# Non-existence of natural states for Abelian Chern-Simons theory

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#### Abstract

We give an elementary proof that Abelian Chern-Simons theory, described as a functor from oriented surfaces to  $C^*$ -algebras, does not admit a natural state. Non-existence of natural states is thus not only a phenomenon of quantum field theories on Lorentzian manifolds, but also of topological quantum field theories formulated in the algebraic approach.

## 1 Introduction and summary

A locally covariant quantum field theory (LCQFT) [BFV03] is a functor  $\mathcal{A}: \mathsf{Loc} \to C^*\mathsf{Alg}$  from a category of (globally hyperbolic Lorentzian) spacetimes to the category of  $C^*$ -algebras satisfying suitable physical axioms. The  $C^*$ -algebra  $\mathcal{A}(M)$  assigned to a spacetime M is interpreted as the algebra of quantum observables which can be measured in M. The  $C^*$ -algebra homomorphism  $\mathcal{A}(f): \mathcal{A}(M) \to \mathcal{A}(M')$  assigned to a spacetime embedding  $f: M \to M'$  allows us to associate observables in larger spacetimes starting from observables in smaller ones.

For a quantum physical interpretation of a LCQFT  $\mathcal{A}: \mathsf{Loc} \to C^*\mathsf{Alg}$  it is necessary to choose for each spacetime M a state  $\omega_M: \mathcal{A}(M) \to \mathbb{C}$ , i.e. a positive, normalized and continuous linear functional. The GNS-representation then leads to the usual Hilbert space formulation of quantum physics. Motivated by the functorial structure of LCQFT, it seems natural to demand that the family of states  $\{\omega_M\}_{M\in\mathsf{Loc}}$  is compatible with the functor  $\mathcal{A}:\mathsf{Loc}\to C^*\mathsf{Alg}$  in the sense that

$$\omega_{M'} \circ \mathcal{A}(f) = \omega_M , \qquad (1.1)$$

for all Loc-morphisms  $f: M \to M'$ . Such compatible families of states are called *natural states* on  $\mathcal{A}: \mathsf{Loc} \to C^*\mathsf{Alg}$ .

Even though the idea of natural states is very beautiful and appealing, there are hard obstructions to the existence of natural states. Early arguments were already given by Brunetti, Fredenhagen and Verch in [BFV03]. Later, a no-go theorem on the existence of natural states (under some additional assumptions) has been proven by Fewster and Verch in [FV12]. This no-go theorem makes use of very particular properties of dynamical quantum field theories on Lorentzian spacetimes, e.g. the concept of relative Cauchy evolution.

As a consequence, it is not clear whether such no-go result holds true also for other classes of quantum field theories, such as topological ones, since these do not satisfy the hypotheses of [FV12]. In this short paper we address this question by considering Abelian Chern-Simons theory and proving that it does not admit a natural state. This result shows in particular that non-existence of natural states is not directly linked to quantum field theories on Lorentzian manifolds, as the proof of the no-go theorem in [FV12] may suggest. It is a more general and broader phenomenon which may also occur in other kinds of quantum field theories, including the class of topological quantum field theories.

The outline for the remainder of the paper is as follows: In Section 2 we define the Abelian Chern-Simons theory functor  $\mathcal{A}: \mathsf{Man}_2 \to C^*\mathsf{Alg}$  by adapting the construction [BDHS14] of Abelian Yang-Mills theory. This functor assigns  $C^*$ -algebras to 3-dimensional manifolds of the form  $\mathbb{R} \times \Sigma$ , where  $\Sigma$  is a 2-dimensional oriented manifold. In Section 3 we analyze the induced representation of the orientation preserving diffeomorphism group  $\mathsf{Diff}^+(\Sigma)$  on  $\mathcal{A}(\Sigma)$  and relate it to a representation of the mapping class group and, for compact  $\Sigma$ , also to a representation of a suitable discrete symplectic group. As a by-product, we show that there exists a  $\mathsf{Diff}^+(\Sigma)$ -invariant state on  $\mathcal{A}(\Sigma)$  for all compact  $\Sigma$ . Section 4 contains a proof of our main result that Abelian Chern-Simons theory does not admit a natural state.

## 2 Abelian Chern-Simons theory

Let M be a 3-dimensional manifold which is diffeomorphic to a product manifold  $\mathbb{R} \times \Sigma$ , where  $\Sigma$  is a 2-dimensional surface (possibly non-compact). Abelian Chern-Simons theory on M is characterized by the action functional

$$S_M = \frac{1}{2} \int_M A \wedge dA , \qquad (2.1)$$

where  $A \in \Omega^1(M)$  is the vector potential of a U(1)-connection. The Euler-Lagrange equation corresponding to (2.1) is given by  $\mathrm{d}A = 0$ , i.e. the solution space of Abelian Chern-Simons theory is the space of flat U(1)-connections  $\Omega^1_\mathrm{d}(M)$ . Taking the quotient by gauge transformations  $A \mapsto A + \frac{1}{2\pi i} \mathrm{d}\log(g)$ , where  $g \in C^\infty(M; U(1))$  is a circle-valued function, we obtain the gauge orbit space  $\Omega^1_\mathrm{d}(M)/\Omega^1_\mathbb{Z}(M)$ . Here  $\Omega^1_\mathbb{Z}(M)$  denotes the Abelian group of closed 1-forms with integral periods. By de Rham's theorem, this gauge orbit space is naturally isomorphic to the quotient  $H^1(M;\mathbb{R})/H^1(M;\mathbb{Z})$  of the first cohomology group with real coefficients with respect to the first cohomology group with integer coefficients. Using homotopy invariance of cohomology groups, we may contract away the real line  $\mathbb{R}$  of the product manifold  $M \simeq \mathbb{R} \times \Sigma$  and describe our gauge orbit space of interest from perspective of the surface  $\Sigma$ . We shall use the notation

$$\operatorname{Flat}_{U(1)}(\Sigma) := \frac{\Omega_{\operatorname{d}}^{1}(\Sigma)}{\Omega_{\mathbb{Z}}^{1}(\Sigma)} . \tag{2.2}$$

Let  $\mathsf{Man}_2$  be the category of 2-dimensional oriented manifolds (possibly non-compact) with morphisms given by orientation preserving open embeddings. Let  $\mathsf{Ab}$  be the category of Abelian groups. The gauge orbit spaces (2.2) can be described by a functor

$$\operatorname{Flat}_{U(1)}:\operatorname{\mathsf{Man}}_2^{\operatorname{op}}\longrightarrow\operatorname{\mathsf{Ab}}$$
, (2.3)

which assigns to a morphism  $f: \Sigma \to \Sigma'$  in  $\mathsf{Man}_2$  the corresponding pull-back of differential forms, i.e.

$$\operatorname{Flat}_{U(1)}(f) := f^* : \frac{\Omega_{\operatorname{d}}^1(\Sigma')}{\Omega_{\mathbb{Z}}^1(\Sigma')} \longrightarrow \frac{\Omega_{\operatorname{d}}^1(\Sigma)}{\Omega_{\mathbb{Z}}^1(\Sigma)} , \quad [A'] \longmapsto [f^*A'] . \tag{2.4}$$

As basic observables for Abelian Chern-Simons theory we shall take all group characters on  $\operatorname{Flat}_{U(1)}(\Sigma)$ , i.e. all group homomorphisms  $\operatorname{Flat}_{U(1)}(\Sigma) \to U(1)$  to the circle group. The character group has a convenient description in terms of compactly supported differential forms on  $\Sigma$ : Given any compactly supported 1-form  $\varphi \in \Omega^1_{\operatorname{c}}(\Sigma)$ , we define a group character on  $\Omega^1_{\operatorname{d}}(\Sigma)$  by setting

$$\Omega_{\rm d}^1(\Sigma) \longrightarrow U(1) \ , \ A \longmapsto \exp\left(2\pi i \int_{\Sigma} \varphi \wedge A\right) \ .$$
 (2.5)

This character descends to the quotient (2.2) if and only if

$$\int_{\Sigma} \varphi \wedge \Omega_{\mathbb{Z}}^{1}(\Sigma) \subseteq \mathbb{Z} . \tag{2.6}$$

Because  $d\Omega^0(\Sigma) \subseteq \Omega^1_{\mathbb{Z}}(\Sigma)$  is a subgroup, Stokes' lemma implies that any  $\varphi \in \Omega^1_c(\Sigma)$  satisfying condition (2.6) has to be closed, i.e.  $\varphi \in \Omega^1_{c,d}(\Sigma)$ . In addition (2.6) entails an integrality condition

$$\langle [\varphi], H^1(\Sigma; \mathbb{Z}) \rangle_{\Sigma} \subseteq \mathbb{Z}$$
 (2.7)

for the compactly supported cohomology class  $[\varphi] \in H^1_c(\Sigma; \mathbb{R}) := \Omega^1_{c,d}(\Sigma)/d\Omega^0_c(\Sigma)$  of  $\varphi$ . Here

$$\langle \cdot, \cdot \rangle_{\Sigma} : H^{1}_{c}(\Sigma; \mathbb{R}) \times H^{1}(\Sigma; \mathbb{R}) \longrightarrow \mathbb{R} , \quad ([\varphi], [\omega]) \longmapsto \int_{\Sigma} \varphi \wedge \omega$$
 (2.8)

is the non-degenerate pairing between compactly support and ordinary (de Rham) cohomology. Because each exact  $\varphi = d\chi \in d\Omega_c^0(\Sigma)$  yields a trivial group character (2.5), it follows that the character group of  $\operatorname{Flat}_{U(1)}(\Sigma)$  is isomorphic to

$$H_{\mathrm{c}}^{1}(\Sigma; \mathbb{Z}) := \left\{ [\varphi] \in H_{\mathrm{c}}^{1}(\Sigma; \mathbb{R}) : (2.7) \text{ is satisfied} \right\}.$$
 (2.9)

The assignment of the character groups (2.9) is a functor

$$H^1_{\rm c}(-;\mathbb{Z}): {\sf Man}_2 \longrightarrow {\sf Ab} \ ,$$
 (2.10)

which assigns to a morphism  $f: \Sigma \to \Sigma'$  in  $\mathsf{Man}_2$  the corresponding push-forward (extension by zero) of compactly supported differential forms, i.e.

$$H^1_{\rm c}(f;\mathbb{Z}) := f_* : H^1_{\rm c}(\Sigma;\mathbb{Z}) \longrightarrow H^1_{\rm c}(\Sigma';\mathbb{Z}) , \quad [\varphi] \longmapsto [f_*\varphi] . \tag{2.11}$$

The Abelian groups  $H_c^1(\Sigma; \mathbb{Z})$  can be equipped with a natural presymplectic structure given by

$$\tau_{\Sigma}: H^{1}_{c}(\Sigma; \mathbb{Z}) \times H^{1}_{c}(\Sigma; \mathbb{Z}) \longrightarrow \mathbb{R} , \quad ([\varphi], [\widetilde{\varphi}]) \longmapsto \langle [\varphi], [\widetilde{\varphi}] \rangle_{\Sigma} = \int_{\Sigma} \varphi \wedge \widetilde{\varphi} . \tag{2.12}$$

(This presymplectic structure can be derived from the action functional (2.1) by using Zuckerman's construction [Zuc86].) Given any  $\mathsf{Man}_2$ -morphism  $f: \Sigma \to \Sigma'$ , we find that

$$\tau_{\Sigma'}(f_*[\varphi], f_*[\widetilde{\varphi}]) = \int_{\Sigma'} (f_*\varphi) \wedge (f_*\widetilde{\varphi}) = \int_{\Sigma} \varphi \wedge (f^*f_*\widetilde{\varphi}) = \int_{\Sigma} \varphi \wedge \widetilde{\varphi} = \tau_{\Sigma}([\varphi], [\widetilde{\varphi}]) , \qquad (2.13)$$

because f preserves the orientations and  $f^*f_*\widetilde{\varphi} = \widetilde{\varphi}$ , for all  $\widetilde{\varphi} \in \Omega^1_c(\Sigma)$ . Hence, (2.10) can be promoted to a functor

$$\mathcal{O} := \left( H_c^1(-; \mathbb{Z}), \tau \right) : \mathsf{Man}_2 \longrightarrow \mathsf{PAb} \tag{2.14}$$

with values in the category of presymplectic Abelian groups.

Quantization of Abelian Chern-Simons theory is achieved by composing the functor (2.14) with the canonical commutation relation functor  $\mathfrak{CCR}$ : PAb  $\to C^*$ Alg that assigns  $C^*$ -algebras to presymplectic Abelian groups, see [MSTV73] and for more details also the Appendix of [BDHS14]. We shall denote the resulting functor by

$$\mathcal{A} := \mathfrak{CCR} \circ \mathcal{O} : \mathsf{Man}_2 \longrightarrow C^* \mathsf{Alg} . \tag{2.15}$$

Concretely, the  $C^*$ -algebra  $\mathcal{A}(\Sigma)$  is the  $C^*$ -completion of the \*-algebra generated by the Weyl symbols  $W_{[\varphi]}$ , for all  $[\varphi] \in H^1_c(\Sigma; \mathbb{Z})$ , satisfying the usual relations

$$W_{[\varphi]} W_{[\widetilde{\varphi}]} := e^{-i\hbar \tau_{\Sigma}([\varphi], [\widetilde{\varphi}])} W_{[\varphi] + [\widetilde{\varphi}]} \quad , \qquad W_{[\varphi]}^* := W_{-[\varphi]} \quad . \tag{2.16}$$

We shall always assume that  $\hbar \notin 2\pi \mathbb{Z}$  to avoid trivial exponentials in (2.16), i.e. commutative  $C^*$ -algebras. The  $C^*$ -algebra homomorphism  $\mathcal{A}(f): \mathcal{A}(\Sigma) \to \mathcal{A}(\Sigma')$  is specified by

$$\mathcal{A}(f)(W_{[\varphi]}) := W'_{f_*[\varphi]} \quad , \tag{2.17}$$

for all  $[\varphi] \in H^1_c(\Sigma; \mathbb{Z})$ , where by W' we denote the Weyl symbols in  $\mathcal{A}(\Sigma')$ .

### 3 Invariant states on compact surfaces

Any object  $\Sigma$  in Man<sub>2</sub> comes together with its automorphism group, which is the group of orientation preserving diffeomorphisms Diff<sup>+</sup>( $\Sigma$ ) of  $\Sigma$ . The functor (2.15) defines a representation of Diff<sup>+</sup>( $\Sigma$ ) on  $\mathcal{A}(\Sigma)$  in terms of  $C^*$ -algebra automorphisms, i.e.

$$\operatorname{Diff}^+(\Sigma) \longrightarrow \operatorname{Aut}(\mathcal{A}(\Sigma)) , \quad f \longmapsto \mathcal{A}(f) .$$
 (3.1)

Because of (2.17) and of the fact that  $H_c^1(\Sigma; \mathbb{Z})$  is discrete, the identity component  $\mathrm{Diff}_0^+(\Sigma) \subseteq \mathrm{Diff}^+(\Sigma)$  is represented trivially. Hence, the representation (3.1) descends to the mapping class group

$$MCG(\Sigma) := \frac{Diff^+(\Sigma)}{Diff_0^+(\Sigma)} \longrightarrow Aut(\mathcal{A}(\Sigma)) , \quad [f] \longmapsto \mathcal{A}(f) .$$
 (3.2)

For compact  $\Sigma$ , there exists by [FM12, Chapter 6] a short exact sequence of groups

$$1 \longrightarrow \operatorname{Tor}(\Sigma) \longrightarrow \operatorname{MCG}(\Sigma) \longrightarrow \operatorname{Sp}(H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma}) \longrightarrow 1 , \qquad (3.3)$$

where  $\operatorname{Tor}(\Sigma)$  is the so-called Torelli group and  $\operatorname{Sp}(H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma})$  is the symplectic group. (Notice that  $H^1_c(\Sigma; \mathbb{Z}) = H^1(\Sigma; \mathbb{Z})$  for compact  $\Sigma$ .) Because the Torelli group is by definition the kernel of the representation of the mapping class group on homology, the representation (3.2) descends further to a representation of  $\operatorname{Sp}(H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma})$ , for all compact  $\Sigma$ . Explicitly,

$$\operatorname{Sp}(H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma}) \longrightarrow \operatorname{Aut}(\mathcal{A}(\Sigma)), \quad T \longmapsto \kappa_T,$$
 (3.4a)

where the  $C^*$ -algebra automorphism  $\kappa_T$  is specified by

$$\kappa_T : \mathcal{A}(\Sigma) \longrightarrow \mathcal{A}(\Sigma) , \quad W_{[\varphi]} \longmapsto W_{T[\varphi]} ,$$
(3.4b)

for all  $T \in \operatorname{Sp}(H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma})$ .

As a consequence, we obtain that, for compact  $\Sigma$ , a state  $\omega : \mathcal{A}(\Sigma) \longrightarrow \mathbb{C}$  is invariant under the action (3.1) of the orientation preserving diffeomorphism group Diff<sup>+</sup>( $\Sigma$ ) if and only if it satisfies

$$\omega \circ \kappa_T = \omega , \qquad (3.5)$$

for all  $T \in \operatorname{Sp}(H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma})$ . Notice that there exists an invariant state given by

$$\omega(W_{[\varphi]}) := \begin{cases} 1 & , & \text{if } [\varphi] = 0 ,\\ 0 & , & \text{else } , \end{cases}$$
(3.6)

for any compact  $\Sigma$ .

Remark 3.1. It would be interesting to find out whether (3.6) is the only Diff<sup>+</sup>( $\Sigma$ )-invariant state on  $\mathcal{A}(\Sigma)$ , for  $\Sigma$  compact. Unfortunately, it seems to be rather hard to answer this question in full generality. As a partial result in this direction, we provide an argument why there *does* not exist an invariant Gaussian state on  $\mathcal{A}(\Sigma)$ . Recall that a Gaussian state is of the form

$$\omega(W_{[\varphi]}) = e^{-\mu([\varphi], [\varphi])} , \qquad (3.7)$$

for all  $[\varphi] \in H^1(M; \mathbb{Z})$ , where

$$\mu: H^1(M; \mathbb{Z}) \times H^1(M; \mathbb{Z}) \longrightarrow \mathbb{R}$$
 (3.8)

is a symmetric and positive-definite bilinear form satisfying a lower bound which depends on the symplectic structure  $\tau_{\Sigma}$ , see e.g. [MV68]. Such state is invariant under Diff<sup>+</sup>( $\Sigma$ ) if and only if  $\mu$  is invariant under Sp( $H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma}$ ). This is however impossible because Sp( $H^1(\Sigma; \mathbb{Z}), \tau_{\Sigma}$ ) is not a subgroup of the orthogonal group O( $H^1(\Sigma; \mathbb{Z}), \mu$ ).

#### 4 Non-existence of a natural state

A natural state for the Abelian Chern-Simons theory functor  $\mathcal{A}: \mathsf{Man}_2 \to C^*\mathsf{Alg}$  is a state  $\omega_{\Sigma}: \mathcal{A}(\Sigma) \to \mathbb{C}$  for each object  $\Sigma$  in  $\mathsf{Man}_2$ , such that for all  $\mathsf{Man}_2$ -morphisms  $f: \Sigma \to \Sigma'$  the consistency condition

$$\omega_{\Sigma'} \circ \mathcal{A}(f) = \omega_{\Sigma} \tag{4.1}$$

holds true. In particular, this condition implies that for each  $\Sigma$  the state  $\omega_{\Sigma}$  has to be invariant under the action (3.1) of the orientation preserving diffeomorphism group of  $\Sigma$ .

**Theorem 4.1.** There exists no natural state for the Abelian Chern-Simons theory functor  $A: \mathsf{Man}_2 \to C^*\mathsf{Alg}$ .

*Proof.* Let us assume that there exists a natural state  $\{\omega_{\Sigma}\}_{{\Sigma}\in\mathsf{Man}_2}$ . Consider the  $\mathsf{Man}_2$ -diagram

$$\mathbb{S}^2 \stackrel{f_1}{\longleftarrow} \mathbb{R} \times \mathbb{T} \stackrel{f_2}{\longrightarrow} \mathbb{T}^2 \tag{4.2}$$

describing an orientation preserving open embedding of the cylinder  $\mathbb{R} \times \mathbb{T}$  into the 2-sphere  $\mathbb{S}^2$  and the 2-torus  $\mathbb{T}^2$ . The Chern-Simons functor  $\mathcal{A}: \mathsf{Man}_2 \to C^*\mathsf{Alg}$  assigns the  $C^*\mathsf{Alg}$ -diagram  $\mathcal{A}(\mathbb{S}^2) \stackrel{\mathcal{A}(f_1)}{\longleftarrow} \mathcal{A}(\mathbb{R} \times \mathbb{T}) \stackrel{\mathcal{A}(f_2)}{\longrightarrow} \mathcal{A}(\mathbb{T}^2)$  and naturality of the state implies the condition

$$\omega_{\mathbb{S}^2} \circ \mathcal{A}(f_1) = \omega_{\mathbb{R} \times \mathbb{T}} = \omega_{\mathbb{T}^2} \circ \mathcal{A}(f_2) . \tag{4.3}$$

Because of  $H^1_{\rm c}(\mathbb{S}^2;\mathbb{Z})=0$ , it follows that  $\mathcal{A}(\mathbb{S}^2)\simeq\mathbb{C}$  and hence  $\omega_{\mathbb{S}^2}=\mathrm{id}_{\mathbb{C}}$  has to be the unique state on  $\mathbb{C}$ . Using further that  $H^1_{\rm c}(\mathbb{R}\times\mathbb{T})\simeq\mathbb{Z}$ , it follows that  $\mathcal{A}(\mathbb{R}\times\mathbb{T})\simeq\mathfrak{CCR}(\mathbb{Z},0)$ , and the first equality in (4.3) implies

$$\omega_{\mathbb{R}\times\mathbb{T}}(W_n^{\mathbb{R}\times\mathbb{T}}) = 1 , \qquad (4.4)$$

for all  $n \in \mathbb{Z}$ . (The superscript on the Weyl symbols refers to the algebras they live in.)

It is easy to show that  $(H^1_c(\mathbb{T}^2;\mathbb{Z}), \tau_{\mathbb{T}^2})$  is isomorphic to the Abelian group  $\mathbb{Z}^2$  with standard symplectic structure

$$\mathbb{Z}^2 \times \mathbb{Z}^2 \longrightarrow \mathbb{R} , \quad \mathbf{n} \times \mathbf{m} \longmapsto n_1 m_2 - n_2 m_1 ,$$
 (4.5)

where we used the notation  $\mathbf{n} = (n_1, n_2) \in \mathbb{Z}^2$  and similarly for  $\mathbf{m}$ . We can choose  $f_2$  such that the  $C^*$ -algebra homomorphism  $\mathcal{A}(f_2) : \mathcal{A}(\mathbb{R} \times \mathbb{T}) \to \mathcal{A}(\mathbb{T}^2)$  is given by  $W_n^{\mathbb{R} \times \mathbb{T}} \mapsto W_{(n,0)}^{\mathbb{T}^2}$ , for all  $n \in \mathbb{Z}$ . As a consequence of (4.3) and (4.4), we obtain that

$$\omega_{\mathbb{T}^2}(W_{(n,0)}^{\mathbb{T}^2}) = 1 ,$$
 (4.6)

for all  $n \in \mathbb{Z}$ . Even more, because  $\omega_{\mathbb{T}^2}$  is by hypothesis a component of a natural state and hence invariant under the action (3.1) orientation preserving diffeomorphisms of  $\mathbb{T}^2$ , we obtain that

$$\omega_{\mathbb{T}^2} \left( W_{T(n,0)}^{\mathbb{T}^2} \right) = 1 , \qquad (4.7)$$

for all  $n \in \mathbb{Z}$  and all  $T \in SL(2,\mathbb{Z}) \simeq Sp(H_c^1(\mathbb{T}^2;\mathbb{Z}), \tau_{\mathbb{T}^2})$ , see also (3.4).

We conclude the proof by showing that the necessary conditions (4.7) are incompatible with positivity of  $\omega_{\mathbb{T}^2}: \mathcal{A}(\mathbb{T}^2) \to \mathbb{C}$ . For this we consider the particular element  $a = \alpha_1 \mathbb{1} + \alpha_2 W_{(1,1)}^{\mathbb{T}^2} + \alpha_3 W_{(0,1)}^{\mathbb{T}^2} \in \mathcal{A}(\mathbb{T}^2)$ , where  $\alpha_1, \alpha_2, \alpha_3 \in \mathbb{C}$  are arbitrary. Notice that (1,1), (0,1) and their difference (1,0) are in the  $\mathrm{SL}(2,\mathbb{Z})$ -orbit of  $\mathbb{Z} \times 0 \subseteq \mathbb{Z}^2$ , which allows us to use (4.7). Using also the Weyl relations (2.16) and (4.5), we can evaluate  $\omega_{\mathbb{T}^2}(a^*a)$  and obtain

$$\omega_{\mathbb{T}^2}(a^* a) = \begin{pmatrix} \overline{\alpha_1} & \overline{\alpha_2} & \overline{\alpha_3} \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & e^{i\hbar} \\ 1 & e^{-i\hbar} & 1 \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix} , \qquad (4.8)$$

where  $\overline{\cdot}$  denotes complex conjugation. Because  $\hbar \notin 2\pi \mathbb{Z}$  (by assumption), the matrix in (4.8) has a negative eigenvalue, which implies that  $\omega_{\mathbb{T}^2}$  is not positive and hence not a state.

Remark 4.2. Note that our argument in the proof of Theorem 4.1 relies on the fact that the Chern-Simons functor  $\mathcal{A}: \mathsf{Man}_2 \to C^*\mathsf{Alg}$  violates the isotony axiom of locally covariant quantum field theory, i.e. there exist  $\mathsf{Man}_2$ -morphisms  $f: \Sigma \to \Sigma'$  such that  $\mathcal{A}(f): \mathcal{A}(\Sigma) \to \mathcal{A}(\Sigma')$  is not injective. An example is given by the map  $f_1$  in (4.2). Violation of isotony seems to be a general feature of quantum gauge theories (see e.g. [BDHS14] for the case of Abelian Yang-Mills theory), including our present example of Abelian Chern-Simons theory. As all topological quantum field theories known to us are gauge theories, we expect that similar arguments based on the violation of isotony could be used to develop a more general argument why topological quantum field theories do not admit natural states. We hope to come back to this issue in a future work.

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