

1 **Assessment of a novel solid oxide fuel cell tri-generation** 2 **system for building applications**

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8 9 **Abstract**

10 The paper provides a performance analysis assessment of a novel solid oxide fuel cell
11 (SOFC) liquid desiccant tri-generation system for building applications. The work
12 presented serves to build upon the current literature related to experimental evaluations
13 of SOFC tri-generation systems, particularly in domestic built environment applications.
14 The proposed SOFC liquid desiccant tri-generation system will be the first-of-its-kind. No
15 research activity is reported on the integration of SOFC, or any fuel cell, with liquid
16 desiccant air conditioning in a tri-generation system configuration. The novel tri-
17 generation system is suited to applications that require simultaneous electrical power,
18 heating and dehumidification/cooling. There are several specific benefits to the integration
19 of SOFC and liquid desiccant air conditioning technology, including; very high operational
20 electrical efficiencies even at low system capacities and the ability to utilise low-grade
21 thermal energy in a (useful) cooling process. Furthermore, the novel tri-generation system
22 has the potential to increase thermal energy utilisation and thus the access to the benefits
23 achievable from on-site electrical generation, primarily; reduced emissions and operating
24 costs.

25
26 Using empirical SOFC and liquid desiccant component data, an energetic, economic and
27 environmental performance analysis assessment of the novel system is presented.

28 Significant conclusions from the work include: (1) SOFC and liquid desiccant are a viable
29 technological pairing in the development of an efficient and effective tri-generation
30 system. High tri-generation efficiencies in the range of 68-71% are attainable. (2) The
31 inclusion of liquid desiccant provides an efficiency increase of 9-15% compared to SOFC
32 electrical operation only, demonstrating the potential of the system in building applications
33 that require simultaneous electrical power, heating and/or dehumidification/cooling. (3)
34 Compared to an equivalent base case system, the novel tri-generation system is currently
35 only economically viable with a government's financial support. SOFC capital cost and
36 stack replacement are the largest inhibitors to economic viability. Environmental
37 performance is closely linked to electrical emission factor, and thus performance is heavily
38 country dependent. (4) The economic and environmental feasibility of the novel tri-
39 generation system will improve with predicted SOFC capital cost reductions and the
40 transition to clean hydrogen production.

41

42 **Keywords:** Tri-generation, solid oxide fuel cell, liquid desiccant air conditioning, emission
43 assessment, economic assessment, building application.

44 **1 Introduction**

45 In recent years the dramatic increase in concerns regarding the environmental impact of
46 using fossil fuels, and their accompanying cost, have driven governments, business and
47 consumers towards cleaner energy resources and the use of alternative methods for more
48 efficient energy utilisation. Currently, buildings consume around 40% of the world's
49 primary energy for cooling, heat and power [1]. Most of this energy is from electricity
50 generated at centralised power stations; where at present up to 70% of available energy
51 is wasted. The overall system efficiency is low at 30-40%, leading to a high waste of
52 energy resources, resulting in considerable CO₂ emissions and unnecessarily high running
53 costs. Reducing the energy consumption of buildings can make a substantial contribution
54 towards attaining the EU's 2020, the UK's 2050 and other international carbon emission
55 targets. But this will only be achieved by moving from conventional centralised power
56 generation systems to onsite highly-efficient clean micro-generation technology [2-4].

57

58 One of the most promising possibilities for clean micro-generation is solid oxide fuel cell
59 (SOFC) technology, which can generate electricity directly through an electrochemical
60 reaction which brings together hydrogen and oxygen. The only by-products are waste
61 heat, water vapour, and depending on the fuel used a modest amount of CO₂. Chemical
62 to electrical energy conversion efficiencies can be over 50% compared to 30-40% in
63 combustion processes, such as internal combustion engines (ICE) and gas turbines.
64 Technical assessments have demonstrated that if combined heat and power (CHP)
65 technology is used with SOFC, the total system efficiency can be as high as 90% [5, 6].
66 Liquid desiccant systems are used in heating, ventilation, and air conditioning applications
67 where simultaneous maintenance of temperature and humidity control is an important
68 benefit to the user. This technology is often used in tri-generation system applications
69 where the desiccant system is driven by the heat by-product. If the waste heat from the
70 SOFC is used to drive the liquid desiccant unit, then a tri-generation system will result,
71 supplying not only the power and heat as the conventional CHP technology to the building,

72 but also cooling and humidity control. It has been demonstrated in the literature that the
73 inclusion of liquid desiccant in a tri-generation system configuration can provide significant
74 improvement to total system efficiency [7, 8] and thus greater energy utilisation, providing
75 a range of technical, environmental and economic benefits [3, 4].

76

77 The majority of tri-generation systems for building applications reviewed in the literature
78 use the thermal energy rejected by the electrical generator to produce a useful cooling
79 output. The most common technological pairing has been found to be an ICE with a vapour
80 absorption cooling system (VAS) [9-11]. No research publications have been found
81 describing a SOFC or even fuel cell based liquid desiccant tri-generation system. Fuel cells
82 are well suited to tri-generation built environment applications because they produce heat
83 when generating electricity, have high electrical efficiency and excellent load-following
84 characteristics [12]. Moreover, continued technological improvements to fuel cells have,
85 in recent years, increased interest in fuel cell based tri-generation systems [13].

86

87 Yu, Han et al. [14] have numerically investigated a tri-generation system incorporating a
88 SOFC and a double-effect water/lithium bromide VAS, high total system efficiencies of
89 84% or more were reported by the authors, illustrating the benefits of tri-generation
90 systems in applications where heating, cooling and power are required. Margalef and
91 Samuelsen [15] numerically examined a 300kW molten carbonate fuel cell (MCFC) VAS
92 tri-generation system, achieving an overall system efficiency of 72%. The pairing of two
93 off the shelf technologies for tri-generation system construction was shown to be
94 problematic. Margalef and Samuelsen [15] state that the MCFC and VAS chosen for the
95 tri-generation system were close, but not an ideal match. Al-Sulaiman, Dincer et al. [16]
96 presents an energy analysis of a tri-generation plant incorporating a 520kW SOFC, organic
97 Rankine cycle, heat exchanger and single effect VAS. The investigation showed that by
98 incorporating the cooling cycle system efficiency is improved by 22% compared to just
99 having the SOFC and organic Rankine cycle running together. A maximum tri-generation

100 efficiency of 74% has been achieved. Fong and Lee [17] have investigated a SOFC tri-
101 generation system for high-rise buildings in a hot and humid climate. The study focussed
102 on two sizing options. (1) Full SOFC, where the system was sized to peak loads, and (2)
103 partial SOFC, where the system was sized such that peak loads were met by the SOFC and
104 grid, however over the course of one year the system maintains a net zero grid import.
105 The full and the partial SOFC systems generate a 51.4% and 23.9% carbon emission
106 saving respectively, and a 7.1% and 2.8% electricity saving respectively. The full SOFC
107 tri-generation system showed the best environmental and energetic performance due to
108 the partial SOFC systems requirement of grid electricity. However the economics of sizing
109 the tri-generation system to meet peak load capacity was not investigated. Zink, Lu et al.
110 [18] have examined a 110kW SOFC based tri-generation system employing a VAS. Results
111 show that total system efficiency can reach 87% or more and that the combined system
112 shows great advantages both technically and environmentally over other current CHP and
113 tri-generation systems. Darwish [19] has investigated a phosphoric acid fuel cell (PAFC)
114 tri-generation system to meet high air conditioning loads in a large building in Kuwait. The
115 PAFCs thermal (105kW) and electrical outputs (200kW) are used in a VAS and VCS
116 respectively. The system only becomes economically feasible once the fuel cell capital cost
117 drops below 2000\$.kWe⁻¹.

118

119 As demonstrated in the literature presented above, tri-generation is a well-known
120 technology for energy conservation in commercial and industrial applications. However,
121 limited work has been completed for tri-generation systems in domestic building
122 applications [20, 21]. Kong, Wang et al. [22] state that the concept of tri-generation
123 systems for individual domestic buildings has only been thought reasonable with the more
124 recent development of heat driven cooling technologies with capacities of <10kW that can
125 operate on low-grade thermal energy (60-90°C). Huangfu, Wu et al. [20] believe the main
126 obstacles to any type of domestic scale tri-generation systems is the high initial cost and
127 complexity of optimum matching of different parts of the system i.e. prime mover and

128 heat driven cooling. Other commonly referenced obstacles include; system size and
129 complexity. However, with recent advances in liquid desiccant based air conditioners for
130 small scale residential applications the development of a fuel cell tri-generation system in
131 domestic homes is possible [23]. Míguez [21] and Porteiro [24] state that the introduction
132 of tri-generation systems to the domestic built environment requires the core of the
133 system, the CHP unit, to be compact, cost efficient and easily installed. Pilatowsky, Romero
134 et al. [25] have carried out simulations for a 1kW_e PEMFC coupled to a VAS. Results show
135 that the co-generation process increases total efficiency of the PEMFC system, illustrating
136 the feasibility of using fuel cells in small scale tri-generation system applications. Najafi,
137 Antonellis et al. [26, 27] report on a medium scale ($10\text{-}20\text{kW}_e$) PEMFC desiccant wheel tri-
138 generation system. The work uses simulations to optimise the system components for
139 building applications. A significant conclusion indicates that positive energy savings can
140 only be achieved if the PEMFC system and it's auxiliary devices performance are
141 appropriately improved. Gigliucci, Petruzzi et al. [28] have conducted extensive work on
142 fuel cell CHP systems in domestic built environment applications, in particular their thermal
143 management. The authors conclude that for the full potential of fuel cell devices operating
144 in built environment applications to be realised, the following aspects need to be
145 considered / resolved: (1) ability of delivering waste heat to a useful heat sink - tri-
146 generation system applications will increase this, and (2) capacity to vary the heat to
147 power ratio / electrical output during operation. Fuel cells with their low heat to power
148 ratios show great promise in terms of total thermal energy utilisation, illustrating why fuel
149 cell technology has been highlighted as a strong candidate for tri-generation domestic built
150 environment applications [12, 23].

151

152 To summarise, the literature searches have highlighted a small number of research
153 publications and patents that focus on SOFC tri-generation systems [16, 25, 29-33]. The
154 listed work either focuses on the use of a fuel cell's thermal output in a Rankine bottoming
155 cycle or the use of a VAS. Furthermore, this work is predominately simulation based or

156 aimed at large industrial scale applications. The fuel cell tri-generation systems presented
157 demonstrate good performance in terms of system efficiency, primary energy demand
158 reduction and associated CO₂ emissions / operational costs. However, issues regarding the
159 accurate pairing of prime mover and cooling technologies needs careful consideration to
160 ensure effective system operation. Furthermore, it has been established that the technical
161 and economic viability of any tri-generation system, but particularly fuel cell, presides with
162 the prime mover (fuel cell), not the cooling technology, which is already at a level
163 commensurate with technical and economic practicality.

164

165 The work presented in this paper serves to build upon the current literature related to
166 experimental evaluations of SOFC tri-generation systems, particularly in domestic built
167 environment applications. The proposed SOFC liquid desiccant tri-generation system will
168 be the first-of-its-kind. No research activity is reported on the integration of SOFC, or any
169 fuel cell, with liquid desiccant air conditioning in a tri-generation system configuration. The
170 novel tri-generation system is suited to applications that require simultaneous electrical
171 power, heating and dehumidification/cooling. There are several specific benefits to the
172 integration of SOFC and liquid desiccant air conditioning technology, including; very high
173 operational electrical efficiencies even at low system capacities and the ability to utilise
174 low-grade thermal energy in a (useful) cooling process. Furthermore, in many building
175 applications the demand for cooling coincides with a reduction in heating demand. If this
176 heat cannot be fully utilised system efficiency will suffer. The novel tri-generation system
177 has the potential to increase thermal energy utilisation and thus the access to the benefits
178 achievable from on-site electrical generation, primarily; reduced emissions and operating
179 costs. Although no work has been found directly relating to the proposed novel tri-
180 generation system concept, the author's rationale behind the success of the system is that
181 liquid desiccant air conditioning technology makes better use of low grade thermal energy
182 compared to VAS [34]. Furthermore, liquid desiccant air conditioning regeneration
183 temperatures are lower than that of solid desiccant media [35]. As a result, a SOFC CHP

184 system at the micro to small scale (i.e. $<10kW_e$) with a recovered waste water
185 temperature output of 50-80°C [3, 36] is deemed a well suited technological partnership
186 with liquid desiccant air conditioning technology.

187

188 This paper provides a performance analysis assessment of a novel SOFC liquid desiccant
189 tri-generation system for building applications. Using empirical SOFC and liquid desiccant
190 component data, an energetic, economic and environmental performance analysis
191 assessment of a first-of-its-kind system is presented. Specifically, the aim of the paper's
192 performance analysis assessment is to:

193

- 194 1. Validate, empirically, the integration of SOFC and liquid desiccant technology into
195 an efficient and effective tri-generation system.
- 196 2. Determine tri-generation system efficiency in a building application.
- 197 3. Ascertain whether the proposed tri-generation system is economically and
198 environmentally viable under current conditions compared to an equivalent base
199 case system.
- 200 4. Establish the conditions and geographical locations in which the novel tri-
201 generation system is economically and environmentally viable compared to an
202 equivalent base case system.
- 203 5. Suggest the future feasibility of the novel tri-generation system with respect to
204 projected changes in global energy resources, conversion techniques and cost.

205

206

207 **2 Tri-generation system development**

208 The tri-generation system is comprised of two main components: SOFC and liquid
209 desiccant. The performance of these two system components is documented in sections
210 2.1 and 2.2 respectively. Following this, section 2.3 presents an energetic performance
211 analysis assessment of the novel tri-generation system.

212

213 **2.1 Solid oxide fuel cell component**

214 The SOFC used for tri-generation system development and field trial testing in a building
215 application is the BlueGEN CHP unit manufactured by Ceramic Fuel Cells Ltd (CFCL).
216 BlueGEN is a commercially available SOFC CHP system designed for small to medium scale
217 building applications. Operating on natural gas, the unit can be power modulated from
218 500W_e (25%) to 2kW_e (100%), however it achieves its highest net electrical efficiency of
219 60% at a 1.5kW_e output. As a result, CFCL have optimised the default operation of the
220 unit at 1.5kW_e to provide the highest electrical efficiency and thus greatest economic
221 benefit to the user. The BlueGEN SOFC unit consists of 51 planar type YSZ (Yttria-
222 stabilised Zirconia) electrolyte layer sets (each layer consist of 4 cells), and operates at
223 750°C. Hydrogen is produced from natural gas by internal steam reforming (endothermic)
224 on the fuel cell anode, utilising the heat of the electrochemical reaction (exothermic) to
225 create a chemical combined cycle. The BlueGEN SOFC unit is certified for domestic building
226 installations and qualifies for the UK FiT (feed-in-tariff); a tariff paid to the consumer per
227 kWh of generated electricity. The BlueGEN SOFC unit is installed at The University of
228 Nottingham's Creative Energy Homes as shown in Figure 1.

229



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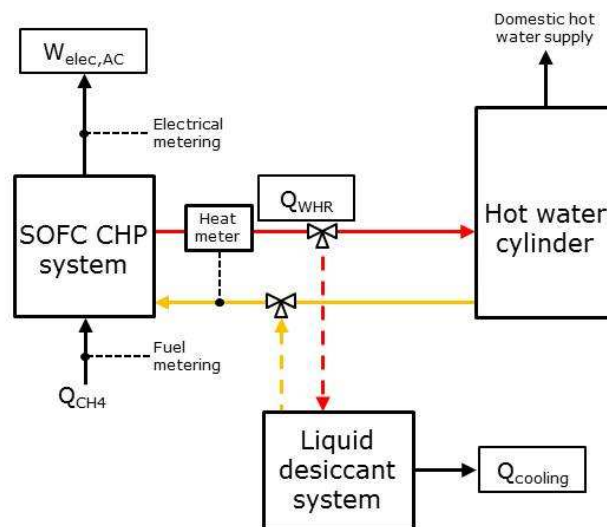
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Figure 1 BlueGEN SOFC CHP system installed at The University of Nottingham

232

233 The SOFC unit is connected electrically, in parallel, to the national grid in order to export
 234 or import power as required. The SOFC unit is connected to the natural gas grid. A waste
 235 heat recovery (WHR) circuit delivers the generated heat from the SOFC unit directly to the
 236 homes 300L hot water cylinder, which is supplemented by an auxiliary gas boiler.
 237 Currently, the BlueGEN's estimate operational lifetime is 15 years; however the unit
 238 requires stack replacement every five years. For tri-generation system integration, the
 239 liquid desiccant system is installed in-line between the SOFC unit and hot water cylinder,
 240 as shown in Figure 2.

241



242

243

Figure 2 SOFC liquid desiccant tri-generation system schematic

244

245 The net AC electrical power output ($\dot{W}_{elec,AC}$) from the SOFC unit is collected using the CFCL
246 online interface. The CFCL interface also records the natural gas fuel input to the SOFC
247 (\dot{Q}_{CH_4}). A Diehl Sharky 775 heat meter is used to collect thermal output data from the
248 SOFC. The heat meter measures the water flow rate and supply and return water
249 temperatures in the WHR circuit. Equation 1 is then used to determine the thermal
250 output (\dot{Q}_{WHR}).

251

$$\dot{Q}_{WHR} = \dot{m}_{WHR} c_{p,WHR} (T_{WHR,flow} - T_{WHR,return})$$

253 **1**

254

255 The CHP efficiency (η_{CHP}) is then calculated using Equation 2.

256

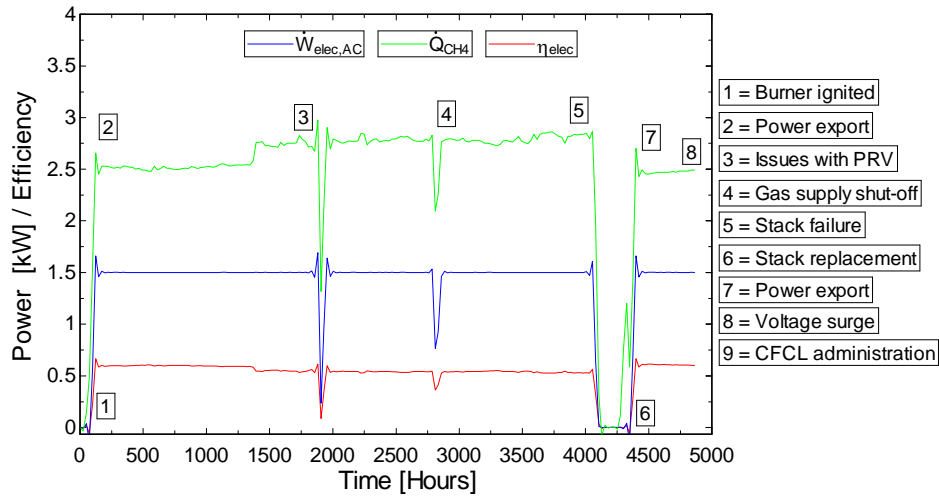
$$\eta_{CHP} = \frac{\dot{W}_{elec,AC} + \dot{Q}_{WHR}}{\dot{Q}_{CH_4}}$$

258 **2**

259

260 Figure 3 shows field trial electrical performance data collected from the SOFC unit from 24
261 March 2014 (point 1) to 12 December 2014 (point 8). This is equivalent to 4865 hours of
262 operation (8 months 18 days). During this period the SOFC unit shows stable operation
263 with an electrical efficiency of 55-60% and availability for power generation of 91.7%. Due
264 to the time taken to heat the stack to 750°C and to avoid thermal cycling, the SOFC unit
265 operates continuously, always aiming to maintain a 1.5kW_e output. As seen in Figure 3 as
266 the stack efficiency degrades over time the fuel input is increased to compensate for this.
267 At an electrical efficiency of 60% the fuel input is 2.5kW. After 4000 hours of operation
268 (point 2 to 5), the stack displayed an electrical efficiency degradation of approximately
269 6%.

270



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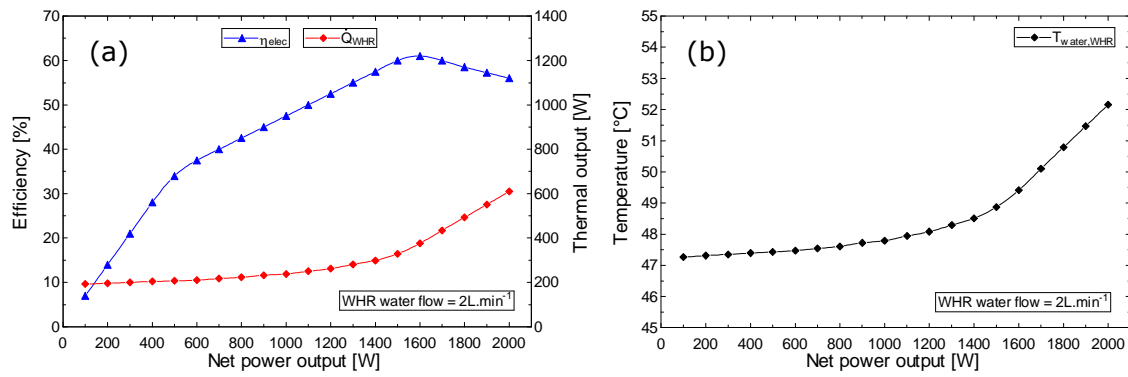
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Figure 3 SOFC field trial electrical performance data

273

274 Figure 4 presents electrical and thermal performance characterisation of the SOFC CHP
 275 system in a building application using data from [37] and [38]. During the performance
 276 characterisation, a $2\text{L}\cdot\text{min}^{-1}$ water volumetric flow in the WHR circuit has been used. This
 277 is equal to the value used in the liquid desiccant performance assessment in [39] and thus
 278 tri-generation system integration is a rational concept. From Figure 4a it is evident that
 279 the net electrical efficiency increases as the electrical capacity increases, from 14% at
 280 200W_e up to a maximum of 60% at 1500W_e , it then decreases to approximately 56% at
 281 a 2000W_e capacity. The thermal output from the SOFC increases fairly linearly from 320W_{th}
 282 at 200W_e up to 540W_{th} at 1500W_e . The thermal output increase is then much steeper,
 283 up to a maximum of 1000W_{th} at 2000W_e . At the optimised 1500W_e output a CHP efficiency
 284 of 81.6% is achieved.

285



286

287 **Figure 4 (a) SOFC electrical efficiency and thermal output [38], and (b) WHR flow water**
 288 **temperature as a function of electrical output**

289

290 Figure 4b shows the flow water temperature in the SOFC WHR loop as a function of
 291 electrical power output. The flow water temperature is calculated based on the thermal
 292 output data presented in Figure 4a, a $2\text{L}\cdot\text{min}^{-1}$ water volumetric flow and a 45°C return
 293 water temperature in the WHR circuit. The flow water temperature ranges between 47°C
 294 at 100W_e output up to a maximum of 52°C at a 2000W_e output. As demonstrated in [39]
 295 this is sufficient for effective desiccant solution regeneration. Due to limited variation in
 296 the SOFC CHP system's operation and thus outputs it is primarily the operation of the
 297 desiccant system that is optimised to facilitate successful tri-generation system integration
 298 [39].

299

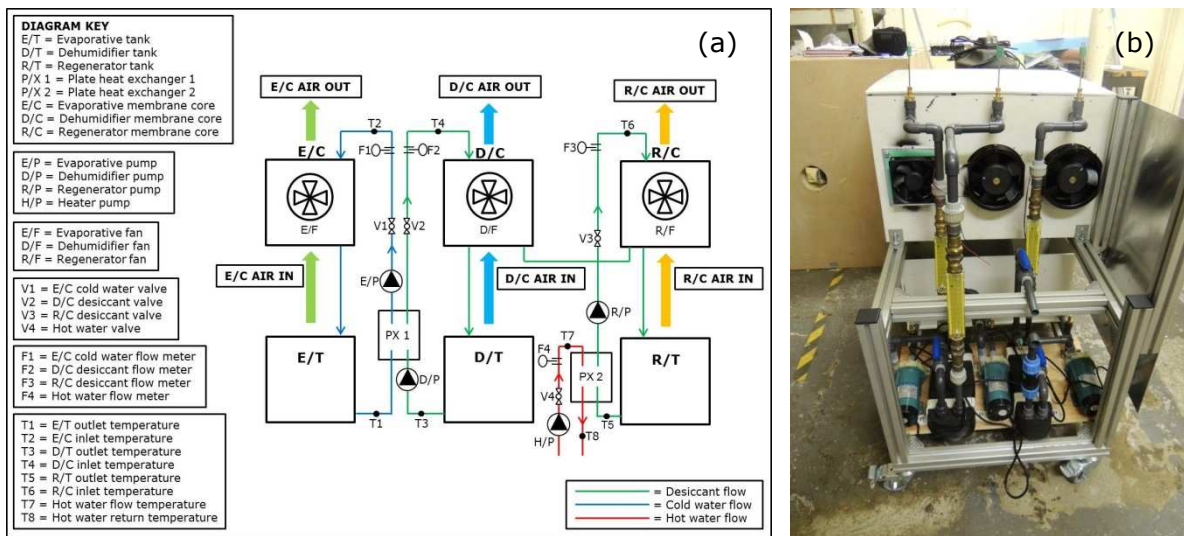
300 With reference to Figure 3, there have been three key events in the lifetime of the SOFC
 301 unit, (1) an unforeseen gas shut-off (point 4) causing stack cool down and thermal
 302 contraction, leading to an electrical efficiency drop, and eventual stack failure (point 5)
 303 and replacement (point 6), (2) A 415 volt voltage surge at The Creative Energy Homes
 304 causing irrevocable damage to the power electronics and thus stack cool-down, again
 305 leading to the requirement of power electronic and stack replacement (point 8). (3) CFCL
 306 going into administration, and thus not being able to carry-out the required repair works
 307 post voltage surge. At the time of writing the SOFC unit is not operational.

308

309 **2.2 Liquid desiccant component**

310 A liquid desiccant air conditioning system developed by the authors specifically for tri-
 311 generation/waste heat driven system applications, in particular with SOFC technology, has
 312 been previously documented in detail in [39]. The desiccant system uses a semi-
 313 permeable micro porous membrane based cross flow contactor, operating with a low cost,
 314 environmentally friendly, non-corrosive potassium formate (CHKO_2) desiccant solution.
 315 The merits and operational considerations of employing a potassium formate desiccant
 316 solution over other commonly used liquid desiccants such as lithium chloride or calcium
 317 chloride are provided in a previous work [36]. Figure 5a provides a schematic diagram of
 318 the complete liquid desiccant system with labelled components and Figure 5b shows a
 319 photograph.

320



321

322 **Figure 5 Liquid desiccant system (a) schematic with labelled components, and (b)**
 323 **photograph**

324

325 The paper assesses in detail the impact inlet environmental conditions (air temperature
 326 and relative humidity) and operational conditions (desiccant solution volumetric, water
 327 flow temperature and hot water volumetric flow in the heating circuit) have on liquid

328 desiccant system performance. Refer to [39] for a detailed description of the liquid
329 desiccant system's experimental set-up, experimental method and full results/analysis.

330

331 The main metric used to evaluate the performance of the liquid desiccant system is thermal
332 COP (COP_{th}) as shown in Equation 3. Where, $\dot{Q}_{cooling}$ is the dehumidifier cooling output and
333 \dot{Q}_{reg} is the regenerator thermal input.

334

$$335 \quad COP_{th} = \frac{\dot{Q}_{cooling}}{\dot{Q}_{reg}}$$

336

3

337

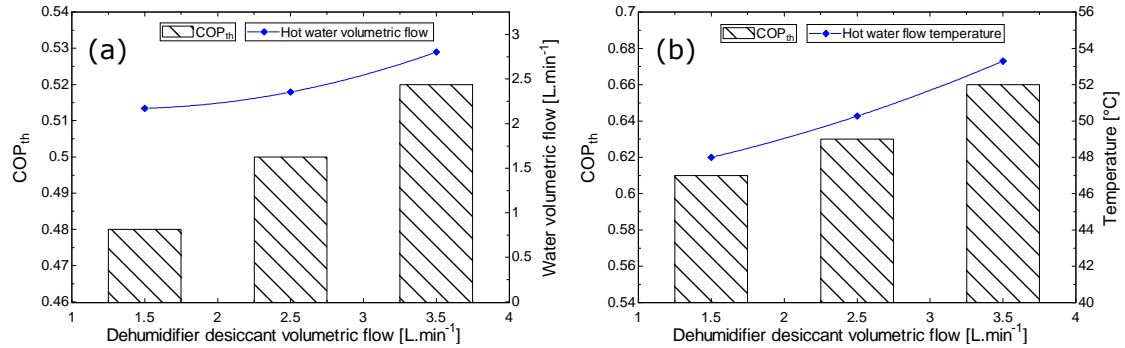
338 In order for the desiccant air conditioning system to operate continuously, the mass of
339 vapour absorbed by the desiccant solution in the dehumidifier must be removed in the
340 regenerator. Adequate regenerator thermal input is therefore required.

341

342 Figure 6 shows the variation in liquid desiccant system performance with dehumidifier
343 desiccant solution volumetric flow. The assessment has been performed at a set desiccant
344 system inlet air condition of 30°C and 70% relative humidity. Figure 6a shows the hot
345 water volumetric flow in the heating circuit needs to be increased as the dehumidifier
346 desiccant solution volumetric flow is increased. At a desiccant flow of 1.5L.min⁻¹ the hot
347 water volumetric flow is 2.17L.min⁻¹. As desiccant volumetric flow increases to 2.5L.min⁻¹
348 the hot water volumetric flow needs to be increased to 2.353L.min⁻¹ and at a desiccant
349 volumetric flow of 3.5L.min⁻¹ the hot water volumetric flow needs to be increased to
350 2.802L.min⁻¹. At a set hot water volumetric flow of 2L.min⁻¹ Figure 6b shows that the hot
351 water flow temperature needs to be increased as the dehumidifier desiccant solution
352 volumetric flow is increased, from 48°C at 1.5L.min⁻¹, 50.27°C at 2.5L.min⁻¹ and to 53.3°C
353 at 3.5L.min⁻¹. At a set inlet dehumidifier desiccant solution volumetric flow of 3.5L.min⁻¹,
354 the COP_{th} values seen in Figure 6a and Figure 6b are 0.52 and 0.66 respectively. Over the

355 dehumidifier desiccant solution volumetric flow range investigated, the electrical COP
356 (COP_{el}) varies between 5.7 and 7.1.

357



358

359 **Figure 6 Liquid desiccant system performance with dehumidifier desiccant solution**
360 **volumetric flow**

361

362 The experimental evaluation in [39] validates the concept of integrating SOFC and liquid
363 desiccant air conditioning technology into an efficient and effective tri-generation system.
364 This is primarily due to good dehumidification capacity and effective regeneration of the
365 potassium formate solution at a 0.65-0.7 solution mass concentration. Encouraging COP_{th}
366 values in the range of 0.4-0.66 have been demonstrated when operating with a low grade
367 thermal input (45-60°C) typical of a SOFC CHP system of the studied scale.

368

369

370 **2.3 Energetic performance analysis assessment**

371 Due to the SOFC's operational issues it was not available for tri-generation system
372 integration. As a result, the paper uses empirical SOFC component data presented in
373 section 2.1 and liquid desiccant component data presented in section 2.2 and [39] to
374 perform a theoretical integration analysis of the novel system. Although the paper uses
375 empirical SOFC and liquid desiccant component data to perform the theoretical integration
376 analysis, the technical feasibility of tri-generation system integration is practical. This is
377 because both the SOFC thermal output and liquid descant thermal input are both
378 considered low-temperature (40 - 60°C) and operate at atmospheric pressure.
379 Furthermore, in a domestic building context, the SOFC and liquid desiccant components
380 can be connected using standard heating system copper/plastic pipe. Similarly, typical
381 domestic heating system three port solenoid valves control the flow of thermal energy
382 between the SOFC component and domestic hot water / liquid desiccant regeneration
383 requirements.

384

385 Using empirical WHR flow water temperature from the SOFC CHP system, shown in Figure
386 4b, and empirical liquid desiccant component data from [39], the COP_{th} and resulting
387 cooling output of the liquid desiccant system, operating with the SOFC CHP system's
388 thermal output, is determined. Using these data tri-generation system efficiency (η_{tri}) is
389 calculated. Tri-generation system efficiency is defined in Equation 4 as the ratio of the
390 overall tri-generation system energy conversion (electricity and heating and/or cooling)
391 over the total amount of energy input to the system.

392

$$393 \quad \eta_{tri} = \frac{\dot{W}_{elec,AC} + \dot{Q}_{WHR,net} + \dot{Q}_{cooling}}{\dot{Q}_{CH_4}}$$

394

4

395

396 Table 1 presents the results from the integration of the SOFC and liquid desiccant
397 components into a complete tri-generation system at a net 1.5kW_e and 2kW_e output, with
398 a desiccant system inlet air condition of 30°C and 70% relative humidity. In order to obtain
399 balanced desiccant system operation, the desiccant solution volumetric flow in the
400 dehumidifier and regenerator (shown in Table 1) has been adjusted according to the
401 thermal output available from the SOFC. The parasitic energy consumption (110W) of the
402 liquid desiccant system has been included in the evaluation.

403

404

405 **Table 1 Tri-generation system energetic performance**

Variable	1.5kW_e	2kW_e
$\eta_{\text{elec}} (\%)$	60	56
$\dot{Q}_{\text{CH}_4} (W)$	2500	3571
$\dot{Q}_{\text{WHR}} (W)$	540	1000
$T_{\text{WHR,flow}} (^{\circ}C)$	48.87	52.16
$\eta_{\text{CHP}} (\%)$	81.6	84
Desiccant volume (L.min ⁻¹)	1.74	3.16
COP_{th}	0.614	0.649
$\dot{Q}_{\text{cooling}} (W)$	332	649
Dehumidifier <i>MRR</i> (g.s ⁻¹)	0.2515	0.2941
$\eta_{\text{tri}} (\%)$	68.9	71.1
$\Delta\% \text{ PED (CHP/TRI)}$	51.41 / 46.98	50.21 / 46.79
$\Delta\% \text{ Cost (CHP/TRI)}$	62.84 / 60.67	61.53 / 60.53
$\Delta\% \text{ Emissions (CHP/TRI)}$	51.21 / 68.96	50.01 / 68.26
Electrical import cost and emission factor = 0.172£.kWh⁻¹ [40]		
and 0.555kgCO₂.kWh⁻¹ [41] / Natural gas import cost and		
emission factor = 0.0421 £.kWh⁻¹ and 0.184kg CO₂.kWh⁻¹ [42]		

406

407 The system integration, based on empirical data, demonstrates high tri-generation system
 408 efficiency in the range of 68-71% is attainable when combining SOFC and liquid desiccant
 409 air conditioning technology. The SOFC unit has a low heat to power ratio, particularly at
 410 the 1.5kW_e condition, this is because it is an electrically optimised device (fuel utilisation
 411 of ~85%). As a result, there is limited thermal output available for desiccant solution
 412 regeneration. However, the liquid desiccant system, operating with a potassium formate
 413 solution at a 0.65–0.7 solution mass concentration, has a low regeneration temperature
 414 requirement, and thus makes good use of the low-grade heat output from the SOFC to

415 generate a meaningful quantity of dehumidification/cooling. At the 2kW_e condition,
416 electrical efficiency is lower, but the thermal efficiency is higher. As a result, almost 650W
417 of cooling is produced. The inclusion of liquid desiccant air conditioning technology
418 provides an efficiency increase of 9-15% compared to SOFC electrical operation only,
419 demonstrating the potential of the system in building applications that require
420 simultaneous electrical power, heating and/or dehumidification/cooling. The performance
421 of the novel tri-generation system is competitive with other systems of this capacity
422 reported in the literature [7, 9, 22, 43].

423

424 Table 1 shows that CHP and tri-generation efficiency is highest for the 2kW_e case. However
425 the primary energy demand (PED), cost and emission savings, compared to an equivalent
426 base case system are highest for the 1.5kW_e case. The base case system is defined as a
427 conventional separate system, comprising grid electricity, natural gas fired boiler and
428 electrically driven vapour compression system (VCS). The capacities of the base case
429 system components are assumed equal to the respective electrical ($1.5\text{kW}_e / 2.0\text{kW}_e$),
430 heating and cooling capacities of the tri-generation system employed in the comparison.
431 The electrical efficiency of the base case system has been assumed as 33%, a figure
432 considering the efficiency of utility scale electrical generation plus transmission losses [9].
433 The thermal efficiency of the gas fired boiler has been assumed as 90%. The electrical
434 coefficient of performance (COP_{el}) of the VCS is assumed constant at 2 [44]. Thus, the
435 overall efficiency of the base case system can be calculated for any given electrical, heat
436 and cooling output from the SOFC CHP / tri-generation system. Table 1 lists the associated
437 cost and emission factors of grid electricity and natural gas used in the assessment. These
438 are typical of the UK. Because electricity has a higher associated cost and emission
439 compared to natural gas, greater savings are made for the 1.5kW_e case due to the higher
440 electrical efficiency. In tri-generation cooling mode, relative cost and emission reductions
441 compared to the base case system for the 1.5kW_e and 2kW_e cases are around 60% and

442 70% respectively, demonstrating the potential of a first-of-its-kind SOFC liquid desiccant
443 tri-generation system for building applications.

444

445 Section 2 has validated, empirically, the integration of SOFC and liquid desiccant
446 technology into an efficient and effective tri-generation system. The energetic performance
447 analysis demonstrates high tri-generation system efficiency is attainable at low system
448 capacities. The encouraging performance is primarily due to the high electrical efficiency
449 of the SOFC and the reasonable COP_{th} of the liquid desiccant system when operating on
450 low grade waste heat. The operational issues encountered with the SOFC illustrate the real
451 challenge of fuel cell deployment in the built environment. Reliability, durability and cost
452 currently pose a great barrier to fuel cell's wider use. Not until these issues are addressed
453 will the operational advantages of fuel cells operating in the built environment be fully
454 realised.

455 **3 Emission and economic performance analysis** 456 **assessment**

457 The aim of this section is to conduct a detailed economic and emission performance
458 analysis assessment of the novel SOFC liquid desiccant tri-generation system. This is to
459 determine whether it is a viable alternative to other comparable systems. The assessment
460 uses the SOFC tri-generation system performance data presented in Table 1 operating at
461 a 1.5kW_e and 2.0kW_e capacity, and compares it to an equivalent base case system
462 comprising grid electricity, natural gas fired boiler and electrically driven VCS. As in the
463 energetic analysis, presented in section 2.3, the capacities of the base case system
464 components are assumed equal to the respective electrical (1.5kW_e / 2.0kW_e), heating
465 and cooling capacities of the tri-generation system employed in the comparison. The
466 electrical efficiency of the base case system has been assumed as 33%, thermal efficiency
467 of the gas fired boiler has been assumed as 90% and the COP_{el} of the VCS is assumed
468 constant at 2.

469

470 **3.1 Economic assessment**

471 In this section, an economic assessment of the novel SOFC liquid desiccant tri-generation
472 system operating within a UK and worldwide economic climate is presented. The economic
473 assessment compares the 1.5kW_e and 2.0kW_e capacity tri-generation systems to an
474 equivalent base case system over a 15 year time period. The economic evaluation metrics
475 used are: net present cost (NPC), equivalent uniform annual cost (EUAC) and simple pay-
476 back period (SPBP). The unit cost of electricity, unit cost of natural gas and the capital
477 cost of the SOFC are varied, in a reasonable range, to carry out a sensitivity analysis of
478 the NPC and SPBP. Using electrical unit cost data published by the International Energy
479 Agency [45], the economic performance of the tri-generation system in the context of
480 different countries is presented.

481

482 **3.1.1 Economic assessment metrics**

483 NPC, EUAC and SPBP are used to assess the economic performance of the novel SOFC
484 liquid desiccant tri-generation system compared to a base case system.

485

486 **3.1.1.1 Net present cost (NPC)**

487 Net present value (NPV) is an economic tool used to equate the total cost of a project over
488 a specified time period to the total cost today, taking in to account the time value of
489 money. NPV is a good indicator of how much value an investment or project brings to an
490 investor, and is widely used in economic engineering to assess feasibility. However, there
491 are many kinds of systems or projects, such as the SOFC tri-generation system, where
492 there are no sales or incomes. In this case it is common to use net present cost (NPC).
493 Equation 5 is used to calculate NPC [23].

494

495
$$\text{NPC} = \sum_{t=0}^N \frac{AA_{TC}}{(1 + i_r)^n} + I_{cc}$$

496

5

497

498 AA_{TC} is the adjusted annual total costs (£), i_r is the interest rate, n is the year number and
499 I_{cc} is the initial capital cost (£). Selection of a suitable interest/discount rate is based upon
500 risk, opportunity cost or an alternative investment. In engineering based analysis 7% is a
501 widely used value [23]. If inflation is being considered, the adjusted annual total cost
502 (AA_{TC}) is calculated using Equation 6.

503

504
$$AA_{TC} = A_{TC}(1 + i_f)^n$$

505

6

506

507 A_{TC} is the non-adjusted annual total costs (£), i_f is the inflation rate and n is the year
508 number. The scrap value (SV) of the system at the end of the project's life should be
509 considered, and subtracted from the final expenditure. In NPC analysis the annual total
510 expenditure or costs (AA_{TC}) are given as positive figures, and thus the NPC at the end of
511 a system lifetime will be positive. When two or more systems are being evaluated over
512 the same time period, the system with the lowest NPC should be selected.

513

514 **3.1.1.2 Equivalent uniform annual cost (EUAC)**

515 The equivalent uniform annual cost (EUAC) is the annual cost of the project or system
516 equivalent to the discounted total cost or NPC. EUAC is calculated by multiplying the NPC
517 by the capital recovery factor (CRF) as shown in Equation 7.

518

$$519 \quad EUAC = NPC \left[\frac{i_r(1 + i_r)^n}{(1 + i_r)^n - 1} \right]$$

520

7

521

522 **3.1.1.3 Simple pay-back period (SPBP)**

523 The simple pay-back period (SPBP), shown in Equation 8, is used to determine the time
524 required to recoup the funds expended in an investment, or to reach the break-even point.
525 Generally, in engineering projects investors consider a SPBP of five years as acceptable.
526 The SPBP does not account for the time value of money; however it is a useful tool for the
527 quick assessment of whether a project or system is a viable option.

528

$$529 \quad SPBP = \frac{I_{cc}}{\text{Annual savings}}$$

530

8

531

532 I_{cc} is the is the initial capital cost of the system (£). Annual savings are calculated by
533 subtracting the annual total cost (A_{TC}) of the base case system from the annual total cost
534 of the proposed system.

535

536 Table 2 lists the constants used for the economic assessment of the novel tri-generation
537 and equivalent base case system. Where relevant, these constants are adopted in the
538 environmental assessment in section 3.2.

539

540

541 **Table 2 Economic and environmental assessment constants**

Constant	Value	Ref
System lifetime (N)	15 years	[46]
SOFC CHP system cost & installation	£20,950	[4]
Liquid desiccant system cost	£2700	
Potassium formate solution cost (20kg)	£235	
SOFC stack replacement cost and system maintenance	£5000 / 5 years	
UK micro-CHP feed-in-tariff (FiT)	0.125 £.kWh ⁻¹	[47]
Boiler and installation cost	£1300	
VCS capital cost	£500 / kW cooling	[48]
Annual VCS maintenance cost	10% of VCS capital cost	
Annual gas check	£60	
Average natural gas unit cost	0.0421 £.kWh ⁻¹	[42]
Average electricity unit cost	0.172 £.kWh ⁻¹	[40]
Average yearly VCS COP _{el}	2	[44]
Average heating system efficiency (boiler + distribution)	85.5%	
Annual cooling time required	1200hr.yr ⁻¹	[23]
Interest rate (i_r)	7% (constant)	
Inflation rate (i_f)	3% (constant)	
Scrap value (SV)	10% of capital cost	[23]

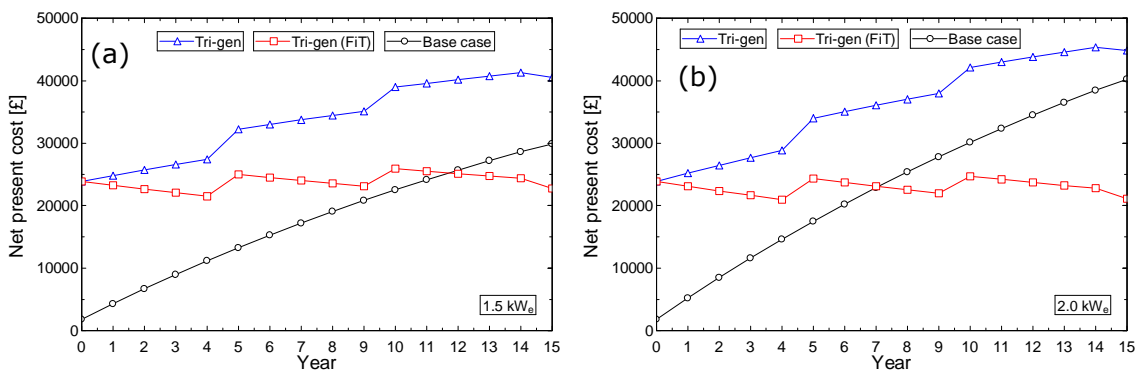
542

543 In the UK, fuel cell CHP of 2.0kW_e or less qualifies for the micro-generation FiT [47]. Under
 544 this scheme, the UK government pays 0.125£.kWh⁻¹ of electricity generated, regardless
 545 of whether it is consumed or exported. Where relevant, the economic assessment
 546 considers the FiT.

547 **3.1.2 Economic assessment results**

548 Figure 7a and Figure 7b show the respective NPC of the 1.5kW_e and 2.0kW_e tri-generation
 549 systems and equivalent base case systems over a 15 year period. The assessment
 550 considers the performance of the tri-generation system with and without FiT support. The
 551 initial NPC in year 0 is the system investment cost, which is much higher for the tri-
 552 generation system compared to the base case. The NPC of the systems increases over
 553 time due to the annual operating costs. The tri-generation system with FiT support displays
 554 only a marginal increase in the NPC over the 15 year period because the FiT almost pays
 555 for the annual operating cost of the system. For the tri-generation systems, an NPC spike
 556 is seen at year five and ten; this is due to the stack replacement requirement. The small
 557 dip in NPC at year 15 is due to the scrap value of the systems.

558



559

560 **Figure 7 NPC comparison at a 1.5kW_e in (a) and 2.0kW_e in (b) capacity between the tri-**
 561 **generation system with and without the FiT and the base case system**

562

563 Table 3 presents the NPC, EUAC and SPBP results for the tri-generation and base case
 564 systems.

565

566

567 **Table 3 Economic assessment results**

	1.5kW_e tri	1.5kW_e base	2.0kW_e tri	2.0kW_e base
NPC (no FiT)	£40544	£29898	£44818	£40257
NPC (FiT)	£22770	---	£21120	---
EUAC (no FiT)	£4451	£3283	£4921	£4420
EUAC (FiT)	£2500	---	£2319	--
SPBP (no FiT)	19.8 years	---	14.7 years	---
SPBP (FiT)	9.8 years	---	7.3 years	--

568

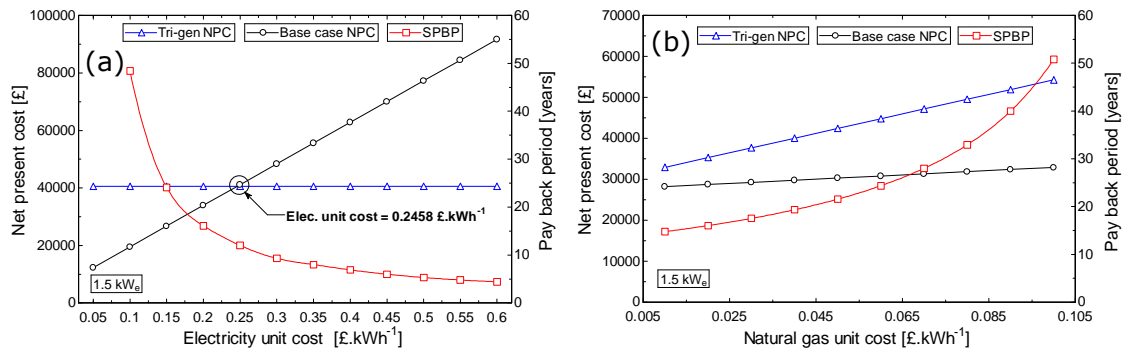
569 Without FiT support, the NPC of both the 1.5kW_e and 2.0kW_e tri-generation systems are
570 26% and 10% higher than the equivalent base case systems respectively. However, with
571 FiT support there is a 31% and 90% reduction in the NPC of the 1.5kW_e and 2.0kW_e tri-
572 generation systems compared to the equivalent base case systems respectively. When the
573 FiT is considered the annual revenue means the tri-generation systems have a favourable
574 NPC compared to the base case in year 11.5 for the 1.5kW_e tri-generation system and
575 year 7 for the 2.0kW_e tri-generation system. The NPC of the 1.5kW_e tri-generation system
576 is lower than the 2.0kW_e tri-generation system when no FiT is considered, but higher when
577 the FiT is considered. The higher NPC seen in the 2.0kW_e tri-generation system without
578 FiT is due to the higher fuel input requirement, and thus higher annual operating costs.
579 However, when FiT is considered the 2.0kW_e tri-generation system provides greater annual
580 revenues and thus a lower NPC. Both with and without FiT support, the 2.0kW_e tri-
581 generation system has a lower SPBP compared to the 1.5kW_e tri-generation system.
582 Although the 2.0kW_e tri-generation system suffers an electrical efficiency reduction and
583 thus a greater fuel input, the higher electrical capacity means it is offsetting more grid
584 derived electricity. Per kWh, grid derived electricity has a higher associated cost compared
585 to natural gas, and thus the SPBP of the 2.0kW_e tri-generation system is lower.
586 Furthermore, the 2.0kW_e tri-generation system has a greater cooling output, and thus the

587 equivalent base case system requires more grid derived electricity for the VCS. In all cases
588 the tri-generation systems generate annual operating cost savings compared to the base
589 case systems. The high NPC and SPBP of the tri-generation systems are therefore due to
590 the capital cost of the SOFC.

591

592 Figure 8a compares the economic performance of the 1.5kW_e tri-generation system and
593 equivalent base case system with respect to the unit cost of electricity. No FiT is
594 considered. The unit cost of electricity does not affect the NPC of the tri-generation system,
595 only the base case system. As the unit cost of electricity increases from 0.05 to 0.6£.kWh^{-1}
596 ¹ the NPC of the base case system increases, and thus the economic feasibility of the tri-
597 generation system improves. At an electrical unit cost of 0.2458£.kWh^{-1} there is a NPC
598 break-even point between the tri-generation and base case system. Above 0.2458£.kWh^{-1}
599 ¹ the 1.5kW_e tri-generation system has a better (lower) NPC and should be considered
600 over the base case system. At an electrical unit cost of 0.2458£.kWh^{-1} the tri-generation
601 system has a SPBP of 12 years. For the SPBP to fall below five years, an electrical unit
602 cost of 0.55£.kWh^{-1} is required. In comparison, the 2.0kW_e tri-generation system has a
603 NPC break-even electrical unit cost of 0.1955£.kWh^{-1} . Due to the continual rise in utility
604 electricity prices, the break-even electrical unit cost which produces tri-generation system
605 economic feasibility are realistic and not far off current prices as demonstrated in Figure
606 9.

607



608

609 **Figure 8 NPC and SPBP comparison between the 1.5kW_e tri-generation system and base**
 610 **case system with (a) electricity unit cost, and (b) natural gas unit cost**

611

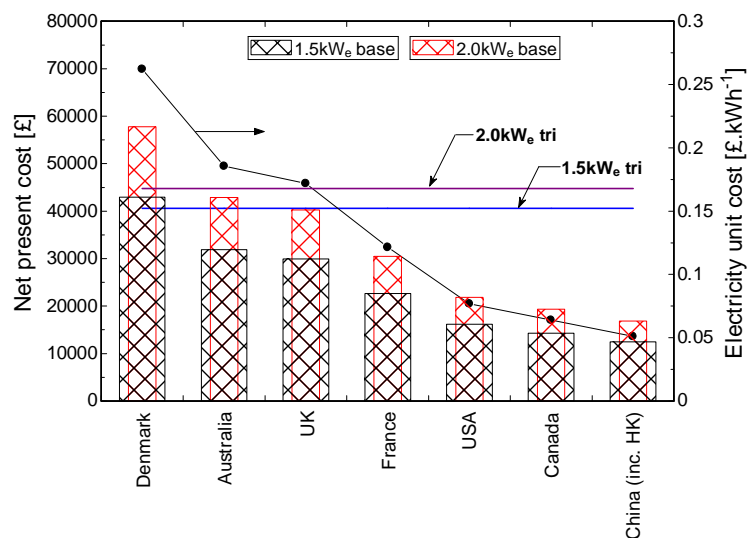
612 Figure 8b compares the economic performance of the 1.5kW_e tri-generation system and
 613 equivalent base case system with respect to the unit cost of natural gas. No FiT is
 614 considered. Natural gas unit cost affects both the tri-generation and base case system's
 615 NPC. As the unit cost of natural gas increases from 0.01 to 0.1£.kWh⁻¹ the NPC of both
 616 the tri-generation and base case systems increase. The tri-generation system is more
 617 sensitive to changes in the unit cost of natural gas compared to the base case system due
 618 to a greater proportionate demand. For the 1.5kW_e tri-generation system there is not a
 619 natural gas unit cost that makes the tri-generation system favourable i.e. a NPC break-
 620 even point. As the natural gas unit price is increased the reduction in NPC between the
 621 base case and tri-generation system increases, and as a result the SPBP increases. As the
 622 natural gas unit cost is increased from 0.01£.kWh⁻¹ to 0.1£.kWh⁻¹ the tri-generation
 623 system SPBP increases from 14 years to 51 years. The 2.0kW_e tri-generation system does
 624 have a NPC break-even natural gas unit cost of 0.0233£.kWh⁻¹. However this is very low
 625 and not realistic in the current economic climate where fossil fuels have such value.

626

627 Figure 9 shows the NPC of a 1.5kW_e and 2.0kW_e equivalent base case system in a range
 628 of different counties with respect to electrical unit cost data published by the International
 629 Energy Agency [45]. The NPC of the respective tri-generation systems (horizontal lines)
 630 are plotted to indicate which countries the novel system is currently economically viable
 631 in. Based on the current assumptions, the novel tri-generation system (1.5kW_e and

632 2.0kW_e) is only economically viable in Denmark where the unit cost of electricity is
 633 0.262£.kWh⁻¹. The largest different between the NPC of the tri-generation and base case
 634 system is in China, where the unit cost of electricity is as low as 0.0512£.kWh⁻¹. Based
 635 purely on economic performance, the novel tri-generation system is more suited to
 636 European locations, where on average the unit cost of electricity is higher than Asia and
 637 the Americas. As discussed in Figure 8a, the 2.0kW_e tri-generation system has a lower
 638 NPC break-even electrical unit cost. As a result, the 2.0kW_e system is almost feasible in
 639 the current Australian economic climate. Section 3.2 assesses the environmental
 640 performance of the tri-generation system in the same countries. The aim is to highlight
 641 any geographical similarities or differences between the economic and environmental
 642 feasibility of the novel system.

643



644

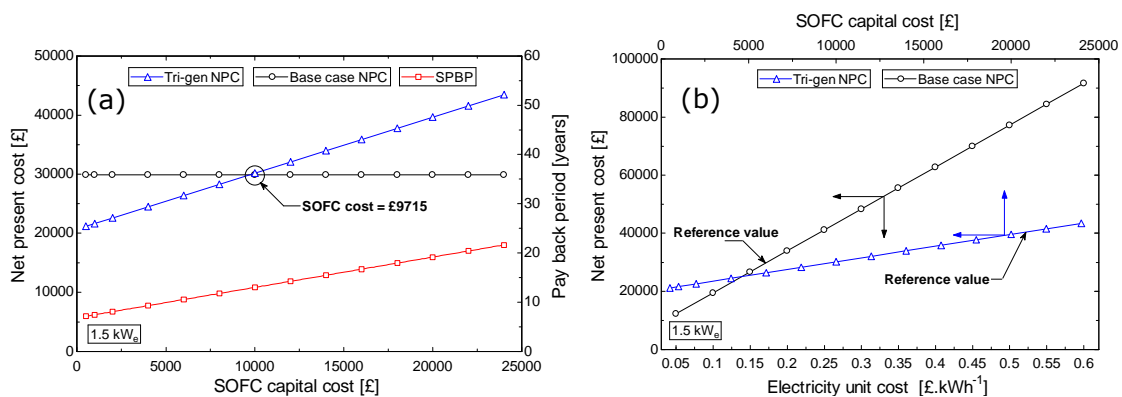
645 **Figure 9 NPC comparison between the 1.5kW_e and 2.0kW_e tri-generation system and**
 646 **base case system with respect to country of operation**

647

648 Figure 10a shows the NPC of the 1.5kW_e tri-generation system and equivalent base case
 649 system with respect to the SOFC capital cost. The capital cost of the tri-generation system,
 650 operating at a 1.5kW_e capacity, needs to be £9715 or less for it to be economically viable
 651 compared to the base case system. At a 2.0kW_e capacity the required SOFC capital cost is
 652 £16135. As the capital cost of the SOFC increases, the SPBP increases. At the 1.5kW_e NPC

653 break-even point of £9715 the SPBP is 12.8 years. Although not shown in Figure 10a,
 654 variation in the liquid desiccant system capital cost has a negligible impact on NPC and
 655 SPBP. Reducing the liquid desiccant system capital cost by 50% results in a 4.5% reduction
 656 in the SPBP. Reducing the SOFC capital cost by 50% results in a 32% reduction in the
 657 SPBP, demonstrating that tri-generation system economic viability presides with reducing
 658 the capital cost of the SOFC.

659



660

661 **Figure 10 NPC and SPBP comparison between the 1.5kW_e tri-generation system and**
 662 **base case system with (a) SOFC capital cost, and (b) electricity unit cost and SOFC**
 663 **capital cost**

664

665 Figure 10b shows the NPC for the 1.5kW_e tri-generation and equivalent base case system
 666 with respect to SOFC capital cost and unit cost of electricity respectively. Up to an
 667 electricity unit cost of 0.11£.kWh⁻¹ the base case system is always better than the tri-
 668 generation system. However at the electrical unit cost reference value of 0.172£.kWh⁻¹,
 669 the 1.5kW_e tri-generation system is competitive when the SOFC capital cost is less than
 670 £9500. At the intersection point, the tri-generation system is economically favourable if
 671 the SOFC capital cost is less than £4750 with an electrical unit cost of greater than
 672 0.14£.kWh⁻¹ (i.e. UK, Australia).

673

674 **3.1.3 Economic assessment conclusions**

675 Within a UK economic climate it has been demonstrated that the NPC of the novel tri-
676 generation system is only favourable when FiT is considered, in which case the 2.0kW_e
677 output is best. The tri-generation system has a lower annual operating cost than the base
678 case; however, NPC and SPBP analysis demonstrates that the novel system is currently
679 uneconomical. This is primarily due to the SOFC capital cost and the requirement of stack
680 replacement, not the liquid desiccant unit capital cost. In the current UK economic climate
681 the SOFC capital cost needs to be less than £9000 for the tri-generation system to be
682 competitive. This is a cost estimate supported by Staffell and Green [49] in their economic
683 evaluations of SOFC CHP systems. PEMFC technology has demonstrated considerable price
684 reduction over the last six years. The 1kW_e Panasonic unit had a unit cost of £27,300 in
685 2009, but as of 2015 it is being supplied to energy companies for £3600. CFCL forecast
686 that they can supply the BlueGEN SOFC unit for £5200 once in mass production. Currently,
687 the much lower PEMFC unit costs are due to the technology being around five years ahead
688 of SOFC [4]. Many commercial developers believe the future of cheaper fuel cell technology
689 lies with SOFC systems as they do not need to use expensive platinum catalysts like
690 PEMFC. Based on the example of PEMFC cost reductions, significant SOFC cost reductions
691 can be anticipated. The SOFC cost target figures presented are therefore sensible and
692 could be realistically achieved in the next five to ten years, making the tri-generation
693 system economically viable in almost all cases.

694

695 Currently, the tri-generation system becomes competitive, and even demonstrates good
696 profitability, compared to the base case system when a government's financial support,
697 such as the FiT, is considered. However, with continued instability in governmental support
698 for low carbon sustainable energy, the novel tri-generation system needs to become
699 economically viable in its own right for it to be considered a viable alternative to
700 conventional energy supply. Furthermore, a 2.0kW_e base load capacity is large, and
701 effective electrical utilisation may be problematic, particularly in a domestic building

702 context. With the possibility of future withdrawal of the UK government's financial support
703 for fuel cell CHP technology, maximising in-house electrical consumption will be essential
704 to maintain economic viability. A lower electrical capacity fuel cell would therefore be
705 required. The Japanese domestic market, which is estimated to be ten years ahead of the
706 European market, is now focussing domestic fuel cell CHP development at capacities of
707 750W_e [2], a possible insight into the future of where European domestic fuel cell
708 development needs to go.

709

710 Like other small scale tri-generation systems presented in the literature, the economic
711 performance of the SOFC liquid desiccant tri-generation system is most sensitive to the
712 unit cost of natural gas [20]. The tri-generation system is economically superior compared
713 to the base case system when the unit cost of electricity is greater than 0.24£.kWh⁻¹ and
714 as a result Denmark is currently the only country investigated where the tri-generation is
715 economically viable. However, with the extraction of easily accessible fossil fuels
716 diminishing, the unit cost of electricity in many countries is set to continue to rise thus
717 strengthening the economic case of the novel tri-generation system [50].

718

719 **3.2 Environmental assessment**

720 In this section, an environmental assessment of the novel tri-generation system operating
721 within a UK energy system context is presented. The environmental assessment compares
722 the 1.5kW_e and 2.0kW_e tri-generation system to an equivalent base case system. The
723 evaluation metric used in the environmental assessment is the annual CO₂ emission. This
724 is determined through the multiplication of the annual natural gas and electrical demand
725 by their respective emission factors and summing the result. The emission factors of
726 natural gas and electricity are varied, in a reasonable range, to carry out a sensitivity
727 analysis of the environmental performance. Using electrical emission factor data published
728 by Brander, Sood, Wylie, Haughton, and Lovell [51], the environmental performance of
729 the tri-generation system in the context of different countries is presented. The constants

730 used for the environmental assessment of the novel tri-generation and equivalent base
 731 case system are listed in Table 2. The emission factors used are based on a UK energy
 732 system context, and are as follows:

733

- 734 ▪ Average natural gas emission factor: 0.184 kg CO₂.kWh⁻¹ [42]
- 735 ▪ Average electricity emission factor: 0.555 kg CO₂.kWh⁻¹ [41]

736

737 Table 4 presents the environmental assessment results. The 1.5kW_e and 2.0kW_e tri-
 738 generation systems produce a respective 51.3% and 50.2% reduction in annual CO₂
 739 emissions compared to the equivalent base case systems.

740

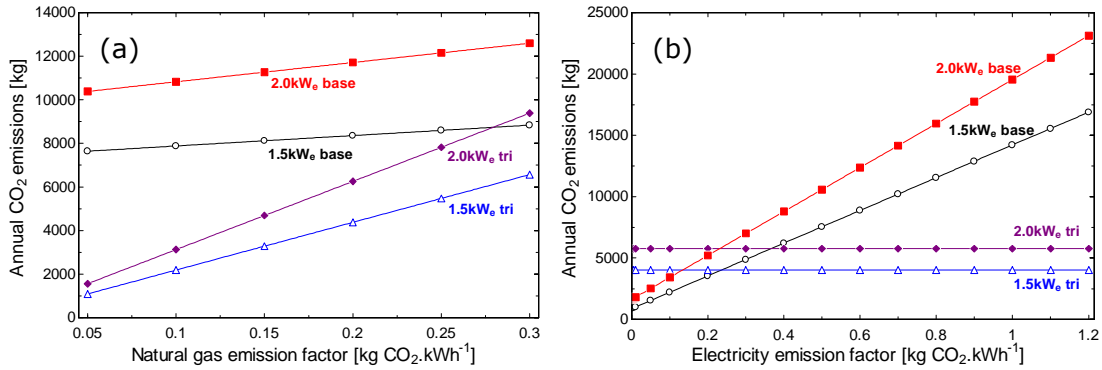
741 **Table 4 Environmental assessment results**

Annual emissions (kg CO ₂)	
1.5kW _e tri	4030
1.5kW _e base	8282
2.0kW _e tri	5756
2.0kW _e base	11567

742

743 Figure 11a shows the annual CO₂ emissions of the 1.5kW_e and 2.0kW_e tri-generation
 744 systems and equivalent base case systems with respect to natural gas emission factor.
 745 Over the investigated natural gas emission factor range of 0.05 to 0.3kgCO₂.kWh⁻¹, the
 746 tri-generation system always has a lower annual CO₂ emission. Both the tri-generation
 747 and base case systems have a natural gas requirement. However, the greater
 748 proportionate natural gas demand in the tri-generation system means its annual CO₂
 749 emission reductions are more sensitive to changes in the natural gas emission factor.
 750 Consequently, as the natural gas emission factor is increased, the relative reduction in
 751 annual CO₂ emissions compared to the equivalent base case systems is diminished. The

752 2.0kW_e tri-generation system is more sensitive to changes in the natural gas emission
 753 factor than the 1.5kW_e tri-generation system due to a lower electrical efficiency.
 754

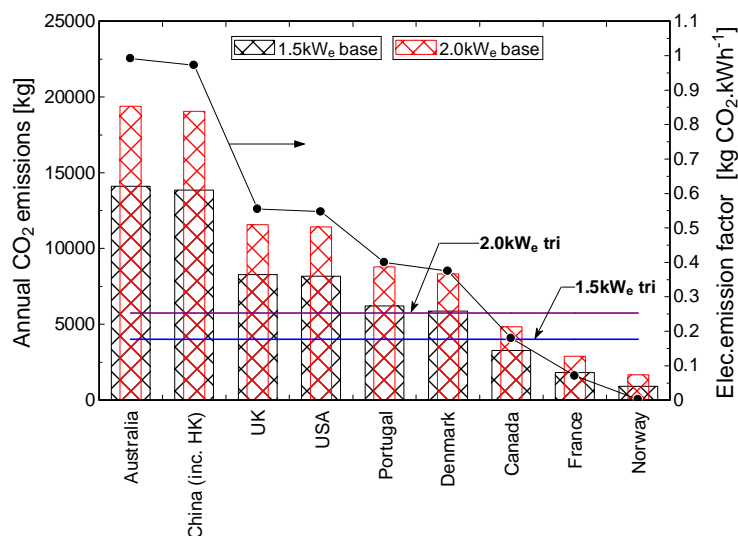


755
 756 **Figure 11 Annual CO₂ emission comparison between the 1.5kW_e and 2.0kW_e tri-**
 757 **generation systems and equivalent base case system with (a) natural gas emission**
 758 **factor, and (b) electricity emission factor**

759
 760 Figure 11b shows the annual CO₂ emissions of the 1.5kW_e and 2.0kW_e tri-generation
 761 systems and equivalent base case systems with respect to electrical emission factor. The
 762 tri-generation system has no electrical demand, and thus only the base case system is
 763 affected by the electrical emission factor. The tri-generation systems have a lower annual
 764 CO₂ emission compared to the equivalent base case systems when the electrical emission
 765 factor is greater than 0.2363kgCO₂.kWh⁻¹ for the 1.5kW_e case and 0.2305kgCO₂.kWh⁻¹ for
 766 the 2.0kW_e case.

767
 768 Figure 12 shows the annual CO₂ emissions of the 1.5kW_e and 2.0kW_e equivalent base case
 769 systems in a range of different countries using electrical emission factor data published by
 770 Brander et al. [51]. The annual CO₂ emissions of the respective tri-generation systems
 771 (horizontal lines) are plotted to indicate the countries in which the novel system is
 772 currently environmentally viable. The 1.5kW_e and 2.0kW_e tri-generation systems are
 773 feasible in all the countries investigated except France and Norway as these countries have
 774 an average electrical emission factor of less than 0.1kgCO₂.kWh⁻¹. France and Norway

775 have an energy system that is largely characterised by the use of nuclear and renewables.
 776 As a result, the average electrical emission factor is low. Figure 12 shows that the 1.5kW_e
 777 and 2.0kW_e tri-generation system is most environmentally viable in Australia and China.
 778 Australia and China generate a large proportion of their electricity from coal, which has a
 779 high emission factor per kWh of electricity generated, and thus strengthens the
 780 environmental benefit of adopting the novel tri-generation system. Based on the data
 781 presented in Figure 9 and Figure 12, Denmark is currently the only country investigated
 782 where the novel tri-generation system is both economically and environmentally viable.
 783 Interestingly, the countries where the tri-generation system is not economically feasible
 784 due to a low electrical unit cost are in general the countries in which the system is most
 785 environmentally feasible i.e. Australia and China. This is primarily due to cheap electrical
 786 generation from easily accessible, more polluting fuels such as low grade coal.
 787



788
 789 **Figure 12 Annual CO₂ emission comparison between the 1.5kW_e and 2.0kW_e tri-**
 790 **generation systems and equivalent base case system with respect to country of**
 791 **operation**

793 3.2.1 Environmental assessment conclusions

794 The environmental assessment has demonstrated that the tri-generation system is
 795 environmentally viable in almost all scenarios. In a UK energy system context the tri-

796 generation system generates up to 51% annual CO₂ emission reductions compared to the
797 base case. Over the investigated natural gas emission factor range, the tri-generation
798 system is always superior. The tri-generation system's environmental performance is not
799 directly influenced by changes in the electrical emission factor, however the base case is.
800 As a result, changes in the electrical emission factor have a marked impact on the relative
801 performance of the tri-generation system with respect to the base case system. The tri-
802 generation system is environmentally viable when the electricity emission factor is greater
803 than 0.23kg CO₂.kWh⁻¹. France and Norway have a large nuclear and renewable (hydro-
804 electric) energy capacity. As a result, their electricity emission factor is low, and thus the
805 tri-generation system does not provide an environmental benefit in such a setting.
806 Countries such as Australia and China demonstrate the greatest environmental benefit
807 from adopting the novel tri-generation system. As Berger [5] states, the move to a
808 hydrogen economy and with it the transition from the use of hydrocarbon to pure
809 hydrogen-fed fuel cells in the next 30 years provides the potential for highly efficient, zero
810 carbon energy conversion. With such a transition the novel tri-generation system would
811 be highly competitive in almost all scenarios.

812 **4 Conclusions**

813 This paper has served to provide a performance analysis assessment of a novel SOFC
814 liquid desiccant tri-generation system for building applications. Using empirical SOFC and
815 liquid desiccant component data, an energetic, economic and environmental performance
816 analysis assessment of a first-of-its-kind system has been completed. No previous work
817 on such a system has been identified in the literature. With reference to the paper's specific
818 aims set out in the introduction, conclusions of the paper's performance analysis
819 assessment are as follows:

820

821 (1) SOFC and liquid desiccant are a viable technological pairing in the development of
822 an efficient and effective tri-generation system. High tri-generation efficiencies in
823 the range of 68-71% are attainable. This is primarily due to the high electrical
824 efficiency of the SOFC and the reasonable COP_{th} of the liquid desiccant system when
825 operating on low grade waste heat.

826 (2) The inclusion of liquid desiccant air conditioning technology provides an efficiency
827 increase of 9-15% compared to SOFC electrical operation only, demonstrating the
828 potential of the system in building applications that require simultaneous electrical
829 power, heating and/or dehumidification/cooling.

830 (3) Compared to an equivalent base case system, the tri-generation system is currently
831 only economically viable with a government's financial support. SOFC capital cost
832 and stack replacement are the largest inhibitors to economic viability.
833 Environmental performance is closely linked to electrical emission factor, and thus
834 performance is heavily country dependent.

835 (4) The countries, in which the system is environmentally viable, are in general the
836 counties in which the system is not economically feasible. This is primarily due to
837 the play off between cheap electrical generation from fossil fuels and more
838 expensive cleaner electrical generation from renewables or nuclear.

839 (5) The economic and environmental feasibility of the novel tri-generation system will
840 improve with predicted SOFC capital cost reductions and the transition to clean
841 hydrogen production.

842

843 Although the novel tri-generation system concept has been demonstrated, future work
844 needs to focus on improving the current unreliability and durability of fuel cell technology,
845 along with reducing its capital cost.

846

847

848 **5 Nomenclature**

849 AA_{TC} = Adjusted annual total costs (£)

850 CFCL = Ceramic Fuel Cells Ltd.

851 CHP = Combined heat and power

852 COP_{el} = Electrical coefficient of performance

853 COP_{th} = Thermal coefficient of performance

854 $c_{p,WHR}$ = Specific heat capacity of water in WHR circuit ($J.kg^{-1}.K$)

855 EUAC = Equivalent uniform annual cost (£)

856 FiT = Feed-in-tariff

857 η_{elec} = Electrical efficiency (%)

858 η_{tri} = Tri-generation efficiency (%)

859 i_f = Inflation factor (%)

860 i_r = Interest/discount rate (%)

861 I_{cc} = Initial capital cost (£)

862 ICE = Internal combustion engine

863 MCFC = Molten carbonate fuel cell

864 MRR = Moisture removal rate ($g.s^{-1}$)

865 \dot{m}_{WHR} = Water mass flow rate in WHR circuit ($kg.s^{-1}$)

866 n = Year number (Years)

867 NPC = Net present cost (£)

868 PAFC = Phosphoric acid fuel cell

869 PEMFC = Proton exchange membrane fuel cell

870 PED = Primary energy demand

871 $\dot{Q}_{cooling}$ = Dehumidifier cooling output (W)

872 \dot{Q}_{CH_4} = Natural gas fuel input (W)

- 873 \dot{Q}_{reg} = Regenerator thermal input (W)
- 874 \dot{Q}_{WHR} = Waste heat recovered (W)
- 875 $\dot{W}_{elec,AC}$ = Net AC electrical power output (W)
- 876 SE = Stirling engine
- 877 SOFC = Solid oxide fuel cell
- 878 SPBP = Simple pay-back period (Years)
- 879 SV = Scrap value (£)
- 880 T = Temperature (°C)
- 881 Tri = Tri-generation
- 882 VAS = vapour absorption cooling system
- 883 VCS = vapour compression system
- 884 WHR = Waste heat recovery

885

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892

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