

1 **Paternal periconception metabolic health and offspring programming**

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18 **Running title:** Paternal nutrition and offspring programming

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20 **Keywords:** Paternal diet, periconception development, semen quality, microbiome

35 **Abstract**

36 The association between maternal metabolic status at the time of conception and subsequent
37 embryogenesis and offspring development has been studied in detail. However, less attention
38 has been given to the significance of paternal nutrition and metabolism in directing offspring
39 health. Despite this disparity, emerging evidence has begun to highlight an important
40 connection between paternal metabolic well-being, semen quality, embryonic development and
41 ultimately adult offspring health. This has established a new component within the
42 Developmental Origins of Health and Disease (DOHaD) hypothesis. Building on the decades
43 of understanding and insight derived from the numerous models of maternal programming,
44 attention is now becoming focused on defining the mechanisms underlying the links between
45 paternal well-being, post-fertilisation development and offspring health. Understanding how
46 the health and fitness of the father impact on semen quality is of fundamental importance for
47 providing better information to intending fathers. Furthermore, assisted reproductive practices
48 such as *in vitro* fertilisation rely on our ability to select the best quality sperm from a diverse
49 and heterogeneous population. With considerable advances in sequencing capabilities, our
50 understanding of the molecular and epigenetic composition of the sperm and seminal plasma,
51 and their association with male metabolic health, has developed dramatically over recent years.
52 This review will summarise our current understanding of how a father's metabolic status at the
53 time of conception can affect sperm quality, post-fertilisation embryonic and fetal development
54 and offspring health.

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69 **Introduction**

70 Over recent decades, human and animal model studies have highlighted the significance of the
71 *in utero* period in shaping patterns of fetal development and offspring long-term health (1).
72 Investigations into maternal exposure to different environmental factors during pregnancy have
73 shown that the offspring can display an increased propensity for developing a range of non-
74 communicable conditions such as cardiovascular disease (2), insulin resistance and obesity (3)
75 and certain behavioural disorders (4). The Developmental Origins of Health and Disease
76 (DOHaD) field has expanded to investigate a range of environmental and lifestyle challenges,
77 as well as defining the sensitivity of specific ‘windows’ before, during and even after
78 pregnancy (5). One such window that appears to display specific sensitivity is the time around
79 conception and early embryonic development. This preconception period, as defined in
80 Fleming et al., (6), typically represents a time encompassing parental gamete maturation,
81 fertilisation of the oocyte and development of the preimplantation embryo. The importance of
82 the periconception period is highlighted by the fact that it encompasses a transition in
83 developmental regulation, driven initially by the quality of the parental gametes before being
84 directed by the embryonic genome. Underlying these fundamental developmental processes
85 are dramatic reorganisations of the epigenetic status of the parental genomes, allowing a new
86 embryonic pattern to be established which then determines subsequent fetal and postnatal
87 development (7). Due to the dramatic epigenetic remodelling that takes place within the
88 preimplantation embryo, understanding the consequences of programmed changes in offspring
89 epigenetic status (DNA/RNA methylation, histone modifications, non-coding RNA
90 populations) as a result of periconception environmental insults has become a significant focus
91 in the DOHaD field (8).

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93 The fact that the periconception period represents a time of both critical developmental
94 importance for future offspring well-being, as well as heightened sensitivity to environmental
95 perturbations, has significant implications for our current lifestyle and fertility. The global
96 population is burdened by an increase in amount of people experiencing either **over- or under-**
97 **nutrition (9)**. In addition, an increase in the number of people actively delaying parenthood (10)
98 has resulted in a general decline in fertility, highlighting the interplay between our modern
99 lifestyle and its influence on our gametes and general reproductive health (11). Infertility now
100 affects around 15% of couples in their reproductive age and its global rate has increased
101 significantly in the period between 1990 and 2017 (12). Furthermore, the demand for infertility
102 treatment using Assisted Reproductive Technologies (ARTs) such as *In-vitro* Fertilisation

103 (IVF) or Intracytoplasmic Sperm Injection (ICSI) has increased also (13). While the
104 relationship between maternal diet, gamete quality and fertility has been studied in detail, the
105 significance of male nutritional status and post-fertilisation embryo development has received
106 less attention. Paternal obesity has been shown to negatively impact male endocrine function,
107 sperm quality and genomic/epigenetic integrity, fertilisation capacity, embryonic development
108 and offspring health (14). Similarly, studies have also shown that paternal undernutrition
109 affects sperm quality and post-fertilisation development and offspring well-being (15).
110 Therefore, a greater understanding of the paternal contribution to offspring development is
111 needed if new parental strategies are to be developed to combat the rise in rates of global non-
112 communicable disease.

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114 In line with our increased interest in the role nutrition plays in the regulation of our reproductive
115 fitness, our understanding of the interplay between our microbiome and the function of multiple
116 physiological systems has grown also (16). Our microbiota, the populations of micro-
117 organisms that live within and on our bodies, and the role they play in regulating multiple
118 aspects of our health and well-being are of increasing interest. Within the gut, the microbiota
119 regulate numerous aspects of metabolism; secreting hormones and metabolites which regulate
120 processes such as appetite, glucose tolerance, insulin sensitivity and fat storage (17), all of
121 which are connected to reproductive health. During pregnancy, the maternal microbiota shows
122 significant changes in composition (18) and the female reproductive microbiota has been
123 associated with a variety of gynaecological cancers (19). In addition, the maternal-offspring
124 microbiome exchange at birth is critical in establishing the neonate's microbiome in postnatal
125 life (20). Interestingly, in males, the seminal plasma has been shown to have its own
126 microbiota, which is modifiable by diet (21). While the significance of the seminal plasma
127 microbiota has yet to be defined, the role of the seminal plasma in modulating the maternal
128 reproductive tract during preimplantation embryo development is becoming evident (22). As
129 our metabolic health, microbiota and our reproductive fitness appear directly interconnected,
130 the role of the microbiome in regulating fertility is one we will explore within this review.

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132 **Here, we review** the growing body of data surrounding paternal nutritional status and the
133 association with sperm quality, preimplantation embryo development and adult offspring
134 health. Throughout this **review**, we aim to present and highlight evidence reported from both
135 animal models and human studies discussing, where possible, the potential relationship(s)
136 between them. Similar to studies exploring maternal programming, animal model studies have

137 been fundamental in understanding the mechanistic relationships between paternal health,
138 reproductive fitness and offspring development. This has allowed for in-depth analysis of male
139 gametogenesis, epigenetic status and regulation, in addition to customised experimental models
140 designed to simulate real-world nutritional profiles and lifestyle conditions. These models have
141 aided the characterisation of the mechanisms underlying the paternal programming of offspring
142 health.

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144 **Paternal nutrition and reproductive fitness**

145 Obesity, defined in humans as a BMI > 30 kg/m², is associated with adverse metabolic effects
146 and higher cardiovascular disease risk (23). Obesity has also been linked to reproductive
147 dysfunction and an association with male infertility (24). However, while conflicting effects of
148 obesity on semen quality and fertility have been reported in men, multiple studies in animal
149 (mostly rodent) models have shown negative effects of obesity of a high fat diet (HFD) on male
150 reproductive fitness. Hammoud et al. demonstrated that with increasing BMI in men, the
151 incidence of oligozoospermia increased also, with obese men having a 15.62% incidence
152 compared to 5.32% for normal weight men (25). Disturbances in endocrine homeostasis
153 underpinning spermatogenesis indicate one mechanism by which obesity-mediated alterations
154 in hormonal profiles may alter male fertility. For example, obese males have been found to
155 have decreased testosterone, inhibin B and increased oestrogen levels, all associated with
156 impaired spermatogenesis (26). Furthermore, alterations in the balance of gonadotropin
157 releasing hormone and luteinising hormone/follicle stimulating hormone (GnRH-LH/FSH)
158 may further contribute to an obesity-related impairment of spermatogenesis by disrupting
159 Leydig and Sertoli cell function (27). Additionally, fat accumulation in male obesity can
160 increase scrotal temperature which impairs spermatogenesis, contributing to decreased sperm
161 quality (28). Male obesity has also been associated with increased risk of sperm DNA damage
162 (29). In mice, increased reactive oxygen species (ROS) production and higher levels of DNA
163 damage in sperm of HFD fed males have been reported (30). Elevated levels of testicular ROS,
164 and the subsequent increase in oxidative stress, have been shown to have detrimental effects
165 on sperm integrity through the impairment of the sperm plasma membrane (31). Furthermore,
166 the elevation of ROS and the resultant increase in sperm cytotoxicity and DNA fragmentation
167 (32), have been shown to reduce sperm vitality and motility (33), lower levels of sperm
168 capacitation (34) and diminish sperm-oocyte binding capacity (35).

169

170 The impact of paternal nutrition has **on a male's** reproductive health extends beyond pre-
171 conception period and into the peri-conception period. An association between elevated
172 paternal BMI and impaired embryo development has been identified in men and animal
173 models. In men undergoing ART interventions, an increasing BMI was significantly associated
174 with a decreased rate of embryo blastulation on day 5 of culture (36). Furthermore, the same
175 study reported rates of pregnancy, embryo implantation and live birth decreased from 41.3%
176 for men of a **BMI <25 kg/m²** to 22.6% for obese men (36). In rats, similar observations were
177 reported for embryos derived from obese males, with HFD-induced obesity reducing the
178 cleavage rates of preimplantation embryos. Furthermore, these embryos demonstrated an
179 impaired ability to achieve developmental milestones *in vitro* and ultimately failed to achieve
180 blastocyst expansion at an appropriate time-point (37). Early embryo cleavage dynamics have
181 been associated with rates of on-going development and live birth within clinical ART settings
182 (38). Recently, the association between being overweight in men and a reduction in fertility
183 has been supported further through a large-scale meta-analysis of 115,158 study participants,
184 revealing obese men had an increased likelihood of infertility (39). Similar to the metabolic
185 insult of high fat and obesogenic diets, paternal undernutrition and nutrient-deficient diets have
186 also demonstrated an impact on sperm quality and early embryo development. In mice, pre-
187 implantation embryos from low-protein **fed stud** males were found to have a reduction in genes
188 associated with metabolic homeostasis, particularly a decreased expression of genes involved
189 in the AMPK pathway (40). Separately, paternal global dietary restriction found undernutrition
190 in male mice resulted in a faster cleavage time in preimplantation embryos, yet reduced rates
191 of blastocyst expansion were observed (41).

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193 **Paternal nutrition and offspring health**

194 The influence of paternal nutritional status extends beyond alterations in early embryonic
195 development and a number of studies in animal models have highlighted the deleterious effects
196 paternal over- and under-nutrition have **on the health of a male's offspring**. In mice, perturbed
197 patterns of fetal growth and skeletal formation have been reported in response to both paternal
198 low protein and low folate diets (40, 42). Interestingly, in both studies, altered placental
199 development was highlighted as one central regulator of the changes in fetal growth, mirroring
200 observations from many maternal programming studies (43). Changes in expression of several
201 hepatic genes for lipid metabolism have also been observed in response to paternal low protein
202 diet (44). These differential growth and metabolic profiles seen during fetal development are
203 then mirrored in postnatal life. In mice, a paternal 70% caloric restriction model, designed to

204 reflect the nutritional availability in of developing countries, increased levels of adiposity in
205 male offspring adiposity as well as inducing dyslipidaemia (41). Similarly, a paternal reduction
206 in caloric intake (reduced by 25%) in the rat resulted in an increase in displays of anxiety-like
207 behaviours in the adult offspring in addition to inducing a reduction in food intake, weight gain
208 and serum leptin levels (45). Data from the Overkalix epidemiological data sets also connect
209 patterns of paternal and grand-paternal nutrition with significant changes in offspring
210 development . Here, periods of low availability of food between the ages of 9 and 12 in males,
211 defined as a ‘slow growth period’ decreased the mortality risk from cardiovascular disease in
212 their offspring (46, 47). In contrast, paternal and grand-paternal over-nutrition during this same
213 period was associated with increased predisposition to diabetes-related mortality (46, 47).
214 Intergenerational paternal programming has also been reported within experimental animal
215 models. Paternal HFD-induced obesity in male rats results in increased adiposity, impaired
216 glucose tolerance and insulin sensitivity within a second (F2) generation (48). Underlying these
217 changes was a significant decrease in DNA methylation in the paternal testicular germ cells
218 associated with the differential expression of 414 genes and 11 miRNAs (48). More recently,
219 we have shown that offspring cardiovascular dysfunction and impaired renin-angiotensin
220 system homeostasis were programed into a second generation in response to a paternal low
221 protein diet in mice (49).

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223 **Identifying the mechanisms of paternal programming**

224 The observation that paternal programming can operate over multiple generations implicates
225 epigenetic transmission of paternal traits as one potential mediator. Epigenetic alterations to
226 the sperm (DNA and histone modifications, RNA populations) have been proposed as one
227 mechanism for transmission of paternal programming effects in the offspring. In mice, global
228 sperm DNA hypomethylation, coupled with reduced testicular expression of the key regulatory
229 methyltransferase genes *Dnmt1* and *Dnmt3L*, have been reported in response to a paternal low
230 protein diet (LPD) (50). Aberrant patterns of sperm DNA methylation have also been observed
231 in response to caloric restriction (41) and dietary insufficiency of key vitamins and minerals
232 such as folate (42). Similarly, obesity has been shown to induce alterations to DNA methylation
233 profiles as well as miRNA populations in sperm of male mice (48). In men, differential sperm
234 DNA methylation profiles and ncRNA profiles have also been observed between obese and
235 lean men (51). With regard to paternal obesity in men, studies have shown hypomethylation of
236 the *IGF2* differentially methylated region in offspring leukocytes at birth (52). Furthermore,
237 significant hypomethylation of other imprinted genes including *MEST*, *PEG3* and *NNAT* were

238 found in offspring of obese fathers (52). Due to the involvement of these genes in growth and
239 metabolic regulation, their differential DNA methylation could be one mechanism linking
240 paternal obesity with altered offspring growth and metabolism. Separately, infertility has been
241 associated with differential sperm DNA methylation (53), histone distribution (54) and RNA
242 content (55). Interestingly, mature sperm contain several different populations of RNA, both
243 within the nucleus and the mitochondria (56). Sperm RNAs are detectable within the fertilised
244 oocyte and can contribute to early embryonic development (57). The significance of sperm
245 RNAs in programming offspring development is exemplified through the observation that the
246 injection of sperm tsRNA fragments isolated from dietary-induced obese mice into control
247 zygotes is able to programme the long-term metabolic ill health in the offspring (58).

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249 Changes to dietary status not only induces change to the composition of the sperm, it can also
250 impact the non-sperm fraction of the semen, the seminal plasma. The role of the seminal plasma
251 in modulating the maternal inflammatory and immune status during the periconception period
252 has been reviewed in detail recently (22, 59). However, a relatively unexplored connection is
253 between the seminal plasma microbiome and paternal reproductive fitness. The mammalian
254 microbiome consists of anywhere between 10 to 100 trillion microorganisms and functions in
255 a symbiotic relationship with its host (60). Until recently, our view of our microbes has centred
256 on their role in pathogenic processes. However, it is now widely accepted that our body's
257 microbiota is central in many developmental, physiological, metabolic and even psychological
258 areas of everyday life. Our bodies possess many different and diverse bacterial populations
259 including our skin, gut and oral microbiomes. Due to modern advances in sequencing
260 capabilities, we are beginning to understand the association between our microbiota and
261 complex conditions such as inflammatory bowel disease (61) and even obesity (62). The
262 interplay between our microbiota and reproductive health has come to the forefront over the
263 past few years with the discussion regarding the sterility of the intra-uterine environment (63).
264 Gaining a better insight into the parental interplay between the maternal reproductive tract and
265 the developing fetus is critical for developing new biomarkers for gestational well-being and
266 both maternal and offspring long-term health. Initial studies into the seminal microbiome
267 focused on detection of pathogenic bacterial species, using comparatively simple techniques
268 such as microscopy and RT-qPCR. In some of the earlier studies, negative associations between
269 the levels of *Anaerococcus* and semen quality were reported (64). In a separate study, semen
270 samples identified as 'normal' within a clinical setting were populated predominantly with
271 *Lactobacillus*, while samples of 'low quality' displayed a predominance of *Prevotella* (65).

272 One influence of bacteria on male reproduction stems from the toxic effects of inflammatory
273 cytokines or reactive oxygen species produced by them within the male reproductive tract (66).
274 In addition, bacteria may also bind directly to the sperm, influencing motility or inducing
275 apoptosis (67). Prebiotics supplementation in both human and animal models has been shown
276 to influence seminal plasma composition and sperm quality. In obese mice, supplementation
277 with *Lactobacillus rhamnosus* PB01 (DSM 14870) improved sperm kinetics (68). The authors
278 observed increased testosterone levels and sperm with higher velocity and motility in
279 supplemented obese males than non-supplemented obese males (68). In men, increased sperm
280 motility, reduced sperm DNA fragmentation and intracellular H₂O₂ levels have also been
281 reported following *Lactobacillus rhamnosus* CECT8361 and *Bifidobacterium longum*
282 CECT7347 supplementation in asthenozoospermic males (69).

283

284 Not only can the seminal microbiome influence male reproductive health, unprotected sexual
285 intercourse can result in the exchange of microbes between partners, suggesting that each
286 partner's reproductive microbiota can affect that of the other. Factors such as frequency of
287 sexual intercourse and number of partners can all be related to the vaginal microbiota and
288 incidences of bacterial vaginosis (70, 71). Therefore, it is conceivable that the male's metabolic
289 status at the time of conception could influence his seminal microbiome, which in turn
290 influence the female reproductive microbiota. As the female reproductive microbiota is directly
291 related to that of the neonate (20), this offers a novel mode of paternal programming of
292 offspring metabolic health. However, such direct demonstration of seminal microbiota paternal
293 programming has yet to be demonstrated.

294

295 **Conclusions and future perspectives**

296 It is now widely recognised that a connection between sub-optimal *in utero* development and
297 long-term offspring ill-health exists. Despite this wealth of knowledge, much less attention has
298 been given to the influence of the father's lifestyle on the health of his offspring. However, it
299 is becoming increasingly apparent that a father's nutritional status at the time of conception
300 can influence post-fertilisation development through a range of mechanisms (see Figure 1).
301 Sperm quality and functionality can be influenced by factors such as obesity and the associated
302 hormonal imbalances. New sequencing approaches have revealed the epigenetic complexity of
303 the sperm, revealing how sperm can regulate the first few cell cycles of the preimplantation
304 embryo (72). Separately, studies have revealed the role of the seminal plasma in modulating
305 the maternal reproductive tract vascular and immune systems, preparing the uterus for the

306 implanting embryo (59). With new analyses indicating the seminal microbiome may also
307 influence post-fertilisation development, our understating of this male reproductive component
308 is extending from from just a simple supportive medium for the sperm during their transit
309 through the female reproductive tract, to a central mediator in paternal programming. As our
310 understanding of the interplay between our physiology and our microbiomes increases,
311 modification of our reproductive fitness through changes in our microbiota may open up a new
312 area of personalised reproductive medicine.

313

314 Looking forward, there is a clear need to define the associations between other aspects of
315 paternal lifestyle with his nutrition and fertility. Sperm quality is a fundamental component for
316 a successful outcome in ART practices. However, there is a still a heavy reliance on factors
317 such as sperm morphology and motility in guiding practitioners to select single sperm in
318 procedures such as intra cytoplasmic sperm injection (ICSI). As such, a more detailed
319 understanding of what cellular constituents make a ‘good quality sperm’ are clearly needed.
320 Furthermore, many ART practices are conducted within a seminal plasma-free environment,
321 precluding the normal interaction that occurs between the seminal plasma and the female
322 reproductive tract during natural conception. The impact of removing such interactions for
323 ongoing pregnancy and child health remain to be defined (59). Finally, factors such as
324 advancing paternal age, and the changes in metabolic status that accompany male ageing, have
325 received limited attention until recently. However, connections between paternal age and
326 offspring well-being are becoming more evident (73). We believe that gaining a fuller
327 understanding of how modern lifestyle factors influence paternal metabolic status, sperm
328 quality, embryo development and offspring health is of fundamental significance for ensuring
329 life-long health and well-being of his offspring.

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340 **Financial support**

341 AJW and his research are supported by the Biotechnology and Biological Sciences Research
342 Council (BBSRC) under grant number BB/R003556/1.

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346 **Conflicts of interest**

347 The authors have no conflicts of interest to declare.

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351 **Authors contributions**

352 All authors were involved in the conception, design, preparation and proofing of this paper.

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Figure 1: Outline of key mechanisms linking paternal metabolic status at the time of conception to post-fertilisation development and offspring health. The seminal microbiome has been associated with semen quality and may influence the post-fertilisation maternal uterine microbiome. Seminal plasma cytokines and signalling molecules, such as transforming growth factor-beta, interact with the maternal reproductive tract, priming the immune system and preparing the uterine tissue for the implanting embryo. Changes in epigenetic status of the sperm provide one mechanism capable to propagating paternal influences over multiple generations. Histones have been shown to be located at key pluripotency genes within the paternal genome and have been shown to contribute to the zygotic histone pool after fertilisation. More recently, sperm-borne RNAs (e.g. ncRNA, miRNA, tsRNA) have been shown to be capable of programming offspring metabolic health separate to the genomic content of the sperm. Sperm, as fully differentiated cells, possess high levels of DNA methylation. Changes in sperm DNA methylation can be indicative of a perturbed testicular environment and reduced male fertility.