020), and
16 also building energy management (Jin et al., 2018). Many of these solutions are based on artificial
17 intelligence and machine learning models that have advantages in terms of adaptability and
18 application to different types of buildings (Amasyali and El-Gohary, 2018). Studies suggest that
19 further enhancement of such strategies should include achieving a multi-objective system that
20 enables building energy and comfort management (Shaikh et al. 2018).

21
22 Other solutions, such as data-driven and forecasting-based methods, can also be used to
23 optimise the operation of building energy systems but are dependent on historical data or patterns
24 (Marinakis, 2020). However, the diversity in occupancy among different spaces and varying
25 occupancy activities in buildings may present challenges for such solutions. Furthermore, a
26 potential time delay can occur between the prediction and the provision of the actual building
27 requirements. This indicates the need to develop solutions such as demand-driven controls that
28 can adapt to varying occupancy patterns in real-time and optimise HVAC operations.

30 Furthermore, the cooling/heating design setpoint temperature assigned to building spaces is
31 usually based on the indoor space's purpose/function. For instance, the CIBSE Guide (CIBSE,
32 2015) suggests operative temperatures for spaces such as offices, libraries and restaurants at
33 21 - 25°C in the UK. Additionally, conventional building HVAC systems are typically operated
34 based on fixed or predefined scheduled profiles. The impact of different occupancy patterns and
35 activities are typically not considered, resulting in over or under conditioned building spaces.

36

37 To resolve such limitations, recently there has been an increase in research employing computer
38 vision and deep learning-based approaches that enable real-time detection and recognition in
39 buildings to reduce building energy demands (Tien et al., 2022 and Wei et al., 2021). The studies
40 employed a computer vision approach to detect and predict the internal heat gains in office
41 buildings based on the detected occupancy and activities. It was highlighted that the occupancy
42 behaviour directly impacted the energy consumed in buildings (Tien et al., 2021a) and also,
43 indirectly (Tien et al. 2021b), via internal heat gains from the use of electrical equipment or
44 appliances such as computers and monitors (Wei et al., 2021). Furthermore, such vision-based
45 approaches can also be used to detect the operation of windows in buildings (Tien et al., 2021c)
46 and indoor fires (Pincott et al., 2022). The predicted information can be used to adjust the control
47 and operation of the HVAC to reduce the energy demand and enhance thermal comfort (Wang et
48 al., 2022). In addition, it can generate realistic occupancy profiles for building energy models,
49 potentially reducing the performance gap. These are initial studies that introduced the framework
50 and approach, with no in-depth investigation of the model configuration and its impact on the
51 performance of the detection model, in particular, the data curation, labelling and training
52 employed. Furthermore, the impact of selecting a suitable response category; between the ability
53 to detect and recognise the number of occupants, position, and activities performed must be
54 investigated. Finally, most of the studies focus on small office spaces and the performance of the
55 detector must be evaluated when applied in larger spaces and number of occupants.

56

57 **2. Method**

58 To enable accurate and real-time detection of occupancy levels and activities within building
59 spaces to assist the operations of building energy systems, the present work will employ the

60 method introduced in (Tien et al., 2021c). Two different occupancy detector configurations were
61 developed, tested, and analysed. Detailed performance comparisons are provided through the
62 real-time application of the detectors within a selected case study building, and the use of different
63 evaluation metrics. Furthermore, a comparison between the actual observation (ground truth) and
64 the generated occupancy profiles also called here deep learning influenced profiles (DLIP), was
65 carried out. Building Energy Simulation (BES) was used to show if the occupancy heat gains could
66 be represented more accurately using the two occupancy detector configurations as compared to
67 ground truth.

68

69 **2.1 Case Study Building**

70 A postgraduate study space on the first floor of the Paton House Building at the University Park
71 Campus, University of Nottingham, UK was selected to assist in testing the developed real-time
72 occupancy detectors. The Paton House Building is a typical Victorian-style house (Qu et al., 2021)
73 which was repurposed by the University as teaching and office spaces. The climate in the case
74 study area can be classified as a temperate oceanic (Kottek et al., 2006). The location and images
75 of the Paton House Building are shown in Figures 1a and 1b. The building is naturally ventilated
76 and integrated with a central heating system. The U-values of external walls, external floor, roof,
77 doors, and windows are 1.42, 0.95, 1.46, 2.33, and 5.20 W/m²K, respectively. The test room has
78 a floor area of 36.62 m² and a floor-to-ceiling height of 3.52 m, and there are six sliding sash
79 windows that can be opened at the bottom for ventilation. Figure 1c presents the floor plan of the
80 first floor of the building along with the configuration of the room shown in Figure 1d. To enable
81 the capture of the whole test room, cameras with a resolution of 1080p and a wide 90-degree field
82 of view was fixed in the corner of the room and close to the ceiling. It should be noted that this
83 case study building is not intended to evaluate the building itself or its facilities but rather for
84 testing the detection methods in a small-size classroom with occupants performing activities
85 common in this type of space.



86

87 Figure 1: Paton House at University Park Campus, University of Nottingham, UK. (a) Location
 88 map of the building, (b) Outlook of the building, (c) Building floor plan, (d) Room configuration,
 89 (e, f) Experimental test room setup.

90

91 As shown in Figures 1e and 1f, two cameras were placed at two corners of the room with
 92 Detection Camera A, and Detection Camera B. Figure 2 presents the field of view from both
 93 cameras. For this study, the detection performance evaluation was only carried out using Camera
 94 B. Furthermore, the room has a capacity limit due to COVID-19 restrictions during the test period.
 95 For the experimental test, there were 8 participants. The detection performance analysis was

96 based on the detection and recognition of each participant, as shown in Figure 2. It should be
97 noted that in practice, images/videos of occupants are not saved during the real-time detection
98 and are only shown here for demonstration purposes.



99
100 Figure 2: Field of view from Camera A & B with the identification of occupants 'People 1 – 8' for
101 the purposes of detection performance analysis.

102

103 **2.2 Development of the Vision-based Detector Using a Deep Learning Method**

104 Recently, many studies have focused on employing data and demand-driven solutions to enhance
105 HVAC operation and performance (Kallio et al., 2021). The application of vision-based techniques
106 for detection and recognition tasks using a camera device has many advantages, but at the same
107 this has limitations. Using vision-based systems in indoor spaces presents several challenges,
108 including obstacles blocking the view of the desired detection area or objects. Internal
109 environmental conditions, including lighting and glare, could impact detection and recognition
110 performance.

111

112 The framework introduced in (Tien et al., 2022) highlights the potential of using deep learning
113 techniques based on a classification-based algorithm to develop computer vision-based
114 detectors. It showed the potential of using detected occupancy information to assist HVAC system
115 controls. The present study will build on previous knowledge and technique to establish two
116 occupancy detection model configurations and evaluate their capabilities.

117

118 Following the model development process 2 in (Tien et al., 2022), images of occupants were
119 collected to form the datasets described in Table 1. Model 1 is configured to detect the number

120 of people in the space. Whereas Model 2 is configured to detect and recognise common
 121 occupancy activities performed by the occupants. This includes 'sitting', 'standing' and 'walking'.

122

123 Table 1: Number of images and labels per category for Models 1 and 2.

Category	Number of Images			Number of Labels		
	Training	Testing	Total	Training	Testing	Total
Model 1: People Counting						
People	40	10	50	168	45	213
Model 2: Occupancy Activities						
Sitting	400	100	500	753	149	902
Standing	400	100	500	701	134	835
Walking	400	100	500	1000	177	1177
Total	1200	300		2454	460	

124

125 The software, Labellmg (Tzatalin, 2015) was used to label all of the images located within both
 126 datasets manually. As shown in Figure 3, labels were assigned entirely around each specific
 127 region of interest. For most images, more than one occupant appears within the image; hence
 128 multiple labels were assigned.



129

130 Figure 3: Example images from the training and testing image datasets used to train the
 131 models.

132

133 In this study, a Convolutional Neural Network (CNN) model configuration was used. To assist the
 134 development of the neural network, the TensorFlow Object Detection API was used. This
 135 framework platform provides pre-trained models through a transfer learning approach that
 136 enables the development of the vision-based occupancy detector. Existing models provided in
 137 the TensorFlow Detection Model were explored to establish the model configurations. Based on
 138 the assessment of the different models, the pre-trained model Faster R-CNN (with Inception V2)

139 was selected. The time required for training the models would vary due to the differences in the
140 input data and the desired detection output responses.

141

142 The trained models were deployed to a camera to provide real-time detections in the selected
143 postgraduate study space. A scenario consisting of eight occupants present within the space was
144 recorded. This ensured that the two detection model configurations were evaluated using the
145 same sequence of occupancy activities and positions. It also ensured that other factors such as
146 the indoor lighting conditions and glare did not influence the results, providing a fair comparison
147 between the model's detection and recognition abilities. The detection and recognition responses
148 were obtained and recorded every second, generating the DLIP.

149

150 For Model 1, count-based profiles were generated, giving the number of occupants detected over
151 time within the building space. For Model 2, similar profiles generation process following (Tien et
152 al., 2022) was employed; with three responses, sitting, standing and walking. The formed DLIPs
153 would be assessed and compared with the true 'actual observation' to evaluate the overall
154 performance of each occupancy detector.

155

156 The model's performance was assessed based on the average Intersection over Union (IoU)
157 accuracy (Wu et al., 2020), the percentage of the time achieving correct, incorrect and no
158 detections and the confusion matrix. Further evaluation was performed based on the common
159 metrics of precision, recall and F1 score. Details about these evaluation metrics are detailed in
160 (Goutte and Gaussier, 2005) and employed in similar studies (Tien et al., 2022 and Wei et al.,
161 2022).

162

163 **3. Results and Discussion**

164 The following section presents the results of the training and testing of the two different occupancy
165 detectors. It presents the analysis of the performance of the detector during an field experiment
166 conducted within the selected building space. A further evaluation was by comparing the DLIP
167 profiles generated with the ground truth results or the actual observation profiles.

168

169 **3.1 Training**

170 A summary of the training results is given in Table 2. Since Model 1 had a smaller image dataset
 171 and only one response assigned, it led to a shorter training duration and fewer training steps than
 172 Model 2. As observed in Table 2, the total loss versus the training steps plot indicates the
 173 complexity of Model 2 compared to Model 1. Greater fluctuations were seen during the model
 174 training. Effectively, based on the loss convergence, both models were trained and should be
 175 able to carry out the detection tasks.

176 Table 2: Training results for the two occupancy detectors.

Training Conditions and Results	Model 1: People Detection Model	Model 2: Occupancy Activity Model
Model Used	Faster RCNN with Inception V2	
Total Steps	41,901	166,128
Training Duration	2 hours, 54 minutes	10 hours, 29 minutes, 52 seconds
Average Loss	0.07607	0.13436
Minimum Loss	0.003567	0.005654
Total loss versus the number of training steps		

177

178 To confirm the completion of the training of the models, initial detection was performed using the
 179 test images from the dataset. Results are presented in Table 3 with the confusion matrix and the
 180 common classification metrics. The confusion matrix presents the ability of the two classification
 181 models (Model 1 and 2) based on their performance of a set of testing data whereby the true
 182 values are known. Model 1 was designed to only recognise one type of response (people) via a
 183 binary classification problem, while Model 2 has a total of 3 detection responses with the addition
 184 of none/other classification to assist the analysis of the model performance. For both models, true

185 positive results were achieved when the classifier correctly recognises the person present in the
 186 building space and true negative when it correctly recognises no people in the space. The
 187 confusion matrix also presents the amount of false positive and false negative results achieved,
 188 referring to the number of detections that were incorrectly detected. Based on the confusion
 189 matrix, the walking activity achieved a higher accuracy (92.66%) compared to the other activities
 190 of sitting (87.92%) and standing (82.84%). However, the standing and walking activities may have
 191 similar occupancy body form and shape, which could present difficulties in identifying the true
 192 activity, it led to the occurrence of walking being incorrectly identified as standing (11.19%).
 193 Overall, the results showed the detectors' potential as effective occupancy detectors. To further
 194 evaluate the trained models in terms of their ability to classify occupancy and activities, common
 195 evaluation metrics, including accuracy, precision, recall and F1 score were used (Sokolova et al.
 196 2006).

197

198 Table 3: Confusion matrix and model performance results based on the evaluation of the model

199

using the test image dataset.

Confusion Matrix						
		(b) Model 2				
		True Class				
		Sitting	Standing	Walking	None/ Other	
Predicted Class	(a) Model 1	Person				
	Person	82.76%				
	No Person/ Other	13.79%				
			No Person/ Other			
		Sitting	87.92%	5.22%	0.56%	1.34%
		Standing	4.03%	82.84%	4.52%	0.00%
		Walking	3.36%	11.19%	92.66%	0.00%
		None/ Other	3.36%	0.75%	2.26%	-
Class	Accuracy	Precision	Recall	F1 Score		
Model 1: People						
People	84.48%	0.9796	0.8571	0.9143		
Model 2: Occupancy Activities						
Sitting	94.04%	0.925	0.8911	0.9077		
Standing	91.43%	0.9064	0.8284	0.8657		
Walking	92.70%	0.8643	0.9266	0.9047		
Average	92.72%	0.8986	0.8820	0.8927		

200 Figure 4 presents snapshots of the detection and recognition during the experimental test using
 201 the two different occupancy detection models. Figures 4a, b, c shows the results achieved from
 202 the application of Model 1, and Figures d to i for Model 2. For the majority of time, Model 1 enabled
 203 the detection and recognition of most occupants within the building space. Whereas Model 2, had
 204 some no/false incorrect detections in identifying the occupancy activities. Many of these instances
 205 occurred directly for the occupants furthest away from the camera and/or obstructed by objects
 206 in the room or by other people. Further analysis will be given to identify the benefits and limitations
 207 of each of the detection model configurations.
 208



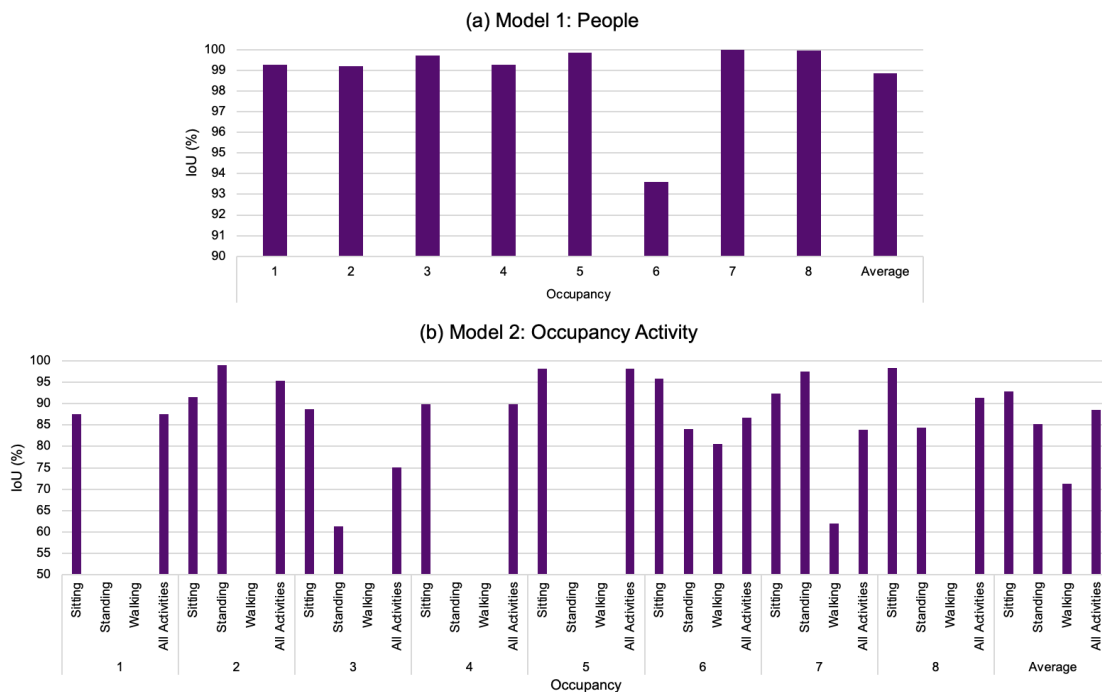
209
 210 Figure 4: Snapshots of occupancy detection and recognition during various key stages of the
 211 experimental test using the different detectors.
 212

213 Figure 5 shows that Model 1 achieves an average detection IoU of 98.85% for all the occupants.
 214 Despite Occupancy 6 within the direct view and angle of the camera, a slightly lower IoU (93.60%)
 215 was achieved. This may have resulted from the participant facing opposite the camera in most
 216 instances. Future works should take this into account when creating the training dataset.
 217 However, overall the results indicate the ability of the vision-based detection approach to enable
 218 real-time identification of the number of occupants present in a building space.

219

220 During the experimental test, the activity of sitting was performed by all occupants. For this
221 activity, consistent IoU was achieved, with an average IoU accuracy of 92.80%. Only some of the
222 occupants performed the standing and walking activities. Hence, further evaluation of other
223 activities must be carried out in future works. The results showed IoU accuracies of 85.25% and
224 71.25% were achieved for standing and walking activities. Such a lower IoU accuracy was due to
225 the difficulty in detecting and recognising these two types of activities with similar occupancy body
226 form and shape. The results in Figure 5B also suggest that the IoU accuracy was not highly
227 impacted by the different occupants in the space and their positions in relation to the camera,
228 indicating the detection camera was positioned at a suitable place within the room to capture the
229 activities of most of the occupants.

230



231

232 Figure 5: Average IoU (%) of the occupants during the experimental test using Models 1 and 2.

233

234 A detailed summary of the results is presented in Table 4. The results suggest that achieving
235 correct/incorrect and no detections would have been influenced by the model performance on
236 recognising each occupant within the space. For Model 1, up to 100% correct detection could be
237 achieved along with minimal incorrect detections. No/ missed detections also occurred.

238

239 For Model 2, which detects occupancy activities, the results suggest that the detection
 240 performance was varied across each occupant. It should be noted that not all occupants
 241 performed all types of activities. Overall, for all three activities, the percentage of correct
 242 detections was the highest, with an average of 74.13%, compared to incorrect detections at 1.25%
 243 and no/missed detections at 24.63%. The highest no/missed detections were observed for
 244 occupant 1, with a no/missed detection rate of 64.12%. This may be due to occupant 1 being one
 245 of the furthest from the camera.

246

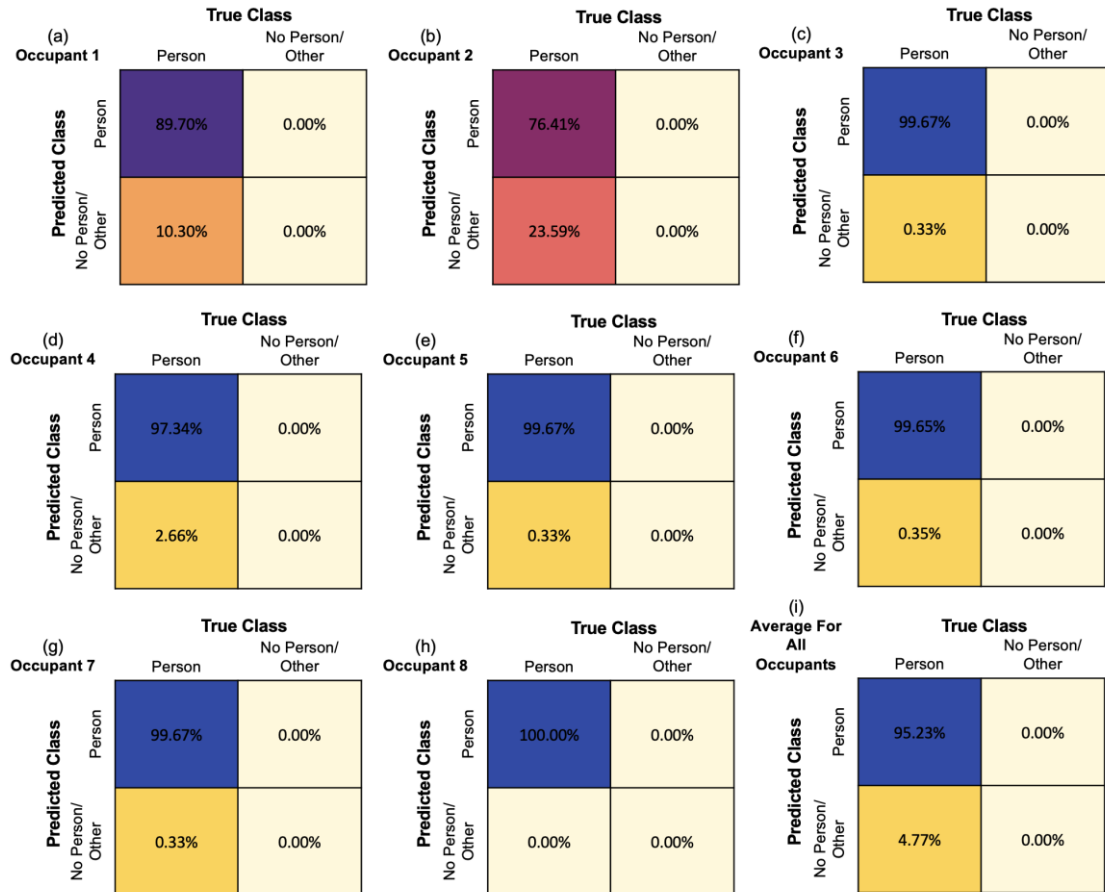
247 Table 4: Detection performance in terms of the percentage of time achieving correct, incorrect,
 248 and no detections.

Percentage of Time Achieving:				
Model 1: People				
Occupant (People Detection)		Correct Detections	Incorrect Detections	No/ Missed Detections
1		89.70%	0.00%	10.30%
2		76.41%	0.00%	23.59%
3		99.67%	0.00%	0.33%
4		97.34%	0.00%	2.66%
5		99.67%	0.00%	0.33%
6		99.67%	0.00%	0.33%
7		99.34%	0.33%	0.33%
8		100.00%	0.00%	0.00%
Average		95.22%	0.04%	4.73%
Model 2: Occupancy Activities				
Occupant	Activity	Correct Detections	Incorrect Detections	No/ Missed Detections
1	Sitting	35.55%	0.33%	64.12%
	Standing	N/A	N/A	N/A
	Walking	N/A	N/A	N/A
	All Activities	35.55%	0.33%	64.12%
2	Sitting	56.04%	0.00%	43.96%
	Standing	33.33%	33.33%	33.33%
	Walking	N/A	N/A	N/A
	All Activities	55.81%	0.33%	43.85%
3	Sitting	67.59%	1.03%	31.38%
	Standing	36.36%	63.64%	0.00%
	Walking	N/A	N/A	N/A
	All Activities	66.45%	3.32%	30.23%
4	Sitting	65.12%	0.00%	34.88%
	Standing	N/A	N/A	N/A
	Walking	N/A	N/A	N/A
	All Activities	65.12%	0.00%	34.88%
5	Sitting	98.01%	0.00%	1.99%
	Standing	N/A	N/A	N/A
	Walking	N/A	N/A	N/A

	All Activities	98.01%	0.00%	1.99%
6	Sitting	92.25%	0.00%	7.75%
	Standing	50.00%	41.67%	0.00%
	Walking	100.00%	0.00%	0.00%
	All Activities	91.03%	1.66%	7.31%
7	Sitting	81.88%	3.83%	14.29%
	Standing	92.31%	7.69%	0.00%
	Walking	100.00%	0.00%	0.00%
	All Activities	82.39%	3.99%	13.62%
8	Sitting	98.98%	0.00%	0.00%
	Standing	85.71%	14.29%	0.00%
	Walking	N/A	N/A	N/A
	All Activities	98.67%	0.33%	1.00%
Average	Sitting	74.43%	0.65%	24.80%
	Standing	59.54%	32.12%	6.67%
	Walking	100.00%	0.00%	0.00%
	All Activities	74.13%	1.25%	24.63%

249

250 To further evaluate the performance of the detectors during the experimental tests, Figure 6 and
251 Figure 7 present the results in the form of the confusion matrix. For model 1, the results verify the
252 results presented in Table 4 with the lowest true positives values of 76.41%, and the highest
253 number of false positives of up to 23.59% was for the detection of Occupant 2. In comparison to
254 the detection of the other occupants, more consistent results were achieved, giving minimal false
255 negatives with no false positives. Overall, an average of 95.23% were achieved for true positives
256 in correctly detecting people within the space.

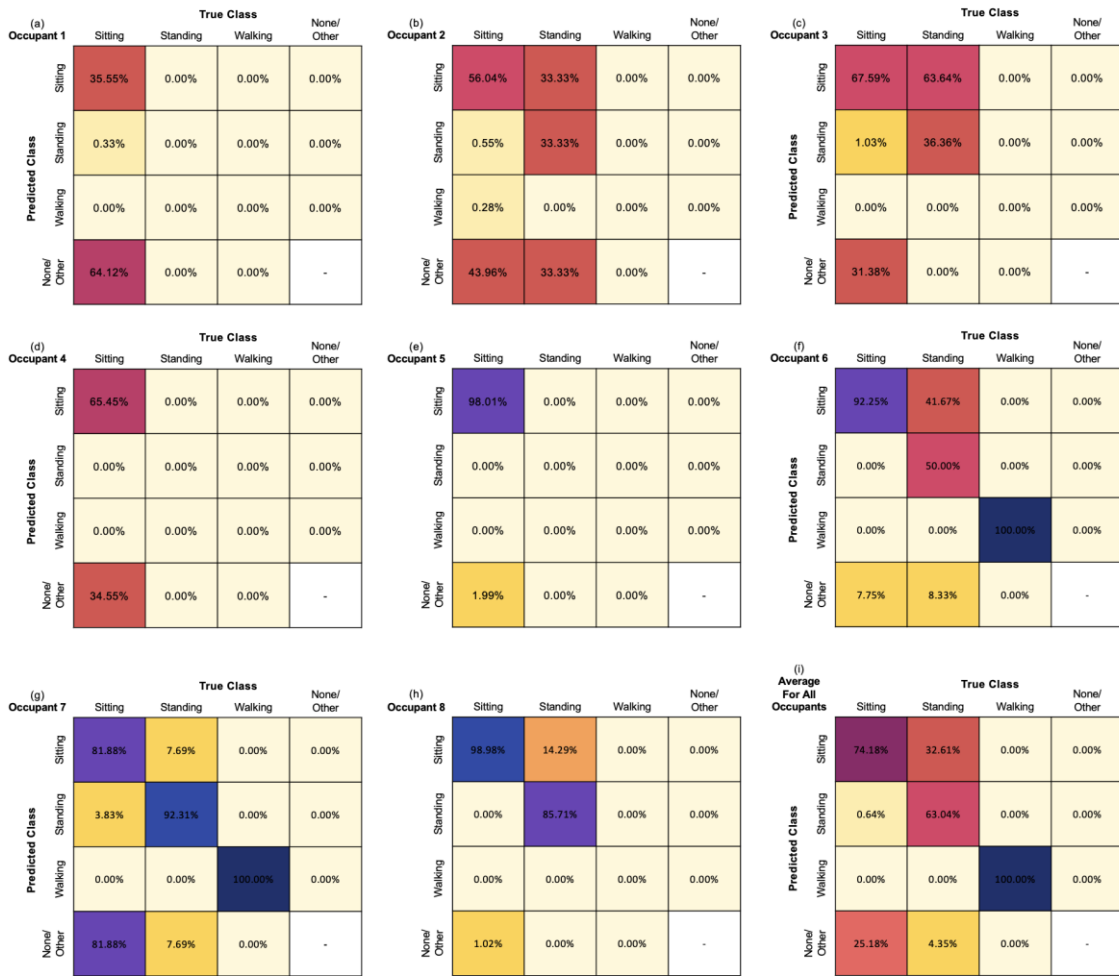


257

258 Figure 6: Detection performance results for Model 1 (people detector) in the form of a confusion
 259 matrix.

260 As presented in Figure 7, the results suggest Model 2 can adequately identify each of the different
 261 activities performed by the occupants. The results indicate that the walking activity achieved the
 262 most true positives, with a value of up to 100%. Secondly, it is followed by the sitting activity. This
 263 achieved up to an average of 74.18%. The confusion matrix for each occupant suggests that the
 264 lower percentage achieved for this activity was due to the occasion of no prediction when this
 265 activity was performed. Furthermore, the standing activity was sometimes predicted as sitting
 266 and/or no detection of such activity, giving the worst performance compared to the other
 267 responses. The overall performance shown in Figure 7i was used to calculate the common
 268 evaluation metrics, including the accuracy, precision, recall, and the associated F1 scores given
 269 in Tables 5 and 6 for both models.

270



271

272 Figure 7: Detection performance results for Model 2 (occupancy activity detector) in the form of
 273 a confusion matrix.

274 The evaluation metrics results are shown in Table 5. Model 1 provided an overall accuracy of
 275 95.23% and an F1 score of 0.9756. Model 2 provides an accuracy of 89.37% with an F1 score of
 276 0.8298. Since multiple responses were selected for this model, further development is required
 277 to ensure a consistent level of detection accuracy could be achieved across the different
 278 occupancy activities. Furthermore, since both models were only tested on a selected experimental
 279 test, further analysis is required to evaluate whether both models can effectively assist the
 280 operations of building HVAC systems and enhance the building energy performances through
 281 further testing on different indoor spaces and variation in variation occupancy conditions. For
 282 example, Model 1 may be effective in predicting the CO₂ concentration levels based on the
 283 occupancy count, while Model 2 would be more suitable for evaluating the heat gains from
 284 occupants or predicting the activity rate for thermal comfort calculations in real-time.

285

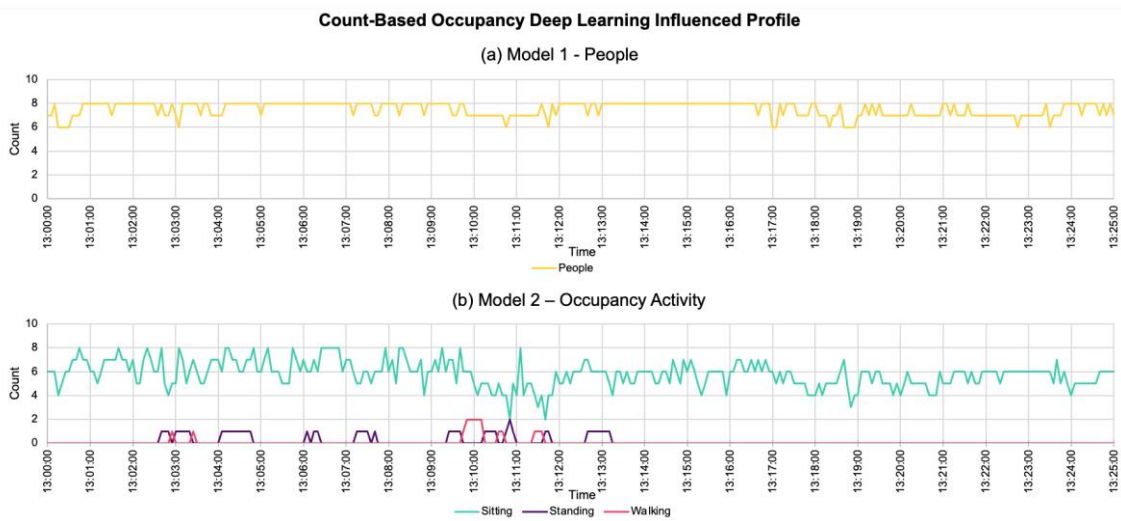
286 Table 5: Detection performance results based on common classification evaluation metrics from

287 the application of Models 1 and 2.

Occupant	Class	Accuracy	Precision	Recall	F1 Score
Model 1: People					
1	Person	89.70%	1.000	0.8970	0.9457
2	Person	76.41%	1.000	0.7641	0.8663
3	Person	99.67%	1.000	0.9967	0.9983
4	Person	97.34%	1.000	0.9734	0.9865
5	Person	99.67%	1.000	0.9967	0.9983
6	Person	99.65%	1.000	0.9965	0.9982
7	Person	99.67%	1.000	0.9967	0.9983
8	Person	100.00%	1.000	1.000	1.000
Average	Person	95.23%	1.000	0.9523	0.9756
Model 2: Occupancy Activity					
1	Sitting	35.55%	1.0000	0.3556	0.5245
	Standing	N/A	N/A	N/A	N/A
	Walking	N/A	N/A	N/A	N/A
	All Activities	35.55%	1.0000	0.3556	0.5245
2	Sitting	61.35%	0.6270	0.5604	0.5918
	Standing	66.67%	1.0000	0.3334	0.5001
	Walking	N/A	N/A	N/A	N/A
	All Activities	64.01%	0.8135	0.4469	0.5460
3	Sitting	51.98%	0.5150	0.6759	0.5846
	Standing	67.67%	0.9725	0.3636	0.5293
	Walking	N/A	N/A	N/A	N/A
	All Activities	59.83%	0.7438	0.5198	0.5570
4	Sitting	64.65%	1.0000	0.6545	0.7912
	Standing	N/A	N/A	N/A	N/A
	Walking	N/A	N/A	N/A	N/A
	All Activities	64.65%	1.0000	0.6545	0.7912
5	Sitting	98.01%	1.0000	0.9801	0.9800
	Standing	N/A	N/A	N/A	N/A
	Walking	N/A	N/A	N/A	N/A
	All Activities	98.01%	1.0000	0.9801	0.9800
6	Sitting	83.53%	0.6888	0.9225	0.7887
	Standing	83.33%	1.0000	0.5000	0.6667
	Walking	100.00%	1.0000	1.0000	1.0000
	All Activities	88.95%	0.8963	0.8075	0.8185
7	Sitting	91.40%	0.9141	0.8188	0.8638
	Standing	96.16%	0.9602	0.9231	0.9413
	Walking	100.00%	1.0000	1.0000	1.0000
	All Activities	95.85%	0.9581	0.9140	0.9350
8	Sitting	92.35%	0.8738	0.9898	0.9282
	Standing	92.86%	1.0000	0.8571	0.9231
	Walking	N/A	N/A	N/A	N/A
	All Activities	92.61%	0.9369	0.9235	0.9257
Average	Sitting	80.64%	0.6975	0.7418	0.7190
	Standing	87.47%	0.9899	0.6304	0.7703
	Walking	100.00%	1.0000	1.0000	1.0000
	All Activities	89.37%	0.8958	0.7907	0.8298

288

289 Based on the experimental test, the detections and recognitions were recorded in the form of the
290 DLIPs. Figure 8 presents the generated count-based profiles for each response achieved using
291 Model 1 and their activities in Model 2. Since the same video recording was used for testing the
292 models, it essentially compared the number of occupants present in a building space versus the
293 occupants performing various activities.



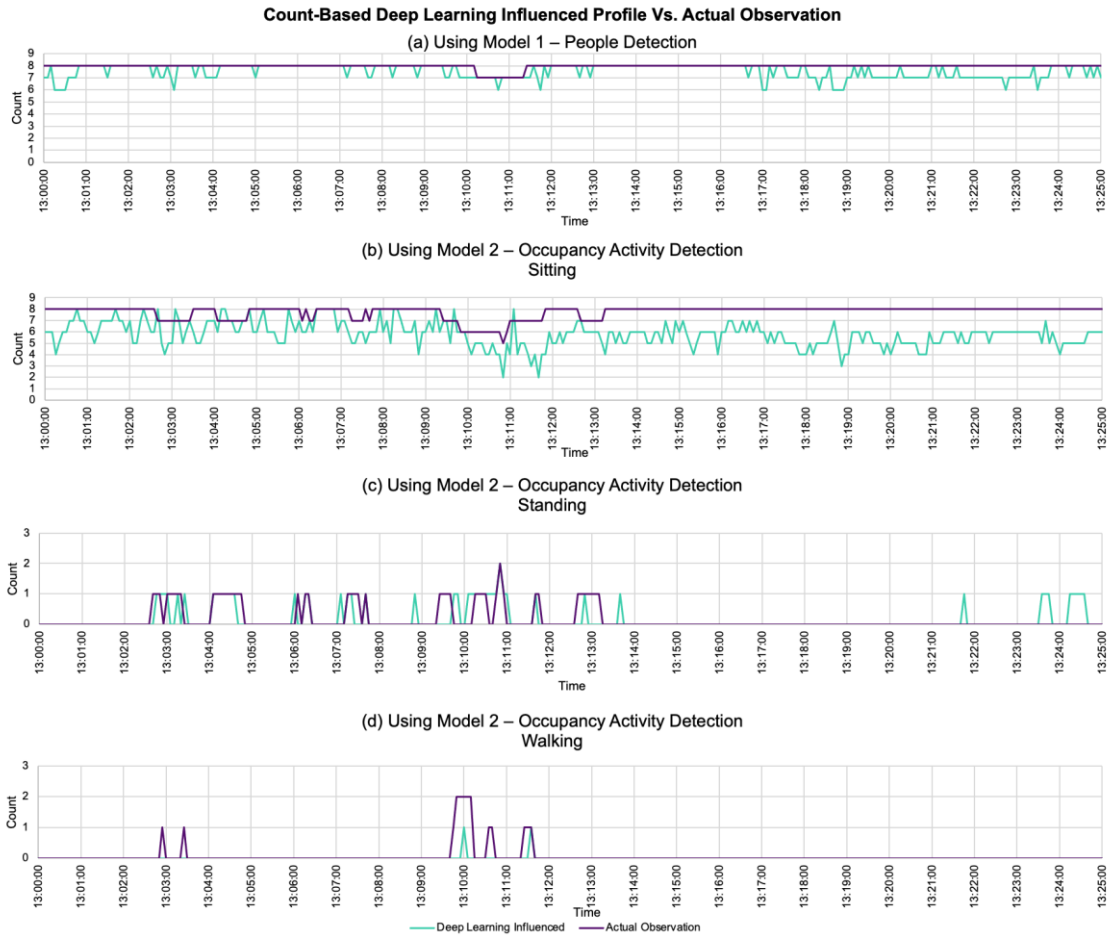
294

295 Figure 8: Formed deep learning influenced profiles (DLIPs) from the application of Models 1 and
296 2 during the experimental test.

297

298 The ground truth or Actual Observation profile was used to further assess the detection
299 performance of the methods. Results given in Figure 9 suggest that the occupancy and activities
300 profiles consistently fluctuate, indicating prediction error. Therefore, further improvements are
301 required to enhance the detection model's accuracy, reliability, and stability.

302



303

304

Figure 9: Comparison of the formed DLIPs with the Actual Observation Profile.

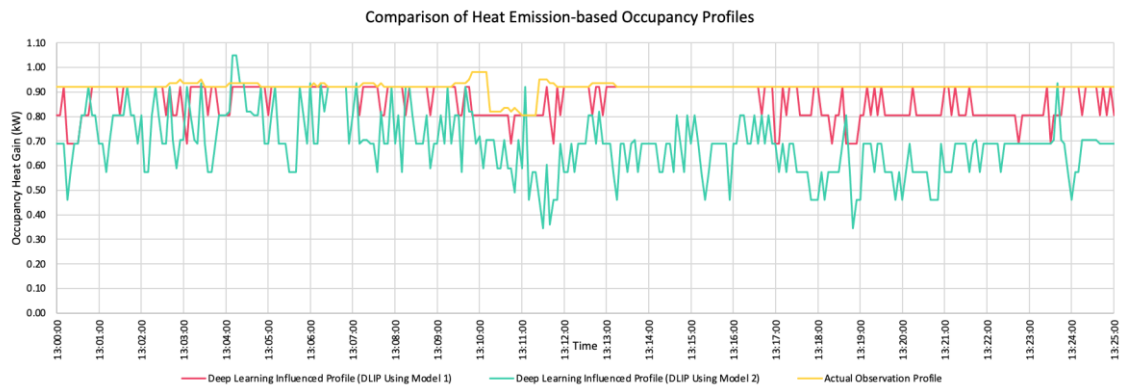
305

306 Figure 10 compares the generated DLIP with Actual Observation profiles (ground truth). The
 307 occupancy count DLIPs generated using Model 1 was used to predict the occupancy heat
 308 emissions. Heat gains profiles were generated assuming the detected occupants were sitting.
 309 Moreover, Figure 10 also presents the predicted occupancy heat emission profile based on the
 310 occupancy activity profiles in Figure 8b, generated using Model 2. This was compared with Model
 311 1, indicating a difference in heat emissions of up to 29.75%. As compared with the Actual
 312 Observation profile, a difference of up to 5.69% for Model 1 and 25.36% for Model 2 was
 313 observed, indicating substantial errors in the detections of Model 2.

314

315 Although Model 2 was supposed to be more accurate in predicting the actual heat emissions of
 316 the occupants, Model 1 was closer to the Actual Observation (ground truth) due to the limited
 317 activities (mostly seating) performed by the occupants during the experimental test. This

318 highlights the importance of developing an accurate and stable occupancy activity detector in
319 order to be effective and valuable for building control systems. Furthermore, a greater impact
320 could potentially be observed when such a detection method is implemented within larger indoor
321 spaces with more people performing various occupancy activities.



322
323 Figure 10: The generated DLIP using Models 1 and 2 plotted against predefined and the Actual
324 Observation Profile.

325

326 4. Conclusion and Future Works

327 The study investigates the development of a vision-based deep learning detection technique for
328 enhancing building system operations and energy performance. Two occupancy detection
329 approaches based on Faster RCNN with Inception V2 model were developed, tested and
330 evaluated. Model 1 focused on detecting the number of occupants in a building space. While
331 Model 2 focused on detecting common occupancy activities such as 'sitting', 'standing' and
332 'walking'. Similar images were used for training the model, and the same training procedure was
333 conducted. Both models were evaluated based on an experimental test performed within a
334 postgraduate study space at the University.

335

336 Model 1 provided an overall accuracy of 95.23% and an F1 score of 0.9756, providing good
337 detection of the number of occupants within the indoor space. Model 2 provided a lower accuracy
338 of 89.37%, with an F1 score of 0.8298. Since Model 2 had multiple detection tasks, further
339 development is required to ensure a consistent level of detection accuracy could be achieved
340 across the different occupancy activities. Although Model 2 was supposed to be more accurate
341 in predicting the actual heat emissions of the occupants, Model 1 was closer to the Actual

342 Observation (ground truth) due to the limited activities (mostly seating) performed by the
343 occupants during the experimental test. This highlights the importance of developing an accurate
344 and stable occupancy activity detector in order to be effective and valuable for building control
345 systems. It is envisaged that the proposed detection approach could have a greater impact when
346 applied in a larger indoor space with more occupants and different types of activities. Hence,
347 future works should evaluate the application of the detection approach in various types of indoor
348 spaces with variations in the number of occupants and their activities. Further model training with
349 larger datasets should be carried out to improve the overall detection performance. The impact of
350 parameters such as the indoor lighting conditions and positioning of the detection camera should
351 be evaluated.

352

353 **Acknowledgements**

354 The authors would like to acknowledge the support from the Department of Architecture and
355 Built Environment, University of Nottingham and the PhD studentship from EPSRC, Project
356 References: 2100822 (EP/R513283/1).

357

358 **References**

- 359 AMASYALI, K. & EL-GOHARY, N.M. 2018. A review of data-driven building energy
360 consumption prediction studies. *Renewable and Sustainable Energy Reviews*,
361 81, Part 1, 1192-1205.
- 362 CIBSE Chartered Institution of Building Services Engineer. 2015. Environmental design:
363 CIBSE Guide A. In. London: CIBSE.
- 364 DELZENDEH, E., WU, S., LEE, A., ZHOU, Y. 2017. The impact of occupants' behaviours
365 on building energy analysis: A research review. *Renewable and Sustainable*
366 *Energy Reviews*, 80, 1061-1071.
- 367 DONGRE, P., ROOFIGARI-ESFAHAN, N., DEJONG, M.J., SCHOOLING, J.M.,
368 VIGGANI, G.M.B. 2019. Occupant-building interaction (OBI) model for University
369 buildings *International Conference on Smart Infrastructure and Construction 2019*
370 *(ICSIC)*. January 2019, 631-637.
- 371 GOUTTE, C., GAUSSIÉ, E. 2005. Probabilistic interpretation of precision, recall and
372 F-score, with implication for evaluation. In: Losada D.E., Fernández-Luna J.M.
373 (eds) *Advances in Information Retrieval. ECIR 2005. Lecture Notes in Computer*
374 *Science*, vol 3408. Springer, Berlin, Heidelberg.
- 375 JIN, X., WANG, G., SONG, Y., SUN, C. 2018. Smart building energy management based
376 on network occupancy sensing. *Journal of International Council on Electrical*
377 *Engineering*, 8:1, 30-36.
- 378 KALLIO, J., TERVONEN, J., RÄSÄNEN, P., MÄKYNEN, R., KOIVUSAARI, J.,
379 PELTOLA, J. 2021. Forecasting office indoor CO₂ concentration using machine
380 learning with a one-year dataset. *Building and Environment*, 187, 107409.

381 KATHIRGAMANATHAN, A., DE ROSA, M., MANGINA, E., FINN, D.P. 2021. Data-driven
382 predictive control for unlocking building energy flexibility: A review. *Renewable*
383 *and Sustainable Energy Reviews*, 135, 110120.

384 KOTTEK, M., GRIESER, J., BECK, C., RUDOLF, B., RUBEL, F. 2006. World map of the
385 Köppen-Geiger climate classification updated. *Meteorologische Zeitschrift*, 15:3,
386 259-263.

387 LI, S., LI, N., BECERIK-GERBER, B., CALIS, G. 2011. RFID-based occupancy detection
388 solution for optimizing HVAC energy consumption. *2011 Proceedings of the 28th*
389 *ISARC, Seoul, Korea*, 587-592.

390 MARINAKIS, V. 2020. Big data for energy management and energy-efficient buildings.
391 *Energies* 13:7, 1555.

392 PAONE, A., BACHER, J. 2018. The impact of building occupant behavior on energy
393 efficiency and methods to influence it: A review of the state of the art. *Energies*,
394 11:4, 953.

395 PINCOTT, J., TIEN, P.W., WEI, S., CALAUTIT, J.K. 2022. Development and evaluation
396 of a vision-based transfer learning approach for indoor fire and smoke detection.
397 *Building Services Engineering Research and Technology*, 43:3, 319-332.

398 QU, K., CHEN, X., WANG, Y., CALAUTIT, J., RIFFAT, S., CUI, X. 2021. Comprehensive
399 energy, economic and thermal comfort assessments for the passive energy
400 retrofit of historical buildings – A case study of a late nineteenth-century Victorian
401 house renovation in the UK. *Energy*, 220, 119646.

402 SALIMI, S. & Hammad, A. 2020. Optimizing energy consumption and occupants comfort
403 in open-plan offices using local control based on occupancy dynamic data.
404 *Building and Environment*, 176, 106818.

405 SHAIKH, P.H., NOR, N.B.M., NALLOGOWNDEN, P., ELAMVAZUTHI, I. 2018. Intelligent
406 multi-objective optimization for building energy and comfort management.
407 *Journal of King Saud University-Engineering Sciences*, 30:2, 195-204.

408 SIMMA, K.C.J., MAMMOLI, A., BOGUS, S.M. 2019. Real-time occupancy estimation
409 using WiFi network to optimize HVAC operation. *Procedia Computer Science*,
410 155, 495-502.

411 SOKOLOVA, M., JAPKOWICZ, N., SZPAKOWICZ, S. 2006. Beyond accuracy, F-score
412 and ROC: A family of discriminant measures for performance evaluation. In:
413 Sattar, A., Kang, Bh. (eds) *AI 2006: Advances in Artificial Intelligence*. AI 2006.
414 *Lecture Notes in Computer Science()*, vol 4304. Springer, Berlin, Heidelberg.

415 TIEN, P.W., WEI, S., CALAUTIT, J.K., DARKWA, J, WOOD, C. 2021a. A vision-based
416 deep learning approach for the detection and prediction of occupancy heat
417 emissions for demand driven control solutions. *Energy and Buildings*, 226,
418 110386.

419 TIEN, P.W., WEI, S., CALAUTIT, J.K. 2021b. A computer vision-based occupancy and
420 equipment usage detection approach for reducing building energy demand.
421 *Energies*, 14, 156.

422 TIEN, P.W., WEI, S., CALAUTIT, J.K., DARKWA, J, WOOD, C. 2021c. A deep learning
423 approach towards the detection and recognition of opening of windows for
424 effective management of building ventilation heat losses and reducing space
425 heating demand. *Renewable Energy*, 177, 603-625.

426 TIEN, P.W., WEI, S., CALAUTIT, J.K., DARKWA, J, WOOD C. 2022. Real-time
427 monitoring of occupancy activities and window opening within buildings using an
428 integrated deep learning-based approach for reducing energy demand. *Applied*
429 *Energy*, 308, 118336.

430 TZUTALIN, 2015. *LabelImg*, Available: <https://github.com/tzutalin/labelImg>. [Accessed
431 February 2022].

- 432 WANG, Z., CALAUTIT, J., WEI, S., TIEN, P.W., XIA, L. 2022. Real-time building heat
433 gains prediction and optimization of HVAC setpoint: An integrated framework.
434 *Journal of Building Engineering*, 49, 104103.
- 435 WEI, S., TIEN, P.W., CALAUTIT, J.K., WU, Y., BOUKHANOUF, R. 2021. Vision-based
436 detection and prediction of equipment heat gains in commercial office buildings
437 using a deep learning method. *Applied Energy*, 277, 115506.
- 438 WEI, S., TIEN, P.W., WU, Y., CALAUTIT, J.K. 2022. A coupled deep learning-based
439 internal heat gains detection and prediction method for energy-efficient office
440 building operation. *Journal of Building Engineering*, 47, 103778.
- 441 WU, S., LI, X., WANG, X. 2020. IoU-aware single-stage object detector for accurate
442 localization. *Image and Vision Computing*, 97, 103911.
- 443 YUN, J., Lee, S. 2014. Human movement detection and identification using pyroelectric
444 infrared. *Sensors*, 14, 8057-8081.
- 445 YUN, J., WON, K.H. 2012. Building environment analysis based on temperature and
446 humidity for smart energy systems. *Sensors*, 12:10, 12458-13470.