# The Effectiveness of Convalescent Plasma and Hyperimmune Immunoglobulin for the Treatment of Severe Acute Respiratory Infections of Viral Etiology: A Systematic Review and Exploratory Meta-analysis

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**Background.** Administration of convalescent plasma, serum, or hyperimmune immunoglobulin may be of clinical benefit for treatment of severe acute respiratory infections (SARIs) of viral etiology. We conducted a systematic review and exploratory meta-analysis to assess the overall evidence.

*Methods.* Healthcare databases and sources of grey literature were searched in July 2013. All records were screened against the protocol eligibility criteria, using a 3-stage process. Data extraction and risk of bias assessments were undertaken.

**Results.** We identified 32 studies of SARS coronavirus infection and severe influenza. Narrative analyses revealed consistent evidence for a reduction in mortality, especially when convalescent plasma is administered early after symptom onset. Exploratory post hoc meta-analysis showed a statistically significant reduction in the pooled odds of mortality following treatment, compared with placebo or no therapy (odds ratio, 0.25; 95% confidence interval, .14–.45;  $I^2 = 0$ %). Studies were commonly of low or very low quality, lacked control groups, and at moderate or high risk of bias. Sources of clinical and methodological heterogeneity were identified.

**Conclusions.** Convalescent plasma may reduce mortality and appears safe. This therapy should be studied within the context of a well-designed clinical trial or other formal evaluation, including for treatment of Middle East respiratory syndrome coronavirus CoV infection.

*Keywords.* MERS coronavirus; convalescent plasma; severe acute respiratory infection; systematic review; meta-analysis.

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As of 23 May 2014, the World Health Organization (WHO) had been informed of 635 persons with laboratory-confirmed Middle East respiratory syndrome coronavirus (MERS-CoV) infection, of whom 193 (30%) have died [1]. The current approach to clinical management of MERS-CoV infection centers on general supportive care, with provision of critical care and organ support when necessary [2]. It has recently been suggested that administration of convalescent plasma or hyperimmune immunoglobulin will yield a clinical effect for treatment of MERS-CoV infection [3]. However,

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numerous uncertainties remain because the clinical course, viral replication kinetics, and host interactions are yet to be fully established [4]. Furthermore, the underlying evidence is based on studies of varying size and quality that describe clinical experience in treating other viral infections, including those due to SARS coronavirus (SARS-CoV), Spanish influenza A(H1N1), avian influenza A(H5N1), and 2009 pandemic influenza A (H1N1) (hereafter, "influenza A[H1N1]pdm09") [5–9].

We conducted a systematic review and exploratory meta-analysis to evaluate the clinical effectiveness of convalescent plasma, serum, or hyperimmune immunoglobulin for the treatment of severe acute respiratory infections (SARIs) of viral etiology, to help inform clinical management of MERS-CoV infection.

# METHODS

This systematic review was conducted in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines [10]. The study protocol was registered with the National Institute for Health Research international prospective register of systematic reviews [11].

The study eligibility criteria are available elsewhere [11]. Briefly, the study population of interest was human subjects of any age or sex who were hospitalized with SARIs with a laboratory-confirmed or suspected viral etiology. The intervention of interest was convalescent plasma, serum, or hyperimmune immunoglobulin derived from convalescent plasma. Comparator treatments included placebo, sham therapy, or no intervention; studies with no comparator group were also included. Outcome measures were derived from the protocol research questions to ascertain the clinical effectiveness of therapy [11].

#### **Search Strategy and Study Selection**

Two reviewers (J. M.-J. and M. S.-C.) executed the search strategy in July 2013. The sources of information searched and search construct are available elsewhere [11]. Adaptations were made for search interfaces that did not allow use of complex constructs. All search records were imported to EndNote X5 software (Thomson Reuters, San Francisco, CA) or screened manually, using paper records. Following the removal of duplicate entries, a 3-stage screening process was followed to identify eligible records through the sequential examination of each title, abstract, and full text. Two reviewers (J. M.-J. and M. S.-C.) screened each record, with provision for arbitration from a third reviewer (C. R. B.).

#### **Data Collection**

Data were collected independently by paired reviewers, using a piloted form. Consensus agreement for each extracted data item was reached by discussion, with provision for arbitration from a third reviewer (J. M.-J., M. S.-C., and C. R. B.). The data extraction form is available as an appendix to the study protocol [11].

## **Risk of Bias Within Studies**

Risk of bias assessments were performed at the outcome measure level during data collection. The Cochrane Collaboration tool was used for experimental and prospective cohort studies [12], the Newcastle-Ottawa scale was used for observational studies (excluding prospective cohort studies) [13], and a tool published by the US Agency for Healthcare Research and Quality was used for systematic reviews [14]. Records limited to abstracts were not assessed, because of the paucity of information contained therein.

#### **Summary Measures and Synthesis of Results**

Odds ratios (ORs), case-fatality rates (CFRs), absolute differences in CFRs, and difference in means were calculated as summary statistics with 95% confidence intervals (CIs). Study characteristics and outcome measures were tabulated. A recognized framework for narrative synthesis was adopted [15]. Because of potential concerns with clinical heterogeneity, analyses were stratified by viral etiology for each research question in accordance with the protocol [11].

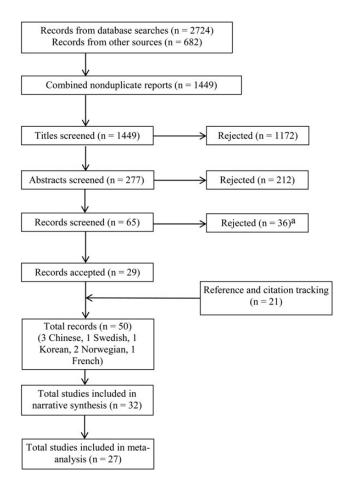
An exploratory, post hoc, random-effects-model metaanalysis was conducted to describe the pooled OR of mortality, irrespective of SARI etiology, following treatment with convalescent plasma or serum, using the odds after receipt of placebo or no therapy as a reference. Results were adjusted by adding 0.5 to each cell of the contingency table when no deaths occurred in the exposed group in individual studies [12]. Meta-analysis of crude CFRs, using a random-effects model, was undertaken. Statistical heterogeneity was ascertained using the I<sup>2</sup> statistic, and meta-analyses were abandoned when this reached 85% [16]. Sensitivity analyses were undertaken to investigate the impact of excluding studies with  $\leq$ 5 patients in the exposed group. Publication bias was assessed through construction of funnel plots and by use of the Egger test.

All statistical analyses were conducted using Stata software, version 12.1 (StataCorp, College Station, TX), except for meta-analysis of pooled proportions, for which we used StatsDirect software, version 2.8.0 (StatsDirect, Altrincham, United Kingdom). Statistical significance was assumed at the 5% level.

## RESULTS

## **Study Selection**

The search process yielded 3406 records (Figure 1). After sifting 1449 unique records against the protocol eligibility criteria, we identified 32 studies from 50 reports (Supplementary Table 1). Three studies could not be obtained [17-19], although results from a study by Bass et al [17] were reported elsewhere [20], which enabled their inclusion. French (n = 1), German (n = 2), and Korean (n = 2) records were screened by single reviewers because of a lack of multilingual collaborators.



**Figure 1.** Preferred Reporting Items for Systematic Reviews and Meta-Analyses diagram. <sup>a</sup>Records were rejected for the following reasons: not population of interest, 12 records (1 in French, 1 in German, 1 in Italian, and 1 in Korean); no intervention of interest, 15 (1 in German); not suitable comparator, 1; nonhuman study, 1; and no outcome of interest, 7.

#### **Study Characteristics**

The study characteristics are summarized in Supplementary Table 2. Three systematic reviews met our protocol eligibility criteria [7, 21, 22]. Data on 1327 patients from 6 case studies [23-28], 20 case series [8, 17, 20, 29-45], 2 case-comparison studies [46, 47], and 1 prospective cohort [48] were included. We identified 13 observational studies published between 1918 and 1920, which studied 980 patients who received a clinical diagnosis of influenza-associated pneumonia or Spanish influenza A (H1N1) infection [17, 20, 33-35, 38-44, 47]. It is unclear whether some of these studies recruited patients with secondary bacterial pneumonia. Sixteen observational studies that met our protocol eligibility criteria were published between 2003 and 2011. Four studies reported outcomes for 29 patients infected with avian influenza A(H5N1) [23, 26, 27, 36], 4 studies reported outcomes for 104 patients infected with influenza A (H1N1)pdm09 [24, 30, 37, 48], and 8 studies reported outcomes for 214 patients with SARS [8, 25, 28, 29, 31, 32, 45, 46]. The clinical status of patients at the time of treatment administration varied, as did concomitant treatments and comorbidities. Convalescent plasma was used in all observational studies of SARS-CoV, influenza A(H1N1)pdm09, and avian influenza A(H5N1) infections (Supplementary Table 2). For Spanish influenza A(H1N1) infection, 2 observational studies used convalescent plasma, and 11 used convalescent serum (Supplementary Table 2). No studies that used hyperimmune immunoglobulin met our protocol eligibility criteria. The use of sham treatments or placebos was not reported.

# **Risk of Bias Within Studies**

Two systematic reviews were at low risk of bias [7, 21], whereas one was at moderate to low risk of bias across most domains (Table 1) [22]. Data extraction was judged to be a moderate source of bias in all systematic reviews. Search strategies were also a moderate source of bias in 2 systematic reviews, as grey literature and non-peer-reviewed sources were not considered [7, 22].

The risks of bias of 2 outcomes in a single prospective cohort study were considered to be moderate (Table 2) [48]. The lack of randomized treatment allocation may have introduced systematic error, and the viral load outcome was at high risk of bias because of incomplete follow-up of patients.

Figure 2 summarizes the risk of bias assessments for 44 outcomes from 25 observational studies. Studies reported outcomes that were either at moderate risk (11 outcomes) or moderate to high risk of selection bias (33 outcomes). The majority of studies lacked a comparator group, and 28 studies were at high or very high risk of reporting bias. This suggests that the observational study data included are at moderate to high risk of bias.

Three studies were not assessed for risk of bias, because they presented insufficient data [17, 29, 45].

#### **Results of Individual Studies and Data Synthesis**

Table 3 summarizes our narrative synthesis, and Supplementary Table 3 shows results of the individual studies that included an all-cause mortality outcome. Meta-analyses, sensitivity analyses, and assessments of publication bias, by viral etiology, proved unfeasible due to a paucity of suitable data. There were no data available to address study questions relating to organ failure and sepsis or to hospital readmission and recurrence of severe disease.

#### Mortality

## **SARS-CoV** Infection

Table 3 and Supplementary 3 summarize 8 observational studies at moderate to high risk of bias that reported improved mortality after patients received various doses of convalescent plasma [8, 25, 28, 29, 31, 32, 45, 46]. A retrospective case-comparison study showed a CFR reduction after plasma treatment that reached statistical significance (absolute reduction in CFR,

| Table 1. Risk of Bias Assessment in the Eligible Systematic Reviews Using US Agency for Healthcare Research and Quality Too | Table 1. | Risk of Bias Assessment in t | e Eligible Systematic Reviews | Using US Agency for Healthcare | Research and Quality Tool |
|---|----------|------------------------------|-------------------------------|--------------------------------|---------------------------|
|---|----------|------------------------------|-------------------------------|--------------------------------|---------------------------|

| Domain                           | Luke et al [21]: Mortality and<br>Serious Adverse Events | Ortiz et al [22]: Mortality | Stockman et al [7]:<br>Serious Adverse Events |  |
|----------------------------------|--|-----------------------------|---|--|
| Study question                   | Low risk of bias   | Moderate risk of bias       | Low risk of bias                              |  |
| Search strategy                  | Low risk of bias   | Moderate risk of bias       | Moderate risk of bias                         |  |
| Inclusion and exclusion criteria | Moderate risk of bias                                    | Low risk of bias            | Low risk of bias                              |  |
| Interventions                    | Moderate risk of bias                                    | Moderate risk of bias       | Low risk of bias                              |  |
| Outcomes                         | Low risk of bias   | Moderate risk of bias       | Low risk of bias                              |  |
| Data extraction                  | Moderate risk of bias                                    | Moderate risk of bias       | Moderate risk of bias                         |  |
| Study quality and validity       | Low risk of bias   | Low risk of bias            | Moderate risk of bias                         |  |
| Data synthesis and analysis      | Low risk of bias   | Low risk of bias            | Low risk of bias                              |  |
| Results                          | Low risk of bias   | Moderate risk of bias       | Low risk of bias                              |  |
| Discussion                       | Low risk of bias   | Low risk of bias            | Moderate risk of bias                         |  |
| Funding or sponsorship           | Low risk of bias   | Low risk of bias            | Low risk of bias                              |  |

23%; 95% CI, 6%–42%; P = .049) [46]. A second study with a comparator group described a cluster of 29 cases of SARS-CoV infection in which 1 patient received convalescent plasma and survived (absolute reduction in CFR, 7%; 95% CI, -2% to 17%; P = .93) [32, 49]. Three small studies reported treatment of 5 patients with no deaths, and a case series by Cheng et al reported a CFR of 12.5% (10 of 80 patients) following treatment (Supplementary Table 3) [8, 9, 25, 28, 31, 45, 50]. Within this series, a subgroup analysis of 30 patients found that those treated when PCR-positive but seronegative for SARS-CoV were more likely to be discharged within 22 days of admission than those who were seropositive at the time of plasma infusion (67% vs 20%; P = .001). A further subgroup analysis of 48 patients found that receipt of convalescent plasma treatment <14 days after onset of symptoms improved the likelihood of discharge within 22 days of admission (58% vs 16%; P < .001); this remained significant after adjustment for age, viral status, time of administration, and lactate dehydrogenase level, suggesting that early treatment with convalescent plasma may be beneficial. However, allocation of treatment was mostly based on

Table 2.Risk of Bias Assessment in the Eligible ProspectiveCohort Study Using The Cochrane Collaboration Tool

| Domain   | Hung et al [48]:<br>Mortality | Hung et al [48]:<br>Viral Load |  |
|--|-------------------------------|--------------------------------|--|
| Sequence generation  | High risk of<br>bias          | High risk of bias              |  |
| Allocation concealment   | High risk of<br>bias          | High risk of bias              |  |
| Blinding of participants,<br>personnel, and outcome<br>assessors | High risk of<br>bias          | Unclear risk of<br>bias        |  |
| Incomplete outcome data  | Low risk of bias              | High risk of bias              |  |
| Selective outcome reporting                                      | Low risk of bias              | Low risk of bias               |  |

the physician's decision and the availability of plasma, and this study was at high risk of bias.

#### Influenza A(H1N1)pdm09 Infection

Four observational studies [24, 30, 37, 48] and 1 systematic review [22] reported data on severe cases of influenza A(H1N1)pdm09 infection treated with convalescent plasma (Table 3 and Supplementary Table 3). Hung et al [48] performed a prospective cohort study in which patients received a single 500-mL dose of convalescent plasma with a neutralizing antibody titer of >1:160. Univariate analysis showed a significant absolute reduction in CFR of 35% (95% CI, 14%–56%; P = .01) after treatment. Multivariable analysis also showed a significant reduction in the relative risk of mortality (OR, 0.20; 95% CI, .06-.69; P = .011), although the factors adjusted for were not clearly stated. Both groups received other treatments, such as neuraminidase inhibitors and steroids (Supplementary Table 2). This nonrandomized study was at moderate risk of bias. A small study by Chan et al [30] at moderate risk of bias reported exclusively on patients who received extracorporeal membrane oxygenation (ECMO) and showed a nonsignificant absolute reduction of 33% (95% CI, -20% to 87%) in the CFR after convalescent plasma treatment.

## Avian Influenza A(H5N1) Infection

In a case series at high risk of bias, in which 2 of 26 patients receiving convalescent plasma, a nonsignificant absolute reduction of 70% (95% CI, 52%–89%; P = .11) in the CFR was observed (Supplementary Table 3) [36]. Three case reports reported recovery among patients who were treated with convalescent plasma [23, 26, 27]. The dose of convalescent plasma varied across each study, and the neutralizing antibody titer was reported for only 1 case (1:80) [26]. All studies were at high to moderate risk of bias and had patients who were given other therapies concomitantly (including steroids and antivirals), which could have influenced the reported clinical effect.

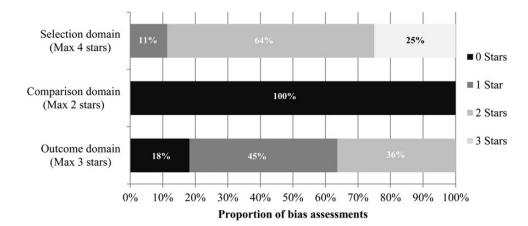


Figure 2. Summary of outcome level risk of bias assessments in eligible observational studies, using the Newcastle Ottawa tool (excluding prospective cohort studies; 44 outcomes from 25 studies).

#### Spanish Influenza A(H1N1) Infection

A systematic review and meta-analysis by Luke et al [21] showed that treatment with convalescent plasma, serum, or blood was associated with a significant absolute reduction of 21% (95% CI, 15%–27%) in the pooled CFR. Statistical heterogeneity was low ( $I^2 = 29.3\%$ ), although interventions were clinically heterogeneous. Of the 6 studies included in the meta-analysis, 2 reported use of convalescent whole blood; however, these studies only contributed 84 patients (25%) in the treatment group. When timing of treatment was recorded, patients who received early treatment (<4 days from pneumonia onset) had a CFR of 19% (28 of 148), compared with 59% (49 of 83) for those treated later [21].

Only 2 studies of convalescent serum reported a comparator group [38, 47]. Both reported absolute reductions in CFR after treatment, with a reduction of 19% (95% CI, 11%–48%) in one and 22% (95% CI, 11%–32%) in the other; the reduction in the latter reached statistical significance (P = .008). The remaining studies observed a CFR ranging from 0% (0 of 2) to 48% (12 of 25) after treatment (Supplementary Table 3). A significant absolute reduction in the CFR was observed in a case series of 157 cases, 46 of whom received convalescent plasma (absolute reduction in the CFR, 18%; 95% CI, 8% to 30%; P = .0075) [33]. A further study of patients treated with convalescent plasma reported a CFR of 50% (7 of 14) [41].

The majority of studies on Spanish influenza A(H1N1) infection were found to have high risk of bias due to the use of now archaic research methods and a risk of wartime censorship and publication bias [21].

## **Exploratory Post Hoc Meta-analysis**

The post hoc meta-analysis evaluated pooled data from 8 comparative studies: 2 studies of SARS-CoV infection [32, 46], 2 of influenza A(H1N1)pdm09 infection [30, 48], 1 of avian influenza A(H5N1) infection [36], and 3 of Spanish influenza A(H1N1) infection [33, 38, 47]. There was a statistically significance lower risk of mortality in the group treated with convalescent plasma or serum (pooled OR, 0.25; 95% CI, .14 to .45; P < .001;  $I^2 = 0\%$ ; Figure 3). Examination of the funnel plot and findings of the Egger test showed no evidence of publication bias. Sensitivity analyses that excluded studies with  $\leq 5$  cases demonstrated little variation in the pooled OR or change in statistical heterogeneity (Figure 4).

Meta-analysis of the crude CFR in treated patients was rejected due to excessive statistical heterogeneity ( $I^2 = 85\%$ ). Sensitivity analysis that excluded studies with  $\leq 5$  cases did not account for this and was similarly abandoned ( $I^2 = 91\%$ ).

## **Hospital Length of Stay**

Convalescent plasma treatment was associated with a significant increase in the proportion of SARS-CoV–infected patients discharged within 22 days of admission in 1 center (absolute difference, 54%; 95% CI, 25%–85%; P = .004) after excluding patients with comorbidities from the analysis (Table 3) [46]. A further SARS-CoV infection case series [31] reported that 47% of patients (15 of 33) were discharged by day 22, and initiation of therapy was significantly earlier among patients discharged by that time (mean number of days from symptom onset, 11.67 vs 16.04; P < .001). Both studies were at moderate to high risk of selection bias and confounding by indication. A case-comparison study at moderate risk of bias [30] reported no significant difference in length of hospital stay between treatment and control patients with severe pandemic influenza A (H1N1) infection who required ECMO (Table 3).

## **Duration of Critical Care Support**

A retrospective observational study [30] reported that convalescent plasma treatment made nonsignificant reductions to the length of time spent in the intensive care unit, days of

| No. of Patients,<br>Viral Etiology<br>Patients<br>Evaluated, No. | Mortality<br>Intervention, 699; Control, 568;<br>Unknown, 60   | Length of Hospital Stay<br>Intervention, 92; Control, 16  | Critical Care Support<br>Intervention, 92; Control, 16  | Antibody Levels<br>Intervention, 4; Control, 0   | Viral Load<br>Intervention, 7; Control, 0;<br>Unknown, 44  | Adverse Events<br>Not Reported at<br>Patient Level                         |
|--|--|---|---|--|--|--|
| SARS-CoV   | The absolute reduction in the<br>risk of mortality varied from<br>7% (95% CI, –2.39 to 18.68)<br>to 23% (95% CI, 5.59–42.02)<br>in 2 studies at medium to<br>high risk of bias. Subgroup<br>analyses suggested that<br>early treatment was<br>beneficial. Four<br>noncomparative studies<br>found that the CFR varied<br>from 0% (0/1) to 12.5% (10/<br>80).   | The likelihood of discharge<br>by day 22 was 54% greater<br>(95% Cl, 24.8%–84.6%)<br>after treatment (77% vs<br>23%) in 1 study. A<br>noncomparative study<br>reported that 47% of<br>treated patients were<br>discharged by day 22, both<br>of which were at moderate<br>to high risk of bias. Results<br>suggest that early<br>treatment is beneficial. | No data were reported in identified studies.  | No comparative data<br>were reported.<br>Increased antibody<br>levels were detected<br>up to day 5 after<br>treatment in 1 study of<br>healthcare workers,<br>which was at high risk<br>of bias. | No comparative data were<br>reported. A decrease in viral<br>load was reported after<br>treatment in 1<br>noncomparative study,<br>which was at high risk<br>of bias.  | No adverse events<br>or complications<br>were reported<br>after treatment. |
| Influenza A<br>(H1N1)<br>pdm09                                   | A relative reduction in the odds<br>of mortality of 80% (adjusted<br>odds ratio, 0.20; 95% Cl,<br>.06–.69) was reported in 1<br>prospective study, which<br>was at moderate risk of bias.<br>Subgroup analyses suggest<br>that early treatment was<br>beneficial. One comparative<br>study showed no significant<br>benefit. Two<br>noncomparative studies<br>found that the CFR varied<br>from 0% (0/1) to 25% (0/4). | The mean duration of stay<br>was shorter after<br>treatment (36.6 d vs 60 d;<br>P = .23) in 1 study, which<br>was at moderate risk of<br>bias.  | Reductions in the length of<br>ICU stay (reduction in<br>mean duration, 3.34 d),<br>mechanical ventilation (4<br>d), and ECMO (10.3 d)<br>were reported by 1 study,<br>which was at moderate<br>risk of bias. | No data were reported in identified studies.   | Significantly lower viral load<br>after treatment was<br>observed at days 3, 5, and 7<br>after ICU admission in<br>subgroup analysis of 1<br>prospective study, which<br>was at moderate to high risk<br>of bias. One<br>noncomparative study<br>found a reduction in viral<br>load after treatment. | No adverse events<br>or complications<br>were reported<br>after treatment. |
| Avian influenza<br>A(H5N1)                                       | Nonsignificant benefits<br>following intervention were<br>reported in 1 study with<br>comparator data. Three case<br>reports reported no deaths.   | No comparative data were<br>reported. The length of<br>hospital stay was 94 d in a<br>case report at high risk of<br>bias.  | No comparative data were<br>reported. One case<br>report, which had a high<br>risk of bias, cited that<br>treatment allowed<br>discontinuation of<br>mechanical ventilation.                                  | Specific antibodies were<br>detected between day<br>7 and day 16 after<br>treatment in a case<br>report at high risk of<br>bias.   | No comparative data were<br>reported. Three studies<br>reported reductions in viral<br>load after treatment.   | No adverse events<br>or complications<br>were reported<br>after treatment. |

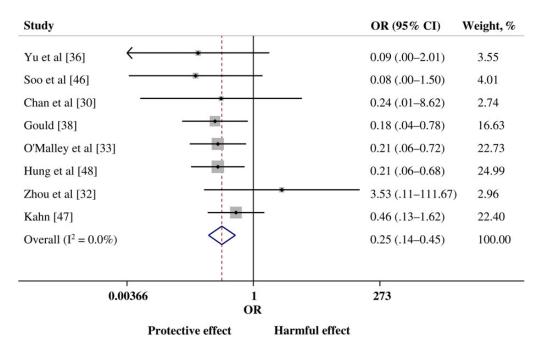
# Table 3. Summary of Narrative Synthesis

Table 3 continued.

| No. of Patients,<br>Viral Etiology<br>Patients<br>Evaluated, No. | Mortality<br>Intervention, 699; Control, 568;<br>Unknown, 60  | Length of Hospital Stay<br>Intervention, 92; Control, 16 | Critical Care Support<br>Intervention, 92; Control, 16 | Antibody Levels<br>Intervention, 4; Control, 0 | Viral Load<br>Intervention, 7; Control, 0;<br>Unknown, 44 | Adverse Events<br>Not Reported at<br>Patient Level  |
|--|---|--|--|--|---|---|
| Spanish<br>influenza A<br>(H1N1)ª                                | A pooled absolute reduction of<br>21% (95% Cl, 15%–27%)in<br>the CFR was reported by a<br>meta-analysis at low risk of<br>bias. This pooled 6 studies,<br>including 2 studies using<br>convalescent blood.<br>Subgroup analyses<br>suggested that early<br>treatment was beneficial.<br>The absolute reduction in the<br>risk of mortality ranged from<br>18.66% (95% Cl, 10.62%–<br>47.95%) to 21.60% (95% Cl,<br>11.2%–31.93%) in 3 studies<br>at high risk of bias. Ten<br>noncomparative studies<br>found that the CFR varied<br>from 0% (0/2) to 50% (7/14). | No data were reported in identified studies.             | No data were reported in identified studies.           | No data were reported in identified studies.   | No data were reported in identified studies.              | Three studies<br>reported chills,<br>increased<br>temperature,<br>and sweats after<br>infusion. |

Abbreviations: CFR, case-fatality rate; CI, confidence interval; ECMO, extracorporeal membrane oxygenation; ICU, intensive care unit; influenza A(H1N1)pdm09, 2009 pandemic influenza A(H1N1); SARS-CoV, severe acute respiratory syndrome coronavirus.

<sup>a</sup> All studies reported use of convalescent plasma, except 11 studies, in which convalescent serum was used to treat Spanish influenza A(H1N1) infection, and 1 meta-analysis of 6 studies, 2 of which reported use of convalescent blood to treat Spanish influenza A(H1N1) infection. Additional data pertaining to individual studies (including comparator data, where presented) are available in the Supplementary Materials.

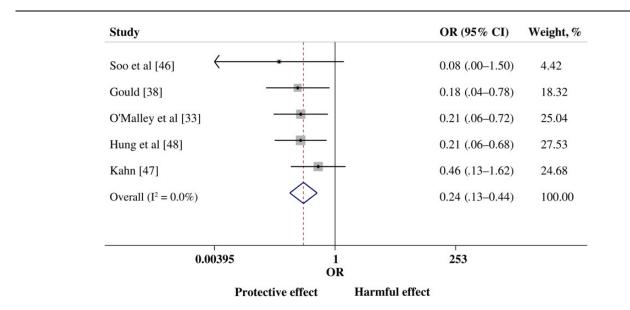


**Figure 3.** Forest plot of pooled odds ratios (ORs) of mortality following treatment with convalescent plasma or convalescent serum (n = 8 studies). Weights are from random-effects analysis. Abbreviation: CI, confidence interval.

mechanical ventilation, or number of days of ECMO for 6 patients with severe pandemic influenza A (H1N1)pdm09 infection (Table 3). Two other case reports of pandemic influenza A (H1N1)pdm09 infection [24] and avian influenza A(H5N1) infection [27] also suggested that convalescent plasma may have aided clinical improvement and reduced the duration of mechanical ventilation.

## **Viral Antibody Levels**

We identified limited evidence relating to levels of viral antibodies after convalescent plasma treatment; studies did not use a comparator and were at high risk of bias. Peaks in SARS-CoV antibody levels occurred 3–5 days following receipt of a single dose of convalescent plasma in 3 healthcare workers (Table 3) [8]. However, it is likely that other treatments, such as



**Figure 4.** Forest plot of pooled odds ratios (ORs) of mortality following treatment with convalescent plasma or convalescent serum, excluding studies with <5 patients (n = 5 studies). Weights are from random-effects analysis. Abbreviation: CI, confidence interval.

intravenous immunoglobulin, ribavirin and steroids, may have influenced the relationship between plasma and antibody levels. A case report of a patient with avian influenza A(H5N1) infection also found that virus-specific antibodies appeared 7–16 days following administration of convalescent plasma [23].

## Viral Load

The SARS-CoV load in the respiratory tract decreased at a higher rate in patients who received convalescent plasma in a subgroup analysis of 44 patients with influenza A(H1N1)pdm09 infection in a prospective cohort study (Table 3); [48] viral loads were significantly lower 3, 5, and 7 days after intensive care unit admission. However, there was a high risk of selection bias for this outcome, and concomitant treatments, including oseltamivir, zanamivir, and corticosteroids, may have confounded the results.

Further studies reported that viral load became rapidly undetectable in the blood of 3 patients with SARS-CoV infection [8] and in respiratory tract specimens from a patient infected with influenza A(H1N1)pdm09 [24] after treatment. Similar decreases in viral loads in serum and respiratory tract specimens were observed in 3 cases of avian influenza A(H5N1) infection, with virus becoming undetectable 2–3 days after initiation of convalescent plasma treatment for 2 cases and 7–16 days after treatment initiation for the third case (Supplementary Table 3) [23, 26, 36].

#### Severe Adverse Events and Treatment Complications

No studies reported a serious adverse event, and few studies reported information about treatment complications, although minor complications may be underreported in the literature. Two observational studies [8,46] concerned with SARS-CoV infection reported that treatments did not cause harm when administered to patients. One study involving influenza A(H1N1) pdm09 infection reported that no adverse events were observed in the treatment group [48].

Three studies from 1918–1920 (involving 101–157 patients with influenza) reported minor infusion complications, including chills, increased temperature [34, 44], and sweats [33]. A study of 14 patients did not report chills or any serious complications. The methods and reporting of these studies reflect the period during which they were conducted, and the studies are therefore at high risk of bias.

# DISCUSSION

Our analyses suggest that convalescent plasma may have a clinically relevant impact in reducing the rate of mortality and viral load in patients with SARI of viral etiology. Post hoc pooled meta-analysis across all viral etiologies showed a statistically significant 75% reduction in the odds of mortality among those who were treated with convalescent plasma or serum. We found no evidence of serious adverse events or complications due to therapy and limited evidence of a reduction in the use of critical care resources and the length of hospital stay.

Of interest is the evidence for a survival benefit after early administration. A recent multicenter, prospective, double-blind, randomized control trial compared the use of hyperimmune immunoglobulin (derived from influenza A(H1N1)pdm09 convalescent plasma) to intravenous immunoglobulin manufactured before the 2009 pandemic [5]. For 22 patients from this study who received treatment within 5 days of symptom onset and were excluded per protocol, a multivariate subgroup analysis demonstrated that hyperimmune immunoglobulin had a protective effect (OR, 0.14; 95% CI, .02-.92) [5]. Evidence from studies of SARS-CoV infection [31] and Spanish influenza A(H1N1) infection [21] showed a survival benefit following convalescent plasma treatment within 14 days and 4 days of symptom onset, respectively. These findings suggest that early initiation of treatment may be of critical importance to reducing mortality in patients with SARI of viral etiology.

#### Limitations

A lack of high-quality studies and a paucity in the volume of relevant literature limited our analyses. Observational studies were predominately case reports or series, had no control groups, and had a moderate to high risk of bias. Findings were commonly at high risk of confounding by indication. Although selection or reporting bias may favor the intervention, recruiting patients who are clinically deteriorating or moribund would bias the result in the opposite direction. Adequate methodological or statistical measures were infrequently used to control bias and confounding, and we identified numerous sources of clinical and methodological heterogeneity. We cannot be assured that all Spanish influenza A(H1N1) infection studies were included since our protocol did not include hand searching of literature from 1918-1920. Although our post hoc metaanalyses were undertaken to help inform clinical decision making, the theoretical rationale for pooling mortality data from different viral etiologies remains to be fully established. The results obtained must be considered experimental and interpreted with an appropriate level of caution.

#### **Implications for Practice**

We did not identify any reports of convalescent plasma use for patients with MERS-CoV infection. The evidence for a reduction in mortality associated with convalescent plasma is strongest for SARS and influenza A(H1N1)pdm09 infection. Although it is clinically rational to consider novel therapies for critically ill patients, there is evidence that maximum benefit from convalescent plasma might be realized through early initiation of therapy. However, many treatment protocols currently mention convalescent plasma as a treatment of last resort. If this treatment is considered for MERS-CoV-infected patients with SARI, it should ideally only be administered in acute centers able to manage potential treatment-related complications, such as transfusionrelated acute lung injury. We consider this a precautionary approach because of the limited clinical experience of administering convalescent plasma to this patient group.

## **Further Research Needs**

Improved knowledge regarding the mode of action of convalescent plasma and the virologic and immunologic kinetics of novel respiratory infections that cause SARI (such as MERS-CoV) are needed. This would help clarify the potential benefits and harms of treatment, identify optimal dosage, and ascertain whether repeated treatments are relevant factors for clinical practice. Randomized controlled trials or observational studies that adopt a standardized minimum data set are needed to better evaluate convalescent plasma as a therapeutic option for MERS-CoV infection before it can be fully recommended or before refinements can be made over its current use, other than our current recommendation for early use. The WHO and the International Severe Acute Respiratory and Emerging Infection Consortium are currently developing a clinical trial protocol to investigate the effectiveness of passive immunotherapy for patients with SARI.

## Conclusion

Available evidence suggests that convalescent plasma is likely to reduce mortality during SARIs of viral etiology, with larger treatment effects if it commenced early after symptom onset. However, this is based on predominately low-quality, uncontrolled studies. Our review supports the use of convalescent plasma in critically ill MERS-CoV-infected patients as part of a well-designed clinical trial or other formal evaluation.

# **STUDY GROUP MEMBERS**

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# Supplementary Data

Supplementary materials are available at *The Journal of Infectious Diseases* online (http://jid.oxfordjournals.org). Supplementary materials consist of

data provided by the author that are published to benefit the reader. The posted materials are not copyedited. The contents of all supplementary data are the sole responsibility of the authors. Questions or messages regarding errors should be addressed to the author.

## Notes

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J. M.-J., M. S.-C., K. B., P. C., F. M. K., W. S. L., S. M., K. R., J. S. N.-V.-T., and C. R. B. conceived and designed the study protocol. J. M.-J. and M. S.-C. executed the search strategy and screening. J. M.-J., M. S.-C., P. C., F. M. K., S. M., and J. S. N.-V.-T. performed risk of bias assessments and data extraction. J. M.-J., M. S.-C., J. S. N.-V.-T., and C. R. B. analyzed or interpreted the data. J. M.-J., M. S.-C., J. S. N.-V.-T., and C. R. B. drafted the manuscript. K. B., P. C., F. M. K., W. S. L., S. M., and K. R. contributed intellectual content to the manuscript:

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