

1 **Risk of venous thromboembolism in hospitalised cancer**
2 **patients in England – A cohort study**

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27 **Abstract**

28 **Background** Venous thromboembolism (VTE) is a well-recognised and life-
29 threatening complication in patients with cancer. However, the precise risk of VTE in
30 hospitalised cancer patients in England has not been previously reported.

31 **Methods** We conducted a cohort study using linked Hospital Episodes Statistics
32 and Office for National Statistics mortality data. We determined the risk of VTE
33 separately for 24 cancer sites following first hospitalisation for cancer (index date)
34 and how this varied by age, proximity from hospital admission, administration of
35 chemotherapy and calendar time.

36 **Results** 3,558,660 patients were hospitalised for cancer between 1998 and 2012.
37 The cancer sites with the highest risk of VTE during initial hospitalisation for cancer
38 were pancreatic (4.9%), ovarian (4%) and liver (3.8%). The three cancer sites with
39 the highest risk of first VTE event within 6 months from discharge, were pancreatic
40 (3.7%), oesophagus (3%) and stomach (2.8%). For most cancers, the risk of VTE
41 within 6 months from discharge was higher amongst patients who underwent
42 chemotherapy compared to those who did not. The impact of age on risk of VTE
43 varied considerably between cancer sites.

44 **Conclusion** The risk of VTE amongst patients hospitalised for cancer varies greatly
45 by cancer site, age, proximity from hospital admission and chemotherapy
46 administration.

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48 **Keywords:** cancer, venous thrombosis, hospitalisation, epidemiology,
49 chemotherapy

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51 **1 Introduction**

52 Venous thromboembolism (VTE) is responsible for approximately 25,000 deaths
53 each year in the UK and it is well established that patients with cancer are at higher
54 risk of VTE compared to the general population.¹⁻³ The estimated annual incidence
55 of VTE in the cancer population is 1.3% and the risk of death is higher for cancer
56 patients with VTE than for those without.⁴⁻⁸ Given the ageing population and
57 increased early diagnosis, more people are living with cancer in the UK than ever
58 before.⁹ Therefore prevention of a potentially fatal cancer associated-VTE among
59 patients is of paramount importance. Furthermore, the long-term consequences of VTE
60 such as post thrombotic syndrome (PTS) are more of an issue now as people with cancer
61 are living long enough now to develop them. The cost to the NHS for management of PTS is
62 significant and covered in the Department of Health enquiring into VTE (ref??)

63 Current UK guidelines, published by the National Institute for Health and Care
64 Excellence (NICE), recommends prophylaxis for VTE for cancer patients admitted to
65 hospital, but only routinely to those hospitalised for 3 or more days or who are
66 expected to have ongoing reduced mobility.¹⁰ Prophylaxis is cheap and highly
67 effective (around 50 to 70% reduction)^{11,12} however to prevent unnecessary harm
68 from thromboprophylaxis and its associated adverse effects, careful consideration
69 must be given to identify patients who are most at risk so that prophylaxis can be
70 appropriately targeted.

71 Previous studies and a recent report from the Centers for Disease Control and
72 Prevention confirm hospitalisation is an important risk factor for VTE, and
73 emphasise the need for greater awareness of VTE risks and implementation of
74 preventative measures in hospital.^{13,14} To date, a limited number of hospital-based
75 studies in patients with cancer (outside of the UK only) have been conducted; and
76 the majority of studies did not determine the risk of VTE following discharge.¹⁵⁻¹⁸ Of

77 the two that did, one study was limited to a select patient group and the other did
78 not determine how risk of re-admission for VTE varies by potential risk factors.^{15,16}

79 There is therefore a need for a hospital-based cohort study in patients with cancer in
80 England to determine contemporary and precise estimates of the risk of VTE, taking
81 into account risk factors such as age, cancer site, proximity from admission and
82 chemotherapy administration. Such risk stratification could be used to inform future
83 clinical guidelines and optimise the use of prophylactic anticoagulation when
84 patients are admitted to hospital with cancer.

85 This study uses the English Hospital Episode Statistics (HES) and linked Office for
86 National Statistics (ONS) death certificate data to determine the risk of VTE in
87 hospitalised cancer patients, during admission and post discharge, and stratified by
88 risk factors.

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90 **2 Methods**

91 **2.1 Data source and Patients**

92 We conducted a cohort study using the Hospital Episode Statistics (HES) database,
93 which contains details on all inpatient and day case admissions to English NHS
94 hospitals from 1989. More than 12 million admission records are added each year.¹⁹
95 The database is managed by the Health and Social Care Information Centre and
96 contains data on hospitalisations, which are broken down into periods of care seen
97 by consultants (episodes). The primary diagnosis per episode is indicated. A
98 diagnosis is coded using the ICD-10 (International Classification of Diseases, 10th
99 revision) and a procedure is coded using the OPCS-4 (Office of Population,
100 Censuses and Surveys' classification of surgical operations and procedures, fourth

101 revision). HES is linked to the ONS death registry which provides date of death for
102 all deceased patients.

103 We selected patients who had a first cancer diagnosis recorded in HES (ICD-10
104 Chapter II, C00-C97, excluding non-melanoma skin cancer) between 1st January
105 1998 and 31st October 2012, as this was the period the HES data were available for
106 at the time of writing. Patients who had a VTE event were identified. Patients were
107 excluded if:

- 108 • Under 18 years of age at first cancer diagnosis
- 109 • Had a VTE diagnosis in a hospital admission prior to the cancer admission

110 Data were analysed separately for the 24 most common cancer sites (based on
111 2007 UK incidence data). Cancer sites not included within these were categorised
112 as 'Other'. 'Unknown primary' site consisted of metastatic cancers with no known
113 primary cancer site (C77-C80). Cancer site classification was based on the first
114 occurring cancer and the corresponding date was assumed to be the date of
115 diagnosis (termed index date from this point onwards). Ethical approval was given
116 by the ONS for this study (reference number RU863/NIC-165667-FH1W1).

117 **2.2 VTE event**

118 For the cancer patients, aVTE diagnosis was defined as (i) having a hospital
119 admission for pulmonary embolism (ICD-10, I26) or venous thrombosis (ICD-10,
120 I80, I81 or I82) or (ii) having one of the above codes as underlying cause of death.
121 The first VTE event concurrent with or following the index date was selected as the
122 outcome of interest.

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127 **2.3 Chemotherapy**

128 Patients receiving inpatient therapy were identified using OPCS-4 codes for
129 chemotherapy (X72.1, X72.2, X72.3 and X73.1).

130 **2.4 Statistical methods**

131 The risk of VTE was stratified by timing of first VTE event, that is, whether the event
132 occurred during the same hospitalisation as the index date or as re-admission in the
133 6 months following discharge; for all 24 cancers sites. Further stratification by age-
134 group (<60, 60-80 and >80 years) was performed for the four most commonly
135 diagnosed cancers in the UK (Breast, Lung, Bowel and Prostate), those found to be
136 at high risk of VTE (according to our data) and all cancers combined. The relative
137 risk of first VTE as a re-admission within 6 months from discharge amongst those
138 who had a record of chemotherapy compared to those who did not was determined
139 using logistic regression, for all cancer sites.

140 Trends in VTE risk over time (assigning patients to year of index date) were
141 investigated for the four most commonly diagnosed cancers. Patients whose first
142 VTE event was concurrent with their index date were removed from this analysis to
143 ensure the VTE event was subsequent to the cancer diagnosis. Patients whose
144 index date was in 2012 were also excluded from this part of the analysis as data
145 were not available for the full calendar year. To control for differing length of
146 hospital stay (a marker of cancer severity), we repeated the analysis stratified by
147 short term (<3 days) and prolonged stay (≥ 3 days). This cut off was chosen
148 according to NICE VTE guidelines (NICE guidelines, 2010). We also conducted a

149 sensitivity analysis to determine if trends for the whole cohort were different to the
150 subgroup of patients whose primary diagnosis was cancer.

151 In addition to risk, absolute rates of VTE were determined to account for varying
152 length of survival by type of cancer. The rates were presented by cancer site and
153 timing of VTE event in relation to hospitalisation: during hospitalisation or six months
154 post-discharge. Person-time at risk commenced at the time of index date or time
155 from discharge for each respective group. Patients were followed up until they
156 developed a VTE event, died, six months post-discharge, or 31st October 2012 (last
157 data collection date), whichever was earliest. Rates were calculated as the number
158 of first VTE events divided by person-time (per 1000 person-years). VTE events
159 concurrent with start of follow-up were excluded (as these patients did not contribute
160 person-time years). All data management and statistical analysis were performed
161 using Stata 12 (Statacorp, 4905 Lakeway Drive, College Station, Texas 77845,
162 USA).

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164 **3. Results**

165 **3.1 Patients**

166 A total of 3,558,680 patients were identified with a hospital admission for cancer
167 between 1998 and 2012. The median age at index date was 70 [IQR 59.6, 78.7]
168 years. Of these patients, 108,770 (3.06%) had a VTE anytime between index date
169 and up to 6 months from discharge; just under two-thirds of these (n=66,954;
170 61.6%) had their first VTE during the hospitalisation for cancer (Table 1).

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179 **Table 1 Patient characteristics**

Characteristic	No.patients^a	%
Sex		
Male	1,803,145	50.7
Female	1,755,535	49.3
Age at first cancer diagnosis (years)		
18-40	154,617	4.3
41-60	761,059	21.4
61-80	1,879,373	52.8
>80	763,631	21.5
Mean (SD)	68.2 (14.3)	
Median [IQR]	70 [59.6, 78.7]	
Follow-up time (years)		
Total	12,028,985	
Median [IQR]	1.70 [0.33, 5.46]	
First VTE event		
During hospitalisation	66,954	43.02*
Within 6 months following discharge	41,816	26.87*
Beyond 6 months following discharge	46,880	30.12*
Entire study	155,650	

180 a unless otherwise stated; SD=standard deviation; IQR=Interquartile range; * of total number of

181 patients who had a VTE during the entire study.

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189 **3.2 Risk of first VTE by cancer site and timing from index date**

190 For the majority of cancers, the risk of VTE during hospitalisation was higher than in
191 the first six months post-discharge (1.88% vs.1.42% respectively, overall) (Table 2).

192 The cancer sites with the highest proportion of VTE events during initial
193 hospitalisation for cancer were pancreatic (4.89%), ovarian (4.01%) and liver
194 (3.84%). In contrast, VTE occurred in less than 0.5% of patients with malignant
195 melanoma, oral and laryngeal cancer. Of the 2,943,792 patients alive at discharge
196 and without a prior VTE event, the three cancer sites with the highest risk of a VTE
197 within 6 months were pancreatic (3.66%), oesophagus (2.98%) and stomach
198 (2.84%).

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200 **3.3 Risk of VTE by age and timing from index date**

201 For all cancers combined, the risk increased from 1.4% in those less than 60 years
202 to 2.3% in those over 80 years (Table 3). However, for the cancers we considered
203 with a poor prognosis (lung, liver and pancreatic) the risk of VTE during
204 hospitalisation decreased with age.

205 **3.4 Trends of VTE by calendar year**

206 Figs 1 - 2 display the risk of VTE during hospitalisation and within 6 months of
207 discharge, respectively, by year of index date. With respect to the risk during
208 hospitalisation, the trends varied by cancer site. Overall, the risk decreased with
209 time, especially for breast and prostate cancer. In contrast, for lung cancer, the risk
210 of VTE increased between 1998 and 2008. With respect to the risk of VTE as a re-
211 admission, there was an overall increase over the calendar period. The increase
212 was relatively small for breast and prostate but significant for lung and bowel,
213 increasing 2-fold for lung and just over 50% for bowel from 1998 to 2011.

Table 2 First VTE event (%) stratified by cancer site and timing of event, up to 6 months from discharge

First VTE event Cancer site	During hospitalisation			Within 6 months following discharge			Total		
	No. people	No. with VTE	%	No. people alive at discharge & no	No. with VTE	%		No. with VTE	%
Breast	525,053	4,843	0.92	485,009	3,643	0.75	525,053	8,486	1.62
Lung	395,671	9,808	2.48	278,182	6,436	2.31	395,671	16,244	4.11
Bowel	432,308	7,369	1.70	364,489	5,635	1.55	432,308	13,004	3.01
Prostate	384,078	5,876	1.53	335,231	2,191	0.65	384,078	8,067	2.10
Non-hodgkin lymphoma	134,096	2,979	2.22	113,989	2,155	1.89	134,096	5,134	3.83
Malignant melanoma	86,496	318	0.37	82,445	155	0.19	86,496	473	0.55
Bladder	241,152	2,057	0.85	217,217	1,547	0.71	241,152	3,604	1.49
Kidney	73,273	2,229	3.04	60,755	724	1.19	73,273	2,953	4.03
Oesophageal	98,668	1,395	1.41	79,812	2,379	2.98	98,668	3,774	3.82
Stomach	86,454	2,044	2.36	66,314	1,886	2.84	86,454	3,930	4.55
Pancreatic	78,579	3,846	4.89	52,296	1,915	3.66	78,579	5,761	7.33
Leukemia	108,405	1,913	1.76	86,648	928	1.07	108,405	2,841	2.62
Uterus	74,346	1,113	1.50	68,516	705	1.03	74,346	1,818	2.45
Ovarian	70,613	2,834	4.01	57,162	1,314	2.30	70,613	4,148	5.87
Oral	69,827	301	0.43	62,784	378	0.60	69,827	679	0.97
Brain	69,362	1,545	2.23	55,107	1,353	2.46	69,362	2,898	4.18
Multiple myeloma	59,610	1,058	1.77	48,944	1,033	2.11	59,610	2,091	3.51
Liver	52,242	2,005	3.84	36,485	783	2.15	52,242	2,788	5.34
Cervix	33,618	530	1.58	30,596	459	1.50	33,618	989	2.94
Laryngeal	25,918	127	0.49	22,879	86	0.38	25,918	213	0.82
Testicular	22,985	172	0.75	22,481	201	0.89	22,985	373	1.62
Bone/connective tissue	30,023	483	1.61	26,490	293	1.11	30,023	776	2.58
Thyroid	22,718	122	0.54	21,368	56	0.26	22,718	178	0.78
Mesothelioma	22,354	361	1.61	17,350	349	2.01	22,354	710	3.18

Other site	102,771	1,792	1.74	87,853	1,190	1.35	102,771	2,982	2.90
Unknown	258,040	9,834	3.81	163,390	4,022	2.46	258,040	13,856	5.37
Total	3,558,660	66,954	1.88	2,943,792	41,816	1.42	3,558,660	108,770	3.06

Table 3 First VTE event (%) during hospitalisation stratified by cancer site and age-group

Cancer site	Age (years)								
	<60			60-80			>80		
During hospitalisation	No. people	No. with VTE	%	No. people	No. with VTE	%	No. people	No. with VTE	%
Breast	231,286	1166	0.50	220,311	2112	0.96	73,456	1565	2.13
Lung	60,594	1820	3.00	249,044	5775	2.32	86,033	2213	2.57
Bowel	76,305	984	1.29	247,306	4068	1.64	108,697	2317	2.13
Prostate	32,460	255	0.79	239,913	3516	1.47	111,705	2105	1.88
Ovarian	25,834	740	2.86	34,245	1527	4.46	10,534	567	5.38
Pancreatic	13,278	700	5.27	44,386	2259	5.09	20,915	887	4.24
Liver	11,020	514	4.66	28,717	1101	3.83	12,505	390	3.12
All cancers	915,676	12,787	1.40	1,879,373	36,692	1.95	763,631	17,475	2.29

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2 **3.5 Trends of VTE by calendar year stratified by length of stay**

3 When stratified by hospital duration, the reduction of VTE over time is less
4 pronounced for breast cancer but the rise amongst lung cancer patients still remains
5 (Supplementary Fig 1). Trends by length of stay were similar between the overall
6 cohort (Supplementary Fig 1) and the subgroup of patients whose primary diagnosis
7 was cancer (Supplementary Fig 2).

8

9 **3.6 Risk of VTE by chemotherapy**

10 Of the study population, a total of 719,257 patients (20.2%) received inpatient
11 chemotherapy during the study period and the median time from index date to
12 chemotherapy was 46 [IQR 16, 104] days. The number of people who received
13 chemotherapy during their initial hospitalisation was 250,638 (7%). Of those who
14 were discharged without a VTE, and followed-up for up to six months, 22.7%
15 received chemotherapy (Table 4). For these patients, the odds ratio of VTE in those
16 who underwent chemotherapy compared to those who did not was 1.75 (95% CI
17 1.72, 1.79). The cancer sites associated with the highest risk of VTE within six
18 months from discharge, if chemotherapy was undertaken, were pancreatic (5.2%),
19 stomach (4.87%) and oesophageal (4.67%). The cancer sites with the highest risk
20 of VTE amongst patients not receiving chemotherapy were pancreatic (3.20%),
21 brain (2.52%) and ovarian (2.43%). For all cancer sites, except brain, ovarian,
22 multiple myeloma and oral cancer, the proportion of chemotherapy patients who had
23 a VTE event was statistically significantly higher than those who did not undergo
24 treatment ($p < 0.05$ for all instances).

25

Table 4 First VTE event within 6 months from discharge (%) stratified by cancer site and chemotherapy

Cancer site	No. people alive	Chemotherapy	VTE	%	No chemotherapy	VTE	%
Breast	485,009	138,776	1871	1.35	346,233	1772	0.51
Lung	278,182	71,155	2389	3.36	207,027	4047	1.95
Bowel	364,489	96,577	1853	1.92	267,912	3782	1.41
Prostate	335,231	17,687	180	1.02	317,544	2011	0.63
Non-hodgkin lymphoma	113,989	60,495	1165	1.93	53,494	990	1.85
Malignant melanoma	82,445	4,088	20	0.49	78,357	135	0.17
Bladder	217,217	32,418	291	0.90	184,799	1256	0.68
Kidney	60,755	4,458	61	1.37	56,297	663	1.18
Oesophageal	79,812	22,786	1063	4.67	57,026	1316	2.31
Stomach	66,314	14,916	726	4.87	51,398	1160	2.26
Pancreatic	52,296	12,015	625	5.20	40,281	1290	3.20
Leukemia	86,648	23,957	287	1.20	62,691	641	1.02
Uterus	68,516	7,615	146	1.92	60,901	559	0.92
Ovarian	57,162	28,259	613	2.17	28,903	701	2.43
Oral	62,784	12,080	114	0.94	50,704	264	0.52
Brain	55,107	6,726	135	2.01	48,381	1218	2.52
Multiple myeloma	48,944	18,175	348	1.91	30,769	685	2.23
Liver	36,485	5,228	155	2.96	31,257	628	2.01
Cervix	30,596	7,651	177	2.31	22,945	282	1.23
Laryngeal	22,879	2,711	15	0.55	20,168	71	0.35
Testicular	22,481	9,119	103	1.13	13,362	98	0.73
Bone/connective tissue	26,490	4,231	78	1.84	22,259	215	0.97
Thyroid	21,368	717	3	0.42	20,651	53	0.26
Mesothelioma	17,350	4,908	120	2.44	12,442	229	1.84
Other site	87,853	36,920	476	1.30	50,933	714	1.40

Unknown	163,390	25,095	1128	4.49	138,295	2894	2.09
Total	2,943,792	668,763	14142	2.11	2,275,029	27674	1.22

1 **3.7 VTE rates by cancer site and timing from index date**

2 For all cancer sites, the absolute rate of VTE was higher during hospitalisation compared
3 with rates in the first 6 months following discharge. In the first six months following
4 discharge, the cancer sites associated with the highest rates were pancreatic (11.9 per 1000
5 person-years; CI 11.3-12.4), oesophageal (7.8; CI 7.4-8.1) and lung (6.8; CI 6.7-7.0). The
6 overall rate of VTE was 3.34 per 1,000 person-years (95% CI 3.31-3.37). (Supplementary
7 Fig 3).

8

9 **4. Discussion**

10 **4.1 Main findings**

11 We found that more people developed VTE in their initial hospitalisation than in the
12 subsequent six months, for most cancer types. Regardless of how we assessed VTE,
13 pancreatic cancer was associated with the highest risk of VTE of all measured cancer types,
14 both overall and specifically amongst those who underwent chemotherapy. The overall risk
15 of VTE in people hospitalised for cancer was 3.06% and overall varied from 1.88% during
16 hospitalisation to 1.42% within 6 months from discharge; in those with pancreatic cancer the
17 equivalent risk was 4.89% and 3.66% respectively. For cancer types with a poor prognosis
18 (e.g. lung) there was a negative association between age and risk of VTE. For most cancer
19 types, the risk of VTE within six months from discharge was higher amongst those who
20 received chemotherapy than those who did not. Compared with previous work, we found
21 important differences in time trends depending on whether VTE was assessed during the
22 initial hospitalisation or in the ensuing six months. In particular, re-admission rates for VTE
23 from 1998 to 2011, increased by 2-fold in patients with lung cancer and 50% in those with
24 bowel cancer.

25

26 4.2 Strengths and Limitations

27 This is the first study to describe the risk of VTE in a hospitalised cancer population in the
28 UK, and is one of the largest studies worldwide on this topic. The large sample size gives
29 precise risk estimates stratified by cancer type, including those of lower prevalence. As the
30 HES database incorporates all inpatient and day case hospital admissions taking place in
31 England, our results are nationally generalisable. Moreover, we have been able to
32 distinguish VTE events which were recorded during the cancer admission from those
33 recorded in re-admissions over the subsequent 6 months, providing novel information that
34 can be used in a clinical setting.

35 The main weakness of the study is lack of detail in HES to establish whether VTE is the
36 cause or consequence of hospitalisation when assessing VTE as baseline. This is a
37 limitation inherent in all hospital-based studies using discharge notes, as primary diagnosis
38 is not necessarily the reason for hospitalisation. A further limitation of this study is the
39 reliability of the diagnostic coding for VTE in HES. This is in terms of sensitivity, as not all
40 VTE events may be recorded in secondary care; as well as specificity, as data to support a
41 VTE diagnosis, such as evidence of anticoagulant treatment, are not available in HES. As
42 we did not have access to outpatient data, and given that the majority of cancer-associated
43 VTE is diagnosed and managed as an outpatient~~can be treated outside hospital~~,²⁰ our
44 estimates of the risk of VTE post discharge are most probably underestimated. Thus, the
45 true burden of VTE in hospitalised cancer patients post discharge may be greater than we
46 report.

47 Similar to previous studies, we lack information on potential confounders such as stage of
48 disease and comorbidity which have been shown to be associated with risk of VTE. These
49 variables could explain why patients with certain cancer types, and those undergoing
50 chemotherapy, have a higher risk of VTE than others.^{14,20} Finally, as in the case of other

51 studies, it is likely that we have underestimated the number of people receiving
52 chemotherapy as we have only included therapy during hospital admission.

53 **4.3 Comparison with other studies**

54 This current study is consistent with the findings of previous work, that pancreatic cancer is
55 associated with the highest risk of VTE amongst patients hospitalised for cancer.¹⁶⁻¹⁸ Bloom
56 *et al*, (2004, 2005) conducted hospital-based studies in the Netherlands and reported a risk
57 of 7.4% and 3.9% by 6 months from diagnosis for pancreatic and lung cancer over the
58 period 1990 to 2000, our equivalent results are 7.3% and 4.1% respectively.^{21,22}

59 With respect to the risk of VTE during hospitalisation, our study is best compared to that by
60 Khorana *et al* (2007).¹⁸ The authors established a cohort of 1,015,598 cancer patients
61 between 1996 and 2003 at 133 United States medical centres. The overall risk of VTE
62 during hospitalisation was 4.1%, almost double the risk we report. The risk of VTE may be
63 higher in the US compared to the UK due to true population differences or different case
64 ascertainment and/or use of prophylaxis. A study by Stein *et al* (2006). conducted between
65 1979 and 1999 report the risk to be 2% overall, and highlighting a more than 2-fold increase
66 in VTE in cancer patients between 1979 and 1999.¹⁷

67 The studies by Levitan *et al* (1999) and a separate US study specifically including patients
68 with neutropenia (Khorana *et al*, 2006) both demonstrated that the risk of re-admission for
69 VTE is smaller than during initial hospitalisation, 0.14% vs. 0.6% and 5.7% vs. 6.7%,
70 respectively.^{15,16} A similar pattern was found in this current study (1.42% vs. 1.88%). This
71 could be a result of co-morbidities, infections, lack of mobility or the effect of various
72 treatments during hospitalisation, which are all associated with risk of VTE.²³⁻²⁸

73 With respect to the association between age and risk of VTE in cancer patients, there are
74 inconsistent findings in the literature. Some report that patients older than 65 years are at
75 greater risk of VTE whereas others report that the incidence of VTE in cancer patients is not
76 age dependent.^{16,18,29} We have found, in general, that risk of VTE increases with age during

77 initial hospitalisation, apart from cancers with a poor prognosis. The former could be due to
78 increasing baseline risk of VTE with age. (ref) The latter finding could be due to older
79 patients with a poor prognosis being more likely to die before having a VTE than younger
80 patients.

81 Regarding the effect of treatment on the risk of VTE, the study by Khorana et al (2007) is the
82 only previous hospital-based study to examine the association between VTE event and
83 chemotherapy.¹⁸ The authors report that the risk of VTE was higher amongst patients who
84 underwent chemotherapy, than those who did not (in agreement with this current study).
85 However because the study was not prospective they were unable to explore the risk of re-
86 admission of VTE, neither were results for chemotherapy stratified by cancer type. One
87 other limitation of the study is that the authors randomly selected a single hospitalisation for
88 patients with multiple hospitalisations and only included chemotherapy during the
89 hospitalisation being analysed. In our study we have also included episodes of
90 chemotherapy delivered in subsequent day case admissions and as such would have
91 captured this information more comprehensively. This could explain why we found a higher
92 proportion of patients undergoing chemotherapy (20%) compared to Khorana et al (14%).¹⁸

93 Previous studies have reported an increase in VTE rates in cancer patients over time.^{17,18,29}
94 To our knowledge, only one study has stratified rates by cancer site and demonstrated how
95 the increase in rates over calendar period was higher in those with a greater rate of VTE.²⁹
96 We have demonstrated that the trends in VTE vary not only by cancer site but whether the
97 VTE event occurred during hospitalisation (adjusting for length of stay) or following
98 discharge, with subsequent VTE in patients with cancers of the lung having increased
99 markedly over the 14 year study period.

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104 **4.4 Clinical implications**

105 Given that our study and others highlight the varying risk of VTE by cancer site and the
106 higher risk in hospital compared to post discharge, careful consideration of the patients that
107 would and would not benefit from prophylaxis following hospitalisation is required. For
108 example, young patients with malignant melanoma may experience a net harm from taking
109 in-hospital prophylaxis whereas young patients with pancreatic, lung or liver cancer may
110 benefit. One could argue, however, that for patients with pancreatic cancer, who are at such
111 advanced disease stage and in poor health in general, that prevention of VTE may not be
112 cost effective as they are likely to die short term for other reasons. The relatively low risk of
113 VTE in patients with myeloma could reflect clinicians' use of routine prophylaxis during
114 chemotherapy as an outpatient.

115 Our work adds to ongoing research investigating the association of chemotherapy with the
116 development of VTE in patients with cancer. Such an association has been shown in several
117 studies.³⁰⁻³³ For example, in one population-based case-control study, patients receiving
118 chemotherapy had a higher odds ratio for the development of VTE (6.5) than those not
119 receiving chemotherapy (4.1), when compared with patients without cancer.² Our group's
120 recent work on VTE in breast cancer showed the risk of VTE was 10-fold when
121 chemotherapy was treated as a time-varying covariate. Due to limitations of the data in this
122 current study, we have only been able to crudely analyse the effect of chemotherapy on risk
123 of VTE.

124 Khorana et al, (2008) published a risk assessment model to estimate the risk of VTE in
125 patients with cancer receiving chemotherapy (4066 patients) which has set the stage for
126 randomised clinical trials in this area.³⁴ In this risk model, cancers of the stomach and
127 pancreas were classed as very high risk. Such a classification was supported by data from
128 the sub-group of patients in our study who underwent chemotherapy (which took place an

129 average of 46 days into the 6 month interval), with a high VTE risk (>4%) also occurring
130 among people with oesophageal cancer. Such information could be used to influence the
131 introduction of chemotherapy as a risk factor into some guidelines for specific sub-groups of
132 patients, as has been suggested by the National Comprehensive Cancer Network.³⁵

133 We have demonstrated that trends of VTE over time vary considerably by cancer site. For
134 example, in patients with lung cancer the risk of VTE during hospitalisation doubled between
135 1998 and 2008 (even after adjusting for length of hospital stay), whereas it fell or only slightly
136 increased for all other cancers. This rise may be explained by greater ascertainment by
137 computerised tomography (CT) scan rather than a real rise. Patients with lung cancer are
138 most likely to get follow up CT scans than patients with other cancers and there is increasing
139 CT availability and increasing resolution of scans in the UK.

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143 **4.5 Conclusion**

144 This is the first hospital-based study to report the risk of VTE amongst patients with cancer in
145 the UK. When considering clinical guidelines for inpatients, cancer site may need to be taken
146 into account, especially as the risk varies from 0.37% (malignant melanoma) to 4.89%
147 (pancreas). There could be more of a focus on early prophylactic use amongst the high risk
148 cancers immediately following hospitalisation, especially amongst younger patients with
149 pancreatic cancer; and consideration of chemotherapy, as a potential risk factor, in future
150 clinical decision-making may be required.

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155 **List of abbreviations**

156 VTE Venous thromboembolism

157 HES Hospital Episode Statistics

158 ONS Office for National Statistics

159 NICE National Institute for Health and Care Excellence

160 **Ethical approval**

161 Ethical approval was given by the Office for National Statistics for this study (reference
162 number RU863/NIC-165667-FH1W1).

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166 **Author contributions**

167 MJG had the initial idea and designed the research study. SR conducted the analysis and
168 wrote the first full draft of the paper. AJW contributed to the design of the study and the
169 writing of the paper. TRC provided clinical input. All authors contributed to the final draft of
170 the paper.

171 **Competing interests**

172 The authors declare that they have no competing interests.

173 **Availability of data and materials**

174 Relevant raw data are available on request by contacting the lead author Dr. Sonia Ratib
175 (sonia.ratib@nottingham.ac.uk)

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Figure Legends

Figure 1: Percentage of patients with first VTE during hospitalisation by year of cancer diagnosis

Figure 2: Percentage of patients with first VTE within 6 months following discharge by year of cancer diagnosis