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**Authors:** M. W. Khan, A. R. Dawson, A. M. Marshall

**Email addresses:** [khan.mw@outlook.com](mailto:khan.mw@outlook.com), [andrewdawson@gmx.com](mailto:andrewdawson@gmx.com),

[alec.marshall@nottingham.ac.uk](mailto:alec.marshall@nottingham.ac.uk)

**Corresponding author:** M. W. Khan; Email: [khan.mw@outlook.com](mailto:khan.mw@outlook.com)

# Filtration Performance of Non- Woven Geotextiles with Internally-stable and -unstable Soils under Dynamic Loading

M. W. Khan<sup>1</sup>, A. R. Dawson<sup>2</sup>, A. M. Marshall<sup>3</sup>

<sup>1</sup> PhD, Department of Civil Engineering, Faculty of Engineering, University of Nottingham, University Park, Nottingham, United Kingdom, Email: [khan.mw@outlook.com](mailto:khan.mw@outlook.com)

<sup>2</sup> Associate, Department of Civil Engineering, Faculty of Engineering, University of Nottingham, University Park, Nottingham, United Kingdom, Email: [andrewdawson@gmx.com](mailto:andrewdawson@gmx.com)

<sup>3</sup> Associate Professor, Department of Civil Engineering, Faculty of Engineering, University of Nottingham, University Park, Nottingham, United Kingdom, Email: [alec.marshall@nottingham.ac.uk](mailto:alec.marshall@nottingham.ac.uk)

## Abstract:

In many applications, geotextiles are subjected to dynamic loading conditions, for example, below roads and railways, for which a Gradient Ratio (GR) test is often used to assess filtration compatibility of soil-geotextile systems. This paper presents results from GR filtration tests with internally-stable and -unstable soils under dynamic loading conditions. In the tests, four non-woven geotextiles were used with varying types of soils under a hydraulic gradient of 5. Test results were interpreted in terms of GR values, permeability values, and mass and gradation characteristics of the soil before/after testing as well as the particles passing through the geotextiles. The test results show that the dynamic loading resulted in an increase of soil migration within the soil as well as an increase in the quantity of soil passing through the geotextiles. The available criteria for evaluating the internal stability of soils are evaluated based on the experimental data. Based on the test results, improvements to filter retention design criteria are suggested which take into account the internal stability of soils under dynamic loading.

## Keywords

Geosynthetics, soil/geotextile system, gradient ratio test, filtration, dynamic conditions, soil retention, blinding, clogging

## 28 1. Introduction

### 29 1.1 Background

30 Geotextiles are widely used as filters in many civil engineering applications, for example, for  
31 erosion control around trench-, pavement edge-, interceptor- and structure drains, and beneath  
32 permeable base courses (Holtz et al., 2008). A geotextile filter should satisfy the twin criteria of  
33 retention and permeability. The retention criterion ensures that the filter openings are small  
34 enough to stop the excessive erosion of soil particles, while the permeability criterion ensures  
35 that filter openings are large enough to allow free drainage of water. Carroll (1983) showed that  
36 a complete filter design criteria is not possible based on considering just retention and  
37 permeability criteria as the filtration system could still fail by clogging of fine particles inside  
38 geotextile pores (thereby reducing its permeability).

39 The geotextile filter criterion is usually expressed in terms of an  $O_f/d_i$  ratio, where  $O_f$  is the  
40 opening size of the  $f^{\text{th}}$  percentile geotextile pore (where  $f$  is large) and  $d_i$  is the indicative grain  
41 size (where  $i$  indicates the cumulative percentage quantities finer than this size). Often different  
42 values of the ratio and/or of  $f$  and  $i$  are defined for different soil types so that the varying abilities  
43 of soil types to establish a self-filtering structure are appropriately recognised. The capitalization,  
44  $O$ , indicates the protecting material whilst the lower case,  $d$ , indicates the protected material.  
45 Later, the paper will use the term  $d$  to indicate the diameter of a protecting soil's grains.

46 A soil-geotextile interface may undergo uni-directional, multi-directional, steady, or dynamic  
47 flow conditions. Under steady-state unidirectional conditions, the soil on the geotextile upstream  
48 may form a self-filtration layer, also known as a bridging network, at the geotextile interface  
49 (Rollin and Lombard, 1988). The bridging network formation occurs when the fine particles near

50 the filter interface pass through the filter and the coarse particles are retained at the filter  
51 interface. In turn, the coarse particles stop the fine particles and this process continues until the  
52 soil filtration zone (bridging network) stabilizes, leading to the hydraulic equilibrium of the soil–  
53 geotextile system (Bhatia and Huang, 1995; Giroud, 2010; Moraci, 2010; Stoltz et al., 2019; Wu  
54 et al., 2021).

55 Dynamic flow conditions may be unidirectional (e.g. below roads and railways) or cyclic (e.g.  
56 wave loading against bank revetments, seawalls). The change of flow direction (whether large  
57 scale and uniform, e.g. due to tidal ebb and flow, or only local at pore scale due to mechanically  
58 induced water pressure pulses) tends to dislodge particles at the upstream geotextile surface so  
59 that a stable bridging network never forms (Giroud, 1982; Cazzuffi et al., 1999; Fauré et al.,  
60 2010).

61 Fauré et al. (2010) concluded that thick geotextiles which have larger numbers of constrictions  
62 (passages between fibers) are suitable for bank protection under cyclic flow conditions because  
63 soils adjacent to such geotextiles are less likely to be affected by the up and down drag forces of  
64 the flow. However, the probability of there being small constrictions within the geotextile  
65 increases when increasing its thickness and this increases the potential for clogging (Bell and  
66 Hicks, 1980, Mannsbart and Christopher, 1997).

67 The filter design retention criteria are well established for steady-state flow conditions. However,  
68 the filter requirements for dynamic flow conditions become conservative due to destabilization  
69 of the bridging network. Kenney and Lau (1985) indicated that the mechanical disturbance had a  
70 significant effect on the filtration behaviour of their tested materials. Internally-stable soils which  
71 are assessed on the basis of grain size distribution may experience washing out of fines similar to  
72 internally-unstable soils (i.e. some grains can move through the soil voids under the action of

73 water flow) under dynamic conditions (Trani and Indraratna, 2010). The coarse particles of a soil  
74 interlock with each other and provide a primary load-carrying structure. The fine fraction fills the  
75 gaps between the coarse fraction, forming the soil's secondary structure which may provide  
76 stability to the coarse fraction, depending on its proportion. If fine particles are lost, this may  
77 result in the primary structure becoming unstable, depending on the portion of fines lost (Yideti  
78 et al., 2013; Kenney and Lau, 1985). Kenney and Lau (1985) found that the amount of critical  
79 fine content (i.e. to completely fill the voids between coarse particles) is, at most, 20% for  
80 broadly graded soil (coefficient of uniformity  $C_u = d_{60}/d_{10} > 3$ , where  $d_{60}$  and  $d_{10}$  represent the  
81 particle size for which 60% and 10% of particles have a smaller size, respectively) and 30% for  
82 uniformly graded soil. Internally-unstable soils are usually broadly-graded soils which have  
83 potential for erosion of finer particles, leaving the coarser fraction less effective in protecting  
84 adjacent materials from erosion (Wan and Fell, 2008).

85 The internal stability of soil from a particle packing point of view has been studied by Istomina  
86 (1957); Kenney and Lau (1985,1986); Kézdi (1979); Burenkova (1993); Wan and Fell (2008).  
87 Lafleur et al. (1989) set the undesirable piping limit through geotextiles at 2500 g/m<sup>2</sup>. The Kezdi  
88 (1979), Kenney and Lau (1985, 1986) and Burenkova (1993) are the most widely used criteria  
89 for evaluating the internal stability of soils in engineering practice (Li, 2008; Elandaloussi,  
90 2014). The Kezdi (1979) criterion divides a soil into a coarser and finer component at an  
91 arbitrary grain diameter  $d_0$  (see Fig. 1(a)). According to the Kezdi (1979) criterion, a soil is  
92 termed as internally-unstable when  $(D_{15c}/d_{85f})_{\max} > 4$ , where  $D_{15c}$  corresponds to the diameter for  
93 which 15% of the grains by weight of the coarse fraction is smaller, while  $d_{85f}$  corresponds to the  
94 diameter for which 85% grains by weight of the fine fraction is smaller. Kezdi's criterion  
95 suggests that a geosynthetic with a constriction size no smaller than  $D_{15}/4$  should retain the  $d_{85f}$

96 fraction which will result in the self-filtration of the soil. The popular Kenney and Lau (1985,  
97 1986) method is based on shape analysis of the grain-size distribution (see Fig. 1(b)). A  $F-H$   
98 curve is plotted to evaluate the possibility of the soil being internally-unstable, where  $F$  is the  
99 percent passing of particles at a given diameter  $d$  and  $H$  is the percent passing of particles  
100 between  $d$  and  $4d$ . Internal instability is to be expected if the minimum value of  $H/F$ ,  $(H/F)_{\min}$ , is  
101 less than 1.3 for any value of  $F$  that is  $\leq 20\%$  for broadly-graded soils (or  $\leq 30\%$  for uniformly-  
102 graded soils). The boundary was amended to  $H/F = 1$  (Kenney and Lau, 1986) upon discussion  
103 of the data by Milligan (1986). Burenkova (1993) proposed a criterion for measuring the internal  
104 stability of cohesionless soils, using two conditional factors of uniformity to describe the  
105 heterogeneity of soils:  $h_1 = d_{90}/d_{60}$  and  $h_2 = d_{90}/d_{15}$ . The  $d_{90}/d_{60}$  ratio represents the slope of the  
106 coarse part of the particle size distribution plot while  $d_{90}/d_{15}$  measures the gradation width.  
107 According to the criterion, a soil is considered non-suffusive (internally stable) if it satisfies  
108 Eq.1:

$$109 \quad 0.76 \cdot \log(h_2) + 1 \leq h_1 \leq 1.86 \cdot \log(h_2) + 1 \quad (1)$$

110 An important role of a geotextile filter is to hold back erodible fine particles. Thus, this role is  
111 more critical, and more demanding, when the soil next to it is internally unstable – having a  
112 major influence on the selection of an appropriate geotextile and on the long-term performance  
113 of the soil/geotextile system. Yet, in the case of dynamic loading, the current design criteria  
114 (Heerten, 1982; Holtz et al., 1997; Narejo, 2003) do not account for the internal stability of soils.  
115 For this reason, this paper addresses this topic, hoping to provide a solution.

116 The design criteria which are applicable to static and dynamic conditions are given in Table 1.  
117 Lafleur (1999) criterion suggests that, for internally stable soils,  $O_{95}/d_i$  ratio should be less than 1  
118 to avoid piping, where  $d_i$  is the diameter of eroded particle which depends on the soil gradation.

119 However, for internally unstable soils, the retention criterion must be relaxed and  $O_{95}$  must be  
120 compromised between  $d_{30}$  and  $5*d_{30}$ . Luettich et al. (1992) criterion suggests that a soil will be  
121 susceptible to piping if it shows an  $O_{95}/d_{50}$  ratio greater than 1 . This criterion is specified for  
122 non-plastic soils and is applicable to filters under conditions of severe wave attack (Koerner,  
123 2012). Luettich et al. (1992) recommended to perform filtration tests to evaluate the clogging  
124 potential of geotextile filter with a given soil. The criterion suggested by Holtz et al. (2008) is  
125 slightly stringent which recommends that  $O_{95}/d_{85}$  ratio should be less than 0.5 to avoid piping  
126 under dynamic and cyclic flow conditions. Lafleur (1999) and Hameiri (2000) suggested that  
127  $O_{95}/d_{30}$  ratio should be greater than 1 to avoid blinding/ clogging of geotextile filters with  
128 internally unstable soils.

129 A common application of geotextiles as filters is around pavement edge drains and trench drains,  
130 where unstable soils may exist (Holtz et al., 2008) in dynamically loaded environments. Very  
131 little research has been done to assess the filtration performance of geotextiles under highways  
132 and railways (Bell et al., 1982; Hoare, 1982; Alobaidi and Hoare, 1996, Fatahi et al., 2011,  
133 Kermani et al., 2018). Apparatus used to test filters have lacked control over stresses as well as  
134 precision of measurements (Khan et al., 2018). In addition, the internal stability of soils has not  
135 been taken into account.

136 A gradient ratio (GR) test is often used for evaluating the clogging potential of soil-geotextile  
137 systems (ASTM D5101). In the GR test, a rigid wall permeameter accommodates a cylindrical  
138 sample of 100 mm length and diameter placed on a geotextile (Fig. 2). Manometers are installed  
139 at various positions down the wall of the permeameter to measure the head loss in the soil and at  
140 the soil-geotextile interface, so that these may be compared under different hydraulic gradients.  
141 The GR, with reference to manometer port locations 3, 5 and 7 (see Fig. 2), can be defined as:

142 
$$GR = \frac{(h_{57}/L_{sf})}{(h_{35}/L_s)} \quad (2)$$

143 where  $h_{57}$  and  $h_{35}$  are water head across the soil-geotextile interface (between Ports 5 and 7) and  
144 within the soil (between Ports 3 and 5). The test procedure requires recording the data of water  
145 heads and flow under each imposed hydraulic gradient.

146 Calhoun (1972) and Haliburton (1982) concluded that GR values can be used to evaluate the  
147 filtration compatibility of soil/geotextile interfaces – i.e. no unacceptable piping of soil through  
148 the geotextile, nor clogging of soil in the geotextile or near its surface. A value of  $GR = 1$   
149 suggests an ideal condition with a uniform head loss occurring through the soil sample and filter.  
150 A decreasing GR ( $<1$ ) indicates that soil particles are passing through the geotextile, allowing  
151 piping, whereas an increasing GR ( $>1$ ) is symptomatic of clogging in, or immediately adjacent to  
152 the upstream face of the geotextile. For this reason, the U.S. Army Corps of Engineers (1977)  
153 proposed that the GR of soil-geotextile composite systems should be less than 3 to avoid the  
154 potential for catastrophic clogging.

## 155 **1.2 Aim**

156 This paper evaluates the filtration compatibility of geotextiles with internally-stable and -  
157 unstable soils under realistic dynamic loading conditions typical of roadways. The internal  
158 stability of the adopted soils is assessed using several existing methods from the literature  
159 (Kezdi, 1979; Kenney and Lau, 1985, 1986; Burenkova, 1993). Experimental data are presented  
160 and analysed from tests on different soil-filter combinations. Key outcomes from the tests are  
161 presented and discussed.

162 **2. Test Materials**

163 **2.1. Geotextiles**

164 Filtration tests were performed on four non-woven polypropylene geotextile specimens of  
165 varying fabrication (see Table 2). The geotextile specimens were selected due to their frequent  
166 use as filter geosynthetics for applications such as pavement edge drains and under bank  
167 revetments. The characteristic opening size  $O_{90}$  of geotextiles represents the near-largest  
168 constriction size of pore channels, measured according to EN ISO 12956 (2019). Geotextile D  
169 was mainly selected due to its comparatively high thickness compared to the other geotextile  
170 specimens.

171 **2.2. Soils**

172 The type of soils used in the filtration test program are sand, pulverised fuel ash (PFA) and a  
173 mixture of these soils in different proportions to make different gradations of test soils. The sand  
174 can be classified as medium sand (MS) according to BSI (2015). PFA is a solid waste from the  
175 combustion of coal with a high temperature (about 10,000°C) in coal-based power stations with  
176 the majority of particles being smaller than 63µm. Fly ash is used in most subgrade applications  
177 to provide a stable working platform for construction equipment (Ferguson, 1993; Nicholson and  
178 Kashyap, 1993). Despite the increase in reuse of PFA in pavements, very limited information is  
179 available about the filtration compatibility of geotextiles with PFA. Kutay and Aydilek (2003)  
180 state that a geotextile interface with PFA material is more prone to clogging due to the excessive  
181 amounts of fines in PFA. Therefore, PFA, alone or mixed with sand, should provide a  
182 demanding test environment to investigate the clogging behaviour of geotextiles.

183 The particle size distributions of the adopted medium sand and PFA are shown in Fig. 3(a). The  
184 gradation of the PFA blends well with that of the medium sand to make different gradations of  
185 composite test soils (Fig. 3(b)).

186 A fine sand (FS in Fig.3(b)) was also used and was obtained from the medium sand by removing  
187 coarse particles (0.25mm and above) and, therefore, has proportionately more fines (8%) passing  
188 through the 63 $\mu$ m sieve compared to the medium sand (4%) (see Fig. 3(b)). Hydrometer sieve  
189 analysis below 63 $\mu$ m was not carried out for the medium sand and fine sand given the low  
190 proportion of particles of this size in these materials. The 70% MS/30% PFA was a gap-graded  
191 soil, without particles sized between 0.09mm and 0.250mm. It has a gap location at 30% finer by  
192 weight and a gap width ratio of 2.77 (gap width ratio is defined as the ratio of the largest to the  
193 smallest size of the gap). Honjo et al. (1996) considered a gap width ratio of 4 as an upper limit  
194 for gap-graded soil's internal stability (under mild vibration conditions), suggesting that soils  
195 having a gap width ratio above 4 should be considered internally-unstable and below this limit as  
196 internally-stable. Gap-graded soils are more likely to internally erode, which makes them  
197 problematic when used in conjunction with filters (FEMA, 2011).

198 The characteristic particle sizes of soil samples are provided in Table 3. A soil with a coefficient  
199 of uniformity,  $C_u$ , greater than 6 is generally termed broadly-graded (Lafleur, 1999). Table 3  
200 shows that the medium sand, fine sand and 90% MS/10% PFA are narrowly-graded soils whilst  
201 the remainder are broadly-graded, except for one gap-graded soil (70% MS/30% PFA).

### 202 **2.3. Internal Stability of Soils**

203 Fig. 1 and Table 4 summarize the results of evaluation of internal stability of test soils using  
204 different criteria. Soil samples evaluated on the basis of Kezdi's method except the MS and FS

205 samples are internally-unstable where finer particles are susceptible to erode through the pore  
206 size constrictions of coarser particles and are expected to accumulate in a layer adjacent to the  
207 filter, blinding it (Rollin and Lombard, 1988).

208 The stability analysis of soils by the Kenney and Lau method is given in Table 4. Fig.1(b) shows  
209 that for the 85% MS/15% PFA sample, the  $(H/F)_{\min}$  is less than 1 at  $F=10\%$  so this soil is  
210 classified as an internally-unstable soil, which will therefore be susceptible to finer particles  
211 packing at the soil-geotextile interface, resulting in blinding of geotextiles (Lafleur, 1999).  
212 Therefore, the filtration opening size of the geotextile must be large enough to permit the  
213 washing of these particles upon flow of water.

214 Fig. 1(c) plots the soils previously listed in Table 3 against Burenkova's criterion. The Kezdi  
215 (1979) and Kenney and Lau (1985,1986) criteria give the same stability classification for  
216 broadly-graded soils (see Table 4).

### 217 **3. Specimen Preparation**

218 Test specimens were prepared in a dynamic GR apparatus (Khan et al. (2018); see Fig. 4) which  
219 can accommodate samples 50mm in diameter and 100mm high. The dynamic GR apparatus  
220 consists of:

- 221 • a modified triaxial cell to carry out filtration tests with a flexible membrane,
- 222 • two differential pressure transducers (DPTs) used in the triaxial cell; one to measure the  
223 pressure difference across the soil-geotextile interface ( $h_{g-25}$ ) and the other to measure the  
224 pressure difference within the soil sample from 25mm to 75mm above the geotextile ( $h_{25-  
225 75}$ ),

- 226 • a hydraulic system comprising a Mariotte bottle and a bottom reservoir to apply the  
227 hydraulic gradient ( $H/L$ , where  $H$  is a constant differential head and  $L$  is the thickness of  
228 soil and geotextile specimen) across the whole of the each test specimen, and
- 229 • an INSTRON machine to apply dynamic loading by a servo-controlled hydraulic  
230 actuator.

231 Grooves of 5mm were machined into the bottom pedestal of the triaxial cell to allow the soil  
232 particles that passed through the geotextiles to flow into the bottom reservoir. Some of the  
233 particles left in the bottom pedestal grooves were flushed into the bottom reservoir at the end of  
234 filtration tests. The rate of discharge was measured by connecting a graduated cylinder to the  
235 overflow of the bottom reservoir tank. A detailed description of the dynamic GR apparatus can  
236 be found in Khan et al. (2018).

237 Test soils were mixed with water at optimum moisture content and put in the rubber membrane  
238 in 4 equal layers of 25mm to avoid any segregation during placement of specimens. Each layer  
239 of the soil sample was compacted using 25 blows of a designed metallic hammer, having 50mm  
240 drop height. To simulate field conditions, all the test soils were compacted to achieve a density  
241 of 90 to 95% of standard compaction. Skempton's B values ( $B = \Delta u / \Delta \sigma_3$ , the ratio of increase in  
242 pore pressure for an applied increase in confining pressure) were checked before each test to  
243 ensure that specimens were adequately saturated. B-values between 0.8 and 0.9 were obtained  
244 for all tests, implying a degree of saturation of more than 99% for less compressible soils (Black  
245 and Lee, 1973). More details on specimen preparation can be found in Khan et al. (2018).

#### 246 4. Testing Program

247 In the filtration tests, a confining pressure ( $\sigma_3$ ) of 20kPa was applied to simulate static ground  
248 stresses anticipated below highways. This value is slightly higher than the confining pressure at  
249 typical edge drains to hold the specimen in place, without significantly affecting the pore  
250 pressure readings. A unidirectional flow condition through the soil and geotextile was controlled  
251 throughout testing by applying a constant differential head  $H$  (see Fig. 4) with a hydraulic  
252 gradient of 5, which is typical of field conditions if partial leakage is allowed through the  
253 pavement boundary (Lee and Bourdeau, 2006). The internally imposed hydraulic gradient across  
254 the samples was measured to be approximately 3.33-5.33, where the lower values of the  
255 hydraulic gradient are associated with the energy losses in the system (such as pipe fittings) due  
256 to increase in flow rate (soils with higher permeability). Such small variations in the imposed  
257 hydraulic gradient are acceptable to assess the filtration performance of soil/geotextile  
258 combinations (Fannin et al., 1994, Hameiri, 2000).

259 The testing program was performed by carrying out a static unidirectional (“step”) loading stage  
260 followed by a cyclic loading stage at 1Hz and 2Hz loading frequencies. The static stage was  
261 performed under isotropic loading conditions. In the next stages, the soil-geotextile specimens  
262 were subjected to cyclic axial loads, typical of roadway and railway environments. The average  
263 cyclic hydraulic gradients during the cyclic stages were within 10% of the hydraulic gradients  
264 during the static stages. All stages were continued until constant readings of pore pressure and  
265 permeability were observed. Filtration tests for the narrowly-graded soils were performed under  
266 3 cyclic loadings stages:

267 Cyclic Stage 1 (Cyc 1):  $q = 30\text{kPa}$  and loading frequency of 1Hz,

268 Cyclic Stage 2 (Cyc 2):  $q = 30\text{kPa}$  and loading frequency of 2Hz, and

269 Cyclic Stage 3 (Cyc 3):  $q = 60\text{kPa}$  and loading frequency of 1Hz.

270 The filtration tests for the broadly-graded soils were performed under static unidirectional  
271 loading stage followed by only one cyclic stage (cyclic stage 1). The applied load frequencies  
272 during the cyclic stages are within the typical traffic load frequency range of 0 to 10 Hz at  
273 subgrade level (Hyde et al., 1993; Zhang et al., 2020). The deviator stresses of 30kPa and 60kPa  
274 are applicable to typical pavement thicknesses of 450mm and 350mm, respectively. The  
275 pavement/design analysis software KENLAYER (Huang, 1993) was used to calculate the  
276 deviator stresses at the subgrade layer due to a standard (80kN) axle equipped with a single  
277 wheel at a typical tire pressure of 700kPa.

278 At the end of each test, soil samples were taken at 0-8mm and 25-40mm from the upper surface  
279 of the geotextile inside the rubber membrane in order to observe any change in soil gradation.  
280 The soil samples were oven-dried and then subjected to a hydrometer sieve analysis to find the  
281 particle size distribution. In order to identify any clogging sites, geotextile samples were left to  
282 dry and planar and cross-sectional thin sections were prepared as for thin sections of soil and  
283 rock (Fitzpatrick, 1984). The samples were impregnated and hardened inside a plastic mould of  
284 25mm diameter using a two-part epoxy. The samples were then ground with rotating grinding  
285 plates to remove surface irregularities and polished using polishing discs to remove damages due  
286 to the grinding process. The specimens were then inspected by a light microscope (Nikon  
287 LV100ND).

288 Soil particles washed through geotextile samples were collected in the bottom reservoir tank (see  
289 Fig. 4) and filtered from the water using wet strength filter paper (pore size 0.002mm) and then  
290 their dry weight was measured. Images of the collected soil particles were visually analysed  
291 using the Nikon microscope.

## 292 **5. Results**

293 Table 4 shows that narrowly-graded soils ( $C_u < 6$ ) were identified as internally-stable by at least  
294 two of the three geometric stability criteria, i.e. the Kézdi, Kenney and Lau and Burenkova  
295 criteria. For this reason, the filtration test results are discussed separately for narrowly- and  
296 broadly-graded soils.

297 A range of uncertainty of about 10% in the GR values is expected in carefully conducted tests  
298 due to the variability in properties of the materials (mainly geotextiles) and nature of the GR test  
299 (Palmeira et al., 1996). To confirm that the dynamic GR test is repeatable and the test results are  
300 reliable, one test was repeated with the geotextile sample D and 80% MS/20% PFA mix (Table  
301 6). Very good agreement is obtained for both tests and the variation within the GR values is  
302 within 10%. Based on this observation, a change of more than 10% in the GR values is  
303 considered significant.

### 304 **5.1. Filtration Behaviour of Narrowly-Graded Soils**

305 Six filtration tests were performed using narrowly-graded soils (MS, FS and 90% MS/10% PFA)  
306 with three different geotextiles (A, B and C) to assess their filtration behaviour under static and  
307 dynamic conditions achieving a range of  $O_{90}/d_{85}$  ratios (Table 5). One test was performed for  
308 one stage of cyclic loading while five tests were performed for two additional cyclic stages (see  
309 Section 4).

310 Fig. 5 shows that for the MS with geotextile sample A and C,  $k_{sg}$  was found to be more than  $k_s$ ,  
311 which means the soil-geotextile interface is more permeable than the parent soil. The GR value  
312 (see Eq. 2) is less than 1 during the static and cyclic stages which could be attributed to erosion  
313 of some fine particles near the geotextile interface without them significantly clogging the

314 geotextile (Table 5). The post-test gradation (Fig. 8(a)) suggests loss of some fines in the 0-8mm  
315 layer above geotextile samples A and C. Fig. 3(a) shows that the amount of fines smaller than the  
316  $O_{90}$  of geotextile A and C is 9% and 4%, respectively. This is probably why the amount of  
317 particles collected at the end of the filtration test for geotextile C is less than for geotextile A  
318 (Table 5). The  $k_s$  and  $k_{sg}$  were observed to be nearly constant for the respective static and cyclic  
319 stages, except for geotextile C where a reduction in the  $k_s$  and GR values occurred during cyclic  
320 stage 3. This is a consequence of an increase in water head within the soil ( $h_{25-75}$ ) which may be  
321 due to the increase in density of the soil sample under increase in deviator stress.

322 In the FS test series, the  $k_{sg}$  of geotextile sample A with FS shows that the soil-geotextile  
323 interface is more permeable than the parent soil (Fig. 6(a)). The GR of geotextile A during the  
324 static stage is 0.38 which is quite less than 1. It is possible that the fine particles at the soil base  
325 during the sample preparation penetrated through the thin geotextile. The post-test gradation  
326 (Fig. 8(b)) showed a slight increase in  $d_{20}$  for the 0-8mm layer above the geotextiles, suggesting  
327 loss of fines near the geotextile. The GR value increased ~20% to 0.46 during cyclic stage 1  
328 (Table 5). This is presumably due to the migration of soil particles towards the geotextile  
329 interface which were arrested by the geotextile. Cyclic stages 2 and 3 did not affect the filtration  
330 compatibility of the soil-geotextile interface. The permeability values of geotextile sample B  
331 with FS show that  $k_{sg}$  is less than  $k_s$  which suggests movement of fines from the soil towards the  
332 geotextile where clogging/blinding occurs. This different response of geotextile samples with FS  
333 can be explained in terms of the smaller  $O_{90}/d_{85}$  ratio of geotextile B with FS compared to  
334 geotextile A with FS (see Table 5). Further supporting evidence for this explanation is seen in  
335 the increase in GR, from 1 at the end of the static stage to ~30% to 1.31 at the end of cyclic stage

336 3, the soil permeability  $k_s$  increases in the fines-reduced soil, and the decreased water head within  
337 the soil ( $h_{25-75}$ ).

338 The permeability values for the 90% MS/10% PFA mix with both geotextile samples suggests  
339 that some clogging or blinding of the filtration system occurred (Fig. 7). The permeability values  
340 of the soil sample decreased with the increase of fines content of the soil (see Table 5). The  $k_s$   
341 values were noticeably higher than  $k_{sg}$  for both geotextile samples which resulted in a GR higher  
342 than 1.5, although the GR values are still less than the clogging limit of 3 set by U.S. Army  
343 Corps of Engineers (1977) which suggests that excessive clogging did not occur. The cyclic  
344 stages did not result in significant changes in the GR values.

## 345 **5.2. Filtration Behaviour of Broadly-Graded Soils**

346 Thirteen filtration tests were performed using broadly-graded soils with four different geotextiles  
347 to assess their filtration behaviour under static and dynamic conditions achieving a range of  
348  $O_{90}/d_{85}$  ratios (Table 6). All the tests were performed for one stage of cyclic loading. The  
349 broadly-graded soil samples were evaluated as internally-unstable according to the Kenney and  
350 Lau method (see Table 4). The GR value for most of the filtration tests is less than 1 (Table 6),  
351 i.e. the permeability of the soil-geotextile interface is greater than the permeability of the soil.  
352 The cyclic loading resulted in a decrease in GR which can be attributed to an increase in the  
353 amount of fines of the broadly-graded soils washed through the geotextile openings. The mass of  
354 soil particles washed through the geotextile samples was always less than  $500 \text{ g/m}^2$  except for  
355 60% MS/40% PFA with geotextile sample A which liberated  $2707 \text{ g/m}^2$ . Note that these  
356 filtration tests were performed under confined conditions and the soil samples were compacted to  
357 a dense state, which reduces the possibility of fine particles being washed through geotextile  
358 openings (Giroud, 2010, Shan et al., 2001, Fischer et al., 1990). Also, the specimens were

359 adequately saturated with backpressure such that B values between 0.8 and 0.9 were obtained for  
360 all tests, there was still air in specimens which might have reduced the flow rate. This reduced  
361 flow rate may have affected the fine particles migration within the coarse particles and towards  
362 the geotextile interfaces. The GR values are all less than 3 indicating that no serious clogging  
363 occurred. Based on the test results, the  $<2500 \text{ g/m}^2$  criterion, defined by Lafleur et al. (1989) for  
364 acceptable piping, is too high. A new piping criterion, on the basis of the amount of soil particles  
365 lost from the primary structure of soil, is discussed in Section 6.3.1.

## 366 **6. Discussion**

### 367 **6.1. Gradation of Particles Passed through Geotextiles**

368 It is important to compare the gradations of soil particles washed out through geotextiles with the  
369 original gradation of soil samples in order to find out whether the gradation of washed-out  
370 particles constitutes the primary (coarse fraction of particles touching each other) or secondary  
371 structure (fine fraction occupying space between the particles of the primary structure) of the  
372 base soil.

373 Tables 7 and 8 compare the  $d_{90}$  of particles washed out (termed here as  $d_{90p}$ ) with the base soil's  
374 original gradation for narrowly-graded and broadly-graded soils, respectively. The comparison of  
375 soil particles washed through geotextiles with the original gradation of soils have been carried  
376 out by various researchers (Hameiri, 2000, Palmeira et al., 2010, Palmeira and Totto, 2015). The  
377  $d_{90}$  values (see Tables 7 and 8) are quite smaller compared to the opening size of the geotextile  
378 samples (see Table 2). This difference can be explained by differences in the mobility of the  
379 particles. The characteristic opening size  $O_{90}$  of geotextiles is measured using wet sieving  
380 method in which the particles have flexibility to move across the geotextile until reaching a  
381 channel with a large opening. However, the particles mobility is restricted in the GR tests which

382 decrease their chances to find a large channel and pass through it (Hameiri, 2000). Similar  
383 phenomena was observed by Palmeira et al. (2010) that showed the particles sizes piped through  
384 geotextiles are smaller at normal stresses below 100kPa.

385 The proportion, by weight, of the original sample at the same size as, or finer than,  $d_{90p}$  is  
386 termed “% original gradation”. Thus the data presented in the “% original grading” column  
387 reveal that all the particles of the narrowly-graded soil passing through the geotextile were from  
388 the secondary structure; but this was not the case for all the broadly-graded soils. The stability  
389 analysis of soil gradations by the Kezdi as well as the Kenney and Lau criteria are given in Table  
390 4. For comparison, Tables 7 and 8 show the critical diameter of suffusion of soil samples  
391 evaluated by the Kezdi ( $D_{15c}/d_{85f} > 4$ ) and the Kenney & Lau ( $H/F < 1$ ) criteria at which maximum  
392 instability of soil is expected.

393 The 90% MS/10% PFA soil which was evaluated as internally-unstable by the Kezdi criterion  
394 showed a  $d_{90p}$  with both geotextiles that was between 6 to 8 % of the original grading (see Table  
395 7). This proportion was predicted by the Kezdi criterion to be 5 - 12 % finer by weight of the  
396 original sample. For MS and FS, there was no hydrometer sieve analysis carried out below 63  
397  $\mu\text{m}$  and the percentage of fines (i.e.  $< 63 \mu\text{m}$ ) passing by weight for these soils was 4% and 8%,  
398 respectively (Fig. 3). As the  $d_{90p}$  value is less than 63 $\mu\text{m}$  for MS and FS samples in Table 7, the  
399 % original grading  $< 4$  and  $< 8$  values were used for these soils, respectively. Overall, the %  
400 original grading for all test samples is much less than 30% (the limit set by Kenny and Lau for  
401 narrowly-graded soils) which suggests that the particles washed out through the geotextiles are  
402 part of the secondary structure instead of the primary structure of the base soil.

403 For the broadly-graded samples, piping is the mechanism of suffusion when  $d_{90p}$  is  $\leq 20\%$  of the  
404 original sample gradation. As the soil samples were compacted during the testing program, the

405  $d_{90p}$  is expected to be much smaller than the opening size of geotextiles. This is in agreement  
406 with the recommendations of Giroud (2010) that for a dense soil sample, soil particles will be  
407 able to pass through filters only if the opening size of the filter is twice as large as the  $d_{85}$  particle  
408 size of the protected soil.

409 From comparison of  $d_{90p}$  with the original gradation, it can be seen that the Kezdi (1979) and  
410 Kenney and Lau criteria predicted the potential instability of broadly-graded samples relatively  
411 well (Table 8). The Kenney and Lau method was found to be more precise in terms of describing  
412 the portion of soil gradation which might show internal erosion by suffusion, while Kezdi's  
413 criterion was found to be more conservative in terms of finding the critical diameter. The %  
414 original gradation values show that washed-out particles for 85% MS/15% PFA, 80% MS/20%  
415 PFA, and 70% MS/30% PFA were clearly part of the secondary structure of soil (as they are in  
416 the  $d_{15}$  fraction which is less than the  $d_{20}$  fraction) instead of the primary structure. Because the  
417 secondary structure provides stability to the primary structure, its loss may result in the internal  
418 instability of the primary structure (Yideti et al., 2013), so it is important that the geotextile is  
419 able to retain the primary structure and not allow too much of the secondary structure from  
420 escaping.

421 The 85% MS/15% PFA sample lost particles sized equal to  $d_{11}$  of the original gradation which is  
422 close to the  $(H/F)_{min}$  value suggested by the Kenney and Lau method (i.e.  $d_{10}$ ). Similarly, for the  
423 80% MS/20% PFA sample, the Kenney and Lau method predicted  $(H/F)_{min}$  value equal to  $d_{15}$  of  
424 the original gradation which was quite close to the actual particle sizes lost for this sample with  
425 different geotextiles. The 70% MS/30% PFA with geotextile D lost particles sized equal to  $d_{15}$  of  
426 the original gradation while the Kenney and Lau method suggested  $(H/F)_{min}$  value equal to  $d_{25}$  of

427 the original gradation. It can be attributed to the large thickness of the geotextile sample D which  
428 trapped fines inside the smallest constrictions and impeded the progress of migrating particles.

429 The % original gradation values for 60% MS/40% PFA samples showed loss of primary  
430 structure ( $d_{90p}$  greater than  $d_{20}$  of the original gradation) except for geotextile D which impeded  
431 the progress of migrating particles. The 60% MS/40% PFA with geotextile C showed a  $d_{90p}$   
432 value equal to  $27\mu\text{m}$  which is equal to the  $d_{27}$  of the original sample gradation, suggesting loss of  
433 primary fabric of soil. The 40% MS/60% PFA and PFA samples lost particles sized more than  
434 the  $d_{20}$  of the original gradation, indicating loss of the primary structure of soils. The 60%  
435 MS/40% PFA samples (except with geotextile D) and 40% MS/60% PFA sample lost particles  
436 sized close to or equal to  $d_{30}$  of the original gradation which was predicted quite well by the  
437 Kenney and Lau method. It can be seen from Tables 7 and 8 that the % original gradation values  
438 were the highest for PFA samples which can be explained in terms of the higher  $O_{90}/d_{85}$  values  
439 of geotextile samples with PFA soil compared to other soil samples (see Tables 5 and 6).

## 440 **6.2. Effect of Soil Internal Stability on Filtration Performance**

441 The post-test gradation of narrowly-graded MS and FS soil with geotextile samples taken from  
442 0-8mm and 25-40mm from the upper surface of the geotextiles didn't show a significant change  
443 in gradation when compared with the original gradation of the soil sample. Even with quite  
444 precise separation and weighing (equivalent to a discrimination of  $5\text{g}/\text{m}^2$ ) no significant internal  
445 migration of soil particles was observed for the filtration tests with narrowly graded soils (Fig.  
446 8). For the MS soil, the post-test gradation showed a slight increase in  $d_{10}$  for the 0-8mm layer  
447 above the geotextiles, suggesting loss of fines near the geotextile. The FS sample with geotextile  
448 B showed a decrease in  $d_{15}$ , suggesting a blinding layer formed at the soil-geotextile interface.

449 The cyclic loading showed an increase in the GR from 1 to ~30% to 1.3 (Table 5) which

450 suggests that the soil showed internal instability during the dynamic loading, therefore, resulting  
451 in the increase of fine migration towards the geotextile. For the 90% MS/10% PFA soil, the post-  
452 test gradation showed a slight decrease in  $d_{15}$  for the 0-8mm layer above the geotextiles, which  
453 suggests the increase in GR was due to a blinding layer formed at the soil-geotextile interface as  
454 the proportion of particles washing completely through remained small. The near-constant GR  
455 values during the static and cyclic stages (Table 5) suggest that this blinding layer was formed  
456 during the static unidirectional flow stage. The three cyclic stages did not affect the internal  
457 stability of the soils. The pore constrictions between the particles forming the primary structure  
458 of the internally-stable soils are judged to be small enough to stop the migration of fine particles:  
459 the soil gradation was evaluated to be internally stable by the Kenney and Lau criterion (see  
460 Table 4).

461 The GR of the 85% MS/15% PFA and 80% MS/20% PFA samples at the end of static and cyclic  
462 stages did not show significant change (Table 6). However, for these samples, the cyclic loading  
463 caused a slight decrease in GR. This is presumably due to the fines near the geotextile interface  
464 being washed/driven out under dynamic loading. The post-test gradation for these soils showed a  
465 slight change in the gradation of soil near the geotextile interface except for 80% MS/20% PFA  
466 sample with geotextile D (Fig. 9). The 70% MS/30% PFA sample, which is a gap-graded soil,  
467 showed the largest mass of particles retained inside geotextile D (Table 6). This indicates that the  
468 deficiency of a certain size range of particles makes it easy for the smaller particles to move  
469 freely through the coarse particle filters (i.e. a lack of natural constriction). The fine particles  
470 near the geotextile interface were then trapped inside the geotextile due to its high thickness  
471 (which increases the probability of mobile fines encountering a small constriction in the  
472 geotextile).

473 A GR less than one was obtained for all 60% MS/40% PFA samples, except with geotextile C,  
474 during static stage which indicates that the soil-geotextile interface was less permeable than the  
475 base soil (Table 6). The permeability values show large differences in  $k_s$  at the end of static and  
476 cyclic stages for test samples with geotextiles B and C, demonstrating that the stability of the  
477 base soil was challenged by cyclic loading. Geotextile sample B, having the same  $O_{90}$  as  
478 geotextile sample C, showed higher amount of particles washed out and less amount of particles  
479 retained inside the geotextile compared to geotextile C. This difference in response can be  
480 attributed to their different fibre bonding types. Geotextile sample C is a needle-punched  
481 geotextile which is obtained by mechanically interweaving their fibers using high frequency  
482 alternate needle movement normal to the fabric plane. This results in a geotextile surface which  
483 can be deformed easily, therefore, resulting in a reduction of pore sizes (Lee and Bourdeau,  
484 2006). Geotextile sample B has attributes of both heat bonded and needle-punched i.e. the  
485 geotextile is initially needle-punched and then thermal treatment is applied to one side of the  
486 geotextiles sample. This results in a smooth surface of the geotextile surface which stops the  
487 filter cake formation at the geotextile surface (Giroud, 1982, Elsharief and Lovell, 1999). The  
488 post-test gradation shows that particles were washed out near the filter interface for all the  
489 60% MS/40% PFA samples except with geotextile B (Fig. 9). The amount of soil particles  
490 collected for 60% MS/40% PFA with geotextile A was the highest, measuring 2707 g/m<sup>2</sup>. It is  
491 believed that this was due to geotextile A having the largest opening size of all the geotextile  
492 samples. The test was already showing a continuous decrease in GR (erosion of fines) during the  
493 static stage (Fig. 10(a)). The rate of decrease in the GR for 60% MS/40% PFA with geotextile A  
494 increased during the cyclic stage compared to the static stage, which suggests particle loss  
495 increased with cyclic loading. The GR value decreased significantly for geotextile B during the

496 period from 2000 to 3000 cycles of loading. However, this decrease in GR was due to an  
497 increase in head loss within the base soil which can also be observed by the decrease in particle  
498 sizes in the 25-40mm region of the test sample (Fig. 9(d)). The amount of particles retained  
499 inside geotextiles for 60% MS/40% PFA was calculated to be the highest for geotextile D while  
500 the least amount of fines arrived at the outlet collection point (see Table 6). This shows that  
501 thicker geotextiles are more effective in stopping soil erosion as compared to thinner geotextiles,  
502 in agreement with Faure et al. (2010).

503 The 40% MS/60% PFA sample with geotextile B showed a significant decrease in GR value  
504 (~40%) from 1.47 at the end of static stage to 0.87 during the cyclic stage (Table 6). This is  
505 supported by the post-test gradation which revealed that particles near the geotextile interface  
506 had washed out (Fig. 9(e)). The post-test gradation of PFA samples showed an increase in  $d_{50}$   
507 occurred for the 0-8mm layer above the geotextile interfaces (Fig. 9(f)), suggesting that particles  
508 near the geotextile interface had washed out. The amount of particles retained inside the  
509 geotextile shows that, with PFA, higher tendency towards clogging occurred as compared to  
510 other filtration tests (Table 6). This shows that some of the particles which were piping from the  
511 soil were retained inside the geotextiles. The gradation of PFA (see Fig. 3(a)) shows that more  
512 than 85% of particles are smaller than the  $O_{90}$  of geotextiles C (0.070 mm) and geotextile D  
513 (0.060 mm), hence higher clogging of geotextile pores and washing of soil particles through  
514 geotextile openings was expected. The 40% MS/60% PFA and PFA samples were evaluated as  
515 internally-stable by Burenkova's criterion (Table 4), but the soil samples showed instability  
516 during the filtration tests. However, the geotextiles mitigated the instability by impeding the  
517 progress of the migrating particles which were trapped inside the smallest constrictions of  
518 geotextiles.

519 The Kezdi and Kenney and Lau method provided a good prediction of the internal stability of  
520 most of the soil samples when compared to the Burenkova method. The Kenney and Lau method  
521 was found to be slightly more reliable than the Kezdi method: the 90% MS/10% PFA sample,  
522 which showed internal stability, was evaluated as internally-stable by the Kenney and Lau  
523 method but unstable by the Kezdi method. The 40% MS/60% PFA and PFA samples, which  
524 showed internal instability during the filtration tests, were evaluated as internally-unstable by the  
525 Kezdy and Kenney and Lau criteria, but internally-stable by the Burenkova's criterion. The  
526 Burenkova criterion method works reasonably well, however, it appears to be a little  
527 conservative in its evaluation of potential for internal stability.

### 528 **6.3. Soil/ Geotextile Compatibility**

#### 529 **6.3.1. Piping**

530 The limit state of piping relates to a state where the primary fabric of the base soil moves through  
531 the geotextile filter due to hydraulic flow (Moraci and Mandaglio, 2008). Lafleur (1999)  
532 recommended a retention criterion on the basis of  $d_{30}$  to stop migration of fines from broadly-  
533 graded soils ( $C_u > 6$ ). The findings of Lafleur (1999) were used by the Canadian Geotechnical  
534 Society (CFEM, 2006) in their design approach but the value of  $C_u$  was taken as  $C_u = 8$  instead  
535 of  $C_u > 6$ . Skempton and Brogan (1994) estimated that the critical fines content at which the  
536 fines just fill the voids between the coarse particles is between 24% (dense specimens) and 29%  
537 (loose specimens). Based on the literature review, the piping limit is, thus, defined as 30% of the  
538 original soil and a retention criterion is suggested on the basis of  $d_{30}$  in this section.

539 Fig. 11 shows  $O_{90}/d_{85}$  and  $O_{90}/d_{30}$  versus % original gradation (the proportion, by weight, of the  
540 original sample at the same size as, or finer than,  $d_{90p}$ ). Test data of MS and PFA with geotextile  
541 B by Khan et al. (2018) are also plotted in the figure to suggest a filter retention criterion. As

542 discussed earlier in the paper, soil particles >20% by weight for broadly-graded soils and >30%  
543 by weight for uniformly-graded soils constitute the primary structure of the soils (Kenney & Lau,  
544 1985, 1986). It has been suggested by various researchers (Moffat, 2002, Hameiri, 2000) to take  
545 the primary structure of soils at 30% for both narrowly-graded and widely-graded soils. The  
546 probable reason is that the Kenney & Lau (1985, 1986) method is unduly constrained by a limit  
547 on the value of percentage finer (F, %) over which the H: F boundary of 1:1 is evaluated.  
548 Therefore, the % original gradation here more than 30 suggests wash-out of coarse fraction of  
549 soil through geotextiles (see Fig. 11).

550 The % original gradation showed a more well-defined relationship with  $O_{90}/d_{30}$  as compared to  
551  $O_{90}/d_{85}$  (Fig. 11(b)) which suggests that a retention criterion based on  $O_{90}/d_{30}$  is preferable to one  
552 based on  $O_{90}/d_{85}$ . The soil index size  $d_{85}$  does not give information about the smaller particles if  
553 the soil is not narrowly graded. The smaller particles need to be retained to satisfy the retention  
554 criteria for geotextiles, however, the free soil particles can cause blinding of the geotextile  
555 surfaces. As shown in Fig. 3, all soils are concave upward graded except MS and FS, which are  
556 uniformly-graded. Although a geotextile is not expected to retain all the soil particles, satisfying  
557 a retention criterion on the basis of  $O_{90}/d_{30}$  tends to make sure that the lower size of the primary  
558 fabric is retained. Most of the filter design criteria take into account the  $d_{85}$  of the base soil which  
559 usually ensures retention in narrowly-graded soils as these soils are mostly internally-stable  
560 (Lafleur, 1999). The use of sizes of particles that are towards the smaller end of a grading as the  
561 basis for a criterion is supported by Lafleur (1999) and Moraci et al. (2012b). Lafleur (1999)  
562 recommended the use of smaller grain diameter i.e.  $d_{30}$  in filter design to avoid erosion of the  
563 primary fabric of concave upward soils which are usually internally unstable.

564 It can be seen from Fig. 11(a) that the line of best fit (correlation coefficient  $R^2 = 0.878$ ) shows  
565 % original gradation more than 30 at an  $O_{90}/d_{30}$  ratio of 2.9, which indicates the wash-out of  
566 coarse fraction of soil through geotextiles. It has been suggested by previous researchers  
567 (Lafleur, 1999, Moraci, 2010, Moraci et al., 2012a) to use a lower limit of retention for internally  
568 unstable soils because the geotextile filter characteristic opening size should be larger than the  
569 critical diameter of suffusion  $d_c$  to avoid accumulation of excessive fine particles on the interface  
570 (blinding). Thus, the retention criterion proposed is given by:

$$571 \quad d_c < O_{90} < 2.9d_{30} \quad (3)$$

### 572 **6.3.2. Clogging/ Blinding**

573 The tendency toward blinding was observed through GR values, permeability at the soil-  
574 geotextile interface, and the post-test gradation of soil near the geotextile interface. None of the  
575 tests showed a GR value more than the limit of 3 set by U.S. Army Corps of Engineers (1977)  
576 for clogging of filters, which means no serious clogging occurred in the soil-geotextile zone  
577 (Tables 5 and 6). Three geotextile samples with narrowly-graded soils (FS with geotextile B, and  
578 90% MS/10% PFA with geotextiles A and B) and three samples with broadly-graded soils  
579 (80% MS/20% PFA with geotextiles A, B and D) showed some level of blinding as the  $k_{sg}$  values  
580 for these samples were observed to be lower than  $k_s$  values and a GR of more than 1. This  
581 blinding layer is believed to have been formed during the static stage since no significant  
582 permeability change was observed under cyclic loading. From the Tables 5 and 6,  $k_{sg}$  values  
583 seem to increase passing from static to cyclic conditions. This is probably associated with the  
584 destruction of the particle arrays at the soil-geotextile interfaces under increase in vertical  
585 stresses. Similar phenomena was reported by Palmeira et al. (2010). The microscopic  
586 observation of geotextile samples after filtration tests are shown in Fig. 12. Microscopic images

587 of geotextile samples after the filtration tests were taken at every 50 $\mu$ m intervals of depth  
588 through the geotextiles to identify possible clogging sites. The microscopic image of geotextile B  
589 with 90% MS/10% PFA – which showed GR greater than 1 for static and cyclic stages (Fig.  
590 12(a)) does not show an excessive amount of particles trapped between the geotextile fibres. This  
591 suggests that the increase in the GR value was due to clogging occurring on the openings of the  
592 geotextile. Fig. 12(b) shows a microscopic image of geotextile sample D after the filtration test  
593 with PFA, which showed one of the largest amount of particles trapped inside the geotextile  
594 (Table 6). The soil particles appear to be clogged inside the smallest constrictions in the  
595 geotextile. As the larger number of constrictions are associated with the increased thickness of  
596 geotextile, soil particles were expected to be trapped inside the small constrictions. Again, the  
597 geotextile sample does not appear to have an excessive amount of fine particles trapped, which is  
598 also predicted from the GR.

599 The design criterion used for blinding and clogging mechanisms is similar since both  
600 mechanisms result in stopping fine particles and increasing the pore water pressure upstream of  
601 the geotextile. The blinding criterion recommends the characteristic opening size of geotextiles  
602 to be large enough to permit the washing out of soil particles near the geotextile interface but, of  
603 course, not so large as to let all particles through. There are no suggested criteria for  
604 clogging/blinding limits for dynamic flow conditions. There are a few criteria available for static  
605 flow conditions which are based on the ratio of characteristic opening size of geotextiles to  
606 smaller soil index sizes ( $d_{15}$  or  $d_{30}$ ). The use of a large pore size of geotextile is recommended for  
607 clogging criterion to stop particles from becoming trapped inside the geotextiles (Koerner, 2012).  
608 For internally un-stable soils under steady state conditions, the largest opening size of geotextile

609 should be greater than  $d_{30}$  to avoid blinding/ clogging of geotextiles while smaller than  $5 \times d_{30}$  to  
610 retain the primary fabric of soil (Lafleur, 1999).

611 The dynamic filtration tests are summarized in Fig. 13 in terms of GR versus  $O_{90}/d_{30}$ . The  
612 blinding behaviour of geotextiles could be explained in terms of the grain index size  $d_{30}$  to be  
613 consistent with the observations of Lafleur (1999) and Hameiri (2000). It can be seen from Fig.  
614 13 that GR is above 1 for some of the narrowly graded and broadly graded soils that have an  
615  $O_{90}/d_{30}$  value below a threshold value of 0.8, suggesting some level of blinding. However, none  
616 of the test samples showed a GR more than 3 which is the upper limit for the acceptance of soil-  
617 geotextile compatibility. The Lafleur (1999) criterion under static unidirectional flow  
618 recommends an  $O_{90}/d_{30}$  ratio more than 1 to avoid blinding, although the Lafleur (1999) criterion  
619 is for concave upward soil gradation and is conservative (Hameiri, 2000).

620 It is recommended by various researchers (Moraci, 2010, Moraci et al., 2012a) to assess the  
621 blinding behaviour of soil-geotextile interfaces in terms of the critical diameter of suffusion  $d_c$ .  
622 Geotextiles with characteristic opening sizes larger than the critical diameter of suffusion  $d_c$  will  
623 tend to avoid blinding of the geotextile surfaces. Fig. 14 shows the filtration tests for the broadly-  
624 graded samples in terms of GR versus  $O_{90}/d_c$ . The critical diameter of suffusion  $d_c$  is chosen in  
625 correspondence  $(H/F)_{min}$  in the Kenney and Lau (1985) method. It can be seen from Fig. 14 that,  
626 except for the 70% MS/30% PFA mix with geotextile D, all the test samples have a  $O_{90}/d_c$  ratio  
627 greater than 1, suggesting that there is less chances to achieve the blinding limit state. This is in  
628 agreement with the test results as the GR values were less than 3 for all the test samples.

629 However, for geotextile filters design in contact with unstable granular soils, long-term filtration  
630 tests are recommended, carrying out the tests for the period necessary for the stabilization of the  
631 filtering system (Cazzuffi et al., 2015).

#### 632 **6.4. Comparison of Retention Criterion with Existing Criteria**

633 Fig. 15 contrasts the obtained dynamic filtration test results against the Luettich et al. (1992)  
634 criterion (see Table 1). The Luettich et al. (1992) criterion uses Apparent Opening Size (AOS or  
635  $O_{95}$ ) of geotextiles, measured by the dry sieving method (ASTM D4751). It is compared here  
636 with the new retention criterion based on  $O_{90}$ , measured by the wet sieving method as the  $O_{95}$   
637 results for dry sieving are systematically higher than those for wet sieving (Bhatia and Smith,  
638 1996).

639 Fig. 15 plots the filtration test results on axes of  $O_{90}/d_{50}$  against  $O_{90}/d_{30}$ . The results suggest two  
640 trends based on different soil gradations. Trend 1 (in the lower “exploded” view of Fig. 15)  
641 relates to narrowly-graded soils and three broadly-graded soils, i.e. 85% MS/15% PFA,  
642 80% MS/20% PFA, and 70% MS/30% PFA. The soil gradations between  $d_{85}$  and  $d_{30}$  for these  
643 soils are linearly graded (see Fig. 3). Trend 2 relates to broadly-graded soils for which the  
644 gradation between  $d_{85}$  and  $d_{30}$  is non-linear. The  $O_{90}/d_{50} < 1$  criterion suggests that the narrowly-  
645 graded soils are not susceptible to piping. This is in agreement with the conclusion drawn for the  
646 % original gradation values (Tables 7 and 8) of the same soils, which had values less than 30%.  
647 However, the criterion seems to be less conservative for broadly-graded soils. The 60% MS/40%  
648 MS sample with geotextile A which showed a % original gradation value greater than 30%  
649 (Table 8) is shown as not susceptible to piping in Fig. 15 according to the Luettich et al. (1992)  
650 criterion. This is likely because the Luettich et al. (1992) criterion for severe dynamic conditions  
651 does not account for the gradation of soil. Moreover, the internal stability of these soils was  
652 disturbed by further dynamic loading which resulted in increased washing out of soil through the  
653 geotextiles. This shows that the use of the Luettich et al. (1992) criterion ( $O_{95}/d_{50} < 1$ ) under  
654 dynamic loading with broadly-graded soils may be insufficient to ensure no risk of piping

655 because the criterion is based on  $d_{50}$ , which does not consider smaller gradation sizes (those  
656 implicated in piping) if the gradation curves are not continuous.

657 The new retention criterion for dynamic conditions takes into account the gradation of the base  
658 soil. The criterion recommends using indicative grain size  $d_{30}$  as an upper retention limit to stop  
659 washing out of coarse fraction of soil through geotextiles. For internally-unstable soils, the  
660 criterion recommends to use critical diameter of suffusion  $d_c$  as a lower limit of retention to  
661 avoid blinding of soil-geotextile interfaces.

## 662 **7. Conclusions**

663 Filter design criteria to meet the retention requirement are well established for steady state flow  
664 conditions. However, the filtration compatibility of soil-geotextile interfaces is challenging when  
665 dynamic conditions are applied and become even more severe when the surrounding soil is  
666 internally unstable. Therefore, a study was carried out to investigate the filtration behaviour of  
667 the soil-geotextile interface with internally-stable and -unstable soils under dynamic conditions.  
668 Based on the filtration test results, a retention criterion is suggested in this paper.

669 The following conclusions can be drawn from the work presented in the paper:

- 670 1. The Kenney and Lau (1985, 1986) and the Kezdi (1979) criteria provided a good prediction  
671 of the internal stability and instability of soils. The Kenney and Lau (1985) method was  
672 found to be more accurate in terms of describing the portion of soil gradation which might  
673 show erosion. The Kezdi (1979) criterion was found to be more conservative in terms of  
674 finding the critical diameter for suffusion. Regarding the Burenkova's (1993) criterion, the  
675 90%MS/10% PFA and 40%MS/60% PFA soil samples, which this criterion evaluated as  
676 internally-stable, showed internal instability during both the static and dynamic stages.

- 677 2. One of the most interesting findings from the testing program was the influence of different  
678 index sizes of soils on filtration performance. The index size  $d_{30}$  was found to be more  
679 representative in terms of controlling the filtration behaviour compared to  $d_{85}$ . The index  
680 size  $d_{85}$  does not relate well to the smaller particles in the gradation and, therefore, does not  
681 guarantee the retention of particles below  $d_{85}$ .
- 682 3. The soil-geotextile combinations did not show any serious clogging/ blinding. Some level  
683 of blinding was observed for both internally-stable and -unstable soils that was determined  
684 to have formed during the static loading stage. Since the blinding mechanism is a  
685 consequence of internal instability of a soil, it implies that a high hydraulic gradient has the  
686 ability to initiate the internal instability of a soil, which results in the migration of fines  
687 towards the geotextile. Therefore, it is important that the geotextile characteristic opening  
688 size is larger than the critical diameter of suffusion  $d_c$  to stop accumulation of free soil  
689 particles at the soil – geotextile interface.
- 690 4. The instability of test samples that had a high percent (by weight of the original gradation)  
691 of fines that, hence, were expected to migrate within the soil voids, was enhanced by  
692 dynamic loading. The dynamic loading resulted in the migration through the geotextiles of  
693 some of the soil's primary structure as well as of its secondary structure.
- 694 5. It is not expected that geotextiles will retain all the solid particles. However, when  
695 functioning as intended they should stop piping of the primary (load carrying) structure of  
696 soil. Therefore, consideration of 2500 g/m<sup>2</sup> mass of particles washed out cannot be viewed  
697 as a limitation. The piping limit should be defined in terms of the gradation of the particles  
698 passed that constitute less than 30% (uniformly-graded soils) or 20% (broadly-graded soils)

699 of the original soil gradation, as this constitutes the finer portion (secondary structure) of  
700 soil.

#### 701 **Data Availability Statement**

702 The datasets that support the findings of this study are available from the corresponding author  
703 upon reasonable request.

#### 704 **Acknowledgements**

705 The first author would like to acknowledge the University of Nottingham that has funded this  
706 research through the Dean of Engineering Research Scholarship for International Excellence.

707 **Notation List**

708 *The following symbols and abbreviations are used in this paper:*

709  $C_u$  = coefficient of uniformity

710  $D_{15c}$  = diameter for which 15% of the grains by weight of coarse fraction is smaller

711  $d_{85f}$  = diameter for which 85% grains by weight of the fine fraction is smaller

712  $d_x$  = particle size for which x% of particles have a smaller size

713  $d_{90p}$  = size of washed out particles through geotextiles for which 90% of particles have a smaller size

714  $d_c$  = critical diameter of suffusion

715  $F$  = percentage mass of soil particles smaller than a given diameter  $d$

716  $GR$  = gradient ratio

717  $H$  = percent passing of particles between  $d$  and  $4d$

718  $h_{35}$  = water head between port 3 and 5 in GR apparatus

719  $h_{57}$  = water head between ports 5 and 7 in GR apparatus

720  $h_{g-25}$  = pressure difference across the soil-geotextile interface in GR apparatus

721  $h_{25-75}$  = pressure difference within the soil sample from 25 to 75mm above the geotextile in GR apparatus

722  $k_s$  = *permeability of soil*

723  $k_{sg}$  = *permeability of soil-geotextile interface*

724  $L_s$  = distance between port 3 and 5 in GR apparatus

725  $L_{sf}$  = distance from port 5 to the bottom of geotextile in GR apparatus

726  $O_{90}$  = characteristic pore size of geotextile for which 90% of pore sizes are smaller

727  $q$  = deviator stress

728  $\sigma_1$  = vertical stress

729  $\sigma_3$  = confining pressure

730  $u$  = pore pressure

731 % original gradation = the proportion, by weight, of the original sample at the same size as, or finer than,

732  $d_{90p}$

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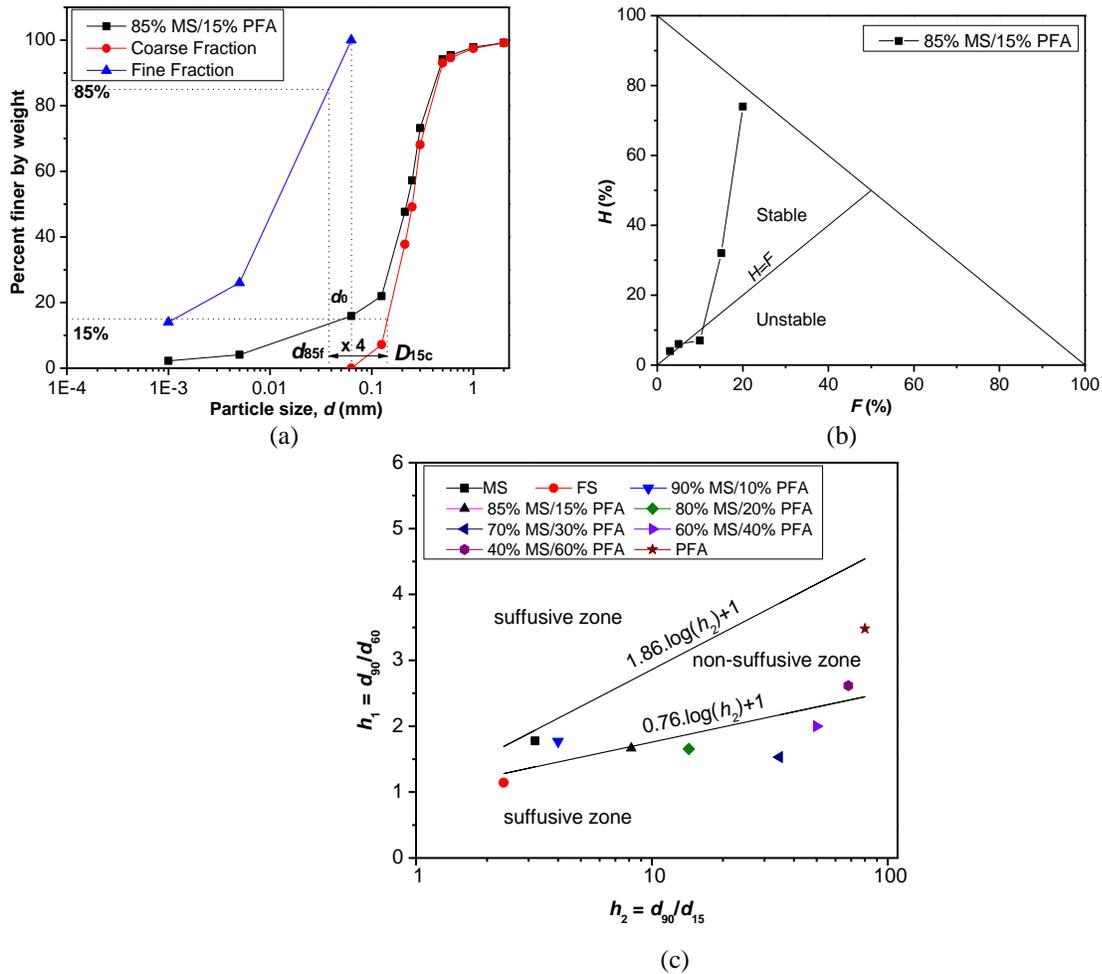
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**Fig.1.** Evaluation of the internal stability of soils described in this paper according to: (a) Kezdi's criterion, (b) Kenney and Lau's criterion, and (c) Burenkova criterion

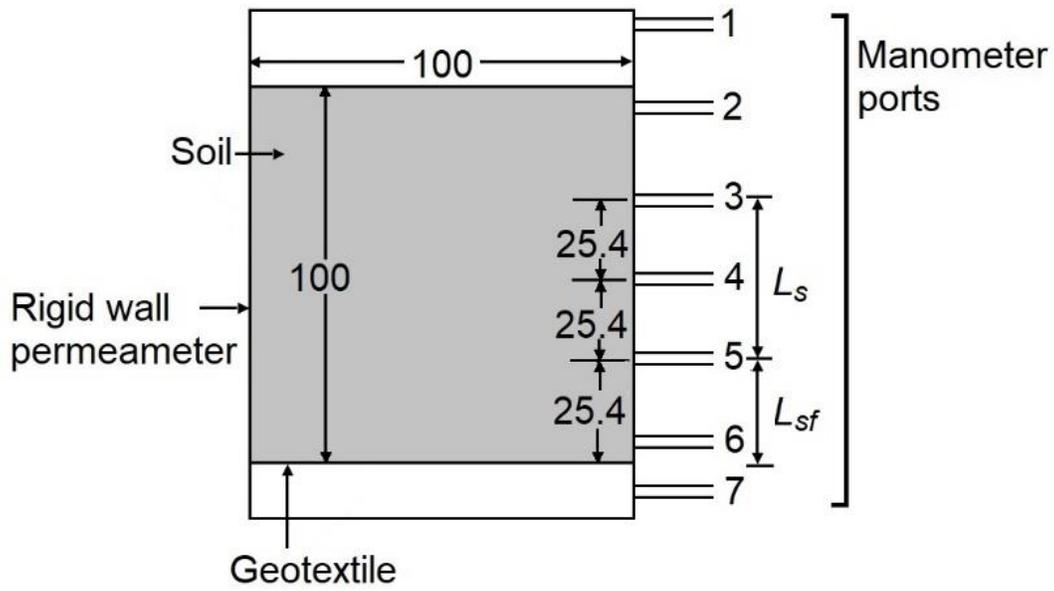


Fig. 2. Traditional GR test apparatus (dimensions in mm)

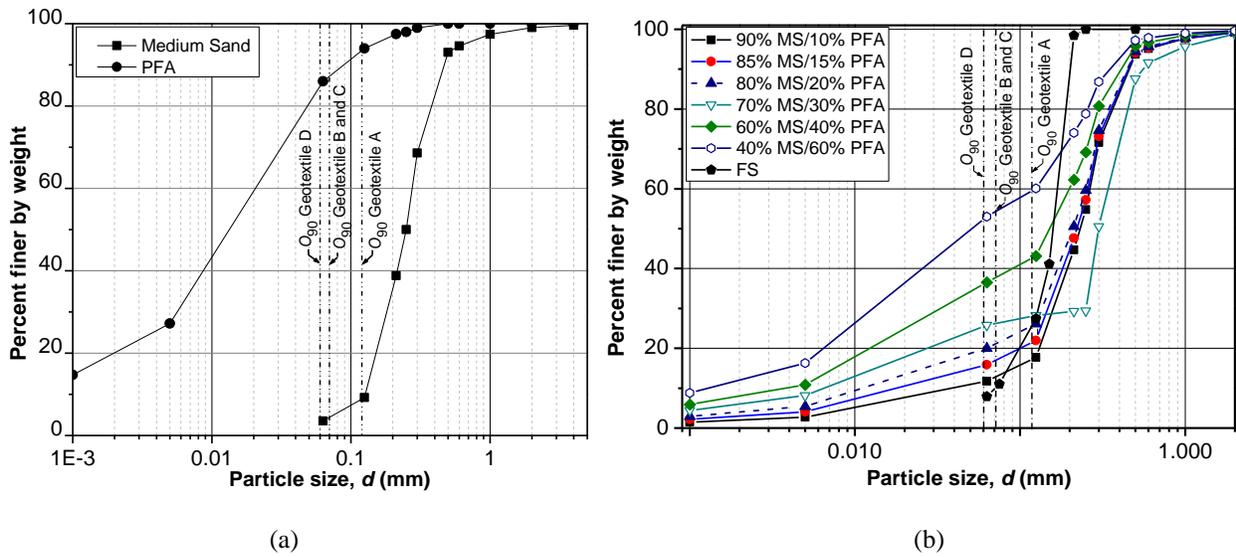
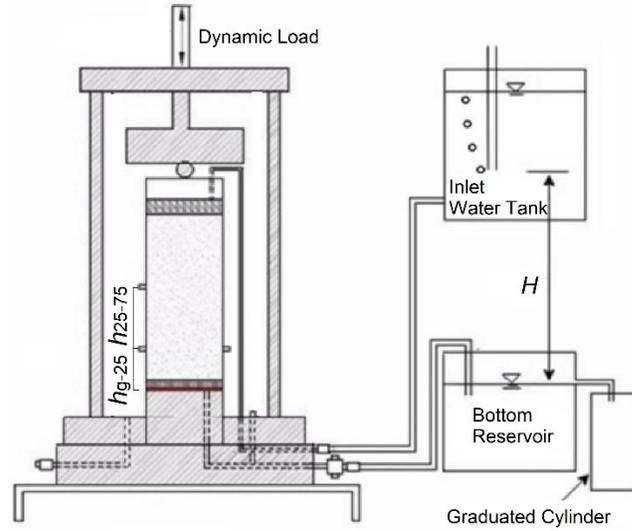
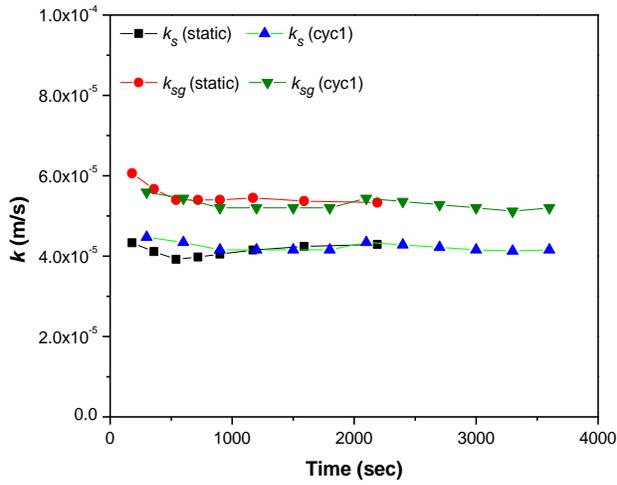


Fig. 3. (a) Medium sand (MS) and PFA gradations and (b) mixed gradations (FS = fine sand)

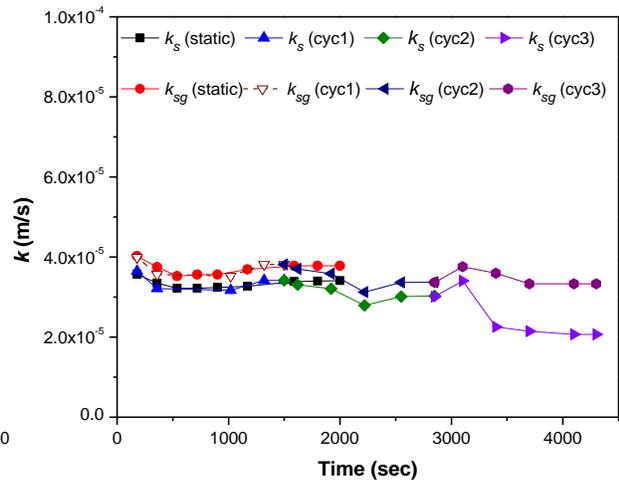


**Fig. 4.** Dynamic GR test setup (Khan et al., 2018)



(a) Variation of permeability  $k$  with time

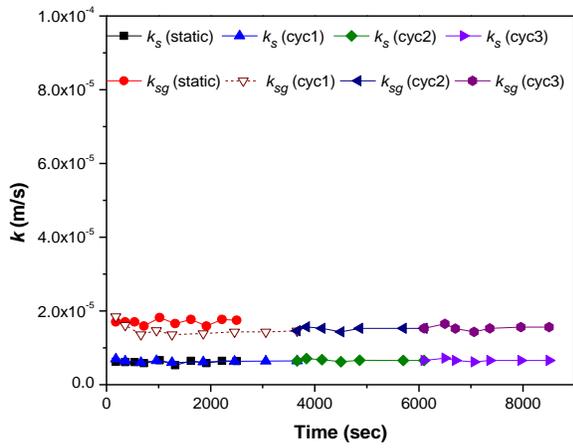
(geotextile sample A)



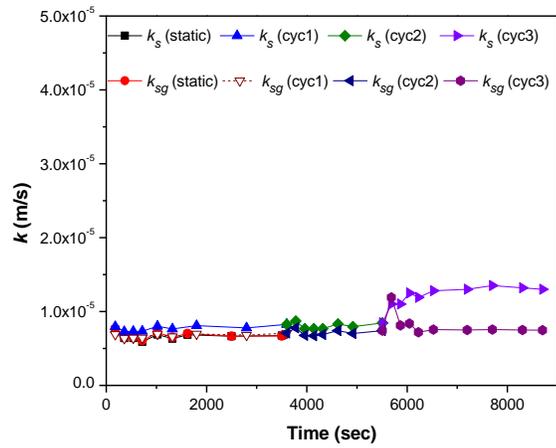
(b) Variation of permeability  $k$  with time

(geotextile sample C)

**Fig. 5.** Test results for MS with geotextile samples A and C

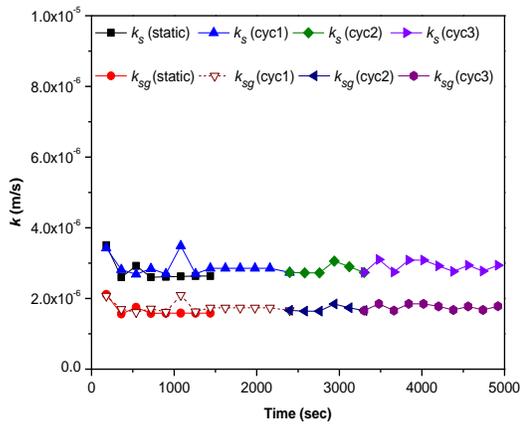


(a) Variation of permeability  $k$  with time (geotextile sample A)

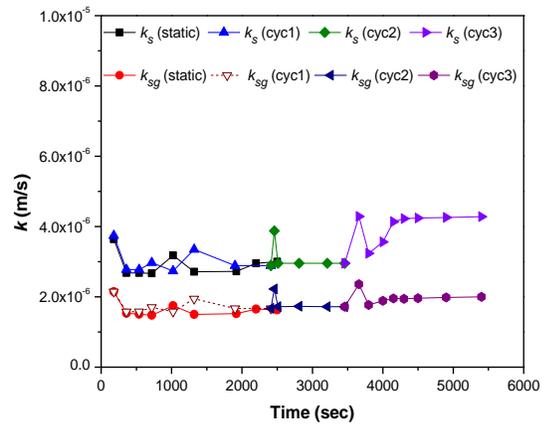


(b) Variation of permeability  $k$  with time (geotextile sample B)

**Fig. 6.** Test Results for FS with geotextile samples A and B

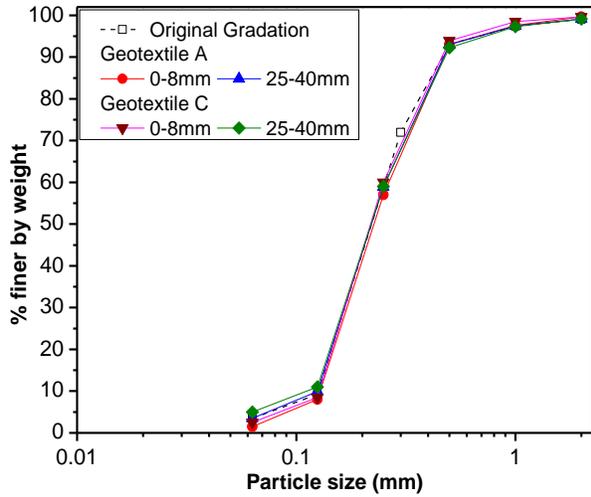


(a) Variation of permeability  $k$  with time (geotextile sample A)

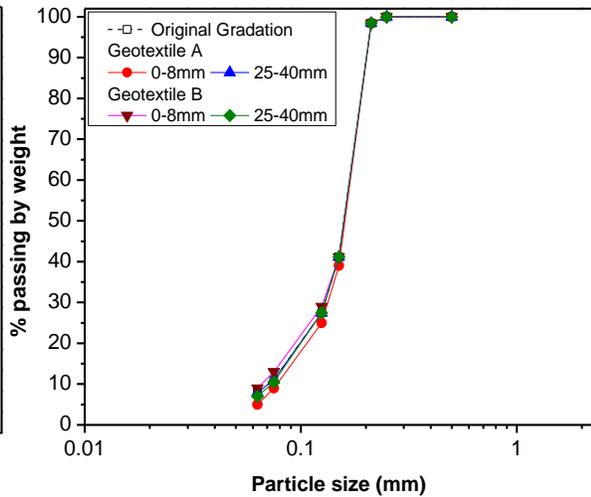


(b) Variation of permeability  $k$  with time (geotextile sample B)

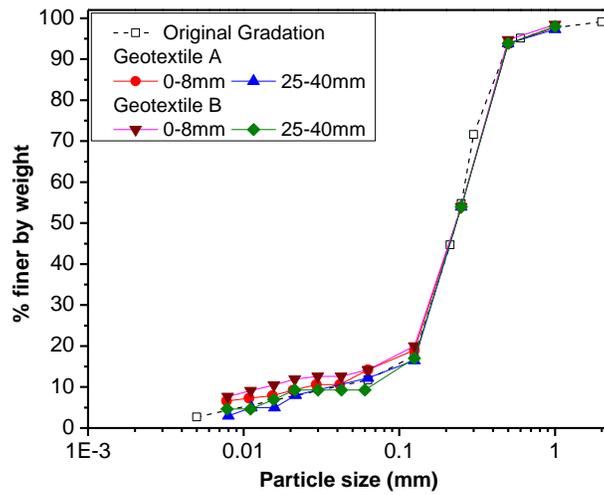
**Fig. 7.** Test results for 90% MS/10% PFA with geotextile samples A and B



(a) MS

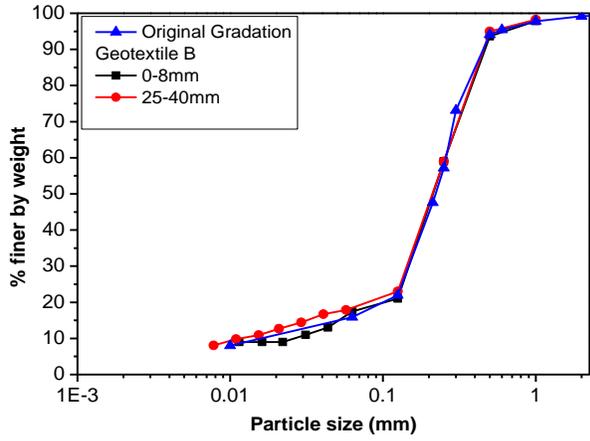


(b) FS

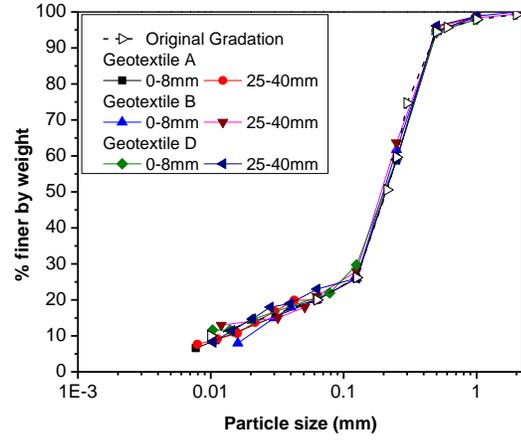


(c) 90% MS/10% PFA

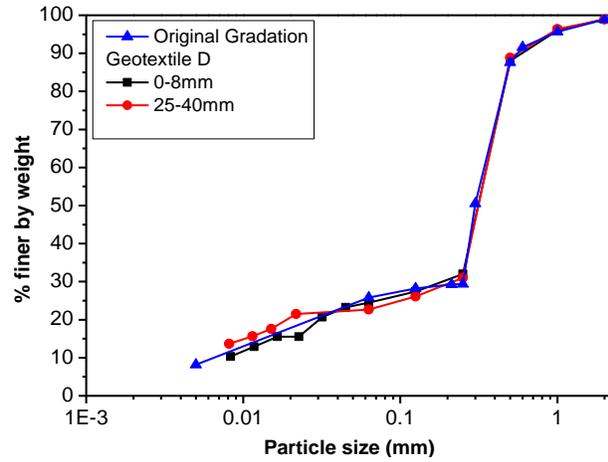
**Fig. 8.** Post-test gradation of narrowly-graded soils



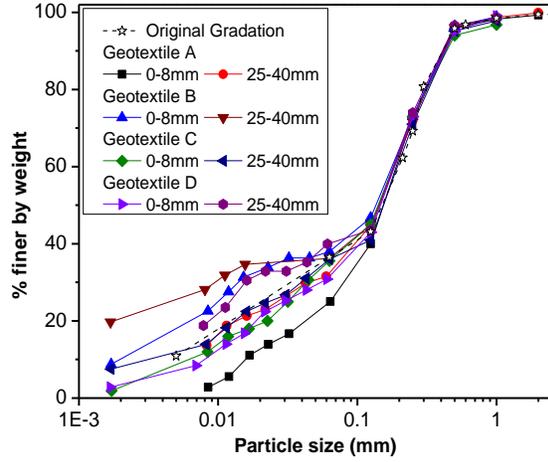
(a) 85% MS/15% PFA



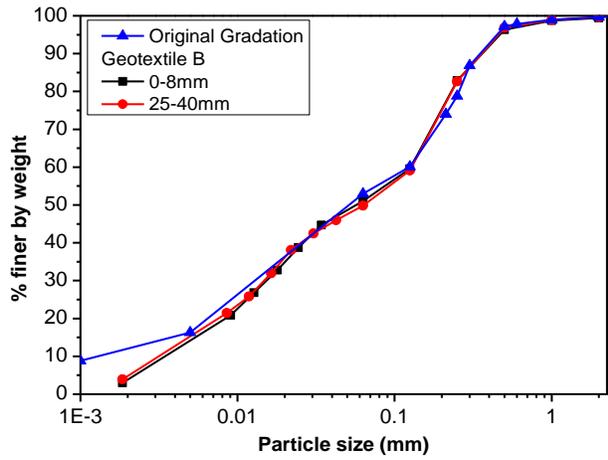
(b) 80% MS/20% PFA



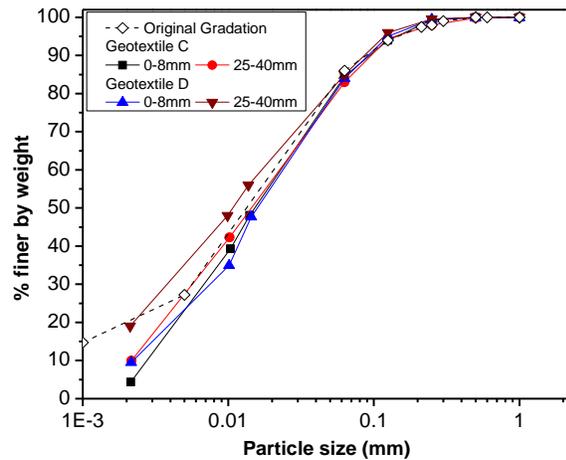
(c) 70% MS/30% PFA



(d) 60% MS/40% PFA

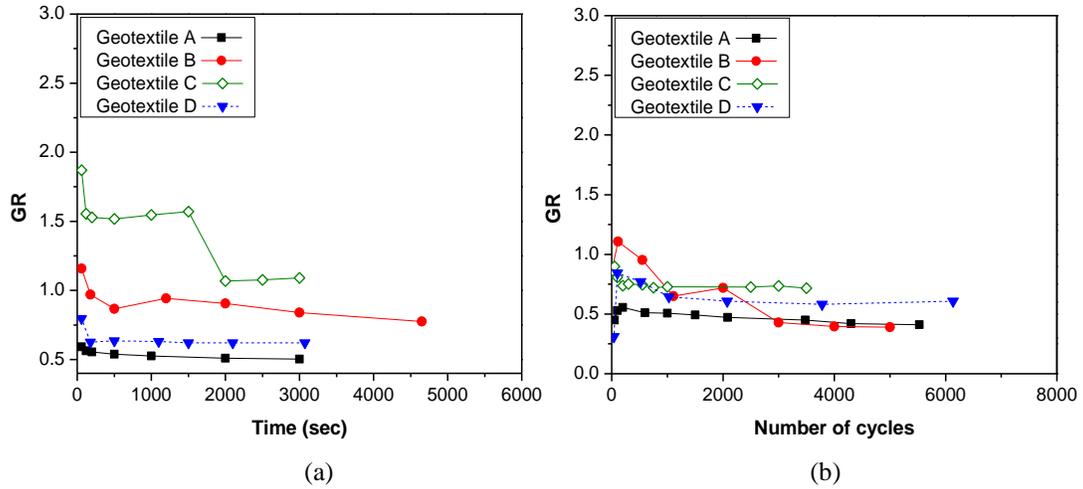


(e) 40% MS/60% PFA

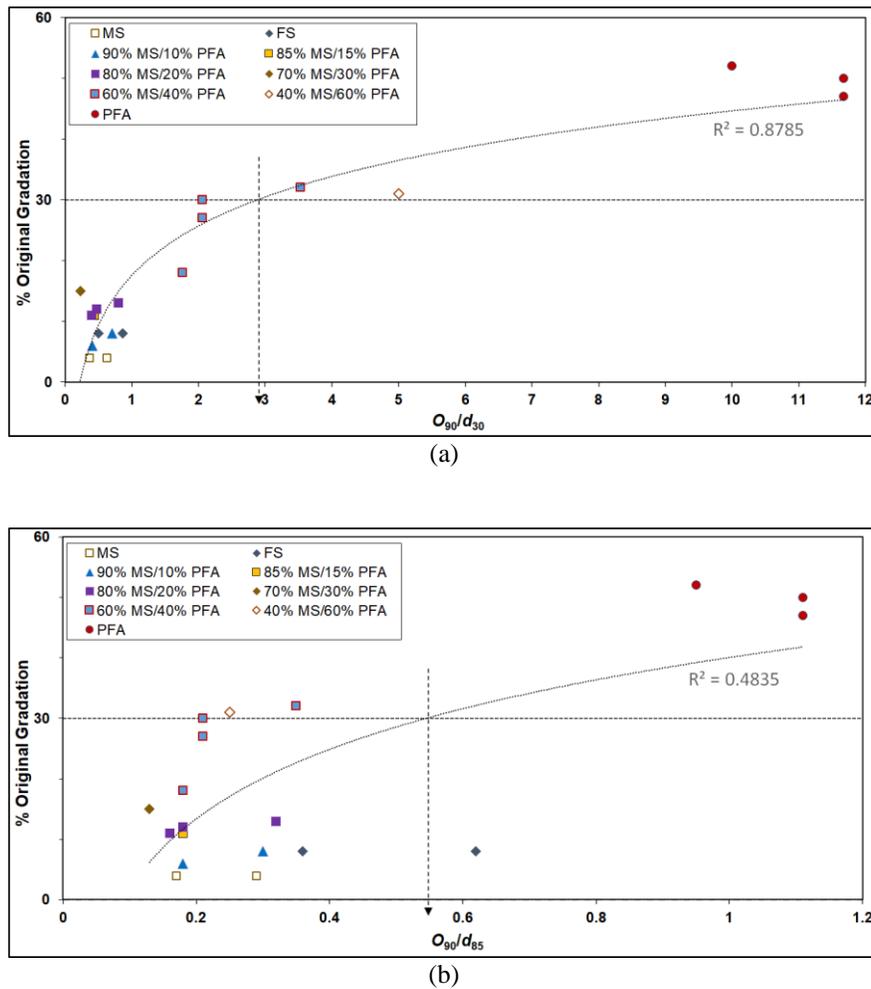


(f) PFA

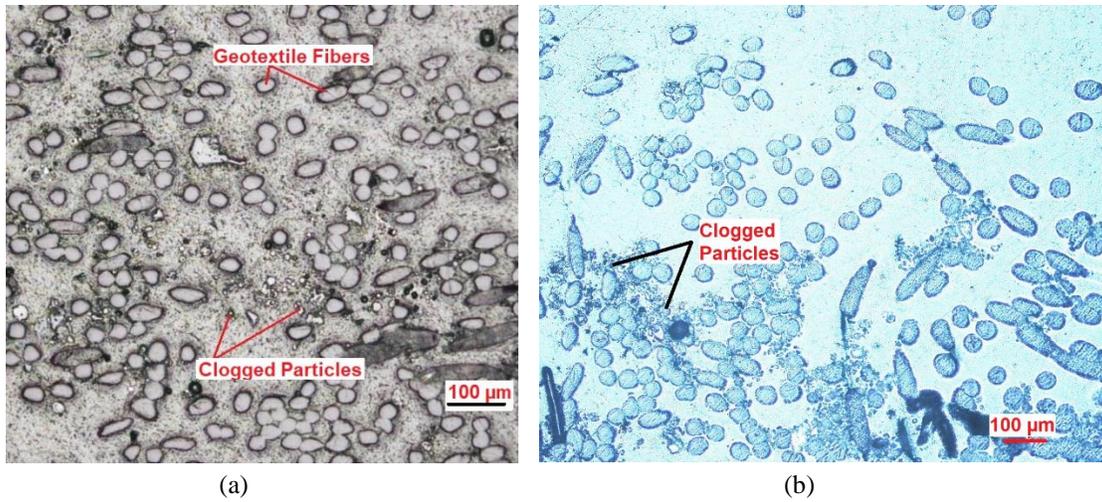
**Fig. 9.** Post-test gradation of broadly-graded soils



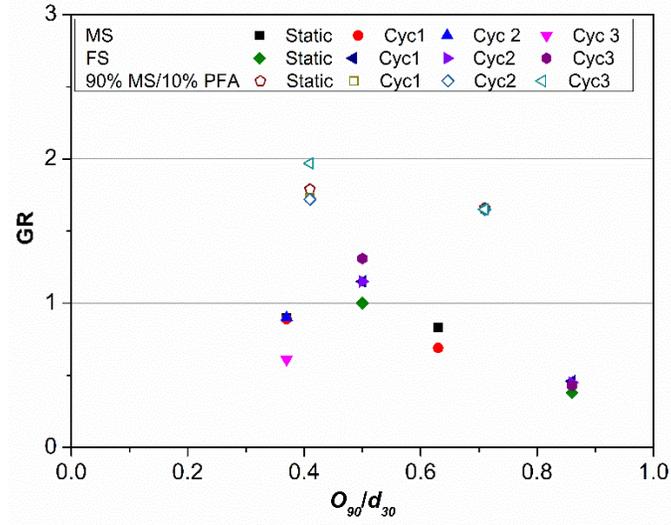
**Fig. 10.** GR of 60% MS/40% PFA gradations: (a) static stage and (b) cyclic stage



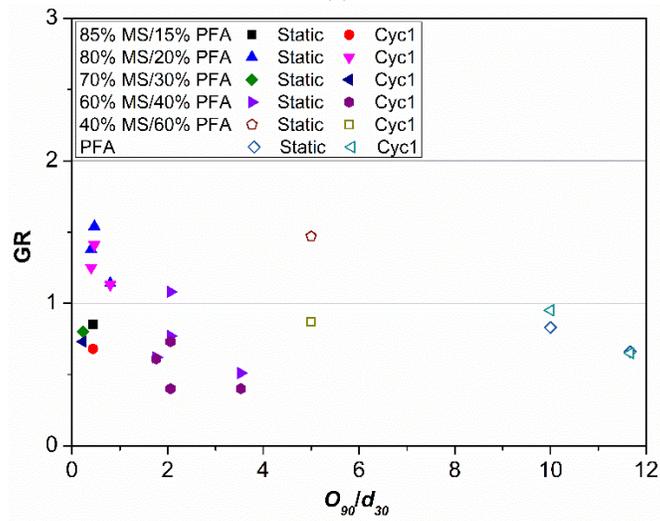
**Fig. 11.** Retention criteria on the basis of  $O_{90}$ : (a)  $O_{90}/d_{30}$  versus % original gradation and (b)  $O_{90}/d_{85}$  versus % original gradation



**Fig. 12.** Microscopic image of geotextile samples after testing: (a) geotextile B with 90% MS/10% PFA, and (b) geotextile D with PFA



(a)



(b)

**Fig. 13.** Summary of filtration test results in terms of GR vs  $O_{90}/d_{30}$ : (a) narrowly graded soils and (b) broadly graded soils

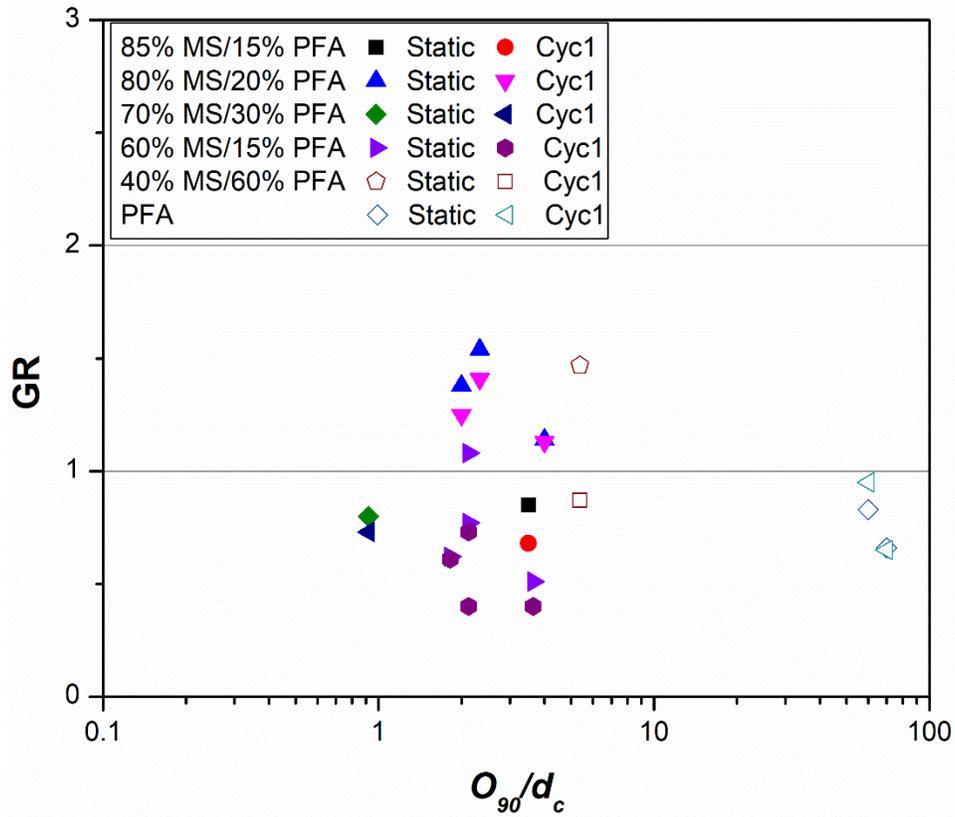


Fig. 14. Summary of filtration test results of broadly-graded soils in terms of GR vs  $O_{90}/d_c$

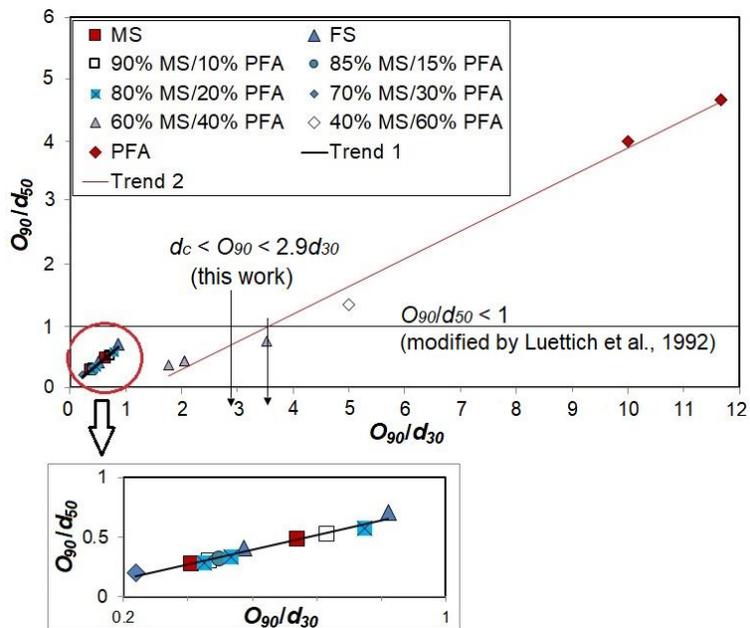


Fig. 15. Comparison of Luetlich et al. (1992) criterion with current test results

**Table. 1** Geotextile filter criteria for static and dynamic conditions

Requirement	Conditions	Source	Broadly-graded soils	Uniformly graded soils
Avoiding piping	Static	Lafleur, 1999	For internally stable soils $O_{95} < d_i$ where: $d_i = d_{50}$ for linearly graded soils $d_i = d_{30}$ for soils with concave upward gradation $d_i = d_g$ for gap-graded soils, where $d_g$ is the minimum gap size	$O_{95} < d_{85}$
			For internally unstable soils $d_{30} < O_{95} < 5d_{30}$	
	Dynamic	Luetlich et al., 1992	$O_{95} < d_{50}$	$O_{95} < d_{50}$
		Holtz et al., 2008	$O_{95} < 0.5d_{85}$	$O_{95} < 0.5d_{85}$
Avoiding clogging/blinding	Static	Hameiri, 2000	$O_{95} > d_{30}$ (for internally unstable soils)	$O_{95} > d_{30}$ (for internally unstable soils)
		Lafleur, 1999	$O_{95} > d_{30}$ (for internally unstable soils)	-
	Dynamic	Luetlich et al., 1992 and this paper	Use $O_{95}$ that satisfies retention criterion. Porosity of non-woven geotextile should be greater than 30%. Then filtration tests may be performed to evaluate the clogging potential with a given soil.	Use $O_{95}$ that satisfies retention criterion. Porosity of geotextile should be greater than 30%. Then filtration tests may be performed to evaluate the clogging potential with a given soil.
Avoid build-up of excessive pore water pressure at soil-geotextile interface	Static	Holtz et al., 2008	$k_{geotextile} \geq k_{soil}$	$k_{geotextile} \geq k_{soil}$
	Dynamic	Holtz et al., 2008	$k_{geotextile} \geq 10k_{soil}$	$k_{geotextile} \geq 10k_{soil}$

$k_{geotextile}$ ,  $k_{soil}$  – geotextile and soil permeability, respectively.

**Table 2.** Properties of selected geotextiles

Property	Geotextile			
	A	B	C	D
	Needle-Punched & Thermally Bonded (NP-TB)		Needle-Punched (NP)	
Characteristic opening size, $O_{90}$ , $\mu\text{m}$	120	70	70	60
Permeability normal to the plane, m/s	$115 \times 10^{-3}$	$80 \times 10^{-3}$	$75 \times 10^{-3}$	$15 \times 10^{-3}$
Tensile strength, kN/m	9.5	20	14.4	7
Mass per unit area, $\text{g/m}^2$	120	250	237	1200
Thickness, mm	1.2	1.75	1.1	8

**Table 3.** Description of Soils

Soil	Symbol	$d_{85}$ (mm)	$d_{50}$ (mm)	$d_{30}$ (mm)	$d_{15}$ (mm)	$C_u$	Gradation
Medium Sand	MS	0.420	0.250	0.190	0.150	1.9	NG
Fine Sand	FS	0.195	0.17	0.14	.085	2.4	NG
90% Medium Sand 10% PFA	90% MS/10% PFA	0.400	0.230	0.170	0.115	5.9	NG
85% Medium Sand 15% PFA	85% MS/10% PFA	0.400	0.220	0.160	0.055	13.5	BG
80% Medium Sand 20% PFA	80% MS/20% PFA	0.380	0.210	0.150	0.030	20	BG
70% Medium Sand 30% PFA	70% MS/30% PFA	0.470	0.300	0.260	0.015	52.3	BG-GG
60% Medium Sand 40% PFA	60% MS/40% PFA	0.340	0.160	0.034	0.008	46.5	BG
40% Medium Sand 60% PFA	40% MS/60% PFA	0.285	0.052	0.014	0.005	81.2	BG
PFA	PFA	0.063	0.015	0.006	0.001	38.3	BG

NG: narrowly-graded, BG: broadly-graded, GG: gap-graded

**Table 4.** Summary of soil internal stability classification

Soil Type	Soil Gradation	Kezdi (1979)	Kenney and Lau (1985,1986)	Burenkova (1993)
MS	NG	S	S	S
FS	NG	S	S	U
90% MS/10% PFA	NG	U	S	S
85% MS/15% PFA	BG	U	U	U
80% MS/20% PFA	BG	U	U	U
70% MS/20% PFA	BG	U	U	U
60% MS/40% PFA	BG	U	U	U
40% MS/60% PFA	BG	U	U	S
PFA	BG	U	U	S

NG = narrowly-graded; BG = broadly-graded; S = stable; U = unstable

**Table 5.** Filtration test results for narrowly-graded soils

Geotextile	Soil	$O_{90}/d_{85}$	Coefficient of permeability ( $\times 10^{-5}$ m/s)								GR (static)	GR (cyclic)			Mass of particles collected ( $\text{g}/\text{m}^2$ )	Particles retained inside geotextile ( $\text{g}/\text{m}^2$ )
			$k_s$ static	$k_{sg}$ static	$k_s$ cyc1	$k_{sg}$ cyc1	$k_s$ cyc2	$k_{sg}$ cyc2	$k_s$ cyc3	$k_{sg}$ cyc3		Cyc1	Cyc2	Cyc3		
A	MS	0.29	4.29	5.14	4.16	5.20	-	-	-	-	0.83	0.69	-	-	311	128
C	MS	0.17	3.33	3.78	3.41	3.81	3.01	3.36	2.05	3.33	0.90	0.89	0.9	0.61	189	188
A	FS	0.62	0.64	1.70	0.65	1.40	0.66	1.47	0.66	1.51	0.38	0.46	0.45	0.43	215	160
B	FS	0.36	0.67	0.63	0.83	0.69	0.84	0.71	1.11	0.81	1.00	1.15	1.15	1.31	154	96
A	90% MS/10% PFA	0.30	0.26	0.16	0.27	0.17	0.27	0.17	0.29	0.18	1.66	1.65	1.65	1.65	171	133
B	90% MS/10% PFA	0.18	0.30	0.16	0.29	0.17	0.30	0.17	0.38	0.20	1.79	1.73	1.72	1.97	143	137

**Table 6.** Filtration test results of broadly-graded soils

Soil	Geotextile	$\frac{O_{90}}{d_{85}}$	Coefficient of permeability ( $10^{-6}$ m/s)				GR		Mass of Particles Collected (g/m <sup>2</sup> )	Mass of particles inside geotextile (g/m <sup>2</sup> )
			$k_s$ Static	$k_{sg}$ Static	$k_s$ Cyc1	$k_{sg}$ Cyc1	Static	Cyc1		
85%MS/15%PFA	B	0.18	8.3	9.8	7.4	11	0.85	0.68	125	78
80%MS/20%PFA	A	0.32	0.73	0.64	0.74	0.66	1.14	1.13	310	120
80%MS/20%PFA	B	0.18	0.65	0.42	0.65	0.46	1.54	1.41	290	220
80%MS/20%PFA	D	0.16	0.66	0.48	0.71	0.57	1.38	1.25	259	360
80%MS/20%PFA*	D	0.16	0.64	0.50	0.69	0.57	1.27	1.22	236	388
70%MS/30%PFA	D	0.13	0.26	0.33	0.18	0.24	0.80	0.73	143	888
60%MS/40%PFA	A	0.35	0.21	0.42	0.16	0.39	0.51	0.40	2707	187
60%MS/40%PFA	B	0.21	0.11	0.15	0.03	0.08	0.77	0.40	480	188
60%MS/40%PFA	C	0.21	0.33	0.31	0.11	0.15	1.08	0.73	370	241
60%MS/40%PFA	D	0.18	0.11	0.18	0.11	0.19	0.62	0.61	300	425
40%MS/60%PFA	B	0.25	0.18	0.12	0.09	0.1	1.47	0.87	275	327
PFA	C	1.11	0.07	0.11	0.09	0.13	0.66	0.65	410	425
PFA	D	0.95	0.15	0.18	0.24	0.25	0.83	0.95	392	800

\*Repeated test

**Table 7.** Comparison of  $d_{90p}$  and original sample for narrowly-graded soils

Geotextile	Soil	$d_{90p}$ $\mu\text{m}$	% original gradation	Kezdi (1979)		Kenney and Lau (1985, 1986)	
				% finer by weight at $(D_{15c}/d_{85f})_{max}$	% finer by weight showing $D_{15c}/d_{85f} > 4$	% finer by weight at $(H/F)_{min}$	% finer by weight showing $H/F < 1$
A	MS	35	<4	5	-	30	-
C	MS	30	<4	5	-	30	-
A	FS	18	<8	10	-	30	-
B	FS	15	<8	10	-	30	-
A	90%MS/10%PFA	25	8	5	5-12	4.5	-
B	90%MS/10%PFA	20	6	5	5-12	4.5	-

**Table 8.** Comparison of  $d_{90p}$  and original sample for broadly-graded soils

Geotextile	Soil	$d_{90p}$ μm	% original gradation	Assessed loss of soil structure	Kezdi (1979)		Kenney and Lau (1985,1986)	
					% finer by weight at $(D_{15}/d_{85})_{max}$	% finer by weight showing $D_{15}/d_{85} > 4$	% finer by weight at $(H/F)_{min}$	% finer by weight showing $H/F < 1$
B	85% MS/15% PFA	25	11	NL-MSS	5	5-15	10	7-11
A	80% MS/20% PFA	22	13	NL-MSS	5	5-20	15	9-17
B	80% MS/20% PFA	17	12	NL-MSS	5	5-20	15	9-17
D	80% MS/20% PFA	15	11	NL-MPS	5	5-20	15	9-17
D	70% MS/30% PFA	16	15	NL-MSS	5	5-30	25	10-26
A	60% MS/40% PFA	40	32	PSL	10	10-30	30	15-30
B	60% MS/40% PFA	35	30	PSL	10	10-30	30	15-30
C	60% MS/40% PFA	27	27	PSL	10	10-30	30	15-30
D	60% MS/40% PFA	11	18	NL-MPS	10	10-30	30	15-30
B	40% MS/60% PFA	16	31	PSL	12	12-17	30	20-30
C	PFA	15	47	PSL	20	15-20	15	15-17
D	PFA	17	52	PSL	20	15-20	15	15-17

PSL = primary structure loss; NL-MSS = No loss – movement of secondary structure; NL-MPS = No loss – movement of primary structure