- 1 Submitted manuscript
- 2 Audiologist Guided Internet-Based Cognitive Behaviour Therapy for Adults With Tinnitus
- 3 in the United Kingdom: a Randomised Controlled Trial
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13	Abbreviations
14	ANCOVA: Analysis of covariance
15	CBT: Cognitive Behavioural Therapy
16	CFQ: Cognitive Failures Questionnaire
17	CONSORT: Consolidated Standards of Reporting Trials

GAD-7: Generalized Anxiety Disorder

GP: General Practitioner

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- 1 HCPC: Health and Care Professions Council
- 2 HHIA-S: Hearing Handicap Inventory for Adults Screening Version
- 3 HQ: Hyperacusis Questionnaire
- 4 NHS: National Health System
- 5 iCBT: Guided Internet-based Cognitive Behavioural Therapy Intervention
- 6 ISI: Insomnia Severity Index
- 7 PHQ-9: Patient Health Questionnaire
- 8 RCT: Randomized Control Trial
- 9 RCI: Reliable Change Index
- 10 SPIRIT: Standard Protocol Items, Recommendations for Interventional Trials
- 11 SPSS: Statistical Package for Social Sciences
- 12 SWLS: Satisfaction with Life Scales
- 13 TFI: Tinnitus Functional Index
- 14 THI-S: Tinnitus Handicap Inventory Screening Version
- 15 UK: United Kingdom
- 16 WCI: Weekly Check-In Control Group

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2 Abstract

3

# 4 Objectives

- 5 Specialist tinnitus services are in high demand due to the effects tinnitus may have on
- 6 quality of life. Additional cost and clinically effective tinnitus management routes are
- 7 imperative, due to constraints on current healthcare systems. One such route is providing
- 8 Cognitive Behavioural Therapy for tinnitus via the Internet (iCBT). This study aimed to
- 9 determine the efficacy of guided iCBT using Audiological support on tinnitus distress and
- 10 tinnitus related comorbidities in the UK. Furthermore, it aimed to establish the stability of
- treatment effects two months postintervention. Lastly, the study aimed to identify for which
- populations of those with tinnitus this form of intervention may be most appropriate. The
- 13 hypothesis was that iCBT for tinnitus would be more effective at reducing tinnitus distress
- than weekly monitoring.

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# Design

- 17 A randomised, delayed treatment efficacy trial, with a two-month follow-up was
- implemented to evaluate the efficacy of iCBT in the UK. After being stratified for tinnitus
- 19 severity and age, adults experiencing tinnitus distress were randomly assigned to guided

- iCBT (n = 73) or to a weekly check-in (WCI) group (n = 73). Once the iCBT group
- 2 completed treatment, the WCI group underwent the same intervention. Standardised self-
- 3 reported outcome measures for tinnitus distress, hearing handicap, insomnia, anxiety,
- 4 depression, hyperacusis, cognitive failures and satisfaction with life were used to assess
- 5 outcome. Outcome measures were completed immediately postintervention and two months
- 6 posttreatment.

### 8 Results

- 9 Undertaking the iCBT intervention led to significant reduction in tinnitus distress, which
- were supported by medium effect sizes (Cohen's d = 0.69). This iCBT intervention was
- also effective at reducing insomnia, anxiety, depression, hyperacusis, cognitive failures and
- increasing life satisfaction, as supported by small to medium effect sizes (Cohen's d = 0.27-
- 13 0.55). The only significant predictor of outcome was a higher initial tinnitus severity score.
- 14 Treatment effects were stable two months posttreatment for tinnitus and related
- 15 comorbidities.

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### Conclusions

- Guided iCBT for tinnitus using Audiological support in the UK has shown to be effective at
- reducing tinnitus distress and associated symptoms, especially in those with higher tinnitus

- severity scores. These effects remained stable two months postintervention. Further studies
- 2 to determine the longer-term efficacy of iCBT and compare iCBT with standard clinical
- 3 care in the UK are required.

5 Keywords

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6 Tinnitus, tinnitus treatment, e-Health, internet-intervention, cognitive behavioural therapy

# INTRODUCTION

2	Most healthcare in the United Kingdom is provided by publically funded National Health
3	Service (NHS) systems and is largely free at the point of use. General Practitioners (GPs)
4	provide primary healthcare and refer patients to specialist services as required. Recently the
5	NHS has experienced challenges due to funding constraints together with an ever-growing
6	demand for its services (Smith et al. 2014). This has led to an increase in appointment
7	waiting times, which has been associated with poorer outcomes for a variety of health issues
8	(e.g., Pizer & Prentice, 2011; Smith et al. 2014). For patients experiencing significant
9	levels of health-related distress, such as those with chronic tinnitus, minimizing waiting
10	times should be prioritized (Gander et al. 2011).
11	
12	Tinnitus, being the sensation of sound in the absence of a corresponding external acoustic
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13	stimulus (Baguley et al. 2013), may be perceived on a spectrum from barely noticeable to
13 14	
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14	stimulus (Baguley et al. 2013), may be perceived on a spectrum from barely noticeable to debilitating (Brüggemann et al. 2016). For those who are severely affected, experiencing
14 15	stimulus (Baguley et al. 2013), may be perceived on a spectrum from barely noticeable to debilitating (Brüggemann et al. 2016). For those who are severely affected, experiencing tinnitus may occur together with a wide range of associated symptoms such as sleep
14 15 16	stimulus (Baguley et al. 2013), may be perceived on a spectrum from barely noticeable to debilitating (Brüggemann et al. 2016). For those who are severely affected, experiencing tinnitus may occur together with a wide range of associated symptoms such as sleep disturbance, concentration difficulties, irritation, frustration, anxiety and depression

- 1 2011). In addition, those referred often have a substantial wait of up to 18 weeks before a
- 2 treatment pathway, such as obtaining tinnitus counselling, commences (Department of
- 3 Health, 2009). A further constraint in tinnitus management in the UK is that the treatment
- 4 with the most evidence of efficacy, namely CBT (see Hesser et al. 2011) is not readily
- 5 available for those with tinnitus, largely due to a shortage of trained specialists (Baguley et
- al. 2013). Moreover, specialist tinnitus services are not available in all NHS hospitals across
- 7 the UK, leaving many with distressing tinnitus without any specialised treatment options
- 8 (Hoare et al. 2015).

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The need for widely available cost and clinically effective tinnitus treatments is evident 10 worldwide, and not isolated to the UK (Andersson, 2016). To increase access to effective 11 12 tinnitus treatments in Sweden, guided cognitive behavioural therapy is provided via the Internet (iCBT; Andersson, 2015). An important component of iCBT is that patients are 13 14 supported by a therapist they can communicate with online. This therapeutic support has been provided by Clinical Psychologists, trained to provide CBT. As iCBT has been found 15 to be effective at reducing tinnitus and associated problems (e.g., Andersson et al. 2002; 16 Kaldo et al. 2008; Hesser et al. 2012; Kaldo et al. 2013), it has been incorporated into 17 regular clinical care in Sweden (Kaldo-Sandström et al. 2004; Kaldo et al. 2013). Following 18

the proven efficacy of iCBT in Sweden, efficacy was also demonstrated in clinical trials in

- 1 Germany (Nyenhuis et al. 2013, Jasper et al. 2014; Weise et al. 2016). In contrast to the
- 2 success of iCBT in Sweden and Germany, no significant benefits were found when using
- 3 the translated English iCBT version compared with an information-only control programme
- 4 (without CBT content) on an industrial population in Australia (Abbott et al. 2009). Possible
- 5 contributing factors for the lack of success included relatively low levels of baseline tinnitus
- 6 distress and cultural attitude differences towards test-based Internet-based learning.
- 7 Moreover, the intervention was offered from a commercial company website and not from a
- 8 research or clinical facility.
- 9 Applying iCBT as an additional treatment route to complement existing tinnitus pathways
- 10 for those with tinnitus in the UK has numerous potential benefits. These include improving
- access to CBT for tinnitus in a comprehensive evidence-based format. As there are fewer
- resources required, the burdens on specialist services can be reduced. Although there are
- advantages, further evidence is required regarding iCBT for tinnitus and who is most likely
- to respond to treatment for tinnitus via the Internet. To date there have been few consistent
- predictors of outcomes for Internet-interventions (Andersson & Herdman, 2013). Age,
- education, gender or level of computing skills have not been found to predict outcomes
- 17 (Andersson et al. 2009). Kaldo-Sandström et al. (2004) found treatment compliance, external
- 18 referral to the treatment and the number of earlier treatments for tinnitus were associated with

- 1 more positive outcomes for iCBT for tinnitus. Further exploring predictors of outcome is
- 2 required if iCBT for tinnitus is to be considered as a treatment route in the UK.
- 3 There are, however, potential difficulties of using the Swedish iCBT programme in the UK.
- 4 These include using Clinical Psychologists to provide therapeutic guidance, as this model
- 5 would not fit in the UK where Audiologists play a significant role in tinnitus healthcare
- 6 provision (Hoare et al. 2015). A further concern would be that a largely text-based
- 7 intervention would not appeal to a UK population who are accustomed to face-to-face
- 8 interventions. These potential barriers to usage would need to be minimised if iCBT is to
- 9 be viewed as a credible treatment for tinnitus distress in the UK. With this in mind, a multi-
- professional collaboration with a broad skill set, consisting of the authors of this paper was
- formed to guide redeveloping iCBT for a UK population. The intervention was based on the
- 12 CBT self-help programme designed by Kaldo et al. (2007). A comprehensive, user-friendly,
- tailored intervention was designed by Beukes et al. (2016a), using an interactive approach in
- which active involvement is encouraged. Following this development, the intervention
- underwent rigorous technical functionality and satisfaction testing. Results indicated that
- this version was highly rated for suitability, content, presentation, usability and exercises
- provided by both expert reviewers with an established background in tinnitus management
- and adults with significant levels of tinnitus distress. In addition, the feasibility of iCBT in

the UK in terms of recruitment, attrition and compliance rates was established using a 1 2 single-group open trial design (Beukes et al. 2016b). 3 In a UK context, delivering iCBT guided by an Audiologist would be optimal, but the 4 feasibility of iCBT by a non-Psychological professional is unproven. The clinical efficacy of this redeveloped iCBT intervention in the UK has also not yet been established. This trial 5 6 set out to explore the use of iCBT in the UK with the following objectives: 7 1. To evaluate the efficacy of iCBT guided by an Audiologist, compared with that of a 8 weekly check-in group (WCI) at reducing tinnitus distress and associated comorbidities 9 2. To determine iCBT treatment effects two months postintervention 10 11 3. To ascertain predictors of outcome for whom this iCBT intervention is a suitable 12 intervention 13 14 MATERIALS AND METHODS Study design 15 A randomised, delayed treatment efficacy trial, with a two-month follow-up was 16 17 implemented to evaluate the efficacy of iCBT in the UK. The iCBT Experimental Group

received treatment for 8 weeks, while the weekly check-in (WCI) Control Group were

- 1 monitored by means of the Tinnitus Handicap Inventory-Screening Version (THIs;
- 2 Newman et al. 2008). Once the iCBT group completed treatment, the WCI group underwent
- 3 the same intervention. The WCI group, thus, had a delay of 8 weeks before obtaining
- 4 treatment. This delay is, however, less than the 18 weeks wait they may have if they were
- 5 obtaining treatment within standard pathways on the NHS.

- 7 The Consolidated Standards of Reporting Trials (CONSORT) eHealth guidelines
- 8 (Eysenbach et al. 2011) were used to report this study.

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### Ethical considerations

- 11 Ethical approval was granted by the Faculty Research Ethics Panel of Anglia Ruskin
- 12 University (FST/FREP/14/478) and the study was registered with Clinical Trials.gov:
- NCT02370810, date 05/03/2015. It was conducted in accordance with good clinical practice
- together with the ethical principles of the Declaration of Helsinki. For the full study
- protocol, see Beukes et al. (2015). A protocol was established to ensure the security of
- participants' confidentiality when using the web-portal, complying with European
- guidelines for Internet studies. There were no changes to the methods or outcome measures
- 18 used after trial commencement.

## Study population

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2	Daamitaaaat
,	Recruitment

- 3 Recruitment was UK wide and targeted people from various demographical backgrounds
- 4 with significant levels of tinnitus distress. Study information was available in various
- 5 formats including: online (e.g., the NHS Choices and clinicaltrials.gov websites), Twitter
- 6 (British Tinnitus Association), Facebook forums (e.g., Action on Hearing loss, Thyroid
- 7 UK), Newspapers, and Magazines (e.g., Mature Times, People's Friend, Musicians Union
- 8 bulletin, New Scientist, National Federation of Occupational Pensioners Magazine,
- 9 Cambridge News), support groups (e.g., tinnitus, thyroid) and from professionals (GP
- 10 surgeries, Audiologists).

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- Sample size
- Sample size estimation was calculated using G\*Power version 3.1.6 and based on achieving
- a clinically relevant change between baseline and postintervention using the primary
- outcome measure, the Tinnitus Functional Index (TFI; Meikle et al. 2012). Calculations
- based on pilot data indicated the need for fewer participants than calculations using the 13
- point (SD 24.7) difference suggested during the development of the TFI. For the present
- study, estimates were based on these less conservative values. This indicated that 58
- 19 participants were required per group, with an allocation ratio of 1:1, to achieve a two-sided

1	significance level of 0.05 and a standardized mean difference effect size of 0.80. An
2	additional 15 participants were allocated to each group to account for possible drop-outs.
3	Therefore, 73 participants were recruited to each arm.
4	
5	Strategies to minimise attrition rates were applied as recommended by Dziura et al. (2013).
6	These included data collection not requiring face-to-face visits and regular contact with
7	participants during the trial. Participants were informed of their right to withdraw at any
8	stage without penalty.
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10	Participants
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12	Those interested in the study-registered interest on the study website
13	(www.tacklingtinnitus.co.uk). When the recruitment commenced, they were invited to
14	partake and this recruitment process lasted two weeks. Eligibility for the study was
15	determined in a two-stage process. Initially, participants completed the baseline
16	measurements online. Following completion, a telephonic screening was arranged, to ensure
17	participants fulfilled the study requirements, which were as follows:
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19	Inclusion Criteria

1	i)	Aged 18 years and over living in the UK
2	ii)	Computer and internet access and the ability to use these
3	iii)	The ability to read and type in English
4	iv)	Tinnitus for a minimum duration of 3 months
5	v)	Tinnitus outcome measure scores indicating the need for tinnitus care (26 or
6		above on the Tinnitus Functional Index (Meikle et al. 2012).
7		
8	Exclusion Criteria	
9	i)	Reporting any major medical, psychiatric or mental disorder which may hampe
10		commitment to the programme
11	ii)	Reporting pulsatile, objective or unilateral tinnitus, which have not been
12		investigated medically
13	iii)	Tinnitus as a consequence of a medical disorder, still under investigation
14	iv)	Undergoing any tinnitus therapy concurrently to partaking in this study
15		
16	Enrolmen	at and randomisation
17	Participan	ts were randomly assigned and enrolled to either the control or experimental
18	group at a	1:1 allocation by an independent researcher using a randomization sequence
10	generated	by computer algorithm (http://www.randomizer.org/). To prevent an unequal

- distribution among groups, participants were prestratified on the factors of age (< = 60 or
- >60 years) and tinnitus severity (TFI <=50 or >50). Block randomization, with blocks
- 3 of four, were applied to ensure equal groups sizes within each stratum. Following
- 4 allocation, participants were informed when their treatment would commence by the
- 5 principle investigator, but not which group they had been assigned to. Due to the trial
- 6 design the investigator was not masked to the assignment of interventions.

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# Study Outcomes

- 9 The self-reported study outcomes were carefully selected to evaluate the efficacy of iCBT
- on both tinnitus distress and associated difficulties such as insomnia, concentration
- problems, hearing disability, sound sensitivity, anxiety, depression, and quality of life, as
- shown in Table 1. Outcomes were completed online at baseline  $(T_0)$ , posttreatment after the
- iCBT group completed their treatment and at follow-up  $(T_1)$  two months later after the
- 14 control group undertook the treatment  $(T_2)$ .

- 16 The TFI was selected as the main outcome measure, as it was designed to assess tinnitus
- severity and assess treatment responsiveness (Kamalski et al. 2010). As a secondary tinnitus
- measure, the screening version of the Tinnitus Handicap Inventory (THIs) was used, as
- scores are comparable (r=.90) with the full version of the THI (Newman et al. 2008). The

- 1 THIs was also used weekly during the active treatment phase to monitor levels of tinnitus
- 2 severity of time. Outcome measures were used with permission of the copyright holders,
- 3 and agreements were established for those that are not freely available to use, such as the
- 4 TFI and Insomnia Severity Index (ISI). Online administration was used throughout the trial,
- 5 as equivalent psychometric properties apply between computer and paper questionnaire
- 6 delivery, with high test-retest reliability and completion rate on the internet (Thoren et al.
- 7 2012). To improve attrition rates at follow-up, reminder emails were sent to encourage
- 8 participants to complete the questionnaires.

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## Study Intervention

- 11 The treatment was based on a self-help programme originally developed by Andersson and
- 12 Kaldo (2004). This content was redeveloped into an interactive e-learning version, to ensure
- it was visually stimulating, engaging and responsive to both computer and mobile devices
- 14 (Beukes et al. 2016a). The web-based treatment platform used was designed in-house at
- Linköping University, Sweden, complying with a high level of data security and encrypted
- 16 communications (Vlaescu et al. 2015). The treatment ran over an eight-week period, during
- which 2-3 modules were released on a weekly basis. These included key CBT techniques
- such as an applied relaxation programme, thought analysis, cognitive restructuring, imagery
- 19 and exposure techniques. Audiological principles found to be effective for tinnitus such as

- sound enrichment, hearing tactics and advice for sound sensitivity were also included. The
- 2 treatment was tailored so that participants could select from additional option modules
- 3 including concentration tips and sleep management, if they were having difficulties in the
- 4 areas covered by these modules. If initial baseline scores were significant in areas covered
- 5 by these optional modules, the clinician recommended going through these modules. CBT
- 6 principles such as goal setting, a clear structure, active participation, relapse prevention and
- 7 setting a time-frame for the therapy were incorporated (Beck, 2011). Each module
- 8 accommodated a variety of learning styles by including written information, diagrams,
- 9 pictures, videos, frequently asked questions, step-by-step guides, quizzes, worksheets to
- 10 keep track of progress and suggested techniques to apply in daily life. Each module could
- be read online, downloaded to read offline or printed. A key element was a secure
- messaging system, enabling participants to ask questions and allow the therapist to provide
- 13 feedback.

15 Therapist

- As this was a guided intervention, participants had access to an Audiologist throughout the
- programme. The therapist's role was to conduct the telephone interviews, introduce weekly
- modules, provide feedback, answer queries, provide guidance, support and encourage
- 19 engagement. To maintain consistency with the standard approach of tinnitus therapy being

- delivered within the audiology community in the UK, an experienced Audiological
- 2 Scientist, registered with the Health and Care Professions Council (HCPC), and
- 3 appropriately trained to Masters Level in Audiology, undertook the role of supporting the
- 4 participants. The therapist was experienced in managing tinnitus patients both in a clinical
- 5 setting and online and had a suitable understanding of CBT principles. Feedback was
- 6 provided using an encrypted messaging system within the intervention and by telephone
- 7 when required.

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### Data Analysis

- 10 The Statistical Package for Social Sciences (SPSS) version 23.0 was used and the data
- analyst was masked to the groups, to minimise bias. An intention-to-treat paradigm was
- used, as this analysis is less susceptible to bias than complete case analysis techniques.
- 13 Missing follow-up data were analyzed using Little's missing completely at random test
- 14 (Little, 1988).

- For all analyses, a two-tailed significance level of < 0.05 was considered statistically
- significant. One-way analysis of covariance (ANCOVA) was conducted for this study, to
- support analysis of pooled imputed data and controls for the effect of covariates that may
- affect the outcomes (Vickers & Altman, 2001). The independent variable, baseline TFI

- scores as well as age were included as covariates for the various dependent variables.
- 2 Effect sizes (Cohen's d) were calculated by dividing the mean differences by the pooled
- 3 standard deviations.

- 5 One way repeated measures ANOVAs were used to determine the effects of the intervention
- 6 over time for within-subject variables. Chi-Squared tests were used to evaluate the
- 7 relationship between categorical variables. The Wilcoxon-Mann-Whitney test was used to
- 8 determine if there was a difference between groups when non-parametric data were
- 9 analyzed.

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- Partial correlations were performed, to determine the relationship between posttreatment
- scores while controlling the effects of additional variables. The reliable change index (RCI;
- Jacobson & Truax, 1991) was used as a means of calculating clinical significance for the
- 14 TFI. This was calculated using the pretreatment standard deviation, and a test-retest
- reliability coefficient of 0.78, as reported in the validation study (Meikle et al. 2012). The
- internal data monitoring committee had access to the data and ensured correct interpretation
- 17 and analysis thereof.

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19 RESULTS

## Participant Characteristics

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- 2 There were 244 people registered on the study waiting list, which had been activated two months prior to the study starting. Of these 169 completed the screening questionnaire, 3 within two weeks of the study commencing. Not all persons interested in the study met the 4 5 inclusion criteria, in most instances due to their TFI score being below 26. A total of 146 participants were eligible for the study and were randomly assigned to the iCBT (n=73)6 and WCI groups (n = 73) as shown in the CONSORT diagram (Fig. 1). Baseline 7 8 demographical and clinical characteristics of the participants are shown in Table 2. This 9 demographic profile demonstrated that the groups were well matched, with more male participants overall and an average age of 55.6 years (SD 12.9). A range of participants with 10 different educational and employment backgrounds, as well as varying tinnitus experiences 11 12 were drawn to the study. ANCOVAs revealed that there were no significant differences in
- Square tests indicated that there were also no baseline differences between demographical variables between the two groups regarding gender, age, education, employment or tinnitus

clinical variables for any of the outcome measurement between the two groups and Chi-

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## Attrition and missing data

duration variables.

- 1 Missing data analysis indicated that data were missing 'completely at random'  $[\chi 2(55)]$  =
- 2 42.4, p = 0.89], demonstrating that there was no relationship between missing and
- 3 observed data. Missing data were imputed through the multiple imputation procedure
- 4 offered by SPSS using Markov Chain Monte Carlo method using five imputation runs.
- 5 These results were compared with those obtained with a per-protocol analysis. As there
- 6 were no difference, the intention-to-treat results are reported. Pooled results are discussed
- 7 where available, otherwise, the first imputed set of results are reported.

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- 9 Completion rates for the postintervention and follow-up questionnaires are seen in Table 3.
- Significant between-group difference were found in completion rates at postintervention
- 11 (T1; after the iCBT group completed treatment, prior to the WCI group commencing
- treatment). More participants from the WCI group completed the questionnaire compared
- with the iCBT group. In contrast, there was no significant differences in completion rates at
- the follow-up assessment (T2) after both groups received the treatment.

When comparing baseline demographical characteristic of age, gender, employment status

and level of education and clinical characteristics from the baseline measurements, there

- were no significant differences between completers and non-completers. No harms or
- 2 unintended effects evident from any participants.

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- Analysis of efficacy of iCBT versus weekly monitoring
- 5 Descriptive statistics based on imputed data for all outcome measures together with the
- 6 level of significance and effect size are shown in Table 4. When performing ANCOVAs on
- 7 all outcome measures the assumptions that the covariate did not differ between the two
- 8 groups as well as the assumption of homogeneity between the groups and independent
- 9 variables was met.

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- When comparing posttreatment scores between groups, there was a significant effect after
- 12 controlling for age and pretreatment scores for all outcome measure except the HHIA-S.
- 13 After completing the iCBT treatment, significant improvements were evident for tinnitus
- distress and associated problems, when compared with that of the WCI group who had not
- undergone the intervention. These findings were supported by medium between-group effect
- sizes for both tinnitus questionnaires and the ISI. Small effect sizes were seen for anxiety,
- depression, SWLS, Hyperacusis and CFQ questionnaires.

- 1 For the main outcome measure, there was a mean difference of 21.12 in the prepost scores
- 2 for the TFI. The RCI indicated that a change of 23.34 in the TFI score was required
- 3 postintervention to be considered clinically significant. For those completing the
- 4 intervention, this was reached by 50.79% of participants (n = 32/63) of the experimental
- 5 group posttreatment. There were 41.27% (26/63) participants with postintervention TFI
- 6 scores below the level of requiring intervention (<26) and who had a reliable change of
- 7 23.34.
- 8
- 9 ANCOVA results indicated that there were no between-group differences once both groups
- had undergone iCBT, at the follow-up assessment, except for ISI [F(1,144)=4.34];
- p=0.04], with Cohen's d showing a small effect size of 0.36. This indicated that both
- groups showed equal levels of improvement once they had both undertaken the treatment,
- except for the ISI scores, which continued to show further improvements over time for the
- 14 group who had iCBT first.
- One way repeated measures indicated that there was a significant treatment effect for all
- outcome measures, including the HHIA-S for the WCI group after undergoing the
- intervention [F(1,72) = 11.44, p = 0.001]. The HHIA-S was not significant for the iCBT
- 18 group at posttreatment.

## Stability of treatment effects

- 2 One way repeated measures indicated that there was no significant difference in the TFI
- 3 scores between the postassessment and follow-up assessment for the iCBT group, indicating
- 4 that treatment effects were maintained over a 2 month period as seen in Figure 2. Likewise,
- 5 improvements were maintained for secondary outcomes measures, as illustrated in Figure 3
- 6 for the anxiety and depression outcome measures. At the follow-up assessment, scores for
- 7 the ISI had further improved between posttreatment and the follow-up assessment.
- 8 [F(1,72)=31.17, p=0.0001].

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#### Weekly monitoring

- 11 The THI-S was used to monitor both groups during the first 8 weeks of the active treatment
- phase. No differences between these scores were found between the groups during the first
- three weeks of the intervention. For weeks 4-8 there were significant between-group
- differences, with the iCBT group indicating significantly lower levels of tinnitus severity
- 15 [e.g., week 8: F(1,145) = 24.56, p = 0.0001] as seen in Figure 4. This indicated that after
- undergoing the iCBT intervention for 4 weeks, tinnitus severity had decreased significantly
- 17 for the iCBT group.

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### Possible outcome predictors

- 1 Partial correlations were calculated to aid determining which preintervention factors may
- 2 indicate possible outcome predictors for the favourable posttreatment TFI results. There was
- a significant positive correlation between pre- and postintervention TFI score [t(31) = .533]
- 4 p=0.001], whilst controlling for the effects of additional variables such as age, gender,
- 5 tinnitus duration, educational level, and employment status. A higher level of tinnitus
- 6 severity preintervention was considered a possible predictor of outcome. There were no
- 7 significant correlations found between the final TFI score and level of education,
- 8 employment status, and duration of having tinnitus, age or gender, whiles controlling for the
- 9 effects of additional variables.

#### 11 Discussion

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#### 12 Treatment effects

- 13 The aim of this randomised control trial was to evaluate the efficacy of guided iCBT for
- tinnitus in a UK population using Audiological support. Results show that iCBT led to
- significantly greater improvements in tinnitus distress, compared with a WCI group. This
- result is supported by medium between-group effect sizes and the finding of 50.79% of
- participants meeting the criteria for reliable change for the TFI. These results are
- comparable to the findings in the initial feasibility study, indicating a similar mean

- difference of 19.04 points (present trial 21.12 points) in the pre-post treatment TFI scores
- 2 and RCI of 23.96 (present trial 23.34).

- 4 Previous studies of iCBT have used varying tinnitus outcome measures such as the Tinnitus
- 5 Reactions Questionnaire (TRQ; Wilson et al. 1991), Tinnitus Handicap Inventory (Newman,
- 6 Jacobson, & Spitzer, 1996) or Tinnitus Questionnaire (TQ; Hiller et al. 1994) with various
- 7 study designs, thereby making direct comparisons difficult. The pooled effect size of
- 8 previous iCBT control studies (Andersson et al. 2002; Abbot et al. 2009; Hesser et al. 2012;
- 9 Nyenhuis et al. 2013; Jasper et al. 2014) was Hedges g = 0.58, although a later study by
- Weise et al. (2016) was not included. Weise et al. (2016) found an effect size of Hedge's
- g = 0.83 for tinnitus distress postintervention when using the THI. The medium effect size
- found of Hedge's g = 0.68 for the present study is, therefore, between the values of
- previous iCBT studies for tinnitus. This provides encouraging evidence that using an
- Audiologist to provide iCBT is an effective means of delivering iCBT. Internet-based
- studies for depression, anxiety and social phobia have also found comparable results,
- regardless of whether the therapist was a clinician or a technical assistant (Titov et al. 2009;
- 17 Robinson et al. 2010; Titov et al. 2010). It appears as though there are other factors
- unrelated to the therapist that affect intervention outcomes. These factors need further
- 19 exploration in future research studies.

- 2 In addition to iCBT reducing tinnitus distress, significant improvements were found for
- anxiety, depression, insomnia, cognitive failures, hyperacusis and satisfaction with life. For
- 4 the iCBT experimental group, there was no difference found in hearing handicap, however,
- 5 there were significant differences postintervention after the WCI control group completed
- 6 the treatment. Further trials will be required to fully investigate the intervention effects on
- 7 hearing handicap. This study has assessed those with tinnitus in a more holistic nature, as
- 8 previous studies have focused on sleep, anxiety, and depression. These studies have also
- 9 indicated that iCBT reduced these associated effects (Kaldo-Sandström et al. 2004; Kaldo et
- 10 al. 2008; Jasper et al. 2014; Weise et al. 2016).

12

#### Stability of treatment effects

- A further aim of the study was to examine the stability of treatment effects following iCBT.
- 14 It was encouraging that treatment effects were stable two months postintervention for both
- tinnitus and secondary effects. With regards to the ISI, further improvements were evident
- two months after the intervention was completed, indicating that over time quality of sleep
- 17 continued to improve. Determining longer-term effects of the intervention will be required
- to further monitor intervention outcomes.

- 1 When comparing participants on a weekly basis, it was found that once they were half way
- 2 through the intervention (i.e., week 4-8), those receiving treatment had significantly lower
- 3 tinnitus severity scores than those not undergoing the intervention. Communicating this with
- 4 prospective participants is important so that they do not expect immediate improvements.

6

### Outcome predictors

- 7 An important aim of this study is to determine for which patient profiles, iCBT may be a
- 8 suitable form of intervention. From this present study, the only predictor of outcome was
- 9 the baseline TFI score, with a higher initial baseline score indicating a greater drop in TFI
- score posttreatment. This is interesting, as it may be predicted that those with very severe
- tinnitus would need to be seen for face-to-face therapy instead, however, at present, the
- study suggest that a higher TFI score does not exclude participants from this form of
- treatment, in fact they may be the most suitable. Previous iCBT trials have not investigated
- the impact of tinnitus severity on outcomes. Finding related to severity for other Internet
- interventions have been mixed as higher baseline symptom levels was shown to led to better
- treatment adherence for depression prevention intervention (Calear et al. 2013), whilst lower
- severity of social anxiety disorder was associated with better post-treatment outcomes
- 18 (Nordgreen et al. 2011).

- 2 It may also have been predicted that certain age groups would have better outcomes as they
- 3 either have more time to do the intervention or have better computing skills. Again, this was
- 4 not found to be the case, as has been reported previously by Andersson et al. (2009). This
- 5 indicating that this intervention is applicable to most adults experiencing tinnitus.
- 6 Identifying moderators and mediators of outcome for iCBT requires further exploration.
- 7 Lindner et al. (2016) investigated whether greater cognitive flexibility (the ability to
- 8 simultaneously consider several concepts and tasks and switch effortlessly between them)
- 9 would result in greater treatment gains. Findings suggested that iCBT outcome was not
- influenced by cognitive flexibility. A high level of motivation and the ability to work
- independently may, however, be a factor (Macea et al. 2010), and should be further
- explored in subsequent studies.

13

- More males than females partook in this study, which is of interest as tinnitus annoyance is
- greater in women (Seydel et al. 2013). An internet-based intervention may be particularly
- appealing to men who may prefer the flexibility provided by iCBT. Furthermore, they may
- place more value on the ability to working independently.

18

19

## Study implications

- 1 Guided iCBT for tinnitus using Audiological support in the UK has shown to be effective at
- 2 reducing tinnitus distress and associated symptoms, especially in those with higher tinnitus
- 3 severity scores. Including guided iCBT as a treatment route to complement existing
- 4 treatment pathways, should be considered. This could have numerous advantages, such as
- 5 including access to CBT for tinnitus, which is not readily available in clinics (Hoare et al.
- 6 2015). Significant cost saving can be made, as fewer resources and less therapeutic time is
- 7 required for treatment via the Internet. Referrals can be streamlined to ensure that those in
- 8 most need of face-to-face interventions are seen in a timelier manner. For those who find
- 9 traveling difficult or have difficulty taking time off work for hospital appointments, an
- 10 Internet Intervention may be more convenient, flexible and accessible. Using Audiologists
- to guide participants also creates consistency between those receiving hospital-based and
- 12 Internet-based treatment.

14

#### Strengths and limitations of the study

- Guided iCBT for tinnitus using Audiological support in the UK has shown to be effective at
- reducing tinnitus distress and associated symptoms, especially in those with higher tinnitus
- severity scores. These effects remained stable two months postintervention.
- 18 This study has been of great value in indicating the efficacy of iCBT for those experiencing
- 19 tinnitus in the UK. It indicated that iCBT using Audiological support is a viable option to

- 1 reduce tinnitus severity. The use of sound methodological principles and holistic approach
- 2 to evaluate the effects of iCBT not only on tinnitus, but also other comorbid factors, adds
- 3 further value to this study.

- 5 Although attrition rates were lower than rates during the initial feasibility study, these can
- 6 be further improved. It was evident that those who had undergone the treatment did not
- 7 always see the need to complete the outcome questionnaires. Suggestions to reduce attrition
- 8 include more encouragement and motivation throughout the programme to participants.
- 9 Ensuring participants are aware that treatment results are generally not evident until at least
- 10 half of the treatment is completed, may be helpful in guiding expectation levels for future
- participants and consequently reduce attrition.

12

13

#### Future directions

- 14 This study has provided encouraging results regarding the efficacy of iCBT in the UK.
- 15 Further research is required to determine the longer-term effects of this intervention as well
- as participant's experiences of this form of treatment. Further trials should focus on
- 17 comparing this intervention to standard clinical care for tinnitus in the UK. Determining
- moderators and mediators of outcome (Hesser et al. 2014) and which specific aspects of
- iCBT result in positive outcomes, need to be further explored.

2	CONCLUSIONS
3	In this trial iCBT has reduced both tinnitus severity and the effects of associated problems
4	for those with significant levels of tinnitus in the UK. Further research is required to
5	compare this intervention with standard clinical care for tinnitus in the UK. Determining the
6	longer-term outcomes and participant experiences of iCBT is also important.
7	
8	
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13	
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- 13
- 14 List of figure legends
- 15 **Figure 1:** The CONSORT study profile
- 16 **Figure 2:** Change in tinnitus outcome measures over time
- 17 Figure 3: Change in Anxiety and Depression Outcome Measures over time

1 **Figure 4:** Change in THIs scores during the active treatment phase

2

- 3 List of table legends
- 4 Table 1. Primary and secondary outcome measures used
- 5 **Table 2:** Demographics characteristics of the participants
- 6 **Table 3**: Completion rates for postintervention and follow-up outcome measures
- 7 **Table 4:** Mean changes and statistical analysis of outcome measures over time

8

- 9 Author's contributions
- 10 All authors conceived and designed this study. GA developed the Swedish original iCBT
- intervention for tinnitus together with Viktor Kaldo, EB developed this version for a UK
- population, carried out the study, and analyzed the data. The manuscript was drafted by EB
- and critically revised and approved by all authors.
- 14 **Previous presentations:** none declared