

1 **Amylose / cellulose nanofiber composites for all-natural, fully**
2 **biodegradable and flexible bioplastics**

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21

22 **Abstract:** Thermoplastic, polysaccharide-based plastics are environmentally friendly.
23 However, typical shortcomings include lack of water resistance and poor mechanical
24 properties. Nanocomposite manufacturing using pure, highly linear, polysaccharides
25 can overcome such limitations. Cast nanocomposites were fabricated with plant
26 engineered pure amylose (AM), produced in bulk quantity in transgenic barley grain,
27 and cellulose nanofibers (CNF), extracted from agrowaste sugar beet pulp.
28 Morphology, crystallinity, chemical heterogeneity, mechanics, dynamic mechanical,
29 gas and water permeability, and contact angle of the films were investigated. Blending
30 CNF into the AM matrix significantly enhanced the crystallinity, mechanical
31 properties and permeability, whereas glycerol increased elongation at break, mainly
32 by plasticizing the AM. There was significant phase separation between AM and
33 CNF. Dynamic plasticizing and anti-plasticizing effects of both CNF and glycerol
34 were demonstrated by NMR demonstrating high molecular order, but also non-
35 crystalline, and evenly distributed 20 nm-sized glycerol domains. This study
36 demonstrates a new lead in functional polysaccharide-based bioplastic systems.

37

38 **Keywords:** bioplastics; amylose; starch; cellulose nanofibers; composite films

39

40 **Highlights**

- 41 • Engineered amylose and waste CNF generate good bioplastics.
- 42 • CNF enhanced crystallinity, mechanics and permeability.
- 43 • Cellulose nanofibers and amylose showed domain phase separation.

44 **1 Introduction**

45 Increased plastics pollution in the environment has attained notable attention to
46 find alternative eco-friendly and biobased solutions. Such materials refer to renewable
47 resources, preferably of plant-based and waste stream origins that are biodegradable,
48 or home-compostable and non-toxic (Khalil, Bhat & Ireana Yusra, 2012; Mohanty,
49 Misra & Drzal, 2002; Thakur & Thakur, 2015). However, production of such all-
50 natural bioplastics turns out to be challenging and associated problems mainly related
51 to water sensitivity and brittleness (Follain, Joly, Dole & Bliard, 2005; Sagnelli et al.,
52 2016; Sagnelli et al., 2017b).

53 Starch, the most important energy resource in plants, and cellulose, a main
54 constituent in the plant cell wall, are two of the most abundant carbohydrates in nature
55 providing raw materials for bioplastics production. Starch is an inexpensive,
56 renewable, biodegradable and non-toxic natural polysaccharide (Dai, Zhang & Cheng,
57 2019; Gross & Kalra, 2002) typically combined of two major polymers, amylose
58 (AM) and amylopectin, which in their native granular form are packed in concentric
59 growth rings forming semi-crystalline and amorphous layers (Copeland, Blazek,
60 Salman & Tang, 2009). AM is an essentially unbranched (approximately 0.1-0.5%
61 branched) polymer composed of α -(1-4)-linked glucose units that contributes mainly
62 to the amorphous phase (Tester, Karkalas & Qi, 2004) while amylopectin has a more
63 branched structure due to additional α -(1-6) branch points (Blennow et al., 2013;
64 Thakur, Pristijono, Scarlett, Bowyer, Singh & Vuong, 2019). Starch is a native

65 granular assembly structure that is almost fully shattered by hydration during any
66 common manufacturing processes. However, their inherent molecular structures,
67 which differ from one starch type to another, will direct the formation of new diverse
68 semi-crystalline and viscoelastic systems during cooling and aging (Sagnelli et al.,
69 2016). Hence, the semi-crystalline and visco-elastic nature of starch has profound
70 influence on properties and functions of starch-derived bioplastics.

71 Using pure starch systems as raw material for bioplastics entails drawbacks, such
72 as a poor cohesiveness and brittleness (Follain, Joly, Dole & Bliard, 2005). Usually
73 the brittleness can be overcome adding a plasticizer e.g. glycerol, which offers
74 flexibility and elasticity, reduces brittleness of the material (Giosafatto, Di Pierro,
75 Gunning, Mackie, Porta & Mariniello, 2014) and also maintains biodegradability.
76 Blending starch with glycerol reduces inter- and intra-molecular hydrogen bonding
77 interactions thereby increasing the movement and rearrangement of the glucan chains.
78 The poor cohesiveness of these films could be overcome by blending with compatible
79 polymers providing an entangled system or grafting or crosslinking the starch with
80 highly flexible polymers.

81 Starch, even high-AM starch, has a non-optimal structure for entangled matrices
82 due to the presence of highly branched amylopectin providing too short chain
83 segments for stable double-helical junction zones to be formed. AM could not until
84 now be obtained in bulk quantities at reasonable price. However, the production of
85 pure AM in a transgenic barley grain system (Carciofi et al., 2012) has permitted bulk

86 production of AM for e.g. biomaterials purpose. Due mainly to its linear molecular
87 structure, AM is regarded as an optimal raw material for bioplastics purpose (Follain,
88 Joly, Dole & Bliard, 2005) and our previous work has demonstrated that a pure AM
89 can provide significantly improved mechanical strength as compared to normal starch,
90 in extruded materials and its high gelatinization temperature provides high thermal
91 stability comparable to semi-natural bioplastics such as MaterBi® (Sagnelli et al.,
92 2016; Sagnelli et al., 2017a). Biosynthesis directly in the cereal grain can for the first
93 time enable inexpensive bulk production of AM, which hitherto has not been possible
94 due to too high costs for separation of AM from amylopectin.

95 Blends of AM with other natural biopolymers has the potential to further
96 improve mechanical properties, permeability and thermostability without using
97 artificial or mineral oil-based resources. For example, cellulose is a linear homo-
98 polysaccharide consisting of thousands of β -(1 \rightarrow 4)-D-glucofuranose residues.
99 Natural cellulose in plant cell walls is in the form as cellulose microfibrils (3 nm in
100 width and several micrometers long) from several glucofuranose chains, which is a
101 remarkably robust structure that strengthens plant cell walls (McNamara, Morgan &
102 Zimmer, 2015; Somerville et al., 2004). Cellulose nanofibers (CNF) are 5 - 60 nm
103 wide and 0.1 - 2 μ m long structures can be derived from plant biomass as first
104 demonstrated by Turbak et al. (Turbak, Snyder & Sandberg, 1983) and Herrick et al.
105 (Herrick, Casebier, Hamilton & Sandberg, 1983). Depending on the pre-treatment
106 method, various types of nano-scale cellulose (nanocellulose) can be obtained.

107 Nevertheless, CNF is usually preferred as reinforcing agent in composite materials
108 due to its high aspect (length to width) ratio (Klemm et al., 2018; Saïd Azizi Samir,
109 Alloin, Paillet & Dufresne, 2004). Hydrogen-bonding between several neighboring
110 cellulose chains generate densely packed CNF that possess high strength, stiffness,
111 low density and biodegradability (Moon, Martini, Nairn, Simonsen & Youngblood,
112 2011). Therefore, CNF can be used to improve the mechanical and barrier properties
113 of bio-composites (Vilarinho, Sanches Silva, Vaz & Farinha, 2018). Recently, CNF
114 derived from agricultural side streams consisting mainly of primary cell walls, has
115 generated special interest due to facile and cleaner preparation methods compared to
116 using wood as raw material (Perzon et al., 2019; Holland et al., 2019). Protocols for
117 preparing CNF from vegetable pulp typically consists of alkaline treatment to strip off
118 non-cellulosic polysaccharides, followed by oxidation of phenolic compounds and
119 finally high-shear homogenization. CNF have successfully been derived from sugar
120 beet, potato tuber, and carrot using this method (Dinand, Chanzy & Vignon, 1996;
121 Dufresne, Dupeyre & Vignon, 2000; Siqueira, Oksman, Tadokoro & Mathew, 2016).
122 Indeed, the abundance of pulp originating from industrial production of commodities
123 such as starch, sugar, pectin etc. constitutes a sustainable source of CNF.

124 Blending starch (but not pure AM) with CNF have demonstrated some superior
125 properties of such blends, possibly partly due to strong interaction between the α - and
126 β -glucosidic polysaccharides. CNF can be extracted from different commodities such
127 as cassava, corn and maize and produced by harsh chemo-physical treatments and

128 chemical modification (Chen, Liu & Chen, 2019), However, composites of 1:99 ratio
129 CNF:starch suffered from poor dispersion resulting in breaking of the films. Studies on
130 the reinforcement of cassava starch with CNF plasticized with a mixture of sorbitol
131 and glycerol (Teixeira, Pasquini, Curvelo, Corradini, Belgacem & Dufresne, 2009),
132 showed that the elastic modulus increased with 5 wt. % of CNF. However, at 10 and
133 20 % of CNF, significant reduction in elasticity occurred, suggesting that plasticizer
134 used could delay the stress transfer between the CNF and the matrix.

135 The present work reports the first attempt of the preparation and characterization
136 of nanocomposite films based on pure AM derived from bioengineered grain, CNF
137 derived from waste sugar beet pulp and glycerol as plasticizer. We hypothesized that
138 pure AM directly derived from a transgenic plant blended with secondary cell wall
139 CNF from waste sugarbeet pulp provides a bulk solution for production of durable
140 AM-based flexible bioplastics. Hence, the main novelty is the use of entirely new raw
141 materials 1. AM directly produced as a virtually pure substance directly in a cereal
142 grain and 2. CNF extracted for pulp providing unique functionality. It is the first time
143 these two raw materials combinedly have been tested for bioplastics purpose. Such
144 AM-CNF cast composite films provided high mechanical stress at break, high
145 Young's modulus, decreased water contact angles and water vapor and oxygen
146 permeability at high CNF content. This study demonstrates some unique properties of
147 nanocomposites fabricated by cast of reinforcing, waste-derived CNF and all-natural
148 bulk-produced AM for biobased bioplastics.

149

150 **2 Experimental**

151 *2.1 Materials*

152 Sugar beet pulp was kindly provided as an agro-industrial side stream by Nordic
153 Sugar A/S. CNF (85%) was extracted as previously described (Perzon et al., 2019).
154 AM (99%) was prepared as described from a starch branching enzyme RNA
155 interference suppressor barley line (Carciofi, Blennow, Nielsen, Holm & Hebelstrup,
156 2012). All chemicals were provided by Sigma-Aldrich (St. Louis, MO, USA).

157

158 *2.2 Methods*

159 *2.2.1 Extraction of AM*

160 Barley flour was mixed at a ratio of 1:10 with a solution containing 1 mM
161 dithiotreitol (DTT) and 0.5% SDS (sodium dodecyl sulphate). The suspension was
162 homogenized at 5700 rpm using a Silverson L5A homogenizer with the largest slit
163 size for 10 min and then at 8300 rpm for 20 min. The AM granules were sedimented
164 at 4 °C over night or until the supernatant was visually clear. The supernatant was
165 carefully discarded, and the AM-containing sediment washed with MilliQ water three
166 times and sieved through a 100 µm mesh. The AM was collected and washed again
167 with MilliQ water. A white layer consisting of AM granules (Figure S1) was collected
168 and dried at room temperature. Purity and molecular characteristics are documented
169 elsewhere (Shaik et al., 2014,2016; Goldstein et al., 2016).

170

171 *2.2.2 Gelatinisation profile of AM*

172 *To establish the dissolution characteristics of amylose at those conditions (high*
173 *temperature) as the dissolution method used to produce the bioplastics films, the*
174 *gelatinisation profile of AM was monitored using a rheometer (Anton Paar, Ireland,*
175 *MCR102) equipped with a leak-proof pressure cell and a Rapid Visco Analyzer*
176 *(RVA) vane geometry (Anton Paar, Ireland, ST24-4V-2D). A 10% w/w suspension of*
177 *AM was prepared in triplicate. The experiment was performed using the following*
178 *program: mixing at 960 rpm for 90 s at 50 °C, pasting at 170 rpm, by a temperature*
179 *ramp from 50 °C to 145 °C at a rate of 2 °C/min, an isotherm at 145 °C for 30 min, a*
180 *cooling ramp from 145 °C to 50 °C at a rate of 3 °C/min.*

181

182 *2.2.3 Extraction of cellulose nanofibers*

183 Cellulose nanofibers were prepared from sugar beet pulp as described elsewhere
184 (Perzon et al., 2019). Briefly, 20 g (dry weight) of sugar beet was added to 2500 mL
185 distilled water (dH₂O) and homogenized to pulp (particle sizes around 1 mm) with a
186 Silverson L5A homogenizer (East Longmeadow, MA, USA) at 5600 rpm for 10 min
187 and thereafter at 8300 rpm for 20 min using a slotted disintegrating head. The pulp
188 was subsequently washed with 5000 mL of dH₂O through a 38 µm sieve and then
189 suspended in 500 mL 0.5 M NaOH, stirred at 80 °C for 2 h, and washed until neutral
190 with dH₂O. To remove lignin and tannins, the NaOH-treated pulp was submerged in
191 500 mL bleach solution (1% NaClO₂ and pH 5.0), stirred at 70 °C for 2 h, and washed

192 with dH₂O. After obtaining the dry weight of the remaining suspension of cellulose
193 fibers, it was diluted to 1.00% (w/w) in 200 mL dH₂O. The cellulose fibers (200 mL)
194 were circulated in a high-shear homogenizer (microfluidizer materials processor
195 M110-P, Newton, MA, USA) with orifices of 200 and 400 μm under 500 bar pressure
196 for 18 min to produce nanofibers. The nanofibers were stored at 4 °C until further use
197 (Figure S1).

198

199 *2.2.4 Casting of composites films*

200 The different nanocomposite formulations of AM and CNF with different
201 glycerol content were prepared as previously published with minor modification
202 (Sagnelli et al., 2017b). The CNF:AM were 0:100, 25:75, 50:50, 100:0 (w/w %). All
203 constituents (1% each of CNF and AM and different glycerol concentrations) were
204 heated while stirring for 30 min at 140 °C using a high-pressure glass reactor. The
205 solutions were cooled to approximately 70 °C, degassed in vacuum and immediately
206 cast in Teflon-coated petri dishes. The films were dried at 50 °C in a ventilated oven
207 overnight or until completely dry and transparent (Figure S2). All the samples are
208 named to indicate the percentages of the different components where CNF and AM
209 constitutes 100% and the amounts of glycerol indicated additionally, as for example
210 15/25/75 for a sample containing 15 % glycerol, 25% CNF and 75% AM. All pure
211 components and composite films were placed in a sealed desiccator containing
212 saturated potassium chloride (RH 85, 20 °C) to balance the moisture before analysis.

213

214 *2.2.5 Confocal Laser Scanning Microscopy (CLSM)*

215 The films were analyzed by CLSM (Leica SP5-X, Leica Microsystems, IL,
216 USA) equipped with x20 water immersion objectives. Pontamine Fast Scarlet 4BS
217 (PFS 4BS) and safranin O (Sigma-Aldrich) were used as fluorophores for CNF and
218 AM, respectively. The excitation fluorescence were 488 nm and 488 nm and emitted
219 fluorescence were recorded between 560 - 605 nm and 530 - 550 nm, respectively, for
220 PFS and safranin O. Images analysis was performed with LAS AF X 2.6 software
221 (Leica Microsystems, IL, USA).

222

223 *2.2.6 Field Emission Scanning Electron Microscopy (FE-SEM)*

224 FE-SEM images were acquired with a Quanta 3D FEG (FEI Company, The
225 Netherlands). The films were cut into squares (1×1 cm), attached to a metal plate,
226 and coated with a 2 nm colloidal gold layer before analysis. The cross section
227 morphology, film specimens were cryo-fractured by immersion in liquid nitrogen and
228 then mounted on aluminum stubs perpendicularly to their surface then sputtered with
229 gold prior to analysis.

230

231 *2.2.7 Water contact angles (Θ_w)*

232 Water contact angles (Θ_w) of films were performed at room temperature with a
233 KSV Cam 200 (KSV Instruments Ltd, Helsinki, Finland). Measurements the were
234 performed after 10 sec from the contact to the film and no variation was recorded after
235 that time. Left and right values were recorded and an average was calculated. Angle

236 pictures were recorded by using the built-in software (CAM200, KSV instruments).

237 All measurements were recorded in duplicates.

238

239 *2.2.8 Attenuated total reflectance Fourier-transform infrared (ATR-FTIR)*
240 *spectroscopy*

241 ATR-FTIR spectra were acquired with an attenuated total reflection

242 spectrophotometer (Agilent Technologies Cary 630 FTIR, Santa Clara CA, USA)

243 equipped with reflection ATR unit. Spectra were acquired with a resolution of 4 cm^{-1} ,

244 in the range $4000 - 650\text{ cm}^{-1}$ by acquiring 32 interferograms. Spectra were analyzed

245 with the open access software SpectraGryph1.2.

246

247 *2.2.9 Wide angle X-ray scattering (WAXS)*

248 Following moisture equilibration (RH 85, 20 °C) collection of data was

249 performed using a Panalytical Xpert Pro (Nottingham, UK) instrument. The samples

250 were tested in the WAXS (wide-angle X-ray scattering) mode and the intensity given

251 according to $q = 4\pi \sin\theta/\lambda$, where λ is the wavelength and 2θ is the scattering angle set

252 from 5° to 35° . The exposure time was 400 s/step with a step increment of

253 (0.0131303°) (Sagnelli et al., 2019). For calculation of the crystallinity, the peak

254 analyser tool of Origin2020b PRO was used. In particular a baseline was obtained to

255 remove the amorphous phase to consider only the crystalline phase. Peaks were fitted

256 and integrated to obtain the crystalline contribution. The total area (amorphous +

257 crystalline) was measured following integration the whole spectra. Subtraction
258 between the integrated areas provided the amorphous contribution.

259

260 *2.2.10 Mechanical properties*

261 The films were cut into rectangular strips of length = 100 mm and width = 8 mm,
262 Film thickness, as measured by a micrometer screw gauge, varied as a function of the
263 composition. The tensile tests were performed using an Instron machine model 5569
264 (MTS, USA) equipped with a 5 kN tensile load cell. The distance between clamps
265 was 60 mm and the crosshead speed were set at 10 mm min⁻¹. The elongation and
266 tensile stress at break were measured at 18 °C and 50% humidity. Each analysis was
267 performed at least in pentaplicate (Follain, Joly, Dole & Bliard, 2005). Young´s
268 modulus was calculated as follows: $E = FL_0 / ADL$. with F = force L₀ Length A=
269 thickness DL= difference between L₀ and L_{fin} (L_{fin} the length before the
270 deformation starts.

271

272 *2.2.11 Dynamic mechanical analysis with temperature and humidity control*

273 Dynamic mechanical analysis (DMA, Triton technology, 2101405) with a
274 temperature gradient was performed in tension mode with a displacement of 0.005
275 mm and frequencies of 1 and 10 Hz. A standard heating rate of 3 °C min⁻¹ was used
276 and a ramp from -50 to 120 °C. The experiments were performed on prototypes with
277 a length of 10 mm. The glass transition temperature was estimated by comparing the

278 derivative function of the storage modulus and the tan delta ($\tan\delta$) peak (Sagnelli et
279 al., 2019; Figure S3).

280

281 *2.2.12 Nuclear magnetic resonance magic-angle spinning (NMR MAS)*

282 Conventional solid-state NMR MAS experiments on ^1H were performed on a Bruker
283 Avance III 600 MHz spectrometer, equipped with a triple resonance 1.3 mm fast-
284 MAS (magic angle spinning) probe operating at ambient temperature. Zirconia rotors
285 were used for all experiments, and spinning frequencies were set to 60.0 kHz and
286 regulated to ± 2 Hz. ^1H chemical shifts were referenced externally to the ^1H
287 resonances of adamantane set at 1.8 ppm. Relaxation times were measured by using a
288 standard saturation recovery sequence, consisting in a saturation block of multiple 90°
289 pulses, followed by an increasing recovery time and a final 90° and acquisition. One-
290 dimensional ^1H spectra were acquired with the use of a spin-echo sequence in order to
291 remove the background signal from the probe. It consisted in a 90° - delay -180°
292 sequence and the signal is detected after a second echo delay. Ultrafast magic angle
293 spinning $^1\text{H} - ^1\text{H}$ two-dimensional exchange spectra were recorded with increasing
294 mixing times, and the changes in peak intensities were used to monitor the transfer of
295 magnetization between the domains by spin diffusion. The sequence used was a
296 standard NOESY sequence, suitable for identifying signals from protons in close
297 proximity (Jennings et al., 2016; Ntountaniotis et al., 2014).

298

299 2.2.13 Permeability to gases

300 Water vapor permeability (WVP), carbon dioxide (CO₂) and oxygen (O₂)
301 permeabilities were evaluated as described (Mariniello, Giosafatto, Di Pierro,
302 Sorrentino & Porta, 2010; Sagnelli et al., 2017b). The films were placed in a
303 desiccator for two days at 85 % RH in order to secure that all the samples reached the
304 same level of humidity since also water may act as plasticizer and therefore may
305 influence the barrier properties. The films were cut into 5 cm² squares and the
306 experiment was performed at 25 °C under 85% RH in duplicate.

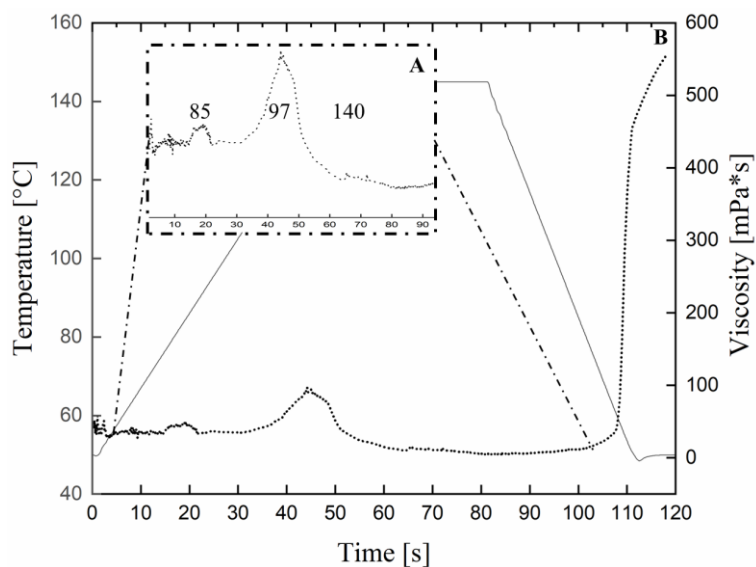
307

308 **3 Results and discussion**

309 *3.1 Solubilisation profile of AM*

310 Prior to the production of the bio-nanocomposites, the solubilisation behavior of
311 AM in a high-pressure rheometer was tested. We recorded three different
312 solubilisation transitions for 10% w/w AM/water suspensions. The first transition was
313 detected at 87 °C, indicating that the granules were starting to swell and take up water
314 (Fig. 1). The main transition was detected at 97 °C indicating the solubilisation and
315 gelatinization of the granules (Fig. 1). The last transition was detected at 140 °C
316 showing the solubilisation of AM/lipid complexes (Fig. 1). These data agree with
317 solubilisation profiles for AM measured by differential scanning calorimetry (Sagnelli
318 et al., 2016). As guided by the last transition, we set the temperature for gelatinization
319 of the AM in the suspensions to 140 °C to secure complete dissolution of all
320 crystallites prior of the casting phase.

321



322

323 Fig. 1. Gelatinization profile of 10% AM suspensions recorded in an air-tight high-

324

pressure cell. A: the main gelatinization transitions. B Gelation phase.

325

326 3.2 Surface and internal structures of films

327 FE-SEM surface analysis of films produced from AM and CNF specimens at

328 different ratios showed that the topography and the cross section changed significantly

329 with increasing CNF content. Additional detailed information of the surface alterations

330 as compared to previous studies on composite CNF starch systems [35-37] were

331 revealed. The 0/0/100 (pure AM) film surface showed numerous pleated structures (Fig.

332 2a). CNF blended to 25 % resulted in a smoother surface indicating an interaction

333 between the two polysaccharides where the CNF are located internally and coated by

334 AM (Fig. 2b). When CNF content was raised to 50 % and 100 % fiber-like structures

335 became visible on the surface of the films (Fig. 2c, d). The films plasticized with

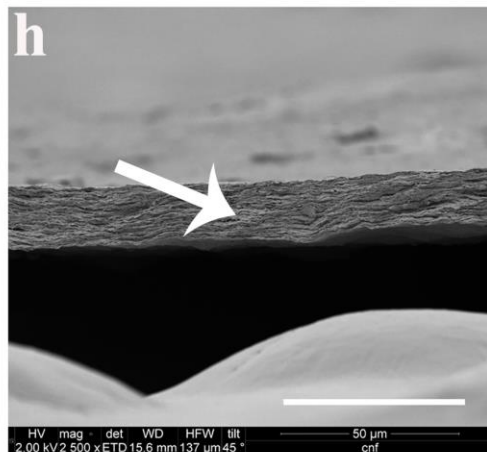
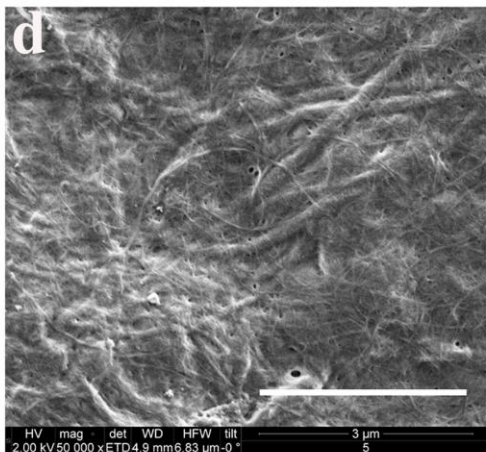
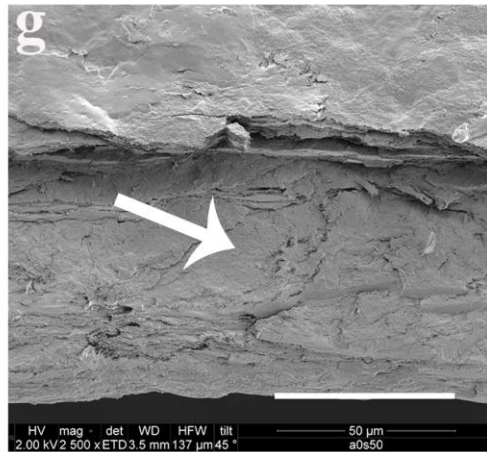
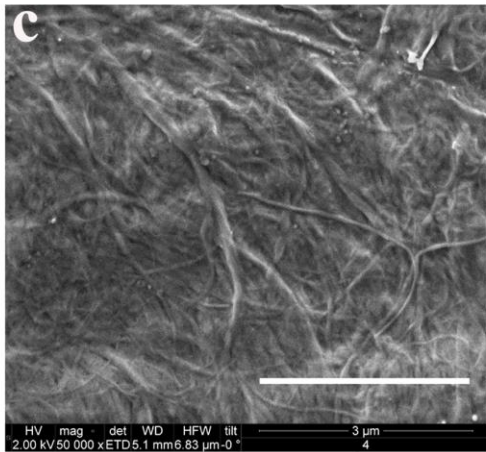
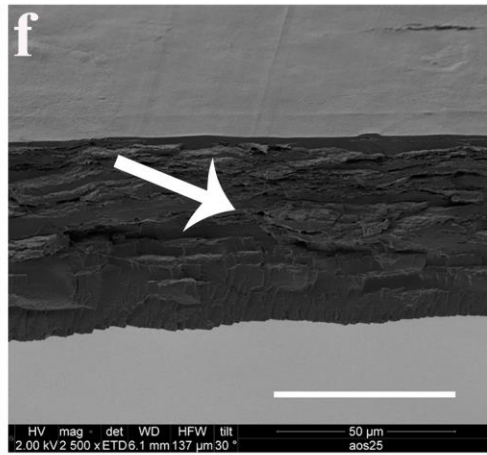
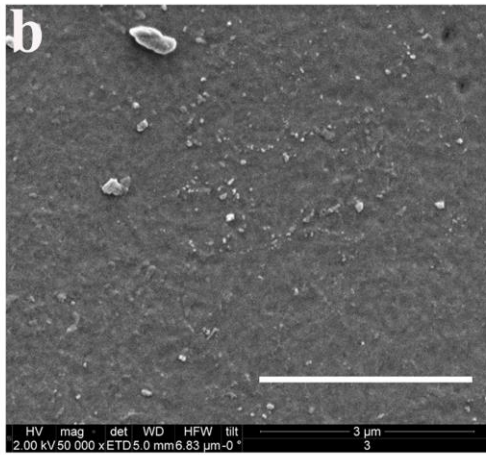
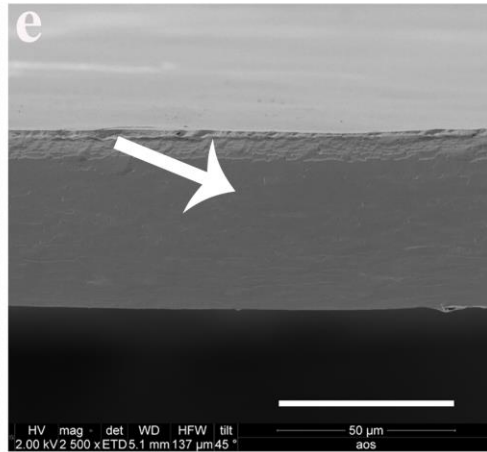
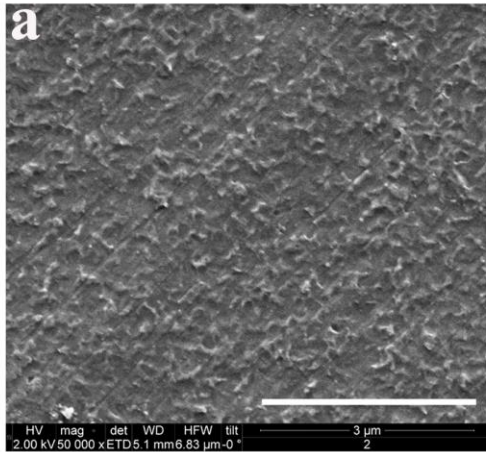
336 glycerol showed no significant differences as compared to the non-plasticized films

337 (not shown). The coating of the nanofibers with the starch matrix indicate that the

338 nanofibers were physically reinforced in the network, which demonstrates that the two

339 polymers are compatible. No voids or pores were observed in the film, which indicated

340 a strong adhesion between the nanofibers and the starch successively reinforcing the
341 matrix and enhancing the mechanical strength.
342
343 FE-SEM images of the cross section of cryo-fractured surfaces of the composite films
344 (Fig. 2) showed that the pure AM film presented a smooth dense fractured surface that
345 is typical of a brittle material (Fig. 2e). High homogenous distribution of CNF at 25%,
346 which lead to strong interfacial adhesion (Fig. 2f). Both surface and cross section
347 became more non-homogeneous with increased concentration of CNF to 50% that
348 revealing low interfacial adhesion between nanofibers and matrix (Fig. 2g). The pure
349 CNF film showed uniform layers of fibers (Fig. 2h). Only few cracks and
350 deformations within the starch matrix indicates even distribution of the polymeric
351 structures in the matrix.



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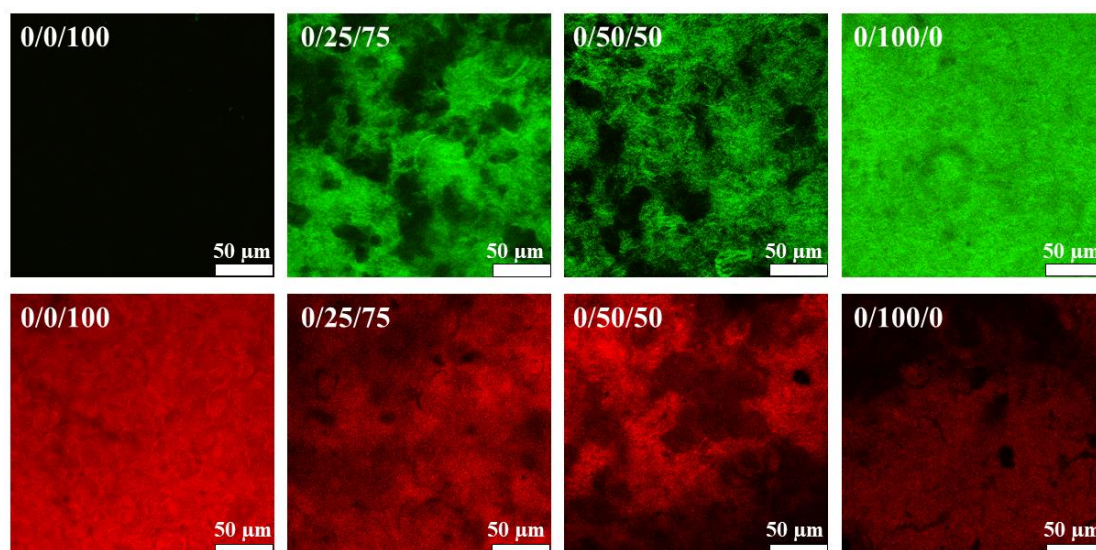
354 Fig. 2. FE-SEM of AM/CNF pure and composite films. (a,e) surface and cross section
355 for 0/0/100; (b,f) surface and cross section for 0/25/75; (c,g) surface and cross
356 section for 0/50/50; (d,h) surface and cross section for 0/100/0. Arrows indicate
357 fissure surfaces. Scale bars represent 3 μ m for the surfaces (left) and 50 μ m for the
358 cross sections (right).

359

360 The internal structure of the films was analyzed by CLSM (Chen, Yu, Simon,
361 Petinakis, Dean & Chen, 2009) using two different fluorophores, safranin O and PFS
362 4BS (Anderson, Carroll, Akhmetova & Somerville, 2010; Dürrenberger, Handschin,
363 Conde-Petit & Escher, 2001) permitting identification of AM and cellulose domains,
364 respectively (Fig. 3). The pure AM and CNF films displayed virtually homogeneous
365 internal phases as evaluated by the safranin O and PFS 4BS staining, respectively. No
366 cross-contamination with AM or cellulose of these pure films was detected as
367 deduced from the virtual absence of green fluorescence in the pure AM system and
368 very little red fluorescence in the pure CNF system. Phase partitioning between CNF
369 and AM was readily detected in the 0/25/75 and 0/50/50 films as separated bright and
370 dark fields representing safranin O-stained AM and PFS 4BS stained CNF domains.
371 These results clearly suggest a partial phase separation between AM and CNF. The
372 same phenomenon was observed for nanocomposites of starch and betaglacans
373 (Sagnelli et al., 2017b). Phase separation can be an effect of the slow fabrication

374 (casting) process and not due to the special features of the AM and CNF used in the
375 study as compared to other similar raw materials.

376



377

378 Fig. 3. CLSM images of AM/CNF pure and composite films. Green fluorescence of
379 CNF with PFS 4BS; the dark areas of composites identify AM. Red staining of AM
380 with safranin O; the dark areas of composites identify CNF domains. Scale bars: 50
381 μm.

382

383 3.3 Water contact angles of films

384 The water contact angle (Θ_w) is defined as the angle formed by the intersection
385 of the tangent lines of the liquid and surfaces of the solid at the three-phase boundary
386 (generally liquid, solid and air) (Wong, Gastineau, Gregorski, Tillin & Pavlath, 1992).

387 The water contact angle provides information related to the degree of

388 hydrophilic/hydrophobic nature of a surface, and is indicative of the surface

389 wettability as well as the strength of the molecular interaction among liquid, solid, and

390 air phases (Gutiérrez, Ollier & Alvarez, 2018). The water contact angle increases with
391 increased surface hydrophobicity. Polysaccharides typically show relatively high Θ_w ,
392 which is suggested to be related to strong intermolecular hydrogen bonding among the
393 hydroxyl groups of the polysaccharide backbone with the surface of films
394 (Karbowiak, Debeaufort, Champion & Voilley, 2006; Ojagh, Rezaei, Razavi &
395 Hosseini, 2010).

396 The Θ_w values of the composite films were affected in various degrees by the
397 AM:CNF ratios and glycerol (Table 1). Without glycerol, CNF decreased the Θ_w
398 value suggesting strong positive effect of CNF on the surface wettability. The water
399 contact angles of 0/50/50 and 0/100/0 were lower than 65° , indicating the CNF
400 decreased intermolecular hydrogen bonding in the polysaccharide composite matrix.
401 Pure AM and 0/25/75 (75% AM) films showed water contact angles $\geq 65^\circ$
402 suggesting low wettability of these composites. Addition of 15% glycerol to the AM
403 film showed little effect. However, when both CNF and glycerol were included, the
404 water contact angle increased, suggesting glycerol-induced increase in intramolecular
405 bonding in the composite.

406 With a high (25%) glycerol concentration, the AM films showed a notable
407 increase in Θ_w suggesting the presence of strong intermolecular hydrogen bonding
408 between glycerol and AM in the AM matrix and the presence of specific AM-glycerol
409 structures (Karbowiak, Debeaufort, Champion & Voilley, 2006). On the other hand,
410 high glycerol concentration in the AM/CNF composites showed a significant

411 variability in Θ_w . Among the 25% glycerol samples, we observed an unexpectedly
 412 low contact angle (46°) for the 25% glycerol sample (25/25/75, Table 1), which we
 413 suggest is due to a decrease of the intermolecular hydrogen bonding network. These
 414 effects could not be readily explained and might be caused by a wide variety of
 415 different amorphous and crystalline phases in the films. Especially, glycerol, due to
 416 the anti-plasticizing effect of the glycerol, may affect the distribution of AM and CNF
 417 in the films, inducing formation of aggregates at the surface of films leading to
 418 increased water contact angles.

419

420 Table 1. The moisture, crystallinity and contact angle of AM/CNF pure and composite

421

films.

Composition	Moisture (%)	Crystallinity (%)	Contact angle (degrees)
0/0/100	12.08	14	87.0±1.0
0/25/75	13.67	18	67.0±1.0
0/50/50	9.58	23	58.0±1.0
0/100/0	7.23	40	55.0±1.0
15/0/100	12.87	15	90.0±1.0
15/25/75	16.15	12	87.0±1.0
15/50/50	13.12	34	74.0±1.0
15/100/0	14.74	57	81.0±1.0

25/0/100	15.83	18	111.0±1.0
25/25/75	12.41	20	46.0±1.0
25/50/50	15.56	43	100.0±1.0
25/100/0	14.34	55	60.0±1.0

422

423 3.4 Fourier-transform infrared spectroscopy (FTIR)

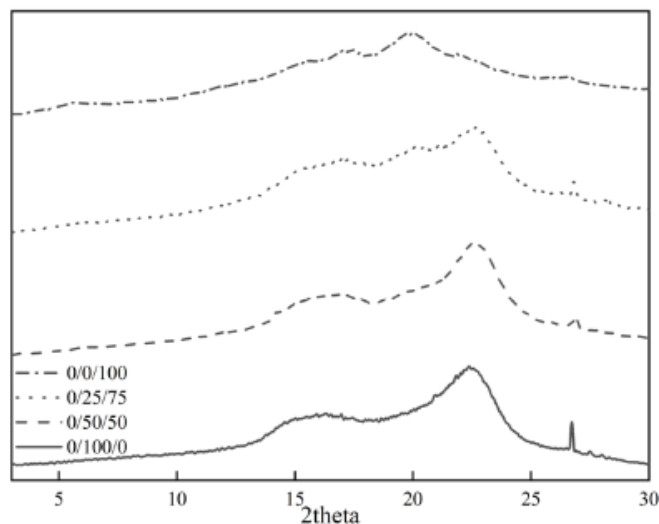
424 FTIR was conducted in order to test if new chemical bonds or physical
425 interactions (mainly H-bonding) were formed during the processes. The ATR FTIR
426 spectra (Figure S4) showed O–H stretching, corresponding to a broad band between
427 3600 - 3200 cm^{-1} , due to an extensive H-bonding network among the OH of AM
428 glycerol and cellulose. The C–H stretching was observed at 2900 cm^{-1} . The
429 appearance of an absorption band at 1650 cm^{-1} is attributed to the water adsorbed, due
430 to the hygroscopic nature of polysaccharides. The peaks at 1050 to 950 cm^{-1} , related
431 to the C–O–C stretching showed a slight shift towards higher wave number for the
432 CNF-containing films demonstrating an additive effect of CNF. Otherwise, all the
433 spectra were very similar and hence, we conclude that no new covalent bonds formed
434 between AM and CNF, as previously reported for CNF-starch composites (Liu &
435 Budtova, 2012). As deduced from FTIR glycerol had only minor effects on the
436 bonding network of the films (Figure S4).

437

438 *3.5 Crystalline structures*

439 AM/CNF pure and composite films were found to possess well-defined
440 crystalline structures as deduced by wide angle X-ray scattering (WAXS) (Table 1
441 and Fig. 4). The AM films exhibited a typical V-type polymorph mainly formed by
442 single-helices (Xu, Tan, Chen, Li & Xie, 2019) as demonstrated by diffraction peaks
443 at 2θ of 5.5° , 16.0° , 17.0° and 20.0° . CNF film displayed characteristic diffraction
444 peaks at 2θ of 16.2° and 22.3° , which represent a typical Type-I crystalline structure
445 (Lu, Lin, Tang, Wang, Chen & Huang, 2015), showing that the purification and melt
446 processing of CNF had little effects on its crystalline structures. The crystallinity of
447 the films increased with CNF content. Interestingly, the diffractograms did not seem
448 to be entirely additive for AM and CNF and for the 50% and 100% CNF the cellulose
449 crystalline polymorph dominated as were virtually the same indicating very
450 amorphous AM. Glycerol did not have any significant effect on crystallinity for any
451 of the film formulations except for the 50% CNF, which had an unexpectedly high
452 crystallinity (Table 1). A 2-fold higher crystallinity as compared to the films without
453 glycerol was found indicating that glycerol has weaker interaction with CNF than
454 with AM creating an imbalance in the composites when the amount of CNF exceed a
455 certain limit. This can increase the relative amount of glycerol interacting with the
456 AM-phase of the nanocomposite allowing more moisture absorption and the overall
457 crystallinity. This was confirmed by the small change of crystallinity for pure CNF

458 films and by the water contact angle of 50/% CNF films that does not follow the trend
459 when 25% of glycerol was added.



460

461 Fig. 4. WAXS diffractograms of AM/CNF pure and composite films without glycerol.

462

463 3.6 Mechanical properties

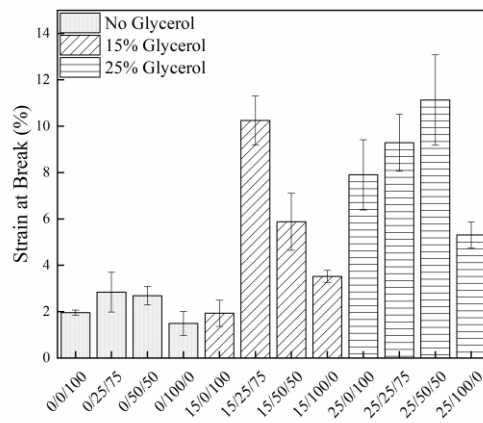
464 The deformation behavior of AM/CNF pure and composite films as
465 characterized by tensile tests and calculation of the parameters strain at break, stress at
466 break and Young's modulus showed that the films were influenced by all three
467 components AM, CNF and glycerol (Fig. 5). The presence of glycerol decreased the
468 stiffness and strength of the films and increased elasticity. In particular, when we
469 intended to plasticize the AM films with 15 % of glycerol an anti-plasticization effect
470 was observed as demonstrated by decreased strain at break. This effect has been
471 previously recorded for thermoplastic starch (TPS) materials (Lourdin, Bizot &
472 Colonna, 1997). Interestingly, the addition of 25 % CNF reverted the anti-
473 plasticization showing a 5-fold increase in the strain at break, which is a typical effect

474 for nanocomposites. The strain at break decreased with increased CNF and for the
475 pure CNF, strain at break increased only 2-fold confirming the lower affinity of CNF
476 to glycerol. At higher concentration of glycerol, the strain at break increased
477 significantly with the concentration of CNF in the composites.

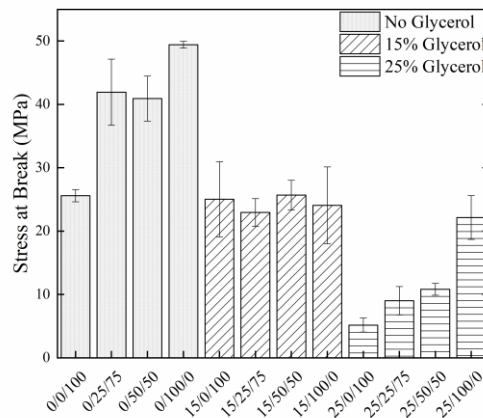
478 Increased CNF:AM ratio resulted in higher stress at break for the films without
479 glycerol, demonstrating that CNF had a significant strengthening effect on the
480 composites. In the presence of glycerol there was a drop in the strength of all the films
481 (Fig. 5B). At 15% glycerol, the stress at break of the samples was not significantly
482 different for any of the films. However, the Young's modulus of these films increased
483 with increasing CNF content. When glycerol content was increased to 25%, the
484 strength of AM and composites films showed a notable decrease. However, the pure
485 CNF films showed indifferent strength, virtually independent of the glycerol content
486 demonstrating that CNF has a great potential as filler and reinforcer. As deduced from
487 the combined high stress and strain at break, the CNF films showed high cohesiveness
488 even with high concentration of glycerol.

489

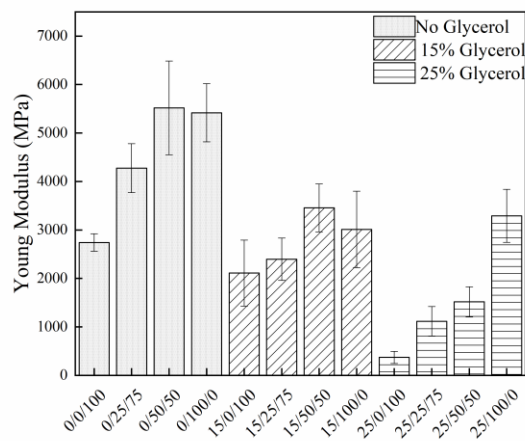
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491



492



493 Fig. 5. Mechanical properties of AM/CNF pure and composite films. Top: strain at

494 break, Middle: stress at break, Bottom: Young's modulus.

495

496 *3.7 Effects of temperature on dynamic visco-elasticity*

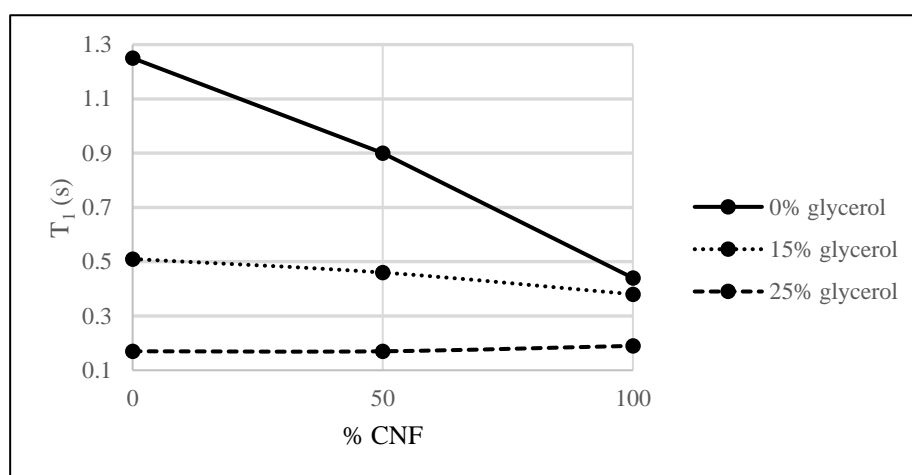
497 The visco-elastic properties of the films were analyzed with a dynamic
498 mechanical analyzer (DMA) using tension mode and temperature gradients to
499 estimate the glass transition temperature and calculating the $\tan\delta$ peak (Sagnelli et al.,
500 2017b). There was a general trend that the presence of CNF and glycerol decreased
501 the T_g of the films (Figure S5). However, just as shown for the strain at break, a weak
502 anti-plasticization effect was observed at 15% glycerol for the films with high AM
503 content. This effect has been previously recorded for high AM films (Lourdin, Bizot
504 & Colonna, 1997). Generally, at 25% glycerol T_g was decreased demonstrating a
505 notable plasticized system where the polymers chains have flexibility in the
506 plasticizer-rich phases.

507

508 *3.8 Solid-state NMR*

509 Solid-state NMR spectroscopy was used to evaluate the domain size of the
510 different component on the whole sample. ^1H ultrafast-MAS solid-state NMR
511 experiments were performed on AM/CNF pure and composite films. NMR relaxation
512 measurements are sensitive to the crystalline nature of the materials, high degree of
513 crystallinity induce a strong network of dipole-dipole interactions which results in fast
514 spin-lattice relaxation times (T_1). Relaxation was monitored over a range of 100 s for
515 each sample and the extracted relaxation times were fast (order of magnitude of a few
516 seconds) and characterized by a mono-exponential behavior. This, together with the
517 fact that all the chemical sites show the same relaxation behavior, suggests that the

518 domains present in the composites were relatively small (nm scale) and intimately
519 distributed. The relaxation times decreased with the increase of the glycerol content of
520 the films. Moreover, the relaxation times decreased as CNF was increased in the films
521 and this effect was most noticeable in absence of glycerol (Fig. 6). The addition of
522 glycerol substantially modified the relaxation behaviour of all the films by decreasing
523 the relaxation, compatible with a plasticizing effect of the glycerol.
524

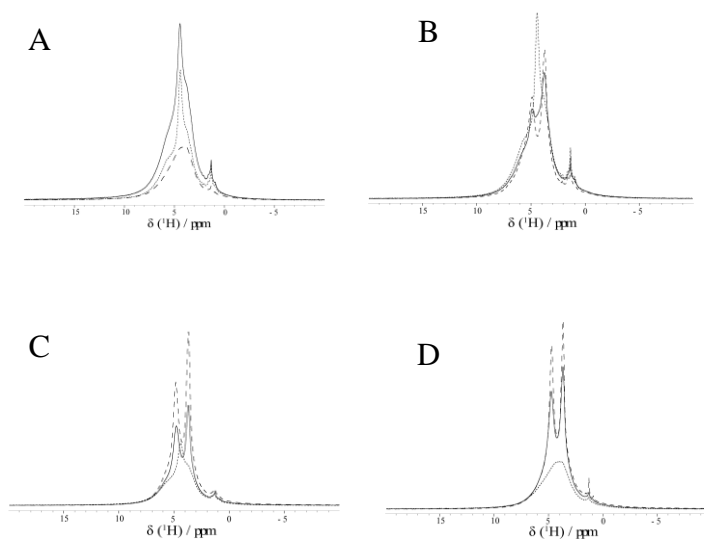


525
526 Fig. 6. ¹H Spin-lattice relaxation times as a function of CNF and glycerol contents.

527

528 Ultrafast-MAS ¹H spin-echo experiments were performed at 60.0 kHz to
529 characterize the chemical environments of the films. All the spectra show an aliphatic
530 region between 0 and 2 ppm indicating small amount of impurities. Spectra for the
531 films without glycerol were not resolved enough to differentiate between the different
532 chemical sites. Even though the resolution did not fully resolve each chemical
533 environment of the protons it was clear that AM showed a spectrum with a relative
534 sharp peak centered at approx. 4 ppm, characterized by two small shoulders, one at

535 3.5 ppm and the second one at approximately 6.0 ppm (Fig. 7A). The CNF spectrum
536 instead showed only one broad resonance at 4 ppm. However, the lack of resolution
537 for the pure spectra makes the structural analysis and the peak assignment impossible.
538 On the other hand, the effect of the addition of glycerol (Fig. 7B,C,D) is easily
539 noticeable by the appearance of two sharp and intense glycerol resonances, at 3.7 and
540 4.8 ppm respectively, which substantially overlapped with the AM/CNF unresolved
541 protons.



542

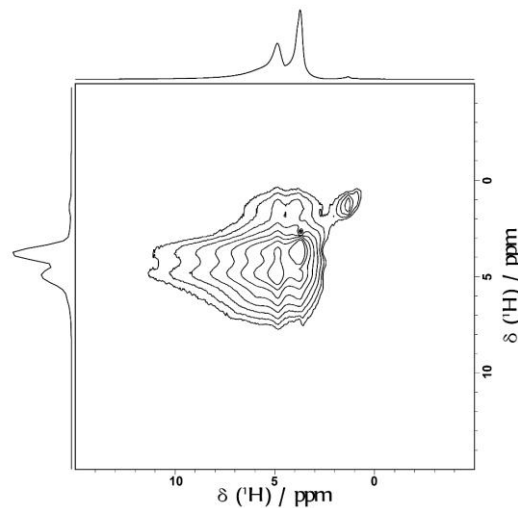
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545 Fig. 7. ^1H solid-state NMR MAS Hahn-echo spectra. A: 0/0/100 (dotted line), 0/50/50
546 (solid line) and 0/100/0 (dashed line); B: 0/0/100 (dotted line), 15/0/100 (solid line)
547 and 25/0/100 (dashed line); C: 0/50/50 (dotted line), 15/50/50 (solid line) and
548 25/50/50 (dashed line); D: 0/100/0 (dotted line), 15/100/0 (solid line) and 25/100/0
549 (dashed line).

550

551 To obtain additional data on the average domain size, solid-state NMR $^1\text{H} - ^1\text{H}$
552 spin diffusion measurements were carried out. The NOESY proton-exchange
553 experiment (Fig. 8), performed at a different mixing times in the range 1 to 300 ms,
554 showed the presence of cross-peaks demonstrating that there is a substantial fast
555 magnetization exchange transferring the polarization between the different domains of
556 the matrix. This suggests that the relative domain size in the samples are small, which
557 is compatible with the previous observation that only a single mono-exponential
558 relaxation behavior is present for all peaks. However, since the glycerol signals
559 obscure the AM/CNF chemical shifts and due to the similarity of the two pure AM
560 and CNF films, calculation of the copolymeric blocks are not precise. However, from
561 the resolved glycerol peak signal it is possible to estimate the upper limit for the
562 glycerol domain size according to (Pili et al., 2018) $\langle r^2 \rangle = 6Dt$, setting the spin
563 diffusion coefficient to the order of magnitude of $10^{-16} \text{ m}^2\text{s}^{-1}$ and t to T_1 , an upper limit
564 of 20 nm can be assumed for the domain size of the glycerol. These data are
565 compatible with the CLSM results (Fig. 3) in which the phase separation involves
566 only AM and cellulose.



567

568

Fig. 8. 1H - 1H NOESY exchange for the 25/100/0 sample.

569

570 3.9 Gas permeability

571

Water vapor (WVP), carbon dioxide (CO₂) and oxygen (O₂) permeability

572

measurements were carried out on films without glycerol (Table 2). AM films

573

(0/0/100) were too fragile to be tested for O₂ and CO₂. WVP decreased with increased

574

CNF; the pure AM films showed a 7-fold higher WVP than the CNF films. Such

575

effects have been discussed (Ferrer et al. , 2017) in terms of the dense network

576

structure that is formed by CNF as we documented by SEM providing a more tortuous

577

diffusion path for gases. Furthermore, the WVP of all the composite films were far

578

lower than the majority of petroleum-based materials (Ferrer, Pal & Hubbe, 2017).

579

The same effects of CNF were seen for O₂ and CO₂, especially for the O₂ permeability

580

showing a 3-fold decrease as compared to the AM-rich composites. The reduced O₂

581

permeability can be advantageous for packaging purposes.

582

583

584

Table 2. Barrier properties of AM/CNF pure and composite films.

Composition	Thickness (μm)	Permeability ($\text{cm}^3 \text{ mm kPa/m}^2 \text{ 24h}$)		
		O ₂	CO ₂	H ₂ O
0/0/100	66 \pm 2	ND*	ND*	0.351 \pm 0.020
0/25/75	47 \pm 4	13.0 \pm 3	0.14 \pm 0.05	0.059 \pm 0.007
0/50/50	68 \pm 2	4.2 \pm 2.6	11 \pm 0.5	0.056 \pm 0.001
0/100/0	43 \pm 2	3.1 \pm 0.1	1.83 \pm 0.01	0.057 \pm 0.009

585

* Not determined due to fragility.

586

587 **4 Conclusions**

588

Composite transparent films were fabricated by casting of pure plant engineered

589

AM from transgenic barley grain and waste-derived primary cell wall CNF. The

590

presence of 25% CNF resulted in a smooth composite while increased CNF had

591

rougher surface, fiber-like surface structures and increased wettability. Phase

592

partitioning between AM and CNF was identified using CLSM indicating only partial

593

interaction between the two polymers. Crystallinity, mechanical strength and stiffness

594

were increased by CNF. All composites showed increased elasticity (strain at break)

595

as compared to the pure polysaccharide prototypes demonstrating that even minor

596

AM-CNF interactions have major effect on mechanical properties. An anti-

597

plasticizing effect in the AM film was observed at 15% glycerol but this was reversed

598 in the presence of 25% CNF. Solid-state NMR relaxation times were suppressed by
599 both CNF and glycerol demonstrating plasticizing effects of these constituents. Spin-
600 echo ^1H data substantiated the presence of high molecular, but non-crystalline,
601 ordered domains in the AM matrix. The glycerol domains within the pure and
602 composite films were in the range of 20 nm and distributed in close proximity to each
603 other. The permeability to O_2 and water were substantially reduced with increasing
604 concentration of CNF. This study demonstrate novel agrowaste and plant engineered
605 polysaccharide raw materials for the development of all-natural and fully home-
606 compostable composite blends as an alternative to (partially) synthetic bioplastics to
607 reduce plastics pollution.

608

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614 the cell wall for high performance Bio composites. We also thank The Center for
615 Advanced Bioimaging, Faculty of Science, the University of Copenhagen.

616

617 **Supplementary Material**

618 Figure S1. FE-SEM images of AM granules (A, B) and CNF (C, D)

619 Figure S2. Transparency of films.

620 Figure S3. Evaluation of the storage modulus by the first derivative and the TanDelta

621 peak.

622 Figure S4. AT FTIR spectra of AM/CNF pure and composite films in the region 4000

623 - 650 cm^{-1} .

624 Figure S5. Glass transition (T_g) temperatures of pure and composite films as deduced

625 from DMA.

626

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