The immediate early protein of equine herpesvirus-1 (EHV-1) as a target for cytotoxic T 1 lymphocytes in the Thoroughbred horse 2 3 Kydd, J.H.<sup>1\*^</sup>, Case, R.<sup>1</sup>, Minke, J.<sup>2</sup>, Audonnet, J-C.<sup>2</sup>, Wagner, B.<sup>3</sup>, Antczak, D.F.<sup>4</sup> 4 5 <sup>1</sup>Animal Health Trust, Lanwades Park, Kennett, Newmarket, Suffolk, CB8 7UU United 6 7 Kingdom <sup>2</sup> Merial S.A.S., R&D, 254 rue Marcel Merieux, Lyon, France 8 <sup>3</sup> Department of Population Medicine and Diagnostic Sciences, College of Veterinary 9 Medicine, Cornell University, Ithaca, New York, NY14853 United States of America 10 <sup>4</sup>Baker Institute for Animal Health, College of Veterinary Medicine, Cornell University, 11 Ithaca, New York, NY14853 United States of America 12 ^ Current address: School of Veterinary Medicine and Science, University of Nottingham, 13 Sutton Bonington, Loughborough, Leicestershire, LE12 5RD United Kingdom 14 \*corresponding author. Tel 0115 9516448; Fax 0115 951664, e-mail 15 Julia.kydd@nottingham.ac.uk 16 17 Running title: Immediate early protein as CTL target in EHV-1 18 19 Contents category: animal DNA viruses 20 Keywords: equine, herpesvirus, MHC class I, immediate early protein, cytotoxic T 21 lymphocytes 22 23 Summary: 145 words 24 Text (excluding Acknowledgements, Disclaimer, Table and References): 2473 25 No. of Tables: 1 26 No. of Figures: 2 27 28

### 29 Summary

30 CTL are associated with protective immunity against disease caused by equine herpesvirus-1 (EHV-1). However, the EHV-1 target proteins for CTL are poorly defined. 31 This limits the development of vaccine candidates designed to stimulate strong CTL 32 immunity. Here, classical CTL assays using lymphocytes from horses of three defined 33 MHC class I types that experienced natural infection with EHV-1, and a modified vaccinia 34 virus construct containing an EHV-1 gene encoding the Immediate Early (IE) protein are 35 reported. Horses homozygous for the Equine Leukocyte Antigen (ELA) A2 haplotype, but 36 not the ELA-A5 haplotype, made MHC-restricted CTL responses against the IE protein. 37 Previously, horses homozygous for the ELA-A3 haplotype also mounted CTL responses 38 against the IE protein. Both haplotypes are common in major horse breeds, including the 39 Thoroughbred. Thus, the IE protein is an attractive candidate molecule for future studies of 40 T-cell immunity to EHV-1 in the horse. 41

44 Equine herpesvirus-1 (EHV-1) can cause late gestation abortion in pregnant mares and respiratory and neurological disease in all equids (Ma et al., 2013; Minke et al., 2004). 45 Current vaccines against EHV-1 contain either live attenuated or killed virus, and both 46 types provide partial clinical and virological protection (Minke et al., 2004). However, 47 periodic abortion storms and outbreaks of neurological disease highlight the need for 48 improved vaccines. Protection against EHV-1 in horses is associated with high titres of 49 neutralising antibody (Hannant et al., 1993; Heldens et al., 2001) and high frequencies of 50 CTLs (Allen, 2008; Kydd et al., 2003; O'Neill et al., 1999). Ideal vaccines might consist of 51 defined antigens which can stimulate protective cellular and humoral immunity in all horses 52 and thus eliminate the negative effects of viral proteins which modulate the host's immune 53 response (Ambagala et al., 2005; Griffin et al., 2010; van der Meulen et al., 2006), 54 55 including down-regulation of MHC class I (Rappocciolo et al., 2003; Said et al., 2012).

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57 To develop novel vaccines against EHV-1 that stimulate protective cellular immune responses, it is necessary to identify conserved and immune-dominant viral proteins and 58 determine the distribution of MHC class I molecules within and between horse breeds. 59 MHC class I molecules act as restriction elements that present viral peptides to the antigen 60 specific receptors on T-cells. The equine MHC region has been defined using serological 61 assays (Lazary et al., 1988) and molecular techniques that have taken advantage of 62 resources of the Horse Genome Project (Gustafson et al., 2003; Tallmadge et al., 2005; 63 2010). MHC typing using microsatellites (Tseng et al., 2010) has confirmed earlier 64 serological studies (Antczak et al., 1986) which indicated that within the Thoroughbred 65 breed, a limited number of stable MHC haplotypes (Equine Leukocyte Antigens, ELA-A2, 66 A3, A5 and A10) represent the majority of the genetic diversity in this region of the 67

genome. The economic importance of the Thoroughbred justifies a closer examination ofthe genetic basis of immunity in this breed.

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To date, although high frequencies of CTL precursors are associated with reduced clinical 71 signs (Allen, 2008; Kydd et al., 2003), the only CTL target protein which has been 72 identified for EHV-1 is the immediate early (IE) protein, which is encoded by gene 64 73 (Soboll et al., 2003). Epitopes of this protein are presented by the B2 allele of the ELA-A3 74 haplotype (Kydd et al., 2006), which is also known as ELA-A3.1 (Tallmadge et al., 2005). 75 Vaccination of ELA-A3 ponies which expressed the B2 allele with a construct which 76 expressed the IE protein resulted in the stimulation of interferon gamma<sup>+</sup> lymphocytes in 77 peripheral blood, which are associated with CTL activity (Paillot et al., 2006) and a 78 reduction in cell associated viraemia (Soboll et al., 2010), indicating partial virological 79 protection. 80

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This study aimed to assess the EHV-1 IE protein as a CTL target in horses of defined MHC haplotypes, which had been exposed to a virulent strain of EHV-1 during a field outbreak. The preliminary data suggests that the IE protein acted as a CTL target in two mares carrying the ELA-A2 MHC haplotype, but not in a mare homozygous for the ELA-A5 haplotype. This data enhances our knowledge of CTL target proteins for EHV-1, a finding critical to the rational development of novel vaccines.

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Animals: The mares used in this study (Table 1) were members of an experimental herd at
Cornell University, United States of America that experienced a natural outbreak of
disease caused by EHV-1. One additional mare (Esther) was located at the Animal Health

Trust, United Kingdom. This mare was hyper-immune to EHV-1 following previous experimental infections with strain Ab4 and was thus used as a donor of positive control lymphocytes, due to the high frequencies of blood CTLs. All mares had known ELA haplotypes, which were common in Thoroughbreds, as determined previously by serological typing: the Cornell mares were all homozygous for the specified haplotype (Table 1). All animals were managed according to their host institution's animal care criteria and national regulations.

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Blood samples: PBMCs collected by jugular venepuncture were isolated at Cornell 100 University by density gradient centrifugation over Ficoll<sup>®</sup>, washed, and re-suspended at 101 10<sup>8</sup>/ml in Dulbecco's modified Eagle's medium supplemented with 10% (v:v) heat 102 103 inactivated fetal calf serum, 2mM L-glutamine, HEPES buffer, 100u/ml penicillin and 100µg/ml streptomycin. Cells were transported to the United Kingdom at ambient 104 temperature over 48 hours under a government permit. On arrival, cells were re-counted 105 and either used immediately in induction cultures or alternatively cryopreserved in liquid 106 nitrogen as described previously (Allen et al., 1995) and thawed as required. Due to the 107 difficulty of harvesting and transporting such large numbers of cells internationally, each 108 experiment was performed once only. Viability in all samples, as determined by Trypan 109 blue exclusion was  $\geq$  90% 110

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CTL Assay: An EHV-1 specific assay of CTL activity was performed as described
previously (Allen *et al.*, 1995; Kydd *et al.*, 2006). Effector cells were induced with live EHV1 and screened against a variety of autologous or heterologous target cells that were
either EHV-1 infected, mock infected, or infected with a modified vaccinia virus construct
(NYVAC) expressing EHV-1 gene 64, which encodes the IE protein (see Kydd *et al.*, 2006;

Paillot *et al.*, 2006 for construct details). The optimum m.o.i. for infection of target cells by
the construct was determined by titration (m.o.i. 5, 2 and 1) and screened against effector
lymphocytes from a mare (Esther) with an ELA-A3/x haplotype that had been hyperinfected with EHV-1. Results were expressed as percent specific lysis, calculated
according to a standard formula (experimental c.p.m – spontaneous c.p.m.) / (c.p.m. total
release – c.p.m. spontaneous release) x100. Data were expressed as the mean of 3
replicates.

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EHV-1 infection was confirmed in the Cornell horses by the local diagnostic laboratory, based on clinical signs, virus isolation and complement fixing (CF) antibody titres. One mare (AM) displayed transient ataxia. The remaining Cornell mares had high titres of CF antibody ( $\geq$ 1:80), regardless of vaccination status.

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The first aim of this study was to identify horses of well-characterized MHC class I types 130 with high levels of EHV-1 specific CTL activity: these were used for future screening 131 against target cells presenting only the EHV-1 IE protein encoded by gene 64. Six of 132 seven horses tested had detectable levels of virus specific CTL activity, with low levels of 133 lysis (<6%) against mock-infected autologous target cells (Fig. 1). One mare (G, ELA-A2) 134 had insufficient effector cells and virus specific lysis of <12% at 100:1 effector to target 135 ratio (data not shown) and so was discarded from future experiments. The remaining six 136 mares, all had high levels of virus specific lysis when tested on infected autologous cells 137 (range >29 - 80.7% at effector to target ratios of 100:1). The effector CTL were also tested 138 against virus infected target cells from the other mares. High levels of target cell lysis 139 (>20%) were observed only when the CTL and target cells carried the same MHC type, 140 thus demonstrating classical MHC restricted lysis. 141

Having identified mares with detectable CTL activity, additional PBMC from three mares
with representative MHC class I haplotypes (FTM and Y2K both ELA-A2 and FW ELA-A5)
were collected and transported as described previously for further experiments designed
to determine the role of the IE protein as a CTL target. For logistical reasons, the second
sample was collected one year later and thus measurement of EHV-1 specific CTL activity
was repeated at that time.

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To determine the optimum m.o.i. of NYVAC-gene 64 with which to infect target cells, effector CTL from an experimental mare, Esther, which carried the ELA-A3 haplotype were used. This A3/x mare was hyperimmune to EHV-1 and had CTL activity against the IE protein. This titration demonstrated that an m.o.i. of 2 was sufficient to produce detectable target cell lysis (Fig. 2(a)).

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Next, the CTL from three mares, Y2K and FTM (both ELA-A2) and FW (ELA-A5) were 156 tested against target cells infected with NYVAC-gene 64 at an m.o.i. of 2 (Fig. 2). All 157 mares showed CTL activity against autologous virus infected target cells, but not against 158 mock-infected targets. For the NYVAC-gene 64 infection, insufficient autologous target 159 cells were available from the mare Y2K (ELA-A2); therefore Y2K effectors were tested 160 against target cells from FTM (ELA-A2). Effector CTL from the two ELA-A2 mares lysed 161 ELA-A2<sup>+</sup> target cells infected with NYVAC-gene 64, but not NYVAC-gene 64 infected 162 targets of the ELA-A3 haplotype. Mare FW (ELA-A5) failed to lyse either ELA-A3<sup>+</sup> or ELA-163 A5<sup>+</sup> cells infected with NYVAC-gene 64. Cumulatively, this data suggests that the IE 164

protein provides peptides recognized by CTL in EHV-1 primed horses of the ELA-A2 MHC
 class I haplotype but not the ELA-A5 haplotype.

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This study provides new data on the viral proteins that stimulate CTL activity in horses 168 after natural infection with EHV-1. The use of a unique herd of MHC homozygous horses 169 permitted association between CTL response and MHC haplotype. Previous studies 170 indicated that the EHV-1 IE protein encoded by gene 64 is the source of peptides that bind 171 to the ELA-A3.1 gene (alias B2) of the ELA-A3 haplotype (Kydd et al., 2006; Soboll et al., 172 2003). Here the data suggest that the IE protein also contains a peptide(s) that is 173 presented by a MHC class I gene of the ELA-A2 haplotype, but not of the ELA-A5 174 haplotype. This information adds to our understanding of the targets of cellular immune 175 responses against this important equine viral pathogen. Further study will be required to 176 identify which ELA-A2 MHC class I gene presents peptide(s) from the IE protein. Five 177 178 MHC class I genes have been identified in the ELA-A2 haplotype, with two showing properties of classical, polymorphic, antigen presenting molecules (Tallmadge et al., 179 2010). 180

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CTL target proteins have been identified in other herpesviruses. For example, in varicella zoster virus tegument proteins encoded by Open Reading Frames (ORFs) 4, 10, 62, 63 and gl act as CTL targets (Arvin *et al.*, 1991; Bergen *et al.*, 1991; Sadzot-Delvaux *et al.*, 1997). In human cytomegalovirus, phosphoprotein 65, a major late matrix protein, is recognised by CTL from HLA-A2 individuals (Kern *et al.*, 2002; McLaughlin-Taylor *et al.*, 1994; Wills *et al.*, 1996). In bovine herpesvirus type 1 (BHV-1), CTL clones lysed BHV-1 infected target cells in a genetically restricted, virus specific manner (Splitter *et al.*, 1988) although whether gB, gC and gD are the targets is controversial (Hart *et al.*, 2011; Levings
& Roth, 2013).

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192 In the horse, CTL target proteins and their genetic restriction elements have also been identified for equine infectious anaemia virus (EIAV). These include Gag p26 which is 193 presented by the ELA-A5.1 and ELA-A9, and peptides of the Env protein which are ELA-194 A1 restricted (Zhang et al., 1998). Detailed studies of the interaction between viral 195 epitopes and MHC class I alleles have revealed a remarkable degree of complexity. In 196 horses, there is clearly sub-haplotypic variation (Chung et al., 2003) and this may be a 197 reflection of polymorphism at alleles encoded by classical MHC class I loci. Additionally, 198 horse MHC haplotypes appear to have differing numbers of classical MHC class I genes, a 199 feature not found in humans or mice (Tallmadge et al., 2010). This diversity by genes 200 expressed on classical MHC class I loci is consistent with the host's need to generate 201 immune responses and to retain the capacity for flexibility in defence against attack by 202 pathogens, but complicates vaccine design. 203

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205 Despite this diversity of MHC class I loci and alleles, there is also evidence that certain viral proteins behave in an immunodominant fashion and stimulate CTL from horses 206 carrying several different MHC haplotypes. For example, studies of CTL targets in six 207 horses that had been infected with EIAV showed that Gag gene products, which encode 208 matrix and capsid proteins, were consistently recognised by various serological MHC class 209 I haplotypes. However, no identical peptides within these proteins were consistently 210 recognised (Zhang et al., 1998). The elegant work of Mealey et al., (2006) showed that 211 within the ELA-A1 haplotype, a single amino acid difference in the  $\alpha$ 2 domain between the 212 MHC class I genes 7-6 and 141 resulted in the ineffective presentation of the Gag GW-12 213

peptide by gene 141. As a consequence, there was a functional alteration in the ability of 214 horses carrying the 141 gene to recognise peptides. Modelling suggested that the 215 mechanism was related to the 114-Gag Gw12 complex not being recognised by the T cell 216 217 receptor. In the ELA-A3 serological haplotype, two subtypes, A3.1 and A3.2 have been revealed with functional differences, namely only ponies with A3.1 recognised the IE 218 protein as a CTL target (Soboll et al., 2003). These subtle yet important functional 219 differences need further investigation if subunit vaccines are to become practical in an 220 outbred population. 221

222

In the current study, the degree of lysis of target cells infected with NYVAC–gene 64 was consistently lower than that of target cells infected with EHV-1 virus. Soboll *et al.* (2003) reported a similar phenomenon using single gene products. In the MHC homozygous horses studied here, the lower CTL activity to the IE protein is probably a reflection of the single target protein presented. The equine CTL response to EHV-1 is undoubtedly complex and will include recognition of peptides derived from different EHV-1 proteins.

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In summary, the current data in EHV-1 infected mares with defined MHC class I
haplotypes suggests that peptides of the IE protein are presented by an allele(s) of the
ELA-A2 serological haplotype, but not ELA-A5. The IE protein therefore acts as a CTL
target protein in two MHC class I haplotypes, ELA-A2 and ELA-A3.1, which are common in
the Thoroughbred breed, thereby strengthening the argument for considering its inclusion
in future novel vaccines.

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### 250 Figure Legends

251 Figure 1. MHC restriction of CTL killing of EHV-1-infected target cells. CTL from 6 MHC homozygous horses (1 ELA-A5 (a), 2 ELA-A2 (b, c), and 3 ELA-A3 (d, e, f) were 252 tested against EHV-1 infected target cells of each of the three MHC haplotypes, plus mock 253 infected autologous control cells. Genetically restricted, virus specific CTL activity was 254 detectable in all mares as demonstrated by lysis of virus infected target cells from mares 255 which shared the same haplotype. Levels of lysis were low in autologous, mock infected 256 and heterologous target cells collected from mares which did not share the same 257 haplotype. 258

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Figure 2. The EHV-1 IE protein is a peptide donor for horses of the ELA-A2 and 260 ELA-A3 haplotypes, but not for ELA-A5. CTL activity in effector lymphocytes tested 261 against NYVAC-gene 64 infected target cells. a) Titration of NYVAC-gene 64 m.o.i. in 262 target cells from a mare (Esther) with A3/x haplotype. b & c) Two ELA-A2 homozygous 263 horses showing CTL effector activity against target cells expressing the IE protein encoded 264 by EHV-1 gene 64. d) An ELA-A5 homozygous horse that showed CTL effector activity 265 against target cells infected with whole EHV-1 virus, but not cells that expressed only the 266 267 IE protein. Target cells were as follows: inf = EHV-1 infected; m= mock infected; g64 = infected with NYVACC-gene 64 at m.o.i. 2. 268

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Table 1. Details of horses used as blood donors for CTL assays. ELA = Equine Leukocyte Antigen; CF = Complement Fixing antibody; V= vaccinated; NV = non-vaccinated; n/d = not done.

Horse Age (years)	ELA serological haplotype*	Vaccination status	**Reciprocal CF antibody titre versus	
			EHV-1	EHV-4
13	A2	NV	640	640
5	A2	V	320	320
11	A2	V	80	10
17	A3	NV	80	80
4	A3	V	20	40
12	A3	NV	320	160
14	A5	V	320	160
13	A3/x	NV	n/d	n/d
	Age (years) 13 5 11 17 4 12 14 13	Age (years)ELA serological haplotype*13A25A211A217A34A312A314A513A3/x	Age (years)ELA serological haplotype*Vaccination status13A2NV5A2V11A2V17A3NV4A3V12A3NV13A3/xNV	Age (years)ELA serological haplotype*Vaccination status**Reciprocal C ver EHV-113A2NV6405A2V32011A2V8017A3NV804A3V2012A3NV32014A5V32013A3/xNVn/d

410

393

411 \*Homozygous unless stated otherwise

<sup>412</sup> \*\*Samples collected on single occasion as part of the outbreak's diagnostic investigation









