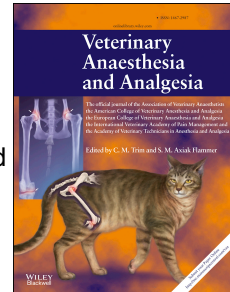


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Running head: Alfaxalone, ketamine and propofol in dogs

RESEARCH PAPER

Clinical comparison of alfaxalone, ketamine and propofol following medetomidine and methadone in dogs

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1 **Abstract**

2 **Objective** To compare the clinical effects of alfaxalone, ketamine and propofol in dogs
3 following premedication with medetomidine and methadone.

4 **Study design** Prospective, 'blinded' and randomized clinical study.

5 **Animals** Seventy-five male dogs presented for neutering at a charity clinic.

6 **Methods** Dogs were allocated to receive alfaxalone, ketamine or propofol following
7 premedication with medetomidine ($20 \mu\text{g kg}^{-1}$) and methadone (0.2 mg kg^{-1}). Dogs were
8 temperament scored prior to premedication. Quality of sedation, induction of
9 anaesthesia, recovery and recovery environment were scored by simple descriptive
10 scales. Physiological variables during anaesthesia were recorded. Continuous numerical
11 data were analysed using ANOVA with repeated measures as necessary. Non-
12 parametric data were analysed using Kruskal-Wallis tests and multiple comparisons
13 using Dunn's test. Statistical significance was set at $p < 0.05$.

14 **Results** The mean (\pm SD) dose of alfaxalone was $0.6 \pm \text{mg kg}^{-1}$, ketamine $1.5 \pm 0.7 \text{ mg}$
15 kg^{-1} and $0.8 \pm 0.3 \text{ mg kg}^{-1}$ for propofol. Alfaxalone inductions were significantly
16 smoother compared to ketamine but not to propofol. Only 1 of 75 of the inductions were
17 deemed poor. There were no differences in cardiopulmonary variables between groups
18 except immediately after induction of anaesthesia. There were no differences in quality
19 of recovery between groups.

20 **Conclusions and clinical relevance** All three induction agents provided reliable,
21 predictable anaesthesia conditions that were clinically indistinguishable and ideal for
22 teaching anaesthesia skills. The medetomidine and methadone premedication resulted in
23 profound, heavy sedation and quality of induction of anaesthesia was better with
24 alfaxalone compared to ketamine. No significant difference in induction quality was
25 detected between alfaxalone and propofol or propofol and ketamine, and these findings
26 are likely to be of limited clinical significance when choosing an induction agent.

27

28

29 **Keywords** alfaxalone, anaesthesia, dog, ketamine, propofol

30 **Introduction**

31 Alfaxalone, propofol and ketamine are all used as intravenous induction agents in the
32 dog with differing popularity. The true differences between the three induction agents
33 alfaxalone, ketamine and propofol following an alpha 2 agonist/opioid premedication is
34 not known. The effects of these induction drugs may be overstated during anaesthesia
35 teaching, because they are often used concurrently with other agents reducing the
36 potential discriminating properties. There are many factors that can affect the quality of
37 induction and recovery, namely behaviour and/or temperament of the dogs,
38 premedication, anaesthetic protocol, postoperative pain, and ambient environment. The
39 choice of premedication can dramatically affect the anaesthetic induction, maintenance,
40 and recovery. It is uncommon nowadays in clinical studies to use an induction agent
41 without premedication in view of the benefits that preemptive analgesia, anxiolysis and
42 sedation confer on the animal and the handlers. Favourable reports of the sedation
43 afforded by methadone and low dose medetomidine have been reported (Puighibet et al.
44 2015).

45 Studies undertaken in children have shown that anxiety and temperament can influence
46 the quality of recovery, with intense preoperative anxiety predisposing to a restless
47 recovery from anaesthesia (Vlajkovic & Sindjelic 2007). One canine study however
48 demonstrated that the behaviour of the dogs did not significantly influence the recovery
49 phase (Jiménez et al. 2012). Dogs judged to be calm and of a happy demeanour scored
50 equally on the simple descriptive scale (SDS) and the visual analogue scale (VAS)
51 compared to nervous dogs during recovery. The kennel environment is also presumed to
52 affect the patients' emergence and comfort in the recovery period. There remains the
53 assumption that a quiet and stress free environment will enhance recovery. No studies
54 have evaluated these factors in detail, but a few reports include the level of noise in the

55 recovery area (Jiménez et al. 2012; Mathis et al. 2012) and Mathis and co-workers
56 concluded that the noise was probably of limited significance in two populations of cats
57 recovering after alfaxalone or propofol (Mathis et al. 2012).

58 In practice, the choice of any protocol should be at the discretion of the veterinary
59 surgeon, and this decision should be evidence based rather than opinion based. In an
60 environment where teaching of anaesthesia takes place, there is the need for the
61 instructors to offer an unbiased appraisal of the evidence, ample opportunity for
62 acquisition of practical skills coupled with patient safety. During the final year of
63 training of veterinary students there is a requirement to ensure students become
64 effective, and skills must be actively taught rather than acquired through reading or
65 traditional didactic teaching. One crucial factor in developing expertise is the deliberate
66 practice undertaken (Ericsson 2007) and it is imperative that students have ample
67 opportunities to deliberate practice in a safe supportive environment. In addition to
68 deliberate practice, real-time feedback and time for problem-solving plus opportunities
69 for repeated performance to refine behaviour will ensure the experiential learning is
70 optimized and go some way to ensuring that student becomes a self-regulated learner
71 (Ericsson 2015).

72 This study had two aims, firstly, the major aim was to evaluate whether the choice of
73 induction agent had an impact on the quality of induction, maintenance, and recovery in
74 healthy dogs undergoing anaesthesia for castration. A secondary aim was to evaluate the
75 suitability of different protocols for the teaching of anaesthesia and surgery to final year
76 veterinary students and consider the experiential learning.

77

78 **Materials and methods**

79 The study was carried out at RSPCA Greater Manchester Animal Hospital. Ethical
80 approval was granted prior to the study by the University of Nottingham ethics
81 committee (Ref 1424 150325) and informed owner consent was obtained prior to
82 enrolment. A pilot study was undertaken. A sample size calculation indicated that 25
83 dogs per group would be required to show a statistically significant difference. It was
84 estimated that the size of the sample should be of at least 75 dogs to have an 80% power
85 and 95% confidence level of detecting a 25% difference in induction and recovery
86 scores as assessed by a four point simple descriptive scale (SDS) based on a pilot study,
87 using an ordinal logistic regression model relying on a proportional odds assumption.

88

89 Animals

90 Seventy-five male dogs were enrolled in the study (71 were client owned and 4 RSPCA
91 dogs being neutered prior to rehoming). All owners were participating in a heavily
92 discounted neutering scheme offered by the RSPCA Greater Manchester Animal
93 Hospital. On admission, dogs were examined and assigned American Society of
94 Anesthesiologists (ASA) status, and a temperament score on a four point simple
95 descriptive scale adapted from previous studies with categories of 1) calm; 2) happy; 3)
96 nervous; and 4) aggressive (Jiménez et al. 2012).

97 Exclusion criteria were ASA status > II, dogs weighing greater than 50kg or less than 2
98 kg, dogs with abnormal testicular pathology or cryptorchidism.

99

100 Sedation protocol

101 Dogs were fasted overnight and had free access to water up until the time of
102 premedication.

103 All dogs were weighed and received premedication based on bodyweight of a mixture
104 of 20 $\mu\text{g kg}^{-1}$ medetomidine (Sedator; Dechra, UK) and 0.2 mg kg^{-1} methadone
105 (Comfortan; Dechra) intramuscularly (IM) into the quadriceps muscle. Following
106 premedication all dogs were left undisturbed for 15 minutes and the degree of sedation
107 was scored using a modified numeric rating scale based on previous studies (Gurney et
108 al. 2009; Maddern et al. 2010). Sedation was categorized as: 1) Profound/Heavy,
109 impossible to arouse; 2) Good, heavily sedated but possible to arouse when stimulated;
110 3) Moderate, moderate sedated and easily aroused with minimal stimulation; 4)
111 Inadequate, no apparent effect of the premedication and no indication of sedation; 5)
112 Excited, more difficult to handle than prior to premedication.

113 Induction of anaesthesia

114 Dogs were allocated using a random number generator (www.randomizer.org) to one of
115 the following three groups alfaxalone (Alfaxan; Jurox, UK), ketamine (Anesketin;
116 Dechra) or propofol (PropoFlo Plus; Zoetis, UK) and induction drugs were administered
117 intravenously (IV) over 60 seconds by final year veterinary students. Incremental doses,
118 if required, were only administered after 60 seconds had elapsed. Dogs' tracheas were
119 intubated with an appropriate sized cuffed endotracheal tube. The dose of induction
120 agent and quality of induction and recovery was recorded by a scorer unaware of the
121 induction agent used, using a modified numeric rating scale used in a feline study
122 (Mathis et al. 2012) Induction score were categorized as: 1) Very smooth, with gradual
123 patient relaxation, no movement or vocalization and first intubation attempt successful;
124 2) Good, some swallowing, coughing, tongue or jaw movement, and a slight degree of
125 physical movement; 3) Poor, swallowing, coughing, some distress or excitement; 4)
126 Very poor, major distress or excitement. Isoflurane (Isoflo; Zoetis) in oxygen was
127 delivered via an appropriately sized breathing system (circle or Ayre's T-piece with

128 Jackson Rees modification). All animals were allowed to breathe spontaneously.
129 Following orotracheal intubation all dogs received 0.2 mg kg⁻¹ meloxicam (Metacam;
130 Boehringer-Ingelheim, UK) subcutaneously and amoxicillin (Betamox; Norbrook, UK)
131 15 mg kg⁻¹ IM. All dogs underwent castration surgery on heated operating tables
132 (Burtons, UK).

133 Maintenance of anaesthesia

134 Clinical criteria used to assess depth included respiratory rate [f_R (calculated manually
135 from observing chest excursions)], pulse rate [PR (calculated manually from recording
136 pulse rate over 15 seconds)], eye position, palpebral reflex, jaw tone and spontaneous
137 movement. Other parameters recorded included vaporizer setting and incidence of
138 apnoea (defined as cessation of respiratory movements >60 seconds). All dogs were
139 monitored continuously and parameters recorded at 5-minute intervals. Rectal
140 temperature was recorded prior to recovery.

141 Recovery

142 At the end of the surgical procedures the isoflurane was discontinued, dogs were
143 extubated and the quality of recovery assessed by using a simple descriptive scale by a
144 scorer unaware of the induction agent, with categories 1) Very smooth, no excitement,
145 trembling, paddling or vocalization; 2) Smooth, some excitement or paddling or
146 vocalization on recovery; 3) Poor, sustained vocalization, paddling or excitement on
147 recovery; 4) Very poor, extreme excitement, paddling, vocalization with risk of injury,
148 intervention necessary. The noise in the recovery area was also described (Appendix A).
149 Behavioural scores and mentation scores were recorded on discharge.

150 Student experience

151 Informal feedback about the surgical and anaesthesia experience was gained from
152 veterinary nurses and students involved in the study.

153

154 Statistical analyses

155 Statistical tests were performed using GraphPad Prism (GraphPad Software, CA, USA)

156 version 6. Continuous numerical data sets were tested for normality using the

157 D'Agostino Pearson test and analyzed using the analysis of variance (ANOVA) and

158 with repeated measures as necessary. Multiple comparisons were performed if the

159 ANOVA showed significance. Normally distributed data are presented as mean \pm

160 standard deviation (SD). Non-parametric data were analyzed using Kruskal-Wallis tests

161 and multiple comparisons using Dunn's test. Non-parametric data are presented as

162 median (interquartile range). Categorical (ordinal) data are reported as mean proportions

163 within each category for each induction drug and compared using logistic regression

164 analyses with an ordinal scale. Results were considered significant when $p < 0.05$.

165

166 **Results**

167 Animals

168 A total of 75 dogs weighing 11.2 (2.0 – 46.5) kg were recruited and randomly allocated

169 to receive one of three induction agents. All dogs completed the study. There were no

170 significant differences in weights and temperaments between the three groups. The

171 demographic data from the three groups are presented in Table 1.

172 Sedation

173 Time from premedication to induction of anaesthesia, PR after premedication and

174 sedation scores were not significantly different between groups (Table 2).

175 Induction

176 The subjective quality of induction was significantly different between the groups. Only

177 1 of 75 of the inductions were deemed poor and this was following propofol. Alfaxalone

178 inductions were significantly smoother ($p = 0.003$) compared to ketamine but not to
179 propofol (Fig. 1). The alfaxalone group had the most scores of 1 (very smooth
180 induction) (Table 2). The dose of alfaxalone for induction was $0.6 \pm 0.2 \text{ mg kg}^{-1}$, and
181 for ketamine $1.5 \pm 0.7 \text{ mg kg}^{-1}$ and for propofol $0.8 \pm 0.3 \text{ mg kg}^{-1}$.

182 Pain on injection was noted in 2 of 75 dogs, one whilst receiving alfaxalone and one
183 whilst receiving ketamine.

184 Maintenance of anaesthesia

185 Apnoea lasting longer than 60 seconds was noted on 4 occasions in dogs receiving
186 propofol ($n=3$) and ketamine ($n=1$). Vaporizer settings ranged between 0.5–1.5 % for
187 dogs on T piece breathing systems and 1.0–2.0% on circle breathing systems. There was
188 no significant difference between the three groups for vaporizer setting in animals using
189 the same breathing systems. No dogs required additional increments of induction agents
190 during anaesthesia.

191 Pulse rates were significantly different between groups at 5 minutes after induction
192 (Table 2). There was no significant difference in pulse rates between groups before
193 induction ($p = 0.916$) or at any time points after 5 minutes or over time from induction
194 to 60 minutes ($p = 0.511$) (Fig. 2).

195 Respiratory rates were not significant different between groups, but were significantly
196 different over time from induction to 60 minutes ($p=0.001$).

197 Recovery

198 Recovery data were unremarkable between groups with no difference in duration of
199 anaesthesia, surgery, recovery scores or environmental noise in the recovery area. One
200 dog in the propofol group vomited in recovery. All but two dogs (which remained in the
201 hospital as they were to be rehomed) were discharged within several hours of
202 extubation, and the dog's preanaesthetic demeanour compared with its post anaesthetic

203 demeanour. No reversal of the alpha-2 agonist was performed or considered necessary.
204 Two dogs that were frightened, aggressive and uncooperative on admission were
205 discharged uneventfully and deemed no longer to showing the same behaviours. A
206 small number of dogs from all groups were slightly sedated on discharge. There was no
207 difference in mentation scores at discharge between groups (Table 2).

208 Temperature was not significantly different between groups at the end of the procedure.

209 Student experience

210 All students were able to induce anaesthesia without intervention from the instructor. In
211 cases of perivascular administration of the induction agents, all students were successful
212 with subsequent attempts under close supervision and encouragement. In all cases
213 where tracheal intubation failed, students were able to identify the mistake, rectify the
214 situation and went on to successfully intubate the patient with no untoward effects.
215 Transition to inhalational agent was uneventful in all cases and no patients required
216 incremental doses of induction agent. One student was responsible for the surgery and
217 one for the anaesthesia for each patient under the supervision of the instructor. Informal
218 feedback from students highlighted opportunity to compare and contrast different
219 induction agents, gain confidence with their use, refine and improve practical skills in
220 an unhurried and supportive environment in healthy animals, and was compared by
221 them to their experiential learning in other intra and extra mural studies.

222

223 **Discussion**

224 Anaesthetic protocols

225 All three induction agents provided very similar anaesthetic profiles. The profound
226 sedation that was achieved in most dogs in this study will have in part contributed to the
227 relatively small doses of induction agent required for induction of anaesthesia and

228 endotracheal intubation. Raekallio and co-workers concluded that the hypoxaemia
229 following IV 0.02 mg kg⁻¹ medetomidine and 0.1 mg kg⁻¹ L-methadone (and
230 fentanyl) limited the clinical usefulness of the combination at those dosages
231 (Raekallio et al. 2009) however our study used IM administration and this will have
232 altered the peak effect and bioavailability of the drug (Dyck et al. 1993). All but one of
233 the dogs in this study were ASA I, and a possible short lived degree of hypoxaemia
234 would have likely caused minimal clinical signs and adverse effects, however an alpha
235 2 agonist and opioid combination may cause respiratory depression and hypoxaemia
236 and patients should be regularly assessed and some may benefit from oxygen
237 supplementation (Enouri et al. 2008). Doses of the induction agent were substantially
238 reduced from data sheet dosages and serve to illustrate the magnitude of possible dose
239 sparing that the premedication drugs afford. The ketamine and propofol doses were
240 similar to other studies using medetomidine and hydromorphone premedication (Enouri
241 et al. 2008). The reduction of the data sheet alfaxalone dose from 2 mg kg⁻¹ to the dose
242 used in this study 0.6 mg kg⁻¹ demonstrates the necessity to titrate the induction drug to
243 effect to avoid overdose.

244 Adverse events

245 The number of adverse events in this study was very few (1 incidence of vomiting on
246 extubation in the propofol group, and 1 dog per group exhibiting profound bradycardia,
247 and pain on injection in two dogs receiving ketamine and alfaxalone) in contrast to
248 similar studies. The most likely explanation is the premedication protocol. The
249 incidence of adverse events that occurred during the induction phase was less than other
250 investigations of anaesthetic induction with alfaxalone (Muir et al. 2008) propofol
251 (Sano et al. 2003a; Sano et al. 2003b) and ketamine (White et al. 2001). This may be
252 attributed to the relatively profound sedation thereby limiting the effect the induction

253 agent contributed. No dogs demonstrated cyanosis or unexpected respiratory depression
254 following premedication.

255 Student experience

256 These anaesthesia conditions contributed to a learning environment where students
257 could proceed methodically, cautiously without undue haste and/or pressure. This
258 profound sedation provided excellent conditions for IV administration of agents. The IV
259 administration of induction agent was undertaken by final year veterinary students
260 without complication, demonstrating that venous access was not so compromised 15
261 minutes after administration of the alpha 2 agent so as to hinder intravenous
262 administration of drugs. Students appreciated the operative conditions, and were able to
263 easily secure IV access, induce a plane of anaesthesia suitable for intubation, intubate
264 carefully and slowly, with multiple attempts where necessary, secure the airway and
265 maintain anaesthesia and recording parameters in a logical, considered manner.

266 The operative conditions facilitated a calm and low stress teaching environment
267 conducive to affording the students ample time to safely carry out all stages of
268 anaesthesia process, allowing supervised mistakes and feedback to occur whilst still
269 maintaining a high standard of patient care. Training in practical skills such as securing
270 intravenous access and airway management are essential components of any veterinary
271 anaesthesia curriculum and whilst didactic teaching remains important it is no substitute
272 for hands on practice. Nevertheless, it oftentimes difficult to ensure sufficient exposure
273 to healthy normal patients undergoing anaesthesia such that every student can practise
274 these core skills in a controlled supportive environment. The combinations in this study
275 afforded such conditions and exposed students to three different induction agents to
276 compare operative conditions. Usually students are trained using part task trainers for
277 tasks such as intravenous access and intubation and may then use high fidelity

278 simulators designed to reproduce the task in a veterinary context. Whilst unproven this
279 approach is considered to aid in honing technical, cognitive and decision making skills,
280 but still falls short of training on live patients. It is necessary that those involved in
281 teaching anaesthesia can plan to provide opportunities that meet the student needs rather
282 than teaching whilst providing a service on inappropriate patients. The combinations
283 used in this study afforded an excellent teaching environment, and illustrated that
284 differences between agents were clinically difficult to detect.

285 Limitations

286 One of the limitations of this study was the lack of more comprehensive monitoring
287 during anaesthesia, and in view of this it is impossible to comment for example on the
288 effect the drugs on blood pressure; the operative conditions however were simulated to
289 represent typical primary care practice for the students. Areas for future research
290 included the assessment of methods of teaching veterinary anaesthesia to students and
291 veterinary surgeons.

292 Conclusions

293 We conclude, all three induction agents provide consistent, reproducible, and clinically
294 similar conditions following medetomidine and methadone premedication highly
295 suitable for teaching and assessing anaesthesia procedural skills.

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- 343

Figure legends

Figure 1 Induction of anaesthesia scores for dogs that had received alfaxalone ($n=25$), ketamine ($n=25$) or propofol ($n=25$). Induction of anaesthesia was significantly smoother following alfaxalone ($p = 0.003$).

Figure 2 Pulse rates [median (range)] in dogs following induction of anaesthesia with alfaxalone, ketamine or propofol ($n = 25$ in each group). For drug doses see Table 2.

Table 1 Clinical details of 75 dogs undergoing anaesthesia for castration randomly allocated to receive alfaxalone, ketamine or propofol as induction agents. Data are presented as median (interquartile range) or number of dogs.

	Group		
	Alfaxalone	Ketamine	Propofol
ASA status (I:II)	25:0	24:1	25:0
Body mass (kg)	13 (7–27)	10 (6–22)	11 (6–18)
Age (months)	50 (10–84)	31 (10–44)	28 (8–48)
Temperament Score	1 (1–2)	1 (1–2)	2 (1–3)
Breed			
American Bulldog	0	0	1
Bichon Frise	2	1	0
Border Terrier	1	0	0
Basset hound	0	2	0
Beagle	0	0	1
Border Collie	1	0	0
Boxer	0	1	0
Cocker Spaniel	4	1	0
Cairn Terrier	0	1	0
Chinese Crested	0	1	0
Chihuahua	2	1	0
Cavalier King Charles	0	1	0

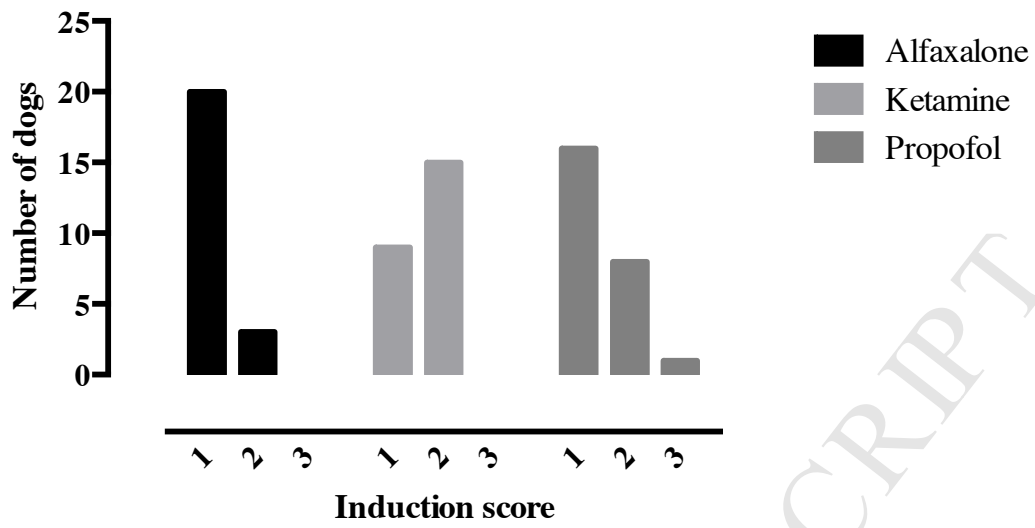
Spaniel			
Dalmatian	0	0	1
French Bulldog	0	0	1
German Shepherd Dog	2	2	0
Jack Russell Terrier	0	1	1
Labrador	0	1	0
Lhasa Apso	1	0	0
Pug	1	0	0
Rottweiler	1	0	1
Staffordshire Bull Terrier	3	3	4
Shi Tzu	0	4	1
Tibetan Terrier	0	0	1
Cross bred	5	4	10
Yorkshire Terrier	2	3	1

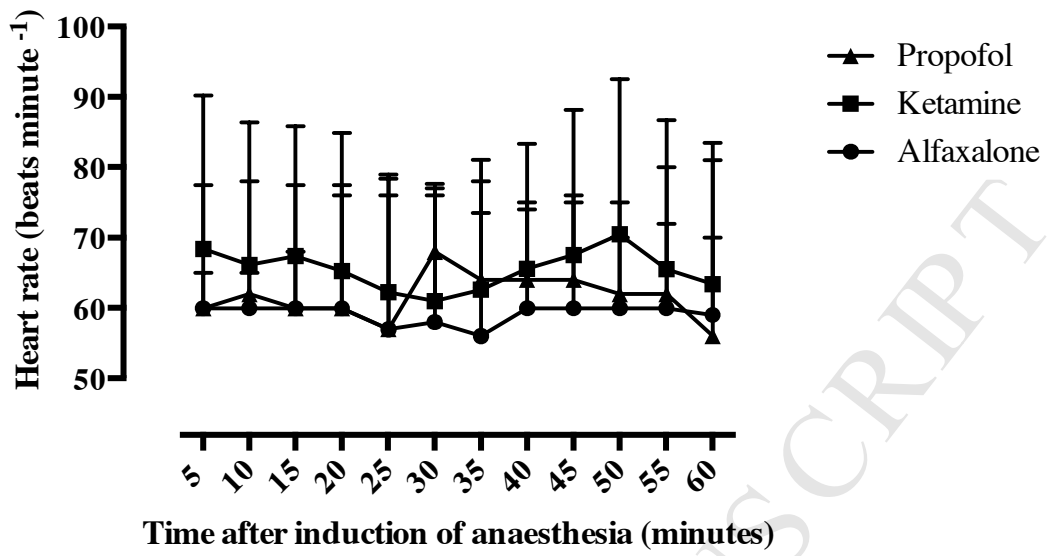
ASA, American Society of Anesthesiologists

Table 2 Sedation, induction and recovery scores, pre and post induction pulse rate, duration of surgery and anaesthesia, rectal temperature, expressed as median (interquartile range) in 75 dogs premedicated with medetomidine and methadone and induced with either alfaxalone, ketamine or propofol for castration. The dose of induction agent is expressed as mean \pm standard deviation.

	Alfaxalone	Ketamine	Propofol	<i>p</i> value
Sedation Score	1 (1-2)	1 (1)	1 (1-2)	0.118
Pre-induction pulse rate (beats minute ⁻¹)	56 (45-62)	54 (41-65)	56 (46-60)	0.994
Post-induction pulse rate (beats minute ⁻¹)	55 (50-62)	65 (61-80)	57 (44-64)	0.003
Time from premedication to induction (minutes)	24 (21-31)	22 (192-9)	20 (15-29)	0.367
Induction Score	1 (1-1)	2 (1-2)	1 (1-1)	0.003
Dose of induction agent (mg kg ⁻¹)	0.6 \pm 0.2	1.5 \pm 0.7	0.8 \pm 0.3	N/A
Recovery score	2 (1-2)	2 (1-2)	2 (1-2)	0.892

Temperature on recovery (° Celsius)	37.2 (36.7-37.4)	37.5 (37.1-38.1)	37.1 (36.4-37.7)	0.066
Duration of surgery (minutes)	54 (47-67)	48 (39-70)	56 (49-72)	0.338
Duration of anaesthesia (minutes)	72 (66-85)	69 (57-83)	84 (67-96)	0.158
Recovery environment noise score	3 (3-4)	3 (3-4)	3 (3-4)	0.793





Appendix A Description of the noise in the recovery environment

Categories	Description
1 No noise	
2 Small amount of noise	Personnel entering and leaving the ward but no conversation or other significant noise
3 Moderate amount	Personnel entering and leaving the ward, some conversation
4 Very noisy	Loud conversation, constant noise, dogs barking/whining, radio playing loudly