

# 1 **Micromechanical Homogenisation of Steel Bars in Reinforced** 2 **Concrete for Damage Analysis**

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## 7 **Abstract**

8 A homogenisation scheme based on inclusion modelling is coupled with constitutive laws for damage  
9 and implemented in a finite element model for the simulation of concrete and reinforcement bar damage  
10 in reinforced concrete structures. The scheme is employed for simulating the behaviour of evenly  
11 distributed reinforcement and adapted for the simulation of zones with concentrated reinforcement in  
12 structural members.

13 The model is validated against experimental tests from the literature carried out on reinforced concrete  
14 members subjected to bending and direct tension. The model captures the main characteristics of the  
15 behaviour of and damage in the constituent materials of reinforced concrete without resorting to individual  
16 meshing of the embedded bars and with very low computational cost.

## 17 **Keywords**

- 18 • reinforced concrete
- 19 • micromechanics
- 20 • homogenisation
- 21 • damage modelling
- 22 • finite element analysis

## 23 **Highlights**

- 24 • A homogenisation scheme is applied in RC for simulating reinforced zones and surfaces

- 25 • Both distributed and concentrated reinforcement is simulated in full scale members
- 26 • Stresses, strains and damage in rebars and concrete can be calculated in a continuum FE mesh

## 27 **1 Introduction**

28 Modelling the damage initiation and propagation in reinforced concrete structures is critical for  
29 predicting their behaviour against a variety of actions. Cracks caused by mechanical loading, exposing the  
30 reinforcement bars to environmental effects and chemical attack, can significantly reduce durability and  
31 service life (Shaikh 2018). Additionally, excessive loading scenarios leading to cracking of the concrete can  
32 lead to a reduction of residual stiffness and strength in reinforced concrete members against future high  
33 demands, such as those arising during earthquake events (Shiradhonkar and Sinha 2018).

34 Reinforced concrete can be treated as a composite material consisting of two readily distinguishable  
35 phases with vastly different mechanical properties, behaviour and geometrical arrangement: the quasi-  
36 brittle concrete matrix and the ductile steel reinforcement. In a finite element analysis context, both  
37 material phases can be constitutively modelled and geometrically meshed individually (El-Gendy and El-  
38 Salakawy 2021; Markou and Roeloffze 2021; Moharrami and Koutromanos 2017). While adopting this  
39 approach for nonlinear analysis can produce comprehensive results on the stresses, strains and damage of  
40 the individual components of reinforced concrete, it can be demanding in terms of generating the geometry  
41 of the model as well as in computational terms for executing the calculations and processing the results  
42 (Markou and Genco 2019), especially when it becomes necessary to employ very fine finite element meshes  
43 for stable and accurate analysis (Cotsovos, Zeris, and Abas 2009). Reduction of computational cost can be  
44 achieved through adopting a plane stress approach. However, this approach means that the embedded bars  
45 need to be either simulated as embedded truss elements or as continuum elements interrupting the  
46 continuity of the concrete matrix. Both these approaches, therefore, introduce errors in the volume ratio  
47 and overall geometrical disposition of the matrix near the location of the bars.

48 Models for reinforced concrete members based on beam formulations, coupled with appropriate  
49 nonlinear constitutive laws, can substantially mitigate computational cost issues in finite element analysis  
50 (Lu et al. 2013; Santafé Iribarren et al. 2011). However, beam-based models are often unable to successfully

51 capture all aspects of material nonlinearity in the components, especially in the rebars, due to inability of  
52 fully capturing the interaction of stress and strain between material phases in the composite.

53 Micromechanical homogenisation methods, as developed for composite materials consisting of  
54 inclusions embedded in a matrix (Eshelby 1957), can be employed for nonlinear analysis of reinforced  
55 concrete structures as an alternative to a pure finite element micromodel. These methods account for the  
56 full interaction of the phases in the composite and can often be expressed in closed form. While readily  
57 applicable for analysing the microstructure of plain concrete, namely modelling the interaction of hardened  
58 cement, aggregates, pores and cracks within the concrete (Nguyen, Stroeven, and Sluys 2012; Nilenius et  
59 al. 2014; Unger and Eckardt 2011; Wriggers and Moftah 2006; Wu and Wriggers 2015), homogenisation of  
60 the reinforced concrete itself has not received the same amount of attention. Specifically, while nonlinear  
61 analyses of reinforced concrete representative volume elements and structures with evenly distributed  
62 reinforcement have been performed (Combesure, Dumontet, and Voltaire 2015; Sciegaj et al. 2019; Teng  
63 et al. 2004), the simulation of reinforcement zones with concentrated reinforcement bars is not equally  
64 advanced within the context of micromechanical homogenisation. The presence of structural elements in  
65 building structures with clearly distinguishable reinforced zones, such as beams, limits the applicability of  
66 these homogenisation schemes in their present form.

67 In this paper a micromechanical homogenisation scheme based on the equivalent inclusion method is  
68 combined with nonlinear constitutive laws for concrete and reinforcement bar damage for simulating  
69 reinforced concrete elements under mechanical loading. A method for modelling reinforced zones is  
70 proposed and tested, in contrast to the typical micromechanical approach of assuming evenly distributed  
71 reinforcement. The homogenisation scheme and constitutive laws are subsequently implemented in a  
72 plane stress finite element model. The method is validated against experimental data from the literature  
73 involving full structural elements. The purpose of the proposed approach is to fully account for the  
74 interaction of the concrete with the embedded bars while maintaining computational complexity and costs  
75 low.

76 The paper sets off with the presentation of the homogenisation scheme for reinforcement bars  
77 embedded in concrete, with comments on the applicability of the scheme in reinforced concrete. Next, the  
78 constitutive laws for the damage models employed for the concrete and the bars are presented, along with  
79 the way these laws are incorporated in the overall modelling method. Next, the implementation of the  
80 scheme in a finite element context is described, along with a presentation of the modelling method adopted  
81 for reinforced zones. The verification of the model against experimental data involving reinforced concrete  
82 beams in bending and pure tension is subsequently presented, accompanied by general comments on the  
83 results produced by the model. Finally, the conclusions of this work are summarised and comments on  
84 future work are provided.

## 85 **2 Micromechanical model**

86 Reinforced concrete is treated as a composite material composed of a concrete matrix with  
87 orthogonally oriented embedded steel rebar inclusions (e.g., in case of a beam; flexural reinforcement:  
88 longitudinal bars, shear reinforcement: vertical or inclined bars), with their length being much larger than  
89 their cross-sectional dimensions. In the context of the modelling approach adopted, an isolated inclusion  
90 embedded in an infinitely large matrix undergoes deformation when the matrix itself is subjected to an  
91 average strain  $\boldsymbol{\varepsilon}$  as a result of mechanical loading. In the general case where the matrix and inclusion have  
92 different elastic properties, the deformation of the inclusion is different from the average deformation of  
93 the matrix which constrains it. Removal of this constrain results in a strain state in the inclusion known as  
94 eigenstrain  $\boldsymbol{\varepsilon}^*$ . The relation between the strain of the matrix and of the inclusion is expressed as:

$$\varepsilon_{ij} = S_{ijkl} \varepsilon^*_{kl} \quad (1)$$

95 where  $S_{ijkl}$  are the components of Eshelby's fourth order tensor  $\boldsymbol{S}$  (Eshelby 1957). Initial work on inclusion  
96 modelling was performed on ellipsoidal inclusions embedded in a three-dimensional matrix (Zou et al.  
97 2010). The values in Eshelby's tensor are dependent on the dimension ratios of the ellipsoids. In the  $xy$   
98 plane the ellipsoid reduces to an ellipse, the boundary of which is defined by the equation:

$$\frac{x^2}{a_1^2} + \frac{y^2}{a_2^2} = 1 \quad (2)$$

99 where  $a_1$  and  $a_2$  are the half-length and half-height of the ellipse in  $x$  and  $y$  respectively. Closed form  
 100 expressions for Eshelby's tensor have been derived for elliptic inclusions in plane stress, the second order  
 101 tensor being simply defined as (Huang, Zou, and Zheng 2009):

$$\mathbf{S} = \begin{bmatrix} S_{11} & S_{12} & 0 \\ S_{21} & S_{22} & 0 \\ 0 & 0 & S_{33} \end{bmatrix} \quad (3)$$

102 where:

$$\begin{aligned} S_{11} &= \frac{1}{k} (-3\varphi - 2 + 2\nu\varphi + 2\nu) \\ S_{22} &= \frac{1}{k} \varphi (-2\varphi - 3 + 2\nu\varphi + 2\nu) \\ S_{12} &= -\frac{1}{k} (-\varphi + 2\nu\varphi + 2\nu) \\ S_{21} &= -\frac{1}{k} \varphi (-1 + 2\nu\varphi + 2\nu) \\ S_{33} &= \frac{1}{k} (\varphi + (\nu - 1)(1 + \varphi)^2) \end{aligned} \quad (4)$$

103 with:

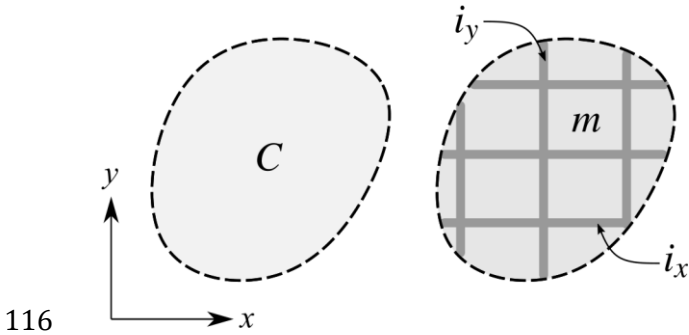
$$\begin{aligned} k &= 2(\nu - 1)(1 + \varphi)^2 \\ \varphi &= \frac{a_1}{a_2} \end{aligned} \quad (5)$$

104 Plane stress conditions are deemed adequate for a wide variety of applications where the transversal  
 105 dimension of the simulated structural elements is small or when the confinement of the concrete is not of  
 106 primary importance.

107 Needle-shaped, or cylindrical, inclusions oriented along the  $x$  axis are derived from elliptical inclusions  
 108 with dimension  $a_1$  being much greater than  $a_2$ , to the effect that in the present context  $\varphi \rightarrow +\infty$ . Based on  
 109 this assumption, the values of Eshelby's tensor for needle inclusions oriented along the  $x$  in plane stress  
 110 are as follows:

$$\mathbf{S} = \begin{bmatrix} 0 & 0 & 0 \\ \frac{\nu_m}{1-\nu_m} & 1 & 0 \\ 0 & 0 & 0.5 \end{bmatrix} \quad (6)$$

111 where  $\nu_m$  is the Poisson's ratio of the matrix  $m$ . The  $\mathbf{S}$  tensor for needle inclusions oriented along the  $y$  axis  
 112 can be simply produced by substitution between the 1 and 2 indices in eq. (4) while the 3 indices  
 113 corresponding to the shear component of the eigenstrain remain unaltered. A conceptual illustration of a  
 114 composite material  $C$  in  $xy$  two-dimensional space with two networks of evenly spaced needle inclusions  
 115  $i_x$  and  $i_y$  oriented along the  $x$  and  $y$  axes within a matrix  $m$  is shown in Figure 1.



116  
 117 **Figure 1** Composite material  $C$  composed of needle inclusions  $i_x$  and  $i_y$  embedded in matrix  
 118  $m$ .

119 Inclusions with identical properties, shape and orientation in a composite material can be considered  
 120 in groups. Under the dilute approximation for inclusions, the dilute estimate  $T_i$  of the  $i$ -th group of  
 121 inclusions is equal to:

$$\mathbf{T}_i = (\mathbf{I} + \mathbf{S}_i(\mathbf{C}_m)^{-1}(\mathbf{C}_i - \mathbf{C}_m))^{-1} \quad (7)$$

122 where  $\mathbf{I}$  is the  $3 \times 3$  identity tensor and  $\mathbf{C}_m$  and  $\mathbf{C}_i$  are the plane stress stiffness tensors of the matrix and  
 123 the inclusion respectively, functions of the Young's moduli and Poisson's ratios of the individual materials.

124 The matrix strain concentration factor  $\mathbf{A}_C$  is a function of the dilute estimates of all inclusion groups present  
 125 in the composite and is equal to:

$$\mathbf{A}_C = \left( \omega_m \mathbf{I} + \sum_{i=1}^n \omega_i \mathbf{T}_i \right)^{-1} \quad (8)$$

126 where  $\omega_i$  is the volume ratio of the  $i$ -th group of inclusions,  $\omega_m$  the volume ratio of the matrix with respect  
 127 to the total volume of the composite and  $n$  is the total number of inclusion groups. The sum of all volume  
 128 ratios is equal to 1. The strain concentration tensor  $\mathbf{A}_i$  of the  $i$ -th inclusion group within the composite  
 129 material is equal to:

$$\mathbf{A}_i = \mathbf{T}_i \mathbf{A}_C \quad (9)$$

130 Finally, the effective stiffness tensor  $\mathbf{C}_C$  of the composite material can be calculated in closed form  
 131 according to the equation (Marzari and Ferrari 1992):

$$\mathbf{C}_C = \mathbf{C}_m + \sum_{i=1}^n \omega_i (\mathbf{C}_i - \mathbf{C}_m) \mathbf{A}_i \quad (10)$$

132 Having calculated the effect of the inclusions on the matrix, the stresses and strains in all components  
 133 of the composite material can be calculated, which is essential for damage analysis. As such, the strain  
 134 vector in the matrix  $\boldsymbol{\varepsilon}_m$  is equal to (Mori and Tanaka 1973):

$$\boldsymbol{\varepsilon}_m = \mathbf{A}_C \boldsymbol{\varepsilon}_C \quad (11)$$

135 where  $\boldsymbol{\varepsilon}_C$  is the macroscopic strain vector in the composite. The stress vector  $\boldsymbol{\sigma}_m$  in the matrix is equal to:

$$\boldsymbol{\sigma}_m = \mathbf{C}_m \boldsymbol{\varepsilon}_m \quad (12)$$

136 The strain vector  $\boldsymbol{\varepsilon}_i$  in the  $i$ -th group of inclusions is equal to (Benveniste 1987):

$$\boldsymbol{\varepsilon}_i = \mathbf{A}_i \boldsymbol{\varepsilon}_C \quad (13)$$

137 and the stress vector  $\boldsymbol{\sigma}_i$  is equal to:

$$\sigma_i = C_i A_i (C_C)^{-1} \sigma_C \quad (14)$$

138 where  $\sigma_C$  is the macroscopic stress vector in the composite, equal to:

$$\sigma_C = C_C \varepsilon_C \quad (15)$$

139 In the present work, the concrete serves as the matrix in which the embedded reinforcement bars serve  
140 as the inclusions in two groups. The typically large ratio of the length of the bars over their diameter lends  
141 itself to the assumption of their being needle-shaped in this context. Further, the typical orthogonal  
142 orientation of the bars with respect to the orientation of cuboid shaped reinforced concrete elements, such  
143 as slabs, beams, columns and walls, allows the homogenisation calculations to be performed without  
144 complex consideration of the orientation of the inclusions. This fact, coupled with the assumption of needle  
145 shaped inclusions, allows the expression of the entire homogenisation scheme in closed form, thus further  
146 reducing computational complexity. Application of the same homogenisation scheme in three dimensions  
147 is identical to the presented process, with only Eshelby's tensor  $\mathbf{S}$  assuming different size and values (Qiu  
148 and Weng 1990) and the stiffness tensors for three dimensional elasticity needing to be adopted. In such  
149 an approach a third inclusion group, oriented in the z axis, can also be included while maintaining the  
150 closed form of the scheme.

### 151 **3 Constitutive modelling**

152 Concrete can fail in compression and tension, while reinforcement bars can yield in compression or  
153 tension. Loss of stiffness in the components of the composite material is calculated in a damage mechanics  
154 approach (Kachanov 1958; Voyiadjis and Kattan 2017). In this context, the stiffness tensors of the  
155 components are multiplied with integrity variables, which start off from 1 for an undamaged material and  
156 tend towards zero for a completely softened material. These integrity variables express the ratio between  
157 the actual damaged stress and the effective stress, which is proportional to the strain. Damage in these  
158 components results in a loss of stiffness of the composite material as calculated according to eqs. (7) to  
159 (10).



160 Failure of concrete in compression is modelled through a stress strain curve consisting of an initial  
 161 linear part followed by a parabolic hardening-softening curve (Feenstra and De Borst 1996) based on  
 162 compressive fracture energy. As such, the integrity variable of the concrete matrix in compression  $I_c$  as a  
 163 function of the strain  $\varepsilon$  is equal to:

$$I_c(\varepsilon) = \begin{cases} 1 & \varepsilon_l \leq \varepsilon \leq 0 \\ -\frac{f_c}{\sigma_e} \frac{1}{3} \left( 1 + 4 \frac{\varepsilon - \varepsilon_c^l}{\varepsilon_c^p - \varepsilon_c^l} - 2 \left( \frac{\varepsilon - \varepsilon_c^l}{\varepsilon_c^p - \varepsilon_c^l} \right)^2 \right) & \varepsilon_c^p \leq \varepsilon \leq \varepsilon_c^l \\ -\frac{f_c}{\sigma_e} \left( 1 - \left( \frac{\varepsilon - \varepsilon_c^p}{\varepsilon_c^u - \varepsilon_c^p} \right)^2 \right) & \varepsilon_c^u \leq \varepsilon \leq \varepsilon_c^p \\ 0 & \varepsilon \leq \varepsilon_c^u \end{cases} \quad (16)$$

164 where  $f_c$  is the compressive strength of the component (negative value),  $\sigma_e$  is the effective stress and  $\varepsilon_c^l, \varepsilon_c^p$   
 165 and  $\varepsilon_c^u$  being the limit of proportionality, peak strain and ultimate strain in compression respectively, equal  
 166 to:

$$\begin{aligned} \varepsilon_c^l &= \frac{f_c}{3E_c} \\ \varepsilon_c^p &= 5\varepsilon_l \\ \varepsilon_c^u &= \frac{G_c}{f_c h} \end{aligned} \quad (17)$$

167 where  $E_c$  is the Young's modulus of the concrete,  $G_c$  is its compressive fracture energy and  $h$  is the  
 168 bandwidth, meaning the length at which the constitutive law is being evaluated.

169 Cracking damage in concrete due to tension is modelled through linear behaviour up to peak stress and  
 170 an exponential softening curve thereafter based on tensile fracture energy. The integrity variable for  
 171 tension  $I_t$  is equal to:

$$I_t(\varepsilon) = \begin{cases} 1 & 0 \leq \varepsilon \leq \varepsilon_t^p \\ \frac{f_t}{\sigma_e} \exp\left(-\frac{\varepsilon - \varepsilon_t^p}{\varepsilon_t^u}\right) & \varepsilon_t^p \leq \varepsilon \end{cases} \quad (18)$$

172 where  $f_t$  is the tensile strength and  $\varepsilon_t^p$  and  $\varepsilon_t^u$  being the peak strain and ultimate strain in tension  
173 respectively. These are equal to:

$$\begin{aligned}\varepsilon_t^p &= \frac{f_t}{E_c} \\ \varepsilon_t^u &= \frac{G_t}{f_t h}\end{aligned}\tag{19}$$

174 where  $G_t$  is the tensile fracture energy.

175 Yielding of the reinforcement in tension or compression is considered through an elastic and perfectly  
176 plastic response. The integrity variable  $I_y$  can be thus expressed as:

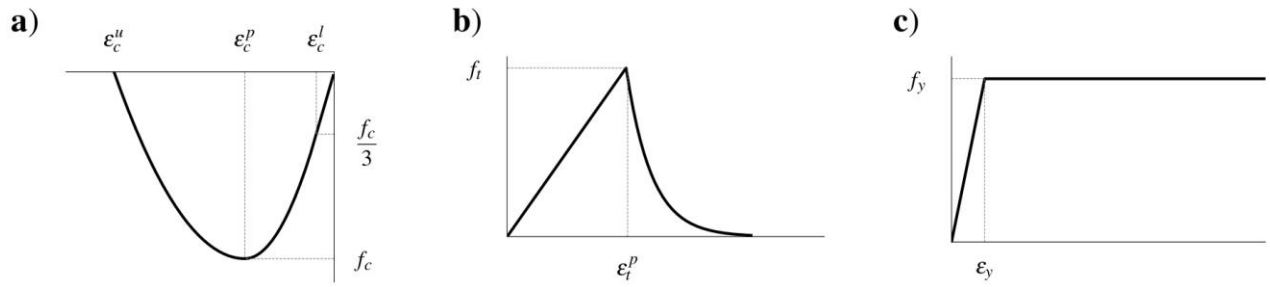
$$I_y(\varepsilon) = \begin{cases} 1 & 0 \leq \varepsilon \leq \varepsilon_y \\ \frac{f_y}{|\sigma_e|} & \varepsilon_y \leq \varepsilon \end{cases}\tag{20}$$

177 where  $f_y$  is the yielding strength of the reinforcement and  $\varepsilon_y$  is the yielding strain, equal to:

$$\varepsilon_y = \frac{f_y}{E_s}\tag{21}$$

178 where  $E_s$  is the Young's modulus of the reinforcement.

179 These constitutive equations for concrete and reinforcement damage allow for the most typical failure  
180 modes observed in reinforced concrete members to be simulated. In this investigation bond-slip between  
181 the concrete and reinforcement is not considered since the homogenisation scheme in its present  
182 implementation assumes perfect bond between the bars and the concrete. However, bond-slip can be  
183 implemented in the same modelling context in future work. The implemented constitutive laws are  
184 illustrated in the stress-strain diagrams of Figure 2.



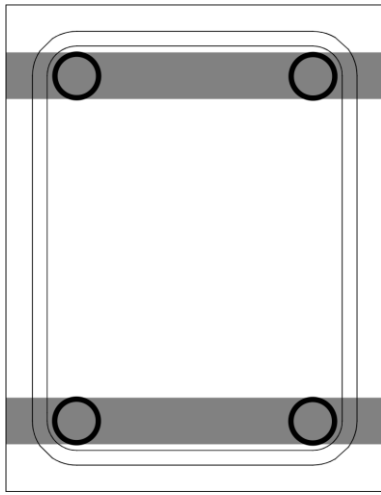
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186 **Figure 2** Stress-strain constitutive laws for damage in components: a) concrete in  
 187 compression, b) concrete in tension, c) reinforcement in axial tension/compression.

#### 188 4 Finite element implementation

189 The homogenisation scheme and constitutive stress-strain laws have been implemented in the FEniCS  
 190 finite element platform (Alnæs et al. 2015) in plane stress conditions. The homogenisation approach  
 191 employed allows for simulating the contribution of the reinforcement bars to the stiffness and strength of  
 192 the reinforced concrete without the need to individually mesh the embedded bars, thus substantially  
 193 reducing modelling complexity. For finite element analysis the homogenisation process is implemented  
 194 differently for longitudinal (flexural) and transversal (shear) reinforcement, which are treated as different  
 195 inclusion groups.

196 Longitudinal bars in reinforced concrete beams are often concentrated in reinforced zones near the  
 197 lower and upper regions of the cross section. Similar arrangements are often encountered in columns.  
 198 Therefore, the volume ratio for the longitudinal bars was calculated according to the local amount of  
 199 reinforcement in each reinforced zone. Outside of the reinforced zone the volume ratio of the longitudinal  
 200 reinforcement is zero. This approach allows the correct assignment of volume ratios for reinforcement and  
 201 concrete throughout the section, and for modelling the full stress and strain interaction of the components,  
 202 while remaining in plane stress conditions. An illustration of the concept of the reinforced zone is  
 203 illustrated in Figure 3.



204

205 **Figure 3** Cross section of reinforced concrete beam. Reinforced zones containing longitudinal  
206 **bars shaded.**

207 Transversal reinforcement is distributed along the length of the beam in regions with constant spacing.  
208 Therefore, a constant volume ratio can be applied in each region to take the effect of the transversal  
209 reinforcement into account, as is typical in micromechanical homogenisation of composites with evenly  
210 distributed oriented inclusions. Alternatively, the volume ratio of transversal reinforcement can be  
211 introduced in the model through simple spatial functions, allowing the modelling of structural elements  
212 with arbitrarily variable reinforcement spacing.

213 Evaluation of the compressive integrity is done against the minimum principal strain while the tensile  
214 integrity is evaluated against the maximum principal strain in the concrete matrix, calculated from eq. (11).  
215 Yielding in the reinforcement is evaluated along the orientation axis of the inclusion, thus accounting for  
216 axial yielding of the bars in tension or compression.

217 An isotropic damage approach is adopted in this study. Consequently, the stiffness tensor of the  
218 concrete is multiplied with the integrity variables in compression and tension while the reinforcement  
219 stiffness tensor is multiplied with the yielding integrity variable. As a result, damage in one direction results  
220 in loss of stiffness in all directions for the evaluated material component. Additionally, damage is  
221 considered irreversible. Thus, reduction in strain between load steps in a component does not lead to  
222 potential increase of the integrity. The approach of adopting integrity variables at the micro level of the

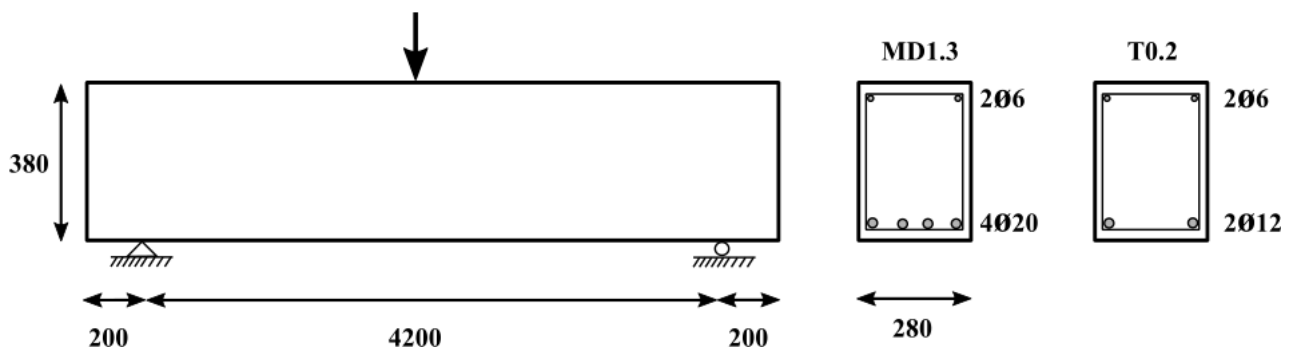
223 individual components means that loss of stiffness in the reinforced concrete is not directly expressed at  
224 the macro level of the composite material with a single variable.

225 The bandwidth  $h$  for the softening curves in eq. (16) and (18) (20) is taken as equal to the characteristic  
226 finite element length at the location of evaluation, namely the square root of the surface area of the element  
227 where the curves are evaluated. Nonlinear analysis is performed through the use of a Newton-Raphson  
228 method in force control.

## 229 5 Model validation

### 230 5.1 Reinforced concrete beams in bending

231 The proposed model is firstly validated against two experimental tests performed on reinforced  
232 concrete beams in three-point bending (Qin, Zhou, and Lau 2017). The beams were simply supported and  
233 loaded with a single concentrated vertical force applied at mid span. An illustration of the overall layout of  
234 these beams is shown in Figure 4. The longitudinal reinforcement was constant in the tensile and  
235 compression zones. The spacing of the transversal reinforcement was constant in the span and reduced  
236 near the supports. The beams have been characterised as “under-reinforced” by the authors of the cited  
237 work, owing to the low amount of longitudinal reinforcement with respect to the total cross-sectional  
238 dimensions of the specimens. The low reinforcement ratio induces substantial strain on the longitudinal  
239 bars when the beams are subjected to bending. Therefore, these experiments are considered ideal for  
240 validating the proposed homogenisation scheme.



241  
242 **Figure 4 Geometric, loading and reinforcement layout of reinforced concrete beams MD1.3**  
243 **and T0.2. Dimensions in mm.**

244 The two specimens, designated MD1.3 and T0.2, had the same geometry, loading layout and transversal  
245 reinforcement, but different longitudinal reinforcement and mean material properties as shown in Table  
246 1. Some material parameters necessary for nonlinear analysis based on the employed constitutive laws  
247 were missing from the reported properties. Values found in the relevant literature were used in their stead.  
248 Considering the reported value of the compressive strength  $f_c$  as the mean value, the tensile strength of  
249 concrete  $f_t$  was calculated as (CEN 2004):

$$f_t = 0.30(-f_c - 8)^{2/3} \quad (22)$$

250 Similarly, the Young's modulus of concrete  $E_c$  was calculated as (CEN 2004):

$$E_c = 22000 \left( \frac{-f_c}{10} \right)^{0.3} \quad (23)$$

251 The density  $\rho$  of reinforced concrete was taken as equal to 2500 kg/m<sup>3</sup>. The Poisson's ratio of steel  $\nu_s$  was  
252 taken as equal to 0.280. The tensile fracture energy of concrete  $G_t$  was calculated based on the Model Code  
253 2010 equation (Fédération Internationale du Béton 2013):

$$G_t = 0.073(-f_c)^{0.18} \quad (24)$$

254 while the compressive fracture energy of concrete in compression  $G_c$  was calculated using the equation  
255 (Drougkas, Roca, and Molins 2015):

$$G_c = -f_c d \quad (25)$$

256 where  $d$  is a ductility index equal to 1 mm.

257 **Table 1 Properties of MD1.3 and T0.2 reinforced concrete beam components. Assumed**  
 258 **values in italics.**

Component	Property	Symbol	Units	MD1.3	T0.2
Concrete	Young's modulus	$E_c$	N/mm <sup>2</sup>	<i>33093</i>	<i>32118</i>
	Poisson's ratio	$\nu_c$	–	<i>0.167</i>	<i>0.167</i>
	Density	$\rho$	kg/m <sup>3</sup>	<i>2500</i>	<i>2500</i>
	Compressive strength	$f_c$	N/mm <sup>2</sup>	<i>-39.0</i>	<i>-35.3</i>
	Tensile strength	$f_t$	N/mm <sup>2</sup>	<i>2.96</i>	<i>2.72</i>
Steel	Young's modulus	$E_s$	N/mm <sup>2</sup>	<i>189000</i>	<i>220500</i>
	Poisson's ratio	$\nu_s$	–	<i>0.280</i>	<i>0.280</i>
	Yield strength	$f_y$	N/mm <sup>2</sup>	<i>341</i>	<i>507</i>
	Tensile zone reinforcement	$A_{s1}$	mm <sup>2</sup>	<i>1256</i>	<i>226</i>
	Compressive zone reinforcement	$A_{s2}$	mm <sup>2</sup>	<i>57</i>	<i>57</i>
	Shear reinforcement	$A_{sw}$	mm <sup>2</sup>	<i>100</i>	<i>100</i>
	Shear reinforcement spacing	$s$	mm	<i>50 - 100</i>	<i>50 - 100</i>

259 The finite element model for simulating the beam experiments consisted of a mesh of 1276 plane stress  
 260 linear triangular elements. The properties within the lower and upper reinforced zones were assigned the  
 261 appropriate volume ratios for the  $x$  oriented inclusions, as per the proposed reinforced zone concept. A  
 262 single vertical axis of symmetry was employed at mid span for reduction of the model size.

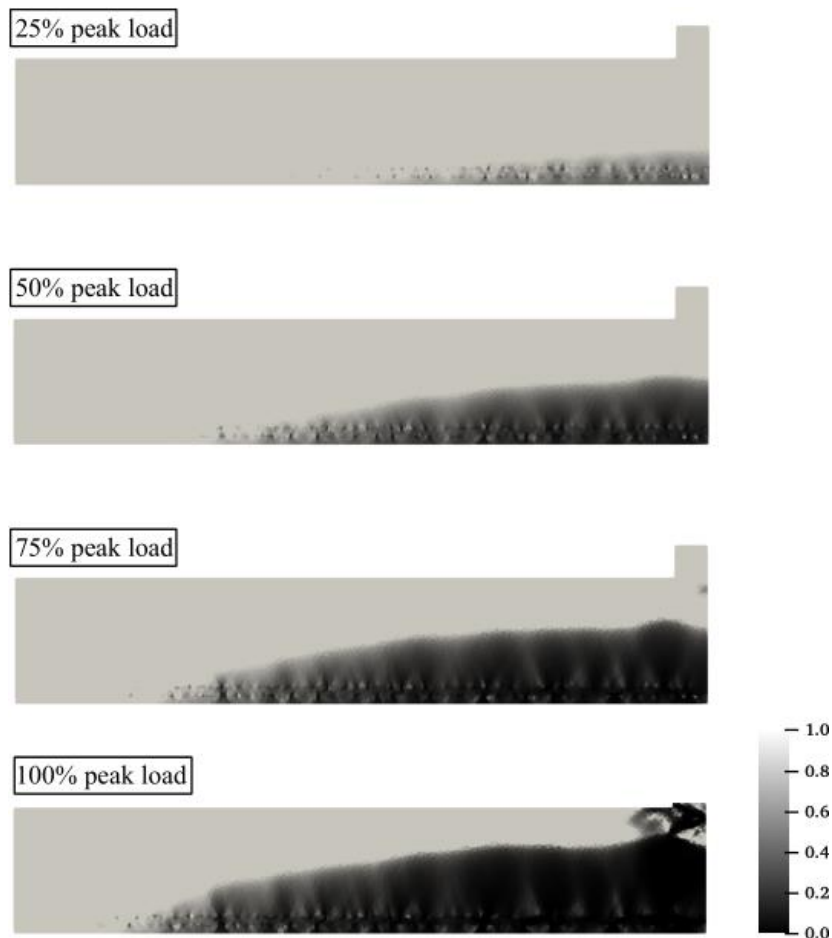
263 The results of the experimental tests are compared with the nonlinear analysis results in terms of peak  
 264 force and vertical displacement at mid span at failure in Table 2. The predicted values are in good  
 265 agreement with the experimental results, particularly in the MD1.3 case. An overestimation was obtained  
 266 in the predicted displacement at failure, more notable in the T0.2 case. This discrepancy was considered  
 267 minor as it could potentially be due to a difference between the actual Young's modulus of concrete  $E_c$  and  
 268 the values assumed in the analysis.

269 **Table 2 Comparison of experimental with numerical results for beams in bending. Percentile**  
 270 **difference in parentheses.**

Case	Peak force		Failure displacement at mid span	
	Experiment	Numerical	Experiment	Numerical
MD1.3	140.38 kN	146,68 kN (+4,49%)	193.6 mm	181,3 mm (-6,35%)
T0.2	41.90 kN	44.80 kN (+6.92%)	86.0 mm	84,6 mm (-1,63%)

271 An illustration of the numerically obtained failure mode is shown in Figure 5. The MD1.3 case is used  
 272 for illustrating the failure mode, with the T0.2 case producing similar results. The failure mode is presented  
 273 in terms of the integrity variable of concrete in tension  $I_c$ , the loss of which can lead to the formation of  
 274 visible tensile cracks. The development of the loss of integrity is shown for increasing applied load. Damage  
 275 due to bending arises at the tensile zone at mid span. The damaged zone increases in length and height for

276 an increase in the load until the peak force is obtained, at which point the damage has propagated nearly  
277 to the top of the cross section at mid span. This response is typical of simply supported beams and is in  
278 agreement with the behaviour obtained both in the experiments and in their numerical reproduction in the  
279 cited source (Qin et al. 2017).



280

281 **Figure 5 Numerically obtained failure mode for reinforced concrete beams. Integrity variable**  
282 **of concrete in tension  $I_t$  at 25%, 50% 75% and 100% peak load.**

283 For demonstrating the capacity of the proposed model to produce discretised damage in a clearer  
284 fashion, the tensile crack patterns can be visualised by plotting maximum principal strains at 100% peak  
285 load, as can be seen in Figure 6 for case MD1.3. The average crack spacing for case MD1.3 is 73 mm while  
286 for case T0.2 it is equal to 66 mm.



287



288 **Figure 6** Crack pattern of beam at 100% peak load in terms of maximum principal strain.

289 The behaviour of the longitudinal bars may also be readily evaluated through the model. The axial  
 290 stresses of the longitudinal bars at peak force are shown in Figure 7. It can be observed that the distribution  
 291 of axial stresses is typical of simply supported beams at failure: yielding of the lower bars in tension at mid  
 292 span, with the magnitude of stresses decreasing farther away from that location. Similarly, the upper bars  
 293 are yielding in compression at mid span.

294



295 **Figure 7** Axial stresses ( $\text{N/m}^2$ ) in longitudinal bars at peak force.

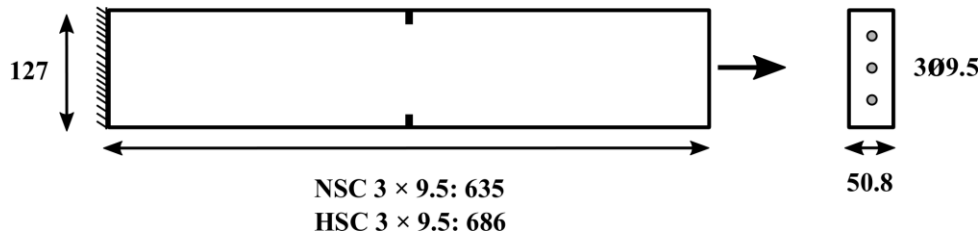
296 The assumption of infinite aspect ratio does not hold for the transversal reinforcement bars. The actual  
 297 aspect ratio of the transversal reinforcement is equal to 42.5, which is, nevertheless, high. The difference  
 298 in the terms of Eshelby's tensor  $\mathbf{S}$  between assuming an infinite aspect ratio and an aspect ratio equal to  
 299 42.5 is, at maximum, roughly 6%. The numerical results were found to not be sensitive to this difference.  
 300 Therefore, the infinite aspect ratio assumption was maintained for this case.

301 Overall, the model validation demonstrates the viability of the reinforced zone concept for reinforced  
 302 concrete elements with concentrated rather than evenly distributed bars. The plane stress assumption  
 303 maintains computational cost very low, allowing for numerical experiments and parametric studies.

## 304 **5.2 Reinforced concrete beams in tension**

305 A second validation study of the proposed model is performed against two experimental cases of  
 306 reinforced concrete beams subjected to direct tension (Ouyang et al. 1997). The beams, designated as NSC

307  $3 \times 9.5$  and HSC  $3 \times 9.5$ , standing for normal strength and high strength concrete respectively, are  
 308 reinforced with three longitudinal bars, evenly distributed along the height of the element. Two notches,  
 309 each 10 mm deep and 12.7 mm wide, were provided at the centre of the beams for localising the formation  
 310 of the first cracks in the concrete. The experimental cases are deemed ideal for validating the proposed  
 311 homogenisation approach. The experiments are controlled to a large extent by the plastic behaviour of the  
 312 longitudinal reinforcement. The overall layout of the beams, their loading scheme and their cross section  
 313 are shown in Figure 8.



314

315 **Figure 8** Geometric, loading and reinforcement layout of reinforced concrete beams NSC  $3 \times$   
 316  $9.5$  and HSC  $3 \times 9.5$ . Dimensions in mm.

317 The material parameters used for numerical analysis are presented in Table 3. For this case only the  
 318 Poisson's ratios of the components and the compressive fracture energy of the concrete (which does not  
 319 play a substantial role in this test) needed to be assumed, as the remaining values were provided by the  
 320 authors (Ouyang et al. 1997).

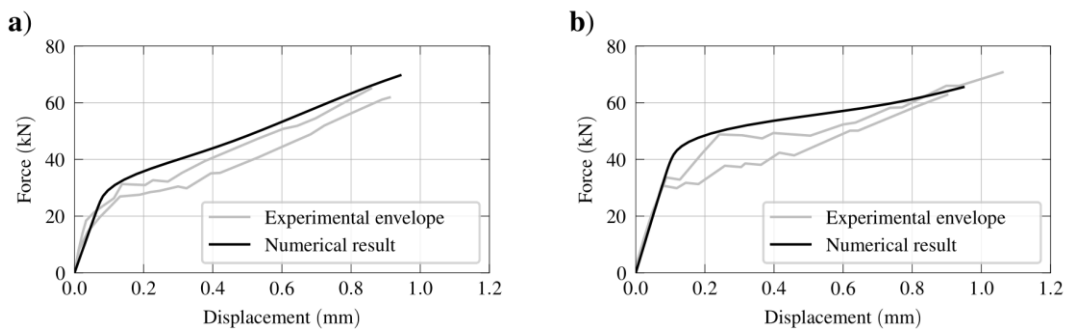
321 **Table 3** Properties of NSC  $3 \times 9.5$  and HSC  $3 \times 9.5$  reinforced concrete beam components.  
 322 Assumed values in italics.

Component	Property	Symbol	Units	NSC $3 \times 9.5$	HSC $3 \times 9.5$
Concrete	Young's modulus	$E_c$	N/mm <sup>2</sup>	27349	36624
	Poisson's ratio	$\nu_c$	–	<i>0.175</i>	<i>0.175</i>
	Density	$\rho$	kg/m <sup>3</sup>	2500	2500
	Compressive strength	$f_c$	N/mm <sup>2</sup>	-44.0	-99.1
Steel	Tensile strength	$f_t$	N/mm <sup>2</sup>	3.19	5.52
	Young's modulus	$E_s$	N/mm <sup>2</sup>	191584	191584
	Poisson's ratio	$\nu_s$	–	<i>0.280</i>	<i>0.280</i>
	Yield strength	$f_y$	N/mm <sup>2</sup>	508	508
	Axial reinforcement	$A_s$	mm <sup>2</sup>	213	213

323 For this analysis the longitudinal reinforcement was considered evenly distributed across the height of  
 324 the beam. Therefore, the concept of the reinforced zone was not employed, the longitudinal reinforcement  
 325 ratio being considered constant throughout the area of the model. Transversal reinforcement was not

326 included in the calculations. A coarse mesh of 416 linear triangular finite elements was employed for testing  
327 the capacity of the proposed model to perform accurately with low density meshes.

328 The results of the experimental test and the numerical results are presented in terms for force-  
329 displacement curves in Figure 9. The initial stiffness, the stiffness after cracking of the concrete (namely  
330 the stiffness provided to the composite by the bars), the displacement at failure and the peak force are very  
331 well approximated by the model. The loss of stiffness immediately after the initial elastic part of the  
332 response is not equally well captured by the model, possibly due to the lack of modelling of the bond slip,  
333 meaning that the stiffness of the perfectly bonded bars is immediately activated after cracking of the  
334 concrete. Additionally, the cracking load for the HSC  $3 \times 9.5$  case is overestimated in the analysis, potentially  
335 due to a discrepancy between the average experimental value of the tensile strength of concrete and the  
336 in-situ strength in the specimen. Finally, the strain hardening phase in the HSC  $3 \times 9.5$  case appears to last  
337 longer than in the experimental case, with the global structural stiffness reaching the experimentally  
338 obtained value near failure. This is potentially due to an overestimation of the tensile fracture energy.



339  
340 **Figure 9 Comparison of experimental with numerical results for beams in tension: a) NSC  $3 \times$**   
341  **$9.5$  and b) HSC  $3 \times 9.5$  specimens.**

342 The accuracy of the model in simulating this experimental case demonstrates the suitability of the  
343 proposed approach in capturing the behaviour of reinforced concrete structures with evenly distributed  
344 bars without resorting to the reinforced zone approach. This approach is accurate and efficient with coarse  
345 finite element meshes, thus significantly reducing computational costs and modelling complexity for large  
346 structural elements.

## 347 **6 Conclusions**

348 A homogenisation scheme for reinforced concrete structures based on inclusion micromechanics,  
349 combined with constitutive modelling of material failure based on damage mechanics, is developed and  
350 implemented in a finite context for nonlinear analysis. The proposed scheme is able to capture the salient  
351 characteristics of the behaviour of concrete and reinforcement bars in reinforced concrete without  
352 resorting to distinct meshing of the reinforcement bars embedded in the concrete. The model is able to  
353 predict the capacity of reinforced concrete beams with good accuracy, low computational cost and low  
354 geometrical modelling effort.

355 The proposed scheme can account for both distributed reinforcement as well as for zones with  
356 concentrated reinforcement through a simple adjustment of material parameters assigned to specific  
357 regions of the finite element mesh. This allows for correct assignment of reinforcement and concrete  
358 volume ratios throughout the analysis domain and for complete simulation of stress and strain interaction  
359 between components of the composite while remaining within plane stress conditions.

360 One aspect of future work along this research path includes the simulation of bond-slip failure and  
361 dowel action of the bars. This can be accomplished through the introduction of the necessary longitudinal  
362 strain component in the bars and its evaluation against an appropriate constitutive model for slipping,  
363 while the latter can be achieved through evaluation of the shear stress and strain in the bars against a  
364 similarly appropriate constitutive law. Further constitutive modelling of confined concrete can be  
365 implemented for simulating the confinement effect provided by the reinforcement bars.

366 A further aspect of future work is the simulation of mechanically anchored repair and strengthening  
367 measures, such as reinforced concrete jackets, or externally bonded composites, such as textile reinforced  
368 composites and mortars, again employing the homogenisation scheme proposed here for the bars.

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449 **List of Figures**

450 Figure 1 Composite material **C** composed of needle inclusions ***ix*** and ***iy*** embedded in matrix ***m***. 6

451 Figure 2 Stress-strain constitutive laws for damage in components: a) concrete in compression, b)  
 452 concrete in tension, c) reinforcement in axial tension/compression. 11

453 Figure 3 Cross section of reinforced concrete beam. Reinforced zones containing longitudinal bars  
 454 shaded. 12

455 Figure 4 Geometric, loading and reinforcement layout of reinforced concrete beams MD1.3 and  
 456 T0.2. Dimensions in **mm**. 13

457 Figure 5 Numerically obtained failure mode for reinforced concrete beams. Integrity variable of  
 458 concrete in tension ***It*** at 25%, 50% 75% and 100% peak load. 16

459 Figure 6 Crack pattern of beam at 100% peak load in terms of maximum principal strain. 17

460 Figure 7 Axial stresses (**Nm<sup>2</sup>**) in longitudinal bars at peak force. 17

461 Figure 8 Geometric, loading and reinforcement layout of reinforced concrete beams NSC 3 × 9.5  
 462 and HSC 3 × 9.5. Dimensions in **mm**. 18

463 Figure 9 Comparison of experimental with numerical results for beams in tension: a) NSC 3 × 9.5  
 464 and b) HSC 3 × 9.5 specimens. 19

465 **List of Tables**

466 Table 1 Properties of MD1.3 and T0.2 reinforced concrete beam components. Assumed values in  
 467 italics. 15

468 Table 2 Comparison of experimental with numerical results for beams in bending. Percentile  
 469 difference in parentheses. .... 15



470 Table 3 Properties of NSC 3 × 9.5 and HSC 3 × 9.5 reinforced concrete beam components. Assumed  
471 values in italics. .... 18  
472