

# 1 REVIEW

## 3 Plant Phenomics, From Sensors to Knowledge

4 François Tardieu<sup>1\*</sup>, Llorenç Cabrera-Bosquet<sup>1</sup>, Tony Pridmore<sup>2</sup> and Malcolm Bennett<sup>3\*</sup>

5  
6 **Major improvements in crop yield are needed to keep pace with population**  
7 **growth and climate change. While plant breeding efforts have greatly benefited**  
8 **from advances in genomics, profiling the crop phenome (i.e., the structure and**  
9 **function of plants) associated with allelic variants and environments remains a**  
10 **major technical bottleneck. Here, we review the conceptual and technical**  
11 **challenges facing plant phenomics. We first discuss how, given plants' high levels**  
12 **of morphological plasticity, crop phenomics presents distinct challenges**  
13 **compared with studies in animals. Next, we present strategies for multi-scale**  
14 **phenomics, and describe how major improvements in imaging, sensor**  
15 **technologies and data analysis are now making high-throughput root, shoot,**  
16 **whole-plant and canopy phenomic studies possible. We then suggest that**  
17 **research in this area is entering a new stage of development, in which phenomic**  
18 **pipelines can help researchers transform large numbers of images and sensor**  
19 **data into knowledge, necessitating novel methods of data handling and**  
20 **modelling. Collectively, these innovations are helping drive the selection of the**  
21 **next generation of crops more sustainable and resilient to climate change, and**  
22 **whose benefits promise to scale from physiology to breeding and to deliver real**  
23 **world impact for ongoing global food security efforts.**

### 25 Introduction

26 Genetic improvement of crop resilience to abiotic stresses and new pests arising from climate  
27 change is imperative to ensure future food security [1,2]. The increasing use of gene editing  
28 [3,4] and continued exploitation of natural genetic variability [5,6] provide invaluable  
29 opportunities for generating novel alleles and selecting natural sources of genetic variation  
30 for crop improvement [2]. This requires analysis of hundreds of lines grown under diverse  
31 environmental scenarios. While genotyping has reached this throughput at a relatively low  
32 cost through advances in DNA marker assays and sequencing technologies [7], equivalent

33 improvements to generate high-throughput and valuable phenotypic information are urgently  
34 needed [8]. This is the object of the field of **(au:ok?)**plant phenomics, which we define here  
35 as the suite of tools and methods used for three major goals **(au:ok?)** — capturing  
36 information on structure, function and performance of large numbers of plants, together with  
37 their environment; analysing, organizing and storing the resulting datasets; and developing  
38 models able to disentangle and simulate plant behaviour in a range of scenarios.

39 Over the past decade, plant phenomics has made impressive progress, developing novel  
40 sensors and imaging techniques for a wide range of traits, organs and situations [8–10].  
41 However, data handling and processing remain major challenges when translating sensor  
42 information into knowledge. This Review focuses on that translation. We first discuss the  
43 reasons why the challenges differ between plant and animal phenomics, which are largely  
44 due to the strong interaction between environmental conditions and plant structure, function  
45 and metabolism. We suggest the need for a multi-scale strategy that links physiological  
46 mechanisms with plant performance across genotypes and environments from the molecular  
47 to field scales, based on a series of novel approaches and techniques. We then discuss the  
48 challenges of studying these processes in the naturally fluctuating conditions in the field  
49 *versus* controlled environment conditions [11–14]. Finally, we suggest that phenomics is  
50 entering a new stage of development, necessitating novel methods for data handling,  
51 statistical approaches and modelling to connect and interpret the knowledge generated at  
52 different scales.

### 53 **One Genotype, Many Phenotypes in Plants**

54 Plant phenomics does not consist of solely associating a genotype to one phenotype in a  
55 given condition (e.g., in a controlled environment), but rather in characterizing the plasticity  
56 of the plant phenome when exposed to a range of environmental conditions. In contrast to  
57 most animals, which essentially retain the same structure regardless of their environment, a  
58 plant can form very different architectures depending on environmental conditions. For  
59 example, the same variety of *Arabidopsis thaliana* can exhibit a large 30-leaf plant or a small  
60 8-leaf plant, after being exposed to either short or long day conditions, respectively (Figure  
61 1A,B) [15]. Similarly, water deficit, nitrogen deprivation and low light have major effects on  
62 the number and size of plant organs (Figure 1B,C). As a consequence, plant phenomics  
63 research dedicates a large amount of effort to the study of variation in organism structure,  
64 whereas animal phenomics essentially focuses on metabolism.

65 Plant water status, temperature, fluxes or growth rate vary within minutes. Indeed, unlike  
66 mammals and birds, plants are not homeostatic for temperature and water under rapidly

67 fluctuating environmental conditions. Plants transpire 50–200% of their own weight daily (vs.  
68 1–2% for humans) [16], while their temperature follows their energy balance, resulting in  
69 rapid variations [17]. During a summer day, a plant can be at 11°C with a favorable water  
70 status in the early morning, but then experience 36°C and suffer severe water stress six  
71 hours later (Figure 1E), triggering spectacular changes in plant morphology (Figure 1D–F).  
72 Displacement transducers reveal that, under these conditions, plants exhibit rapid  
73 fluctuations in growth [18]. Leaf elongation can occur at a rate of 4 mm per hour at dawn  
74 *versus* 0 at 2pm [18]. Hence, although some degree of homeostasis exists at the cellular  
75 level [19,20], this is not the case at the organism level. Many molecular events occur during  
76 transitions between different environmental conditions [21], so phenomic analysis of non-  
77 stable states is essential. The low degree of homeostasis in plants also results in large  
78 functional consequences of the spatial variability of conditions a plant is exposed to [17]. For  
79 example, root system architecture exhibits large spatial variation reflecting local adaptation  
80 to highly heterogeneous soil water content [22,23]. Hence, the analysis of phenotypic  
81 datasets needs consistent time course information on environmental conditions as sensed by  
82 plants and organs, together with growth and physiology-related processes.

83 Because there is no central 'orchestrator' organ (**au:ok?**) in plants [24], the control of most  
84 functions relies on feedback loops involving different organs that exchange information  
85 through, for example, hormonal or hydraulic messages [25–27]. Such exchanges of  
86 information operate at short-term scales at the cell or organ levels, and translate into long-  
87 term plant or canopy behaviours through whole-plant mechanisms that are highly non-linear.  
88 Hence, plant phenomics requires analyses at spatial scales ranging from single cell to  
89 canopy, and temporal scales ranging from minutes (for metabolism and hydraulics) to  
90 months (for yield) (Table1). Modelling is, therefore, an intrinsic part of plant phenomics,  
91 aimed at connecting these scales. Indeed, while non-intuitive, feedback mechanisms are  
92 predictable using mathematical models [28].

93

## 94 **Analysing the Plant Phenome Across Spatial and Temporal Scales**

95 Given the issues raised above, plant phenomics needs to capture and interpret a multi-  
96 dimensional matrix of functional and architectural variables measured at different scales  
97 (organ, plant, canopy), developmental stages and environmental scenarios. To address this  
98 inherent complexity, researchers have developed three categories of phenotyping platforms  
99 that have distinct objectives and employ different approaches and methods (Table 1).

### 100 **High-Precision Platforms**

101 These platforms operate at the organ level, most often over short time scales (Table 1).  
102 They aim at the identification of physiological mechanisms allowing plants to respond to  
103 changes in environmental conditions, leading to the elucidation of their genetic control.

104 Profiling organ growth and architecture is used in high-precision platforms to uncover  
105 adaptive mechanisms associated with environmental signals. For example, X-Ray micro  
106 computed tomography ( $\mu$ CT; Figure 2A–D) of roots growing in soil macropores revealed the  
107 importance of direct contact with soil water to determine where new lateral root branches  
108 are positioned (Figure 2E) [23]. This translates into improved water and nutrient uptake  
109 (Figure 2F). Similarly, time-lapse 3-D imaging of leaves combined with computational  
110 modelling allows identification of where and when tissue expansion and cell division occur  
111 [29]. In the case of leaves or sepals, this approach has revealed how new buds with few  
112 cells result in reproducible shapes through feedback between patterns of oriented growth  
113 and tissue deformation (Figure 3A) [30,31]. Analyzing leaf elongation rate of maize plants  
114 with displacement transducers at high temporal resolution (i.e., minutes) in contrasting and  
115 fluctuating conditions allowed identification of a novel mechanism of drought adaptation.  
116 This mechanism involves regulatory interactions between circadian control of plant hydraulic  
117 properties, daily time course of evaporative demand and hydraulic properties of the  
118 rhizosphere (i.e., roots and the adjacent soil) [32].

119 The composition of plant tissues and the fluxes of substances (**au:ok?**) through organs can  
120 be characterized in 'omics-based' platforms for thousands of plants [33]. For instance  
121 Ionomics employs ICP-MS to perform elemental profiling [34]. This has allowed identification  
122 of *Arabidopsis* mutants whose leaves have altered elemental composition. Many of these  
123 mutants were later shown to be defective for an impermeable barrier in roots, termed the  
124 Casparian strip, that regulates loading of elements into vascular tissues [35]. Metabolomic-  
125 based methods profile the compounds involved in major metabolic pathways. This approach  
126 has helped discover how different genotypes cope with environmental cues, uncovering the  
127 dialogue between the circadian clock and changes in light availability that allow plants to  
128 optimize the use of starch reserves [36]. Fluxomics (i.e., *in situ* imaging of the concentration  
129 and fluxes of elements [37]) have provided important insights about where, when and how  
130 water and nutrients are transferred in the plant [38]. For example, MRI-PET-based imaging  
131 has enabled researchers to map carbon flow from leaves to individual roots [39]. Imaging-  
132 based phenomic approaches can also be employed for cell-scale profiling studies. For  
133 example, eGFP- and FRET-based sensors have proved highly effective for monitoring the

134 spatio-temporal dynamics of hormones and elements like zinc in *Arabidopsis* root cells [40–  
135 42] (Figure 3D) and uncover new mechanistic insights into their homeostatic regulation.

136 The examples above highlight how high-precision platforms are effective for discovering new  
137 physiological mechanisms, but also for upscaling them from organ to plant level.  
138 Nevertheless, at their current stage of development, these platforms cannot analyse the  
139 many thousands of plants needed to perform genetic studies across a range of environments  
140 over a whole life-cycle timescale. Hence, they are not directly relevant for upscaling  
141 mechanisms to predict important traits such as yield (Table 1).

### 142 ***Field Multi-Environment Networks***

143 At the other extreme of plant phenomics, 'field multi-environment networks' (Table 1) are  
144 series of field experiments distributed in a geographical region, aimed at uncovering the  
145 genetics of yield stability. They probe the genetic control of plant performance in a range of  
146 environmental scenarios, without pre-conceived reference to a particular mechanism.

147 The yield of a given genotype often differs between field sites, as does the ranking of  
148 genotypes (genotype by environment interactions; GxE) [43,44]. Indeed, the relationship  
149 between yield and environmental conditions results from trade-offs between mechanisms  
150 that have distinct optima [45]. The relationship between genotype and phenotype therefore  
151 needs to be analysed in clusters of microclimatic conditions referred to hereafter as  
152 environmental scenarios [46,47]. For example, a network of 29 field experiments across  
153 Europe was used to grow a maize diversity panel and identify genomic regions associated  
154 with yield (quantitative trait loci, QTLs) under heat or water stresses [48]. Nearly all QTLs  
155 had conditional effects, positive, negative or null, depending on environmental scenarios. For  
156 instance, an allele at one QTL that controls the biosynthesis of the stress hormone abscisic  
157 acid (ABA) was favourable in drought but detrimental in well-watered situations. Hence, a  
158 large number (typically 20–40) of experiments needs to be conducted under diverse  
159 environmental conditions to explore such allelic effects. Genomic selection (GS) extends the  
160 former approach to establish predictions of the best combinations of alleles for yield [49]. GS  
161 requires phenotyping of hundred/thousands of genotypes (the 'training population'), in some  
162 cases, with the effects of environmental conditions [50]. The best combinations of alleles are  
163 then used to select, *in silico*, tens of thousands of plants, thereby avoiding direct  
164 phenotyping of these plants [50].

### 165 ***Whole-Plant, Multi-Environment Platforms***

166 Given the complex interactions between QTL and environment and between QTLs [51], the  
167 interpretation of results generated by networks of field experiments is most often  
168 challenging, making it difficult to relate gene alleles with physiological mechanisms. To  
169 achieve this goal, a third category of plant phenomic platforms, 'whole-plant, multi-  
170 environment platforms', has been developed. These platforms are highly instrumented  
171 greenhouses or fields allowing one to follow and dissect variables such as the growth or  
172 transpiration of thousands of plants or small canopies, thereby allowing their genetic analysis  
173 (Table 1).

174 Highly automated platforms in greenhouses enable researchers to perform 4-D  
175 characterisation of the architecture of shoot, root or canopy systems of hundreds of  
176 genotypes (Figure 3B,E; Figure 4A). They allow genetic analyses of traits such as shoot  
177 topology, angles, branching and growth rate as a function of environmental conditions [52–  
178 56]. More elaborate traits such as the utilisation efficiency of water, light or nutrients can be  
179 calculated from these data using functional/structural plant models (Figure 2F; Figure 4J)  
180 [57–59]. This is illustrated in Figure 4, in which 4-D imaging of whole plants and the  
181 mapping of incident light in a greenhouse makes it possible to disentangle the biomass  
182 accumulation of 1000s of plants into well-defined processes, such as the amount of light  
183 intercepted by each plant (a function of leaf area and geometry) and the photosynthetic  
184 ability of each plant [58]. Crop models can then be used to connect the genetic variability of  
185 these processes to yield [60].

186 High-throughput field phenotyping has progressed rapidly in the last five years, based on the  
187 use of multi spectral 4-D analyses with sensors mounted on mobile systems such as gantries  
188 [61,62], ground vehicles or drones [63,64] (Figure 3C,F). They offer the possibility of  
189 estimating the genetic variability of yield, biomass accumulation and underlying processes in  
190 a variety of environmental scenarios. For example, canopy temperature provides a proxy for  
191 genetic differences in transpiration, which is often due to variation in root system  
192 architecture [63,64] (Figure 2F).

193

### 194 ***Cross-Scale Meta Analyses***

195 Currently, joint analyses of field experiments have been performed across years and sites  
196 [43,65]. While cross-scale approaches are also beginning to appear [66], they need to be  
197 developed further.

198 No single plant phenomic platform can analyse every scale, throughput or environment. For  
199 example, it would be misleading to measure yield in greenhouse experiments, as the amount  
200 and spectrum of light available to plants in a greenhouse and the distribution of roots in the  
201 soil in pots would make any attempt irrelevant [11]. Reciprocally, phenotyping of thousands  
202 of varieties in tens of field experiments is not compatible with costly and labour-intensive  
203 methods. A combination of approaches is therefore necessary, which we term 'cross scale  
204 meta-analyses'. For instance, the plasticity of yield can be analysed in 'field multi-  
205 environment networks'. The underlying genetic variability of trait adaptation can then be  
206 analysed in 'whole-plant, multi environment' platforms for the same panels of genotypes,  
207 thereby associating QTLs affecting yield in specific environments to allelic variations of traits.  
208 The resulting alleles can be tested for their effects on mechanisms of plant adaptation in  
209 'high precision' platforms [67]. Such meta analyses are particularly vital in the case of root  
210 studies, in which the root architecture or growth can only be analysed in 'high precision' or  
211 'whole plant multi environment platforms', whereas only consequences can be observed in  
212 the field, for instance through differences in canopy temperature (Figure 3D–F).

### 213 **Employing Trans-Scale Analyses to Link Sensors with Knowledge**

214 Cross-scale meta analyses, as defined above, require consistent methods for recovering data  
215 across all platforms, time scales and levels of plant organization (Table 1; Figure 3). We  
216 discuss below the major challenges researchers face to achieve this ambitious, yet essential,  
217 next step in plant phenomic research.

#### 218 ***Environmental Characterization, Sensor Networks***

219 In our own experience, the analysis of datasets originating from different experiments and  
220 groups faces a lack of consistent environmental information, which makes it impossible to  
221 analyse and model the differences in plant behaviour between experiments. To that end,  
222 several research consortia have proposed 'minimum environmental datasets' with the  
223 necessary environmental variables and protocols for data analysis and modelling at any scale  
224 [68,69]. Furthermore, a full environmental characterisation is now being facilitated by rapid  
225 progress in sensor technology. Cost-effective sensors can now be placed in wireless  
226 networks to characterize the micro-environment of many organs in a plant and many plants  
227 in a canopy (Figure 5, arrows 1 and 2). This progressively applies to the characterization of  
228 the soil environment by combination of soil sensors with modelling [70]. This local  
229 information can be scaled up at whole-platform, field or regional levels using local, UAV or  
230 satellite imaging, respectively. This allows efficient mapping of environmental variables,

231 thereby characterizing and capturing the effects of the spatial and temporal variation of  
232 growth conditions sensed by individual plants or fields (Table 1).

### 233 ***Consistent Analysis of Images and Time Series.***

234 Imaging systems have progressed exponentially in recent years, with a variety of non-  
235 invasive and information-rich techniques (e.g., laser microscopy and rangefinders, X-ray  
236  $\mu$ CT, multi- and hyper-spectral cameras, isotope tracing methods). These techniques have  
237 recently been reviewed in detail [8,71] and can be used at a variety of scales to support the  
238 4-D functional analysis of root or shoot systems, and capture the structure and physiological  
239 status of plants (Figure 3). However, imaging devices and protocols perform photography,  
240 not phenotyping: traits need to be recovered from raw image data via image analysis (Figure  
241 5, arrows 1 and 2). We discuss some of the key issues and solutions below.

242 Many software tools dedicated to image analysis of shoots [72], roots [73,74], canopies  
243 [75], leaves [76], seeds [77] and fruit [78] have been developed in recent years. An  
244 increasing number of these tools offer realistic and non-invasive 3-D reconstructions of plant  
245 organs [79], based on the combination of multi-view stereo [80] and modelling [81,82], or  
246 use laser-scanning systems [83,84], time-of-flight sensors [85,86], X-ray [74,87] or magnetic  
247 resonance imaging [88]. Because plants are structurally complex and highly variable, a given  
248 set of sensor or camera viewpoints at fixed positions cannot provide all the data needed to  
249 reconstruct a complete 3-D model of a plant or a canopy. Partial descriptions recovered from  
250 an initial set of camera views can be used, by solving a next-best-view problem, to guide a  
251 robot to acquire the data needed to complete the model. Indeed, robot-assisted imaging  
252 allows a loop to be established between image acquisition, analysis and *de novo* positioning  
253 of sensors at the most insightful places in plants [61,83,89]. This opens the way for a  
254 dialogue between models, sensors and imaging, enabling high-throughput, high-performance  
255 phenotyping of plants or canopies.

256 Interpretation of sensor or camera outputs requires the millions of raw data points to be  
257 organized into environmental or phenotypic time courses. This first requires the identification  
258 of dubious points due to sensor malfunction or computational errors, inevitable when  
259 thousands of sensors are involved, or when thousands of images are automatically  
260 processed. Such data cleaning can now be performed based on statistical or machine-  
261 learning methods for the large datasets originating from high-throughput platforms [90].

### 262 ***Data Analysis and Reproducibility Tests***



263 Making reproducible measurements of the same plants or accessions over time and across  
264 platforms requires standardized protocols, including camera calibration, careful selection of  
265 number and position of viewpoints and the time of day at which images are acquired. This  
266 was done with success in a multi-laboratory study using *Arabidopsis thaliana* accessions  
267 grown in controlled chambers [91], but requires further attention. A phenotyping platform  
268 might give different assessments of the same genotype at two different sites, either because  
269 of environmental changes or as the result of variations in the phenotyping process. Image  
270 analysis methods in particular need to be both understood [92] and evaluated by comparing  
271 their results with pre-obtained ground truth data [93], allowing identification of the limitation  
272 of each method [94].

273 The wealth of methods used in phenomics (Figures 3–4) raises the question of how to jointly  
274 analyse image and sensor outputs (Figure 5, arrows 3 and 4). Mixed model approaches have  
275 progressed rapidly, allowing genetic analysis of datasets involving different sources of  
276 information [95,96]. Novel developments allow identification of genotypic means of any  
277 variable, from omics to yield, which are isolated from the noise created by the spatial  
278 variability in field or platform experiments (Figures 3C,F; Figure 4E), the effect of  
279 experimental co-variables (e.g., site, or persons who performed experiments) and  
280 environmental variables [97]. These 'best linear unbiased estimates' (BLUEs) are then  
281 analysed individually or in multi-trait analyses [98].

### 282 ***Model-Assisted Phenotyping: Connecting Scales***

283 Models naturally partner with phenotyping (Figure 5, arrow 7). For example, dynamic models  
284 offer the possibility of scaling up the effects of a short-term mechanism at the organ scale,  
285 identified in 'high-precision platforms', to biomass accumulation after several time steps in  
286 'whole-plant, multi environment platforms', or to yield in field networks. Dynamic models are  
287 based on the discretization of a process into time steps (e.g., minutes or days). Calculations  
288 are iterative, with short-term effects taken into account at each time step (e.g., the effect of  
289 light on photosynthesis, with different effects between genotypes), and long-term effects  
290 emerging from feedback (e.g., the uptake of water or nutrients by the plant at a given time  
291 step reduces their availability for the next time step) [99]. Models have been used in plant  
292 phenomics in two ways [60].

293 Firstly, the dissection of a phenotype observed on a given day into the most likely set of  
294 mechanisms (model inference; Figure 5, arrows 5,7). For example, the biomass on a given  
295 day can be dissected into the amount of light received by the plant, multiplied by the  
296 proportion of light intercepted by plants every day, multiplied by the efficiency with which

297 intercepted light is converted into biomass (Figure 4). Similarly, leaf area can be analysed as  
298 the result of time courses of leaf growth over time, resulting from environmental conditions  
299 and intrinsic traits of the considered genotype [100].

300 Secondly, the prediction of a given phenotype from environmental conditions and  
301 hypothetical mechanisms observed in high-precision or whole-plant platforms' (Figure 5,  
302 arrow 7). Model prediction operates in the opposite direction compared with dissection, and  
303 serves as a test for the proposed mechanisms based on their ability to account for an  
304 observed phenotype. The set of mechanisms taken into account are written as equations  
305 which result in a phenotype after several time-steps [101].

306 Hence, modelling is an essential tool for phenomics because it helps to develop hypotheses  
307 allowing multi-scale interpretations of results obtained in the three types of phenotyping  
308 infrastructures presented in Table 1. Reciprocally, multi-scale phenomics represents a major  
309 challenge for modelling. Indeed, phenomic technology allows multiple traits that contribute  
310 to yield to be measured at high temporal resolution, providing a rich data set against which  
311 models can be tested [101]. This avoids compensation of errors associated with each trait  
312 underlying yield, a common feature of many current crop models that are parametrized  
313 based on yield only [102].

#### 314 ***Tracing and Storing All Steps from Data to Knowledge in Information Systems.***

315 Phenomic experiments are not directly reproducible because of the variability of  
316 environmental conditions. It is essential that any scientist, including those in 30 years, can  
317 re-use phenotypic data and reproduce the data-flows presented above to perform meta-  
318 analyses of the effects of alleles or mechanisms in a range of environmental conditions. This  
319 has led to the definition of new norms named FAIR (findable, accessible, interoperable and  
320 reusable) [103], primarily for tracing data, but also protocols, methods and workflows. They  
321 involve information systems capable of managing thousands of data points and images  
322 captured during an experiment, together with the necessary metadata, parameters and  
323 methods of data analysis (Figure 5). Such information systems serve three distinct purposes  
324 with different requirements [104–107].

325 The first purpose is for real-time management of the dataflow to optimise data quality. Real-  
326 time access to images, environmental conditions and metadata is required when managing  
327 the quality of an experiment, in particular for testing (typically every day) the validity of  
328 outputs. This may seem trivial in small-scale experiments but it is not when thousands of  
329 plants and hundreds of sensors are involved. Protocols [108,109] and management tools

330 [90] have been developed to visualize large volumes of temporal data in real-time, thereby  
331 allowing one to detect potentially incorrect sensors and to act accordingly.

332 Secondly, these information systems help organize datasets in such a way that they can be  
333 re-analysed by different groups. Data identification and annotation involves organizing  
334 outputs in such a way that a scientist not involved in the original experiments can trace the  
335 history of plants, re-analyse images with new methods of his/her own and *a posteriori*  
336 check the calibration of each sensor in case of inconsistencies, possibly years after that the  
337 experiment has been performed.

338

339 This requires protocols describing content and format of phenotypic information [110], and a  
340 formalised description of all involved objects (i.e., plants, organs, sensors, phenotyping  
341 facilities) using ontologies [111,112]. Such ontologies may seem un-necessary in simple  
342 experiments where unique correspondences exist between, for example, each plant and its  
343 position in a greenhouse. They become indispensable, however, when plants are  
344 transferred from one platform to another during an experiment for better multi-scale  
345 characterization. In the same way, sensors are replaced, so calibrations of devices located  
346 at a given position change with time. Keeping track of these changes requires open and  
347 extensible database schemas based on ontologies and semantics [111]. This also requires  
348 keeping track of all operations, including parameters, used in analyses that produce an  
349 elaborate result from raw data. Such scientific workflows are being developed [110],  
350 thereby allowing any user to perform the same analysis and obtain the same results as  
351 those published.

352

353 Finally, these systems help organise data to facilitate genetic analyses. Correspondence  
354 between phenotype and genotype requires connection of matrices of genotypic data,  
355 consisting of millions of marker data items or genomic sequences, with associated  
356 phenotypic data that synthesize time courses or spatial variation into single figures  
357 supporting the genetic analyses [113]. Because of the complexity of the information  
358 systems reviewed above, and of the need for high calculation power, this is performed in  
359 dedicated information systems that are physically distinct from those managing dataflow  
360 and object identification. Hence, maintaining consistency of information across multiple  
361 information systems will remain a major issue.

## 362 **The 'Big-Data' Challenge of Plant Phenomics**

363 Big data approaches can enhance phenotyping pipelines. Image analysis methods have  
364 typically employed fixed sequences of image processing and measurement processes,  
365 crafted by their designers to suit specific procedures. As a result, moving a given tool to a  
366 slightly different problem or environment often requires a near-complete rewrite of the  
367 software. Recently, deep machine-learning methods, and particularly convolutional neural  
368 networks (CNNs) have produced impressive results and been widely adopted in the computer  
369 vision community [114,115]. CNNs offer the potential to provide generic solutions to plant  
370 image analysis problems [116] and, rather than requiring tuning to their environment,  
371 benefit most from access to training data spanning multiple environments. This brings its  
372 own challenges — maximum benefit can only be gained from deep-learned tools if large-  
373 scale datasets (input images and required outputs) capturing shared problems are made  
374 available.

375 In addition, hundreds of experiments with thousands of accessions are carried out each year.  
376 The formalized meta-analysis of phenotypic data, allowed by the pipelines reviewed above, is  
377 critical to the pathway from sensors to knowledge, and would be a huge source of  
378 information if data were open, with all necessary meta-data and environmental conditions  
379 included [117]. Indeed, the discussion above suggests that the combination of datasets  
380 collected by distinct groups from different phenotyping platforms and fields could result in  
381 unprecedented information that may build up year after year. Recent papers present 'proofs  
382 of concept' of the meta-analysis of large datasets combining environmental and phenotypic  
383 data [118–120], and discuss their role in multi-environment quantitative genetics [121].

384 Finally, combining large-scale environmental characterization with data collected by farmers  
385 and advisors in the context of precision agriculture. The sensor networks that are appearing  
386 in farmer's fields, multi-layer maps of climate and soil characteristics and progress in remote  
387 sensing may soon provide the environmental data necessary to interpret the diversity of yield  
388 corresponding to each variety in each field. If large-scale collections of yield and  
389 environmental conditions in farmer's fields were organized, association genetics at the level  
390 of countries or continents would become possible. This type of approach is already  
391 operational in big-data analyses of, for example, human social media behaviour, and its  
392 adoption in phenomics is of interest to a range of stakeholders.

### 393 **Concluding Remarks**

394 Plant phenomics research faces a conceptual challenge. To date, researchers have focused  
395 on employing and/or developing novel sensors and imaging techniques [8–10]. However,

396 methodological advances in terms of data acquisition, handling and processing are becoming  
397 increasingly important. Indeed, the challenges of translating sensor information into  
398 knowledge have been grossly underestimated during the first years of plant phenomics  
399 research **(au:ok?)**. Facing this challenge involves taking into account the intimate  
400 interaction between environmental conditions and plant structure, functions and metabolism,  
401 which require environmental characterization to be part of all steps of phenotyping, from  
402 data collection to meta-analyses. It also requires the use of both dynamic and statistical  
403 models allowing multi-scale analyses across experiments and platforms, which are essential  
404 to deal with the plant peculiarities reviewed at the beginning of this paper. Finally, the most  
405 recent advances in information technology must be employed to face the big-data challenge  
406 associated with multi-image processing, of meta-analysis of heterogeneous data and of the  
407 deployment of phenomics beyond the strict world of research. For obvious budget issues, it  
408 will not be possible to monitor all temporal and organization scales in every environment, but  
409 we believe that the rapid progress in modelling and information systems will allow  
410 identification of adequate cocktails of equipment, methods and meta-analyses allowing  
411 optimization of resources.

412 Hence, we propose that phenomics has reached a stage at which the limiting step is the  
413 design of methods and approaches allowing one to take into account different temporal and  
414 spatial scales and perform meta-analyses for addressing the challenges of plant adaptation  
415 to changing environments and underpin secure food security efforts.

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418  
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431 Figure 1. Illustrations of phenotypic plasticity.

432 *Arabidopsis* plants under low evaporative demand with short (A) or long (B) day, or under  
433 high evaporative demand(C) [15]. Note the differences in leaf number and leaf size. (D,E)  
434 Maize plants in the morning and early afternoon and time courses of leaf temperature (T,  
435 from 11 to 36°C) and leaf water potential ( $\square$ , MPa) during the day. A leaf water potential of  
436 0 MPa means free water, whereas -1.5 MPa is close to lethal values in many species. In the  
437 lower panel of E, symbols are measurements, lines are an interpolation using a model. In  
438 (F), the change in canopy aspect is due to leaf rolling, a symptom of water stress. Panels  
439 (D), (F) kindly provided by C. Fournier, INRA LEPSE Montpellier France.

440 Figure 2. Plant root phenotyping pipeline using X-ray micro computed tomography ( $\mu$ CT).

441 (A)  $\mu$ CT scanning system to non-invasively image columns of soil-grown plants (ranging in  
442 resolution from 0.5 $\mu$ m–150 $\mu$ m). (B) Example 2-D cross-sectional image generated with  $\mu$ CT  
443 scanner showing root material (in red) and the heterogeneous structure of soil (soil and  
444 water in grey, air spaces in black). (C) Image analysis software [74] can be used to recover  
445 root system of maize from the  $\mu$ CT volume data after segmenting roots from thousands of 2-  
446 D image slices, (D) and quantify root system traits, (E) to discover new root responses to  
447 environmental signal, like how soil water distribution patterns the positioning of lateral root  
448 branches [23], and (F) parameterise models to simulate growth and foraging for natural  
449 resources by root systems.

450 Figure 3. Novel imaging techniques at organ scale with high-precision (HP) platforms, at  
451 plant scale with whole-plant multi environment platforms and at canopy scale.

452 (A) Heat map denoting areal rates of leaf growth using time-lapse imaging and computer  
453 modelling (red to green, rapid to slow growth) [30]. (B) 3-D representation of a maize plant  
454 from multiple images, at a throughput of 1000s plants/day. Colors indicate the amount of  
455 light received by each pixel of plant. (C) Multi-spectral (NDVI) image of a canopy;  
456 increasingly red colors represent increasing leaf area per unit m<sup>2</sup> of soil. (D) Image of an  
457 auxin biosensor in the *Arabidopsis* primary root obtained by confocal imaging [122]. (E)  
458 Whole-plant root system imaged in a rhizotron at throughput of 1000s plants/day. Inset,  
459 zoom on root nodules [53]. (F) Image of a canopy in the thermal infrared; increasingly red  
460 colors indicate lower transpiration rate, often linked to an unfavorable root system.  
461 Horizontal regions with distinct colors: (i) non-irrigated plot, (ii) irrigated plot. Note in (i) the  
462 superposition of spatial patterns with specific effects of genotypes in different plots. Panel B

463 kindly provided by C. Fournier, INRA LEPSE Montpellier France. Panels (C) and (F) kindly  
464 provided by F. Baret, INRA CAPTE Avignon France.

465 Figure 4. Light interception, photosynthesis and radiation use efficiency, from images to  
466 function. [58]

467 (A) Phenotyping platform (PhenoArch) where 1680 plants can be grown in controlled  
468 conditions of soil water status and temperature, imaged and assessed for transpiration rate.  
469 Sensors measure light, relative humidity and air and leaf temperature and transpiration. (B)  
470 Twelve images per plant are captured every day allowing 3-D reconstruction. (C) Time  
471 courses of leaf area and biovolume are calculated in real time. (D) Spatial distribution of  
472 incident light. Images are captured every  $m^2$  in the greenhouse, oriented to the vertical.  
473 Blue, sky; black, obstacles (lamps, beams, etc.). The path of sunbeams is modelled every  
474 day of the year (yellow line). This allows calculation of direct and diffuse light in every  
475 position of the greenhouse. (F) Virtual digital plants are placed at their positions in a virtual  
476 greenhouse. (G) This allows calculation of light interception by competing plants, in the  
477 whole greenhouse. (H) The above steps allow dissection of biomass accumulation into  
478 incident light on day  $i$  ( $PPFD_i$ ), the proportion of light intercepted by plants ( $\square_{\square}$ ) and radiation  
479 use efficiency ( $RUE_i$ , ratio of biomass production to intercepted light). (i) RUE is presented  
480 for three plants in (F), pink, green and black. Bars near the x and y axes represent the  
481 amounts of cumulated biomass and intercepted light, the slope of regression lines is RUE. (J)  
482 RUE closely correlates with photosynthesis rate in a series of genotypes denoted by different  
483 colors. Note that it would be impossible to directly measure gas exchanges for 1680 plants.

484 Figure 5. Flow chart of operations during phenotyping; roles of information systems and  
485 modelling.

486 The left panel represents steps from image/sensor to knowledge; the right panel represents  
487 the rationale for information systems at each step (green: tools). Red text represents  
488 questions at each step. Dark blue arrows and text: modelling tools. Purple arrows:  
489 connection between steps. (1) Transforming raw data into time courses for environmental  
490 data, fluxes, growth rates etc. (2) Image analysis to transform a series of images into a  
491 phenotype. (3) and (4) Data analysis with statistical and modelling tools, reproducibility. (5)  
492 Extraction of mechanisms or composite variables encapsulating the genotype x environment  
493 interaction, genetic analysis (6) association of yields to environmental scenarios, genetic  
494 analysis. (7) Prediction and inference of mechanisms vs scenario-dependent yields using  
495 models. (8) Theory, test using meta-analysis and/or new experiments.

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863 <sup>1</sup>INRA, Laboratoire d'Ecophysiologie des Plantes sous Stress Environnementaux, F34060,  
864 Montpellier, France; <sup>2</sup>School of Computer Science, University of Nottingham, NG8 1BB,  
865 UK; <sup>3</sup>Plant & Crop Sciences, School of Biosciences, University of Nottingham, LE12 3RD, UK.

866 \*E-mail: FT, francois.tardieu@inra.fr; MB; malcolm.bennett@nottingham.ac.uk

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869 **In Brief**

870 In this Review, Tardieu *et al.* discuss the techniques, challenges, and potential of the  
871 field of plant phenomics (au:ok?)

872

873 **Box 1**

874 **Glossary of Terms.**

875 **Convolutional neural nets (CNNs):** CNNs are a variant of traditional artificial neural  
876 networks (ANNs), machine-learning methods inspired by biological neuronal systems.  
877 Traditional neural nets take a pre-determined set of measurements or features as their  
878 input and learn to perform various tasks; classification is by far the most common. CNNs  
879 extend scope of ANNs, learning both how to achieve the task and what measurements  
880 are needed. CNNs operate over raw sensor data, and learn how to extract the necessary  
881 features.

882 **Genome-wide association studies (GWAS):** this consists in associating markers in  
883 the genome with phenotypes (omic, traits or yield) through a statistical analysis. The  
884 values of alleles at one genome position are associated with a quantitative increase or  
885 decrease of phenotypic values.

886 **Genotype x environment interaction (GxE) :** the ranking of a set of genotypes  
887 differs between experiments for every trait or yield. GxE can be extracted from a  
888 statistical model, or can be analysed in detail using regressions of the considered trait  
889 with environmental variables. GxE is therefore analysed through the variability of slopes  
890 of these regressions.

891 **Genomic selection (GS):** represents a novel approach to marker-assisted breeding where,  
892 rather than attempting to identify individual loci significantly associated with a trait, GS uses  
893 all marker data as predictors of performance to deliver more accurate predictions.

894

895 **Laser scanning systems:** A 3-D reconstruction method in which a known pattern of  
896 light (a line, grid or array of dots) is projected onto the target object by a laser light  
897 source. A camera, often fitted with a filter making the laser pattern easier to detect,  
898 views the reflected pattern. 3-D is recovered from differences in the projected and  
899 viewed patterns of light.

900 **Magnetic resonance imaging (MRI):** MRI is a 3-D imaging modality in which the  
901 target sample is placed in a strong magnetic field. Under these conditions some atomic

902 nuclei, particularly hydrogen nuclei, absorb and emit radio frequency energy. Pulses of  
903 radio waves excite the hydrogen atoms, which emit signals that are detected by nearby  
904 antenna. The magnetic field allows these signals to be localised, mapping hydrogen  
905 atoms and so water.

906 **Multi-view stereo:** a 3-D reconstruction technique in which multiple, usually colour,  
907 images are taken of a target object from different viewpoints. Features of interest are  
908 identified by independent analysis of each, individual image. These features are then  
909 matched between images — features are matched if they are considered to depict the  
910 same point on the target object. The cameras' viewpoints are obtained by calibration  
911 and the 3-D location of each object feature is recovered by triangulation.

912 **Phenotype:** here, we mean the profiling of the structures and functions associated with  
913 allelic variants, at the scales of cells (omic phenotyping), organs (main plant functions),  
914 whole plant (controls of these functions) and canopy (plant performance).

915 **Quantitative trait loci (QTL):** QTLs are regions of the genome containing one or  
916 more genes, associated to variation with a quantitative trait (phenotype). QTLs are  
917 identified by showing a statistical association between polymorphic markers and the  
918 measured phenotype.

919 **Unmanned airborne vehicle (UAV):** Helicopters, drones or small planes able to fly  
920 over a field experiment, carrying a diversity of sensors. Their trajectory is programmed  
921 using GPS.

922 **X-ray micro-computed tomography ( $\mu$ CT):** X-ray CT produces a 3-D image in which  
923 each element (voxel) contains a value proportional to the density of the imaged object.  
924 The target object is placed on a rotating stage inside the imaging device. An emitter  
925 projects X-rays through the rotating sample to a detector on the other side of the device.  
926 The detector records the X-ray energy passing through the object. Density can be  
927 estimated from the difference in projected and detected X-ray energy.

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935 Table 1. Phenotyping at different scales of organization

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Typical Phenotyping Platforms	High-resolution platforms (genetics, anatomy, organ)	Whole-plant, multi-environment platforms (field or controlled)	Field multi- environment networks
Level of plant organization	Organ	Plant or canopy	Canopies in a range of environments
Typical methods	Omics, 4D organ imaging, fluxes	4-D plant/ canopy imaging platforms, sensors	Yield, sensor network, remote sensing
Typical mechanism	Hydraulics, metabolism, signalling	Light interception, water transfer, whole-plant signaling	Trades off between processes
Ratio of biology/ other processes	[Blue bar]		
Relevance for yield prediction	[Blue bar]		
Methods for cross-scale communication	<p>← Gene editing, plant simulation →</p> <p>← GWAS, model-assisted dissection →</p>		

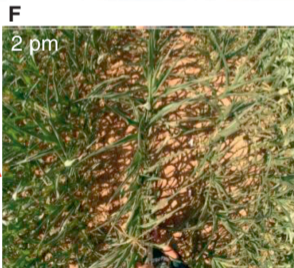
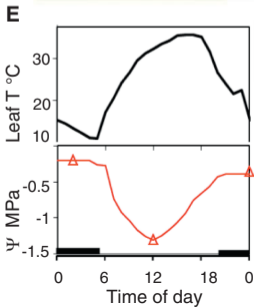
**A** Short day  
Low ev. demand



**B** Long day  
Low ev. demand

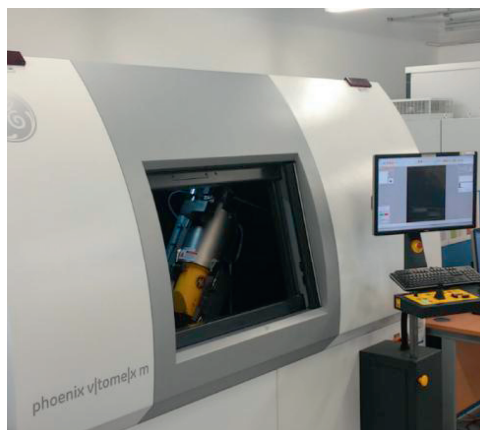


**C** Long day  
High ev. demand

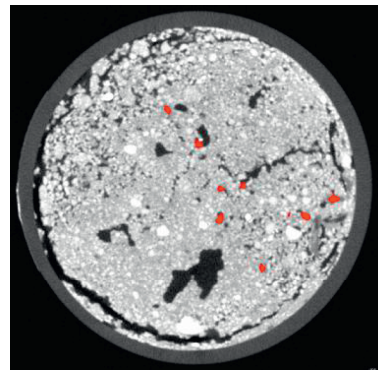


**A**

X-ray CT phenotyping platform

**B**

CT cross-section data

Roots in soil; 25 $\mu$ m resolution**C**

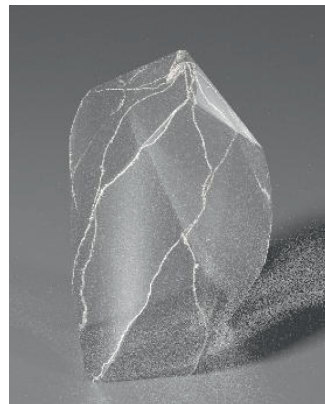
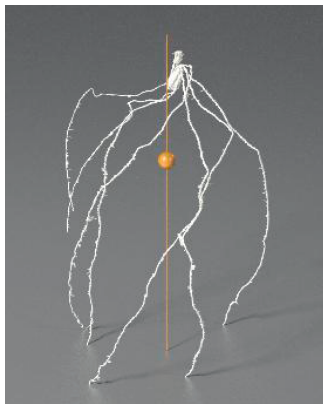
Root system recovery



Root system of maize

**D**

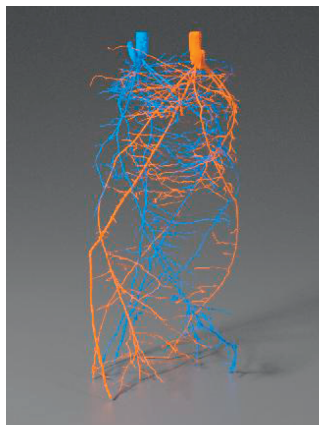
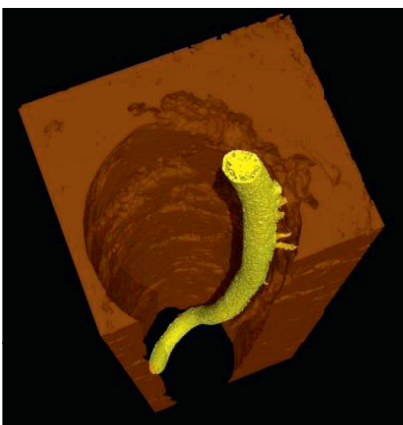
Quantification of root traits



Quantification of global and local root system traits for plant phenotyping

**E**

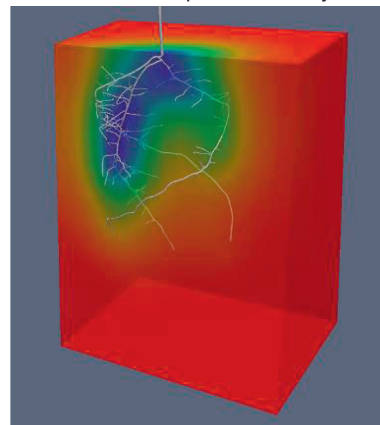
Response to environmental signals



Soil-root and root-root interaction

**F**

Simulate uptake efficiency



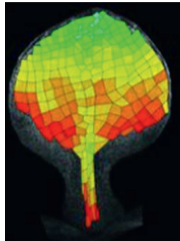
CT data embedded in a finite element mesh

HP organ

Whole plants

Canopy

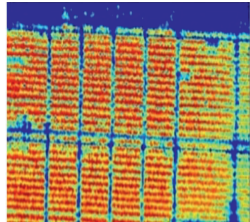
A

Leaf,  
leaf area

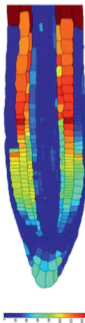
B



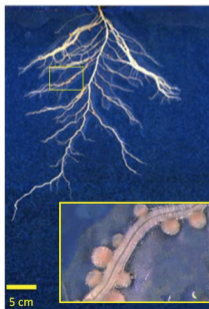
C



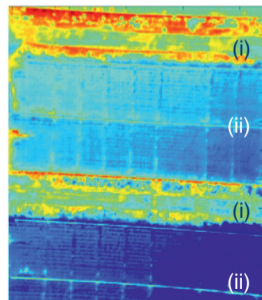
D

Roots,  
transpiration

E



F



A



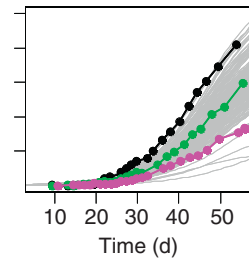
B

Environmental data (sensors)  
Transpiration rates  
12 images/plant



C

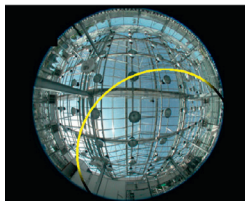
Leaf area/biovolume  
(1000s plants)



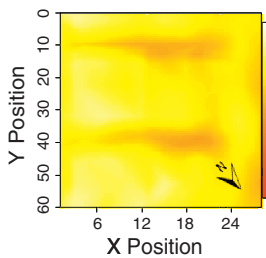
Spatial distribution of incident light

3-D plants and light interception

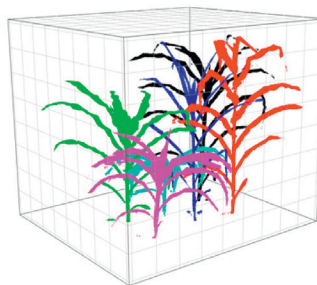
D



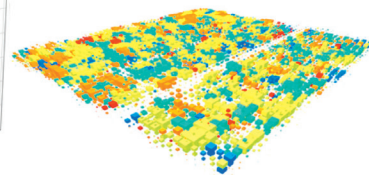
E



F



G



Modelling sunbeam trajectories

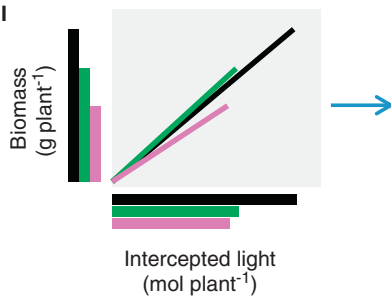
Functional-structural plant model,  
distribution in the greenhouse

From radiation-use efficiency to photosynthesis

H

$$\text{Biomass} = \sum_{i=1}^n \text{PPFD}_i \times \varepsilon_i \times \text{RUE}_i$$

I



J

