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Authors: M. W. Khan, A. R. Dawson, A. M. Marshall

Email addresses: khan.mw@outlook.com, andrewdawson@gmx.com,

alec.marshall@nottingham.ac.uk

Corresponding author: M. W. Khan; Email: khan.mw@outlook.com

Filtration Performance of Non- Woven Geotextiles with Internally-stable and -unstable Soils under Dynamic Loading

M. W. Khan¹, A. R. Dawson², A. M. Marshall³

¹ PhD, Department of Civil Engineering, Faculty of Engineering, University of Nottingham, University Park, Nottingham, United Kingdom, Email: khan.mw@outlook.com

² Associate, Department of Civil Engineering, Faculty of Engineering, University of Nottingham, University Park, Nottingham, United Kingdom, Email: andrewdawson@gmx.com

³ Associate Professor, Department of Civil Engineering, Faculty of Engineering, University of Nottingham, University Park, Nottingham, United Kingdom, Email: alec.marshall@nottingham.ac.uk

Abstract:

In many applications, geotextiles are subjected to dynamic loading conditions, for example, below roads and railways, for which a Gradient Ratio (GR) test is often used to assess filtration compatibility of soil-geotextile systems. This paper presents results from GR filtration tests with internally-stable and -unstable soils under dynamic loading conditions. In the tests, four non-woven geotextiles were used with varying types of soils under a hydraulic gradient of 5. Test results were interpreted in terms of GR values, permeability values, and mass and gradation characteristics of the soil before/after testing as well as the particles passing through the geotextiles. The test results show that the dynamic loading resulted in an increase of soil migration within the soil as well as an increase in the quantity of soil passing through the geotextiles. The available criteria for evaluating the internal stability of soils are evaluated based on the experimental data. Based on the test results, improvements to filter retention design criteria are suggested which take into account the internal stability of soils under dynamic loading.

Keywords

Geosynthetics, soil/geotextile system, gradient ratio test, filtration, dynamic conditions, soil retention, blinding, clogging

28 **1. Introduction**

29 **1.1 Background**

30 Geotextiles are widely used as filters in many civil engineering applications, for example, for
31 erosion control around trench-, pavement edge-, interceptor- and structure drains, and beneath
32 permeable base courses (Holtz et al., 2008). A geotextile filter should satisfy the twin criteria of
33 retention and permeability. The retention criterion ensures that the filter openings are small
34 enough to stop the excessive erosion of soil particles, while the permeability criterion ensures
35 that filter openings are large enough to allow free drainage of water. Carroll (1983) showed that
36 a complete filter design criteria is not possible based on considering just retention and
37 permeability criteria as the filtration system could still fail by clogging of fine particles inside
38 geotextile pores (thereby reducing its permeability).

39 The geotextile filter criterion is usually expressed in terms of an O_f/d_i ratio, where O_f is the
40 opening size of the f^{th} percentile geotextile pore (where f is large) and d_i is the indicative grain
41 size (where i indicates the cumulative percentage quantities finer than this size). Often different
42 values of the ratio and/or of f and i are defined for different soil types so that the varying abilities
43 of soil types to establish a self-filtering structure are appropriately recognised. The capitalization,
44 O , indicates the protecting material whilst the lower case, d , indicates the protected material.
45 Later, the paper will use the term d to indicate the diameter of a protecting soil's grains.

46 A soil-geotextile interface may undergo uni-directional, multi-directional, steady, or dynamic
47 flow conditions. Under steady-state unidirectional conditions, the soil on the geotextile upstream
48 may form a self-filtration layer, also known as a bridging network, at the geotextile interface
49 (Rollin and Lombard, 1988). The bridging network formation occurs when the fine particles near

50 the filter interface pass through the filter and the coarse particles are retained at the filter
51 interface. In turn, the coarse particles stop the fine particles and this process continues until the
52 soil filtration zone (bridging network) stabilizes, leading to the hydraulic equilibrium of the soil–
53 geotextile system (Bhatia and Huang, 1995; Giroud, 2010; Moraci, 2010; Stoltz et al., 2019; Wu
54 et al., 2021).

55 Dynamic flow conditions may be unidirectional (e.g. below roads and railways) or cyclic (e.g.
56 wave loading against bank revetments, seawalls). The change of flow direction (whether large
57 scale and uniform, e.g. due to tidal ebb and flow, or only local at pore scale due to mechanically
58 induced water pressure pulses) tends to dislodge particles at the upstream geotextile surface so
59 that a stable bridging network never forms (Giroud, 1982; Cazzuffi et al., 1999; Fauré et al.,
60 2010).

61 Fauré et al. (2010) concluded that thick geotextiles which have larger numbers of constrictions
62 (passages between fibers) are suitable for bank protection under cyclic flow conditions because
63 soils adjacent to such geotextiles are less likely to be affected by the up and down drag forces of
64 the flow. However, the probability of there being small constrictions within the geotextile
65 increases when increasing its thickness and this increases the potential for clogging (Bell and
66 Hicks, 1980, Mannsbart and Christopher, 1997).

67 The filter design retention criteria are well established for steady-state flow conditions. However,
68 the filter requirements for dynamic flow conditions become conservative due to destabilization
69 of the bridging network. Kenney and Lau (1985) indicated that the mechanical disturbance had a
70 significant effect on the filtration behaviour of their tested materials. Internally-stable soils which
71 are assessed on the basis of grain size distribution may experience washing out of fines similar to
72 internally-unstable soils (i.e. some grains can move through the soil voids under the action of

73 water flow) under dynamic conditions (Trani and Indraratna, 2010). The coarse particles of a soil
74 interlock with each other and provide a primary load-carrying structure. The fine fraction fills the
75 gaps between the coarse fraction, forming the soil's secondary structure which may provide
76 stability to the coarse fraction, depending on its proportion. If fine particles are lost, this may
77 result in the primary structure becoming unstable, depending on the portion of fines lost (Yideti
78 et al., 2013; Kenney and Lau, 1985). Kenney and Lau (1985) found that the amount of critical
79 fine content (i.e. to completely fill the voids between coarse particles) is, at most, 20% for
80 broadly graded soil (coefficient of uniformity $C_u = d_{60}/d_{10} > 3$, where d_{60} and d_{10} represent the
81 particle size for which 60% and 10% of particles have a smaller size, respectively) and 30% for
82 uniformly graded soil. Internally-unstable soils are usually broadly-graded soils which have
83 potential for erosion of finer particles, leaving the coarser fraction less effective in protecting
84 adjacent materials from erosion (Wan and Fell, 2008).

85 The internal stability of soil from a particle packing point of view has been studied by Istomina
86 (1957); Kenney and Lau (1985,1986); Kézdi (1979); Burenkova (1993); Wan and Fell (2008).
87 Lafleur et al. (1989) set the undesirable piping limit through geotextiles at 2500 g/m². The Kézdi
88 (1979), Kenney and Lau (1985, 1986) and Burenkova (1993) are the most widely used criteria
89 for evaluating the internal stability of soils in engineering practice (Li, 2008; Elandaloussi,
90 2014). The Kézdi (1979) criterion divides a soil into a coarser and finer component at an
91 arbitrary grain diameter d_0 (see Fig. 1(a)). According to the Kézdi (1979) criterion, a soil is
92 termed as internally-unstable when $(D_{15c}/d_{85f})_{\max} > 4$, where D_{15c} corresponds to the diameter for
93 which 15% of the grains by weight of the coarse fraction is smaller, while d_{85f} corresponds to the
94 diameter for which 85% grains by weight of the fine fraction is smaller. Kézdi's criterion
95 suggests that a geosynthetic with a constriction size no smaller than $D_{15}/4$ should retain the d_{85f}

96 fraction which will result in the self-filtration of the soil. The popular Kenney and Lau (1985,
97 1986) method is based on shape analysis of the grain-size distribution (see Fig. 1(b)). A $F-H$
98 curve is plotted to evaluate the possibility of the soil being internally-unstable, where F is the
99 percent passing of particles at a given diameter d and H is the percent passing of particles
100 between d and $4d$. Internal instability is to be expected if the minimum value of H/F , $(H/F)_{\min}$, is
101 less than 1.3 for any value of F that is $\leq 20\%$ for broadly-graded soils (or $\leq 30\%$ for uniformly-
102 graded soils). The boundary was amended to $H/F = 1$ (Kenney and Lau, 1986) upon discussion
103 of the data by Milligan (1986). Burenkova (1993) proposed a criterion for measuring the internal
104 stability of cohesionless soils, using two conditional factors of uniformity to describe the
105 heterogeneity of soils: $h_1 = d_{90}/d_{60}$ and $h_2 = d_{90}/d_{15}$. The d_{90}/d_{60} ratio represents the slope of the
106 coarse part of the particle size distribution plot while d_{90}/d_{15} measures the gradation width.
107 According to the criterion, a soil is considered non-suffusive (internally stable) if it satisfies
108 Eq.1:

$$109 \quad 0.76 \cdot \log(h_2) + 1 \leq h_1 \leq 1.86 \cdot \log(h_2) + 1 \quad (1)$$

110 An important role of a geotextile filter is to hold back erodible fine particles. Thus, this role is
111 more critical, and more demanding, when the soil next to it is internally unstable – having a
112 major influence on the selection of an appropriate geotextile and on the long-term performance
113 of the soil/geotextile system. Yet, in the case of dynamic loading, the current design criteria
114 (Heerten, 1982; Holtz et al., 1997; Narejo, 2003) do not account for the internal stability of soils.
115 For this reason, this paper addresses this topic, hoping to provide a solution.

116 The design criteria which are applicable to static and dynamic conditions are given in Table 1.
117 Lafleur (1999) criterion suggests that, for internally stable soils, O_{95}/d_i ratio should be less than 1
118 to avoid piping, where d_i is the diameter of eroded particle which depends on the soil gradation.

119 However, for internally unstable soils, the retention criterion must be relaxed and O_{95} must be
120 compromised between d_{30} and $5*d_{30}$. Luettich et al. (1992) criterion suggests that a soil will be
121 susceptible to piping if it shows an O_{95}/d_{50} ratio greater than 1 . This criterion is specified for
122 non-plastic soils and is applicable to filters under conditions of severe wave attack (Koerner,
123 2012). Luettich et al. (1992) recommended to perform filtration tests to evaluate the clogging
124 potential of geotextile filter with a given soil. The criterion suggested by Holtz et al. (2008) is
125 slightly stringent which recommends that O_{95}/d_{85} ratio should be less than 0.5 to avoid piping
126 under dynamic and cyclic flow conditions. Lafleur (1999) and Hameiri (2000) suggested that
127 O_{95}/d_{30} ratio should be greater than 1 to avoid blinding/ clogging of geotextile filters with
128 internally unstable soils.

129 A common application of geotextiles as filters is around pavement edge drains and trench drains,
130 where unstable soils may exist (Holtz et al., 2008) in dynamically loaded environments. Very
131 little research has been done to assess the filtration performance of geotextiles under highways
132 and railways (Bell et al., 1982; Hoare, 1982; Alobaidi and Hoare, 1996, Fatahi et al., 2011,
133 Kermani et al., 2018). Apparatus used to test filters have lacked control over stresses as well as
134 precision of measurements (Khan et al., 2018). In addition, the internal stability of soils has not
135 been taken into account.

136 A gradient ratio (GR) test is often used for evaluating the clogging potential of soil-geotextile
137 systems (ASTM D5101). In the GR test, a rigid wall permeameter accommodates a cylindrical
138 sample of 100 mm length and diameter placed on a geotextile (Fig. 2). Manometers are installed
139 at various positions down the wall of the permeameter to measure the head loss in the soil and at
140 the soil-geotextile interface, so that these may be compared under different hydraulic gradients.
141 The GR, with reference to manometer port locations 3, 5 and 7 (see Fig. 2), can be defined as:

142
$$GR = \frac{(h_{57}/L_{sf})}{(h_{35}/L_s)} \quad (2)$$

143 where h_{57} and h_{35} are water head across the soil-geotextile interface (between Ports 5 and 7) and
144 within the soil (between Ports 3 and 5). The test procedure requires recording the data of water
145 heads and flow under each imposed hydraulic gradient.

146 Calhoun (1972) and Haliburton (1982) concluded that GR values can be used to evaluate the
147 filtration compatibility of soil/geotextile interfaces – i.e. no unacceptable piping of soil through
148 the geotextile, nor clogging of soil in the geotextile or near its surface. A value of $GR = 1$
149 suggests an ideal condition with a uniform head loss occurring through the soil sample and filter.
150 A decreasing GR (<1) indicates that soil particles are passing through the geotextile, allowing
151 piping, whereas an increasing GR (>1) is symptomatic of clogging in, or immediately adjacent to
152 the upstream face of the geotextile. For this reason, the U.S. Army Corps of Engineers (1977)
153 proposed that the GR of soil-geotextile composite systems should be less than 3 to avoid the
154 potential for catastrophic clogging.

155 **1.2 Aim**

156 This paper evaluates the filtration compatibility of geotextiles with internally-stable and -
157 unstable soils under realistic dynamic loading conditions typical of roadways. The internal
158 stability of the adopted soils is assessed using several existing methods from the literature
159 (Kezdi, 1979; Kenney and Lau, 1985, 1986; Burenkova, 1993). Experimental data are presented
160 and analysed from tests on different soil-filter combinations. Key outcomes from the tests are
161 presented and discussed.

162 **2. Test Materials**

163 **2.1. Geotextiles**

164 Filtration tests were performed on four non-woven polypropylene geotextile specimens of
165 varying fabrication (see Table 2). The geotextile specimens were selected due to their frequent
166 use as filter geosynthetics for applications such as pavement edge drains and under bank
167 revetments. The characteristic opening size O_{90} of geotextiles represents the near-largest
168 constriction size of pore channels, measured according to EN ISO 12956 (2019). Geotextile D
169 was mainly selected due to its comparatively high thickness compared to the other geotextile
170 specimens.

171 **2.2. Soils**

172 The type of soils used in the filtration test program are sand, pulverised fuel ash (PFA) and a
173 mixture of these soils in different proportions to make different gradations of test soils. The sand
174 can be classified as medium sand (MS) according to BSI (2015). PFA is a solid waste from the
175 combustion of coal with a high temperature (about 10,000°C) in coal-based power stations with
176 the majority of particles being smaller than 63µm. Fly ash is used in most subgrade applications
177 to provide a stable working platform for construction equipment (Ferguson, 1993; Nicholson and
178 Kashyap, 1993). Despite the increase in reuse of PFA in pavements, very limited information is
179 available about the filtration compatibility of geotextiles with PFA. Kutay and Aydilek (2003)
180 state that a geotextile interface with PFA material is more prone to clogging due to the excessive
181 amounts of fines in PFA. Therefore, PFA, alone or mixed with sand, should provide a
182 demanding test environment to investigate the clogging behaviour of geotextiles.

183 The particle size distributions of the adopted medium sand and PFA are shown in Fig. 3(a). The
184 gradation of the PFA blends well with that of the medium sand to make different gradations of
185 composite test soils (Fig. 3(b)).

186 A fine sand (FS in Fig.3(b)) was also used and was obtained from the medium sand by removing
187 coarse particles (0.25mm and above) and, therefore, has proportionately more fines (8%) passing
188 through the 63 μ m sieve compared to the medium sand (4%) (see Fig. 3(b)). Hydrometer sieve
189 analysis below 63 μ m was not carried out for the medium sand and fine sand given the low
190 proportion of particles of this size in these materials. The 70% MS/30% PFA was a gap-graded
191 soil, without particles sized between 0.09mm and 0.250mm. It has a gap location at 30% finer by
192 weight and a gap width ratio of 2.77 (gap width ratio is defined as the ratio of the largest to the
193 smallest size of the gap). Honjo et al. (1996) considered a gap width ratio of 4 as an upper limit
194 for gap-graded soil's internal stability (under mild vibration conditions), suggesting that soils
195 having a gap width ratio above 4 should be considered internally-unstable and below this limit as
196 internally-stable. Gap-graded soils are more likely to internally erode, which makes them
197 problematic when used in conjunction with filters (FEMA, 2011).

198 The characteristic particle sizes of soil samples are provided in Table 3. A soil with a coefficient
199 of uniformity, C_u , greater than 6 is generally termed broadly-graded (Lafleur, 1999). Table 3
200 shows that the medium sand, fine sand and 90% MS/10% PFA are narrowly-graded soils whilst
201 the remainder are broadly-graded, except for one gap-graded soil (70% MS/30% PFA).

202 **2.3. Internal Stability of Soils**

203 Fig. 1 and Table 4 summarize the results of evaluation of internal stability of test soils using
204 different criteria. Soil samples evaluated on the basis of Kezdi's method except the MS and FS

205 samples are internally-unstable where finer particles are susceptible to erode through the pore
206 size constrictions of coarser particles and are expected to accumulate in a layer adjacent to the
207 filter, blinding it (Rollin and Lombard, 1988).

208 The Stability analysis of soils by the Kenney and Lau method is given in Table 4. Fig.1(b) shows
209 that for the 85% MS/15% PFA sample, the $(H/F)_{\min}$ is less than 1 at $F=10\%$ so this soil is
210 classified as an internally-unstable soil, which will therefore be susceptible to finer particles
211 packing at the soil-geotextile interface, resulting in blinding of geotextiles (Lafleur, 1999).
212 Therefore, the filtration opening size of the geotextile must be large enough to permit the
213 washing of these particles upon flow of water.

214 Fig. 1(c) plots the soils previously listed in Table 3 against Burenkova's criterion. The Kezdi
215 (1979) and Kenney and Lau (1985,1986) criteria give the same stability classification for
216 broadly-graded soils (see Table 4).

217 **3. Specimen Preparation**

218 Test specimens were prepared in a dynamic GR apparatus (Khan et al. (2018); see Fig. 4) which
219 can accommodate samples 50mm in diameter and 100mm high. The dynamic GR apparatus
220 consists of:

- 221 • a modified triaxial cell to carry out filtration tests with a flexible membrane,
- 222 • two differential pressure transducers (DPTs) used in the triaxial cell; one to measure the
223 pressure difference across the soil-geotextile interface (h_{g-25}) and the other to measure the
224 pressure difference within the soil sample from 25mm to 75mm above the geotextile ($h_{25-
225 75}$),

- 226 • a hydraulic system comprising a Mariotte bottle and a bottom reservoir to apply the
227 hydraulic gradient (H/L , where H is a constant differential head and L is the thickness of
228 soil and geotextile specimen) across the whole of the each test specimen, and
- 229 • an INSTRON machine to apply dynamic loading by a servo-controlled hydraulic
230 actuator.

231 Grooves of 5mm were machined into the bottom pedestal of the triaxial cell to allow the soil
232 particles that passed through the geotextiles to flow into the bottom reservoir. Some of the
233 particles left in the bottom pedestal grooves were flushed into the bottom reservoir at the end of
234 filtration tests. The rate of discharge was measured by connecting a graduated cylinder to the
235 overflow of the bottom reservoir tank. A detailed description of the dynamic GR apparatus can
236 be found in Khan et al. (2018).

237 Test soils were mixed with water at optimum moisture content and put in the rubber membrane
238 in 4 equal layers of 25mm to avoid any segregation during placement of specimens. Each layer
239 of the soil sample was compacted using 25 blows of a designed metallic hammer, having 50mm
240 drop height. To simulate field conditions, all the test soils were compacted to achieve a density
241 of 90 to 95% of standard compaction. Skempton's B values ($B = \Delta u / \Delta \sigma_3$, the ratio of increase in
242 pore pressure for an applied increase in confining pressure) were checked before each test to
243 ensure that specimens were adequately saturated. B-values between 0.8 and 0.9 were obtained
244 for all tests, implying a degree of saturation of more than 99% for less compressible soils (Black
245 and Lee, 1973). More details on specimen preparation can be found in Khan et al. (2018).

246 4. Testing Program

247 In the filtration tests, a confining pressure (σ_3) of 20kPa was applied to simulate static ground
248 stresses anticipated below highways. This value is slightly higher than the confining pressure at
249 typical edge drains to hold the specimen in place, without significantly affecting the pore
250 pressure readings. A unidirectional flow condition through the soil and geotextile was controlled
251 throughout testing by applying a constant differential head H (see Fig. 4) with a hydraulic
252 gradient of 5, which is typical of field conditions if partial leakage is allowed through the
253 pavement boundary (Lee and Bourdeau, 2006). The internally imposed hydraulic gradient across
254 the samples was measured to be approximately 3.33-5.33, where the lower values of the
255 hydraulic gradient are associated with the energy losses in the system (such as pipe fittings) due
256 to increase in flow rate (soils with higher permeability). Such small variations in the imposed
257 hydraulic gradient are acceptable to assess the filtration performance of soil/geotextile
258 combinations (Fannin et al., 1994, Hameiri, 2000).

259 The testing program was performed by carrying out a static unidirectional (“step”) loading stage
260 followed by a cyclic loading stage at 1Hz and 2Hz loading frequencies. The static stage was
261 performed under isotropic loading conditions. In the next stages, the soil-geotextile specimens
262 were subjected to cyclic axial loads, typical of roadway and railway environments. The average
263 cyclic hydraulic gradients during the cyclic stages were within 10% of the hydraulic gradients
264 during the static stages. All stages were continued until constant readings of pore pressure and
265 permeability were observed. Filtration tests for the narrowly-graded soils were performed under
266 3 cyclic loadings stages:

267 Cyclic Stage 1 (Cyc 1): $q = 30\text{kPa}$ and loading frequency of 1Hz,

268 Cyclic Stage 2 (Cyc 2): $q = 30\text{kPa}$ and loading frequency of 2Hz, and

269 Cyclic Stage 3 (Cyc 3): $q = 60\text{kPa}$ and loading frequency of 1Hz.

270 The filtration tests for the broadly-graded soils were performed under static unidirectional
271 loading stage followed by only one cyclic stage (cyclic stage 1). The applied load frequencies
272 during the cyclic stages are within the typical traffic load frequency range of 0 to 10 Hz at
273 subgrade level (Hyde et al., 1993; Zhang et al., 2020). The deviator stresses of 30kPa and 60kPa
274 are applicable to typical pavement thicknesses of 450mm and 350mm, respectively. The
275 pavement/design analysis software KENLAYER (Huang, 1993) was used to calculate the
276 deviator stresses at the subgrade layer due to a standard (80kN) axle equipped with a single
277 wheel at a typical tire pressure of 700kPa.

278 At the end of each test, soil samples were taken at 0-8mm and 25-40mm from the upper surface
279 of the geotextile inside the rubber membrane in order to observe any change in soil gradation.
280 The soil samples were oven-dried and then subjected to a hydrometer sieve analysis to find the
281 particle size distribution. In order to identify any clogging sites, geotextile samples were left to
282 dry and planar and cross-sectional thin sections were prepared as for thin sections of soil and
283 rock (Fitzpatrick, 1984). The samples were impregnated and hardened inside a plastic mould of
284 25mm diameter using a two-part epoxy. The samples were then ground with rotating grinding
285 plates to remove surface irregularities and polished using polishing discs to remove damages due
286 to the grinding process. The specimens were then inspected by a light microscope (Nikon
287 LV100ND).

288 Soil particles washed through geotextile samples were collected in the bottom reservoir tank (see
289 Fig. 4) and filtered from the water using wet strength filter paper (pore size 0.002mm) and then
290 their dry weight was measured. Images of the collected soil particles were visually analysed
291 using the Nikon microscope.

292 **5. Results**

293 Table 4 shows that narrowly-graded soils ($C_u < 6$) were identified as internally-stable by at least
294 two of the three geometric stability criteria, i.e. the Kézdi, Kenney and Lau and Burenkova
295 criteria. For this reason, the filtration test results are discussed separately for narrowly- and
296 broadly-graded soils.

297 A range of uncertainty of about 10% in the GR values is expected in carefully conducted tests
298 due to the variability in properties of the materials (mainly geotextiles) and nature of the GR test
299 (Palmeira et al., 1996). To confirm that the dynamic GR test is repeatable and the test results are
300 reliable, one test was repeated with the geotextile sample D and 80% MS/20% PFA mix (Table
301 6). Very good agreement is obtained for both tests and the variation within the GR values is
302 within 10%. Based on this observation, a change of more than 10% in the GR values is
303 considered significant.

304 **5.1. Filtration Behaviour of Narrowly-Graded Soils**

305 Six filtration tests were performed using narrowly-graded soils (MS, FS and 90% MS/10% PFA)
306 with three different geotextiles (A, B and C) to assess their filtration behaviour under static and
307 dynamic conditions achieving a range of O_{90}/d_{85} ratios (Table 5). One test was performed for
308 one stage of cyclic loading while five tests were performed for two additional cyclic stages (see
309 Section 4).

310 Fig. 5 shows that for the MS with geotextile sample A and C, k_{sg} was found to be more than k_s ,
311 which means the soil-geotextile interface is more permeable than the parent soil. The GR value
312 (see Eq. 2) is less than 1 during the static and cyclic stages which could be attributed to erosion
313 of some fine particles near the geotextile interface without them significantly clogging the

314 geotextile (Table 5). The post-test gradation (Fig. 8(a)) suggests loss of some fines in the 0-8mm
315 layer above geotextile samples A and C. Fig. 3(a) shows that the amount of fines smaller than the
316 O_{90} of geotextile A and C is 9% and 4%, respectively. This is probably why the amount of
317 particles collected at the end of the filtration test for geotextile C is less than for geotextile A
318 (Table 5). The k_s and k_{sg} were observed to be nearly constant for the respective static and cyclic
319 stages, except for geotextile C where a reduction in the k_s and GR values occurred during cyclic
320 stage 3. This is a consequence of an increase in water head within the soil (h_{25-75}) which may be
321 due to the increase in density of the soil sample under increase in deviator stress.

322 In the FS test series, the k_{sg} of geotextile sample A with FS shows that the soil-geotextile
323 interface is more permeable than the parent soil (Fig. 6(a)). The GR of geotextile A during the
324 static stage is 0.38 which is quite less than 1. It is possible that the fine particles at the soil base
325 during the sample preparation penetrated through the thin geotextile. The post-test gradation
326 (Fig. 8(b)) showed a slight increase in d_{20} for the 0-8mm layer above the geotextiles, suggesting
327 loss of fines near the geotextile. The GR value increased ~20% to 0.46 during cyclic stage 1
328 (Table 5). This is presumably due to the migration of soil particles towards the geotextile
329 interface which were arrested by the geotextile. Cyclic stages 2 and 3 did not affect the filtration
330 compatibility of the soil-geotextile interface. The permeability values of geotextile sample B
331 with FS show that k_{sg} is less than k_s which suggests movement of fines from the soil towards the
332 geotextile where clogging/blinding occurs. This different response of geotextile samples with FS
333 can be explained in terms of the smaller O_{90}/d_{85} ratio of geotextile B with FS compared to
334 geotextile A with FS (see Table 5). Further supporting evidence for this explanation is seen in
335 the increase in GR, from 1 at the end of the static stage to ~30% to 1.31 at the end of cyclic stage

336 3, the soil permeability k_s increases in the fines-reduced soil, and the decreased water head within
337 the soil (h_{25-75}).

338 The permeability values for the 90% MS/10% PFA mix with both geotextile samples suggests
339 that some clogging or blinding of the filtration system occurred (Fig. 7). The permeability values
340 of the soil sample decreased with the increase of fines content of the soil (see Table 5). The k_s
341 values were noticeably higher than k_{sg} for both geotextile samples which resulted in a GR higher
342 than 1.5, although the GR values are still less than the clogging limit of 3 set by U.S. Army
343 Corps of Engineers (1977) which suggests that excessive clogging did not occur. The cyclic
344 stages did not result in significant changes in the GR values.

345 **5.2. Filtration Behaviour of Broadly-Graded Soils**

346 Thirteen filtration tests were performed using broadly-graded soils with four different geotextiles
347 to assess their filtration behaviour under static and dynamic conditions achieving a range of
348 O_{90}/d_{85} ratios (Table 6). All the tests were performed for one stage of cyclic loading. The
349 broadly-graded soil samples were evaluated as internally-unstable according to the Kenney and
350 Lau method (see Table 4). The GR value for most of the filtration tests is less than 1 (Table 6),
351 i.e. the permeability of the soil-geotextile interface is greater than the permeability of the soil.
352 The cyclic loading resulted in a decrease in GR which can be attributed to an increase in the
353 amount of fines of the broadly-graded soils washed through the geotextile openings. The mass of
354 soil particles washed through the geotextile samples was always less than 500 g/m^2 except for
355 60% MS/40% PFA with geotextile sample A which liberated 2707 g/m^2 . Note that these
356 filtration tests were performed under confined conditions and the soil samples were compacted to
357 a dense state, which reduces the possibility of fine particles being washed through geotextile
358 openings (Giroud, 2010, Shan et al., 2001, Fischer et al., 1990). Also, the specimens were

359 adequately saturated with backpressure such that B values between 0.8 and 0.9 were obtained for
360 all tests, there was still air in specimens which might have reduced the flow rate. This reduced
361 flow rate may have affected the fine particles migration within the coarse particles and towards
362 the geotextile interfaces. The GR values are all less than 3 indicating that no serious clogging
363 occurred. Based on the test results, the $<2500 \text{ g/m}^2$ criterion, defined by Lafleur et al. (1989) for
364 acceptable piping, is too high. A new piping criterion, on the basis of the amount of soil particles
365 lost from the primary structure of soil, is discussed in Section 6.3.1.

366 **6. Discussion**

367 **6.1. Gradation of Particles Passed through Geotextiles**

368 It is important to compare the gradations of soil particles washed out through geotextiles with the
369 original gradation of soil samples in order to find out whether the gradation of washed-out
370 particles constitutes the primary (coarse fraction of particles touching each other) or secondary
371 structure (fine fraction occupying space between the particles of the primary structure) of the
372 base soil.

373 Tables 7 and 8 compare the d_{90} of particles washed out (termed here as d_{90p}) with the base soil's
374 original gradation for narrowly-graded and broadly-graded soils, respectively. The comparison of
375 soil particles washed through geotextiles with the original gradation of soils have been carried
376 out by various researchers (Hameiri, 2000, Palmeira et al., 2010, Palmeira and Totto, 2015). The
377 d_{90} values (see Tables 7 and 8) are quite smaller compared to the opening size of the geotextile
378 samples (see Table 2). This difference can be explained by differences in the mobility of the
379 particles. The characteristic opening size O_{90} of geotextiles is measured using wet sieving
380 method in which the particles have flexibility to move across the geotextile until reaching a
381 channel with a large opening. However, the particles mobility is restricted in the GR tests which

382 decrease their chances to find a large channel and pass through it (Hameiri, 2000). Similar
383 phenomena was observed by Palmeira et al. (2010) that showed the particles sizes piped through
384 geotextiles are smaller at normal stresses below 100kPa.

385 The proportion, by weight, of the original sample at the same size as, or finer than, d_{90p} is
386 termed “% original gradation”. Thus the data presented in the “% original grading” column
387 reveal that all the particles of the narrowly-graded soil passing through the geotextile were from
388 the secondary structure; but this was not the case for all the broadly-graded soils. The stability
389 analysis of soil gradations by the Kezdi as well as the Kenney and Lau criteria are given in Table
390 4. For comparison, Tables 7 and 8 show the critical diameter of suffusion of soil samples
391 evaluated by the Kezdi ($D_{15c}/d_{85f} > 4$) and the Kenney & Lau ($H/F < 1$) criteria at which maximum
392 instability of soil is expected.

393 The 90% MS/10% PFA soil which was evaluated as internally-unstable by the Kezdi criterion
394 showed a d_{90p} with both geotextiles that was between 6 to 8 % of the original grading (see Table
395 7). This proportion was predicted by the Kezdi criterion to be 5 - 12 % finer by weight of the
396 original sample. For MS and FS, there was no hydrometer sieve analysis carried out below 63
397 μm and the percentage of fines (i.e. $< 63 \mu\text{m}$) passing by weight for these soils was 4% and 8%,
398 respectively (Fig. 3). As the d_{90p} value is less than 63 μm for MS and FS samples in Table 7, the
399 % original grading < 4 and < 8 values were used for these soils, respectively. Overall, the %
400 original grading for all test samples is much less than 30% (the limit set by Kenny and Lau for
401 narrowly-graded soils) which suggests that the particles washed out through the geotextiles are
402 part of the secondary structure instead of the primary structure of the base soil.

403 For the broadly-graded samples, piping is the mechanism of suffusion when d_{90p} is $\leq 20\%$ of the
404 original sample gradation. As the soil samples were compacted during the testing program, the

405 d_{90p} is expected to be much smaller than the opening size of geotextiles. This is in agreement
406 with the recommendations of Giroud (2010) that for a dense soil sample, soil particles will be
407 able to pass through filters only if the opening size of the filter is twice as large as the d_{85} particle
408 size of the protected soil.

409 From comparison of d_{90p} with the original gradation, it can be seen that the Kezdi (1979) and
410 Kenney and Lau criteria predicted the potential instability of broadly-graded samples relatively
411 well (Table 8). The Kenney and Lau method was found to be more precise in terms of describing
412 the portion of soil gradation which might show internal erosion by suffusion, while Kezdi's
413 criterion was found to be more conservative in terms of finding the critical diameter. The %
414 original gradation values show that washed-out particles for 85% MS/15% PFA, 80% MS/20%
415 PFA, and 70% MS/30% PFA were clearly part of the secondary structure of soil (as they are in
416 the d_{15} fraction which is less than the d_{20} fraction) instead of the primary structure. Because the
417 secondary structure provides stability to the primary structure, its loss may result in the internal
418 instability of the primary structure (Yideti et al., 2013), so it is important that the geotextile is
419 able to retain the primary structure and not allow too much of the secondary structure from
420 escaping.

421 The 85% MS/15% PFA sample lost particles sized equal to d_{11} of the original gradation which is
422 close to the $(H/F)_{min}$ value suggested by the Kenney and Lau method (i.e. d_{10}). Similarly, for the
423 80% MS/20% PFA sample, the Kenney and Lau method predicted $(H/F)_{min}$ value equal to d_{15} of
424 the original gradation which was quite close to the actual particle sizes lost for this sample with
425 different geotextiles. The 70% MS/30% PFA with geotextile D lost particles sized equal to d_{15} of
426 the original gradation while the Kenney and Lau method suggested $(H/F)_{min}$ value equal to d_{25} of

427 the original gradation. It can be attributed to the large thickness of the geotextile sample D which
428 trapped fines inside the smallest constrictions and impeded the progress of migrating particles.

429 The % original gradation values for 60% MS/40% PFA samples showed loss of primary
430 structure (d_{90p} greater than d_{20} of the original gradation) except for geotextile D which impeded
431 the progress of migrating particles. The 60% MS/40% PFA with geotextile C showed a d_{90p}
432 value equal to $27\mu\text{m}$ which is equal to the d_{27} of the original sample gradation, suggesting loss of
433 primary fabric of soil. The 40% MS/60% PFA and PFA samples lost particles sized more than
434 the d_{20} of the original gradation, indicating loss of the primary structure of soils. The 60%
435 MS/40% PFA samples (except with geotextile D) and 40% MS/60% PFA sample lost particles
436 sized close to or equal to d_{30} of the original gradation which was predicted quite well by the
437 Kenney and Lau method. It can be seen from Tables 7 and 8 that the % original gradation values
438 were the highest for PFA samples which can be explained in terms of the higher O_{90}/d_{85} values
439 of geotextile samples with PFA soil compared to other soil samples (see Tables 5 and 6).

440 **6.2. Effect of Soil Internal Stability on Filtration Performance**

441 The post-test gradation of narrowly-graded MS and FS soil with geotextile samples taken from
442 0-8mm and 25-40mm from the upper surface of the geotextiles didn't show a significant change
443 in gradation when compared with the original gradation of the soil sample. Even with quite
444 precise separation and weighing (equivalent to a discrimination of $5\text{g}/\text{m}^2$) no significant internal
445 migration of soil particles was observed for the filtration tests with narrowly graded soils (Fig.
446 8). For the MS soil, the post-test gradation showed a slight increase in d_{10} for the 0-8mm layer
447 above the geotextiles, suggesting loss of fines near the geotextile. The FS sample with geotextile
448 B showed a decrease in d_{15} , suggesting a blinding layer formed at the soil-geotextile interface.
449 The cyclic loading showed an increase in the GR from 1 to ~30% to 1.3 (Table 5) which

450 suggests that the soil showed internal instability during the dynamic loading, therefore, resulting
451 in the increase of fine migration towards the geotextile. For the 90% MS/10% PFA soil, the post-
452 test gradation showed a slight decrease in d_{15} for the 0-8mm layer above the geotextiles, which
453 suggests the increase in GR was due to a blinding layer formed at the soil-geotextile interface as
454 the proportion of particles washing completely through remained small. The near-constant GR
455 values during the static and cyclic stages (Table 5) suggest that this blinding layer was formed
456 during the static unidirectional flow stage. The three cyclic stages did not affect the internal
457 stability of the soils. The pore constrictions between the particles forming the primary structure
458 of the internally-stable soils are judged to be small enough to stop the migration of fine particles:
459 the soil gradation was evaluated to be internally stable by the Kenney and Lau criterion (see
460 Table 4).

461 The GR of the 85% MS/15% PFA and 80% MS/20% PFA samples at the end of static and cyclic
462 stages did not show significant change (Table 6). However, for these samples, the cyclic loading
463 caused a slight decrease in GR. This is presumably due to the fines near the geotextile interface
464 being washed/driven out under dynamic loading. The post-test gradation for these soils showed a
465 slight change in the gradation of soil near the geotextile interface except for 80% MS/20% PFA
466 sample with geotextile D (Fig. 9). The 70% MS/30% PFA sample, which is a gap-graded soil,
467 showed the largest mass of particles retained inside geotextile D (Table 6). This indicates that the
468 deficiency of a certain size range of particles makes it easy for the smaller particles to move
469 freely through the coarse particle filters (i.e. a lack of natural constriction). The fine particles
470 near the geotextile interface were then trapped inside the geotextile due to its high thickness
471 (which increases the probability of mobile fines encountering a small constriction in the
472 geotextile).

473 A GR less than one was obtained for all 60% MS/40% PFA samples, except with geotextile C,
474 during static stage which indicates that the soil-geotextile interface was less permeable than the
475 base soil (Table 6). The permeability values show large differences in k_s at the end of static and
476 cyclic stages for test samples with geotextiles B and C, demonstrating that the stability of the
477 base soil was challenged by cyclic loading. Geotextile sample B, having the same O_{90} as
478 geotextile sample C, showed higher amount of particles washed out and less amount of particles
479 retained inside the geotextile compared to geotextile C. This difference in response can be
480 attributed to their different fibre bonding types. Geotextile sample C is a needle-punched
481 geotextile which is obtained by mechanically interweaving their fibers using high frequency
482 alternate needle movement normal to the fabric plane. This results in a geotextile surface which
483 can be deformed easily, therefore, resulting in a reduction of pore sizes (Lee and Bourdeau,
484 2006). Geotextile sample B has attributes of both heat bonded and needle-punched i.e. the
485 geotextile is initially needle-punched and then thermal treatment is applied to one side of the
486 geotextiles sample. This results in a smooth surface of the geotextile surface which stops the
487 filter cake formation at the geotextile surface (Giroud, 1982, Elsharief and Lovell, 1999). The
488 post-test gradation shows that particles were washed out near the filter interface for all the
489 60% MS/40% PFA samples except with geotextile B (Fig. 9). The amount of soil particles
490 collected for 60% MS/40% PFA with geotextile A was the highest, measuring 2707 g/m^2 . It is
491 believed that this was due to geotextile A having the largest opening size of all the geotextile
492 samples. The test was already showing a continuous decrease in GR (erosion of fines) during the
493 static stage (Fig. 10(a)). The rate of decrease in the GR for 60% MS/40% PFA with geotextile A
494 increased during the cyclic stage compared to the static stage, which suggests particle loss
495 increased with cyclic loading. The GR value decreased significantly for geotextile B during the

496 period from 2000 to 3000 cycles of loading. However, this decrease in GR was due to an
497 increase in head loss within the base soil which can also be observed by the decrease in particle
498 sizes in the 25-40mm region of the test sample (Fig. 9(d)). The amount of particles retained
499 inside geotextiles for 60% MS/40% PFA was calculated to be the highest for geotextile D while
500 the least amount of fines arrived at the outlet collection point (see Table 6). This shows that
501 thicker geotextiles are more effective in stopping soil erosion as compared to thinner geotextiles,
502 in agreement with Faure et al. (2010).

503 The 40% MS/60% PFA sample with geotextile B showed a significant decrease in GR value
504 (~40%) from 1.47 at the end of static stage to 0.87 during the cyclic stage (Table 6). This is
505 supported by the post-test gradation which revealed that particles near the geotextile interface
506 had washed out (Fig. 9(e)). The post-test gradation of PFA samples showed an increase in d_{50}
507 occurred for the 0-8mm layer above the geotextile interfaces (Fig. 9(f)), suggesting that particles
508 near the geotextile interface had washed out. The amount of particles retained inside the
509 geotextile shows that, with PFA, higher tendency towards clogging occurred as compared to
510 other filtration tests (Table 6). This shows that some of the particles which were piping from the
511 soil were retained inside the geotextiles. The gradation of PFA (see Fig. 3(a)) shows that more
512 than 85% of particles are smaller than the O_{90} of geotextiles C (0.070 mm) and geotextile D
513 (0.060 mm), hence higher clogging of geotextile pores and washing of soil particles through
514 geotextile openings was expected. The 40% MS/60% PFA and PFA samples were evaluated as
515 internally-stable by Burenkova's criterion (Table 4), but the soil samples showed instability
516 during the filtration tests. However, the geotextiles mitigated the instability by impeding the
517 progress of the migrating particles which were trapped inside the smallest constrictions of
518 geotextiles.

519 The Kezdi and Kenney and Lau method provided a good prediction of the internal stability of
520 most of the soil samples when compared to the Burenkova method. The Kenney and Lau method
521 was found to be slightly more reliable than the Kezdi method: the 90% MS/10% PFA sample,
522 which showed internal stability, was evaluated as internally-stable by the Kenney and Lau
523 method but unstable by the Kezdi method. The 40% MS/60% PFA and PFA samples, which
524 showed internal instability during the filtration tests, were evaluated as internally-unstable by the
525 Kezdy and Kenney and Lau criteria, but internally-stable by the Burenkova's criterion. The
526 Burenkova criterion method works reasonably well, however, it appears to be a little
527 conservative in its evaluation of potential for internal stability.

528 **6.3. Soil/ Geotextile Compatibility**

529 **6.3.1. Piping**

530 The limit state of piping relates to a state where the primary fabric of the base soil moves through
531 the geotextile filter due to hydraulic flow (Moraci and Mandaglio, 2008). Lafleur (1999)
532 recommended a retention criterion on the basis of d_{30} to stop migration of fines from broadly-
533 graded soils ($C_u > 6$). The findings of Lafleur (1999) were used by the Canadian Geotechnical
534 Society (CFEM, 2006) in their design approach but the value of C_u was taken as $C_u = 8$ instead
535 of $C_u > 6$. Skempton and Brogan (1994) estimated that the critical fines content at which the
536 fines just fill the voids between the coarse particles is between 24% (dense specimens) and 29%
537 (loose specimens). Based on the literature review, the piping limit is, thus, defined as 30% of the
538 original soil and a retention criterion is suggested on the basis of d_{30} in this section.

539 Fig. 11 shows O_{90}/d_{85} and O_{90}/d_{30} versus % original gradation (the proportion, by weight, of the
540 original sample at the same size as, or finer than, d_{90p}). Test data of MS and PFA with geotextile
541 B by Khan et al. (2018) are also plotted in the figure to suggest a filter retention criterion. As

542 discussed earlier in the paper, soil particles >20% by weight for broadly-graded soils and >30%
543 by weight for uniformly-graded soils constitute the primary structure of the soils (Kenney & Lau,
544 1985, 1986). It has been suggested by various researchers (Moffat, 2002, Hameiri, 2000) to take
545 the primary structure of soils at 30% for both narrowly-graded and widely-graded soils. The
546 probable reason is that the Kenney & Lau (1985, 1986) method is unduly constrained by a limit
547 on the value of percentage finer (F, %) over which the H: F boundary of 1:1 is evaluated.
548 Therefore, the % original gradation here more than 30 suggests wash-out of coarse fraction of
549 soil through geotextiles (see Fig. 11).

550 The % original gradation showed a more well-defined relationship with O_{90}/d_{30} as compared to
551 O_{90}/d_{85} (Fig. 11(b)) which suggests that a retention criterion based on O_{90}/d_{30} is preferable to one
552 based on O_{90}/d_{85} . The soil index size d_{85} does not give information about the smaller particles if
553 the soil is not narrowly graded. The smaller particles need to be retained to satisfy the retention
554 criteria for geotextiles, however, the free soil particles can cause blinding of the geotextile
555 surfaces. As shown in Fig. 3, all soils are concave upward graded except MS and FS, which are
556 uniformly-graded. Although a geotextile is not expected to retain all the soil particles, satisfying
557 a retention criterion on the basis of O_{90}/d_{30} tends to make sure that the lower size of the primary
558 fabric is retained. Most of the filter design criteria take into account the d_{85} of the base soil which
559 usually ensures retention in narrowly-graded soils as these soils are mostly internally-stable
560 (Lafleur, 1999). The use of sizes of particles that are towards the smaller end of a grading as the
561 basis for a criterion is supported by Lafleur (1999) and Moraci et al. (2012b). Lafleur (1999)
562 recommended the use of smaller grain diameter i.e. d_{30} in filter design to avoid erosion of the
563 primary fabric of concave upward soils which are usually internally unstable.

564 It can be seen from Fig. 11(a) that the line of best fit (correlation coefficient $R^2 = 0.878$) shows
565 % original gradation more than 30 at an O_{90}/d_{30} ratio of 2.9, which indicates the wash-out of
566 coarse fraction of soil through geotextiles. It has been suggested by previous researchers
567 (Lafleur, 1999, Moraci, 2010, Moraci et al., 2012a) to use a lower limit of retention for internally
568 unstable soils because the geotextile filter characteristic opening size should be larger than the
569 critical diameter of suffusion d_c to avoid accumulation of excessive fine particles on the interface
570 (blinding). Thus, the retention criterion proposed is given by:

$$571 \quad d_c < O_{90} < 2.9d_{30} \quad (3)$$

572 **6.3.2. Clogging/ Blinding**

573 The tendency toward blinding was observed through GR values, permeability at the soil-
574 geotextile interface, and the post-test gradation of soil near the geotextile interface. None of the
575 tests showed a GR value more than the limit of 3 set by U.S. Army Corps of Engineers (1977)
576 for clogging of filters, which means no serious clogging occurred in the soil-geotextile zone
577 (Tables 5 and 6). Three geotextile samples with narrowly-graded soils (FS with geotextile B, and
578 90% MS/10% PFA with geotextiles A and B) and three samples with broadly-graded soils
579 (80% MS/20% PFA with geotextiles A, B and D) showed some level of blinding as the k_{sg} values
580 for these samples were observed to be lower than k_s values and a GR of more than 1. This
581 blinding layer is believed to have been formed during the static stage since no significant
582 permeability change was observed under cyclic loading. From the Tables 5 and 6, k_{sg} values
583 seem to increase passing from static to cyclic conditions. This is probably associated with the
584 destruction of the particle arrays at the soil-geotextile interfaces under increase in vertical
585 stresses. Similar phenomena was reported by Palmeira et al. (2010). The microscopic
586 observation of geotextile samples after filtration tests are shown in Fig. 12. Microscopic images

587 of geotextile samples after the filtration tests were taken at every 50µm intervals of depth
588 through the geotextiles to identify possible clogging sites. The microscopic image of geotextile B
589 with 90% MS/10% PFA – which showed GR greater than 1 for static and cyclic stages (Fig.
590 12(a)) does not show an excessive amount of particles trapped between the geotextile fibres. This
591 suggests that the increase in the GR value was due to clogging occurring on the openings of the
592 geotextile. Fig. 12(b) shows a microscopic image of geotextile sample D after the filtration test
593 with PFA, which showed one of the largest amount of particles trapped inside the geotextile
594 (Table 6). The soil particles appear to be clogged inside the smallest constrictions in the
595 geotextile. As the larger number of constrictions are associated with the increased thickness of
596 geotextile, soil particles were expected to be trapped inside the small constrictions. Again, the
597 geotextile sample does not appear to have an excessive amount of fine particles trapped, which is
598 also predicted from the GR.

599 The design criterion used for blinding and clogging mechanisms is similar since both
600 mechanisms result in stopping fine particles and increasing the pore water pressure upstream of
601 the geotextile. The blinding criterion recommends the characteristic opening size of geotextiles
602 to be large enough to permit the washing out of soil particles near the geotextile interface but, of
603 course, not so large as to let all particles through. There are no suggested criteria for
604 clogging/blinding limits for dynamic flow conditions. There are a few criteria available for static
605 flow conditions which are based on the ratio of characteristic opening size of geotextiles to
606 smaller soil index sizes (d_{15} or d_{30}). The use of a large pore size of geotextile is recommended for
607 clogging criterion to stop particles from becoming trapped inside the geotextiles (Koerner, 2012).
608 For internally un-stable soils under steady state conditions, the largest opening size of geotextile

609 should be greater than d_{30} to avoid blinding/ clogging of geotextiles while smaller than $5 \times d_{30}$ to
610 retain the primary fabric of soil (Lafleur, 1999).

611 The dynamic filtration tests are summarized in Fig. 13 in terms of GR versus O_{90}/d_{30} . The
612 blinding behaviour of geotextiles could be explained in terms of the grain index size d_{30} to be
613 consistent with the observations of Lafleur (1999) and Hameiri (2000). It can be seen from Fig.
614 13 that GR is above 1 for some of the narrowly graded and broadly graded soils that have an
615 O_{90}/d_{30} value below a threshold value of 0.8, suggesting some level of blinding. However, none
616 of the test samples showed a GR more than 3 which is the upper limit for the acceptance of soil-
617 geotextile compatibility. The Lafleur (1999) criterion under static unidirectional flow
618 recommends an O_{90}/d_{30} ratio more than 1 to avoid blinding, although the Lafleur (1999) criterion
619 is for concave upward soil gradation and is conservative (Hameiri, 2000).

620 It is recommended by various researchers (Moraci, 2010, Moraci et al., 2012a) to assess the
621 blinding behaviour of soil-geotextile interfaces in terms of the critical diameter of suffusion d_c .
622 Geotextiles with characteristic opening sizes larger than the critical diameter of suffusion d_c will
623 tend to avoid blinding of the geotextile surfaces. Fig. 14 shows the filtration tests for the broadly-
624 graded samples in terms of GR versus O_{90}/d_c . The critical diameter of suffusion d_c is chosen in
625 correspondence $(H/F)_{min}$ in the Kenney and Lau (1985) method. It can be seen from Fig. 14 that,
626 except for the 70% MS/30% PFA mix with geotextile D, all the test samples have a O_{90}/d_c ratio
627 greater than 1, suggesting that there is less chances to achieve the blinding limit state. This is in
628 agreement with the test results as the GR values were less than 3 for all the test samples.

629 However, for geotextile filters design in contact with unstable granular soils, long-term filtration
630 tests are recommended, carrying out the tests for the period necessary for the stabilization of the
631 filtering system (Cazzuffi et al., 2015).

632 **6.4. Comparison of Retention Criterion with Existing Criteria**

633 Fig. 15 contrasts the obtained dynamic filtration test results against the Luettich et al. (1992)
634 criterion (see Table 1). The Luettich et al. (1992) criterion uses Apparent Opening Size (AOS or
635 O_{95}) of geotextiles, measured by the dry sieving method (ASTM D4751). It is compared here
636 with the new retention criterion based on O_{90} , measured by the wet sieving method as the O_{95}
637 results for dry sieving are systematically higher than those for wet sieving (Bhatia and Smith,
638 1996).

639 Fig. 15 plots the filtration test results on axes of O_{90}/d_{50} against O_{90}/d_{30} . The results suggest two
640 trends based on different soil gradations. Trend 1 (in the lower “exploded” view of Fig. 15)
641 relates to narrowly-graded soils and three broadly-graded soils, i.e. 85% MS/15% PFA,
642 80% MS/20% PFA, and 70% MS/30% PFA. The soil gradations between d_{85} and d_{30} for these
643 soils are linearly graded (see Fig. 3). Trend 2 relates to broadly-graded soils for which the
644 gradation between d_{85} and d_{30} is non-linear. The $O_{90}/d_{50} < 1$ criterion suggests that the narrowly-
645 graded soils are not susceptible to piping. This is in agreement with the conclusion drawn for the
646 % original gradation values (Tables 7 and 8) of the same soils, which had values less than 30%.
647 However, the criterion seems to be less conservative for broadly-graded soils. The 60% MS/40%
648 MS sample with geotextile A which showed a % original gradation value greater than 30%
649 (Table 8) is shown as not susceptible to piping in Fig. 15 according to the Luettich et al. (1992)
650 criterion. This is likely because the Luettich et al. (1992) criterion for severe dynamic conditions
651 does not account for the gradation of soil. Moreover, the internal stability of these soils was
652 disturbed by further dynamic loading which resulted in increased washing out of soil through the
653 geotextiles. This shows that the use of the Luettich et al. (1992) criterion ($O_{95}/d_{50} < 1$) under
654 dynamic loading with broadly-graded soils may be insufficient to ensure no risk of piping

655 because the criterion is based on d_{50} , which does not consider smaller gradation sizes (those
656 implicated in piping) if the gradation curves are not continuous.

657 The new retention criterion for dynamic conditions takes into account the gradation of the base
658 soil. The criterion recommends using indicative grain size d_{30} as an upper retention limit to stop
659 washing out of coarse fraction of soil through geotextiles. For internally-unstable soils, the
660 criterion recommends to use critical diameter of suffusion d_c as a lower limit of retention to
661 avoid blinding of soil-geotextile interfaces.

662 **7. Conclusions**

663 Filter design criteria to meet the retention requirement are well established for steady state flow
664 conditions. However, the filtration compatibility of soil-geotextile interfaces is challenging when
665 dynamic conditions are applied and become even more severe when the surrounding soil is
666 internally unstable. Therefore, a study was carried out to investigate the filtration behaviour of
667 the soil-geotextile interface with internally-stable and -unstable soils under dynamic conditions.
668 Based on the filtration test results, a retention criterion is suggested in this paper.

669 The following conclusions can be drawn from the work presented in the paper:

- 670 1. The Kenney and Lau (1985, 1986) and the Kezdi (1979) criteria provided a good prediction
671 of the internal stability and instability of soils. The Kenney and Lau (1985) method was
672 found to be more accurate in terms of describing the portion of soil gradation which might
673 show erosion. The Kezdi (1979) criterion was found to be more conservative in terms of
674 finding the critical diameter for suffusion. Regarding the Burenkova's (1993) criterion, the
675 90%MS/10% PFA and 40%MS/60% PFA soil samples, which this criterion evaluated as
676 internally-stable, showed internal instability during both the static and dynamic stages.

- 677 2. One of the most interesting findings from the testing program was the influence of different
678 index sizes of soils on filtration performance. The index size d_{30} was found to be more
679 representative in terms of controlling the filtration behaviour compared to d_{85} . The index
680 size d_{85} does not relate well to the smaller particles in the gradation and, therefore, does not
681 guarantee the retention of particles below d_{85} .
- 682 3. The soil-geotextile combinations did not show any serious clogging/ blinding. Some level
683 of blinding was observed for both internally-stable and -unstable soils that was determined
684 to have formed during the static loading stage. Since the blinding mechanism is a
685 consequence of internal instability of a soil, it implies that a high hydraulic gradient has the
686 ability to initiate the internal instability of a soil, which results in the migration of fines
687 towards the geotextile. Therefore, it is important that the geotextile characteristic opening
688 size is larger than the critical diameter of suffusion d_c to stop accumulation of free soil
689 particles at the soil – geotextile interface.
- 690 4. The instability of test samples that had a high percent (by weight of the original gradation)
691 of fines that, hence, were expected to migrate within the soil voids, was enhanced by
692 dynamic loading. The dynamic loading resulted in the migration through the geotextiles of
693 some of the soil's primary structure as well as of its secondary structure.
- 694 5. It is not expected that geotextiles will retain all the solid particles. However, when
695 functioning as intended they should stop piping of the primary (load carrying) structure of
696 soil. Therefore, consideration of 2500 g/m² mass of particles washed out cannot be viewed
697 as a limitation. The piping limit should be defined in terms of the gradation of the particles
698 passed that constitute less than 30% (uniformly-graded soils) or 20% (broadly-graded soils)

699 of the original soil gradation, as this constitutes the finer portion (secondary structure) of
700 soil.

701 **Data Availability Statement**

702 The datasets that support the findings of this study are available from the corresponding author
703 upon reasonable request.

704 **Acknowledgements**

705 The first author would like to acknowledge the University of Nottingham that has funded this
706 research through the Dean of Engineering Research Scholarship for International Excellence.

707 **Notation List**

708 *The following symbols and abbreviations are used in this paper:*

709 C_u = coefficient of uniformity

710 D_{15c} = diameter for which 15% of the grains by weight of coarse fraction is smaller

711 d_{85f} = diameter for which 85% grains by weight of the fine fraction is smaller

712 d_x = particle size for which x% of particles have a smaller size

713 d_{90p} = size of washed out particles through geotextiles for which 90% of particles have a smaller size

714 d_c = critical diameter of suffusion

715 F = percentage mass of soil particles smaller than a given diameter d

716 GR = gradient ratio

717 H = percent passing of particles between d and $4d$

718 h_{35} = water head between port 3 and 5 in GR apparatus

719 h_{57} = water head between ports 5 and 7 in GR apparatus

720 h_{g-25} = pressure difference across the soil-geotextile interface in GR apparatus

721 h_{25-75} = pressure difference within the soil sample from 25 to 75mm above the geotextile in GR apparatus

722 k_s = permeability of soil

723 k_{sg} = permeability of soil-geotextile interface

724 L_s = distance between port 3 and 5 in GR apparatus

725 L_{sf} = distance from port 5 to the bottom of geotextile in GR apparatus

726 O_{90} = characteristic pore size of geotextile for which 90% of pore sizes are smaller

727 q = deviator stress

728 σ_1 = vertical stress

729 σ_3 = confining pressure

730 u = pore pressure

731 % original gradation = the proportion, by weight, of the original sample at the same size as, or finer than,

732 d_{90p}

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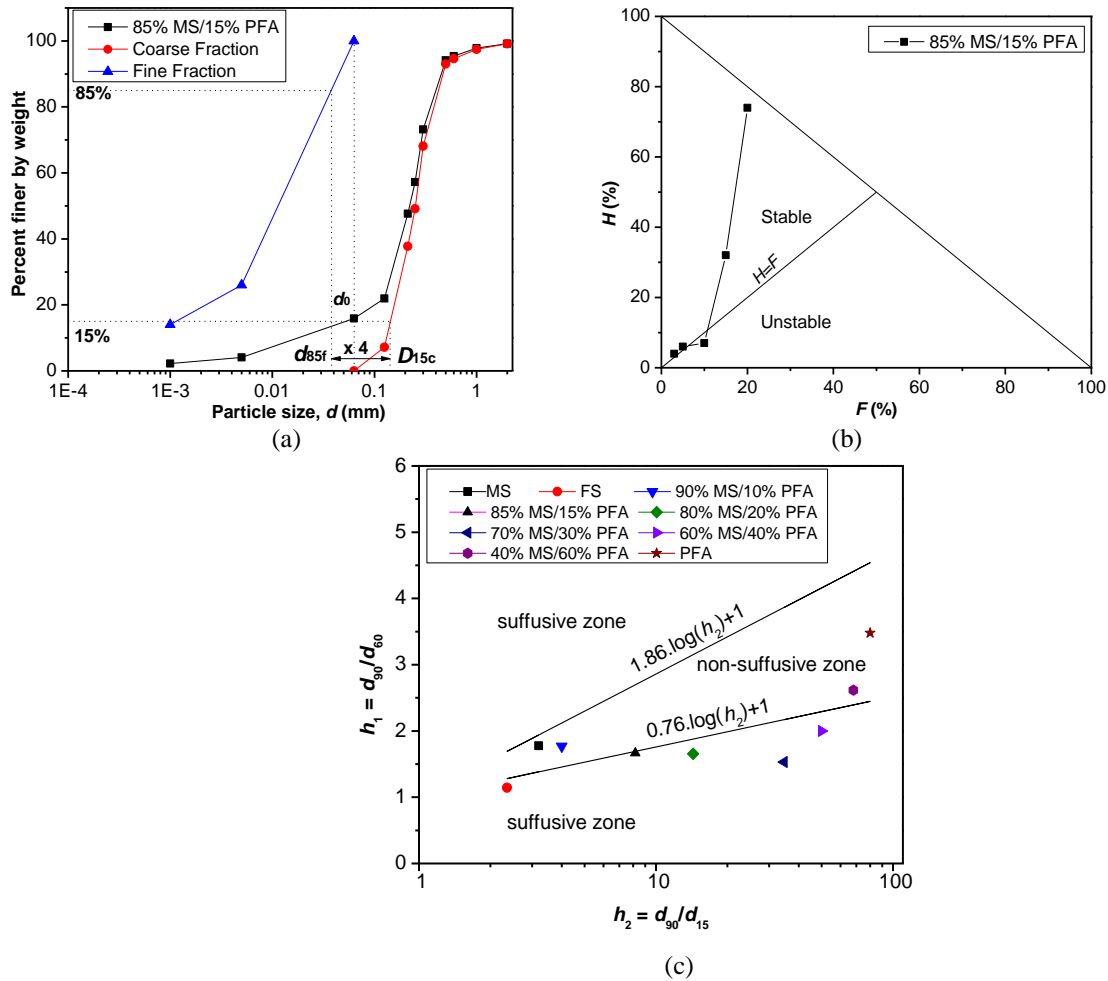


Fig.1. Evaluation of the internal stability of soils described in this paper according to: (a) Kezdi's criterion, (b) Kenney and Lau's criterion, and (c) Burenkova criterion

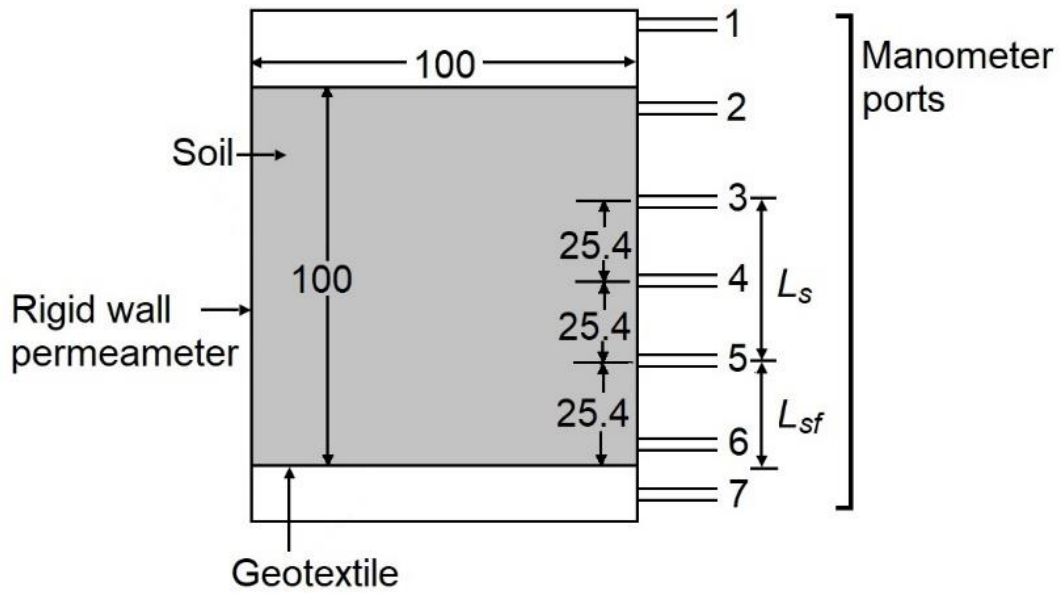


Fig. 2. Traditional GR test apparatus (dimensions in mm)

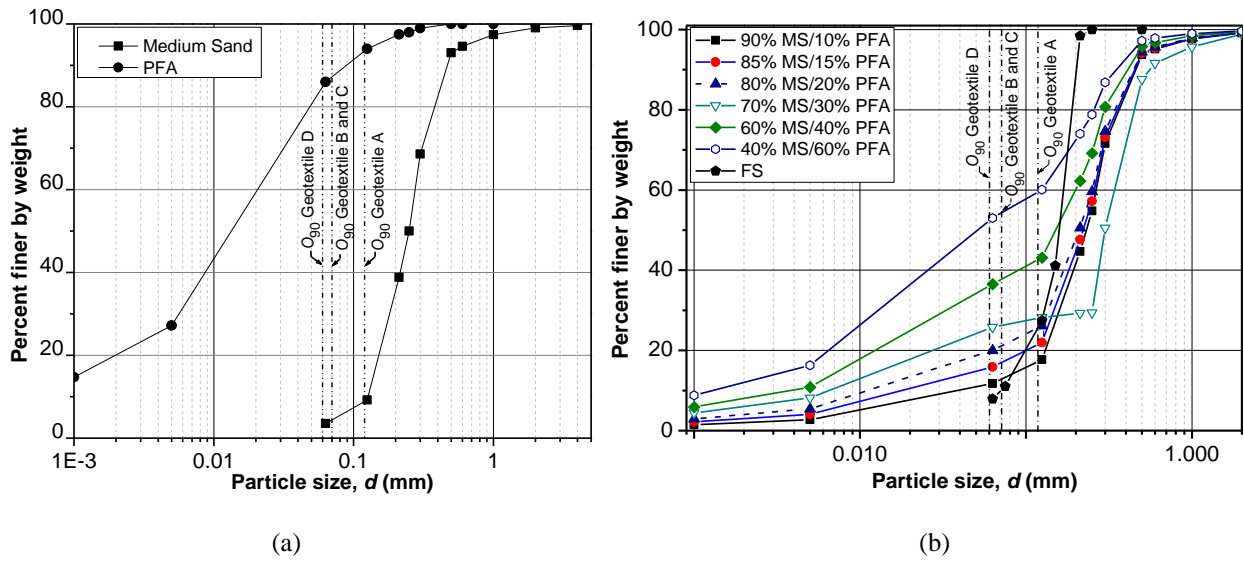


Fig. 3. (a) Medium sand (MS) and PFA gradations and (b) mixed gradations (FS = fine sand)

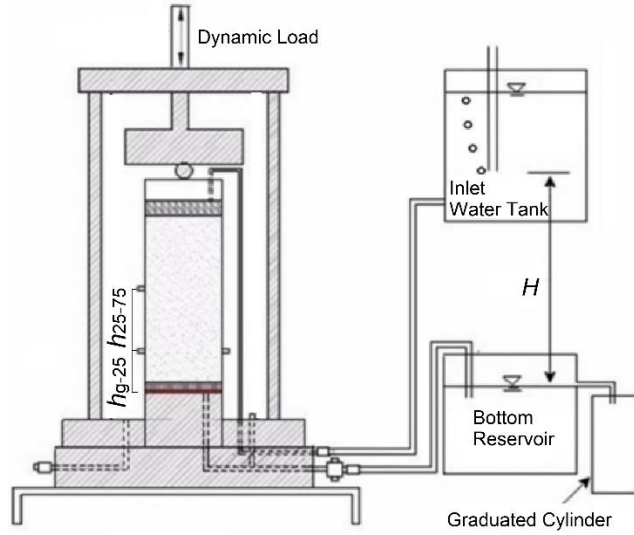
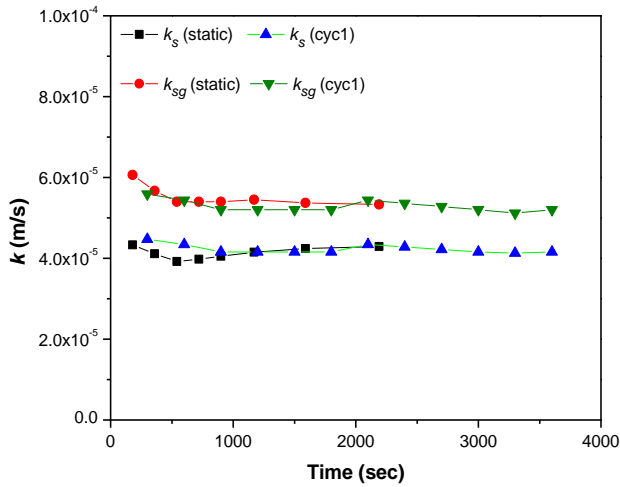
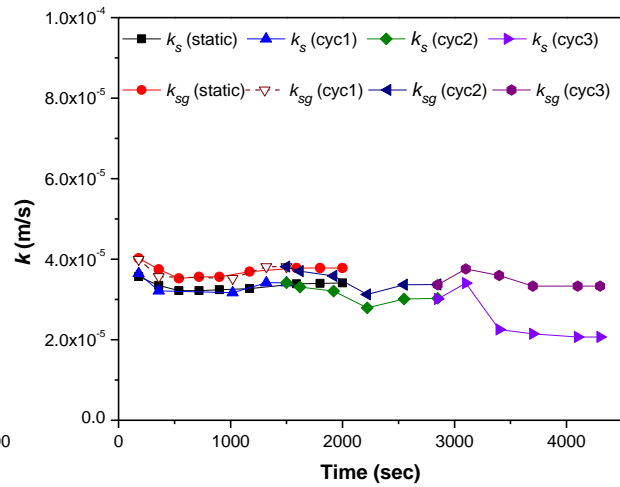


Fig. 4. Dynamic GR test setup (Khan et al., 2018)



(a) Variation of permeability k with time

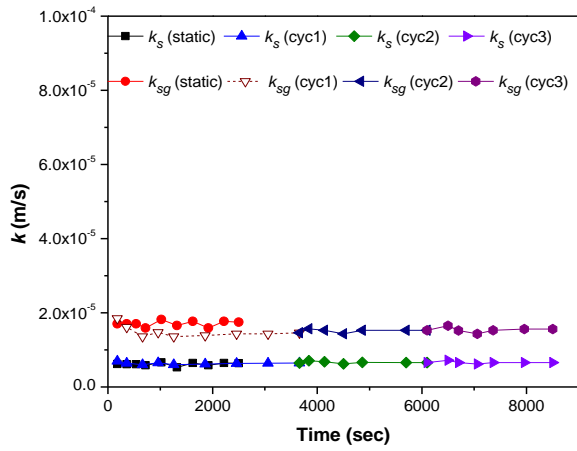
(geotextile sample A)



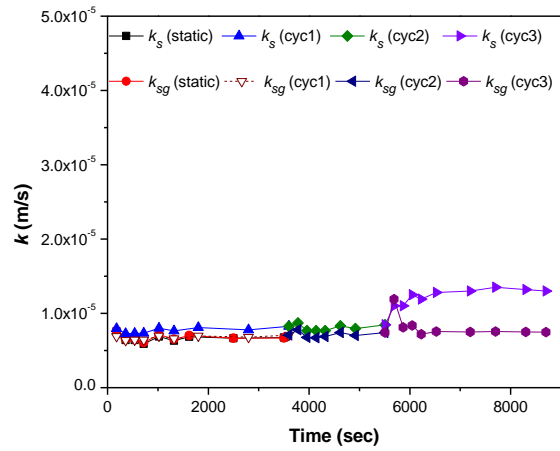
(b) Variation of permeability k with time

(geotextile sample C)

Fig. 5. Test results for MS with geotextile samples A and C

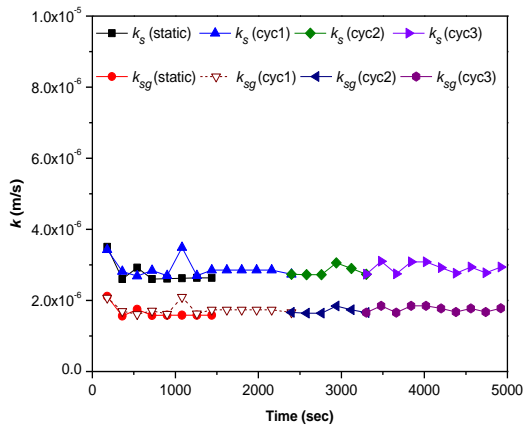


(a) Variation of permeability k with time (geotextile sample A)

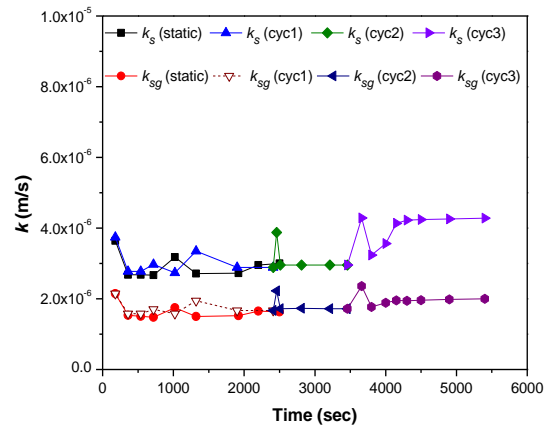


(b) Variation of permeability k with time (geotextile sample B)

Fig. 6. Test Results for FS with geotextile samples A and B

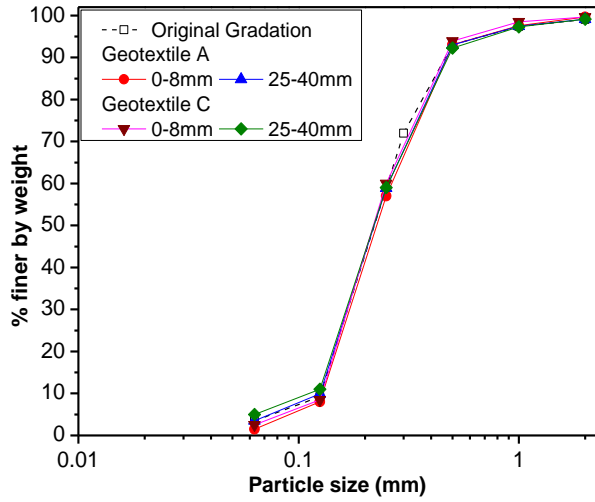


(a) Variation of permeability k with time (geotextile sample A)

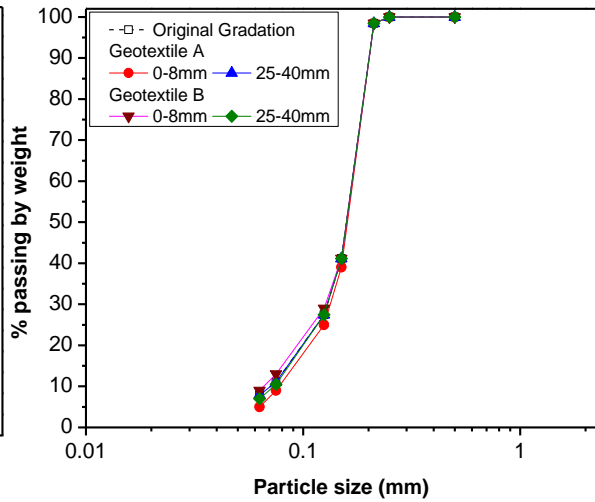


(b) Variation of permeability k with time (geotextile sample B)

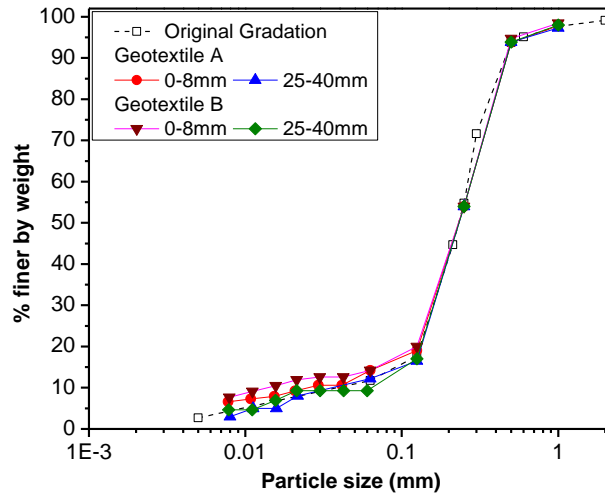
Fig. 7. Test results for 90% MS/10% PFA with geotextile samples A and B



(a) MS

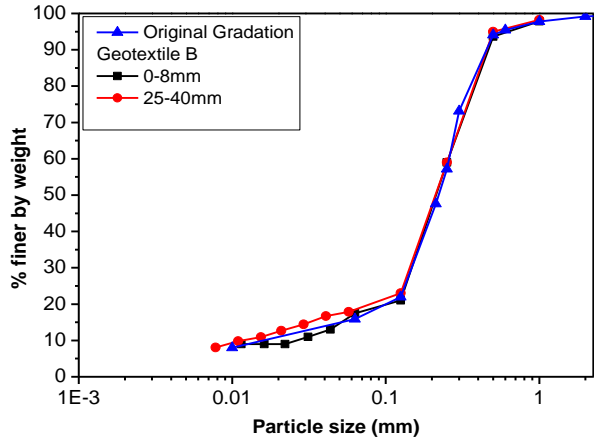


(b) FS

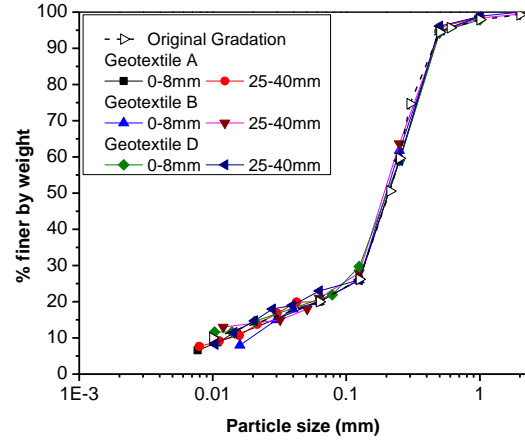


(c) 90% MS/10% PFA

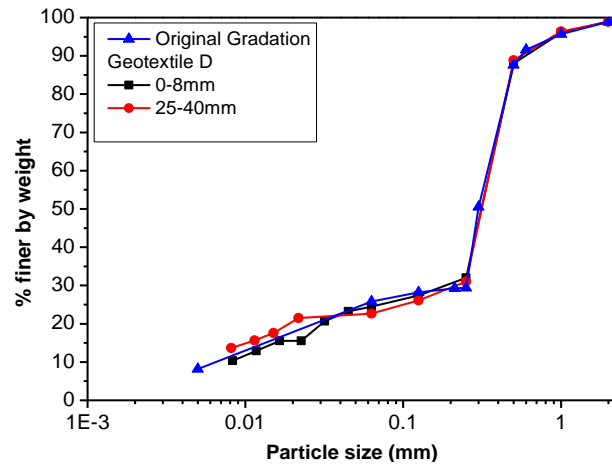
Fig. 8. Post-test gradation of narrowly-graded soils



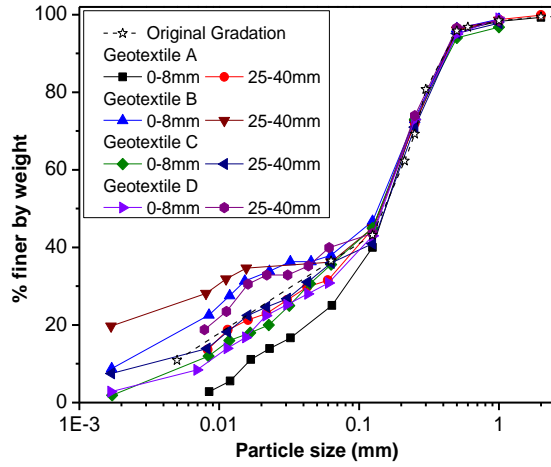
(a) 85% MS/15% PFA



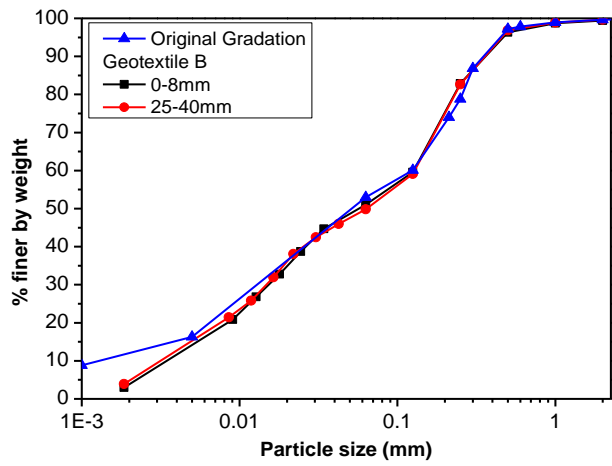
(b) 80% MS/20% PFA



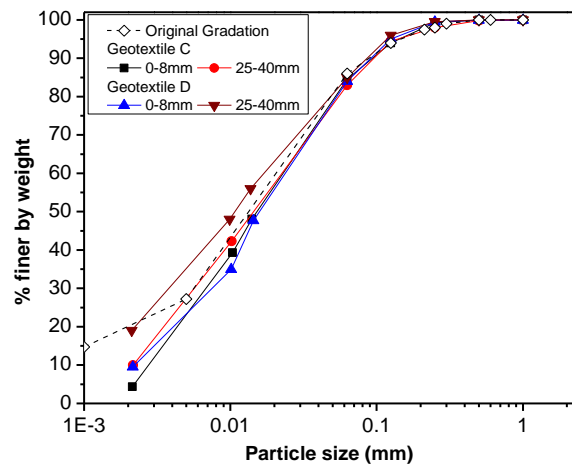
(c) 70% MS/30% PFA



(d) 60% MS/40% PFA



(e) 40% MS/60% PFA



(f) PFA

Fig. 9. Post-test gradation of broadly-graded soils

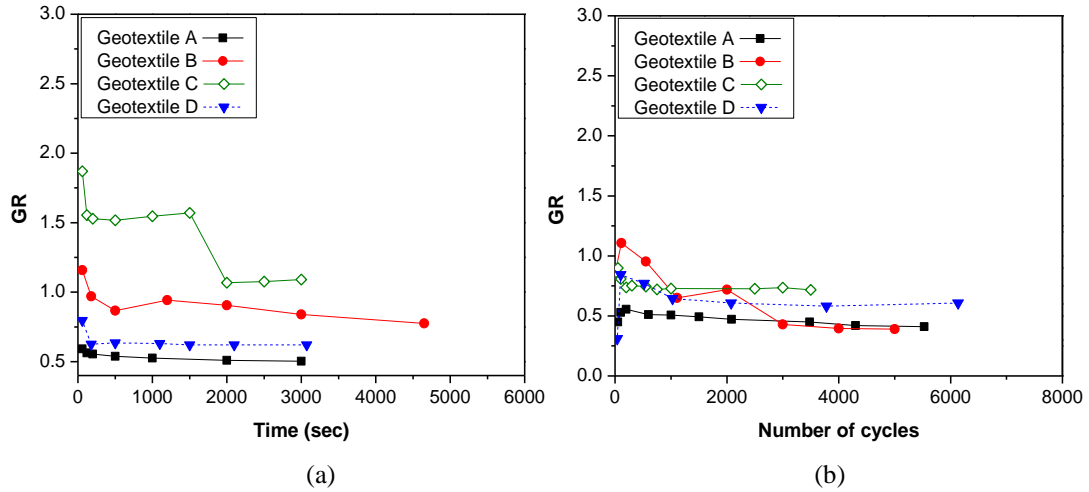


Fig. 10. GR of 60% MS/40% PFA gradations: (a) static stage and (b) cyclic stage

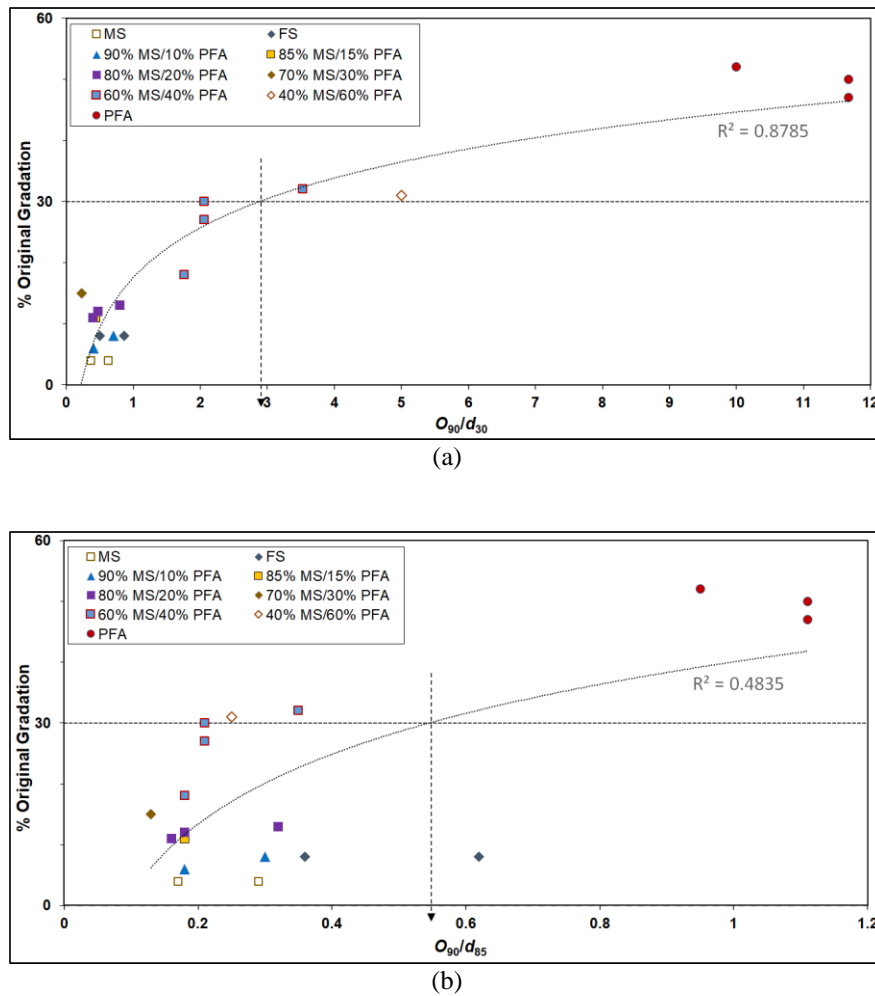


Fig. 11. Retention criteria on the basis of O_{90} : (a) O_{90}/d_{30} versus % original gradation and (b) O_{90}/d_{85} versus % original gradation

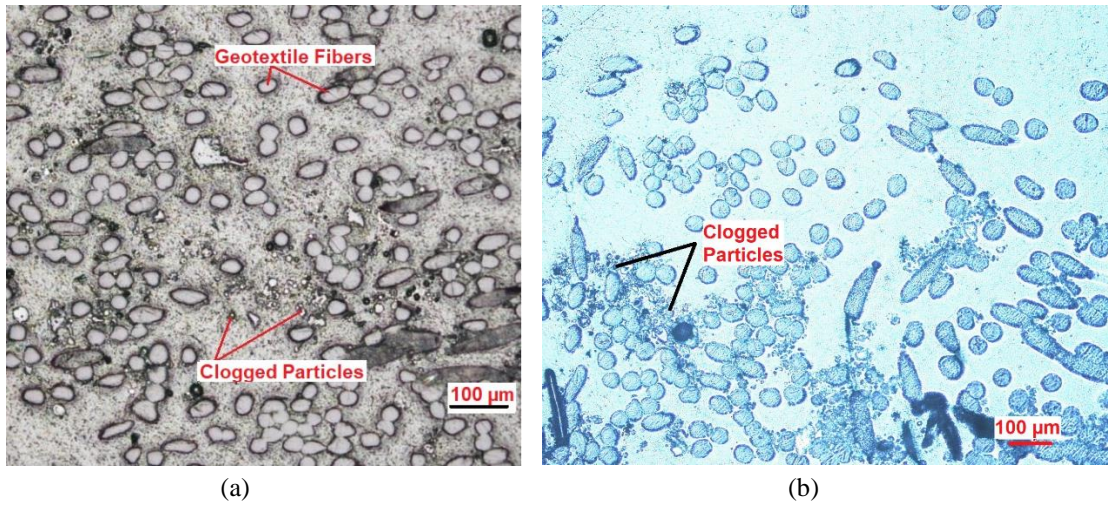
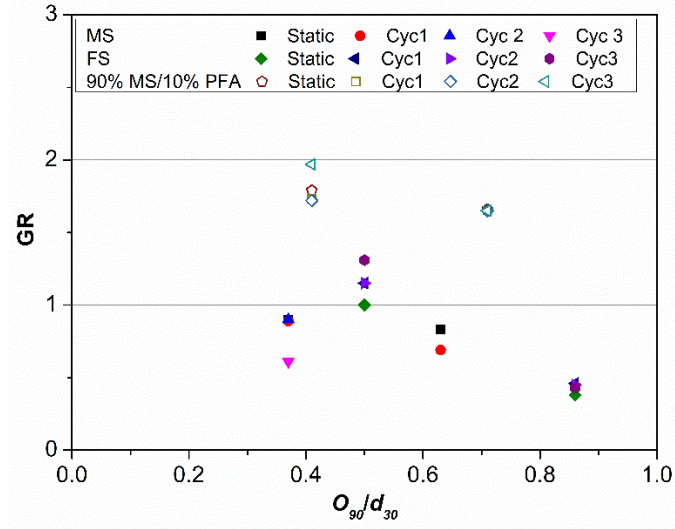
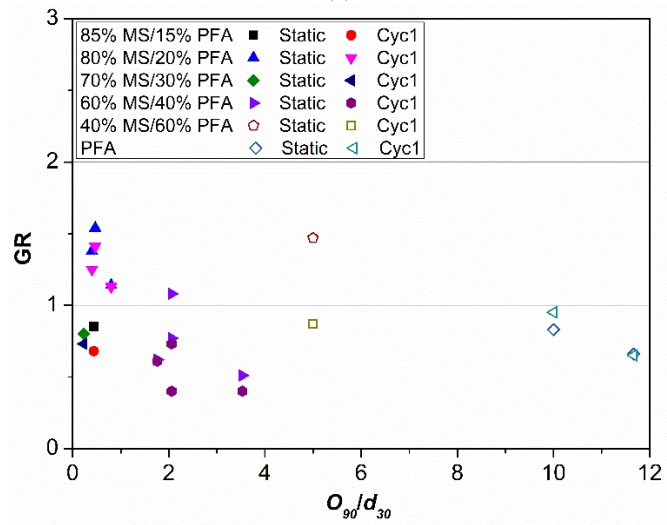


Fig. 12. Microscopic image of geotextile samples after testing: (a) geotextile B with 90% MS/10% PFA, and (b) geotextile D with PFA



(a)



(b)

Fig. 13. Summary of filtration test results in terms of GR vs O_{90}/d_{30} : (a) narrowly graded soils and (b) broadly graded soils

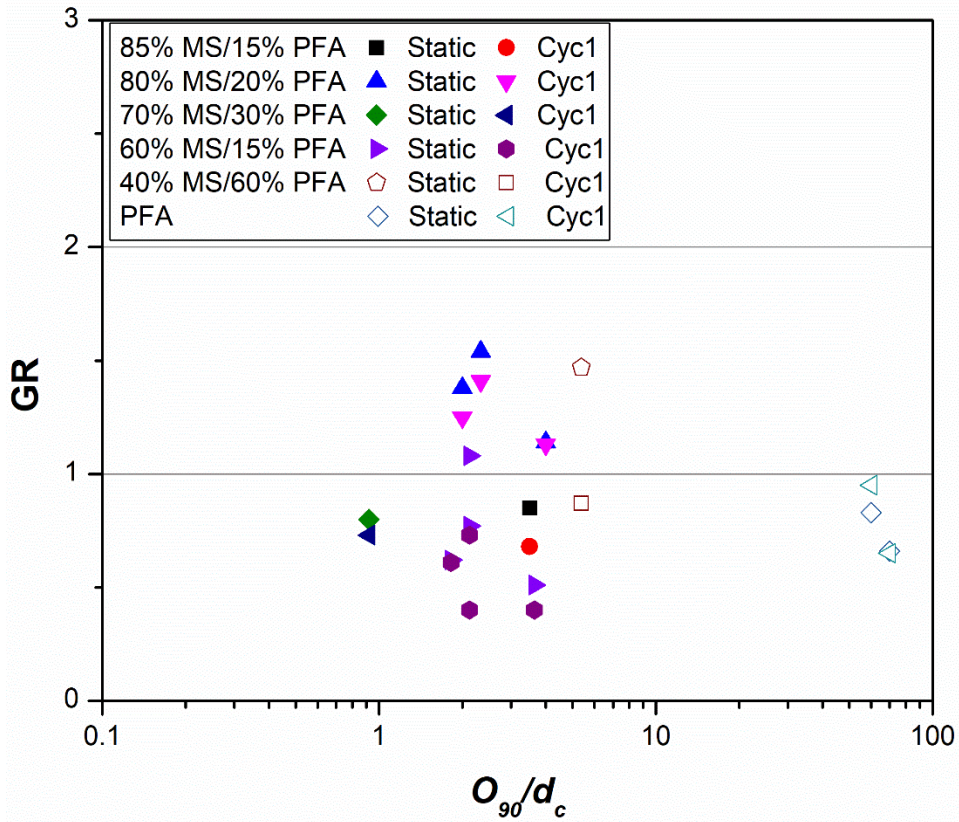


Fig. 14. Summary of filtration test results of broadly-graded soils in terms of GR vs O_{90}/d_c

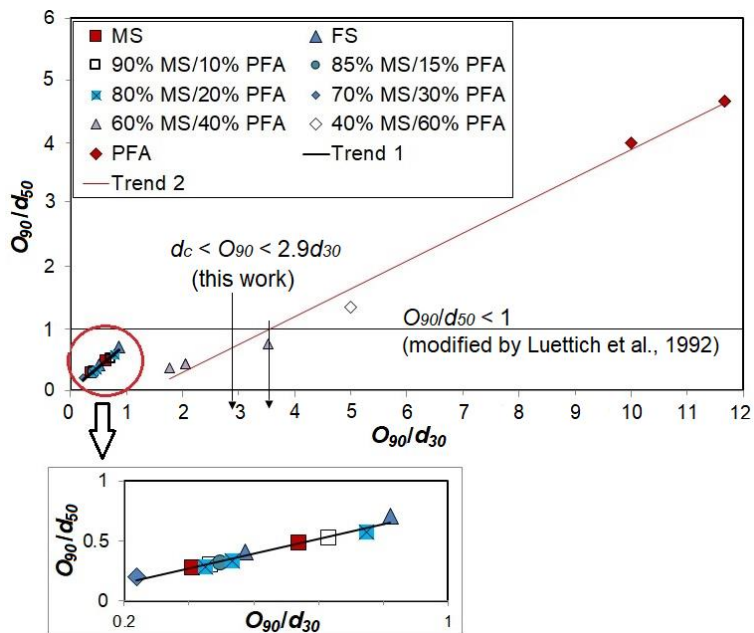


Fig. 15. Comparison of Luetlich et al. (1992) criterion with current test results

Table. 1 Geotextile filter criteria for static and dynamic conditions

Requirement	Conditions	Source	Broadly-graded soils	Uniformly graded soils
Avoiding piping	Static	Lafleur, 1999	For internally stable soils $O_{95} < d_i$ where: $d_i = d_{50}$ for linearly graded soils $d_i = d_{30}$ for soils with concave upward gradation $d_i = d_g$ for gap-graded soils, where d_g is the minimum gap size For internally unstable soils $d_{30} < O_{95} < 5d_{30}$	$O_{95} < d_{85}$
	Dynamic	Luetlich et al., 1992	$O_{95} < d_{50}$	$O_{95} < d_{50}$
		Holtz et al., 2008	$O_{95} < 0.5d_{85}$	$O_{95} < 0.5d_{85}$
Avoiding clogging/blinding	Static	Hameiri, 2000	$O_{95} > d_{30}$ (for internally unstable soils)	$O_{95} > d_{30}$ (for internally unstable soils)
		Lafleur, 1999	$O_{95} > d_{30}$ (for internally unstable soils)	-
	Dynamic	Luetlich et al., 1992 and this paper	Use O_{95} that satisfies retention criterion. Porosity of non-woven geotextile should be greater than 30%. Then filtration tests may be performed to evaluate the clogging potential with a given soil.	Use O_{95} that satisfies retention criterion. Porosity of geotextile should be greater than 30%. Then filtration tests may be performed to evaluate the clogging potential with a given soil.
Avoid build-up of excessive pore water pressure at soil-geotextile interface	Static	Holtz et al., 2008	$k_{geotextile} \geq k_{soil}$	$k_{geotextile} \geq k_{soil}$
	Dynamic	Holtz et al., 2008	$k_{geotextile} \geq 10k_{soil}$	$k_{geotextile} \geq 10k_{soil}$

$k_{geotextile}$, k_{soil} – geotextile and soil permeability, respectively.

Table 2. Properties of selected geotextiles

Property	Geotextile			
	A	B	C	D
	Needle-Punched & Thermally Bonded (NP-TB)		Needle-Punched (NP)	
Characteristic opening size, O_{90} , μm	120	70	70	60
Permeability normal to the plane, m/s	115×10^{-3}	80×10^{-3}	75×10^{-3}	15×10^{-3}
Tensile strength, kN/m	9.5	20	14.4	7
Mass per unit area, g/m^2	120	250	237	1200
Thickness, mm	1.2	1.75	1.1	8

Table 3. Description of Soils

Soil	Symbol	d_{85} (mm)	d_{50} (mm)	d_{30} (mm)	d_{15} (mm)	C_u	Gradation
Medium Sand	MS	0.420	0.250	0.190	0.150	1.9	NG
Fine Sand	FS	0.195	0.17	0.14	.085	2.4	NG
90% Medium Sand 10% PFA	90% MS/10% PFA	0.400	0.230	0.170	0.115	5.9	NG
85% Medium Sand 15% PFA	85% MS/10% PFA	0.400	0.220	0.160	0.055	13.5	BG
80% Medium Sand 20% PFA	80% MS/20% PFA	0.380	0.210	0.150	0.030	20	BG
70% Medium Sand 30% PFA	70% MS/30% PFA	0.470	0.300	0.260	0.015	52.3	BG-GG
60% Medium Sand 40% PFA	60% MS/40% PFA	0.340	0.160	0.034	0.008	46.5	BG
40% Medium Sand 60% PFA	40% MS/60% PFA	0.285	0.052	0.014	0.005	81.2	BG
PFA	PFA	0.063	0.015	0.006	0.001	38.3	BG

NG: narrowly-graded, BG: broadly-graded, GG: gap-graded

Table 4. Summary of soil internal stability classification

Soil Type	Soil Gradation	Kezdi (1979)	Kenney and Lau (1985,1986)	Burenkova (1993)
MS	NG	S	S	S
FS	NG	S	S	U
90% MS/10% PFA	NG	U	S	S
85% MS/15% PFA	BG	U	U	U
80% MS/20% PFA	BG	U	U	U
70% MS/20% PFA	BG	U	U	U
60% MS/40% PFA	BG	U	U	U
40% MS/60% PFA	BG	U	U	S
PFA	BG	U	U	S

NG = narrowly-graded; BG = broadly-graded; S = stable; U = unstable

Table 5. Filtration test results for narrowly-graded soils

Geotextile	Soil	O_{90}/d_{85}	Coefficient of permeability ($\times 10^{-5}$ m/s)								GR (static)	GR (cyclic)			Mass of particles collected (g/m^2)	Particles retained inside geotextile (g/m^2)
			k_s static	k_{sg} static	k_s cyc1	k_{sg} cyc1	k_s cyc2	k_{sg} cyc2	k_s cyc3	k_{sg} cyc3		Cyc1	Cyc2	Cyc3		
A	MS	0.29	4.29	5.14	4.16	5.20	-	-	-	-	0.83	0.69	-	-	311	128
C	MS	0.17	3.33	3.78	3.41	3.81	3.01	3.36	2.05	3.33	0.90	0.89	0.9	0.61	189	188
A	FS	0.62	0.64	1.70	0.65	1.40	0.66	1.47	0.66	1.51	0.38	0.46	0.45	0.43	215	160
B	FS	0.36	0.67	0.63	0.83	0.69	0.84	0.71	1.11	0.81	1.00	1.15	1.15	1.31	154	96
A	90% MS/10% PFA	0.30	0.26	0.16	0.27	0.17	0.27	0.17	0.29	0.18	1.66	1.65	1.65	1.65	171	133
B	90% MS/10% PFA	0.18	0.30	0.16	0.29	0.17	0.30	0.17	0.38	0.20	1.79	1.73	1.72	1.97	143	137

Table 6. Filtration test results of broadly-graded soils

Soil	Geotextile	$\frac{O_{90}}{d_{85}}$	Coefficient of permeability (10^{-6} m/s)				GR		Mass of Particles Collected (g/m ²)	Mass of particles inside geotextile (g/m ²)
			k_s Static	k_{sg} Static	k_s Cyc1	k_{sg} Cyc1	Static	Cyc1		
85%MS/15%PFA	B	0.18	8.3	9.8	7.4	11	0.85	0.68	125	78
80%MS/20%PFA	A	0.32	0.73	0.64	0.74	0.66	1.14	1.13	310	120
80%MS/20%PFA	B	0.18	0.65	0.42	0.65	0.46	1.54	1.41	290	220
80%MS/20%PFA	D	0.16	0.66	0.48	0.71	0.57	1.38	1.25	259	360
80%MS/20%PFA*	D	0.16	0.64	0.50	0.69	0.57	1.27	1.22	236	388
70%MS/30%PFA	D	0.13	0.26	0.33	0.18	0.24	0.80	0.73	143	888
60%MS/40%PFA	A	0.35	0.21	0.42	0.16	0.39	0.51	0.40	2707	187
60%MS/40%PFA	B	0.21	0.11	0.15	0.03	0.08	0.77	0.40	480	188
60%MS/40%PFA	C	0.21	0.33	0.31	0.11	0.15	1.08	0.73	370	241
60%MS/40%PFA	D	0.18	0.11	0.18	0.11	0.19	0.62	0.61	300	425
40%MS/60%PFA	B	0.25	0.18	0.12	0.09	0.1	1.47	0.87	275	327
PFA	C	1.11	0.07	0.11	0.09	0.13	0.66	0.65	410	425
PFA	D	0.95	0.15	0.18	0.24	0.25	0.83	0.95	392	800

*Repeated test

Table 7. Comparison of d_{90p} and original sample for narrowly-graded soils

Geotextile	Soil	d_{90p} μm	% original gradation	Kezdi (1979)		Kenney and Lau (1985, 1986)	
				% finer by weight at $(D_{15c}/d_{85f})_{max}$	% finer by weight showing $D_{15c}/d_{85f} > 4$	% finer by weight at $(H/F)_{min}$	% finer by weight showing $H/F < 1$
A	MS	35	<4	5	-	30	-
C	MS	30	<4	5	-	30	-
A	FS	18	<8	10	-	30	-
B	FS	15	<8	10	-	30	-
A	90%MS/10%PFA	25	8	5	5-12	4.5	-
B	90%MS/10%PFA	20	6	5	5-12	4.5	-

Table 8. Comparison of d_{90p} and original sample for broadly-graded soils

Geotextile	Soil	d_{90p} μm	% original gradation	Assessed loss of soil structure	Kezdi (1979)		Kenney and Lau (1985,1986)	
					% finer by weight at $(D_{15}/d_{85})_{max}$	% finer by weight showing $D_{15}/d_{85} > 4$	% finer by weight at $(H/F)_{min}$	% finer by weight showing $H/F < 1$
B	85% MS/15% PFA	25	11	NL-MSS	5	5-15	10	7-11
A	80% MS/20% PFA	22	13	NL-MSS	5	5-20	15	9-17
B	80% MS/20% PFA	17	12	NL-MSS	5	5-20	15	9-17
D	80% MS/20% PFA	15	11	NL-MPS	5	5-20	15	9-17
D	70% MS/30% PFA	16	15	NL-MSS	5	5-30	25	10-26
A	60% MS/40% PFA	40	32	PSL	10	10-30	30	15-30
B	60% MS/40% PFA	35	30	PSL	10	10-30	30	15-30
C	60% MS/40% PFA	27	27	PSL	10	10-30	30	15-30
D	60% MS/40% PFA	11	18	NL-MPS	10	10-30	30	15-30
B	40% MS/60% PFA	16	31	PSL	12	12-17	30	20-30
C	PFA	15	47	PSL	20	15-20	15	15-17
D	PFA	17	52	PSL	20	15-20	15	15-17

PSL = primary structure loss; NL-MSS = No loss – movement of secondary structure; NL-MPS = No loss – movement of primary structure