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# Development of the REFOCUS intervention to increase mental health team support for personal recovery

Running title: REFOCUS intervention

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#### Abstract

# **Background**

There is an emerging evidence base about best practice in supporting recovery. This is usually framed in relation to general principles, and specific pro-recovery interventions are lacking.

#### **Aims**

To develop a theoretically-based and empirically-defensible new pro-recovery manualised intervention – called the REFOCUS intervention.

#### Method

Seven systematic and two narrative reviews were undertaken. Identified evidence gaps were addressed in three qualitative studies. The findings were synthesised to produce the REFOCUS intervention, manual and model.

#### Results

The REFOCUS intervention comprises two components: recovery-promoting relationships and working practices. Approaches to supporting relationships comprise coaching skills training for staff, developing a shared team understanding of recovery, exploring staff values, a Partnership Project with people who use the service, and raising service user expectations. Working practices comprise: Understanding values and treatment preferences; Assessing strengths; and Supporting goal-striving. The REFOCUS model describes the causal pathway from the REFOCUS intervention to improved recovery.

#### Conclusion

The REFOCUS intervention is an empirically-supported pro-recovery intervention for use in mental health services. It will be evaluated in a multi-site cluster randomised controlled trial (ISRCTN02507940).

#### Introduction

National mental health policy mandates a recovery orientation in many countries. Implementing this policy vision in mental health systems is challenging. The National Institute of Health Research funded the REFOCUS programme between 2009 and 2014 to support the development of a recovery orientation in adult mental health services. The programme of work was undertaken in two phases. In phase 1, existing evidence was synthesised through a series of systematic and comprehensive reviews, and new primary research was undertaken. The deliverables from phase 1 were a new manualised intervention, called the REFOCUS intervention, including a testable description of the causal pathway between intervention and improved recovery, called the REFOCUS model. The intervention and model were tested in phase 2. The aim of this paper is to describe the phase 1 work.

# Methods Design

The scientific framework for the REFOCUS programme was the MRC Framework for Complex Interventions<sup>2</sup>, which proposes that complex interventions be developed from the systematic use of a clear theoretical basis. Phase 1 reported here involved three stages: (1) synthesis of theory to identify overarching principles, (2) development and manualisation of the REFOCUS intervention, and (3) development of the testable REFOCUS model. The intervention built on existing research, synthesised either using systematic or narrative reviews (specifically 'systematized' reviews<sup>3</sup> which use some but not all features of a systematic review). Qualitative studies using interviews and focus groups addressed identified knowledge gaps. Ethical approval was obtained (South London REC Office (2) 10/H0807/4).

# **Setting**

Multidisciplinary community mental health teams, providing case management primarily through service user (typically aged 18-65) / worker meetings, and often involving long-term rather than episodic care.

## **Procedure**

## Stage 1: Theory

Three underpinning principles were predefined. First, meaningful involvement from people with lived experience in the REFOCUS programme was prioritised, in acknowledgement of the concern expressed by some that the service user-developed notion of recovery can be seen to be 'hijacked' by services and incorporated into the language of the mental health system without any substantive change to practice. Second, there are known inequalities in the experience of service users from minority ethnic groups. The REFOCUS programme therefore placed a particular emphasis on supporting recovery for black service users, who in England are a minority ethnic group with high psychosis prevalence and problematic pathways to care. Third, the intervention was intended to place less emphasis on diagnosis as the determinant of care, and therefore was transdiagnostic. However, as one objective for the REFOCUS programme was to inform

clinical guidelines, which are indexed on diagnosis, the evaluation of the intervention would be in relation to its impact on people with psychosis.

Reviewing all pro-recovery interventions to identify those with strongest evidence was considered, but specificity proved an insurmountable review challenge. The term 'recovery' occurred too frequently in titles and abstracts to be a useable search term, and the individual nature of recovery allowed almost any intervention or outcome to be seen as a contributor. The need for a more coherent theory base for the construct of recovery was identified.

Published descriptions and models of personal recovery were analysed to develop a Conceptual Framework for personal recovery.<sup>5</sup> A narrative review included 97 papers with 87 distinct models, from 5,208 screened and 366 reviewed. Narrative synthesis was used to develop a Conceptual Framework. To investigate generalisability, the systematic review was updated (7,431 screened, 429 reviewed, 105 included) with a new focus on the country of origin of included studies.<sup>6</sup> Due to the paucity of recovery research relating to minority ethnic groups, a qualitative study of black individuals using mental health service users was undertaken involving four focus groups (n=26) and 14 individual interviews in four Mental Health Trusts and two voluntary sector organisation across England (Qualitative Study 1).

The Conceptual Framework is based on retrospective reports of people reflecting on their recovery, so may not be applicable to current service users who may be at an earlier stage of recovery. To investigate the applicability of the Conceptual Framework to people currently using mental health services, a qualitative study involving seven focus groups with 48 current mental health service users was undertaken in three areas of England (Qualitative Study 2). Participants were asked about their understanding and experience of personal recovery, with responses analysed using a constant comparison approach to validate the conceptual framework (deductive analysis) and identify new themes (inductive analysis).

To provide an organising framework for locating the intervention, a narrative review was undertaken, involving thematic analysis of 30 recovery guidelines from six countries. The emergent Recovery Practice Framework synthesised the findings from best practice resources internationally. Candidate interventions were evaluated for their feasibility using a new measure called Structured Assessment of FEasibility (SAFE).

A specific knowledge gap was identified in relation to staff perspectives on contextual barriers to and enablers of recovery-orientated practice. Therefore a grounded theory study was undertaken, involving ten focus groups with multidisciplinary clinicians (n=34) and team leaders (n=31) from five Mental Health Trusts across England, followed by individual interviews with clinicians (n=18), team leaders (n=6) and senior managers (n=8) (Qualitative Study 3).

Empirical evidence relating to candidate components of the intervention were reviewed. Consideration was given to undertaking systematic reviews for each element, but this was disproportionate in likely benefit. Therefore,

systematic reviews relating to assessment of strengths<sup>10</sup>, hope<sup>11</sup>, measuring recovery<sup>12</sup> and measuring recovery orientation<sup>13</sup> were completed, along with a narrative review on social influences on recovery<sup>14</sup>.

# **Stage 2: REFOCUS intervention and manual**

On the basis of Stage 1, a proposed structure for the REFOCUS intervention was developed by the research team. Expert input was then obtained from five advisory groups: a Lived Experience Advisory Panel (LEAP) of service users and carers (n=8); a steering group of topic-specific experts (n=19); a virtual advisory panel of service users, researchers and other stakeholders with an interest in black and minority ethnic mental health (n=10); an International Advisory Board of international experts (n=8); and individual consultees (n=11). A particular focus was on ensuring meaningful patient and public involvement, so the impact of the LEAP was evaluated in relation to input from other advisory committees, and shown to be influential on the study design and implementation. 15 The five advisory groups were consulted on the proposed structure for the REFOCUS intervention, in relation to external validity (is it targeting recovery rather than some other aspect of good practice?), feasibility (for community mental health team implementation), level of ambition (the right level of change from current practice) and resources (specific intervention or tools).

A draft manual was then developed, based on the findings from Stage 1 and the advisory committee consultation on the proposed structure. The advisory committees were then consulted again on the draft manual, in relation to feasibility (time, resources, skills), clarity (comprehensible, clinical fit), presentation (language, concepts, layout) and applicability (overlap with current practice, appropriate level of behaviour change). The draft manual was modified based on responses to produce the final REFOCUS intervention and REFOCUS manual.<sup>1</sup>

## Stage 3: REFOCUS model

Stages 1 and 2 were synthesised to develop the REFOCUS model, a description of the intervention, the proposed mediators, and the outcome. The intervention primarily focused on workers, and understanding of practice change was informed by the theory of planned behaviour. This theory proposes behavioural intent is influenced by attitudes and subjective norms, and by the perceived level of behavioural control. Meta-analysis of health research suggest the theory accounts for over 20% of actual behaviour. The intervention proposed mediators, and the outcome. The intervention primarily focused on workers, and understanding of practice change was informed by the theory of planned behaviour. The intervention primarily focused on workers, and understanding of practice change was informed by the theory of planned behaviour. The intervention primarily focused on workers, and understanding of practice change was informed by the theory of planned behaviour. The intervention primarily focused on workers, and understanding of practice change was informed by the theory of planned behaviour. The intervention primarily focused on workers, and understanding of practice change was informed by the theory accounts for over 20% of actual behaviour.

## Results

## Stage 1 (Theory)

The Conceptual Framework produced three findings.<sup>5</sup> First, 13 characteristics emerged: Recovery is an active process; Individual and unique process; Nonlinear process; Recovery as a journey; Recovery as stages or phases; Recovery as a struggle; Multi-dimensional process; Recovery is a gradual process; Recovery as a life-changing experience; Recovery is possible without cure; Recovery is aided by supportive and healing environment; Recovery can occur without professional intervention; and Trial and error process. Second, five key recovery principles were evident in recovery

narratives: Connectedness, Hope and optimism, Identity, Meaning and purpose, and Empowerment – giving the CHIME Framework. Finally, the review identified that recovery narratives are consistent with a stages model, in which the journey of recovery is a continuous and unfolding process rather than a discontinuous one-off experience.

The updated cross-cultural systematic review showed that most recovery literature comes from English-speaking countries, so caution is needed in generalising the recovery construct to non-majority populations. Thematic analysis of the experience of black service users in Qualitative Study 1 indicated the central importance of individualised care based on the person's values and treatment preferences, rather than a 'one-size-fits-all' approach to planning services.

The focus group study of current mental health service users (Qualitative Study 2) validated the conceptual framework, and identified three areas of greater emphasis: practical support; diagnosis and medication; and scepticism surrounding recovery.<sup>7</sup>

The recovery oriented practice framework identified four practice domains of recovery support: Promoting citizenship (e.g. challenging stigma), Organisational commitment (e.g. workforce planning), Supporting personally defined recovery (e.g. treatments) and Working relationship (e.g. interpersonal style). Candidate interventions at the level of Promoting Citizenship (e.g. community links) and Organisational commitment (e.g. peerrun services) were evaluated using SAFE, and deemed infeasible within available resources.

The grounded theory study of staff perspectives on barriers and enablers (Qualitative Study 3) found that staff had a range of opinions about recovery-orientated practice, reflecting their need to balance competing priorities and demands placed on them. These studies all informed the principles underpinning the REFOCUS intervention, shown in Table 1.

# Insert Table 1 here

# Stage 2 (REFOCUS intervention and manual)

A draft structure for the REFOCUS intervention was developed, with interventions described in outline and organised to correspond with care processes of assessment, intervention and evaluation. The structure comprised four core intervention modules (Knowledge, Values and attitudes, Strengths assessment, Recovery planning and Interpersonal style) and five optional modules (Connectedness, Hope, Identity, Meaning and Empowerment). Modules used familiar clinical terminology and the intervention comprised the four core plus one optional module.

Consultation with advisory committees on the draft structure produced 16 responses, identifying five main themes: feasibility, implementation, suggested interventions or resources, service user involvement, and language. Feasibility concerns included resources, time needed to implement

the manual and the staff skill set. The manual included too many components, and the core and optional structure was over-complicated and made analysis more difficult. The need was identified for implementation strategies, which identify specifically how the intervention is implemented. References for suggested interventions or resources were accessed and reviewed. Service user involvement spanned development of the manual (which should be visible and explicit) and providing the intervention (staff training should involve people with lived experience). Respondents did not agree with the use of clinical language, suggesting instead that the language used should represent and be consistent with the concept of personal recovery: "I think it could be a mistake to try and dress the recovery approach in clinical language as in my experience people see through it and feel uncomfortable with it and we shouldn't be making apologies for what we are trying to achieve".

On the basis of the consultation, a full draft of the REFOCUS intervention was developed. Consultation with the advisory committees on the full draft produced 14 responses, with five emergent themes. The theme *service user involvement* related to amplifying the role of service users in the intervention. Adopted suggestions included informing service users about the intervention, raising their expectations to expect recovery-orientated care, emphasising staff-service user relationships involving trust, partnership and mutual respect, and facilitating an experience for staff and service users of working together on a common goal (the Partnership Project, described later). The theme *training practicalities* emerged from clinicians and researchers, and related to the cost, timing and back-fill arrangements for training. The theme *language* related to ensuring pro-recovery language in the REFOCUS manual and the issue of including people with English as an additional language. The *implementation* theme related to implementation of the intervention in clinical practice. Finally, many *resources* were suggested and reviewed.

The draft manual was modified to produce the final version of the REFOCUS manual. The manual provides resources to implement the REFOCUS intervention, and was the intervention manual used in the subsequent REFOCUS trial. The REFOCUS intervention has two components, targeting (1) the service user — worker relationship (called Recovery-promoting relationships) and (2) the support offered by the worker (Working practices). The REFOCUS intervention is now described.

# **Component 1: Recovery-promoting relationships**

This component comprises several approaches to supporting a partnership-based relationship. Four types of relationships were considered as candidates for use in routine clinical interactions: mentoring, 'real relationships', trialogues and coaching.

Mentoring involves an experienced person (the worker) assisting another (the service user) in developing specific skills and knowledge. Although widely used in the business world, no research using mentoring as a worker interaction style in a mental health system could be located. (Although there was a report of a pilot involving people with lived experience mentoring psychiatrists (http://www.dorsetmentalhealthforum.org.uk/recovery.html).

A real relationship is one in which 'each is genuine with the other and perceives the other in ways that befit the other'. Although being perceived as a person rather than a patient is reported by some service users as a turning point in their recovery journey, the real relationship concept has emerged from psychotherapy rather than general mental health services, and its usefulness in a context sometimes involving issues of compulsion and capacity is unknown.

A trialogue meeting is a community forum where service users, carers, friends, mental health workers, and others with an interest in mental health participate in an open dialogue. In German-speaking countries, well over one hundred trialogue groups are regularly attended by 5,000 people. However, evaluation is limited and its structure makes it difficult to incorporate into routine clinical work.

Coaching was chosen as the focus for the staff training component of the REFOCUS intervention. Coaching is widely used, has socially acceptable positive connotations relating to strengths (rather than the problem-focussed connotations of 'therapy'), and has been used in mental health services. For example, the Collaborative Recovery Model uses coaching to support goal-striving by service users.<sup>20</sup>

Recovery-promoting relationships were supported using five approaches. First, staff training using a locally-developed Coaching Conversations for Recovery training programme. Second, the grounded theory study of staff perspectives on recovery-orientated practice (Qualitative Study 3) found that staff had a range of opinions about recovery, reflecting their need to balance competing priorities and demands placed on them. The development of a shared team understanding was included as a training goal. Third, staff values underpin practice and 'staff role perception' was identified as influential in Qualitative Study 3, so a goal of staff training was to give a safe opportunity to explore values held by individual workers. Fourth, to give an opportunity for a non role-defined experience of relating to each other (and hence reduce any 'them-and-us' beliefs about having little in common), the undertaking of a Partnership Project was encouraged, in which staff and service users from the same team take on a joint and non-clinical task, with a small amount of resources (£500 per team). Fifth, because both parties are active agents in the relationship, the intervention tried to raise expectations in service users about being actively involved in the working relationship, and to encourage them to bring their expertise by experience to inform the clinical discussions.

# **Component 2: Working practices**

Supporting personal recovery involves providing interventions and treatments in the service of the person's recovery, i.e. led by what the individual identifies as needed. Three challenges were identified: planning support based on the individual's values rather than clinical priorities; amplifying strengths as well as ameliorating deficits; and planning care based as much as possible on the goals of the service user. Each led to a specific working practice.

Working practice 1 is Understand values and treatment preferences. Traditional clinical assessment processes can inadvertently reinforce an identity as a patient<sup>21</sup>, whereas if services are to be oriented around the individual (i.e. patient-centred) then the starting point for assessment needs to be a rich understanding of a person's identity. This involves a strong focus on understanding what matters to the individual (i.e. their values) and what if any support they want from mental health services (i.e. their treatment preferences). Resources supporting Working Practice 1 comprised a conversational approach using a Values and Treatment Preferences form; a narrative approach supporting the service user to develop their own story; and a visual approach using life mapping.

Working practice 2 is Assessing strengths. It has been proposed that clinical assessment should focus on four dimensions: (1) deficiencies and undermining characteristics of the person; (2) strengths and assets of the person; (3) lacks and destructive factors in the environment; and (4) resource and opportunities in the environment.<sup>22</sup> Traditional clinical assessment focuses on dimension 1, and there is no doubt that ameliorating intrapsychic deficits, such as reducing symptoms or social disability, is an important contribution to recovery. The REFOCUS intervention seeks to extend clinical expertise to also include dimension 2. Our systematic review of strengths measures<sup>10</sup> recommended the Strengths Assessment Worksheet (SAW)<sup>23</sup> as the most widely used and evaluated qualitative measure of strengths. Staff training in using the SAW to inform care planning was included in the intervention. Resources supporting Working Practice 2 were the SAW and strengths assessment techniques.

Working practice 3 is Supporting goal-striving. Consistent with the substantial evidence from research into self-management and shared decision-making, helping people to – with appropriate support – do things for themselves is a central orientation of a recovery-focussed mental health service. However, evidence from reviewing care plans indicates that – at least as recorded – actions are primarily undertaken by staff. For example, a review of 3,526 care plan action points for 700 service users found 2,489 (71%) were for staff to action, with only 725 (21%) for joint action and 287 (8%) for action by the service user. Therefore the third working practice was focussed on supporting service users to identify, strive towards and achieve personally valued goals. Resources supporting Working Practice 3 were the GROW Model of coaching<sup>25</sup> to identify and plan actions towards personally valued goals.

Six implementation strategies were developed through advisory committee consultation and Qualitative Study 3: (a) information sharing with staff and service users through letters and meeting to raise expectations; (b) 1.5 days of personal recovery training sessions for staff involving people with lived experience as trainers; (c) two days of coaching skills training for staff; (d) five team manager reflection sessions; (e) six team reflections sessions; and (f) reflection in supervision.

# Stage 3 (REFOCUS model)

The REFOCUS model was developed to describe the proposed causal pathway from receiving the intervention to improved recovery, and is shown in Figure 1.

# Insert Figure 1 here

Staff practice change is based on the theory of planned behaviour. Team and individual values reflect the behavioural influence of subjective norms. Attitude, knowledge and skill reflect the behavioural influence of behavioural control.

The impact on the experience of the service user occurs in relation to both content (Support) and process (Relationships) of care. A systematic review of recovery support measures (15,738 articles screened, 371 reviewed) identified six measures, none of which could be recommended. Therefore a new measure called INSPIRE was developed, which has sub-scales assessing the value placed on the Support received (individualised to reflect the values and treatment preferences of the service user) and the Relationship with the worker. The service user is relationship with the worker.

Four proximal outcome domains were identified. Quality of life is a standard patient-rated outcome measure. The CHIME framework of recovery processes informed the choice of three other proximal outcome domains: hope, well-being and empowerment. A systematic review of Hope showed the construct to be important, and identified a candidate pool of 8 measures from 20,150 articles screened and 721 reviewed. Identity and Meaning link with the emerging construct of well-being review of well-being identified a candidate pool of 20 measures from 19,337 articles screened and 421 reviewed. Finally, the outcome domain of Empowerment is an intended benefit from coaching. No useable measure of Connectedness was identified.

A systematic review showed that the predefined distal outcome of personal recovery was measurable, <sup>12</sup> and identified a candidate pool of 12 measures from 31,237 articles screened and 336 reviewed. The Questionnaire about the Process of Recovery<sup>29</sup> was recommended for use.

### **Discussion**

The MRC Framework for Evaluating Complex Interventions was used to develop a testable and empirically defensible pro-recovery intervention. The theory base included existing research synthesised in seven systematic reviews and two narrative reviews, and three qualitative studies addressing key knowledge gaps. The resulting REFOCUS intervention is intended to increase the support for recovery provided by community mental health teams. The intervention is trans-diagnostic and trans-professional, so in principle it may have relevance (following modification and evaluation) in other settings, such as in-patient, private practice, peer-run services or other clinical populations.

# Strengths and limitations

The REFOCUS programme was funded for five years, allowing 18 months for the intellectual work reported here. This had several advantages. As teams are built not formed, having the time to develop a knowledgeable, reflective and high-performing research team may have improved the intellectual quality of the output. We believe this is more likely to lead to innovation than separate projects over the same length of time. Overall, the intervention is based on a coherent synthesis (and in most cases peer-reviewed publication) of a wide range of evidence. Finally, the timeframe and financial resources permitted the "higher demands on resources and slower pace of research" (p.65)<sup>30</sup> required for meaningful Patient Public Involvement.

The application of the MRC Framework to the development of the intervention was relatively rigorous. However, a recent methodological extension of the framework identifies theory-driven approaches to evaluation. The extension provides case studies relating to peer counselling for maternal depression, community-based rehabilitation for schizophrenia, and integration of mental health and primary care systems in low- and middle-income countries. Some features recommended in the extension were used in the REFOCUS programme, including a participatory approach, and clarity about causal pathways and intended impact. Others, such as making assumptions about underpinning causal pathways explicit and identifying preconditions for successful implementation, were not, and would have enhanced the design.

Knowledge from implementation science research was inadequately applied in the REFOCUS programme. The resulting limitations include the lack of clarity about the optimal level of challenge to staff practice, the development of implementation strategies with less rigour than the development of the intervention, and the absence of piloting of the intervention.

To make the study manageable, several important aspects were not addressed in the REFOCUS intervention. A main limitation relates to the minimal approach taken to harnessing the resource of lived experience. The REFOCUS intervention primarily targets the staff side of the dyadic relationship between worker and service user, with modest efforts made to raise service user expectations through an information session and a letter. A more effective strategy would involve actively targeting both sides of the relationship. Emerging approaches include making 'credible role models of recovery' more visible by employing peer support workers in services, and supporting active involvement in clinical decision-making.

Second, beyond some involvement in LEAP, the study did not incorporate the perspective of carers. There is only a small and primarily qualitative or survey-based evidence base concerning carer perspectives on recovery. As family and friends are so influential on recovery, this is an important evidence gap.

Third, a decision was made to focus on the two domains from the Recovery Practice Framework relating to direct clinical practice. The REFOCUS intervention was intended to be integrated into existing practice, consistent with an assumption that many current 'clinical recovery' practices, such as evidence-based interventions and social care, directly contribute to the

personal recovery of many service users. The goal was therefore not to develop an alternative service system, but rather for new research to inform and amplify the best aspects of current mental health practice. The remaining two domains of the Recovery Practice Framework are also important. The Organisational Commitment domain is being addressed in England through the Implementing Recovery through Organisational Change (ImROC) programme (www.imroc.org). The programme is consistent with REFOCUS in being based on the view that 'If recovery is really going to be the defining feature of our mental health services, there needs to be a fundamental change in the quality of day-to-day interactions' (p.2)34. However, the ImROC approach focuses on organisational transformation. Other national approaches are underway Australia in (http://www.health.gov.au/internet/main/publishing.nsf/Content/mental-pir) and USA (samhsa.gov/recoverytopractice).

Finally, the Promoting Citizenship domain – what in the UK is called social inclusion and in USA community integration – was not directly addressed in the intervention or as an outcome in the model. This has been rightly highlighted as a weakness of REFOCUS<sup>35</sup>, and indeed it has been suggested that "the largest contribution by mental health services to supporting recovery may come from enabling the empowerment of service users to experience the full entitlements of citizenship" (p.52)<sup>36</sup>. There is an urgent need for new and evaluated approaches to increasing social cohesion and social capital.<sup>37</sup>

# **Implications**

The next step for the REFOCUS intervention is formal evaluation. Phase 2 of the REFOCUS programme is a multi-site cluster randomised controlled trial (ISRCTN02507940) involving over 400 service users.<sup>38</sup> In England the REFOCUS intervention is also being evaluated in Mental Health Trusts participating in the Innovation Network following from the Schizophrenia Commission, and the PULSAR Study in Australia is cross-culturally modifying the REFOCUS intervention and extending it to primary care settings.

An important knowledge transfer strategy has been active and free dissemination of developed materials. The study web-site (researchintorecovery.com) contains downloadable versions of the REFOCUS manual, INSPIRE, SAFE and other resources. As a result, the study is making a broader impact on policy and practice. For example the Recovery Practice Framework underpins the Australian national framework<sup>39</sup>, and the INSPIRE measure is in use in the ImROC network, recommended for routine use in England, 40 and being translated into Danish, Estonian, German, Italian, Russian, Slovenian, Spanish and Swedish. Overall, the ambitious goal of providing evidence-based and effective support for people using mental health services to live a life beyond illness may be one step closer.

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## **Author contributions**

All authors made a substantial contribution to the conception and design of the study, data collection and interpretation. All authors were involved in drafting and revising the article and gave their final approval for the version to be published.

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**Table 1: Theory informing the REFOCUS intervention** 

| Source | Theory                                   | Implication for REFOCUS Intervention   |
|--------|--|--|
| 1      | Meaningful involvement of people with    | People with lived experience are involved as co-applicants, in advisory          |
|        | lived experience is needed               | committees, as researchers, and in analysis and dissemination                    |
| 1      | Clinical guidelines are indexed on       | The intervention is transdiagnostic, but evaluation will focus on people with    |
|        | diagnosis                                | psychosis  |
| 2      | Service users value individualised care  | Care planning starts with the individual's values and preferences                |
| 2      | Recovery is an active process            | The intervention focuses on supporting self-management rather than 'fixing       |
|        |  | the problem'   |
| 2      | Recovery is individual and unique        | The intervention is flexible and non-prescriptive                                |
| 3      | Recovery can occur without professional  | Clinical expertise is offered as a support, whilst recognising other types of    |
|        | intervention                             | help may also contribute to recovery   |
| 3      | Different support is needed at different | The target group is people using community-based mental health services,         |
|        | stages of recovery                       | to reduce heterogeneity  |
| 3      | Key recovery processes are               | The intervention content targets these five processes, and CHIME is used to      |
|        | Connectedness, Hope, Identity, Meaning   | inform the outcome evaluation strategy   |
|        | and Empowerment (CHIME)                  |  |
| 4      | Practical support, diagnosis and         | The intervention is in addition to, rather than replacing, current mental health |
|        | medication remain important              | practice   |
| 4      | Some service users are sceptical about   | The term 'recovery' and associated concepts are used only where helpful          |
|        | recovery                                 |  |
| 5      | Recovery support spans four domains of   | The intervention targets the domains relating to front-line practice:            |
|        | practice                                 | Supporting personally defined recovery and Working relationships                 |
| 6      | Team members hold differing              | The intervention is provided to teams, to support the development of a team-     |
|        | understandings of recovery               | level understanding of recovery  |

<sup>\* 1 =</sup> predefined, 2 = Qualitative Study 1, 3 = Conceptual Framework<sup>5</sup>, 4 = Qualitative Study 2, 5 = Recovery Practice Framework<sup>8</sup>, 6 = grounded theory study of staff (Qualitative Study 3)

Figure 1: The REFOCUS Model

