



**Topography-driven isolation, speciation and a global increase of endemism with elevation**

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# 1 Topography-driven isolation, speciation and a global increase of 2 endemism with elevation

3  
4 *Short title:* Topographic isolation and endemism

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13 64

14 65 **Keywords:** altitude, biogeographic processes, diversity, ecological mechanisms, endemism, global

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16 66 relationship, isolation, latitudinal gradient, mixed-effects models, sky islands  
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26 71 **Summary:** This **Research paper** has 5 figures, no table or text box, 239 words in the abstract, 4356

27  
28 72 words in the main text (excluding abstract, acknowledgements, references, table and figure legends)

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30 73 and contains 59 references.  
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3 75 **Abstract**  
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6 76 **Aim:** Higher-elevation areas on islands and continental mountains tend to be separated by longer  
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8 77 distances, predicting higher endemism at higher elevations; our study is the first to test the generality  
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10 78 of the predicted pattern. We also compare it empirically with contrasting expectations from  
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12 79 hypotheses invoking higher speciation with area, temperature and species richness.

13  
14 80 **Location:** 32 insular and 18 continental elevational gradients from around the world.

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16 81 **Methods:** We compiled entire floras with elevation-specific occurrence information, and calculated  
17  
18 82 the proportion of native species that are endemic ('percent endemism') in 100 m bands, for each of the  
19  
20 83 50 elevational gradients. Using generalized linear models, we tested the relationships between percent  
21  
22 84 endemism and elevation, isolation, temperature, area and species richness.

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24 85 **Results:** Percent endemism consistently increased monotonically with elevation, globally. This was  
25  
26 86 independent of richness–elevation relationships, which had varying shapes but decreased with  
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28 87 elevation at high elevations. The endemism–elevation relationships were consistent with isolation-  
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30 88 related predictions, but inconsistent with hypotheses related to area, richness and temperature.

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32 89 **Main conclusions:** Higher per-species speciation rates caused by increasing isolation with elevation  
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34 90 are the most plausible and parsimonious explanation for the globally consistent pattern of higher  
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36 91 endemism at higher elevations that we identify. We suggest that topography-driven isolation increases  
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38 92 speciation rates in mountainous areas, across all elevations, and increasingly towards the equator. If  
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40 93 so, it represents a mechanism that may contribute to generating latitudinal diversity gradients in a way  
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42 94 that is consistent with both present-day and palaeontological evidence.

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3 98 **Introduction**  
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5 99 Globally pervasive and repeated geographic biodiversity patterns such as latitudinal and elevational  
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7 100 diversity gradients are strongly affected by the evolution of species (Wallace, 1880; Rohde, 1992;  
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9 101 Allen & Gillooly, 2006; Mittelbach *et al.*, 2007). Indeed, these patterns must result from gains and  
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11 102 losses of species over time, and speciation is one key type of gain (the other being immigration).  
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13 103 Therefore various hypotheses have been advanced to explain spatial variation in speciation rates that  
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15 104 operate through distinct mechanisms and are not necessarily mutually exclusive. One prominent  
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17 105 explanation, favoured by Rohde (1992), and more recently by Brown (2014) and others as part of the  
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19 106 ‘metabolic theory of ecology’, proposes that speciation rate increases with temperature (hypothesis 1).  
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21 107 This would cause higher rates of speciation in lower latitudes and at lower elevations. Another popular  
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23 108 potential mechanism is that more intense biotic interactions promote speciation, including the  
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25 109 ‘diversity begets diversity’ hypothesis (hypothesis 2; Van Valen, 1973; Rohde, 1992; Gillooly *et al.*,  
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27 110 2004; Emerson & Kolm, 2005). As a consequence, species-rich systems with intense species  
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29 111 interactions would show higher rates of speciation. Larger areas are also thought to promote speciation  
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31 112 (hypothesis 3; Losos & Schluter, 2000), including the increasing chance of allopatric divergence  
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33 113 (Kisel & Barraclough, 2010). All these mechanisms predict higher speciation rate per species and  
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35 114 increased addition to overall species numbers within a specified area (i.e. speciation rate per area).  
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38 115 Elevational gradients provide unique opportunities for testing hypotheses deduced from  
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40 116 models and theories advanced to explain diversity gradients (McCain & Sanders, 2010; Hutter *et al.*,  
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42 117 2013). The leading theories outlined above, which seek to (partly) explain species richness gradients  
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44 118 via equivalent gradients of speciation, are typically associated with latitudinal gradients, but are not  
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46 119 specific to them, and the mechanisms they invoke should also apply at the smaller geographic extents  
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48 120 of elevational gradients. All of them predict either negative or hump-shaped relationships between  
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50 121 elevation and speciation rate because lower elevations are warmer, the area occupied by altitudinal  
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52 122 belts tends to be larger at lower elevations and low to mid elevations tend to have more species  
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54 123 (Rahbek, 1995; McCain, 2005). According to all these theories, the proportion of native species  
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56 124 originating from local speciation should be lowest at high elevations – assuming, as do those theories,  
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58 125 that extinction is not systematically lower at high elevation.  
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3 126 Another speciation driver is isolation (Coyne & Orr, 2004). Isolation by sea, for example, is  
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5 127 thought to be integral to explaining speciation on islands. This factor is reflected in the large number  
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7 128 of endemic island species, which disproportionately contribute to the global species pool (Kreft *et al.*,  
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9 129 2008). More generally, the promotion of speciation by gene-flow barriers is widely known (Coyne &  
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11 130 Orr, 2004). The barriers may include geographic distance or specific features such as sea separating  
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13 131 terrestrial systems or land separating marine systems, depending on the organisms concerned. They  
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15 132 may also include topographic features such as mountain ranges dividing low-elevation systems or  
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17 133 major valleys dividing high-elevation systems. Indeed, Gillespie & Roderick (2014) found that the  
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19 134 chance of population isolation increases in more topographically diverse areas because of gene-flow  
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21 135 barriers. Allopatric speciation is therefore usually cited to explain specific species richness patterns  
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23 136 involving particular barriers, or to explain island biogeographic (e.g. Whittaker & Fernández-Palacios,  
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25 137 2007) or regional (e.g. Qian & Ricklefs, 2000) diversity patterns – but not previously considered to  
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27 138 vary systematically enough to account for global-scale biodiversity gradients such as elevational or  
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29 139 latitudinal ones (Mittelbach *et al.*, 2007). Thus, isolation is not a prominent mechanism invoked in  
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31 140 attempts to explain grand clines in biodiversity, and there are few studies examining effects of  
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33 141 isolation at a global scale.

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35 142 Geographical isolation tends to increase with elevation whether or not mountains resemble the  
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37 143 conical shape of many volcanic islands (Elsen & Tingler, 2015). It has been known since von  
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39 144 Humboldt & Bonpland (1807) that most species are confined to fairly specific zones within an  
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41 145 elevational gradient; the mechanism may be that upward movement is restricted mainly by  
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43 146 physiological tolerance and downward movement mainly by competition (Ghalambor *et al.*, 2006).  
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45 147 This confinement to particular elevational zones creates isolation, even in the absence of a clear  
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47 148 feature acting as a barrier. In particular, for non-lowland species, the geographical extent of  
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49 149 inhospitable lower-elevation terrain separating suitable habitat (which may or may not also include  
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51 150 water) increases with elevation (Fig. 1; Steinbauer *et al.*, 2013). Although the distinction is partly a  
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53 151 matter of degree, we use the term ‘topographic isolation’ to refer to isolation by a specific feature that  
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55 152 acts as a distinct barrier (e.g. sea or a mountain pass) and ‘elevational isolation’ to refer to the isolation  
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3 153 caused by elevational difference. We use ‘topography-driven isolation’ to refer to a combination of the  
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5 154 two.

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7 155 If isolation is an important driver of speciation (by reducing gene flow), elevation-driven  
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9 156 isolation should result in repeated patterns of increasing speciation with elevation (hypothesis 4).  
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11 157 There is indeed support from phylogenetic studies for an increase in diversification with elevation  
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13 158 (Hutter *et al.*, 2013; Merckx *et al.*, 2015) particularly in high-elevation “island-like habitats” (Hughes  
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15 159 & Eastwood 2006). Phylogenetic evidence indicates that many high-elevation endemics across the  
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17 160 globe are phylogenetically young taxa resulting from recent fast diversification (e.g. New Zealand  
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19 161 Alps, Winkworth *et al.*, 2004; the Andes, Hutter *et al.*, 2013; or on South American Tepuis, Salerno *et*  
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21 162 *al.*, 2012; East Malaysia, Merckx *et al.*, 2015). Although speciation and endemism are not  
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23 163 automatically linked, trends in endemism should broadly reflect gradients of speciation. Some studies  
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25 164 report consistent increases in per-species levels of endemism with elevation in localized areas (e.g.  
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27 165 Kessler, 2002; Vetaas & Grytnes, 2002; Mallet-Rodrigues *et al.*, 2010; Jump *et al.*, 2012; Nogué *et al.*,  
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29 166 2013, Irl *et al.*, 2015), but no global synthesis has yet been attempted. Here, for the first time, we test  
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31 167 the global generality of this pattern.

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33 168 The reasoning on elevation-driven isolation implies that elevational zones effectively act as  
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35 169 islands that become smaller and more remote with increasing elevation. The concept of mountain-tops  
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37 170 as islands is not new (e.g. Mayr & Diamond, 1976), but it is less common to conceptualise the island  
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39 171 biogeography of elevational zones as a continuous gradient. Thus, higher-elevation zones are more  
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41 172 isolated from each other, less connected and have smaller extent than lower-elevation zones.  
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43 173 Following the concepts of island biogeography, and given sufficient elevational range, higher  
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45 174 elevations should therefore be expected to be (1) decreasingly species rich but (2) contain increasingly  
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47 175 high proportions of endemics, assuming sufficient time for speciation (Fig. 1). The first prediction is  
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49 176 in line with the leading hypotheses outlined above that invoke the mechanisms of increased speciation  
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51 177 with temperature, area and biodiversity. The second prediction of higher per-species endemism at  
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53 178 higher elevations, however, contrasts with the higher per-species endemism at low to mid-elevations  
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55 179 predicted by those other hypotheses. While the mechanisms underlying these hypotheses are not  
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3 180 mutually exclusive, the opposing predictions allow a comparative test of the importance of isolation  
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5 181 for speciation in a global context.

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7 182 Here we use 50 elevational gradients from around the world, covering entire plant floras, to  
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9 183 evaluate the global relationship between the proportion of native species that are endemic (hereafter  
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11 184 ‘percent endemism’) and elevation. We focus on elevational gradients on islands, where speciation can  
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13 185 be most reliably inferred from endemism. We also test whether the relationship between endemism  
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15 186 and elevation applies to continental mountains, where elevational isolation is present but the additional  
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17 187 isolation by sea does not apply. Using our island data, we test the predictions from the four hypotheses  
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19 188 that percent endemism should be positively related to each of (1) temperature, (2) species richness, (3)  
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21 189 area and (4) isolation.

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## 24 25 191 **Methods**

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28 192 We assembled complete native floras for 32 high-elevation islands and 18 continental mountain  
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30 193 systems, with maximum elevation reaching up to 4200 m for islands and 6000 m for continents, drawn  
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32 194 from all major oceans and continents except Antarctica (Table S1, and Appendix 1 – Data Sources).  
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34 195 Key selection criteria were (1) a long elevational gradient (preferably more than 1000 m, but  
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36 196 occasionally slightly less), (2) enough endemic species (definition below) for the response variable  
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38 197 (percent endemism) to contain sufficient variance to model with confidence, (3) good coverage of the  
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40 198 flora and (4) reliable presence–absence data along the elevational gradient for all the species. All  
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42 199 datasets we accessed that satisfied these criteria were included. However, criteria 1 and (particularly) 2  
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44 200 resulted in no datasets poleward of 54° (Tierra del Fuego): at high latitudes there are typically very  
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46 201 few species that qualify as ‘endemic’ using our criterion (see below). We focused on vascular plant  
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48 202 species (though 28% of the datasets were only for seed plants and the Peru Andes only include woody  
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50 203 species) because it is for this taxon that spatially explicit data are most available. Because we aimed to  
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52 204 identify general patterns, we performed parallel analyses (which showed strikingly similar results;  
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54 205 Figure S1) for arthropod data from six Azorean islands for which high-quality, spatially explicit data  
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56 206 were available (Borges et al., 2010).

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3 207 Native species richness and endemic species richness were calculated for 100 m elevational  
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5 208 belts. Endemic species were defined as species native only to the archipelago (defined as the focal  
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7 209 island in cases where it is closer to a continent than to another island, e.g. Cyprus) or mountain range.

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9 210 The response variable was the percentage of native species that are endemic (percent  
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11 211 endemism), the best available proxy for per-species speciation rates (Steinbauer *et al.*, 2013). The use  
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13 212 of percentage values also has the major advantage over richness-based indices that the values are  
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15 213 independent of environment–richness and area–richness relationships, which tend to override other  
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17 214 patterns in biogeography (thus in our datasets there is no consistent relationship between elevation and  
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19 215 endemic species richness). Further, this method is relatively robust to sampling biases (Steinbauer *et*  
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21 216 *al.*, 2013).

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23 217 Since percentages based on few species are unreliable, we excluded elevational belts with  
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25 218 fewer than 10 native species. We assessed the reliability of the percent endemism values using  
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27 219 bootstrapping: we drew species from the pool of all natives (endemic and non-endemic) in each 100 m  
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29 220 elevational belt, with replacement, until we reached the total observed species richness. This was done  
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31 221 1000 times for each data point, the central 95% (i.e. between the 2.5% and 97.5% quantiles) of the  
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33 222 resulting percent endemism values providing the confidence envelope. Most analyses used generalized  
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35 223 linear models with binomial errors and a logit link, and parallel ordinary least-squares regressions for  
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37 224 comparison. Mixed-effects modelling with binomial errors and logit link was used to assess the global  
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39 225 relationship between percent endemism and elevation, with island vs. continental mountain included  
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41 226 as a random effect, and was performed using R package *lme4* version 1.1-7 in R version 3.2.0.

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43 227 Temperature, area and isolation were quantified as follows, for islands only. A global digital  
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45 228 elevation model with 30 m resolution (ASTER GDEM, a product of METI and NASA) was used to  
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47 229 slice all investigated islands into 100 m elevational bands, resulting in 560 bands in total. Resolution  
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49 230 was resampled to 60 m for Tasmania and Taiwan to meet computational limits. Mean annual  
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51 231 temperature from 1 km resolution WorldClim data was downscaled using the ASTER GDEM and an  
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53 232 elevational lapse rate of 0.6°C/100 m. Area and mean temperature of each elevational band were  
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55 233 calculated. Isolation was quantified using an established approach (Weigelt & Kreft, 2013): ‘distance  
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57 234 to a climatically similar landmass’. This was approximated as the distance of the elevational band to

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3 235 the nearest terrestrial area outside the archipelago that has a similar (within 1°C) mean annual  
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5 236 temperature. To match our definition of endemism (archipelago endemics), all other islands belonging  
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7 237 to the same archipelago as the focal elevational belt were removed before quantifying isolation. Our  
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9 238 measure of climatic similarity does not include precipitation because precipitation interpolations for  
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11 239 islands from global data are highly problematic. The Juan Fernández Islands (Robinson Crusoe and  
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13 240 Alejandro Selkirk) were excluded from this analysis because of missing WorldClim data, and Corsica  
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15 241 was excluded because the elevational species distribution resolution is too coarse for 100 m bands.  
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17 242 Processing of spatial data was done using R packages *raster* version 2.3-40, *maptools* version 0.8-36  
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19 243 and *rgeos* version 0.3-8.

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21 244 In order to test the predictions from the four hypotheses 1) area, 2) temperature, 3) isolation  
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23 245 and 4) species richness of each elevational band were directly related to percent endemism across all  
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25 246 the islands in our dataset. First, we correlated percent endemism with the four predictors separately.  
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27 247 Variation accounted for by predictors was quantified using McFadden's pseudo- $R^2$  (1-(log likelihood  
28  
29 248 of the full model/log likelihood of the null model)). We log-transformed area, richness and isolation  
30  
31 249 because this improved residuals and model performance. Secondly, we combined the four predictors  
32  
33 250 in one model and used plots of partial residuals to visualise the modelled effects. Finally, we rebuilt  
34  
35 251 this multiple model using standardized predictor variables and used the model coefficients to indicate  
36  
37 252 relative importance.

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39 253

## 40 41 42 254 **Results**

43  
44 255 The plant floras of the 32 high-elevation insular and 18 continental mountain systems compiled for  
45  
46 256 this study differed considerably in overall species richness (range 75–3186, mean 776 for islands;  
47  
48 257 range 127–8067, mean 1454 for continental mountains) and overall percent endemism (range 3–80%,  
49  
50 258 mean 41% for islands; range 3–72%, mean 33% for continental mountains). The dataset we analysed  
51  
52 259 comprised 51,009 species records with specific elevational occurrence information. The peak of  
53  
54 260 Robinson Crusoe Island (915 m) was the elevational band with the highest percent endemism (96%).

55  
56  
57 261 We found a globally consistent and highly significant pattern of monotonic increase in percent  
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59 262 endemism with increased elevation (Fig. 2). We found this when analysing island systems, continental

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3 263 mountain systems, or both combined ( $P < 0.001$  in all cases). The pattern was independent of  
4  
5 264 underlying richness–elevation gradients, which had differing shapes but consistently decreased with  
6  
7 265 elevation at high elevations (Fig. S2). In most cases, percent endemism more than doubled from the  
8  
9 266 lowest to the highest elevations, in some cases increasing more than tenfold. Assessed individually, 28  
10  
11 267 of the 32 island relationships and all 18 of the continental mountain relationships were significantly  
12  
13 268 positive ( $P < 0.001$  for all except Pico in Azores, where  $P < 0.05$ ). The other four (Alejandro Selkirk, La  
14  
15 269 Gomera, El Hierro, Tierra del Fuego) had no significant relationship between percent endemism and  
16  
17 270 elevation.

18  
19 271 Isolation had by far the greatest explanatory power of the four predictor variables in our  
20  
21 272 hypothesis testing. Analysed individually, its pseudo- $r^2$  was 0.78 ( $P < 0.001$ ). The relationship was  
22  
23 273 positive (increased percent endemism with isolation), as predicted by the isolation hypothesis. Species  
24  
25 274 richness (pseudo- $r^2 = 0.23$ ), area (pseudo- $r^2 = 0.15$ ) and temperature (pseudo- $r^2 = 0.04$ ) were all  
26  
27 275 negatively correlated with percent endemism, significantly so ( $P < 0.001$  for all), opposing the  
28  
29 276 predictions of the related hypotheses (metabolic theory of ecology, speciation–area relationship,  
30  
31 277 diversity begets diversity). Using ordinary least-squares regression, the results were qualitatively  
32  
33 278 identical, but the  $r^2$  for isolation was slightly lower (0.71). Including all four predictors in one multiple  
34  
35 279 model reinforced the dominance of isolation (Fig. 3), and adding area, temperature and species  
36  
37 280 richness only increased the ordinary least-squares  $R^2$  to 0.74 (from 0.71), and the pseudo- $R^2$  actually  
38  
39 281 decreased to 0.75 (from 0.78). In the multiple model, the effects of species richness and area were  
40  
41 282 weakly positive (Fig. 3), unlike in the single regressions. The biggest residuals represented  
42  
43 283 unexpectedly high percent endemism throughout Socotra, and on the peaks of Jamaica and Fogo  
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45 284 (Cape Verde).

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47 285

## 48 49 286 **Discussion**

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52 287 The monotonic increase in percent endemism with elevation, previously known from a range of case  
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54 288 studies, is here documented globally for the first time, over long elevational gradients on continents  
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56 289 and islands alike. The increase is remarkably globally consistent for a pattern measured in nature at  
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58 290 fine grain and landscape extent, and much more consistent than the equivalent species richness–  
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3 291 elevation gradients in the same data (Fig. S2). This consistency indicates that the relationship applies  
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5 292 globally and implies that it is predictable. The different geological ages of the islands and continental  
6  
7 293 mountains in our dataset suggest that the pattern may also be repeated through time. Relationships that  
8  
9 294 are predictable in space and time can contribute to a general explanation of pervasive biodiversity  
10  
11 295 patterns (Whittaker *et al.*, 2001). Our results allow us to evaluate probable isolation effects against  
12  
13 296 those of temperature, area and richness within our study system, and we find that these probable  
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15 297 isolation effects are dominant. Our findings also allow us to contribute towards a general explanation  
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17 298 for the anomalously high biodiversity of tropical and sub-tropical mountains, and in turn towards  
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19 299 understanding latitudinal biodiversity gradients. We now expand on these points.

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21  
22 300 *Endemism, speciation rates and evaluation of the hypotheses*

23  
24 301 For the long elevational gradients in our data, the patterns of percent endemism are consistent with the  
25  
26 302 predictions of the isolation hypothesis, but not with those of the metabolic theory of biology, nor the  
27  
28 303 area and diversity-begets-diversity hypotheses. Those predictions were made on the basis that percent  
29  
30 304 endemism is a reasonable proxy for per-species speciation rate. But to what extent does the increase in  
31  
32 305 percent endemism reflect increasing speciation rate with elevation? Speciation rate, as conceptualized  
33  
34 306 in this manuscript, is the average time one species takes to diverge into two reproductively isolated  
35  
36 307 species (e.g. Knope *et al.*, 2012; see also Yule, 1924). The use of percent endemism to measure  
37  
38 308 speciation rate involves the assumption that the large majority of endemic species on islands (or  
39  
40 309 mountains) derives from *in situ* speciation. This assumption has considerable support, at least for  
41  
42 310 oceanic islands (Stuessy *et al.*, 2006), and we consider it reasonable to assume that most of the  
43  
44 311 endemic species in our island data evolved within the archipelago (another key reason for using  
45  
46 312 archipelago-level endemism). The fact that the same relationship between elevation and endemism is  
47  
48 313 also found for continental mountains (Fig. 2) suggests that *in situ* speciation may also account for most  
49  
50 314 of the endemics in our continental mountain data. This is consistent with phylogenetic studies showing  
51  
52 315 increased diversification rate with elevation in continental mountains (Hutter *et al.*, 2013; Merckx *et*  
53  
54 316 *al.*, 2015).

55  
56 317 Percent endemism is likely to be affected also by extinction, and possibly by other circumstances (e.g.  
57  
58 318 palaeoendemism, dispersal limitation of endemics and altitudinal differences in immigration rate;  
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1  
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3 319 Steinbauer et al., 2012). The presence of elevational gradients reduces extinction risk caused by  
4  
5 320 climatic changes as species can track their climatic niche by shifting over short spatial distances along  
6  
7 321 strong climatic gradients (Sandel et al., 2011; Fjeldså et al., 2012). On high-elevation islands,  
8  
9 322 extinction risk may be slightly higher towards the summit and at the coast where some species might  
10  
11 323 meet their temperature range limits (McCain 2005). However, oceanic influences tend to cause more  
12  
13 324 stable climates particularly in low elevations, likely mitigating climate induced extinctions there  
14  
15 325 (Cronk, 1997). We thus expect extinction rates to mainly increase with elevation because of smaller  
16  
17 326 areas and more variable climate; this would lead to decreasing percent endemism with elevation if  
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19 327 temporal species turnover is faster than clado- and anagenetic evolutionary processes, but we found an  
20  
21 328 increase. Higher extinction rates may enhance speciation opportunities for the remaining species.  
22  
23 329 Also, historical land-use changes in lowlands may affect percent endemism there. However, our  
24  
25 330 analyses are based only on native species (not aliens), and we consider it very unlikely that land use  
26  
27 331 and other human influences affect endemic species so differently from native non-endemic species  
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29 332 (e.g. via the loss of defensive mechanisms), and in such a globally consistent manner, that they cause  
30  
31 333 the strong and consistent pattern we find.

32  
33 334 Assuming, then, that percent endemism reflects per-species speciation rate reasonably well,  
34  
35 335 the strong increase in percent endemism with elevation is contrary to predictions derived from the  
36  
37 336 metabolic theory and the biotic interactions ('diversity begets diversity') and area hypotheses. This is  
38  
39 337 consistent with findings by McCain & Sanders (2010) that the metabolic theory does not explain  
40  
41 338 diversity patterns along elevational gradients. With their elevational ranges varying from about 800–  
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43 339 6000 m, our 50 datasets all represent strong temperature gradients (approximately 5–40 °C  
44  
45 340 temperature ranges), and both species richness and area of elevational bands tend to vary within each  
46  
47 341 dataset by orders of magnitude (Figs 3 and S2). If those are the main drivers of speciation in our study  
48  
49 342 areas then they should account for more variation in percent endemism than does isolation, but they do  
50  
51 343 not. This widespread increase in percent endemism with elevation and the strong effect attributed to  
52  
53 344 isolation are, however, consistent with an increase in speciation driven by elevational isolation. It is  
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55 345 also consistent with the notion of an island biogeography of elevational zones. Thus there is a strong  
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3 346 indication that elevation-induced isolation overrides possible effects of temperature, biotic interactions  
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5 347 and area on speciation along the elevational gradients investigated here.

6  
7 348 Reduction with elevation in species' ability to disperse between elevation zones could help  
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9 349 account for the pattern in Fig. 2, and would represent an influence of topography-driven isolation  
10  
11 350 additional to speciation. While the mechanism of topography-driven isolation is invariant with time,  
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13 351 sufficient time is required for speciation to result from isolation. One reason why few high-latitude  
14  
15 352 mountains contain endemic species is because most have suffered recent massive extinction by  
16  
17 353 glaciation. Note that this lack of endemic species (and also low native plant species richness at high  
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19 354 elevations in high latitudes) excludes high latitudes from our analyses, while being consistent with,  
20  
21 355 and expected from, our reasoning.

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23  
24 356 *Topography-driven isolation may drive diversification increasingly towards the tropics*

25  
26 357 While, on the basis of our findings, we cannot reject other theories for latitudinal gradients, our  
27  
28 358 findings and reasoning are in line with empirical studies that found stronger coarse-resolution  
29  
30 359 correlations in lower latitudes between species richness and topography than with other potential  
31  
32 360 drivers (e.g. Kreft & Jetz, 2007). It has also been suggested that speciation associated with tropical  
33  
34 361 mountains may have fuelled today's tropical diversity (Hughes & Eastwood, 2006; Thomas *et al.*,  
35  
36 362 2008; Fjeldså *et al.*, 2012); phylogenetic research provides qualified support (Särkinen *et al.*, 2012),  
37  
38 363 and there are examples of the ancestors of tropical lowland lineages being montane (e.g. Elias *et al.*,  
39  
40 364 2009). Our findings are consistent with this notion, and imply that topography-driven isolation is an  
41  
42 365 important mechanism increasing speciation rate towards the equator.

43  
44 366 Systematic global variation in the isolating influence of elevation was proposed by Janzen  
45  
46 367 (1967; see also Osborne, 2012), who argued that smaller climatic niches of tropical taxa (which do not  
47  
48 368 have to tolerate much seasonal variation in temperature) mean much stronger dispersal limitation  
49  
50 369 caused by topography in warmer, less seasonal climates than in higher latitudes. Despite the title of  
51  
52 370 Janzen's paper, this reasoning applies to crossing lower elevations (e.g. valleys) as well as higher ones  
53  
54 371 (e.g. mountain passes), though the magnitude of the effect may not scale linearly (Ghalambor *et al.*,  
55  
56 372 2006). In addition to the direct effect of smaller niches, the reduced seasonality at lower latitudes may  
57  
58 373 also select for lower dispersal ability (Jocque *et al.*, 2010).

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3 374 In addition to Janzen's suggested increase in *effective* elevation at low latitudes, the 'glacial  
4  
5 375 buzzsaw' tends to decrease *absolute* elevations at high latitudes (Egholm *et al.*, 2009; Fig. 4). This is  
6  
7 376 because, during periods of repeated glaciations of poleward regions (as currently, in the Quaternary),  
8  
9 377 higher-latitude mountains are particularly eroded by glaciers and ice sheets. We suggest that these  
10  
11 378 latitudinal trends in both absolute and effective elevational ranges combine to cause much higher  
12  
13 379 probabilities of isolation, and thus promote higher speciation rates per unit area, in mountainous areas  
14  
15 380 at lower latitudes. The slope of the relationship between percent endemism and elevation may or may  
16  
17 381 not change with latitude, but the chance of isolation by topography at any elevation is much greater at  
18  
19 382 lower latitudes. From this, we suggest that the latitudinal diversity gradient may result in part from  
20  
21 383 mountains being much higher in bioclimatic and ecological terms at lower latitudes, working as  
22  
23 384 speciation pumps that can enhance species richness also in surrounding lowlands (Gillespie &  
24  
25 385 Roderick, 2014).

#### 28 386 *Temporal dynamics in topography-driven isolation*

29  
30 387 Changing environmental conditions, such as during Milankovitch glacial–interglacial cycles, and the  
31  
32 388 associated range shifts of species, may repeatedly divide and merge populations at varying elevations,  
33  
34 389 again working as speciation pumps (Fig. 5; Qian & Ricklefs, 2000; Cadena *et al.*, 2012; Gillespie &  
35  
36 390 Roderick, 2014) similar to those reported for oceanic island archipelagos (Ricklefs & Bermingham,  
37  
38 391 2007). This process will increase allopatric speciation by repeated isolation as well as hybridization  
39  
40 392 and polyploidy in the phases of remixing of related taxa. While Milankovitch glacial–interglacial  
41  
42 393 cycles may thus hinder speciation in areas with low topographical complexity (Dynesius & Jansson  
43  
44 394 2000), they may boost diversification in mountain ranges, where isolation is likely and the extinction  
45  
46 395 risk low because of low climate-change velocity (Sandel *et al.*, 2011; Fjeldså *et al.*, 2012).  
47  
48 396 Topography-enhanced speciation by repeated isolation has previously been proposed as a mechanism  
49  
50 397 to increase tropical biodiversity (Nores, 1999; Haffer & Prance, 2001; Elias *et al.*, 2009), but in rather  
51  
52 398 specific ways, such that its relevance for the latitudinal diversity gradient may have been underplayed.

53  
54 399 On much longer timescales, strong latitudinal diversity gradients comparable to what we  
55  
56 400 observe today may be restricted to periods of the Phanerozoic characterized by 'icehouse' climatic  
57  
58 401 regimes (Mannion *et al.*, 2014). Among other reasons, the absence of the 'glacial buzzsaw' during



1  
2  
3 402 much of Earth's history would reduce the latitudinal gradient in topography-driven isolation,  
4  
5 403 especially when combined with shallower latitudinal gradients of temperature and seasonality. Thus,  
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7 404 times with weakened latitudinal diversity gradients during Earth history may also have been times in  
8  
9 405 which latitudinal trends in topography-driven isolation were much weaker.

#### 10 11 12 406 *Nature conservation implications*

13  
14 407 The globally consistent increase in percent endemism with elevation has important nature-  
15  
16 408 conservation implications. High-elevation ecosystems consistently harbour disproportionately high  
17  
18 409 ratios of unique species in relatively small areas, and many are ideal for nature conservation because  
19  
20 410 they are not well suited to other land uses (not least on islands, where tourism tends to be based in the  
21  
22 411 lowlands; Sandel & Svenning, 2013). However, high-elevation endemic species may be adversely  
23  
24 412 affected by climate change, particularly those whose climatic envelopes are set to disappear (Elsen &  
25  
26 413 Tingler, 2015, Harter *et al.*, 2015). Even so, if elevation drives speciation, future speciation may be  
27  
28 414 maximised by conserving mountainous areas, especially at lower latitudes.

#### 29 30 31 415 *Conclusion*

32  
33 416 We suggest that an increase in speciation caused by the isolating effect of topography may  
34  
35 417 significantly contribute to an explanation of latitudinal gradients of beta and gamma diversity, and to  
36  
37 418 variations in those gradients with geological time. This importance of isolation for speciation is  
38  
39 419 consistent with the increase in percent endemism with elevation that we find on high islands and  
40  
41 420 continental mountains around the world.

42  
43 421

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28 575 **Biosketches & author contributions**

- 29  
30 576 Manuel Steinbauer's research interest is the quantification and understanding of causal drivers behind  
31 577 the dynamics and geography of biota. Manuel Steinbauer is thus investigating biogeographic  
32 578 patterns with particular focus on scale-dependent patterns/processes, theoretical ecology, dispersal  
33 579 and isolated systems like island or mountains.  
34  
35 580 Richard Field's main interests are in biodiversity patterns, conservation biogeography (particularly  
36 581 with reference to tropical rainforests) and island biogeography.  
37  
38 582 Author contributions: M.J.S. had the original idea and designed the study with R.F. M.J.S. and R.F.  
39 583 led the writing. M.J.S. J.A.G., P.T., C.A.P., F.A., H.J.B.B. P.A.V.B, P.C., C.H.C., M.D.S., M.C.D.,  
40 584 R.B.E., R.G., J.G., T.J.H., D.J., A.S.J., J.P., M.M.R., D.S., T.S. and O.R.V. provided data. M.J.S.  
41 585 performed the analyses and designed the figure. All authors discussed the approach, implementation  
42 586 and results and contributed to the manuscript. C.B. supervised the project.  
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3 588 **Figure legends**

4 589 **Figure 1:** On islands or mountains, high elevation ecosystems are more isolated than low-elevation  
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6 590 ecosystems. This is because potential source regions for colonizing species (or individuals) are further  
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8 591 away (geographic isolation) and smaller (target area effect) than low-elevation ecosystems. Greater  
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10 592 isolation should be reflected in a higher speciation rate.

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15 594 **Figure 2:** Elevation–percent endemism relationships globally. Vertical axes show the percentage of  
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17 595 native species that are endemic (note the varying scales); horizontal axes show elevation in 100 m  
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19 596 bands. Blue shading indicates 95% envelopes from bootstrap resampling (see Methods summary).  
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21 597 Graphs surrounded by dashed boxes belong to the same archipelago or region. Assessed individually  
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23 598 using generalized linear models (binomial), 28 of the 32 island and all of the 18 continental mountain  
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25 599 relationships are significantly positive ( $P < 0.001$  for all except Pico, where  $P < 0.05$ ). The other four  
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27 600 (Alejandro Selkirk, La Gomera, El Hierro, Tierra del Fuego) were non-significant.

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31 602 **Figure 3:** Partial residuals of the multiple generalized linear model accounting for percent endemism  
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33 603 in elevational bands using area, temperature, species richness and isolation, plotted against each  
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35 604 variable. Each panel shows the relationship between the variable and the residuals from a model  
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37 605 excluding this variable, and including the other three. Panels are ordered in descending order of  
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39 606 explanatory power of the predictor in the model. Points are semi-transparent to visualise the density of  
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41 607 points on the graphs, so apparently darker points represent several points in the same place. ‘Slope’  
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43 608 indicates the slope coefficients from a generalised linear model (logit-link) with standardised variables  
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45 609 (to support comparability).

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49 611 **Figure 4:** The elevational range where plants grow is limited by mountain elevation and the  
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51 612 permanent snowline. Both increase from high latitudes towards the subtropics and tropics. This and  
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53 613 the possibility of species having smaller ecological niches towards the tropics increases the chance of  
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55 614 topography-driven isolation and thus speciation towards the tropics (Figure 5). The grey line displays

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3 615 the highest elevation value per latitudinal band, derived from a 1km<sup>2</sup> resolution digital elevation  
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5 616 model. The points show the permanent snowline, based on data extracted from Hermes (1955).  
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9 618 **Figure 5:** (a) The isolating effect of mountain topography may act as a speciation pump in the  
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11 619 presence of climatic fluctuations while (b) landscapes with less variable topography may lack this  
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13 620 mode of speciation. The figure is a simplified conceptualisation, the coloured thermometers  
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15 621 illustrating climatic changes: red representing warm periods, pale blue for cold periods and dark blue  
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17 622 for intermediate temperatures. Thick lines on top of the landscape cross-sections show the  
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19 623 distributional range of the clade at each time-point. Changes in leaves (colour and form) indicate  
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21 624 divergence (incipient/actual speciation). Speciation may be the result of isolated evolution of lineages  
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23 625 (isolation barriers indicated by dashed lines), but also of hybridization and polyploidy when  
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25 626 differentiated taxa merge after isolation (not shown but also enhanced by topography). Note that  
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27 627 isolation in mountain ranges may occur in valleys or mountain peaks. For simplicity, the illustration  
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29 628 assumes (i) that each isolation event is long enough to cause speciation, and (ii) that there is no niche  
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31 629 shift or adaptive radiation.  
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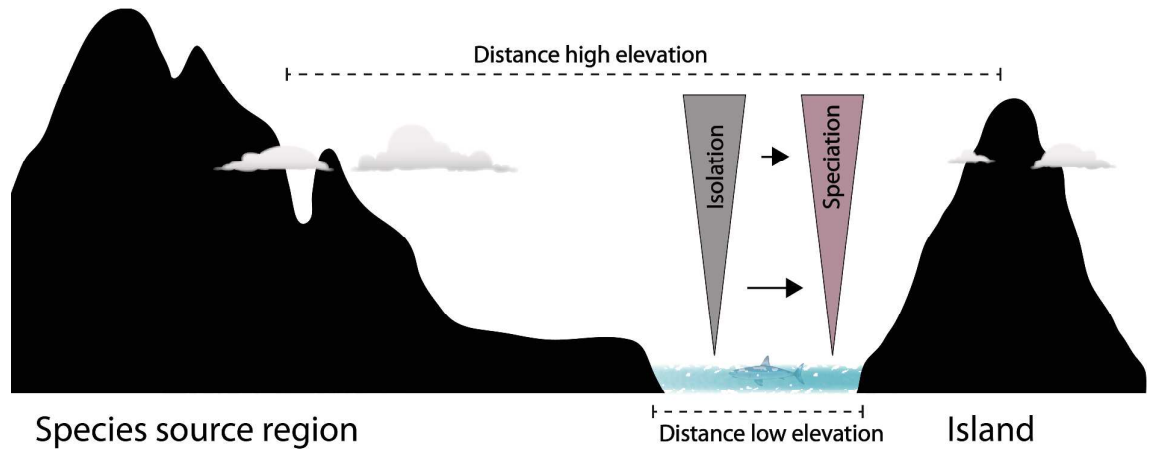
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633 **Figures**

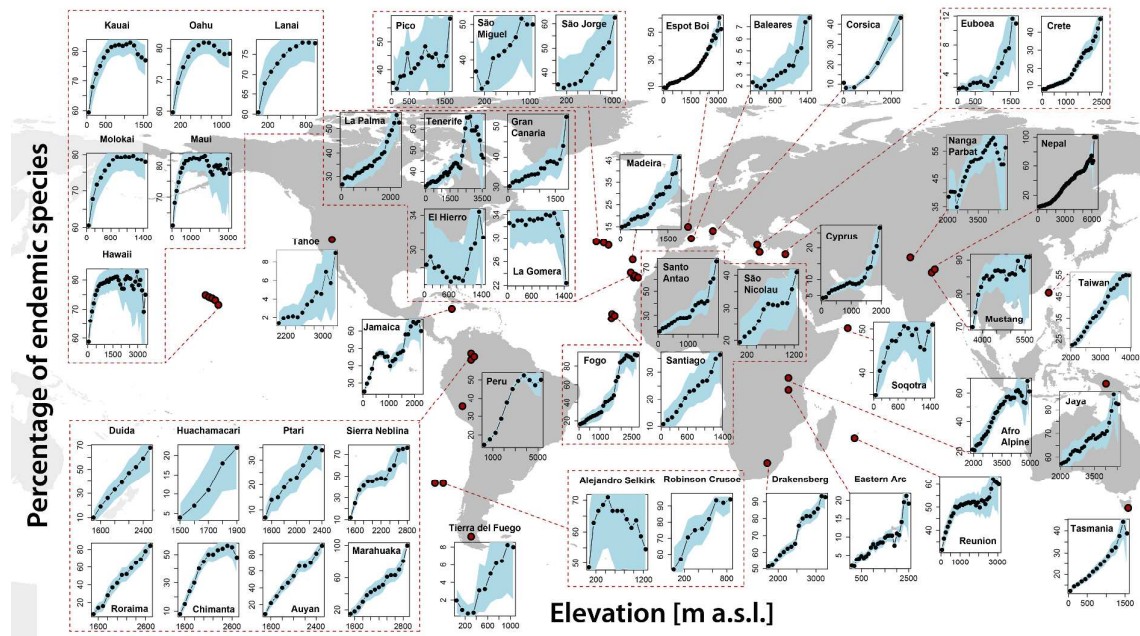
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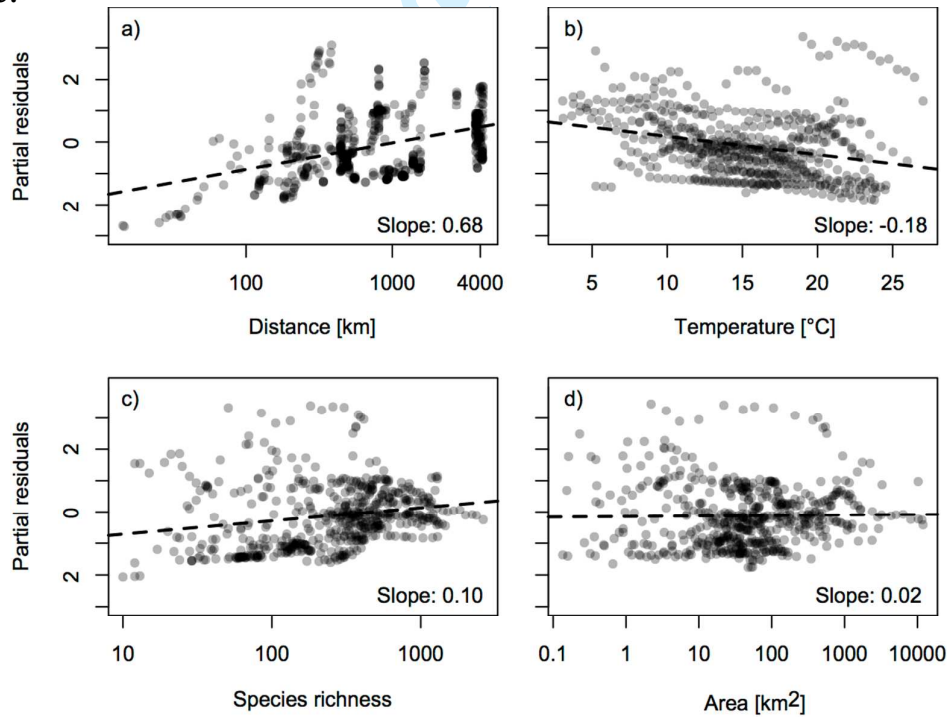
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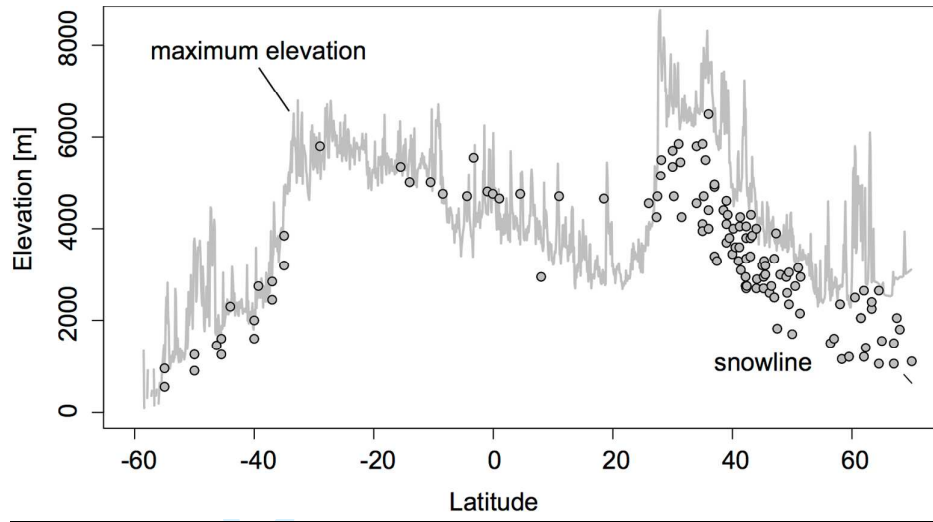
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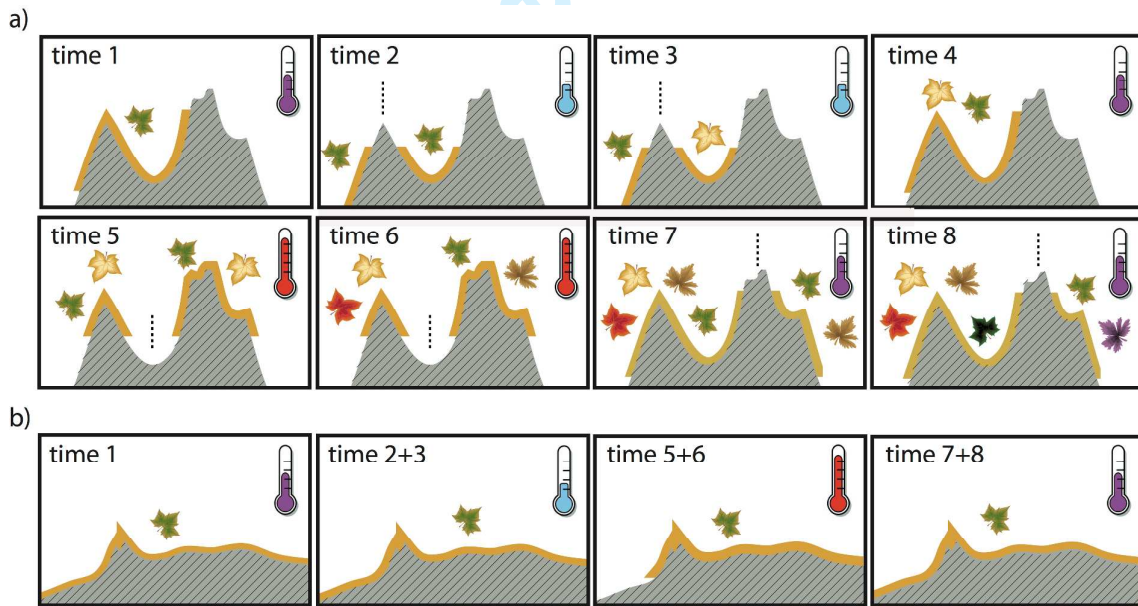
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645 **Figure 4:**  
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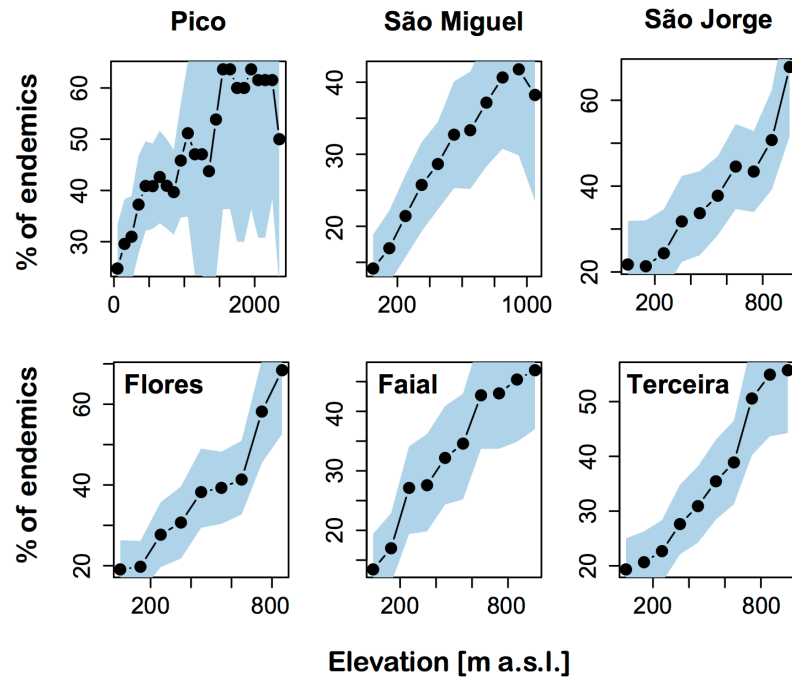
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655 **Appendix 1 – Data Sources**

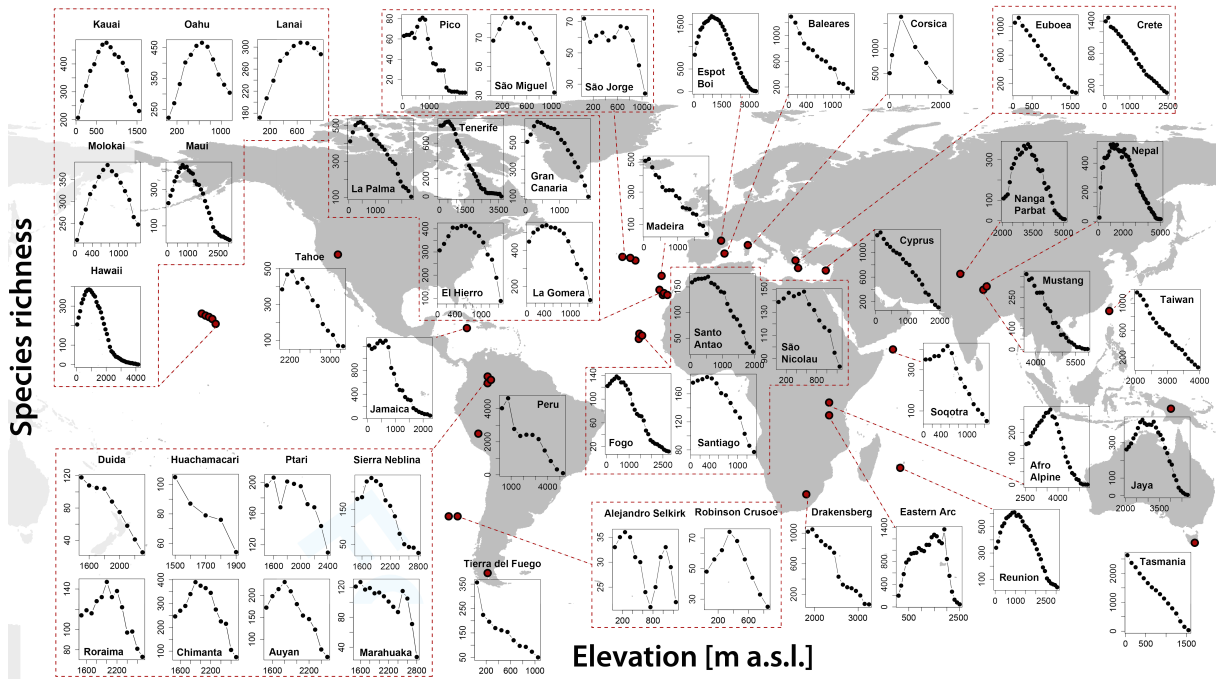
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## Supplementary material



**Fig. S1:** Relationships between the percentage of native species that are endemic to the Azores (y-axis) and elevation (x-axis) for insects and spiders on six islands in the Azores. Blue shading indicates 95% confidence intervals, as determined by permuted resampling, to illustrate cases where few small species numbers have strong influence on the pattern. Data from the Azorean ATLANTIS 3.0 Database



**Figure S2:** Elevation–richness relationships globally. Vertical axes show the number of native species (note the varying scales); horizontal axes show elevation in 100m bands.

## Data sources

Table S1: Islands and mountains used in this study (ordered by latitude). Archipelago and Region are the definition of endemicity. Introduced species were removed from the data.

Island	Native species/ endemics	Archipelago	Data origin
Socotra	694/275	Socotra	De Sanctis <i>et al.</i> (2013)
Fogo	174/39		Arechavaleta <i>et al.</i> (2005); Brochmann <i>et al.</i> (1997); Herbarium LISC as well as unpublished data
Santiago	227/39		
Santo Antao	219/50		
Sao Nicolau	180/48	Cape Verde	
Jamaica	2400/1033	West Indies	Adams (1972)
Hawaii	503/381		Modified from Price (2004) with new data
Kauai	611/491		
Lanai	358/267		
Maui	597/470		
Molokai	469/355		
Oahu	561/440	Hawaii	
	877/409		Conservatoire Botanique National de Mascarin (2012a, b)
Réunion		Mascarenes	
Taiwan	1441/370	–	Jump <i>et al.</i> (2012), area above 2000 m
El Hierro	312/121		Banco de Datos de Biodiversidad de Canarias accessed November 2013
La Gomera	375/170		
La Palma	381/174		
Tenerife	586/300		
Gran Canaria	502/220	Canaries	
	650/129		Modified from Press & Schort (1994) with additional information from Jardim & Francisco (2000) and Lowe (1857-1872) and new data
Madeira		Madeira	
Robinson	99/70		Greimler <i>et al.</i> (2002, 2013), Kunkel (1957), Skottsberg (1922), Herbarium CONC
Crusoe			
Alejandro	75/45		
Selkirk		Juan Fernandez	
	1650/122		Modified from Meikle (1977, 1985) with new data
Cyprus		–	
	1825/230		Modified from Turland <i>et al.</i> (1993) with new data
Crete		Aegean	
	1497/43		Modified from Rechinger (1961) with new, published and unpublished data
Euboea		Aegean	
Pico	126/54		Azorean ATLANTIS 3.0 Database and the Azores University Herbarium (AZU) as well as unpublished data
Sao Jorge	120/51		
Sao Miguel	126/50	Azores	
Baleares	1386/36	Baleares	Bolòs & Vigo (1984-2001)
Tasmania	3186/535	–	Natural Value Atlas of Tasmania
Corsica	2237/284	–	Jeanmonod & Gamisans, (2007)
Tierra del Fuego	402/160		Moore (1983)
		South America	



Mountain	Native species/ endemics	Region	Data origin
Sierra Neblina	433/156		Nogué <i>et al.</i> (2013)
Duida	242/49		
Marahuaka	355/104		
Huschamacari	127/15		
Roraima	383/144		
Chimantá	676/233		
Ptarí	286/68		
Auyan	467/158	Venezuela	
Jaya	684/464	Papua New Guinea	Johns <i>et al.</i> (2006), area above 2000 m
Afro Alpine	322/171	East Africa	Hedberg (1957), area above 2500 m
	3200/326		Tropicos.org. Missouri Botanical Garden.
Eastern Arc		East Africa	January 2009 <a href="http://www.tropicos.org">http://www.tropicos.org</a>
Peru Andes	8067/2663	Peru	Brako & Zarucchi (1993)
	4662/1271		Hara <i>et al.</i> (1978), Hara & Williams (1979) Hara <i>et al.</i> (1982) Press <i>et al.</i> (2000), area above 2000 m
Himalaya		Nepal	
Mustang	767/552	Nepal	Kirchbaum (2002), area above 3600 m
Drakensberg	1396/376	South Africa	Hilliard & Burt (1987), area above 1800 m
Nanga Parbat	887/416	Pakistan	Dickore & Nüsser (2000), area above 2000 m
Tahoe	720/20	Nevada, USA	Smith (1984), area above 2000 m
Espot Boi	2489/510	Spain	Carrillo & Ninot (1992)