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# Numerical validation of novel scaling laws for air entrainment in water

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The Froude scaling laws have been common to model a wide range of water flows at reduced size for almost one century. In such Froude scale models, significant scale effects for air-water flows (e.g. hydraulic jumps or wave breaking) are typically observed. This study introduces novel scaling laws, excluding scale effects in the modelling of air-water flows. This is achieved by deriving the conditions under which the governing equations are self-similar. The one parameter Lie group of point scaling transformations is applied to the Reynolds-averaged Navier-Stokes equations, including surface tension effects. The scaling relationships between variables are derived for the flow variables, fluid properties and initial and boundary conditions. Numerical simulations are conducted to validate the novel scaling laws for (i) a dam break flow interacting with an obstacle and (ii) a vertical plunging water jet. Results for flow variables, void fraction and turbulent kinetic energy are shown to be self-similar at different scales, i.e. they collapse in dimensionless form. Moreover, these results are compared with those obtained using the traditional Froude scaling laws showing significant scale effects. The novel scaling laws are a more universal and flexible alternative with a genuine potential to improve laboratory modelling of air-water flows.

## 1. Introduction

Physical modelling at reduced size is one of the oldest and most important design tools in hydraulic engineering. For processes of engineering interest involving free surface flows, the Froude scaling laws have been used since they were introduced by Moritz Weber in 1930 [1]. They ensure that the ratio between the

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2 inertial and gravity force, namely the Froude number  $Fr$ , is the same in the model and in  
3 nature, i.e. in the prototype. Other force ratios, such as the Reynolds number  $Re$  (inertial force to  
4 viscous force) and the Weber number  $We$  (inertial force to surface tension force), are represented  
5 incorrectly if the fluid properties are the same in the prototype and its model [2–4]. Froude scaling  
6 laws are particularly useful for laminar flows ( $Re \rightarrow 0$ ) and also for fully turbulent flows ( $Re \rightarrow \infty$ )  
7 to investigate Reynolds number invariant fluid parameters [5,6].

8 However, the Froude scaling laws are also used for flows involving air-entrainment, e.g. air  
9 bubbles entrapment into water flows, hereafter referred to as air-water flows. For these, density,  
10 viscosity and surface tension between water and air play a central role such that  $Fr$ ,  $Re$  and  $We$  are  
11 all important [7,8]. Indeed, most studies suggest that the Froude scaling laws without scaled fluid  
12 properties underestimate air entrainment because the effects of viscosity and surface tension are  
13 over-represented in the model [9–11].

14 Air-water flows are observed in many hydraulic phenomena such as spillway flows, hydraulic  
15 jumps, wave breaking and plunging jets, which are still modelled with Froude scaling laws,  
16 despite of their limitations [12–14]. Moreover, air-entrainment occurs at the free surface of oceans,  
17 rivers and streams as an important mechanism for the transport of oxygen and carbon dioxide,  
18 critical for the survival of these ecosystems [15,16]. Despite of many studies, turbulent air-water  
19 flows remain not well understood such that costly case-specific, large-scale investigations are  
20 commonly required to avoid scale effects [2].

21 An analytical approach to derive novel scaling laws can be based upon self-similarity of the  
22 governing equations. A self-similar object is identical to a part of itself. As such, the scaling of  
23 an object that follows suitable laws results in a self-similar scaled copy of the object itself [17–20]  
24 and a self-similar process behaves the same way at different scales, such that scale effects are  
25 avoided [21]. For example, a scaled model and the prototype of a hydraulic jump are self-similar  
26 if dimensionless results are identical. This implies that the dimensionless velocity field and the  
27 void fraction are variables that are invariant when self-similarity is achieved.

28 Self-similar conditions for phenomena with negligible surface tension effects have previously  
29 been derived by applying the one-parameter Lie group of point scaling transformations [22]  
30 (hereafter referred to as Lie group transformations). Lie group transformations are originally  
31 used to reduce the number of independent variables of an initial-boundary value problem by  
32 transforming it in a new space where the solution of the problem is the same as the original  
33 [20,23,24]. This approach has been applied by [25] to derive the conditions under which various  
34 hydrological processes are self-similar through the change in size. Consequently, the Lie group  
35 transformations can be used to find the scaling laws of the variables that can guarantee self-  
36 similarity of a phenomenon. The advantage of this approach is that it gives a complete picture of  
37 the requirements that must be satisfied for self-similarity, in contrast with a classical dimensional  
38 analysis, based on Buckingham  $\pi$  theorem, which is only applied to the dynamics in the interior  
39 of the domain and not to the boundary conditions [26,27].

40 Self-similar conditions of a depth-averaged 2D hydrodynamic equations system and the 3D  
41 Reynolds-Averaged Navier-Stokes (RANS) equations were derived in [28,29]. These two showed  
42 self-similar conditions of the variables in the RANS equations with  $k$ - $\epsilon$  closure for phenomena  
43 that are dominated by gravity and viscous effects. The scaling laws found by [29] were applied  
44 numerically to a lid-driven cavity flow, showing self-similar behaviour. In both studies [28] and  
45 [29], Computational Fluid Dynamics (CFD) was used to demonstrate that the proposed scaling  
46 laws involve no scale effects. Indeed, CFD can be used to investigate scale effects numerically  
47 and the scale and properties of fluids are more easily controlled than in laboratory experiments  
48 [30–33].

49 To the knowledge of the authors, there are no studies addressing the analytical conditions for  
50 which the governing equations involving viscous and surface tension effects are simultaneously  
51 self-similar when scaled in size. In the present study, we derive novel scaling laws by applying the  
52 Lie group transformations to the governing equations of air-water flows including surface tension  
53 effects. No other assumptions are made in the application of the Lie group transformations. This

54 article shows, by simulating a range of scales, that the derived self-similar conditions for air-water  
55 flows and their boundary conditions can be used to achieve self-similarity.

56 The derived scaling laws are applied numerically to two air-water flow processes, namely (i)  
57 a dam break flow interacting with an obstacle, generating large deformations of the free surface  
58 [34], and (ii) a vertical circular plunging water jet impinging on quiescent water characterised by  
59 significant air entrainment, based on the experimental results of [13]. The 3D RANS equations  
60 govern both phenomena in which both viscosity and surface tension play a central role.

61 Air-water flows are here simulated by using *interFoam*, a numerical solver for 2-phase  
62 incompressible fluids flows based on the Volume of Fluid (VOF) method implemented in  
63 the OpenFOAM v1706 CFD package [35]. In these simulations, all the boundary and initial  
64 conditions, including the properties of the fluid, are transformed using the novel scaling laws  
65 at different geometrical scales with scale factors  $\lambda = \frac{l_p}{l_m}$ , where  $l_p$  is a characteristic length in the  
66 prototype (subscript  $p$ ) and  $l_m$  the corresponding one in the model (subscript  $m$ ). The processes  
67 are scaled using values of  $\lambda$  for which a correct representation of surface tension and viscous  
68 effects are essential to avoid significant scale effects. The two processes are also simulated with the  
69 commonly applied Froude scaling laws using ordinary water and air in the model, as common  
70 in laboratory experiments (herein called *traditional Froude scaling*), and the Froude scaling laws  
71 in which the properties of the fluids are strictly scaled (herein called *precise Froude scaling*). It is  
72 demonstrated that the novel scaling laws involve no scale effects, in contrast to traditional Froude  
73 scaling, and they are also more universal and flexible than precise Froude scaling.

74 This article is organised as follows: in Sec. 2 the Lie group transformations are applied to the  
75 governing equations and the novel scaling laws are derived. The numerical model is presented in  
76 Sec. 3. Subsequently, the two CFD case studies are illustrated in Sec. 4, including the set-up, the  
77 application of the novel scaling laws and the results. The findings of this research are discussed  
78 in Sec. 5 and the conclusions and recommendations for future work are given in Sec. 6. Finally,  
79 Appendix A includes the details of the derivation of the novel scaling laws and the self-similar  
80 conditions due to the initial and boundary conditions.

## 81 2. Analytical derivation of the novel scaling laws

### 82 (a) Governing equations

83 Air-water flows are here described by the RANS equations for incompressible fluids:

$$\frac{\partial U_j}{\partial x_j} = 0 \quad (2.1)$$

84 and

$$\frac{\partial U_i}{\partial t} + U_j \frac{\partial U_i}{\partial x_j} = \frac{\partial}{\partial x_j} \left( \nu \frac{\partial U_i}{\partial x_j} - \overline{u_i u_j} \right) - \frac{1}{\rho} \frac{\partial p}{\partial x_i} + g_i + \frac{1}{\rho} f_\sigma, \quad i, j = 1, 2, 3, \quad (2.2)$$

85 where  $i$  is the free index,  $j$  the dummy index, following Einstein's notation,  $t$  is time,  $x_i$  and  $x_j$   
86 are the spatial coordinates,  $U_i$  and  $U_j$  the Reynolds-averaged flow velocity components,  $u_i$  and  
87  $u_j$  the fluctuating velocity components,  $\overline{u_i u_j}$  is the Reynolds stress term,  $p$  the Reynolds-averaged  
88 pressure,  $\nu$  the kinematic viscosity,  $\rho$  the density of the fluid,  $g_i = (g_1, g_2, g_3)$  the gravitational  
89 acceleration vector and  $f_\sigma$  the surface tension force per unit volume defined as

$$f_\sigma = \sigma \kappa \frac{\partial \gamma}{\partial x_i}. \quad (2.3)$$

90 In Eq. (2.3)  $\sigma$  is the surface tension constant,  $\kappa$  the curvature of the free surface and  $\gamma$  the phase  
91 fraction. This is a dimensionless variable with values between 0 and 1 that is used to identify any

92 air/water interface (see Sec. 3). The  $k$ - $\epsilon$  model is here applied for the Reynolds-stresses in Eq.  
93 (2.2), (see [36] for more details), for which

$$-\overline{u_i u_j} = \nu_t \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) - 2/3 k \delta_{ij}, \quad (2.4)$$

94 where  $k$  is the turbulent kinetic energy,  $\delta_{ij}$  the Kronecker delta and  $\nu_t$  the eddy viscosity

$$\nu_t = C_\mu k^2 / \epsilon. \quad (2.5)$$

95  $k$ , and its rate of dissipation  $\epsilon$ , are calculated from

$$\frac{\partial k}{\partial t} + U_j \frac{\partial k}{\partial x_j} = P_k - \epsilon + \frac{\partial}{\partial x_j} \left[ (\nu + \nu_t / C_{\sigma_k}) \frac{\partial k}{\partial x_j} \right] \quad (2.6)$$

96 and

$$\frac{\partial \epsilon}{\partial t} + U_j \frac{\partial \epsilon}{\partial x_j} = C_{\epsilon 1} \frac{\epsilon}{k} P_k - C_{\epsilon 2} \frac{\epsilon^2}{k} + \frac{\partial}{\partial x_j} \left[ (\nu + \nu_t / C_{\sigma_\epsilon}) \frac{\partial \epsilon}{\partial x_j} \right]. \quad (2.7)$$

97  $P_k = u_t \frac{\partial U_i}{\partial x_j} \left( \frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right)$  and  $C_{\epsilon 1} = 1.44$ ,  $C_{\epsilon 2} = 1.92$ ,  $C_\mu = 0.09$ ,  $C_{\sigma_k} = 1.0$  and  $C_{\sigma_\epsilon} = 1.3$  are the  
98 standard model coefficients used in the  $k$ - $\epsilon$  turbulence model [37]. The approach used her for  
99 turbulence modelling, combined with the VOF method has been recognised to overestimate  $k$   
100 [38], although this does not affect the derivation of the scaling laws and the self-similarity of the  
101 representation of the process.

## 102 (b) One parameter Lie group transformations

103 The Lie group is defined as

$$\phi = \beta^{\alpha_\phi} \phi^*. \quad (2.8)$$

104 Eq. (2.8) transforms the variable  $\phi$  in the original space into the variable  $\phi^*$  in the transformed (\*)  
105 space,  $\beta$  is the scaling parameter and  $\alpha_\phi$  the scaling exponent of the variable  $\phi$ . The scaling ratio  
106 of the variable  $\phi$  is  $r_\phi = \phi / \phi^* = \beta^{\alpha_\phi}$  [29,39].

107 All the variables of Eqs. (2.1) to (2.7) in the original domain are written in the transformed  
108 domain as:

$$\begin{aligned} x_1 &= \beta^{\alpha_{x_1}} x_1^*, & x_2 &= \beta^{\alpha_{x_2}} x_2^*, & x_3 &= \beta^{\alpha_{x_3}} x_3^*, & t &= \beta^{\alpha_t} t^*, \\ U_1 &= \beta^{\alpha_{U_1}} U_1^*, & U_2 &= \beta^{\alpha_{U_2}} U_2^*, & U_3 &= \beta^{\alpha_{U_3}} U_3^*, & p &= \beta^{\alpha_p} p^*, \\ g_i &= \beta^{\alpha_{g_i}} g_i^*, & \rho &= \beta^{\alpha_\rho} \rho^*, & \nu &= \beta^{\alpha_\nu} \nu^*, & \sigma &= \beta^{\alpha_\sigma} \sigma^*, & \kappa &= \beta^{\alpha_\kappa} \kappa^*, \\ u_1 &= \beta^{\alpha_{u_1}} u_1^*, & u_2 &= \beta^{\alpha_{u_2}} u_2^*, & u_3 &= \beta^{\alpha_{u_3}} u_3^*, \\ k &= \beta^{\alpha_k} k^*, & \epsilon &= \beta^{\alpha_\epsilon} \epsilon^*, & \nu_t &= \beta^{\alpha_{\nu_t}} \nu_t^*, & P_k &= \beta^{\alpha_{P_k}} P_k^*. \end{aligned} \quad (2.9)$$

109 Self-similar conditions are obtained when the governing equations in the original domain,  
110 subjected to the Lie group transformations, remain invariant. The Lie group transformations for  
111 Eq. (2.1) yields the following equation in the transformed domain

$$\frac{\partial \beta^{\alpha_{U_1}} U_1^*}{\partial \beta^{\alpha_{x_1}} x_1^*} + \frac{\partial \beta^{\alpha_{U_2}} U_2^*}{\partial \beta^{\alpha_{x_2}} x_2^*} + \frac{\partial \beta^{\alpha_{U_3}} U_3^*}{\partial \beta^{\alpha_{x_3}} x_3^*} = 0, \quad (2.10)$$

112 which, with  $\beta$  being a constant parameter, is rearranged as

$$\beta^{\alpha_{U_1} - \alpha_{x_1}} \frac{\partial U_1^*}{\partial x_1^*} + \beta^{\alpha_{U_2} - \alpha_{x_2}} \frac{\partial U_2^*}{\partial x_2^*} + \beta^{\alpha_{U_3} - \alpha_{x_3}} \frac{\partial U_3^*}{\partial x_3^*} = 0. \quad (2.11)$$

113 Self-similarity is achieved if Eq. (2.11) can be obtained from Eq. (2.1) by means of a simple scaling  
 114 process. Therefore, all terms of Eq. (2.11) must be transformed by using the same scaling ratios:

$$\beta^{\alpha_{U_1} - \alpha_{x_1}} = \beta^{\alpha_{U_2} - \alpha_{x_2}} = \beta^{\alpha_{U_3} - \alpha_{x_3}} \Rightarrow \alpha_{U_1} - \alpha_{x_1} = \alpha_{U_2} - \alpha_{x_2} = \alpha_{U_3} - \alpha_{x_3}. \quad (2.12)$$

**Table 1.** Novel and precise Froude scaling laws for the variables of the RANS equations and the  $k$ - $\epsilon$  turbulence model obtained by applying the Lie group transformations.

Variables	Scaling conditions in terms of $\alpha_x, \alpha_t$ and $\alpha_\rho$ (novel scaling laws)		Scaling conditions in terms of $\alpha_x, \alpha_\rho$ and $\alpha_g = 0$		Scaling conditions in terms of $\alpha_x, \alpha_\rho = \alpha_g = 0$ (precise Froude scaling laws)	
	Exponents	Scaling ratios	Exponents	Scaling ratios	Exponents	Scaling ratios
Length (m)	$\alpha_x$	$\beta^{\alpha_x}$	$\alpha_x$	$\beta^{\alpha_x}$	$\alpha_x$	$\beta^{\alpha_x} = \lambda$
Time (s)	$\alpha_t$	$\beta^{\alpha_t}$	$\alpha_t = 0.5\alpha_x$	$\beta^{0.5\alpha_x}$	$\alpha_t = 0.5\alpha_x$	$\beta^{0.5\alpha_x} = \lambda^{0.5}$
Density (kg/m <sup>3</sup> )	$\alpha_\rho$	$\beta^{\alpha_\rho}$	$\alpha_\rho$	$\beta^{\alpha_\rho}$	$\alpha_\rho = 0$	$\beta^0 = 1$
Velocity (m/s)	$\alpha_U = \alpha_x - \alpha_t$	$\beta^{\alpha_x - \alpha_t}$	$\alpha_U = 0.5\alpha_x$	$\beta^{0.5\alpha_x}$	$\alpha_U = 0.5\alpha_x$	$\beta^{0.5\alpha_x} = \lambda^{0.5}$
Pressure (Pa)	$\alpha_p = 2\alpha_x - 2\alpha_t + \alpha_\rho$	$\beta^{2\alpha_x - 2\alpha_t + \alpha_\rho}$	$\alpha_p = \alpha_x + \alpha_\rho$	$\beta^{\alpha_x + \alpha_\rho}$	$\alpha_p = \alpha_x$	$\beta^{\alpha_x} = \lambda$
Gravitational acceleration (m/s <sup>2</sup> )	$\alpha_g = \alpha_x - 2\alpha_t$	$\beta^{\alpha_x - 2\alpha_t}$	$\alpha_g = 0$	$\beta^0 = 1$	$\alpha_g = 0$	$\beta^0 = 1$
Viscosity (m <sup>2</sup> /s)	$\alpha_\nu = 2\alpha_x - \alpha_t$	$\beta^{2\alpha_x - \alpha_t}$	$\alpha_\nu = 1.5\alpha_x$	$\beta^{1.5\alpha_x}$	$\alpha_\nu = 1.5\alpha_x$	$\beta^{1.5\alpha_x} = \lambda^{1.5}$
Surface tension (N/m)	$\alpha_\sigma = 3\alpha_x - 2\alpha_t + \alpha_\rho$	$\beta^{3\alpha_x - 2\alpha_t + \alpha_\rho}$	$\alpha_\sigma = 2\alpha_x + \alpha_\rho$	$\beta^{2\alpha_x + \alpha_\rho}$	$\alpha_\sigma = 2\alpha_x$	$\beta^{2\alpha_x} = \lambda^2$
Curvature of the free surface (1/m)	$\alpha_\kappa = \alpha_x^{-1}$	$\beta^{\alpha_x^{-1}}$	$\alpha_\kappa = \alpha_x^{-1}$	$\beta^{\alpha_x^{-1}}$	$\alpha_\kappa = \alpha_x^{-1}$	$\beta^{\alpha_x^{-1}} = \lambda^{-1}$
Eddy viscosity (m <sup>2</sup> /s)	$\alpha_{\nu_t} = 2\alpha_x - \alpha_t$	$\beta^{2\alpha_x - \alpha_t}$	$\alpha_{\nu_t} = 1.5\alpha_x$	$\beta^{1.5\alpha_x}$	$\alpha_{\nu_t} = 1.5\alpha_x$	$\beta^{1.5\alpha_x} = \lambda^{1.5}$
Reynolds stresses (m <sup>2</sup> /s <sup>2</sup> )	$\alpha_{(u_i, u_j)} = 2\alpha_x - 2\alpha_t$	$\beta^{2\alpha_x - 2\alpha_t}$	$\alpha_{(u_i, u_j)} = \alpha_x$	$\beta^{\alpha_x}$	$\alpha_{(u_i, u_j)} = \alpha_x$	$\beta^{\alpha_x} = \lambda$
Turbulent kinetic energy (m <sup>2</sup> /s <sup>2</sup> )	$\alpha_k = 2\alpha_x - 2\alpha_t$	$\beta^{2\alpha_x - 2\alpha_t}$	$\alpha_k = \alpha_x$	$\beta^{\alpha_x}$	$\alpha_k = \alpha_x$	$\beta^{\alpha_x} = \lambda$
Dissipation (m <sup>2</sup> /s <sup>3</sup> )	$\alpha_\epsilon = 2\alpha_x - 3\alpha_t$	$\beta^{2\alpha_x - 3\alpha_t}$	$\alpha_\epsilon = 0.5\alpha_x$	$\beta^{0.5\alpha_x}$	$\alpha_\epsilon = 0.5\alpha_x$	$\beta^{0.5\alpha_x} = \lambda^{0.5}$
Production of turbulence due to horizontal velocity gradients (m <sup>2</sup> /s <sup>3</sup> )	$\alpha_{P_k} = 2\alpha_x - 3\alpha_t$	$\beta^{2\alpha_x - 3\alpha_t}$	$\alpha_{P_k} = 0.5\alpha_x$	$\beta^{0.5\alpha_x}$	$\alpha_{P_k} = 0.5\alpha_x$	$\beta^{0.5\alpha_x} = \lambda^{0.5}$

115 To attain self-similarity of air-water flows, the exponents for length, velocity and fluctuating  
 116 velocity components have to be identical for the  $i^{\text{th}}$  axis. This is shown in Appendix A where the  
 117 detailed derivation of Eqs. (2.2) to (2.7) is presented. Hereafter,  $\alpha_x, \alpha_U$  and  $\alpha_{u_i}$  are used to indicate  
 118 the scaling exponents of length, Reynolds-averaged velocity and fluctuating velocity components  
 119 on the  $i^{\text{th}}$  axis. Similarly,  $\alpha_\rho, \alpha_\nu$  and  $\alpha_\sigma$  are derived by applying the Lie group transformations to  
 120 Eq. (2.3). Further, based on Eqs. (2.5) to (2.7), the scaling conditions for the turbulent parameters  
 121 are derived. In addition, the detailed derivation of the self-similar conditions for the initial and  
 122 boundary conditions are also shown in Appendix A. The scaling conditions derived above are  
 123 summarised in the second column of Table 1. They are consistent with those reported in Table 1  
 124 and 2 in [29] with addition of the surface tension and the curvature of the free surface. All the  
 125 exponents are written in terms of three independent scaling exponents, namely  $\alpha_x, \alpha_t$  and  $\alpha_\rho$ ,  
 126 meaning that they are user-defined (their choice is flexible). In fact, the solution of air-water flows  
 127 equations can be mapped to solutions in other transformed domains with different  $\lambda = \beta^{\alpha_x}$  by  
 128 selecting the scaling parameter  $\beta$  and changing the  $\alpha$  of three independent variables.

129 It is possible to assign the value of one or two of the three  $\alpha$  while still preserving self-similarity.  
 130 For example, in Table 1 it is shown that choosing  $\alpha_g = 0$  implies that  $\alpha_g = \alpha_x - 2\alpha_t$ . Therefore,  
 131 the unscaled  $g$  requires that  $\alpha_t = 0.5\alpha_x$ . In this configuration, the remaining scaling exponents  
 132 are written in terms of  $\alpha_x, \alpha_\rho$  and  $\alpha_g = 0$  (fourth and fifth columns of Table 1). Hence, keeping  $g$   
 133 invariant in a scaled model requires to scale time and flow velocities and to change the properties  
 134 of the fluids to obtain a self-similar behaviour. A further restriction can be imposed on the density  
 135 of the fluids, namely  $\alpha_\rho = 0$ . This restriction leads to the well-known precise Froude scaling laws  
 136 [3], as a particular case of the novel scaling laws, where  $g$  is constant and  $\nu$  and  $\rho$  are scaled by  
 137 keeping Re and We invariant.

### 3. Numerical model

Air-water flows are simulated by using the 2-phases flow solver *interFoam*, based on the VOF method, implemented in the OpenFOAM v1706 CFD package [35]. A single system of RANS equations is solved with the pressure and velocity fields shared among both phases. The interface between water and air is identified by a value of the phase fraction  $\gamma$  between  $\gamma = 1$  (water) and  $\gamma = 0$  (air). The fluid properties used in the equations are mapped in all domains as a weighted average using  $\gamma$  as weight, e.g. for  $\rho$  and  $\nu$ :

$$\rho = \gamma\rho_w + (1 - \gamma)\rho_a, \quad (3.1)$$

$$\nu = \gamma\nu_w + (1 - \gamma)\nu_a, \quad (3.2)$$

where subscripts *w* and *a* refer to the water and air phase, respectively.  $\sigma$  appears in Eq. (2.3) to model the surface tension force per unit volume, as stated in the Continuum Surface Force method proposed by [40]. The curvature of the interface between two fluids  $\kappa$  is defined as

$$\kappa = -\frac{\partial}{\partial x_i} \left( \frac{\partial\gamma/\partial x_i}{|\partial\gamma/\partial x_i|} \right). \quad (3.3)$$

$\gamma$  is transported as a scalar by the flow field and the interface location (e.g. the free surface) is updated by solving the volume fraction equation

$$\frac{\partial\gamma}{\partial t} + \frac{\partial(\gamma U_j)}{\partial x_j} = 0. \quad (3.4)$$

The interface reconstruction technique used by *interFoam* is MULES [41]. The free surface can also be captured by using alternative techniques, such as the *isoAdvector* method [42]. However, the governing equations remain the same and the self-similarity of the representation of the process under the novel scaling laws is not affected by the interface reconstruction technique.

### 4. Numerical results

The self-similar conditions of the novel scaling laws are validated with the simulation of two physical processes: (i) a dam break flow interacting with an obstacle and (ii) a vertical plunging water jet. The simulations for both processes involve the prototype and a number of scaled models up to large geometrical scale factors of  $\lambda = 16$ .

#### (a) Dam break flow

Dam break flows have been widely investigated numerically and the specific case addressed herein is chosen because it is a well-known test to validate the modelling of large deformations of free surfaces [43,44]. The solver used in the present study has been validated with this particular test case by [34]. In this study,  $\gamma = 0.1$  is selected to identify the air/water interface in the VOF method.  $\gamma = 0.1$  is obtained by considering the value between 0 and 1 providing the best fit with the experimental void fraction distribution in Sec. 4(b).

#### (i) Numerical set-up

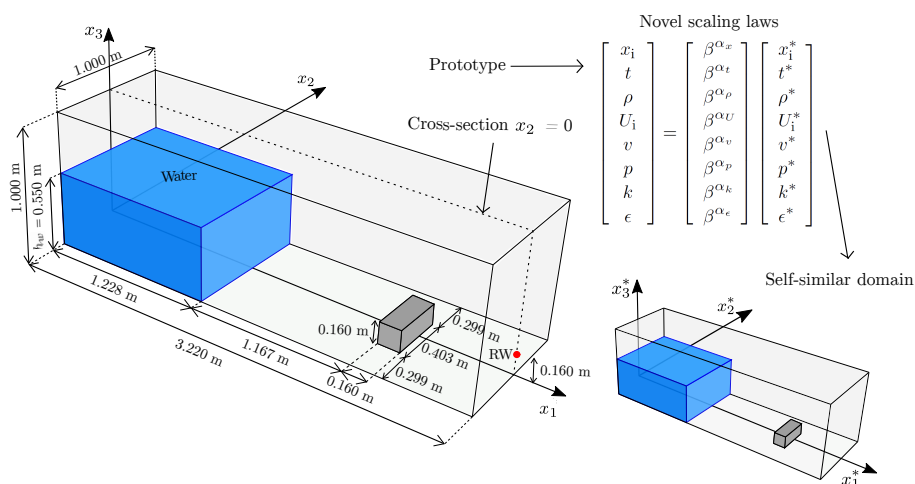
The initial condition at  $t = 0$  consists of a quiescent water column of volume  $1.228 \times 0.550 \times 1.000 \text{ m}^3$ , located at the left side of a  $3.220 \times 1.000 \times 1.000 \text{ m}^3$  tank (Fig. 1). A prismatic fixed obstacle with a volume of  $0.160 \times 0.160 \times 0.403 \text{ m}^3$  is located at  $x_1 = 2.395 \text{ m}$ . The water column is released instantaneously at  $t = 0$ . Subsequently, the flow impacts the obstacle and creates a complex two-phase flow. The top wall of the domain is modelled as an open, fully transmissive

172 boundary at atmospheric pressure and all the remaining walls as no-slip boundary conditions.  
 173 The water density is  $\rho_w = 1000 \text{ kg/m}^3$ , its kinematic viscosity  $\nu_w = 1 \times 10^{-6} \text{ m}^2/\text{s}$  and the  
 174 surface tension constant  $\sigma = 0.07 \text{ N/m}$ .

175 A 180 (length)  $\times$  60 (width)  $\times$  80 (height) Cartesian computational grid was used, apart from  
 176 the obstacle. Note that, due to the orientation of the reference frame, for this case  $g_i = (0, 0, -g)$  in  
 177 Eq. (2.2). The time step  $\Delta t$  was set equal to 0.001 s at the start of the simulation and it was varied  
 178 subsequently by respecting the CFL condition

$$\frac{U_j \Delta t}{\Delta x_j} < C_{max}, \quad (4.1)$$

179 where  $\Delta x_i$  is the mesh size in the Cartesian coordinate system and  $C_{max} = 0.8$  the maximum  
 180 Courant number following [45]. The simulations were run on the University of Nottingham  
 181 High Performance Computing (HPC) cluster Augusta. The number of cells in the computational  
 182 domain was 861075 and the used cores and memory were 4 and 36 GB, respectively. It required  
 183 2 h to simulate the real time of 6 s (also for the corresponding times at reduced scales). In this  
 184 test case, as well as for the jet, all the dimensional parameters, including the mesh sizes and time  
 185 steps, were scaled to the smaller domains according to the selected scaling laws.



**Figure 1.** Initial set-up of the dam break flow prototype and a scaled numerical domain to schematically illustrate the novel scaling laws. The flow parameters at a specified time and space can be transformed to the corresponding time and space in the self-similar domain.

## 186 (ii) Application of the novel scaling laws

187 Two self-similar domains, namely D8 and D16, are created with geometrical scale factors of  $\lambda =$   
 188  $\beta^{\alpha_x} = 8$  and 16, respectively. To achieve this, it is assumed that  $\alpha_x = 1$  such that  $\beta = 8$  (D8) and 16  
 189 (D16), respectively. All variables and parameters are transformed by the scaling exponents in the  
 190 fourth and fifth columns of Table 2 (with scaling conditions in terms of  $\alpha_x$ ,  $\alpha_t$  and  $\alpha_g = 0$ ). Their  
 191 specific values for the prototype and the scaled models, obtained by applying the conditions in  
 192 Table 2, are presented in Table 3. The prototype is also scaled by using precise Froude scaling  
 193 (D8<sub>PFr</sub> and D16<sub>PFr</sub>) and traditional Froude scaling (D8<sub>TFr</sub> and D16<sub>TFr</sub>) using the same  $\lambda$  as in the  
 194 self-similar domains.



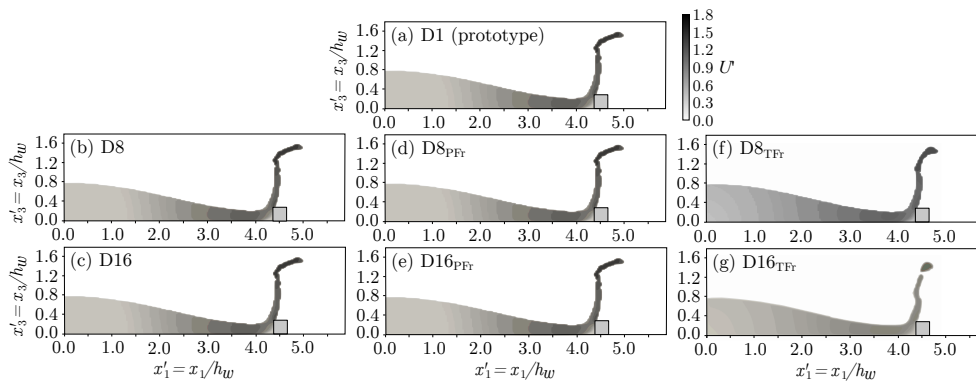
**Table 2.** Scaling parameters and exponents used to scale the dam break flow prototype values to the corresponding values in the domains D8 and D16 using the novel scaling laws.

	Domain D8	Domain D16
Scaling parameter $\beta$	8	16
<b>Scaling exponents</b>		
Length (m) $\alpha_x$		1
Time (s) $\alpha_t$		0.5
Density (kg/m <sup>3</sup> ) $\alpha_\rho$		1
Velocity (m/s) $\alpha_U$		0.5
Pressure (Pa) $\alpha_p$		2
Viscosity (m <sup>2</sup> /s) $\alpha_\nu$		1.5
Surface tension (N/m) $\alpha_\sigma$		3
<b>Scaling ratios</b>		
Length (m) $\beta^{\alpha_x}$	8	16
Time (s) $\beta^{\alpha_t}$	2.82	4
Density (kg/m <sup>3</sup> ) $\beta^{\alpha_\rho}$	8	16
Velocity (m/s) $\beta^{\alpha_U}$	2.82	4
Pressure (Pa) $\beta^{\alpha_p}$	64	256
Viscosity (m <sup>2</sup> /s) $\beta^{\alpha_\nu}$	22.62	64
Surface tension (N/m) $\beta^{\alpha_\sigma}$	512	4096

**Table 3.** Parameters for the dam break flow in the prototype and the scaled domains.

Variables	Prototype D1	Domain D8	Domain D16	Domain D8 <sub>PFr</sub>	Domain D16 <sub>PFr</sub>	Domain D8 <sub>TFr</sub>	Domain D16 <sub>TFr</sub>
Tank length (m)	3.22	0.4025	0.20125	0.4025	0.20125	0.4025	0.20125
Water column height (m)	0.55	0.06875	0.034375	0.06875	0.034375	0.06875	0.034375
Computational time (s)	6	2.12	1.5	2.12	1.5	2.12	1.5
Gravitational acceleration (m/s <sup>2</sup> )	9.81		9.81		9.81		9.81
Water density (kg/m <sup>3</sup> )	1000	125	62.5	1000	1000	1000	1000
Water viscosity (m <sup>2</sup> /s)	10 <sup>-6</sup>	4.42 × 10 <sup>-8</sup>	1.56 × 10 <sup>-8</sup>	4.42 × 10 <sup>-8</sup>	1.56 × 10 <sup>-8</sup>	4.42 × 10 <sup>-8</sup>	1.56 × 10 <sup>-8</sup>
Air density (kg/m <sup>3</sup> )	1	0.125	0.0625	1	1	1	1
Air viscosity (m <sup>2</sup> /s)	1.48 × 10 <sup>-5</sup>	6.54 × 10 <sup>-7</sup>	2.31 × 10 <sup>-7</sup>	6.54 × 10 <sup>-7</sup>	2.31 × 10 <sup>-7</sup>	6.54 × 10 <sup>-7</sup>	2.31 × 10 <sup>-7</sup>
Surface tension (N/m)	0.07	1.37 × 10 <sup>-4</sup>	1.70 × 10 <sup>-5</sup>	1.09 × 10 <sup>-3</sup>	2.73 × 10 <sup>-4</sup>	1.48 × 10 <sup>-5</sup>	0.07

### 195 (iii) Results



**Figure 2.** Snapshots of the dam break flow at the cross-section  $x'_2 = 0$  and dimensionless time  $t' = 2.7$  of the (a) prototype and scaled with (b,c) the novel scaling laws, (d,e) precise Froude scaling and (f,g) traditional Froude scaling.

196 For the purpose of this work it is interesting to analyse the time when gravity, inertial, viscous  
 197 and surface tension effects are all relevant. This happens when the dam break flow impacts  
 198 the obstacle and creates an elongated water tongue. Fig. 2 shows this process with snapshots  
 199 of the prototype and the scaled domains at  $x'_2 = x_2/h_w = 0$  (Fig. 1) and dimensionless time  
 200  $t' = t\sqrt{g/h_w} = 2.7$ . The contours in Fig. 2 represent the dimensionless velocity magnitude  $U' =$   
 201  $U/\sqrt{gh_w}$ , where  $U = \sqrt{U_1^2 + U_2^2 + U_3^2}$ . The prototype shows a large free surface deformation  
 202 after impacting the obstacle (Fig. 2a). The self-similar domains and the domains scaled with



203 precise Froude scaling all simulate the water tongue of the prototype correctly. Moreover, the  
 204 dimensionless velocity magnitude in the prototype and in the self-similar domains are the same,  
 205 despite of the increasing  $\lambda$  (Fig. 2b,c,d,e). On the other hand, traditional Froude scaling does not  
 206 model the free surface correctly due to Re and We scale effects, i.e. the water tongue becomes less  
 207 prolonged with increasing  $\lambda$  (Fig. 2f,g).

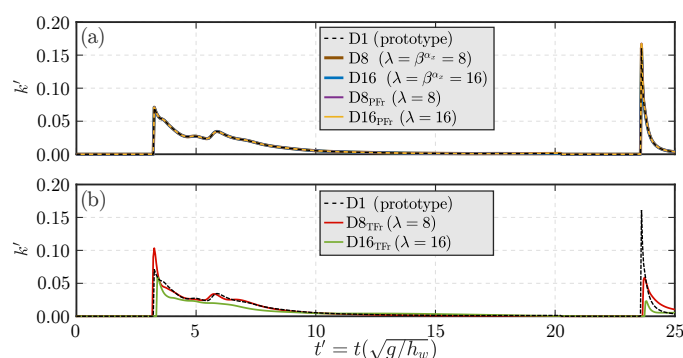
208 The differences between the prototype and the scaled domains are quantified using the Root  
 209 Mean Square Error along the plane  $x'_2 = 0$  for  $U'$  (RMSE $_{U'}$ )

$$\text{RMSE}_{U'} = \sqrt{\frac{\sum_{b=1}^n (U'_{b,p} - U'_{b,m})^2}{n}}, \quad (4.2)$$

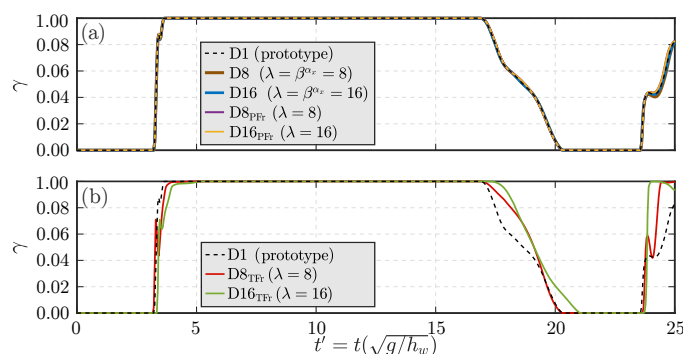
210 where  $U'_{b,p}$  are the cell values of  $U'$  in the prototype,  $U'_{b,m}$  in the scaled domains and  $n = 14283$   
 211 is the number of cells in the cross-section  $x'_2 = 0$ . As shown in Table 4, the RMSE $_{U'}$  values for D8  
 212 and D16 confirm a nearly perfect self-similarity with respect to the prototype.

**Table 4.** RMSE $_{U'}$  for the dam break flow for the domains D1 and D8, D16, D8 $_{\text{PFR}}$ , D16 $_{\text{PFR}}$ , D8 $_{\text{TR}}$  and D16 $_{\text{TR}}$ , for the snapshots in Fig. 2.

RMSE $_{U'}$					
D1-D8	D1-D16	D1-D8 $_{\text{PFR}}$	D1-D16 $_{\text{PFR}}$	D1-D8 $_{\text{TR}}$	D1-D16 $_{\text{TR}}$
$2.98 \times 10^{-4}$	$2.10 \times 10^{-4}$	$3.00 \times 10^{-4}$	$2.21 \times 10^{-4}$	0.067	0.119



**Figure 3.**  $k'$  time histories in the dam break flow at point RW for (a) domains D1, D8, D16, D8 $_{\text{PFR}}$  and D16 $_{\text{PFR}}$  and (b) D1, D8 $_{\text{TR}}$  and D16 $_{\text{TR}}$ .



**Figure 4.**  $\gamma$  time histories in the dam break flow at point RW for (a) domains D1, D8, D16, D8 $_{\text{PFR}}$  and D16 $_{\text{PFR}}$  and (b) D1, D8 $_{\text{TR}}$  and D16 $_{\text{TR}}$ .

213  $k$  is used to assess turbulence because it shows significant scale effects if  $\nu$  is not scaled. Air  
 214 entrainment is assessed by using  $\gamma$ , which is expected to deviate from the prototype if the surface  
 215 tension is over-represented in the scaled domain. Fig. 3 shows the dimensionless turbulent kinetic

energy  $k' = k/(gh_w)$  at point RW (Fig. 1) versus  $t'$  and the variation of  $\gamma$  is shown in Fig. 4. After  $t' = 2.7$ , the water tongue collapses and creates a complex flow characterised by strong turbulence and air entrainment. The flow reaches the downstream wall where it is reflected at  $t' = 3.25$ . At a later stage, the dam-break wave is re-reflected at the upstream wall and it reaches point RW again at  $t' = 23.6$ .

The perfect collapse of the data for D1, D8 and D16 affirms the self-similar behaviour of  $k'$  for the novel scaling laws. The self-similar behaviour is also confirmed for  $D8_{\text{PFr}}$  and  $D16_{\text{PFr}}$ . On the other hand,  $k'$  shows scale effects using traditional Froude scaling; the first  $k'$  peak is either under- or over-estimated ( $D8_{\text{TFr}}$  and  $D16_{\text{TFr}}$ , respectively), while the magnitude of the second peak decreases with increasing  $\lambda$ .

As demonstrated in Fig. 4, where  $\gamma$  is shown as a proxy for surface tension, air entrainment is correctly scaled in the self-similar domains as it controls the air-water interface and the free surface curvature. While the results in the domains D1, D8, D16,  $D8_{\text{PFr}}$  and  $D16_{\text{PFr}}$  essentially collapse, the domains scaled with traditional Froude scaling show significant differences in the region where air entrainment is most important.  $\gamma$  starts to increase close to  $t' = 4$ , meaning that the wave reaches RW consistently at the same time in all domains except for  $D8_{\text{TFr}}$  and  $D16_{\text{TFr}}$  (Fig. 4). Subsequently,  $\gamma$  increases to reach 1 less rapidly than in the prototype when using traditional Froude scaling. These differences become more visible at a later stage of the simulation when the dam break wave is re-reflected at  $t' = 23.6$ , showing significant scale effects.

## (b) Plunging water jet

In this section, the same scaling laws as in the previous test case are applied to the plunging water jet presented in [13]. This involves free-surface instabilities, air entrainment and turbulence.

### (i) Numerical setup

The setup is based on the experiments of [13], consisting of a jet from a circular orifice impinging on a prismatic column of water. However, in this study, the symmetry of the problem with respect to two orthogonal vertical planes is used to simulate only a quarter of the domain, in order to reduce the computational cost. Fig. 5 shows the numerical domain and the variables used in the prototype. A plunging water jet is ejected from a nozzle having a radius  $r_{in} = 0.0125$  m. Here, the subscript *in* indicates the quantities at the nozzle, i.e. at the inlet of the numerical domain, while the subscript *im* indicates values of variables at the still water level, i.e.  $x_1 = 0$ . The receiving pool is 0.15 m wide and 1.80 m deep and at the start of the simulation the distance between the water surface and the nozzle is  $l_1 = 0.10$  m. The velocity of the jet at  $x_1 = 0$  is  $U_{im} = 4.10$  m/s. Here, a Cartesian coordinate system with  $x_1$  pointing downwards is used, therefore,  $g_i = (g, 0, 0)$ .

The inlet boundary condition, namely the nozzle, is at the top boundary. The velocity at the inlet  $U_{in}$  and both  $k_{in}$  and  $\epsilon_{in}$  are prescribed, while the outlet is located at the bottom boundary, having the same flow rate magnitude as the inlet.

$U_{in}$  is calculated starting from the jet impact velocity using Bernoulli's theorem  $U_{in} = \sqrt{U_{im}^2 - 2gl_1} = 3.85$  m/s. At the outlet (subscript *out*)  $U_{out} = U_{in}$  and  $r_{out} = r_{in}$ .  $k_{in}$  and  $\epsilon_{in}$  are calculated as

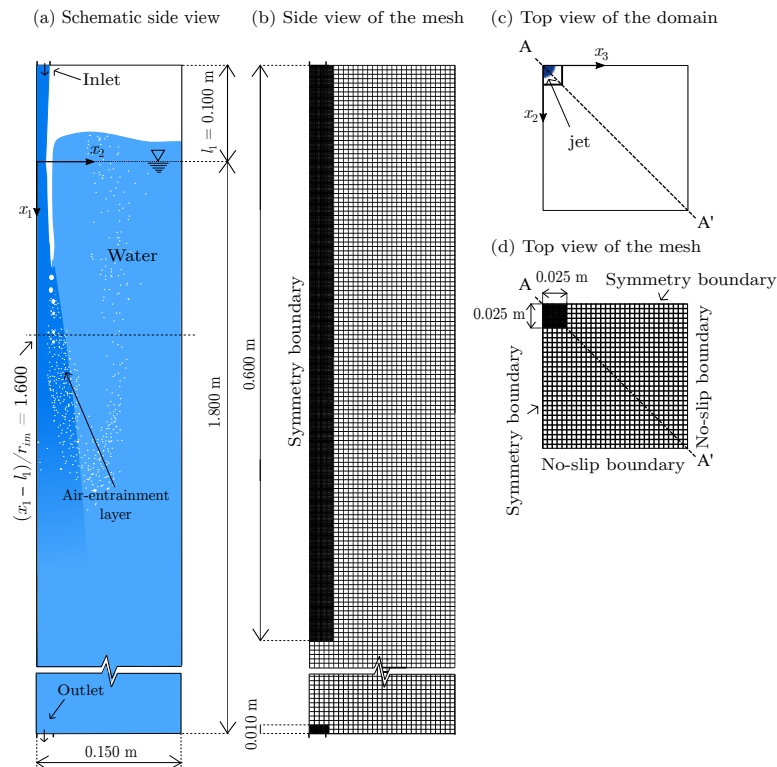
$$k_{in} = \frac{3}{2}(U_{in}I)^2 = 0.000471 \text{ m}^2/\text{s}^2, \quad (4.3)$$

$$\epsilon_{in} = C_\mu \frac{k_{in}^{3/2}}{l_t} = 0.00105 \text{ m}^2/\text{s}^3, \quad (4.4)$$

where  $I = 0.46\%$  is the turbulent intensity following [13], and  $l_t$  the turbulent mixing length approximated with  $l_t = 0.07r_{in}$ . The part of the top boundary of the domain not occupied by the inlet was modelled as a fully transmissive open boundary at atmospheric pressure. Since only a quarter of the domain is simulated, a symmetry boundary condition is used at the symmetry

259 boundary walls and no-slip conditions are applied at the remaining walls, including the bottom  
 260 wall outside the outlet cells (Fig. 5).

261 A structured orthogonal mesh is used with a finer resolution for the area in which the water  
 262 jet impacts the free surface down to a depth of 0.6 m. The smallest observed bubble size was 1  
 263 mm and the minimum cell size 0.625 mm to increase the interface sharpness around the bubbles  
 264 [13,46]. This mesh resolution is not fine enough to resolve the smallest bubbles present in the  
 265 flow. However, the main focus of this work is to show the relative differences in the results of  
 266 the application of different scaling laws for air-water flows, rather than to perfectly resolve the  
 267 dynamics of individual bubbles.



**Figure 5.** Schematic illustration of the computational domain and mesh of the plunging water jet.

268 The simulation time was 300 s, the same duration used by [13] to compute the distribution  
 269 of the void fraction from the laboratory measurements, and the time step varied with respect to  
 270 the CFL condition.  $C_{max}$  was set equal to 0.3. The simulations were run on the University of  
 271 Nottingham HPC cluster Augusta. The number of cells in the computational domain was  $1.89 \times$   
 272  $10^6$  and the corresponding cores and memory were 10 and 36 GB, respectively. It required 168 h  
 273 to simulate 300 s real time (also for the corresponding times at reduced scales).

## 274 (ii) Application of the novel scaling laws

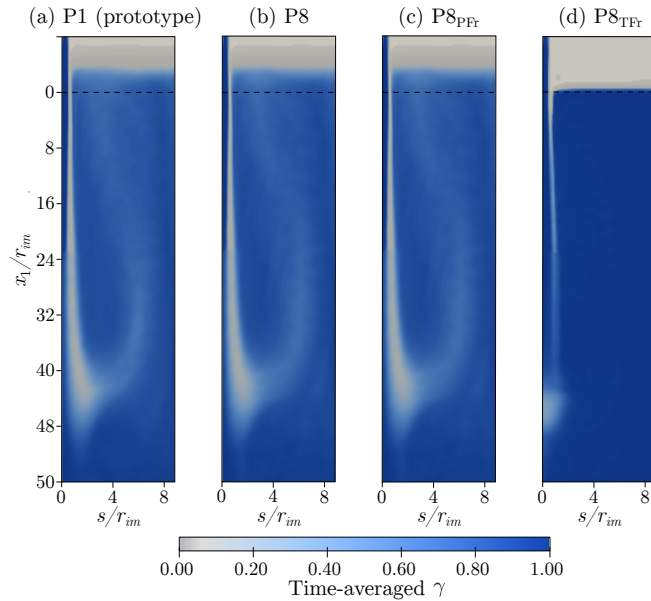
275 The two self-similar domains P8 and P16 were simulated with geometrical scale factors of  $\lambda = 8$   
 276 and 16, respectively. Similarly to the dam break case, the scaling exponent for length is  $\alpha_x =$   
 277 1 so that  $\beta = 8$  (P8) and 16 (P16). The scaling ratios and parameters obtained by applying the  
 278 conditions in the second column of Table 1 are shown in Table 5. The domains P8<sub>PFr</sub> and P16<sub>PFr</sub>  
 279 refer to precise Froude scaling and P8<sub>TFr</sub> and P16<sub>TFr</sub> to traditional Froude scaling (Table 5).

**Table 5.** Scaling parameters and used exponents to scale the plunging jet prototype values to the corresponding values in the domains P8 and P16.

Variables	Prototype	Domain P8	Domain P16	Domain P8 <sub>PFr</sub>	Domain P16 <sub>PFr</sub>	Domain P8 <sub>TFr</sub>	Domain P16 <sub>TFr</sub>
Inlet radius (m)	0.0125	0.0015625	$7.81 \times 10^{-4}$	0.0015625	$7.81 \times 10^{-4}$	0.0015625	$7.81 \times 10^{-4}$
Computational time (s)	300	106	75	106	75	106	75
Impact velocity (m/s)	4.10	1.45	1.025	1.45	1.025	1.45	1.025
Gravitational acceleration (m/s <sup>2</sup> )	9.81		9.81		9.81		9.81
Water density (kg/m <sup>3</sup> )	1000	125	62.5		1000		1000
Water viscosity (m <sup>2</sup> /s)	$10^{-6}$	$4.42 \times 10^{-8}$	$1.56 \times 10^{-8}$	$4.42 \times 10^{-8}$	$1.56 \times 10^{-8}$		$10^{-6}$
Air density (kg/m <sup>3</sup> )	1	0.125	0.0625		1		1
Air viscosity (m <sup>2</sup> /s)	$1.48 \times 10^{-5}$	$6.54 \times 10^{-7}$	$2.31 \times 10^{-7}$	$6.54 \times 10^{-7}$	$2.31 \times 10^{-7}$		$1.48 \times 10^{-5}$
Surface tension (N/m)	0.07	$1.37 \times 10^{-4}$	$1.70 \times 10^{-5}$	$1.09 \times 10^{-3}$	$2.73 \times 10^{-4}$		0.07
Inlet turbulent kinetic energy (m <sup>2</sup> /s <sup>2</sup> )	$4.71 \times 10^{-4}$	$5.89 \times 10^{-5}$	$2.94 \times 10^{-5}$	$5.89 \times 10^{-5}$	$2.94 \times 10^{-5}$	$5.89 \times 10^{-5}$	$2.94 \times 10^{-5}$
Inlet energy dissipation rate $\epsilon_{in}$ (m <sup>2</sup> /s <sup>3</sup> )	$1.05 \times 10^{-3}$	$3.71 \times 10^{-4}$	$2.63 \times 10^{-4}$	$3.71 \times 10^{-4}$	$2.63 \times 10^{-4}$	$3.71 \times 10^{-4}$	$2.63 \times 10^{-4}$

### 280 (iii) Results

281 Fig. 6 shows the time-averaged  $\gamma$  along the section A-A' for domains P1, P8, P8<sub>PFr</sub> and P8<sub>TFr</sub>.  
 282 The prototype shows a distribution of the time-averaged void fraction that is consistent with  
 283 the description of high Re plunging jets provided by [47]. In particular, the flow shows the  
 284 characteristic conical shape of the air-entrainment layer and the dispersion of bubbles due to  
 285 the buoyancy effects outside the cone. The consequence of air entrainment in the flow is a rise of  
 286 the free surface with respect to the initial conditions (Fig. 6a,b,c). Domains P8 and P8<sub>PFr</sub> have the  
 287 identical shape of the air-entrainment layer showing also that the free surface reaches the same  
 288 level, while P8<sub>TFr</sub> shows clear differences.

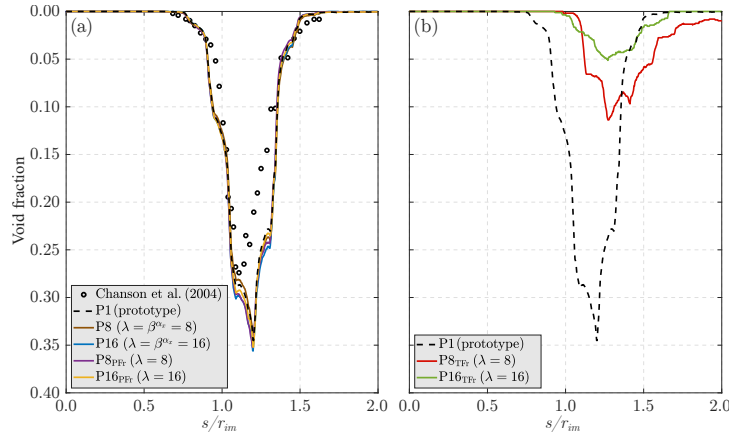


**Figure 6.** Time-averaged  $\gamma$  along the section A-A' for domains (a) P1, (b) P8, (c) P8<sub>PFr</sub> and (d) P8<sub>TFr</sub>.

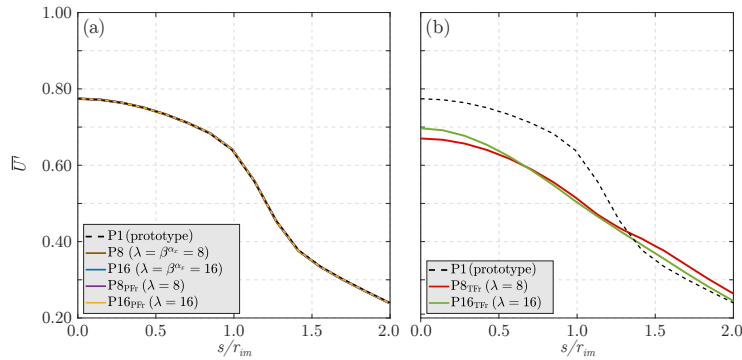
289 The following results are all shown along section A-A' at  $\frac{x_1 - l_1}{r_{im}} = 1.60$ . The distribution of  
 290 the void fraction is compared with the experimental results of [13] in Fig. 7. The computed  
 291 distribution and that measured in [13] are shown to have a close agreement. The novel scaling  
 292 laws and precise Froude scaling reproduce the distribution of the void fraction of the prototype  
 293 correctly, both in terms of the shape and magnitude. On the other hand, the traditional Froude  
 294 scaling fails to describe the void fraction distribution.

295 Fig. 8 shows the time-averaged dimensionless velocity magnitude  $\overline{U'}$  where for this case  
 296  $U' = U/U_{im}$ . In the prototype, the maximum value of  $\overline{U'}$  is at the jet centreline and  $\overline{U'}$  follows  
 297 qualitatively the same velocity distribution as found in [49]. While the results of the domains P1,

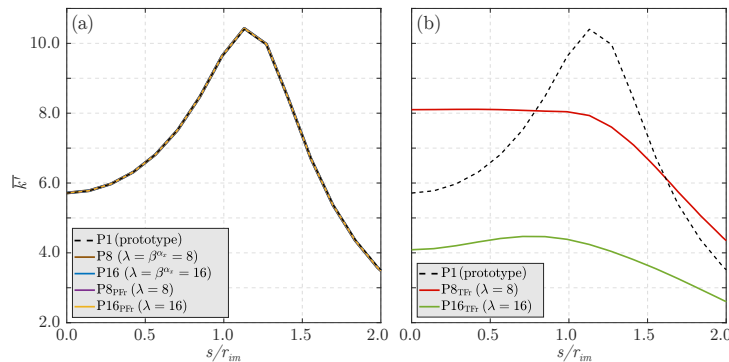
298 P8, P16, P8<sub>PFr</sub> and P16<sub>PFr</sub> are identical,  $\overline{U'}$  for the domains P8<sub>TFr</sub> and P16<sub>TFr</sub> are lower than in the  
 299 prototype.



**Figure 7.** Distributions of the void fraction for the plunging jet for domains (a) P1, P8, P16, P8<sub>PFr</sub> and P16<sub>PFr</sub> and (b) P1, P8<sub>TFr</sub> and P16<sub>TFr</sub> along section A-A' at  $\frac{x_1 - L_1}{r_{im}} = 1.60$ .



**Figure 8.** Time-averaged  $U'$  for the plunging jet for domains (a) P1, P8, P16, P8<sub>PFr</sub> and P16<sub>PFr</sub> and (b) P1, P8<sub>TFr</sub> and P16<sub>TFr</sub> along section A-A' at  $\frac{x_1 - L_1}{r_{im}} = 1.60$ .



**Figure 9.** Time-averaged  $k'$  for the plunging jet for domains (a) P1, P8, P16, P8<sub>PFr</sub> and P16<sub>PFr</sub> and (b) P1, P8<sub>TFr</sub> and P16<sub>TFr</sub> along section A-A' at  $\frac{x_1 - L_1}{r_{im}} = 1.60$ .

300 Fig. 9 shows the time-averaged dimensionless turbulent kinetic energy  $\overline{k'}$ , where  $k' =$   
 301  $k/(gr_{im})$ . In the prototype and self-similar domains the maximum value is  $\overline{k'} = 10$  at  $s/r_{im} = 1.0$   
 302 beyond which  $\overline{k'}$  decreases to less than 4.0 at  $s/r_{im} = 2.0$ . On the other hand, the behaviour in the

domains based on traditional Froude scaling is different. Indeed,  $\overline{k'}$  in P8<sub>TFr</sub> does not show a clear peak and remains almost constant as far as  $s/r_{im} = 1.0$  beyond which it decreases. Moreover, the value of  $\overline{k'}$  around the jet is higher in P8<sub>TFr</sub> than in the prototype. However, P16<sub>TFr</sub> shows a lower  $\overline{k'}$  than the prototype with a maximum value of  $\overline{k'} \approx 4.5$ .

## 5. Discussion

Self-similarity has been achieved for the governing equations of air-water flows including surface tension expanding the scaling conditions reported in [28,29]. An advantage of this approach is that the scaling conditions are directly derived from the governing equations. This leads to more universal scaling laws than the Froude scaling laws [50]. Further, the choice of the scaling exponents  $\alpha_x$ ,  $\alpha_t$  and  $\alpha_\rho$  in the second column of Table 1 are user-defined (flexible). This implies that novel scaling laws can also be written in terms of a set of other variables to find different configurations. For example, it is shown that precise Froude scaling is obtained as a special case of the novel scaling laws. The CFD simulations conducted herein demonstrated that both the novel scaling laws and precise Froude scaling result in self-similar air-water flows, which would also be the case for another set of variables.

In the dam break flow, a significant deformation of the free surface is shown in the prototype after the flow impacts the obstacle, with a characteristic water tongue projected downstream of the obstacle. This behaviour is captured in all the domains scaled with the novel scaling laws; Figs. 3 show that  $k'$  is the same by using the novel scaling laws and  $k$  is thus self-similar. The phase fraction is also self-similar. This is a strong indication that surface tension effects are self-similar as well (Fig. 4) and it is also true for the domains D8<sub>PFr</sub> and D16<sub>PFr</sub>, since precise Froude scaling is a special case of the novel scaling laws. On the other hand, the commonly applied traditional Froude scaling, relying on the same fluids as in the prototype, fails to reproduce the behaviour of the prototype. Indeed, Fig. 2f,g shows that the water tongue is not well predicted. After  $t' = 2.7$ , it collapses and the flow is reflected at the downstream wall. Scale effects are observed in  $k'$  and  $\gamma$  at point RW. Further, the flow reaches point RW later than in the prototype with increasing  $\lambda$ . Scale effects are also observed after the flow is re-reflected, particularly at the second peak of  $k'$ .

For the plunging jet, air entrainment plays a central role. Figs. 8a and 9a demonstrate that the novel scaling laws result in self-similarity for  $\overline{U'}$  and  $\overline{k'}$ , i.e. these results collapse for P1, P8, P16, P8<sub>PFr</sub> and P16<sub>PFr</sub>, while this is not the case for P8<sub>TFr</sub> and P16<sub>TFr</sub>. The self-similarity of the distribution of the void fraction depends on density, viscous and surface tension effects. The prototype simulation captures the mechanism of air entrainment by a plunging jet (Fig. 5) including the formation of an air cavity between the impinging jet and the surrounding fluid, which collapses and reforms intermittently, entraining air bubbles that are transported by the flow. At this stage, air bubbles are advected in a turbulent shear flow and they are broken into smaller bubbles creating a conical air-entrainment layer. Subsequently, buoyancy determines the re-surfacing of bubbles in the portion of the flow outside the air layer [7,8,12]. This complex mechanism causes the air-entrainment layer in Fig. 6, where the novel scaling laws guarantee self-similarity. This is also true for the void fraction in Fig. 7 that is a consequence of the mechanism described above. On the other hand, Fig. 7b demonstrates that traditional Froude scaling fails to reproduce the void fraction distribution. By using ordinary water, the surface tension and viscosity are over-represented, therefore, the distribution of the void fraction gradually decreases with increasing  $\lambda$ . As expected, for increasing  $\lambda$  the flow regime changes, transitioning from high  $Re = 50840$  in the prototype to  $Re = 800$  in P16<sub>TFr</sub>, calculated by using  $U_{im}$ ,  $r_{im}$  and  $\nu_w$ . The modelling of this laminar flow with the  $k$ - $\epsilon$  turbulence model introduces also model, in addition to scale effects [2,3,47], which explain the results in Figs. 8b and 9b.

The need of the novel scaling laws for scaling fluid properties requires the modification or replacement of ordinary water in laboratory experiments, e.g., for values of  $\lambda$  comparable with the highest used here, i.e.  $\lambda = 16$ , where  $\rho_w = 62.5 \text{ kg/m}^3$ ,  $\nu_w = 1.56 \times 10^{-8} \text{ m}^2/\text{s}$  and  $\sigma = 1.70 \times 10^{-5} \text{ N/m}$  (Tables 3 and 5). There are options to alter the relevant fluid properties; the surface

353 tension can be modified by adding ethanol to water [11] and the viscosity can also be reduced, e.g.  
 354 [51] modelled a hydraulic jump with air. A more recent approach to change the water properties  
 355 is based on nanofluids, i.e. nanoparticles are added to water [52,53]. A key advantage of the novel  
 356 scaling laws is that fluids of different density than water, e.g. ethanol, now also qualify as potential  
 357 candidates for laboratory experiments.

## 358 6. Conclusions

359 The Froude scaling laws are applied to model water flows at reduced size for almost one  
 360 century. A significant disadvantage of Froude scaling is the potential for scale effects. This article  
 361 shows how such scale effects in air-water flows are avoided with novel scaling laws based upon  
 362 self-similarity of the governing equations. Lie group transformations are applied to the Reynolds-  
 363 averaged Navier-Stokes equations where surface tension effects are included as a source term.  
 364 This allows the modelling of hydrodynamic phenomena at small scale without viscous and  
 365 surface tension scale effects. These novel scaling laws are more universal and flexible than the  
 366 precise Froude scaling laws because different scaling configurations can be obtained, e.g. by  
 367 scaling also the density of the fluid. In this study, the gravitational acceleration is kept constant  
 368 and the scaling exponents of the variables are expressed as a function of the scaling exponents of  
 369 the length  $\alpha_x$ , time  $\alpha_t$  and gravitational acceleration  $\alpha_g = 0$ .

370 The derived novel scaling laws were validated with the simulations of two air-water flow  
 371 phenomena: (i) a dam break flow interacting with an obstacle and (ii) a plunging water jet. The  
 372 numerical simulations demonstrated that the processes are correctly scaled, and showed perfect  
 373 agreement at different scales for air entrainment and kinematic properties. The results of the  
 374 precise Froude scaling, where the properties of the fluids are strictly scaled, demonstrate that a  
 375 particular configuration of the novel scaling laws is also able to result in self-similarity. In contrast,  
 376 the simulations based on traditional Froude scaling using ordinary water and air, as common in  
 377 laboratory studies, show significant scale effects as expected.

378 Whilst this study provides a thorough numerical validation of the proposed scaling laws,  
 379 future work aims to identify suitable fluids satisfying the novel scaling laws, which would enable  
 380 the scaling of air-water flows without scale effects for the first time in an laboratory environment.

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## 385 Appendix A - Derivation of the novel scaling laws

386 The remaining scaling conditions in Table 1, in addition to the ones presented in Sec. 2b, are  
 387 derived here. The Lie group transformations for Eq. (2.2) yield the following equations in the  
 388 transformed domain:

$$\begin{aligned}
 \beta^{\alpha_{U_i} - \alpha_t} \frac{\partial U_i^*}{\partial t^*} + \beta^{\alpha_{U_j} + \alpha_{U_i} - \alpha_{x_j}} U_j^* \frac{\partial U_i^*}{\partial x_j^*} &= \beta^{\alpha_{U_i} + \alpha_\nu - 2\alpha_{x_j}} \frac{\partial}{\partial x_j^*} \left( \nu^* \frac{\partial U_i^*}{\partial x_j^*} \right) - \beta^{\alpha_{u_i u_j} - \alpha_{x_j}} \frac{\partial \overline{u_i^* u_j^*}}{\partial x_j^*} \\
 -\beta^{\alpha_p - \alpha_\rho - \alpha_{x_i}} \frac{1}{\rho^*} \frac{\partial p^*}{\partial x_i^*} + \beta^{\alpha_g} g_i^* + \beta^{\alpha_{f\sigma} - \alpha_\rho} \frac{f\sigma^*}{\rho}.
 \end{aligned}
 \tag{6.1}$$

389 Self-similarity is guaranteed if the scaling ratios of all terms in Eq. (6.1) are the same, implying  
 390 that the exponents of all terms must be the same:



$$\begin{aligned}
\alpha_{U_1} - \alpha_t &= \alpha_{U_1} + \alpha_{U_1} - \alpha_{x_1} = \alpha_{U_2} + \alpha_{U_1} - \alpha_{x_2} = \alpha_{U_3} + \alpha_{U_1} - \alpha_{x_3} \\
&= \alpha_{U_1} + \alpha_\nu - 2\alpha_{x_1} = \alpha_{U_1} + \alpha_\nu - 2\alpha_{x_2} = \alpha_{U_1} + \alpha_\nu - 2\alpha_{x_3} \\
&= \alpha_{\overline{u_1 u_1}} - \alpha_{x_1} = \alpha_{\overline{u_1 u_2}} - \alpha_{x_2} = \alpha_{\overline{u_1 u_3}} - \alpha_{x_3} \\
&= \alpha_p - \alpha_\rho - \alpha_{x_1} \\
&= \alpha_g \\
&= \alpha_{f_\sigma} - \alpha_\rho.
\end{aligned} \tag{6.2}$$

$$\begin{aligned}
\alpha_{U_2} - \alpha_t &= \alpha_{U_1} + \alpha_{U_2} - \alpha_{x_1} = \alpha_{U_2} + \alpha_{U_2} - \alpha_{x_2} = \alpha_{U_3} + \alpha_{U_2} - \alpha_{x_3} \\
&= \alpha_{U_2} + \alpha_\nu - 2\alpha_{x_1} = \alpha_{U_2} + \alpha_\nu - 2\alpha_{x_2} = \alpha_{U_2} + \alpha_\nu - 2\alpha_{x_3} \\
&= \alpha_{\overline{u_2 u_1}} - \alpha_{x_1} = \alpha_{\overline{u_2 u_2}} - \alpha_{x_2} = \alpha_{\overline{u_2 u_3}} - \alpha_{x_3} \\
&= \alpha_p - \alpha_\rho - \alpha_{x_2} \\
&= \alpha_g \\
&= \alpha_{f_\sigma} - \alpha_\rho.
\end{aligned} \tag{6.3}$$

$$\begin{aligned}
\alpha_{U_3} - \alpha_t &= \alpha_{U_1} + \alpha_{U_3} - \alpha_{x_1} = \alpha_{U_2} + \alpha_{U_3} - \alpha_{x_2} = \alpha_{U_3} + \alpha_{U_3} - \alpha_{x_3} \\
&= \alpha_{U_3} + \alpha_\nu - 2\alpha_{x_1} = \alpha_{U_3} + \alpha_\nu - 2\alpha_{x_2} = \alpha_{U_3} + \alpha_\nu - 2\alpha_{x_3} \\
&= \alpha_{\overline{u_3 u_1}} - \alpha_{x_1} = \alpha_{\overline{u_3 u_2}} - \alpha_{x_2} = \alpha_{\overline{u_3 u_3}} - \alpha_{x_3} \\
&= \alpha_p - \alpha_\rho - \alpha_{x_3} \\
&= \alpha_g \\
&= \alpha_{f_\sigma} - \alpha_\rho.
\end{aligned} \tag{6.4}$$

391 The Lie group transformations for Eq. (2.3) result in

$$\beta^{\alpha_{f_\sigma}} f_\sigma^* = \beta^{\alpha_\sigma + \alpha_\kappa + \alpha_\gamma - \alpha_{x_i}} \sigma^* \kappa^* \frac{\partial \gamma^*}{\partial x_i^*}. \tag{6.5}$$

392 The dimension  $\kappa$  is the inverse of a length such that  $\alpha_\kappa = -\alpha_{x_i}$ . Further,  $\alpha_\gamma = 0$  because  $\gamma$  is  
393 dimensionless. Hence, Eq. (6.5) reduces to

$$\alpha_{f_\sigma} = \alpha_\sigma - 2\alpha_{x_i}. \tag{6.6}$$

394 From Eqs. (6.2) to (6.4) the scaling exponents of the length dimensions along the  $i^{\text{th}}$  axis are  
395 obtained as

$$\alpha_{U_1} - \alpha_t = \alpha_{U_1} + \alpha_\nu - 2\alpha_{x_1} \Rightarrow \alpha_{x_1} = \frac{\alpha_t + \alpha_\nu}{2}, \tag{6.7}$$

$$\alpha_{U_2} - \alpha_t = \alpha_{U_2} + \alpha_\nu - 2\alpha_{x_2} \Rightarrow \alpha_{x_2} = \frac{\alpha_t + \alpha_\nu}{2}, \tag{6.8}$$

$$\alpha_{U_3} - \alpha_t = \alpha_{U_3} + \alpha_\nu - 2\alpha_{x_3} \Rightarrow \alpha_{x_3} = \frac{\alpha_t + \alpha_\nu}{2}. \tag{6.9}$$

396 In other words, the scaling exponents of the length scale must be identical for  $i = 1, 2, 3$  because  
397 the fluids are considered isotropic, therefore

$$\alpha_{x_1} = \alpha_{x_2} = \alpha_{x_3} = \alpha_x. \quad (6.10)$$

398 Similarly,  $\alpha_{U_1}$ ,  $\alpha_{U_2}$  and  $\alpha_{U_3}$  are obtained from Eqs. (6.2) to (6.4) as follows:

$$\alpha_{U_1} - \alpha_t = \alpha_{U_1} + \alpha_{U_1} - \alpha_x \Rightarrow \alpha_{U_1} = \alpha_x - \alpha_t, \quad (6.11)$$

$$\alpha_{U_2} - \alpha_t = \alpha_{U_2} + \alpha_{U_2} - \alpha_x \Rightarrow \alpha_{U_2} = \alpha_x - \alpha_t, \quad (6.12)$$

$$\alpha_{U_3} - \alpha_t = \alpha_{U_3} + \alpha_{U_3} - \alpha_x \Rightarrow \alpha_{U_3} = \alpha_x - \alpha_t. \quad (6.13)$$

399 Hence,  $\alpha_{U_1}$ ,  $\alpha_{U_2}$  and  $\alpha_{U_3}$  are also equal;

$$\alpha_{U_1} = \alpha_{U_2} = \alpha_{U_3} = \alpha_U = \alpha_x - \alpha_t. \quad (6.14)$$

400 Consequently,  $u_1$ ,  $u_2$  and  $u_3$  have the same exponents in all directions as well because they are  
401 transformed by using the velocity ratio

$$\alpha_{u_1} = \alpha_{u_2} = \alpha_{u_3} = \alpha_u. \quad (6.15)$$

402 The results in Eqs. (6.10) to (6.15) are important because the selections of unique scaling  
403 exponents for length and velocity scales in the  $i^{\text{th}}$  axis is necessary to achieve self-similarity of  
404 air-water flows.  $\alpha_g$ ,  $\alpha_p$  and  $\alpha_\nu$  are obtained from Eqs. (6.2) to (6.4) and they can be written in  
405 terms of  $\alpha_x$ ,  $\alpha_t$  and  $\alpha_\rho$  as

$$\alpha_U - \alpha_t = \alpha_g \Rightarrow \alpha_g = \alpha_x - 2\alpha_t, \quad (6.16)$$

$$\alpha_U - \alpha_t = \alpha_p - \alpha_\rho - \alpha_x \Rightarrow \alpha_p = 2\alpha_x - 2\alpha_t + \alpha_\rho, \quad (6.17)$$

$$\alpha_U - \alpha_t = \alpha_U + \alpha_\nu - 2\alpha_x \Rightarrow \alpha_\nu = 2\alpha_x - \alpha_t. \quad (6.18)$$

406 By using Eqs. (6.2) and (6.6)

$$\alpha_U - \alpha_t = \alpha_{f_\sigma} - \alpha_\rho \Rightarrow \alpha_x - 2\alpha_t = \alpha_\sigma - 2\alpha_x - \alpha_\rho, \quad (6.19)$$

407 from which

$$\alpha_\sigma = 3\alpha_x - 2\alpha_t + \alpha_\rho. \quad (6.20)$$

408 Similarly, Eqs. (2.4) to (2.7) are transformed by keeping  $C_{\epsilon 1}$ ,  $C_{\epsilon 2}$ ,  $C_\mu$ ,  $C_{\sigma k}$  and  $C_{\sigma \epsilon}$  as  
409 dimensionless coefficients

$$-\beta^{\alpha_{uu}} \overline{u_i^* u_j^*} = \beta^{\alpha_{\nu t} + \alpha_U - \alpha_x} \nu_t^* \frac{\partial U_i^*}{\partial x_j^*} + \beta^{\alpha_{\nu t} + \alpha_U - \alpha_x} \nu_t^* \frac{\partial U_j^*}{\partial x_i^*} - \frac{2}{3} \beta^{\alpha_k} k^* \delta_{ij}, \quad (6.21)$$

$$\beta^{\alpha_{\nu t}} \nu_t^* = \beta^{2\alpha_k - \alpha_\epsilon} C_\mu \frac{k^{*2}}{\epsilon^*}, \quad (6.22)$$

$$\begin{aligned} \beta^{\alpha_k - \alpha_t} \frac{\partial k^*}{\partial t^*} + \beta^{\alpha_U + \alpha_k - \alpha_x} U_j^* \frac{\partial k^*}{\partial x_j^*} &= \beta^{\alpha_{P_k}} P_k^* - \beta^{\alpha_\epsilon} \epsilon^* + \beta^{\alpha_\nu + \alpha_k - 2\alpha_x} \frac{\partial}{\partial x_j^*} \left( \nu^* \frac{\partial k^*}{\partial x_j^*} \right) \\ &+ \beta^{\alpha_{\nu t} + \alpha_k - 2\alpha_x} \frac{\partial}{\partial x_j^*} \left( \nu_t^* / C_{\sigma k} \frac{\partial k^*}{\partial x_j^*} \right) \end{aligned} \quad (6.23)$$

410 and

$$\beta^{\alpha_\epsilon - \alpha_t} \frac{\partial \epsilon^*}{\partial t^*} + \beta^{\alpha_U + \alpha_\epsilon - \alpha_x} U_j^* \frac{\partial \epsilon^*}{\partial x_j^*} = \beta^{\alpha_\epsilon + \alpha_{P_k} - \alpha_k} C_{\epsilon 1} \frac{\epsilon^*}{k^*} P_k^* - \beta^{2\alpha_\epsilon - \alpha_k} C_{\epsilon 2} \frac{\epsilon^{*2}}{k^*} \quad (6.24)$$

$$+ \beta^{\alpha_\nu + \alpha_\epsilon - 2\alpha_x} \frac{\partial \nu^*}{\partial x_j^*} \left( \frac{\partial \epsilon^*}{\partial x_j^*} \right) + \beta^{\alpha_{\nu_t} + \alpha_\epsilon - 2\alpha_x} \frac{\partial}{\partial x_j^*} \left[ \left( \frac{\nu_t^*}{C_{\sigma_\epsilon}} \right) \frac{\partial \epsilon^*}{\partial x_j^*} \right].$$

411 For Eqs. (6.21) to (6.24) to be self-similar, the following conditions must hold

$$\begin{aligned} \alpha_{\overline{uu}} &= \alpha_{\nu_t} + \alpha_U - \alpha_x \\ &= \alpha_{\nu_t} + \alpha_U - \alpha_x \\ &= \alpha_k, \end{aligned} \quad (6.25)$$

$$\alpha_{\nu_t} = 2\alpha_k - \alpha_\epsilon, \quad (6.26)$$

$$\begin{aligned} \alpha_k - \alpha_t &= \alpha_U + \alpha_k - \alpha_x \\ &= \alpha_{P_k} \\ &= \alpha_\epsilon \\ &= \alpha_\nu + \alpha_k - 2\alpha_x \\ &= \alpha_{\nu_t} + \alpha_k - 2\alpha_x \end{aligned} \quad (6.27)$$

412 and

$$\begin{aligned} \alpha_\epsilon - \alpha_t &= \alpha_U + \alpha_\epsilon - \alpha_x \\ &= \alpha_\epsilon + \alpha_{P_k} - \alpha_k \\ &= 2\alpha_\epsilon - \alpha_k \\ &= \alpha_\nu + \alpha_\epsilon - 2\alpha_x \\ &= \alpha_{\nu_t} + \alpha_\epsilon - 2\alpha_x. \end{aligned} \quad (6.28)$$

413  $\nu_t$  has the same dimension as  $\nu$ , therefore, Eq. (6.18) yields

$$\alpha_{\nu_t} = 2\alpha_x - \alpha_t. \quad (6.29)$$

414  $\alpha_{\overline{uu}}$  is the same in all directions and it is calculated from Eqs. (6.25) and (6.29) as

$$\alpha_{\overline{uu}} = \alpha_{\nu_t} + \alpha_U - \alpha_x \Rightarrow \alpha_{\overline{uu}} = 2\alpha_x - 2\alpha_t. \quad (6.30)$$

415 From Eq. (6.25)  $\alpha_k$  is obtained ( $\alpha_k = 2\alpha_x - 2\alpha_t$ ). Finally,  $\alpha_\epsilon$  and  $\alpha_{P_k}$  are obtained from Eqs. (6.26)  
416 and (6.27) as

$$\alpha_\epsilon = 2\alpha_k - \alpha_{\nu_t} \Rightarrow \alpha_\epsilon = 2\alpha_x - 3\alpha_t, \quad (6.31)$$

$$\alpha_{P_k} = \alpha_k - \alpha_t \Rightarrow \alpha_{P_k} = 2\alpha_x - 3\alpha_t. \quad (6.32)$$

417 The Lie group transformations are also applied to the initial and boundary conditions. The  
418 initial velocity  $U(x_i, t = 0) = U_{i_0}(x_i)$  and pressure fields  $p(x_i, t = 0) = p_0(x_i)$  are transformed as

$$U_{i_0}^*(x_i^*) = \beta^{-\alpha_U} U_{i_0}(x_i) = \beta^{-\alpha_U} U_{i_0}(\beta^{\alpha_x} x_i^*), \quad (6.33)$$

$$p_0^*(x_i^*) = \beta^{-\alpha_p} p_0(x_i) = \beta^{-\alpha_p} p_0(\beta^{\alpha_x} x_i^*). \quad (6.34)$$

419 Another boundary condition is the zero gradient  $\frac{\partial}{\partial x_i} \phi = 0$  for a flow variable  $\phi$ . This gradient  
 420 condition is transformed as  $\beta^{\alpha_\phi - \alpha_x} \frac{\partial}{\partial x_i} \phi^* = 0$ . Since  $\beta \neq 0$ , this does not pose any limitation in  
 421 the scaling conditions ( $\frac{\partial}{\partial x_i} \phi^* = 0$ ).

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