

1 **Influence of the thermo-physical properties of pavement materials on the evolution of**
2 **temperature depth profiles in different climatic regions**

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29 14 **Abstract**

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31 15 The paper summarizes the relative influence of different pavement thermo-physical properties on the
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33 16 thermal response of pavement cross-sections, and how their relative behaviour changes in different
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35 17 climatic regions. A simplified one-dimensional heat flow modelling tool was developed to achieve this
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37 18 using a finite difference solution method for studying the dynamic temperature profile within
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39 19 pavement constructions. This approach allows for a wide variety and daily varying climatic
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41 20 conditions to be applied, where limited or historic thermo-physical material properties are available,
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43 21 and permits the thermal behaviour of the pavement layers to be accurately modelled and modified.
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45 22 The model was used with available thermal pavement materials properties and with properties
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47 23 determined specifically for the study reported here. The pavement materials included in the study
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49 24 comprised both conventional bituminous and cementitious mixes as well as unconventional mixtures
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51 25 that allowed a wide range of densities, thermal conductivities, specific heat capacities and thermal
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53 26 diffusivities to be investigated. Initially, the model was validated against in-situ pavement data
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55 27 collected in the USA in five widely differing climatic regions. It was found to give results at least as
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57 28 good as others available from more computationally expensive approaches such as 2D and 3D FE
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29 commercial packages. Then the model was used to compute the response for the same locations had
 1 the thermal properties been changed by using some of the unconventional pavement materials been
 2 30 the thermal properties been changed by using some of the unconventional pavement materials been
 3 31 used. This revealed that reduction of temperature range by several degrees was easily possible (with
 4 32 implications for reduction of rutting, fatigue and the Urban Heat Island effect) and that depth of
 5 33 penetration of peak temperatures was also achievable (with implications for winter freeze-thaw).
 6 34 However, the results showed that there was little opportunity to displace the peak temperatures in
 7 35 time.
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17 37 **Subject headings:** Pavements; Heat transfer; Thermal diffusion; Temperature distribution; Numerical
 18 38 models
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24 40 **Nomenclature**

| | | |
|-------|----------------|---|
| 26 41 | a | absorptivity (-) |
| 28 42 | c_p | constant pressure specific heat capacity (J/kg K) |
| 30 43 | d | thickness of pavement/ground element (m) |
| 32 44 | h_c | total (or mean) convection heat transfer coefficient (W/m ² K) |
| 34 45 | h_{rad} | total (or mean) radiation heat transfer coefficient, = $\epsilon\sigma(T_0 + T_{sky})(T_0^2 + T_{sky}^2)$ |
| 36 46 | i | counter for time step ($i = 0$ corresponding to specified initial condition) |
| 38 47 | $q_{absorbed}$ | heat flux from surface absorbed solar radiation (W/m ²) |
| 40 48 | q_{solar} | heat flux from incident solar radiation (W/m ²) |
| 42 49 | L_c | characteristic length, i.e. area/perimeter (m) |
| 44 50 | m | number of nodal points (1, 2, ... n) |
| 46 51 | Nu | Nusselt number for free and forced convection (-) |
| 48 52 | T_{sky} | sky temperature (K) |
| 50 53 | T_{air} | ambient air temperature (K) |
| 52 54 | T_{dp} | dew-point temperature (°C) |
| 54 55 | T_0 | absolute temperature of the surface (K) |
| 56 56 | T_m | temperature at nodal point m (K) |

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|----|---------------|---|
| 57 | T_{surr} | surrounding temperature (K) |
| 58 | v_w | wind velocity (m/s) |
| 59 | α | thermal diffusivity (m ² /s) |
| 60 | σ | Stefan-Boltzmann constant = 5.668×10^{-8} (W/m ² K ⁴) |
| 61 | ε | emissivity (-) |
| 62 | λ | dry state thermal conductivity (W/m K) |
| 63 | ρ_d | dry density (kg/m ³) |

1. Introduction

Approximately half of the world's incoming solar energy is absorbed by the earth's surface (RETScreen 2005), and pavements comprise large areas of our infrastructure including roads, pedestrian pathways and parking areas. Temperature changes in pavements have been studied for many years since they have a significant impact on pavement performance under load-induced and thermal stresses and on service life. In flexible pavements (i.e. asphalt) the structural or load-carrying capacity of pavement varies with temperature since hot-mix asphalt (HMA) is a visco-elastic material (Ramadhan and Wahhab 1997; Marshall et al. 2001, Diefenderfer et al. 2002). In rigid pavements (i.e. concrete) temperature gradients across the concrete slab can cause structural defects such as warping and curling (Choubane and Tia 1992, Daiutolo 2003, Delatte 2008). Temperature variations in pavements can induce freeze-thaw cycles in the pavement which can often reduce their long-term stability (Dempsey & Thompson 1970). In addition, the significant contribution that pavements can make to the Urban Heat Island (UHI) is well known, and previous studies have attempted to predict this by numerically modelling near-surface temperature formation (Rosenfeld et al. 1998, Bretz et al. 1998).

In the UK, the temperature experienced in road pavements can vary between -8 °C and 60 °C, depending upon location and climate, and is usually above the ambient air temperature during the daytime and evening (Asaeda & Wake 1996). The US Strategic Highway Research Program (SHRP) established the Long Term Pavement Performance (LTPP) program in 1987. Lasting for a period of

85 more than ten years it involved thousands of test sections at hundreds of locations throughout the USA
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2 86 with complementary sections being built and monitored in other countries. Part of the study was the
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4 87 Seasonal Monitoring Program (SMP) with sixty four different test locations covering a highly diverse
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6 88 range of climatic conditions (Mohseni & Symons 1998). SMP data has since been used as a basis for
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8 89 validation of many pavement temperature prediction models (Dempsey & Thompson 1970, Rosenfeld
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10 90 et al. 1998, Solaimanian & Kennedy 1993, Hermansson 2000, Hermansson 2004). A significant
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12 91 problem is to understand how material selection and pavement design affect the temperature depth
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14 92 profile evolution, peak surface temperature, and responsiveness to climatic variables (e.g. solar
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16 93 irradiation, air temperature, surface wind velocity). Better understanding would allow intelligent
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18 94 design and material specification that could be tailored to match local climatic conditions. This could
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20 95 lead to improved performance and longevity of pavements, and enable better use of the heat as a low-
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22 96 grade energy source with existing technology, e.g. surface hot water collection. Enhanced shallow heat
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24 97 storage could be used in conjunction with ground source heat pump technology and road de-icing (van
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26 98 Bijsterveld & de Bondt 2002, de Bondt 2003, Carder 2007).
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33 100 The objectives of this study are to use a predictive transient model to determine the behavioural
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35 101 sensitivity to pavement thermo-physical properties of
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38 102 i) pavement surface temperature gain/loss
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40 103 ii) temperature depth profile formation
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42 104 iii) internal pavement temperature responsiveness in five contrasting climatic regions of the
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49 107 The practical application of this research will be to provide generalised conclusions to help inform
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51 108 intelligent material selection and pavement design.
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53 109 54 55 110 **2. Thermo-physical properties of pavement materials**

56 57 58 111 2.1 Past work 59 60 61 62 63 64 65

112 In unbound granular material (i.e. aggregates with some pore water), published data shows typical
113 thermal conductivity figures of $\lambda_{\text{water}} = 0.56 \text{ W/m K}$, $\lambda_{\text{air}} = 0.026 \text{ W/m K}$ and $\lambda_{\text{mineral}} \approx 3 \text{ W/m K}$,
114 varying somewhat with aggregate mineralogy (Yun & Santamarina 2008). Inter-particle contact and
115 the degree of saturation play a critical role in heat transport phenomena in such materials. For the
116 volume-averaged thermal conductivity of a representative sample of this material, the ordered
117 sequence of magnitude is: $\lambda_{\text{air}} < \lambda_{\text{dry soil}} < \lambda_{\text{water}} < \lambda_{\text{sat soil}} < \lambda_{\text{mineral}}$ (Yun & Santamarina 2008). With
118 reference to Figure 1, binder coatings increase the surface area at points of inter-particle contact,
119 theoretically increasing heat flux within the material over that of the dry loose aggregates. However,
120 the thermal conductivity of bitumen (as a binder) is relatively low, at $\lambda_{\text{bitumen}} = 0.15 - 0.17 \text{ W/m K}$
121 (Hunter 2003), effectively acting as an insulative coating to aggregate particles. In contrast, hardened
122 cement paste (HCP), which is found in concrete paving materials, has a thermal conductivity of
123 approximately $0.8 - 0.9 \text{ W/m K}$ (CES Edupack 2007). The thermo-physical properties of pavement
124 materials can be selectively modified through the use of alternative aggregates, modified binders
125 and/or void-filling conductive grouts. Further research is still needed to allow this to be done
126 intelligently and in an accurately predictable manner. A review of existing published data for thermo-
127 physical properties of standard pavement and sub-soil materials has been summarised in Table 1 for
128 direct comparison with the new data presented in this study.

2.2 Present work

131 Independent experimental determination of thermo-physical properties, on a range of standard and
132 modified pavement materials, was conducted for this study. This laboratory-based program of testing
133 is now described.

Paving materials selected

136 Specimens of Dense Bitumen Macadam (DBM), a representative asphaltic road construction material,
137 were produced using aggregates characterised by the particle size grading information provided in
138 Table 2. A standard and a modified version of the DBM50 mix design was produced, the latter
139 (potentially having enhanced thermal properties) used 34% vol. copper slag coarse aggregate (CA)

140 replacement and 35% vol. cooled iron shot dust replacement. Porous Asphalt (PA) mixes with 20%,
1 25% and 30% target air voids (TAV) along with a separate DBM mix with 4% TAV were produced
2 141 using a 160/220 penetration grade bitumen binder and 10mm maximum aggregate size. It was
3 142 anticipated that grouting PA would readily produce a paving material with increased thermal
4 143 conductivity and bulk density (and hence also increased volumetric heat capacity) as a result of the
5 144 reduction in air voids. This is a low cost alternative to the addition of expensive conductive fibre
6 145 reinforcement materials. Grouting has the added advantages of improving long-term durability and
7 146 stiffness, along with reduced rutting in surfaces that are exposed to high solar irradiation. Two
8 147 pavement grade concrete mixes were also selected. The cross-section of a rigid pavement is most
9 148 usually composed of Pavement Quality Concrete (PQC) on top of a low-strength Dry lean Concrete
10 149 (DLC). The Defence Estates 2nd Edition of the *Guide to Airfield Pavement Design and Evaluation*
11 150 (Defence Estates 2006) was used to provide the material specifications, and aggregate grading.
12 151 Limestone aggregates were used due to their low coefficient of thermal expansion. The PQC mix
13 152 design had a target 28-day compressive strength of 40 N/mm², whilst for the DLC mix the target
14 153 strength was 20 N/mm². Both used 10/20 single sized limestone aggregate complying with BS EN
15 154 12620 (BSI 2002), '4mm down' natural sand, and high strength Portland cement (CEM I class, 52.5
16 155 N/mm²).

157 Sample Preparation

158 The loose asphaltic mixes were compacted at a temperature of 130°C into 305 × 305 × 50 mm slabs
159 using a roller compactor. Some 20% TAV PA specimens had their voids grouted with three different
160 grouts namely
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- 162 • 100% CEM 1 class ordinary Portland cement,
- 163 • 80/20 %wt CEM1/densified silica fume (SF), and
- 164 • 80/20 %wt CEM 1/Class B Pulverised Fuel Ash (PFA).

165 The grout was prepared at 0.6 free water/cement ratio and poured onto the slabs whilst on a vibrating
166 table to ensure full absorption. The freshly grouted slab specimens were cured at 95% RH ±5, and 20°

167 C ± 2 prior to testing. PQC and DLC specimens were compacted using a vibration table and air cured
168 for 24hr in laboratory conditions, before de-moulding and water curing for a period of 28 days at a
169 temperature of 20°C ± 2 .

171 Thermal Evaluation of Specimens

172 Thermal conductivity was determined using a computer-controlled P.A. Hilton B480 heat flow meter
173 apparatus with downward vertical heat flow, which complies with ISO 8301 (ISO 1996). The slab
174 specimens were placed inside the apparatus between a temperature-controlled hot plate and a water-
175 cooled cold plate (both aluminium) connected to a thermo-electric chiller device. Steady state
176 conditions were deemed to occur when the percentage variation in heat flux throughout the sample is \leq
177 3%. The macadam/asphalt slabs were protected top and bottom with a square piece of thin aluminium
178 foil to prevent bitumen sticking to the apparatus. The total test duration and determination of sampling
179 interval period is calculated using a simple method that is dependent upon density, mean specific heat
180 capacity and specimen thickness, as explained in a previous study (Hall & Allinson 2008a). For all test
181 specimens, dry density, ρ_d was determined gravimetrically, and mean heat capacity was calculated
182 using known values for particle density/specific gravity and specific heat capacity with reference to
183 each mix design and its constituents (refer to method described in Hall & Allinson 2008b).

185 Results

186 The experimental data for the dry-state thermo-physical properties of these pavement materials is
187 presented in Table 3. DBM materials generally have a similar Volumetric Heat Capacity (VHC) to
188 Portland concrete but with lower thermal conductivity, due to the bituminous binder, and as a result
189 are less thermally diffusive. The high porosity (low density) of PA materials significantly reduces both
190 the thermal conductivity and thermal diffusivity, and the addition of cementitious grout gives an
191 increase in VHC without significantly affecting diffusivity or conductivity. The use of high density
192 alternative aggregates can significantly increase the VHC whilst maintaining a similar thermal
193 conductivity.

3. Predictive modelling of pavement temperature depth profiles

Since roadways represent a relatively large surface area, by neglecting edge effects the predictive model can be reduced to a one-dimensional transient conduction model combined with a surface energy balance approach to predict the surface temperature under given climatic variables. This simply requires the cross-sectional construction detail of the pavement and the thermo-physical properties of the materials to be known. In reality, the heat transport mechanisms in pavement materials (concrete, asphalt or macadam) are complex, as depicted in Figure 1, and can involve radiation between particles, convection in the pores, phase change processes (latent energy transport) vaporisation and condensation process as well as freeze-thaw processes. Since pore sizes are negligibly small in relation to the volume of the structure under consideration, satisfactory modelling predictions can be made by reducing the complex heat transfer process to an equivalent conduction-only term (Brandl 2005).

The factors influencing the pavement surface energy balance, as well as the heat transport processes that occur within a pavement, are illustrated in Figure 2. The absorbed solar radiation on the pavement surface, $q_{absorbed}$ is simply equal to $a \cdot q_{solar}$, where 'a' is the absorptivity coefficient. The sensitivity of surface radiation absorption to pavement thermo-physical properties is dealt with in more detail in Section 4. Thermal (long-wave) radiation heat flux between the pavement surface and surrounding matter (i.e. the lower atmosphere, other buildings/objects) can be calculated as (Incropera et al. 2007):

$$q_{thermal} = \epsilon \sigma (T_{surr}^4 - T_0^4) \quad \text{Eq. 1}$$

T_{surr} is a hypothetical temperature that collectively represents the notional temperature of the surroundings objects and the lower atmosphere (air, clouds/water vapour), to which the surface can radiate heat. In the absence of dew point temperature data (T_{dp}), T_{surr} can be assumed as 6 K below the ambient dry bulb air temperature (Underwood & Yik 2004, Lienhard & Lienhard 2006). Despite that $T_{air} \neq T_{surr}$ some researchers have used the ambient air temperature alone to calculate long-wave radiation between pavement surfaces and the atmosphere (Hermansson 2004, Chen et al. 2008). The

222 modelling tool for this work uses the empirical Bliss equation which estimates the surrounding
223 conditions in the form of a hypothetical ‘sky temperature’ (an approximation of T_{surr}) where (Gui et al.
2007, Chiasson et al. 2000, Yavuzturk et al. 2005):

$$T_{sky} = T_{air} \left(0.8 + \frac{T_{dew}}{250} \right)^{0.25} \quad \text{Eq. 2}$$

228 There are also many empirical models that attempt to improve on the accuracy of the Bliss equation.
229 The model in this paper was assessed using the empirical equations listed in Table 4. Figure 3 clearly
230 shows that over a representative three-day period, when compared to the LTPP experimental data,
231 using the Bliss equation gives the most accurate results and so this was used throughout the rest of the
232 study.

234 Convection (natural and forced) accounts for heat transport at the pavement surface and the heat flux
235 is simply calculated from $q_{convection} = h_c (T_{air} - T_0)$. The disparity between mean air velocity and near-
236 surface air velocity, as a result of friction and uneven/rough surfaces, is often overlooked. The
237 modelling tool for this work uses the empirical Jurges equation which estimates the mean convection
238 heat transfer coefficient as a function of wind speed where (Niro et al. 2009, Bentz, 2000, CIBSE
2006):

$$h_c = 5.8 + 4.1 \cdot v_w \quad \text{Eq.10}$$

241 There are also many empirical models used by other researchers in order to calculate convective heat
242 transfer at the pavement surface. The model in this paper was assessed using several other empirical
243 equations as listed in Table 5 and a direct comparison between surface temperature predictions and
244 LTPP experimental monitoring data has been performed. Figure 4 clearly shows that the Jurges’
245 estimation of h_c provides the greatest level of accuracy over a representative 3-day period in two

247 contrasting climatic regions. This also suggests that surface convection heat transfer plays an
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 2 248 important role in near-surface temperature profile formation.

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 6 250 One-dimensional vertical heat transport by transient conduction through the pavement can simply be
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 8 251 modelled as a response to absorbed/desorbed energy at the pavement surface using an explicit form of
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 11 252 the finite difference (FD) method. The cross-sectional pavement profile and the sub-soil beneath it can
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 13 253 therefore be considered as a semi-infinite medium extending downward from $d = 0$ (pavement surface)
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 15 254 to $d = x$, at which point $\Delta T \rightarrow 0$. In reality, at a critical depth (usually several meters) the ground
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 17 255 temperature is approximately constant as a result of thermal mass and so is largely unaffected by
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 19 256 heating/cooling cycles at the pavement surface. The numerical solution to the boundary condition at
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 22 257 the pavement surface is then given by (Gui et al. 2007, Mrawira & Luca 2002):

$$\rho_d c_p \frac{\Delta d}{2} \frac{T_0^{i+1} - T_0^i}{\Delta t} = a q_{solar} + h_c (T_{air} - T_0^i) + \varepsilon \sigma (T_{sky}^4 - T_0^4) + \lambda \frac{T_1^i - T_0^i}{\Delta d} \quad \text{Eq. 3}$$

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 34 261 The left side of Equation 3 gives the change in absorbed heat energy as a function of time, whilst the
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 36 262 right hand side components (from left to right) represent heat energy from short-wave (solar) radiation
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 38 263 gains, air convection gains/losses, long-wave radiation gains/losses, and fabric thermal conduction
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 41 264 to/from $d = 0$. For interior nodes, the rate of heat conduction across a volume element of thickness Δd
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 43 265 equals the change in the energy content of the element during a time interval Δt , therefore:

$$\lambda \frac{T_{m-1}^i - T_m^i}{\Delta d} - \lambda \frac{T_m^i - T_{m+1}^i}{\Delta d} = \rho_d c_p \left[\frac{T_m^{i+1} - T_m^i}{\Delta t} \right] \Delta d \quad \text{Eq. 4}$$

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 54 269 A schematic diagram to identify the locations of m th node, $m+1$ th node etc is shown in Figure

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 57 270 5. Solving for T_m^{i+1} gives:

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$$T_m^{i+1} = \frac{\alpha \Delta t}{\Delta d^2} [T_{m-1}^i - 2T_m^i + T_{m+1}^i] + T_m^i \quad \text{Eq. 5}$$

where $\alpha = \frac{\lambda}{\rho_d c_p}$, the thermal diffusivity. The temperature of the interface nodes between layers of the pavement structure, e.g. the contact between surface layer and base layer, was derived from Equation 4 to give:

$$\lambda_1 \frac{T_{m-1}^i - T_m^i}{\Delta d_1} - \lambda_2 \frac{T_m^i - T_{m+1}^i}{\Delta d_2} = \rho_{d1} c_{p1} \left[\frac{T_m^{i+1} - T_m^i}{\Delta t} \right] \frac{\Delta d_1}{2} + \rho_{d2} c_{p2} \left[\frac{T_m^{i+1} - T_m^i}{\Delta t} \right] \frac{\Delta d_2}{2} \quad \text{Eq. 6}$$

This can then be solved for T_m^{i+1} to give:

$$T_m^{i+1} = \frac{\frac{\lambda_1}{\Delta d_1} T_{m-1}^i + \frac{\lambda_2}{\Delta d_2} T_{m+1}^i - \left[\frac{\lambda_1}{\Delta d_1} + \frac{\lambda_2}{\Delta d_2} - \frac{\rho_{d1} c_{p1} \Delta d_1}{2\Delta t} - \frac{\rho_{d2} c_{p2} \Delta d_2}{2\Delta t} \right] T_m^i}{\frac{\rho_{d1} c_{p1} \Delta d_1 + \rho_{d2} c_{p2} \Delta d_2}{2\Delta t}} \quad \text{Eq. 7}$$

The explicit method is not unconditionally stable, and the largest permissible value for the time step is limited by a stability criterion. In the case of transient one-dimensional heat conduction, the upper limit for all interior nodes is given by (Incropera et al. 2007, Holman 2002):

$$\Delta t \leq 0.5 \Delta d^2 / \alpha \quad \text{Eq. 8}$$

and for surface nodes it can be expressed as (Gui et al. 2007):

$$\Delta t \leq \left(\frac{\rho_d c_p \Delta d^2}{2(h_{rad} \Delta d + h_c \Delta d + \lambda)} \right) \quad \text{Eq. 9}$$

293 In order to find the most restrictive value for Δt , first a value for Δd must be considered and then the
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2 294 maximum value of α (refer Tables 1 and 3) is inserted in Equation 8. In addition, the minimum value
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4 295 for ρ_d and c_p as well as a maximum logical value for h_{rad} , h_c , and λ have to be inserted in Equation 9.
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6 296 The minimum (i.e. most restrictive) value for Δt should be used to provide the solution. In this study
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8 297 values of $\Delta d=0.02\text{m}$ and of $\Delta t = 30\text{s}$ were found to provide satisfactory stability for the range of
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10 298 typical thermo-physical properties in pavement materials (refer Tables 1 and 3) as well as climatic
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12 299 data.
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15 301 The initial condition at $t = 0$ assumes a constant uniform temperature distribution to a depth of 2 m.
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17 302 Equations 5 to 7 are then solved by iteration in order to predicatively compute the temperature depth
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19 303 profile evolution at a given time interval. The environmental input parameters required for the model
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21 304 are hourly (or more frequently) solar irradiation, dry bulb air temperature, relative humidity (or dew
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23 305 point temperature) and mean wind velocity. The inputs were interpolated linearly across the hour
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25 306 period in order to achieve the 30 sec interval required for the model. In addition to surface absorptivity
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27 307 and surface emissivity, the pavement material thermo-physical properties required can be chosen from
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29 308 Tables 1 and 3 or experimentally determined.
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38 310 **4. Model sensitivity to pavement surface boundary conditions**

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40 311 The typical emissivity, ϵ , of concrete is 0.88 – 0.93 and for asphalt 0.85 – 0.93 (Incropera et al. 2007).
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42 312 Absorptivity (a) of a surface is the fraction of solar energy that is absorbed by the surface and it is
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44 313 normally a function of wavelength of the incoming radiation, surface colour, wetness, average
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46 314 temperature of pavement, and age of pavement surface (Solaimanian & Kennedy 1993). The
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48 315 absorptivity of a pavement surface generally decreases during its lifetime as the surface colour
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50 316 becomes lighter, and the reduction is more profound in asphalt pavements due to the high
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52 317 susceptibility of bitumen to aging (CIBSE 2006). For concrete pavement surfaces, ‘a’ values as low as
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54 318 0.60 have been reported (Incropera et al. 2007) with a typical range being 0.65 – 0.80 (Bentz 2000,
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56 319 CIBSE 2006). Typical values for asphalt and macadam surfaces are 0.85 – 0.95 (Yavuzturk et al.
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58 320 2005, CIBSE 2006). The values for pavement materials in general are lower than the typical range for
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321 bare soil surfaces which are 0.85 – 0.92 (Holman 2002, Asaeda & Wake 1996). The relatively high
1 322 sensitivity of near-surface temperature predictions to changes in ‘a’ can be seen in Figure 6 where the
2 323 typical range in ‘a’ values for conventional pavement materials (concrete, asphalt and macadam) were
3 324 used in the FD model and compared with LTPP experimental monitoring data. The highest solar
4 325 irradiation test region (i.e. Arizona) was used in order to demonstrate maximum sensitivity. It can be
5 326 seen that in this climate a difference of around 10 °C in the near-surface temperature could be
6 327 achieved for the pavement materials used in this study. Note that the assumed ‘a’ value for this LTPP
7 328 pavement was 0.88 which gave a good agreement between the predicted values and the measured
8 329 values.
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331 **5. Temperature prediction and validation in different climatic regions**

332 5.1 Validation against LTPP data

333 The FD model described earlier was used to predict pavement temperature profile evolution, at various
334 different depths, in response to the climatic variables period. This was compared with actual recorded
335 data provided by the SMP database of the LTPP project (US Department of Transportation – Federal
336 Transport Administration, 2009). Five regions of contrasting climate were selected across the USA, as
337 shown in Figure 7 along with the corresponding latitude and longitude. The climatic region and mean
338 climatic variables for each test site are summarised and compared in Table 6. The predicted
339 temperatures were modelled at three depth categories within the pavement; near-surface ($\leq 25\text{mm}$),
340 sub-surface (70-150mm) and mid-depth (200-350mm). The precise value for d in each of the three
341 depth categories varied depending upon the precise position of the thermocouples at the five different
342 LTPP project locations, as shown by the cross-sectional construction details of the test pavements in
343 Figure 8. This shows the precise thermocouple location and thermo-physical material properties for
344 each layer. The default absorptivity values used in the modelling were 0.85 for asphalt and 0.65 for
345 concrete, taken as the mean average from published values (see above). For Arizona, the asphalt value
346 was increased to 0.88 to account for the application of a dark surface sealant referred to in the SMP
347 notes. In Montana, the concrete value was reduced to 0.60 to represent the formation of surface frost
348 as proposed by Hermansson (Hermansson 2004). The comparison between the predicted near-surface,

349 sub-surface and mid-depth temperature profile evolution, and the actual SMP recorded data, was made
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2 350 over a 3-day representative period for each of the five test locations detailed above, see Figures 9 – 13.
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6 352 5.2 Comparison with Enhanced Integrated Climatic Model (EICM)

8 353 An important way to validate the model is to compare it to other well established tools already

10 354 available to industry and the scientific community. For this reason the authors have chosen to run two

12 355 analyses to compare the results from the Enhanced Integrated Climatic Model, implemented within the

14 356 Mechanistic-Empirical Pavement Design Guide (ME-PDG) with those of the presented model under

16 357 two climatic scenarios selected from the LTPP database, i.e. 1-0101 (Alabama) and 31-3018

18 358 (Montana). Some input parameters that are required for our model could not be specified in the ME-

20 359 PDG user interface and could, therefore, slightly decrease the accuracy of the simulations. The values

22 360 used for these parameters were: Cloud Base Factor = 0.9, vapour pressure of air = 1.33mbar

24 361 (minimum of range), $a = 0.98$ (newly constructed road), and surface emissivity = 0.93. The average

26 362 daily values of air temperature, wind speed and pavement temperature with depth were all available in

28 363 the climatic database for these sections, while the percentage of sunshine was only given as a monthly

30 364 average and therefore, for the sake of the simulation, values were interpolated on a daily scale. Figures

32 365 14 and 15 show a comparison between the estimation of average monthly temperature at various

34 366 depths (surface, 0.4m, 1m and 2m) performed using the two different models. The difference between

36 367 predicted values from our model and EICM (within ME-PDG) was found to be between a mean value

38 368 of 0.23°C (Montana) and 0.55°C (Alabama), which is less than the typical accuracy of a thermocouple

40 369 used to record the experimental values ($\sim \pm 0.5^{\circ}\text{C}$). It is not possible to directly compare the

42 370 computational time for the two models in a fair way, since the ME-PDG must also perform structural

44 371 analysis of the pavements as well as the thermal simulation, which requires several minutes

46 372 (approximately 10 minutes for each of these simulations), whilst our model is coded in C# and can run

48 373 the same simulations in less than one second.

50 374

52 375 The model presented here is intended to function as a simple research tool and performs to an

54 376 acceptable standard and is typically accurate to within a 2°C variation about the LTPP recorded

377 experimental value in all cases. This is at least the same level of accuracy as has been achieved in
378 previous attempts to model pavement temperature profile evolution using a 1D transient conduction
379 approach with dry state material thermo-physical properties (Dempsey & Thompson 1970, Rosenfeld
380 et al. 1998, Solaimanian & Kennedy 1993, Hermansson 2000, Hermansson 2004), as well as when
381 employing a 2-D FD model (Yavuzturk et al. 2005) and when using a 3D ANSYS FE model (Minhoto
382 et al. 2006). The fast, simple, and computationally efficient Finite Difference (FD) approach was
383 chosen for this study to enable rapid comparisons between multiple sets of material thermo-physical
384 parameters and climatic variables without having to perform a detailed pavement structural design
385 before each simulation, as with the EICM (ME-PDG). A hygrothermal (coupled heat & moisture)
386 model would require extensive and detailed material properties characterisation for input parameters in
387 terms of moisture-dependent thermal conductivity, moisture-dependent heat capacity,
388 sorption/desorption isotherms, vapour permeability and liquid permeability coefficients. Pavement
389 materials are non-homogenous and often only limited historical thermo-physical data (or core sample
390 extraction) for existing highways is available. The authors propose that, given the accuracy of our
391 simple model which requires only dry-state thermo-physical properties and climatic data and the scale
392 of the pavement structure (thickness) and given the small additional accuracy gained from a
393 hygrothermal modelling approach, that the research objective is very well satisfied without it.

394

6. Sensitivity analysis on the influence of material thermo-physical properties

396 Two categories were identified in order to define the ‘thermal response’ of a pavement structure to its
397 ambient climatic conditions, in order to evaluate its sensitivity to changes in the material thermo-
398 physical properties:

- 399 ○ Cyclic peak temperature variation as a function of depth (maximum/minimum)
- 400 ○ Amplitude suppression and time lag of peak temperature occurrence as a function of
401 depth

402
403 For each category, the objective of the analysis was to determine how the controlled variation, for each
404 of the achievable thermo-physical properties of pavement materials, can dominate any specific

405 changes in thermal response, and to what extent the magnitude of those changes are climate-specific.

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2 406 The outcomes of this analysis can be conveniently summarised under three sets of general

3
4 407 conclusions, corresponding to the data presented in Figures 16 – 18.

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8 409 Near-surface (0 – 25mm) peak temperatures and the range of peak temperature fluctuation (in a daily
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10 410 cycle) is inversely related to the thermal conductivity of the pavement surface layer, whilst at the same

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12 411 instance, mid-depth pavement temperatures are positively related, as shown by Figure 16. This

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14 412 behaviour occurs because heat flux away from the hot pavement surface (or from a hotter pavement

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16 413 core to a cooler surface) is increased when λ is high in the surface layer. The effect is greatest where

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18 414 surface energy gain is high, e.g. typically when short-wave or long-wave radiation gains, or

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20 415 convection gains, are high. We therefore see the greatest gains in Arizona and Nevada (up to 5°C

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22 416 reduction in maximum , or 3°C increase in minimum), a lesser extent in the humid/temperate climates

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24 417 of Alabama and Delaware (1°C reduction in maximum, 2°C increase in minimum), and only minimal

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26 418 changes in Montana (1°C increase in minimum). Chen et al. (2008) used the NCHRP 1-37A

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28 419 Mechanistic Empirical Pavement Design Guide (ME-PDG) in order to show the relationship between

29
30 420 pavement service life and maximum pavement surface temperature. They showed that, for the same

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32 421 traffic and the same materials, the life of the pavement can be extended by five years for a drop in

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34 422 temperature of 5°C. The opposite is true when low λ values are used, when surface temperatures are

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36 423 increased and mid-depth is decreased. In either case, separate analyses showed no significant effect on

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38 424 the time at which peak temperatures occur, i.e. no phase shift.

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42 426 The VHC is positively related to the overall range of daily cycle temperature fluctuation and time-

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44 427 dependency of peak temperature occurrence, i.e. it governs the response time and sensitivity of

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46 428 material temperature to changes in the surface energy fluxes. Obviously the magnitude of peak

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48 429 temperature suppression about the mean (as a function of time) is directly proportional to diurnal

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50 430 temperature fluctuation, and largely independent of the mean temperature itself, as can be seen in

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52 431 Figure 17. Therefore, up to 4°C suppression in maximum temperature is achievable in Delaware (~

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54 432 30°C diurnal range), compared to only around 1°C suppression in Montana (~ 12°C diurnal range). In

433 all cases, the time lag in occurrence of both maximum and minimum peak temperatures is
1
2 434 approximately 1 hour longer for the high VHC surface layer materials compared with those of low
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4 435 VHC. This suggests that whilst significant potential exists for optimising pavement surface layer
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6 436 materials in order to buffer peak temperatures, there is little potential for displacing the peak heat
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8 437 output relative to peak input time, e.g. so as to effect a reduction in urban temperatures during working
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11 438 hours.

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15 440 The critical depth (d_{crit}) is defined by the point of convergence in daily cycle maximum/minimum
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17 441 temperature profiles and, from previous research conducted by the authors, is known to be positively
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19 442 related to the thermal diffusivity of pavement materials (Keikha et al. 2010). The implications are that
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21 443 the depth at which temperature stability is achieved can be controlled by layer thickness and material
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23 444 specification. For low diffusivity pavement surface materials, d_{crit} is approximately between 100 and
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25 445 150mm regardless of climate, as shown by Figure 18. For high diffusivity pavement surface materials,
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27 446 d_{crit} is between, approximately, 250 and 400mm. It appears that d_{crit} is positively related to both the
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29 447 thermal diffusivity and thickness of the surface layer, and largely independent of climatic variables.
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31 448 Diffusivity simply represents the time-variant spread of heat energy and so determines the position at
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33 449 which temperature stability occurs in a pavement slab.
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40 451 **7. Conclusions and practical applications**

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42 452 It is concluded that various improvements can be made at the design stage of transport infrastructure
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44 453 by understanding the implications of the interaction between pavement design, the thermo-physical
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46 454 properties of the specified materials, and the ambient climatic conditions. Rutting is a particular
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48 455 problem in asphalt/macadam materials since they have a temperature-dependent Young's Modulus
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50 456 binder, i.e. they are bitumen-based. The ability to reduce surface temperatures in climates with high
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52 457 peak temperatures and short-wave radiation gains might be highly beneficial. In general terms, a
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54 458 pavement surface with high conductivity and low absorptivity will be cooler, as confirmed by our
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56 459 numerical predictions and the LTPP experimental data, and therefore less likely to suffer from rutting.
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58 460 Previous studies have also shown that when the maximum surface temperature is reduced by around
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461 5°C in hot climates such as Arizona and Nevada, the pavement service life can potentially be extended
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2 462 by up to five years. The same approach could be used to counteract the urban heat island effect as it
3
4 463 would reduce heat emitted to the urban environment from the warm pavement surfaces, which is
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6 464 typically transported by long-wave radiation and natural convection.
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11 466 A numerical modelling tool of 1D transient thermal conduction has been presented for predicting
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13 467 temperature profile evolution on pavement structures. It has been well validated in five contrasting
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15 468 climatic regions using accepted long-term monitoring data from the SMP programme as part of the
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17 469 LTPP project, and is as accurate as the best of comparable existing models. To improve prediction
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19 470 accuracy beyond 2°C would require a hygrothermal model (fully coupled heat and moisture
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21 471 transport/storage) which necessitates highly detailed characterisation of the pavement material
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23 472 properties. In the longer term, the influence of moisture transport and storage on the model accuracy
24
25 473 and climate-dependent response should be investigated to determine the influence on prediction
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27 474 accuracy in high rainfall regions. In these scenarios the simple model is unlikely to fully reflect the
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29 475 actual thermal processes of convection, radiation, and evaporation at the pavement surface, nor to
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31 476 accurately model heat and moisture movement inside the pavement. However, comparisons with
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33 477 models that do this, suggest that there is no significant improvement in accuracy for the wide range of
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35 478 contrasting climatic conditions tested in this study. A simple tool like this is easily used and applied by
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37 479 industry as part of pavement design protocol and material mix design specifications.
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44 481 Warping usually effects rigid pavement layers, e.g. concrete surfaces or base layers, and is caused by
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46 482 the formation of a high temp gradient across the layer. This could be overcome by adjusting thermal
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48 483 diffusivity and therefore re-positioning the critical depth at a point immediately below the effected
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50 484 layer. Expansion and contraction cracking is a similar issue but is more likely in climates with very
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52 485 high diurnal temperature fluctuations, typically accompanied by high short-wave radiation gains at
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54 486 peak temperatures. By increasing the VHC of the surface layer to give, say, 3-4°C temperature
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56 487 suppression (at peak) and around 6°C reduction in total diurnal fluctuation (as demonstrated by the
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58 488 data presented here) the issue of cracking and loss of strength caused by thermal expansion/contraction
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489 could be significantly reduced. In cold climates, the ability to prevent the pavement materials from
1 getting so cold would be likely to have a measurable effect on extending fatigue life. In very cold
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3 climates a thick, low diffusivity pavement surface layer could provide a more stable temperature at
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5 shallower depths and thus reduce the freeze-thawing cycle and improve the pavement stability beneath
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7 the surface, i.e. reducing intermittent thaw softening (a problem that is expected to increase
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9 significantly in many northern climates as global warming prevents seasonal pavement freezing and
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11 leads to multiple freeze-thaw cycles). Further research is needed to see how pavement design and
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13 materials selection can be tailored to a specific location given the climatic variables of that region. Of
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15 course, benefits of reduced rutting and extended fatigue life will only be realized for materials having
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17 the same temperature susceptibility to these damage mechanisms. Much more work is required to
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19 balance mechanical properties and thermal properties – a balance that will need to be determined in a
20 499
21 climate-specific framework.
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510 Table 1 – Previously published data for thermo-physical properties of pavement materials (dry state)

| Specimen | ρ_d (kg/m ³) | λ (W/m K) | C_p (J/kg K) | $\alpha \cdot 10^{-7}$ (m ² /s) |
|--|----------------------------------|----------------------|-------------------|---|
| Plain concrete (general) ^{a, m} | 1600 - 3000 | 0.50 – 4.00 | 800 - 1200 | 1.4 - 20.8 |
| Sub-soil (general) ^d | 1400 - 2000 | 0.30 – 2.00 | 800 - 1100 | 1.4 - 17.8 |
| PQC (general) | 2339 ^e | 1.20 ^a | 1000 ^a | 5.1 |
| Crushed gravel/hardcore | 2190 -2403 ^e | 1.10 ^b | 1000 ^c | 4.6 - 5.0 |
| Soil-aggregate mix | 1650 ^e | 1.00 ^b | 960 ^d | 6.3 |
| Sub-soil | 1782 -1906 ^e | 0.80 ^d | 1040 ^d | 4.0 - 4.3 |
| HMA ^{f-1} | 1800 - 2500 | 0.50 - 2.50 | 900 - 2000 | 1.2 - 16.8 |

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512 ^a (Mehta and Monteiro 2006), ^b (Côté & Konrad 2005), ^c (Dempsey & Thompson 1970), ^d (ASHRAE 1995), ^e513 (US Department of Transportation – Federal Transport Administration 2009), ^f (Luca & Mrawira 2005), ^g514 (Solaimanian & Bolzan 1993), ^h (Mrawira & Luca 2006), ⁱ (Mrawira & Luca 2002), ^j (Gui et al. 2007), ^k515 (Chadbourn et al. 1996), ^l (Zapata & Houston 2008), ^m (Lamond & Pielert 2006)

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1 518 Table 2 – Aggregate type percentage passing from sieve analysis

| Sieve size (mm) | 14mm | 10mm | 6mm | dust | Filler |
|-----------------|------|------|------|------|--------|
| 28 | 100 | 100 | 100 | 100 | 100 |
| 20 | 100 | 100 | 100 | 100 | 100 |
| 14 | 89.1 | 100 | 100 | 100 | 100 |
| 10 | 21.8 | 87.5 | 100 | 100 | 99.2 |
| 6.3 | 7 | 16.6 | 84.2 | 100 | 99.1 |
| 3.25 | 5.5 | 7.1 | 13.7 | 97.1 | 98.9 |
| 2.36 | 4.9 | 5.8 | 10 | 87.3 | 98.9 |
| 1.18 | 4.1 | 4.6 | 7.8 | 60.8 | 98.7 |
| 0.60 | 3.8 | 4.1 | 6.6 | 40.7 | 98.5 |
| 0.212 | 3 | 3 | 5.1 | 22.3 | 98 |
| 0.075 | 0.8 | 0.9 | 2.3 | 12.2 | 92.6 |

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521 Table 3 – Thermo-physical properties of pavement materials (dry state)

| Specimen | ρ_d (kg/m ³) | λ (W/m K) | C_p (J/kg K) | $\alpha \cdot 10^{-7}$ (m ² /s) |
|------------------------|----------------------------------|----------------------|-------------------|---|
| PQC | 2319 | 1.11 | 858 | 5.6 |
| DLC | 2186 | 0.92 | 843 | 5.0 |
| DBM 4% TAV 180/220 pen | 2402 | 0.60 | 904 | 2.8 |
| DBM 6% TAV 50 pen | 2360 | 0.99 | 912 | 4.6 |
| DBM w. Cu slag + Ferag | 3296 | 0.92 | 720 | 3.9 |
| PA 20% TAV 180/220 pen | 2017 | 0.50 | 911 | 2.7 |
| PA 25% TAV 180/220 pen | 1925 | 0.47 | 911 | 2.7 |
| PA 30% TAV 180/220 pen | 1767 | 0.39 | 911 | 2.4 |
| PA20% + CEM1 grout | 2267 | 0.56 | 897 | 2.7 |
| PA20% + CEM1/SF grout | 2248 | 0.57 | 897 | 2.8 |
| PA20% + CEM1/PFA grout | 2322 | 0.55 | 897 | 2.6 |

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524 Table 4 - Models used to calculate thermal (long-wave) radiation heat flux

| Equations | Model | References |
|---|----------------|-----------------------------|
| $T_{\text{sky}} = 5.53\text{E-}2 \cdot T_0^{1.5}$ | Swinbank | Ramsey et al. 1981 |
| $T_{\text{sky}} = (-3.015\text{E}9 + 1.22 \cdot T_0^4)^{0.25}$ | Swinbank | Ramsey et al. 1981 |
| $T_{\text{sky}} = T_0(1 - 0.261 \cdot \exp(-7.77\text{E-}4(273-T_0)^2))^{0.25}$ | Idso & Jackson | Ramsey et al. 1981 |
| $q = \varepsilon\sigma(4.8 + 0.075(T_{\text{air}} - 5))(T_0 - T_{\text{air}})$ | HIPERPAVE | McCullough & Rasmussen 1998 |
| $T_{\text{sky}} = \varepsilon_s^{0.25} \cdot T_{\text{air}}$ $\varepsilon_s = 0.787 + 0.764 \cdot \ln(T_{\text{dp}}/273) \cdot F_{\text{cloud}}$ $F_{\text{cloud}} = 1 + 0.024 \cdot N - 0.0035 \cdot N^2 + 0.00028 \cdot N^3$ $N = 0$ for clear sky and $N = 1$ for sky completely obscured by cloud | CONCTEMP | Minhoto et al. 2006 |

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528 Table 5 - Models used to calculate convective heat flux at pavement surface

| Equations | Model | References |
|--|------------|---|
| $h_c = 698.24 \left(0.00144 \cdot T_{avg}^{0.3} \cdot v_w^{0.7} + 0.00097 (T_0 - T_{air})^{0.3} \right)$ $T_{avg} = (T_0 + T_{air})/2$ <p>v_w is between 0.8 to 8.5 m/s, and the surface temperature is between 6.7°C and 27°C</p> | Vehrencamp | Vehrencamp 1953; Dempsey & Thompson 1970; Solaimanian & Kennedy 1993; Hermansson 2004; Mrawira & Luca 2002 |
| $7.55 + 4.35 \cdot v_w$ | Nicol | Palyvos 2007 |
| $1.824 + 6.22 \cdot v_w$ | Kimura | |
| $18.6 \cdot v_w^{0.605}$ | ASHRAE | |
| $5.7 + 6.0 \cdot v_w$ | Sturrock | |
| $16.15 \cdot v_w^{0.4}$ | Loveday | |

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532 Table 6 – Mean climatic variables for the simulated test conditions in each of the five locations, data
 533 sourced from LTPP SMP (US Department of Transportation – Federal Transport Administration,
 534 2009)

| Location | Zone* | Climate type | | $T_{a, dry}$ (°C) | RH (%) | q_{solar} (W/m ²) | v_w (m/s) |
|----------|-------|-------------------|------|----------------------|-------------|------------------------------------|----------------|
| | | | Mean | 27.6 | 25.6 | 296 | 2.3 |
| Arizona | 3B | warm/hot - dry | Max | 35.3 | 64.1 | 957 | 4.6 |
| | | | Min | 17.2 | 5.7 | 0 | 0.6 |
| | | | Mean | 25.8 | 79.8 | 265 | 1.7 |
| Alabama | 3A | warm - humid | Max | 33.5 | 99.7 | 954 | 4.2 |
| | | | Min | 21.0 | 42.1 | 0 | 0.2 |
| | | | Mean | 27.9 | 22 | 306 | 2.8 |
| Nevada | 5B | cool - dry | Max | 37.9 | 56.1 | 967 | 7.7 |
| | | | Min | 13.5 | 9.0 | 0 | 0.5 |
| | | | Mean | -13.5 | 62.5 | 77.4 | 0.7 |
| Montana | 6B | cold - dry | Max | -7.7 | 78.0 | 410 | 2.0 |
| | | | Min | -20.0 | 34.7 | 0 | 0.1 |
| | | | Mean | 7.8 | 63.4 | 215.1 | 1.5 |
| Delaware | 4A | mixed - dry | Max | 17.9 | 92.2 | 863 | 4.9 |
| | | | Min | -1.6 | 30.2 | 0 | 0 |

* International climate zone definitions (ASHRAE, 2007)

538 **Figure captions**

- 1
2 539 Figure 1 – heat transport mechanisms between binder-coated aggregate particles, adapted from (Yun
3
4 540 & Santamarina 2008)
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6 541 Figure 2 – cross-sectional illustration of ground heat fluxes and surface energy balance, adapted from
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8 542 (Banks 2008)
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10 543 Figure 3 – sensitivity comparison for long-wave radiation heat flux empirical formulae
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12 544 Figure 4 – sensitivity comparison for near-surface temperature approximations due to convective heat
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14 545 transport
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16 546 Figure 5 – a schematic diagram to identify the locations of mth node, m+1th node etc used in the finite
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18 547 difference model
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20 548 Figure 6 – sensitivity comparison for near-surface temperature approximations under the range of
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22 549 pavement material absorptivity values
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24 550 Figure 7 – regional climatic map of the USA showing the selected LTPP test site locations, adapted
25
26 551 from (ASHRAE 2007). The two numbers given for each label are the latitude and longitude,
27
28 552 respectively.
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30 553 Figure 8 – cross-sectional designs of the five selected LTPP test pavement structures
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32 554 Figure 9 – Three-day model validation for near-surface, sub-surface and mid-depth temperature profile
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34 555 evolution against LTPP experimental data for the Arizona test site
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36 556 Figure 10 – Three-day model validation for near-surface, sub-surface and mid-depth temperature
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38 557 profile evolution against LTPP experimental data for the Alabama test site
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40 558 Figure 11 – Three-day model validation for near-surface, sub-surface and mid-depth temperature
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42 559 profile evolution against LTPP experimental data for the Montana test site
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44 560 Figure 12 – Three-day model validation for near-surface, sub-surface and mid-depth temperature
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46 561 profile evolution against LTPP experimental data for the Nevada test site
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48 562 Figure 13 – Three-day model validation for near-surface, sub-surface and mid-depth temperature
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50 563 profile evolution against LTPP experimental data for the Delaware test site
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52 564 Figure 14 – Comparison between simulated LTPP data (Montana) using our model and the ME-PDG
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54 565 EICM
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566 Figure 15 – Comparison between simulated LTPP data (Alabama) using our model and the ME-PDG
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2 567 EICM
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4 568 Figure 16 – The influence of high and low thermal conductivity pavement surface layers on
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6 569 temperature as a function of depth in each of the five test locations
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8 570 Figure 17 – The influence of high and low volumetric heat capacity pavement surface layers on
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10 571 temperature as a function of time in each of the five test locations
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12 572 Figure 18 – The influence of high and low thermal diffusivity pavement surface layers on temperature
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14 573 depth profile and critical depth in each of the five test locations
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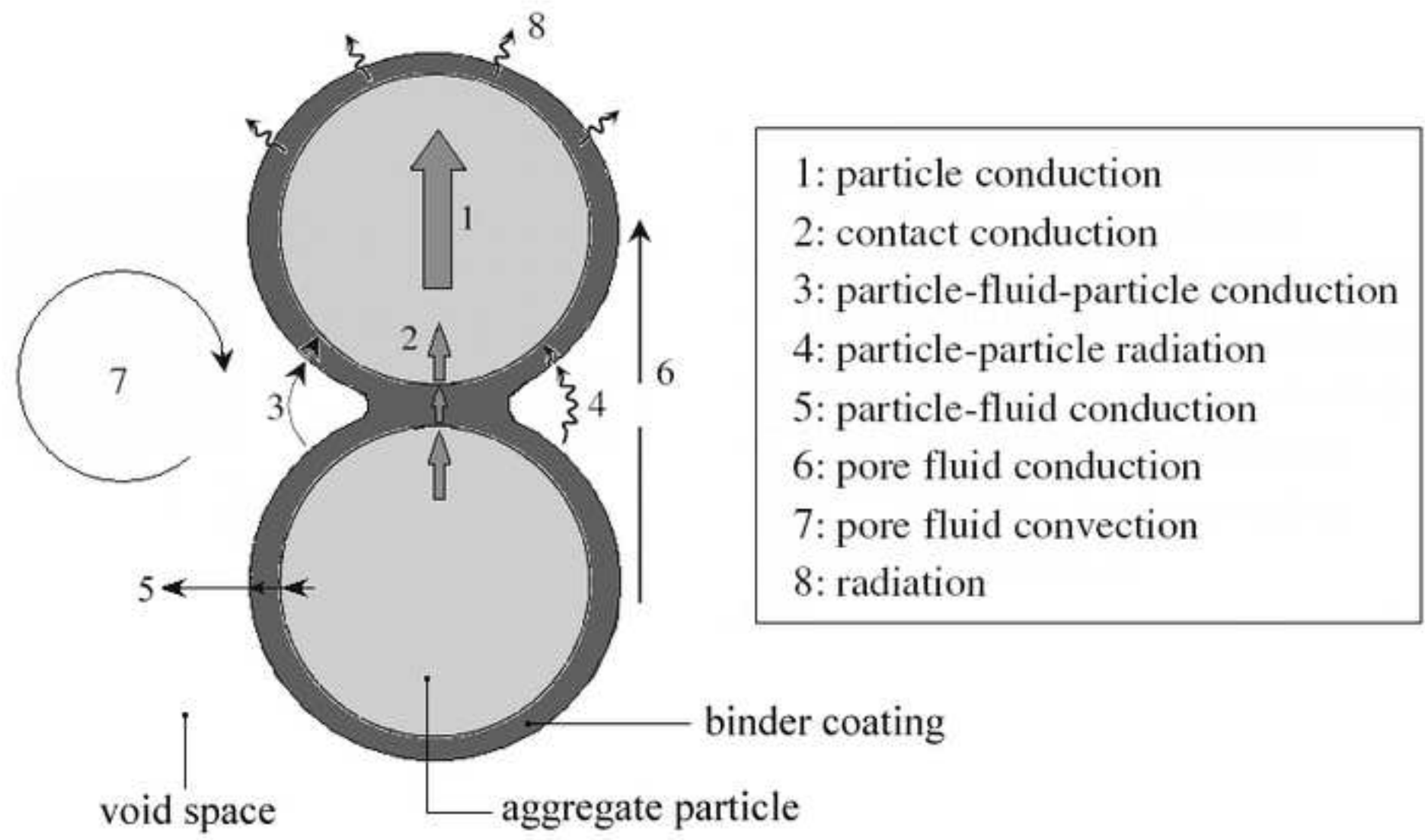
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- 1: particle conduction
- 2: contact conduction
- 3: particle-fluid-particle conduction
- 4: particle-particle radiation
- 5: particle-fluid conduction
- 6: pore fluid conduction
- 7: pore fluid convection
- 8: radiation

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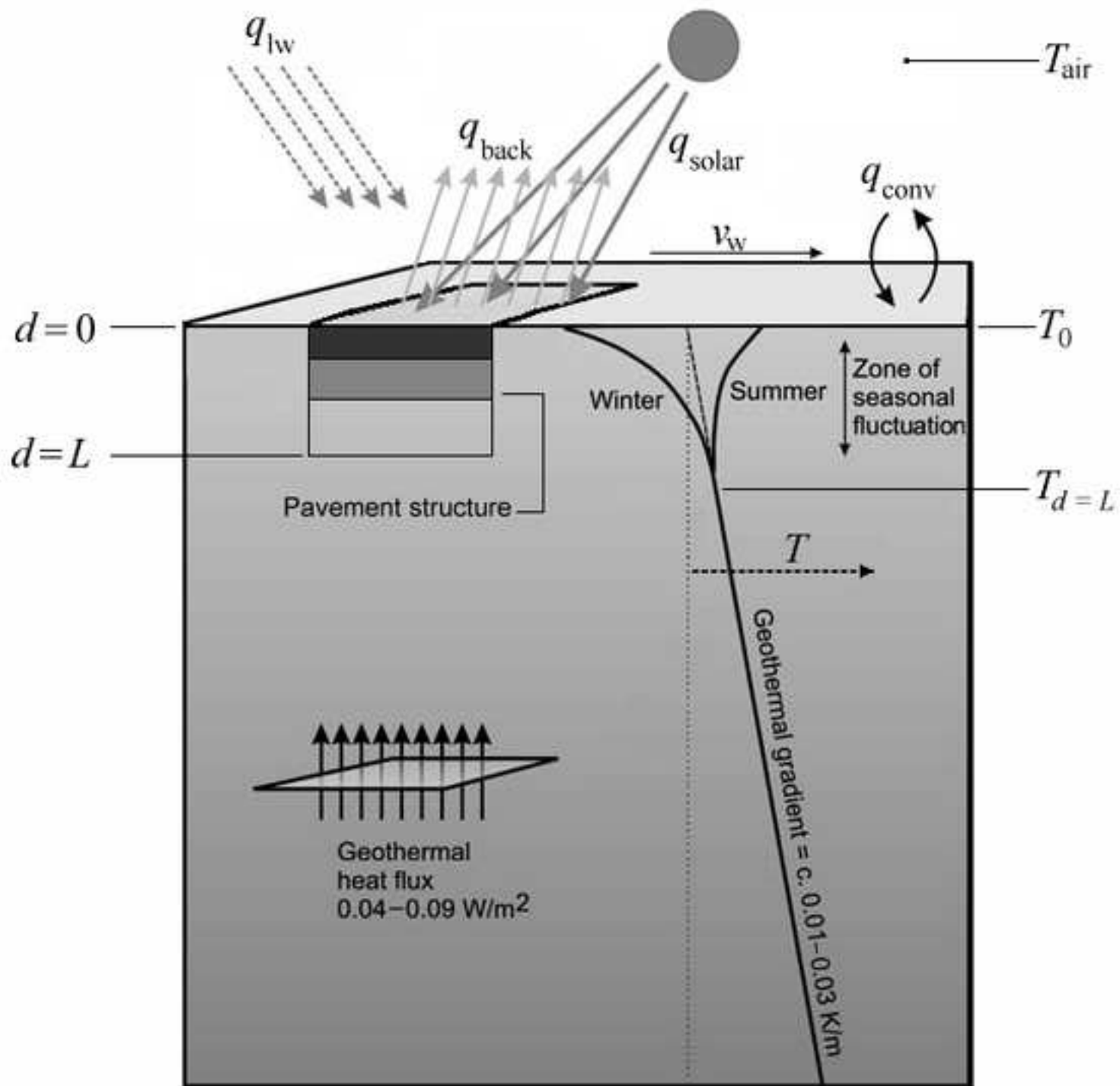


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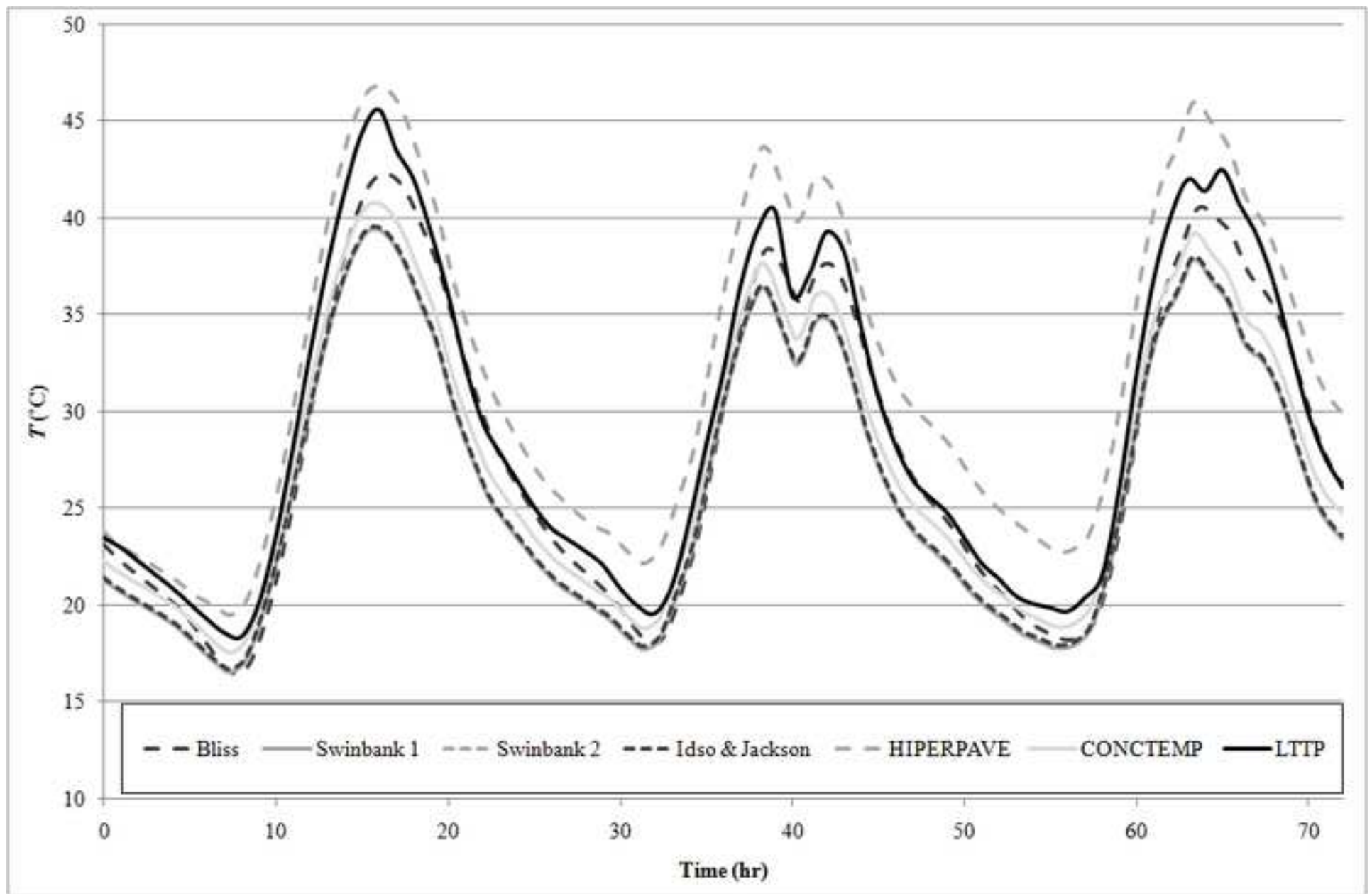


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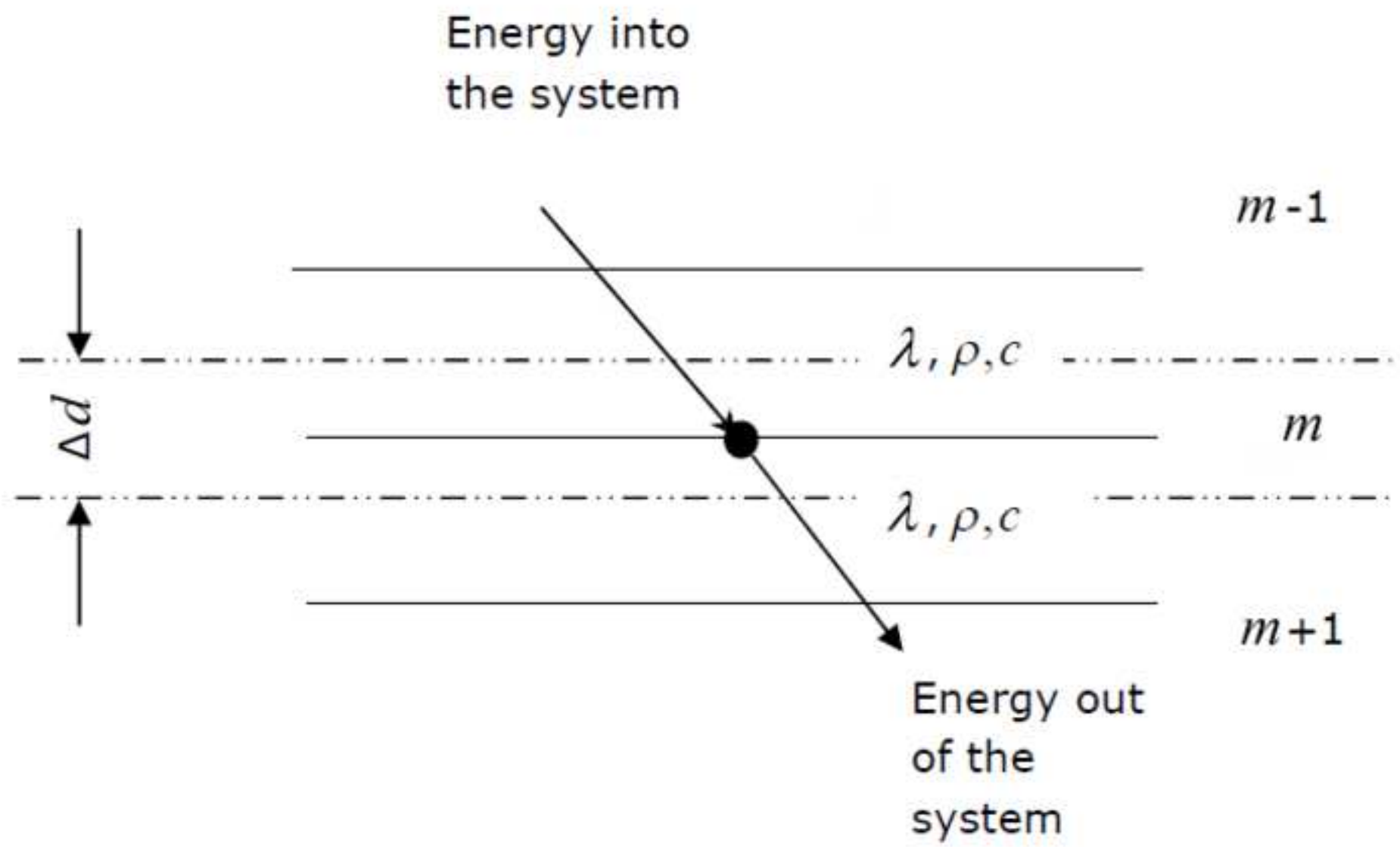


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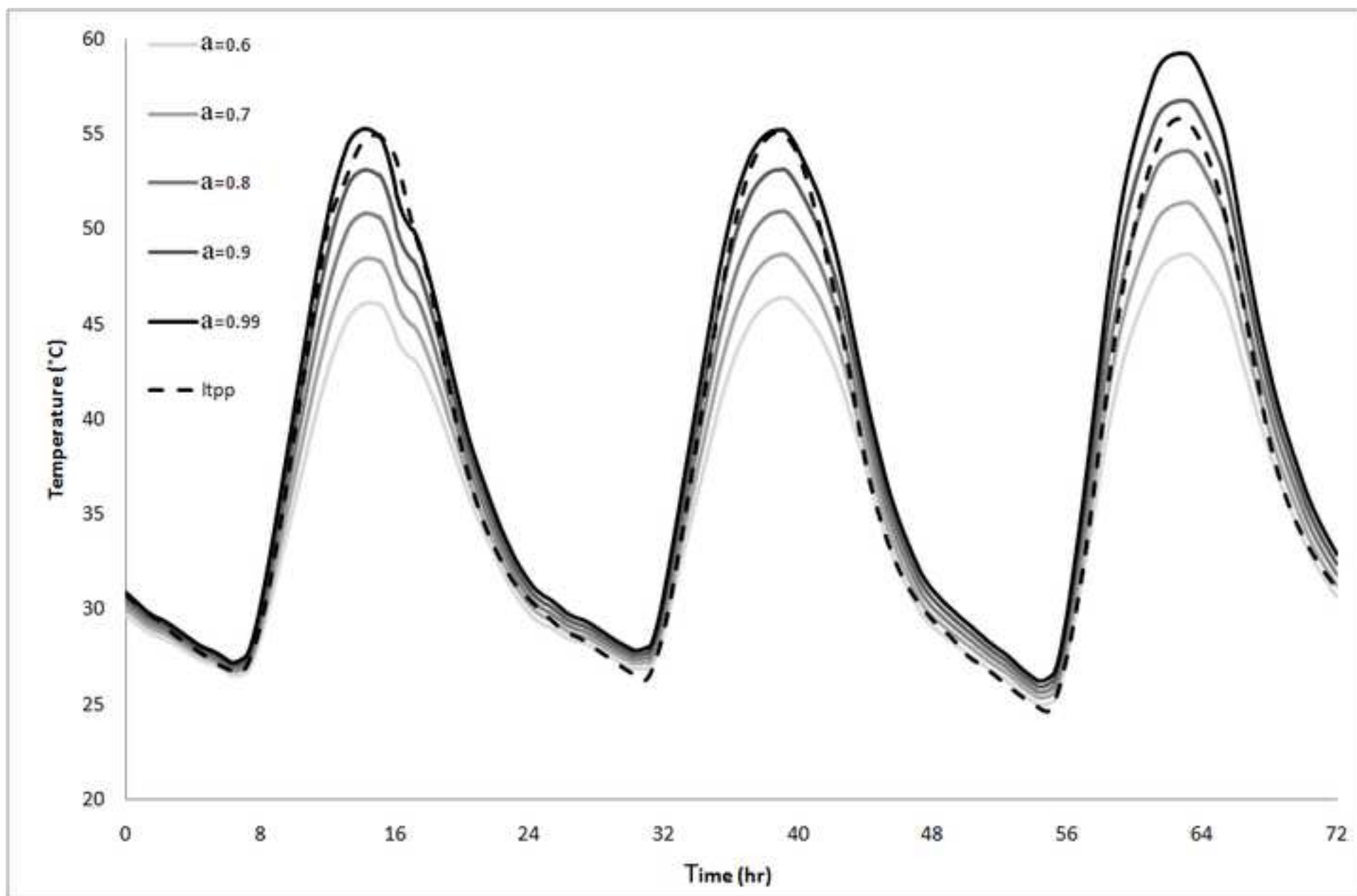


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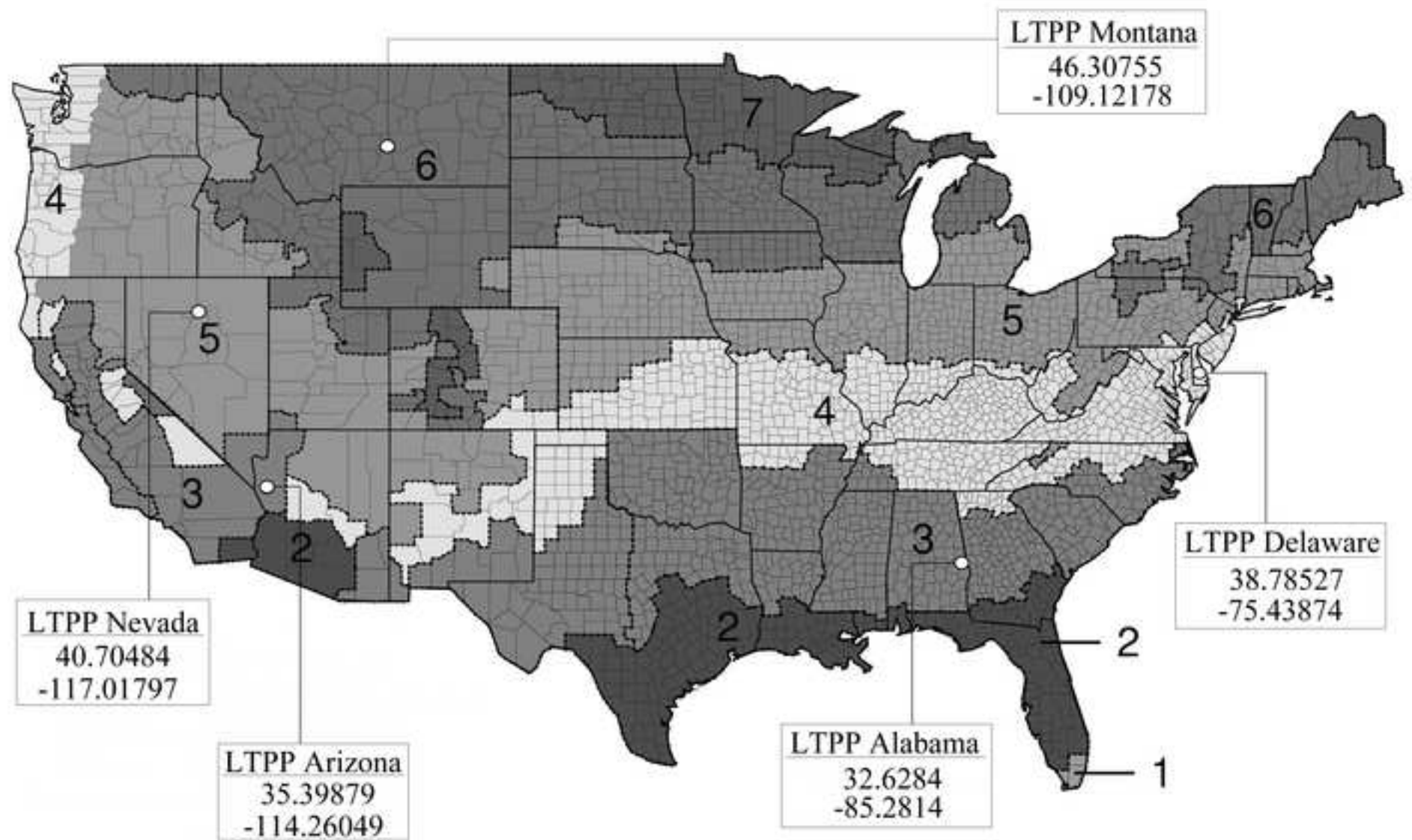


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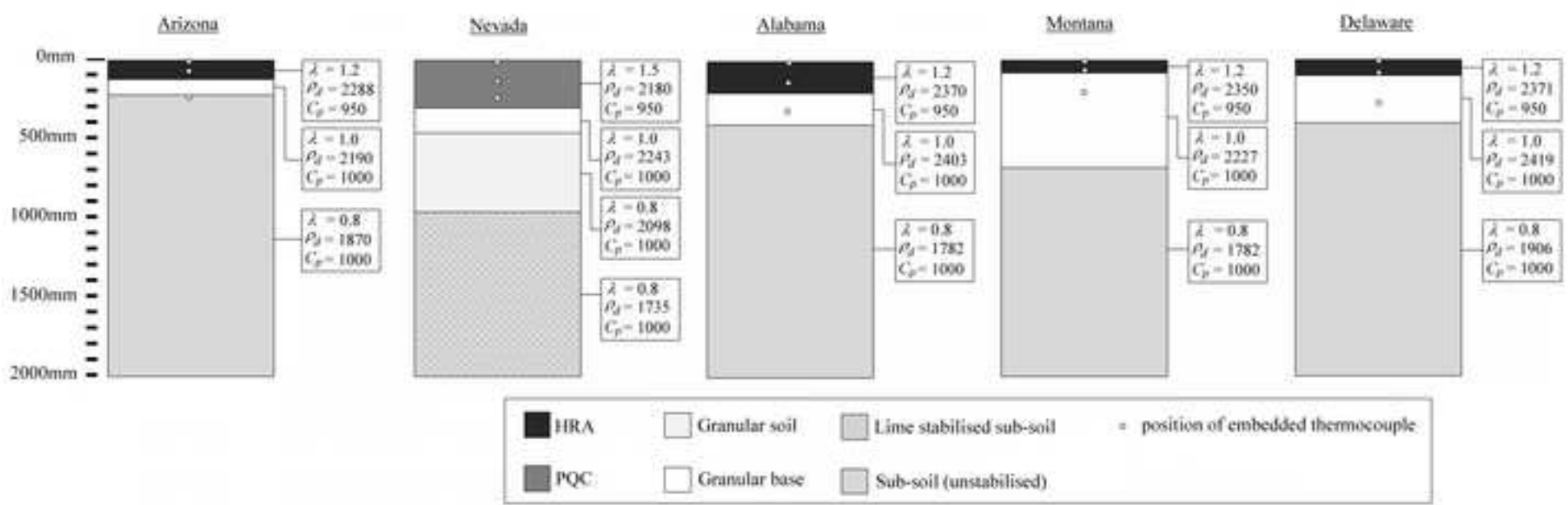


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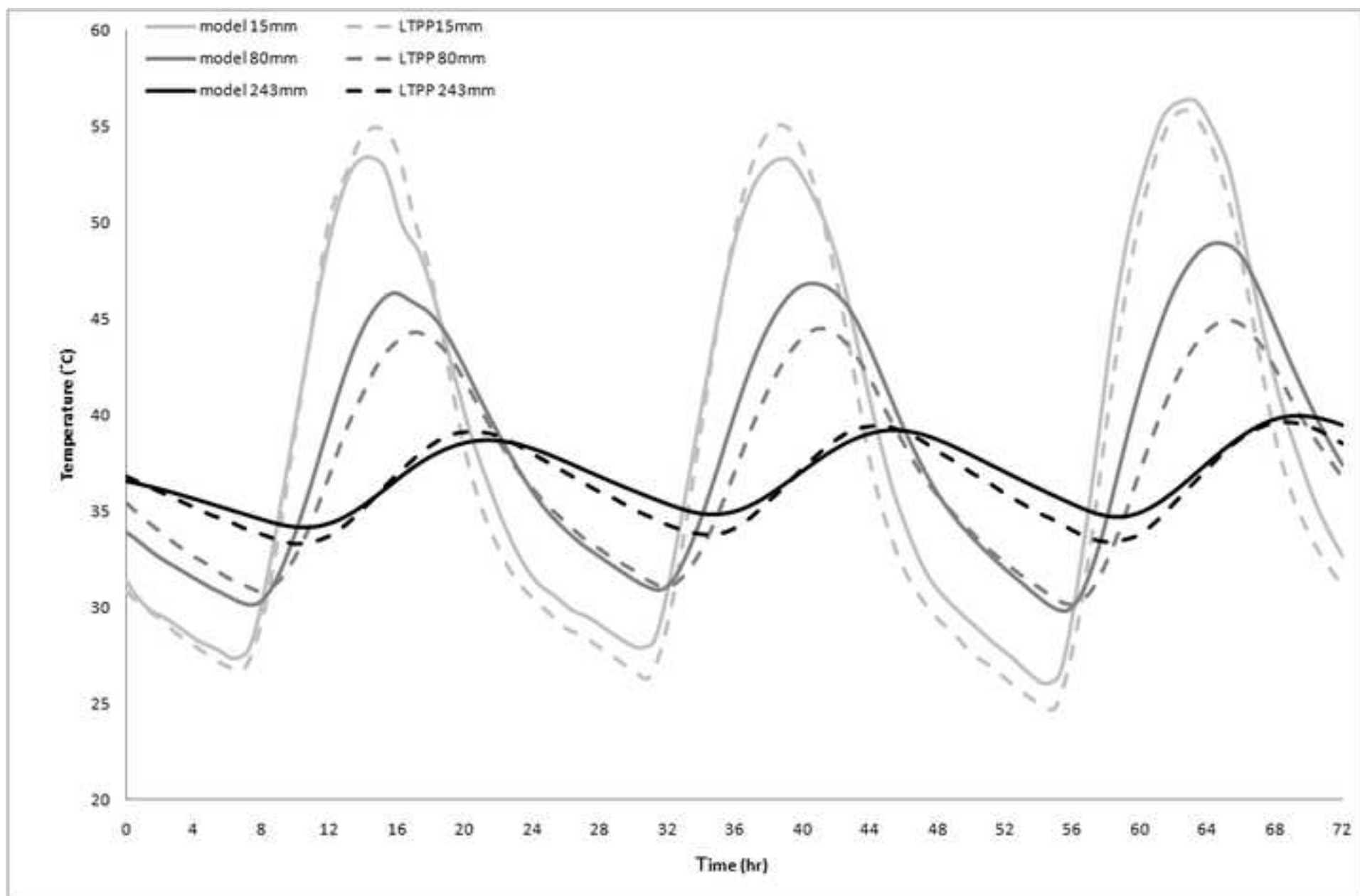


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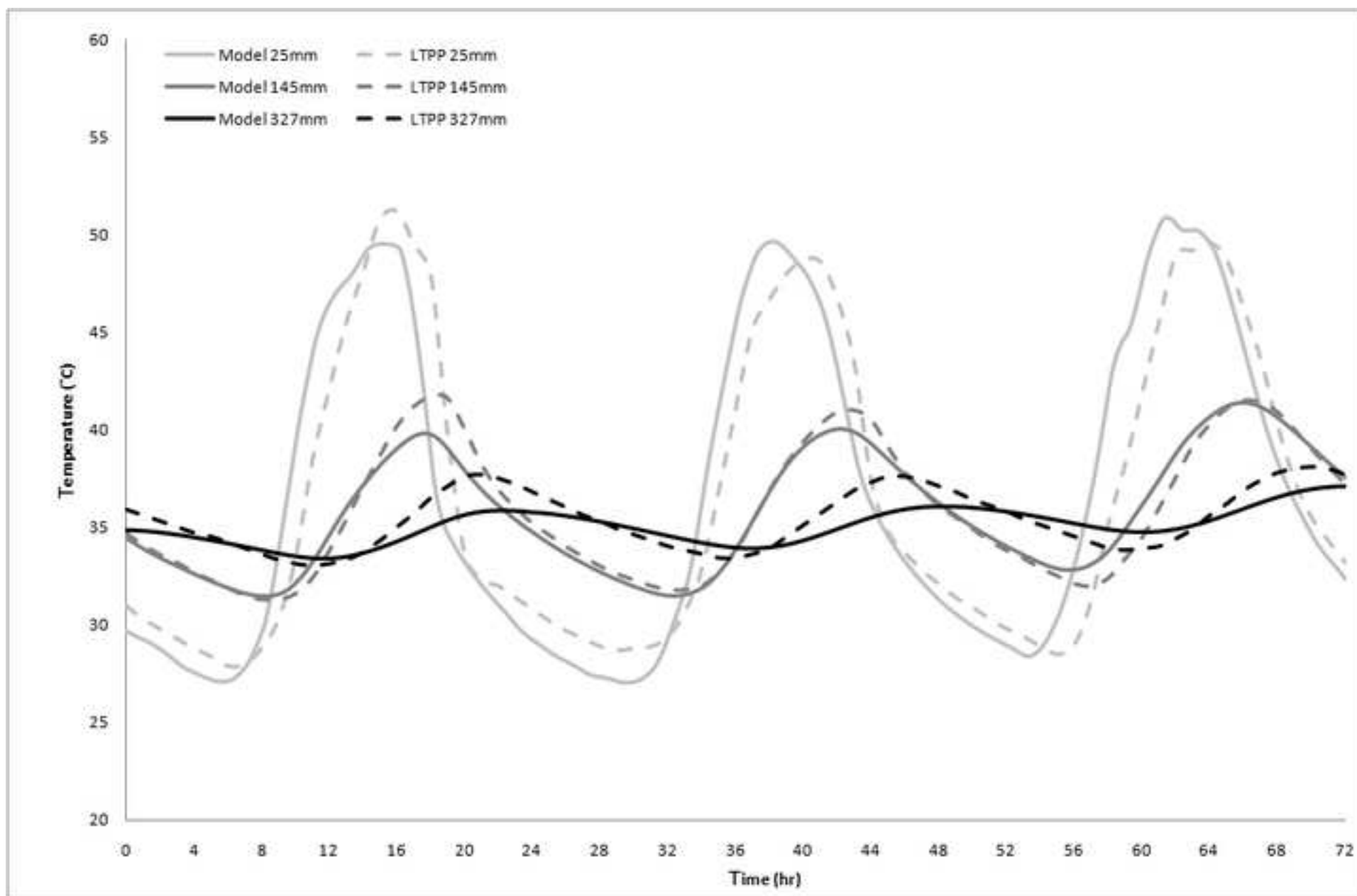


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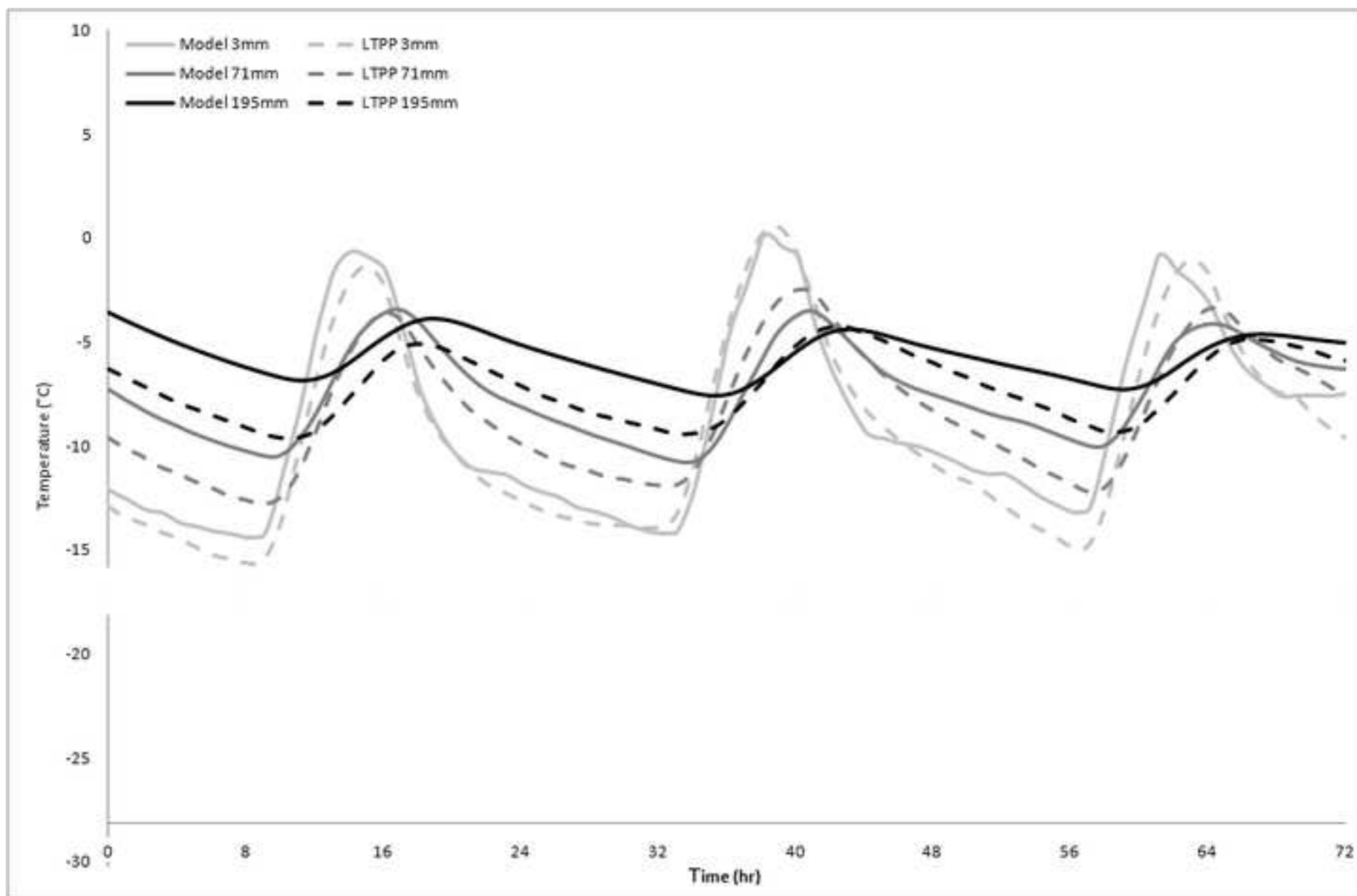


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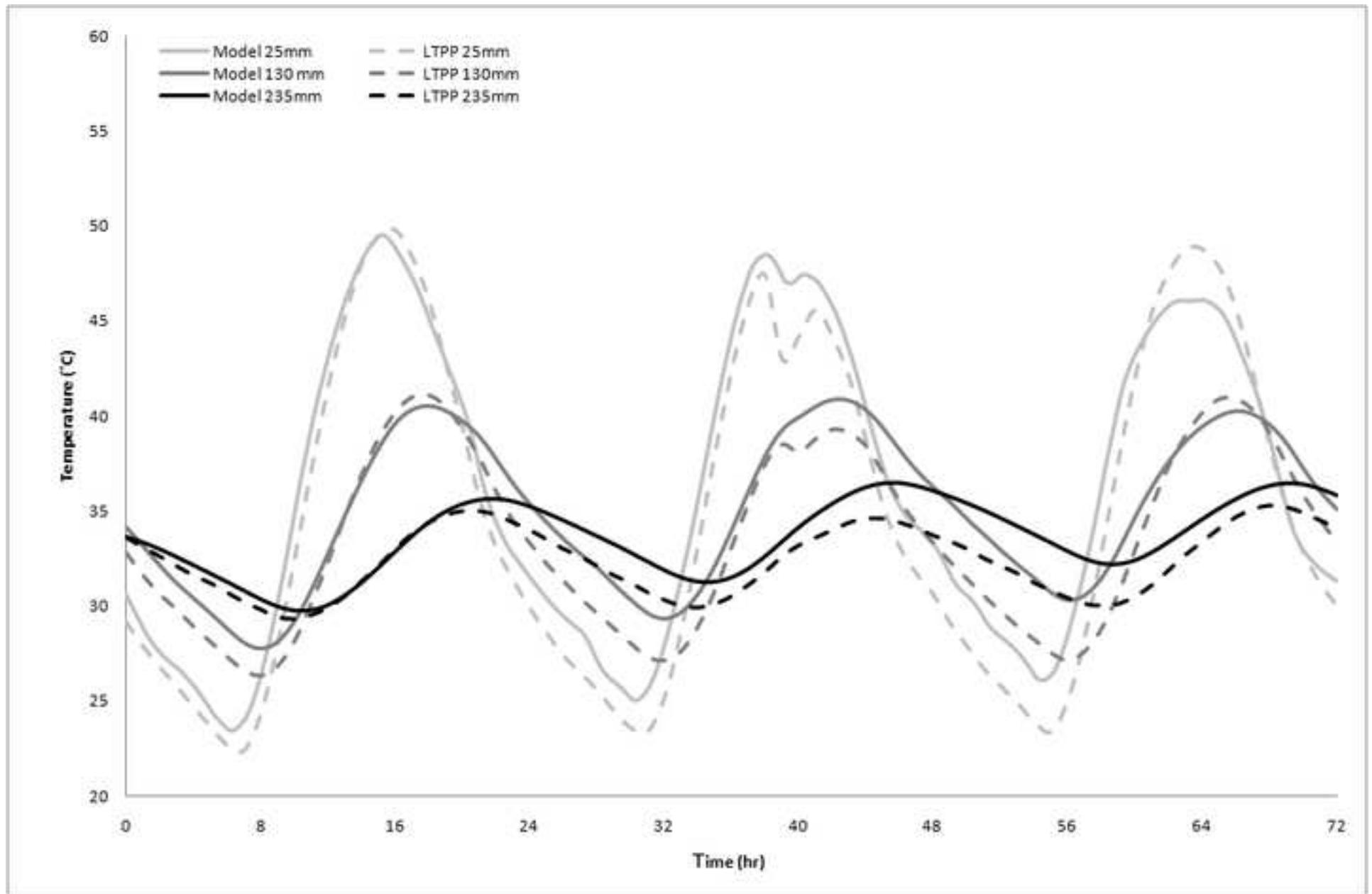


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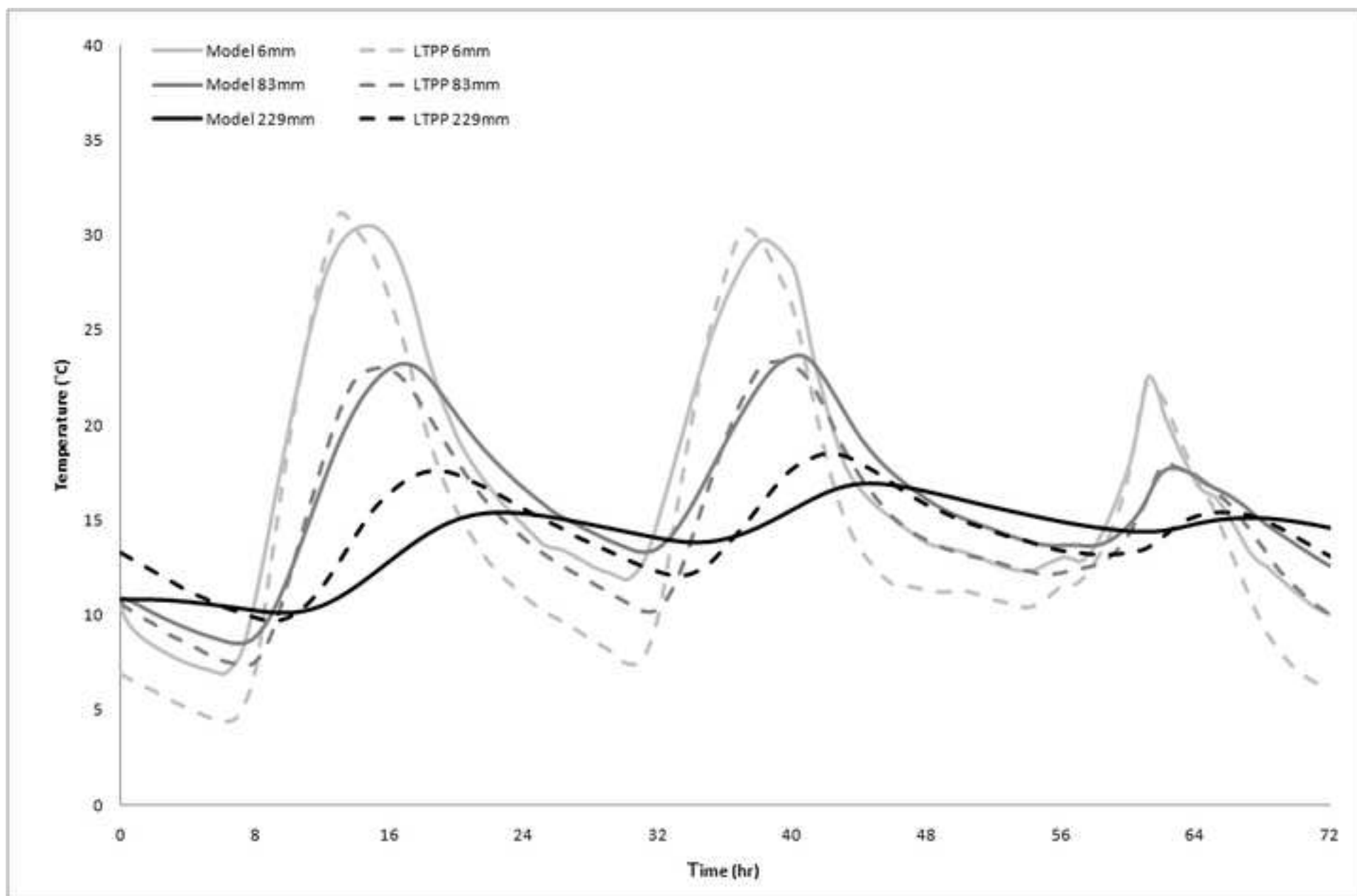


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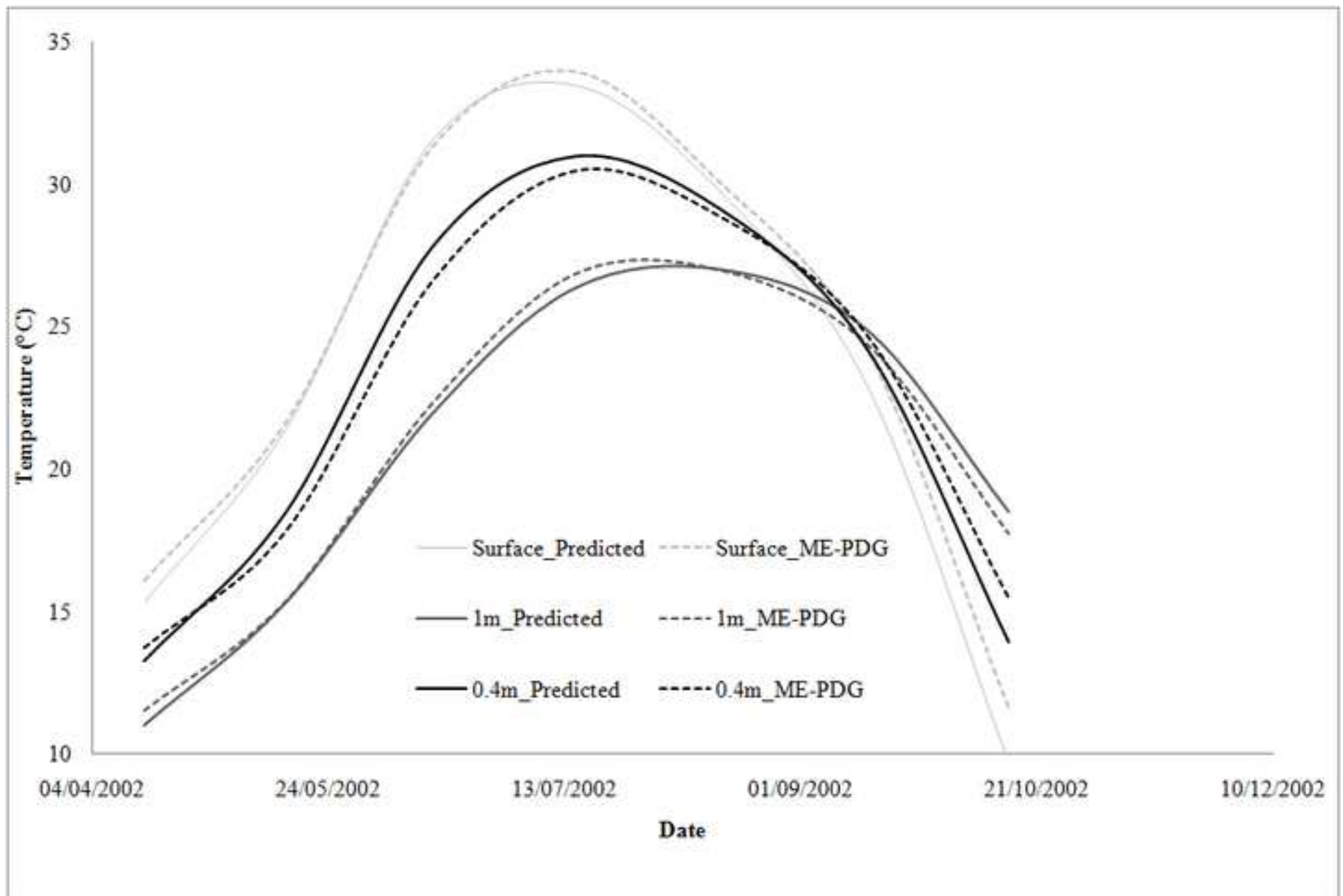


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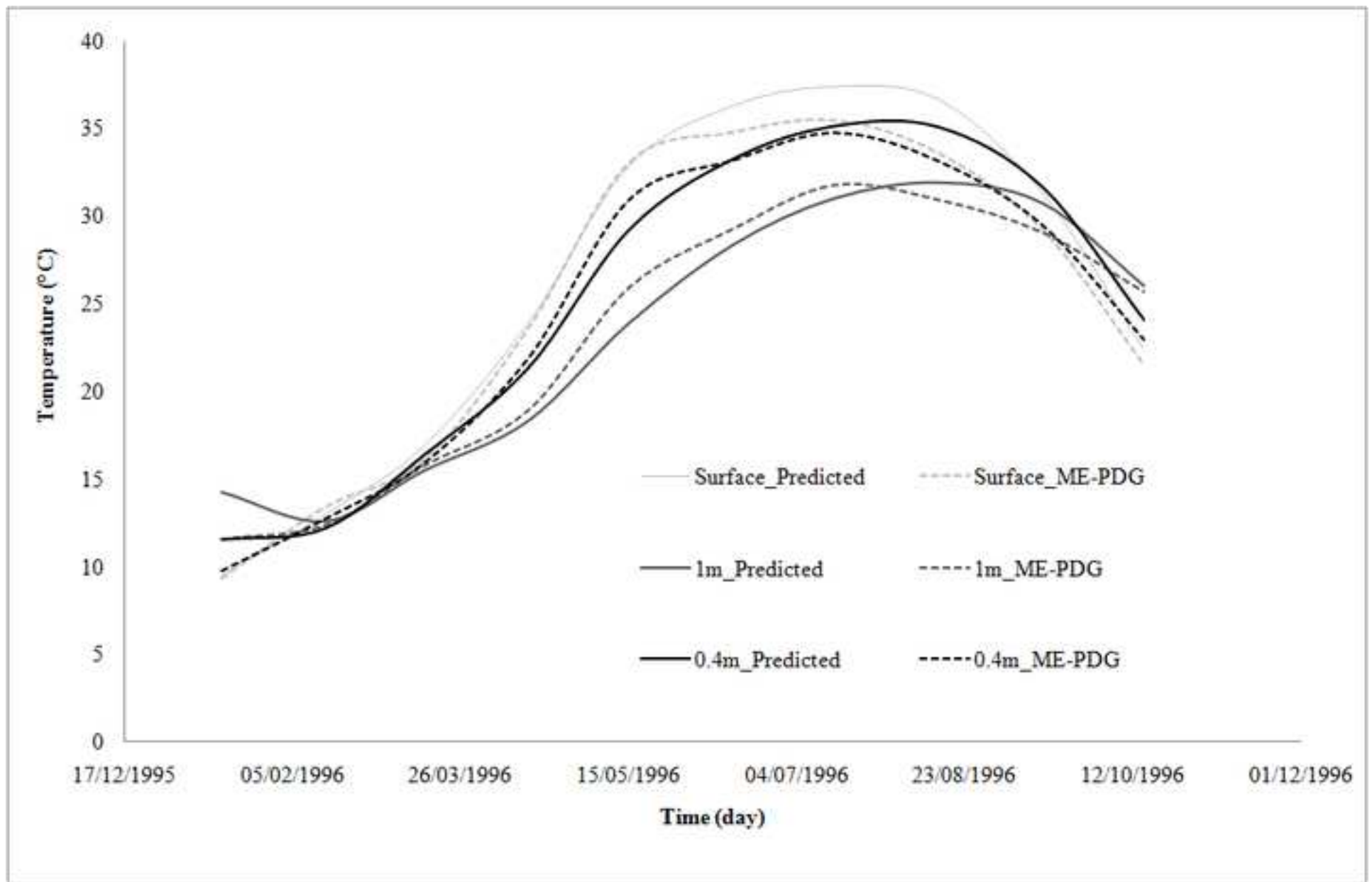


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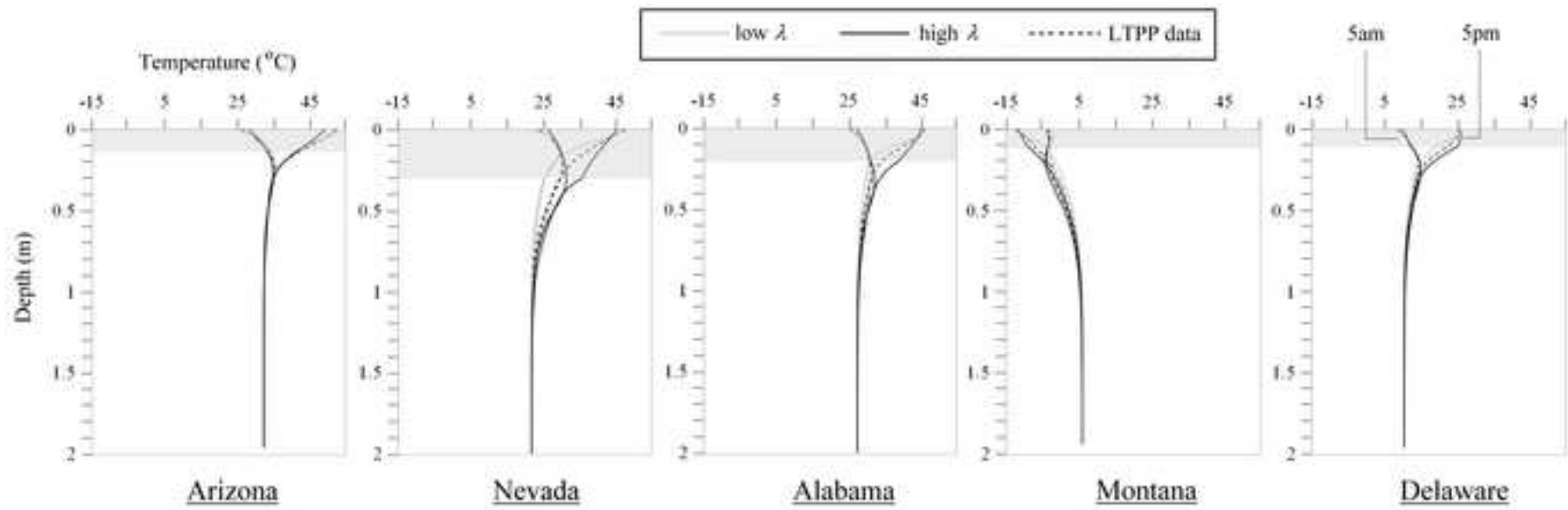


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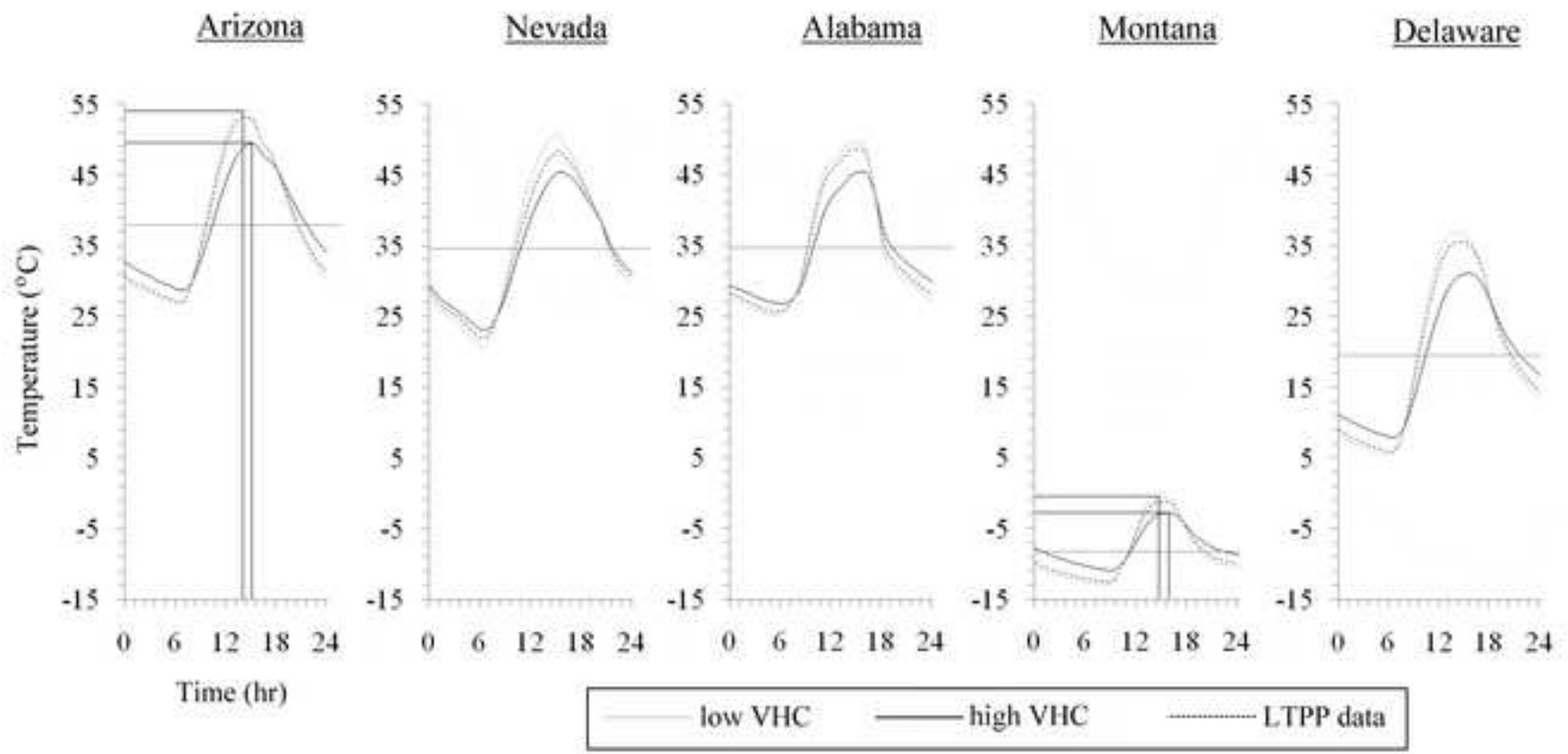


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