

1 **Predominant DNMT and TET mediate effects of allergen on the human**
2 **bronchial epithelium in a controlled air pollution exposure study**

3 Hang Li, MD, PhD^{1,2}, Min Hyung Ryu, MSc², Christopher F. Rider, PhD², Wayne Tse, BSc²,
4 Rachel L. Clifford, PhD³, Maria J. Aristizabal, PhD⁴, Weiping Wen, MD, PhD^{1‡}, Chris Carlsten,
5 MD, MPH^{2‡}

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- 7 1. Department of Otolaryngology, The First Affiliated Hospital of Sun Yat-sen University,
8 Guangzhou, Guangdong, China
 - 9 2. Air Pollution Exposure Laboratory, Department of Medicine, Division of Respiratory
10 Medicine, The University of British Columbia, Vancouver, British Columbia, Canada
 - 11 3. Nottingham NIHR Biomedical Research Centre, Nottingham MRC Molecular Pathology
12 Node, Division of Respiratory Medicine, University of Nottingham, Nottingham
13 University Hospitals NHS Trust, City Hospital, Nottingham, UK
 - 14 4. Centre for Molecular Medicine and Therapeutics, BC Children's Hospital Research
15 Institute, Department of Medical Genetics, University of British Columbia, Vancouver,
16 British Columbia V5Z 4H4, Canada. Department of Ecology and Evolutionary
17 Biology, University of Toronto, 25 Willcocks Street, Toronto, Ontario M5S 3B2, Canada.
18 Child and Brain Development Program, Canadian Institute for Advanced Research
19 (CIFAR), 180 Dundas Street West, Suite 1400, Toronto, Ontario M5G 1Z8, Canada

20
21 ‡Corresponding authors

22 Chris Carlsten, MD, MPH, 2775 Laurel Street 7th Floor, the Lung Center, Vancouver General
23 Hospital—Gordon and Leslie Diamond Health Care Centre, Vancouver, BC, V5Z 1M9 Canada.
24 E-mail: carlsten@mail.ubc.ca. Phone number: 604-875-4729, Fax: 604-875-4695.

25 Weiping Wen, MD, PhD, 17th Floor, Building 1, Department of Otolaryngology, The First
26 Affiliated Hospital of Sun Yat-sen University, No. 58, Zhongshan 2nd Road, Guangzhou,
27 Guangdong, 510080, China. Email: wenwp@mail.sysu.edu.cn. Phone number/Fax: +86-20-
28 8733-3108.

29
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31 conflicts of interest.

32 **Abstract**

33 **Background:** Epidemiological data show that traffic-related air pollution contributes to the
34 increasing prevalence and severity of asthma. DNA methylation (DNAm) changes may
35 elucidate adverse health effects of environmental exposures.

36

37 **Objectives:** To assess the effects of allergen and diesel exhaust (DE) exposures on global
38 DNAm and its regulation enzymes in human airway epithelium.

39

40 **Methods:** 11 participants, including 7 with and 4 without airway hyperresponsiveness (AHR),
41 were recruited for a randomized, double-blinded crossover study. Each participant had 3
42 exposures: filtered air + saline (FA-S), filtered air + allergen (FA-A), and DE + allergen (DE-
43 A). 48 hours post-exposure, endobronchial biopsies and bronchoalveolar lavages (BAL) were
44 collected. Levels of DNA methyltransferases (DNMTs) and ten-eleven translocation enzymes
45 (TETs), 5-methylcytosine (5mC), and 5-hydroxymethylcytosine (5hmC) were determined by
46 immunohistochemistry. Cytokines and chemokines in BAL were measured by
47 electrochemiluminescence multiplex assays.

48

49 **Results:** *Predominant* DNMT (pDNMT, the most abundant among DNMT1, DNMT3A, and
50 DNMT3B), and *predominant* TET (pTET, the most abundant among TET1, TET2, and TET3)
51 were participant-dependent. 5mC and its regulation enzymes differed between participants with
52 and without AHR at baseline (FA-S) and in response to allergen challenge (regardless of DE
53 exposure). pDNMT and pTET correlated with lung function. Allergen challenge effect on
54 interleukin-8 in BAL was modified by TET2 baseline levels in the epithelium.

55

56 **Conclusions:** Response to allergen challenge is associated with key DNAm regulation
57 enzymes. This relationship is generally unaltered by DE co-exposure but is rather dependent
58 on AHR status. These enzymes therefore warranted further inquiry regarding their potential in
59 diagnosis, prognosis, and treatment of asthma.

60

61 **Clinical implications:**

62 In those with hyperresponsive airways, lung function changes induced by allergen inhalation
63 may be due to changes in enzymes that regulate DNA methylation.

64

65 **Capsule Summary**

66 This randomized, double-blinded, controlled human exposure, crossover study reveals that
67 response to allergen challenge is associated with key DNA methylation regulation enzymes,
68 especially in participants with hyperresponsive airways.

69

70 **Keywords:** Crossover human study, ten-eleven translocation (TET), DNA methylation

71 (DNAm), asthma, allergen, diesel exhaust (DE)

72

73 **Abbreviations:**

- 5hmC: 5-Hydroxymethylcytosine
- 5mC: 5-Methylcytosine
- AHR: Airway Hyperresponsiveness
- AR: Allergic Rhinitis
- BAL: Bronchoalveolar Lavages
- DE: Diesel Exhaust
- DEP: Diesel Exhaust Particle
- DNAm: DNA Methylation
- DNMT: DNA Methyltransferase
- FA: Filtered Air
- FEF 25-75%: Forced Expiratory Flow at 25–75% of FVC
- FEV₁: Forced Expiratory Volume in 1 Second
- FVC: Forced Vital Capacity
- GM-CSF: Granulocyte-macrophage Colony-Stimulating Factor
- HBECs: Human Bronchial Epithelial Cells
- HDM: House Dust Mite
- I_{avg}: Average Intensity of all Pixels
- IHC: Immunohistochemistry
- IL: Interleukin
- IP-10: IFN- γ -induced Protein 10
- LLOD: Lower Limit of Detection
- LME: Liner Mixed Effects
- MCP-1: Monocyte Chemoattractant Protein-1
- PBMCs: Peripheral Blood Mononuclear Cells
- pDNMT: Predominant DNMT
- PM_{2.5}: Particulate Matter with a Diameter of 2.5 Micromole or Less
- pTET: Predominant TET
- RT: Room Temperature
- TARC: Thymus and Activation Regulated Chemokine
- TET: Ten-Eleven Translocation
- TRAP: Traffic-related Air Pollution

74

75 **Introduction**

76 Traffic-related air pollution (TRAP) contributes to increased morbidity and mortality of
77 respiratory diseases, especially asthma (1). Epidemiological studies show that chronic exposure
78 to TRAP, of which diesel exhaust (DE) is a major component, is related to an increased
79 incidence of asthma, and short-term spikes in TRAP can induce airway hyperresponsiveness
80 (AHR) in asthmatic patients (2, 3). We have previously demonstrated using controlled human
81 exposure studies that short-term DE exposure alters DNA methylation (DNAm) in the human
82 lung epithelium and peripheral blood mononuclear cells (PBMCs) (4, 5).

83 DNA methylation (5mC) is the addition of methyl groups to primarily DNA cytosines(6).
84 DNA methyltransferases (DNMTs) are the enzymes responsible for 5mC deposition and
85 include DNMT3A and DNMT3B which perform de-novo 5mC and DNMT1 which is involved
86 in the maintenance of 5mC following DNA replications (7, 8). DNA demethylation can occur
87 through passive or active mechanisms. Passive DNA demethylation occurs in the absence of
88 maintenance methylation during DNA replication (9). Active DNA demethylation is mediated
89 by the ten-eleven translocation (TET) methylcytosine dioxygenases (10) which include TET1,
90 TET2, and TET3. TET enzymes catalyze the oxidation of 5-methylcytosine to 5-
91 hydroxymethylcytosine (5hmC), 5-formylcytosine, and 5-carboxylcytosine (11, 12). Of these,
92 5hmC is the most abundant, playing poorly understood roles in genome function (13). Dynamic
93 regulation of DNA methylation and demethylation modulates a wide range of processes,
94 including cell differentiation, cellular ageing and oncogenic transformation (14-17).

95 Recently, the role of TET enzymes in asthma has gained significant interest. Sominen *et*
96 *al.* showed decreased methylation of cg23602092 in the TET1 promoter and increased global
97 5hmC in nasal mucosa samples of asthmatic individuals compared to healthy participants (18).
98 This study reported 5mC at cg23602092 positively correlated with TRAP concentrations by
99 utilizing a land-use regression model as a proxy estimate of DE particles (DEP), and showed
100 that *in vitro* DEP exposures induced cg23602092 methylation in human bronchial epithelial
101 cells (HBECS) at 24 hours (h). Additionally, in TET1-knockout mice, loss of the TET1 enzyme
102 aggravated allergen-induced airway inflammation (19). The evidence above supports the
103 notion of TET enzymes contributing to the effects of environmental exposures (e.g. allergen
104 and TRAP) on asthma pathophysiology (20), but the overall outcomes are currently unclear.
105 Given the data from epidemiological and animal studies, evaluating the role of TET family
106 enzymes, including the understudied TET2 and TET3, in human airways is warranted, and may
107 elucidate additional pathways linking environmental exposures to asthma.

108 In this study, we performed a controlled human exposure crossover study to investigate
109 changes in DNA methylation, DNA hydroxymethylation and their regulation enzyme
110 expression, including DNMTs and TETs, in response to acute allergen challenge and DE
111 exposures *in vivo* in the human bronchial epithelium. We also analyzed the correlation between
112 these biomarkers and the allergic airway inflammation, which was evaluated by the cytokines
113 and chemokines in the bronchoalveolar lavages (BAL) and the lung function.

114 **Methods**

115 **Study design and participants**

116 11 allergen-sensitized participants, including 7 with AHR and 4 without AHR, were recruited.
117 These participants were recruited based on sensitization to common allergens, and were
118 secondarily grouped by AHR status as an objective way to stratify based on objective
119 physiological measures. While participants' responding differently by AHR status was not the
120 primary concern in the initial design of this study, it allowed for some important questions to
121 be asked in the current analysis in spite of modest sample size. AHR was evaluated by
122 measurement of forced expiratory volume in 1 second (FEV₁) during methacholine challenge,
123 using the 2-minute tidal breathing technique, and participant-specific allergen (either house
124 dust mite (HDM), pacific grass or birch pollen) concentration determined with skin prick
125 testing during screening visits (21). The provocative concentration of methacholine eliciting a
126 20% drop in FEV₁ (PC₂₀) during screening classified participants as either being AHR (PC₂₀ ≤
127 8 mg/mL) or not AHR (PC₂₀ > 8 mg/mL). During screening, each participant underwent an
128 allergen inhalation challenge to determine a dose of allergen that induce 20% decrease in FEV₁.
129 We used the consistent dose and allergen throughout the study for each participant. This
130 randomized, double-blinded, controlled human exposure crossover study took place between
131 April 2013 and April 2017 (Clinical Trials ID: NCT02017431). Specimens and clinical data
132 were collected with informed consent using protocols approved by the University of British
133 Columbia Clinical Research Ethics Board (H11-01831) in Vancouver, BC, Canada. The study
134 design and exposure procedures have been described previously (22). Briefly, each participant
135 underwent 3 distinct exposures, in a random order, with at least a 4-week washout period
136 between exposures: filtered air (FA) + 0.9% saline (FA-S), FA + participant-specific allergen
137 (FA-A), and DE (diluted to 300 µg/m³ of particulate matter sized 2.5 microns in diameter or
138 less (PM_{2.5})) + allergen (DE-A). FA and DE exposures were 2 h in duration. 1 h post-exposure,
139 a 2-minute inhaled allergen challenge was conducted (22, 23). An allergen PC₂₀ dose, which
140 was determined at screening based on PC₂₀ and skin prick wheal size for each participant (24),
141 was applied for allergen challenge. The characteristics of 11 participants are listed in Table 1.

142

143 **Lung function**

144 Spirometry measurements were performed based on the American Thoracic Society's
145 guidelines (25), and related results were previously reported (22).

146

147 **Bronchoscopy procedures and processing of endobronchial biopsy**

148 The bronchoscopy procedure (26) and endobronchial biopsy processing techniques (27, 28)
149 were adapted from work described previously. In brief, a bronchoscopy was performed post-
150 exposure (48h after the start of each exposure), for each condition. The biopsies collected were
151 fixed in ice-cold acetone with protease inhibitors iodoacetamide (20 mM, Sigma, Oakville, ON)
152 and phenylmethylsulfonylfluoride (2 mM, Sigma, Oakville, ON) at 4 °C overnight (16–24h).

153 On the second day, the protease inhibitors were washed out of biopsies by replacing the acetone
154 solution with fresh acetone at room temperature (RT) and then with 100% methyl
155 benzoate (Sigma, Oakville, ON). Biopsies were infiltrated with glycol methacrylate acrylic
156 (GMA) solution A containing 5% methyl benzoate (replaced 3 times for 2h each at 4 °C).
157 Biopsies were embedded in polymerized glycol methacrylate acrylic (GMA) (29). The JB-4
158 Embedding Kit (Polysciences, Warrington, PA) was used following manufacturer's
159 instructions. The blocks were stored with desiccant at -20°C until sectioned. 2 µm thick sections
160 from biopsies were cut using an ultra-microtome (Leica EM UC6) and placed on poly-l-lysine-
161 coated slides for immunohistochemistry (IHC) staining.

162

163 **IHC staining and quantification**

164 IHC was performed to evaluate the levels of DNMT1, DNMT3A, DNMT3B, TET1, TET2,
165 TET3, 5mC, and 5hmC, based on previous work (27, 28), with modifications optimized
166 antibody concentration and antigen retrieval steps. Heat-induced epitope retrieval was applied.
167 Sodium citrate buffer was used for TETs staining, and Tris-EDTA buffer was applied for
168 DNMTs, 5mC, and 5hmC staining. After antigen retrieval, the slides were washed twice with
169 ddH₂O. The sections were permeabilized twice with 0.4% Triton-100 in Phosphate Buffered
170 Saline with 0.1% Tween 20 (PBST) for 10 min and washed with Tris-buffered saline (TBS, 5
171 mins × 3). 0.3% H₂O₂ in 0.1% aqueous sodium azide was applied to inhibit endogenous
172 peroxidase activity following washes with TBS (5 mins × 3). 20% fetal calf serum and 1%
173 bovine serum albumin in Dulbecco's modified Eagle's minimal essential medium (DMEM)
174 was applied at RT for 30 mins to block the sections from non-specific antibody binding.
175 Primary antibodies (see Table S1) were incubated with each specimen at 4°C overnight. On the
176 next day, the sections were washed with TBS (5 mins × 3) and incubated with biotinylated
177 secondary antibodies at RT for 1h. After washes with TBS (5 mins × 3), the sections were
178 incubated with VECTASTAIN® Elite ABC (avidin-biotin complex) HRP Kit (Vector, PK6100)
179 at RT for 2h and washed with TBS (5 mins × 3). AEC (3-amino-9-ethylcarbazole) peroxidase
180 substrate kit (Vector, SK-4200) was used for color development with 20 mins incubation. The
181 sections were counterstained with Mayer's Hematoxylin (Sigma-Aldrich, MHS16) and
182 covered with CC/mount (Sigma-Aldrich, C9368) and Permount (Fisher Scientific, SP15100)
183 successively for long-term storage.

184 The slides were scanned with Aperio digital pathology slide scanners (ScanScope AT2)
185 (Leica Biosystem, Buffalo Grove, IL, USA) and quantified with Aperio Positive Pixel Count
186 Algorithm (v9) using Aperio ImageScope software (v12.4.0.5043). The epithelium was gated
187 along the basement membrane (Figure S1). Only the epithelial layer was included in the
188 analysis of this study. The average intensity of all pixels (Iavg) was utilized to quantify the
189 level of the target protein. Higher values of Iavg indicate lower levels of the target.

190

191 **Electrochemiluminescent multi-plex assay**

192 The cytokines and chemokines secreted into the BAL were measured using the V-PLEX
193 Human Cytokine 30-Plex Kit (Meso Scale Diagnostics, Rockville, Maryland, USA). The list
194 of the cytokines and chemokines is shown in the supplementary materials. The assay was
195 performed following the manufacturer's instructions with 2-fold (cytokine panel 1 and
196 proinflammatory panel 1) and 4-fold (chemokine panel 1) dilution of BAL in assay diluent.
197 The lower limit of detection (LLOD) was set at the signal intensity that was 2.5 standard
198 deviations above the background noise in the blank. For statistical analysis, values below the
199 LLOD were replaced with $\frac{1}{2}$ of the respective LLOD value. Eotaxin, IFN- γ , IL-4, IL-8(HA),
200 IL-10, IL-12p70, IL-13, MCP-4, MDC, MIP-1 α , and TNF- β were not reliably detectable above
201 the LLOD and were excluded from statistical analysis.

202

203 **Statistical analysis**

204 Exposure effects were assessed using linear mixed-effects (LME) models (nlme package
205 version 3.1-142) in R (version 3.6.1). Conditions (FA-S, FA-A, and DE-A) were used as fixed
206 effects and participant identification as a random effect. This model was conducted in 11
207 participants (Figure 2-4), no AHR group (Figure 5), and AHR group (Figure 5), respectively.
208 A second model was employed to test the baseline level (FA-S) of the biomarkers of interest,
209 between the no AHR group and AHR group. Therefore, AHR status was used as a fixed effect
210 and participant identification as a random effect (Figure 5). A third model was applied to test
211 potential role of DNAm biomarkers levels (5mC, 5hmC, 5mC/5hmC ratio, DNMTs, TETs,
212 pDNMT, and pTET) at baseline in modulating the exposure responses, and condition-by-
213 DNAm biomarker baseline level (e.g. low TET2 vs. high TET2) interaction was the fixed effect
214 in this model (Figure 7G). Spearman's correlation was applied to test the correlation between
215 DNA methylation biomarkers, including 5mC, 5hmC, DNMTs, TETs, pDNMT, and pTET, and
216 clinical lung function (Figure 6) or cytokine and chemokine secretion in the BAL (Figure 7A-
217 E). Spearman's correlation coefficient R and *p*-value were computed. A *p* < 0.05 was
218 considered to indicate a statistically significant difference. The details of missing data are
219 shown in Table S2.

220 **Results**

221 **The *predominant* DNMT and TET enzymes in human bronchial epithelium were** 222 **participant-dependent**

223 Figure 1A-1B show the IHC staining levels of DNMT family (DNMT1, DNMT3A, and
224 DNMT3B) and TET family (TET1, TET2, and TET3) in the bronchial biopsies from the same
225 participant (Participant ID: 5, condition: FA-S). It's worth noting that, the levels of DNMT
226 family and TET family in human bronchial epithelium under FA-S condition varied from
227 person to person. To better understand the role of the most abundant enzyme, we defined, for
228 each study participant, the most abundant DNMT among DNMT1, DNMT3A, and DNMT3B
229 in the airway epithelium under FA-S condition as the *predominant DNMT* (pDNMT), and the
230 most abundant TET among TET1, TET2, and TET3 as the *predominant TET* (pTET). For the
231 example in Figure 1A-1B, the pDNMT was DNMT3B and the pTET was TET3 in this
232 participant. At baseline (FA-S), 6 out of 11 participants were DNMT3B predominant and 8 out
233 of 11 participants were TET3 predominant (See Figure 1 and Table 1). Similar results were
234 found in an *in vitro* analysis of human primary bronchial epithelial cells from another
235 independent study (Table S3). Among those 8 participants, 4 were DNMT3B predominant and
236 6 were TET3 predominant.

237

238 **pDNMT and pTET decreased following allergen challenge, irrespective of DE exposure**

239 To investigate the effects of allergen mono-exposure (FA-A) and DE + allergen co-exposure
240 (DE-A) on the DNMT and TET family expression, IHC was applied with the bronchial biopsies
241 which were collected at 48h post-exposure. Representative staining levels of DNMT1,
242 DNMT3A, and DNMT3B levels are shown in Figure 2A. Examining the levels of DNMT1,
243 DNMT3A and DNMT3B individually following FA-A or DE-A exposure (Figure 2B-2D)
244 revealed no significant changes in DNMT1, DNMT3A or DNMT3B, respectively. However, a
245 focus on the pDNMT level showed a significant decrease following FA-A and DE-A exposures
246 (FA-A vs. FA-S, $p = 0.02$; DE-A vs. FA-S, $p = 0.006$, Figure 2E). The levels of TET family
247 members were also evaluated following three exposures in the 11 participants (Figure 3A-3D).
248 Following allergen mono-exposure, TET1 and TET3 were not significantly changed while
249 TET2 was decreased ($p = 0.02$, Figure 3C). With co-exposure to DE and allergen, both TET2
250 and TET3 were decreased (both $p = 0.02$, Figure 3C-D). Corresponding to the changes of
251 pDNMT, pTET was also decreased following both FA-A and DE-A exposures (FA-A vs. FA-
252 S, $p = 0.04$; DE-A vs. FA-S, $p = 0.009$, Figure 3E).

253

254 **The ratio of 5mC to 5hmC decreased following allergen challenge, irrespective of DE** 255 **exposure**

256 Global DNA methylation and hydroxymethylation in the human bronchial epithelium were
257 measured by IHC (Figure 4A). 5mC showed non-significant decreasing trend following both

258 FA-A and DE-A exposures, relative to FA-S ($p = 0.06$ and $p = 0.16$, respectively, Figure 4B).
259 5hmC is an emerging epigenetic modification that plays poorly understood roles in genome
260 function. It is also an intermediate in the DNA demethylation pathway allowing us to examine
261 the ratio of 5mC to 5hmC as a rough measure of the balance between DNA methylation and
262 DNA demethylation (30). The ratio of 5mC to 5hmC was decreased following both FA-A and
263 DE-A exposures ($p = 0.006$ and $p = 0.03$, Figure 4D).

264

265 **5mC levels and associated enzymes differed between participants with and without AHR** 266 **under baseline conditions and in response to allergen challenge**

267 We explored whether the levels of these DNAm biomarkers (5mC, 5hmC, 5mC/5hmC ratio,
268 DNMTs, TETs, pDNMT, and pTET) in the bronchial epithelium are different at the baseline
269 between AHR group and non-AHR group. The baseline (FA-S) levels of TET1, 5mC, and the
270 5mC/5hmC ratio in the bronchial epithelium were higher in participants with AHR than those
271 without AHR ($p = 0.03$, $p = 0.04$, and $p = 0.04$, respectively, Figure 5E, 5I, 5K). We further
272 investigated whether these DNAm biomarkers between AHR group and non-AHR group had
273 different responses following exposures by using LME models. pDNMT, pTET, 5mC, and the
274 5mC/5hmC ratio in the bronchial epithelium decreased following both FA-A and DE-A in
275 participants with AHR, but not in non-AHR (Figure 5D, 5H, 5I, 5K). *P*-values are listed in
276 Table S4. Therefore, global DNA methylation and its regulation enzymes are more susceptible
277 to allergen challenge in the context of AHR.

278

279 **DNMT and TET were correlated with lung function**

280 To investigate the association between DNAm biomarkers and lung function, including FEV₁,
281 FVC, FEV₁/FVC, and FEF 25-75%, at 48h post-exposure, Spearman's correlation was
282 computed (Selected results are shown in Figure 6, and more related results are shown in Figure
283 S2. The correlation analyses grouped by condition and AHR status are shown in Figure S3 and
284 Figure S4). FEV₁/FVC was positively correlated with DNMT1 ($R = 0.4$, $p = 0.027$, Figure 6A),
285 DNMT3B ($R = 0.4$, $p = 0.028$, Figure 6C), and pDNMT ($R = 0.43$, $p = 0.018$, Figure 6D)
286 levels, respectively, in the bronchial epithelium. FEV₁ was positively correlated with the levels
287 of TET2 ($R = 0.46$, $p = 0.0099$, Figure 6F) and pTET ($R = 0.42$, $p = 0.019$, Figure 6H),
288 respectively, in the bronchial epithelium. These data suggest that DNMT and TET family
289 involve with the regulation of lung function.

290

291 **TET levels were correlated with cytokines and chemokines in the BAL**

292 To understand the association between DNAm biomarkers and lung inflammation, we
293 correlated DNAm biomarkers with cytokine and chemokine levels in BAL by Spearman's
294 correlation (including 3 conditions). Figure 7A-E only show those plots when the Spearman's
295 correlation coefficient *R* is above 0.4 and the *p*-value is below 0.05. TET1 levels negatively

296 correlated with monocyte chemoattractant protein-1 (MCP1), a chemokine that functions in the
297 recruitment of monocytes, memory T-helper cells and dendritic cells (31, 32), in the BAL ($R =$
298 -0.43 , $p = 0.016$, Figure 7A), and TET2 levels negatively correlated with granulocyte-
299 macrophage colony-stimulating factor (GM-CSF, $R = -0.5$, $p = 0.0037$, Figure 7B), a cytokine
300 known to stimulate the differentiation of macrophages and eosinophils (33, 34), and thymus
301 and activation regulated chemokine (TARC, $R = -0.44$, $p = 0.011$, Figure 7C), which is also
302 called CCL17 and is known as a chemotactic factor for T cells (35). pTET levels in the
303 bronchial epithelium were negatively correlated with the secretion of Eotaxin-3 ($R = -0.52$, p
304 $= 0.0025$, Figure 7D), a chemoattractant of eosinophils and basophils (36), and IL-5 ($R = -0.5$,
305 $p = 0.0033$, Figure 7E), a colony-stimulating factor for eosinophils that induces the
306 differentiation of B cells to immunoglobulin secreting cells (37, 38). The correlation analyses
307 grouped by condition and AHR status are shown in Figure S5 and Figure S6. These results
308 suggest that TET levels involve with the development of allergic airway inflammation.

309 To investigate whether these DNAm biomarkers' baseline levels can be applied in the
310 prognosis of the allergen and DE exposure responses, we did the subgroup analysis based on
311 the baseline (FA-S) levels of these biomarkers one by one. For example, based on the median
312 of TET2 level at baseline, the 11 participants were split into two groups, a low TET2 group (n
313 $= 6$) and a high TET2 group ($n = 5$). Focusing on these two groups (Figure 7E) we next asked
314 if there were any differences in the baseline levels of cytokines and chemokines and different
315 responses following exposures (FA-A and DE-A). Intriguingly, IL-8 levels at baseline were
316 higher in the high TET2 group than in those in the low TET2 group (means \pm SEM = $38.8 \pm$
317 14.1 pg/mL vs. 9.1 ± 1.2 pg/mL, $p = 0.01$). The exposure effects of FA-A and DE-A on IL-8
318 secretion in the BAL were modified by TET2 levels ($p = 0.03$ and $p = 0.01$, respectively, Figure
319 7F). The same analysis was also accomplished in the rest DNAm biomarkers, and the
320 interaction between allergen (with or without DE) and TET2 level on IL-8 secretion in the BAL
321 is the most impressive.

322 Finally, since our *in vivo* study samples did not include a DE mono-exposure so we added
323 an *in vitro* component to the study and found that SRM2975, standardized diesel exhaust
324 particles, decreased the mRNA levels of TET1 and TET2 in the BEAS-2B cell line (Figure S7).

325 **Discussion**

326 This study documents, for the first time, changes in the levels of DNAm regulation
327 enzymes, including pDNMT and pTET, in human airway epithelium following allergen mono-
328 exposure and DE + allergen co-exposure. These results provide solid evidence for the notion
329 that environmental exposure can affect human airway through epigenetic regulation, even the
330 acute allergen inhalation effect can last 48h. No significant difference was found in the
331 comparison between DE-A and FA-A, which infers that the effect of allergen challenge on
332 global DNAm biomarkers were not significantly affected by DE. Given the lack of a DE mono-
333 exposure in our intact human model, which is a recognized limitation, we used an *in vitro*
334 correlate to show decreased the mRNA levels of TET1 and TET2 in the BEAS-2B cell line
335 (Figure S7). Whether more effects will be found on DNA methylation of specific genes or not
336 needs further investigation. Correspondingly, DEP exposures induced the methylation of
337 cg23602092 in TET1 promoter in HBECs (19).

338 Our data shows higher TET1 levels in AHR participants' bronchial epithelial cells, in
339 comparison to normally responsive participants. Meanwhile, Sominen *et al.* showed that
340 methylation of the TET1 promoter was lower in asthmatic children than non-asthmatic controls
341 (27). These results suggest that higher TET1 levels in the asthmatic airway epithelium might
342 be due to the hypomethylation of site(s) within the TET1 promoter. On the other hand, higher
343 levels of TET1 seems paradoxical to global DNA hypermethylation in participants with AHR.
344 Coincidentally, higher TET levels and global DNA hypermethylation was also found in the
345 PBMCs of allergic rhinitis (AR) patients (39). TET-mediated 5hmC increases may also trigger
346 passive replication-dependent DNA demethylation (40). The activity of DNMT1 can be
347 dramatically suppressed (by a factor of >60) on a 5hmC-abundant DNA substrate (40). This
348 suggests, therefore, that the increased TET level seen in atopic participants may not represent
349 causation, but instead feedback regulation to counter the accumulation of aberrant DNA
350 methylation. In interpreting these results, one should note that while we avoided experimental
351 exposure to grass and tree allergen during their peak season, we cannot rule out some exposure
352 to these allergens outside of the study setting. Any such exposure would bias results away from
353 significant effects in our analysis, leading to potential type 2 error (falsely supporting null
354 hypothesis).

355 In our study, global DNA methylation and its regulation enzymes were more vulnerable
356 to allergen challenge in participants with AHR, compared to those without AHR. These
357 responses might be related to the higher TET1 and 5mC levels at baseline (FA-S condition) in
358 the bronchial epithelium of AHR group than that of no AHR group. The results above suggest
359 the underlying mechanism of AHR might be due to the differences in epigenetic stabilities
360 between those with and without AHR. Several asthma-related genes, including forkhead box
361 P3 (Fox P3), IFN- γ , IL-4, IL-13, and IL-17, have been found to be more susceptible to the
362 modulation of DNA methylation in asthmatic participants (41-44). The evidence suggests that
363 those with AHR may experience greater inflammatory responses following allergen challenge

364 due to changes in the epigenome relative to those without AHR, and that those with AHR may
365 have higher susceptibility to greater subsequent responses. Note however that not all our
366 participants with AHR had clinical asthma, and those who were diagnosed with asthma were
367 mild, so our results may not be generalizable to asthmatics of greater severity.

368 The mechanism by which DNAm regulates the development of AHR is still not fully
369 understood. The known mechanisms of DNAm include not only directly limiting the access to
370 transcription factors and suppress the expression of the target genes (45), but also coordinating
371 with histone variants, histone modifications, and non-coding RNAs in regulating gene
372 expression (46, 47). The DNAm-regulated genes which are associated with asthma phenotypes
373 are involved in the immune response, NO synthesis, lipid pathway, and pharmacologic receptor
374 (48). DE and allergen *in vitro* exposure modified DNAm levels of genes in oxidative stress
375 response, epithelial adherence junction signaling and immune cell responses, including Th1,
376 Th2, macrophage and dendritic cell maturation pathway in HBECs (49). Our previous *in vivo*
377 human study reveals that the order of exposure to DE and allergen determines the epigenetic
378 signals (5). The effect of subsequent exposure to allergen and DE on DNAm of genes involved
379 in cell adhesion and migration, protein localization/transport, angiogenesis, while DNAm of
380 genes involved in protein metabolism and hormone/steroid stimulation are associated with
381 subsequent exposure to DE and allergen (5). The potential role of DNA methylation in
382 mediating the effect of allergen and DE exposures and the development of AHR still needs
383 further investigation.

384 Zhang *et al.* demonstrated that the mRNA levels of TET1 in HBECs were increased at 1h
385 following diesel exhaust particles (DEP) and house dust mite (HDM) exposures (*in vitro*),
386 respectively, and returned to baseline levels at 4h (49). Earlier, the same team reported that
387 TET1 mRNA was decreased at 4h by the same dose of DEP in HBECs (18). Our *in vitro* study
388 found that SRM2975 decreased the mRNA levels of TET1 and TET2 in BEAS-2B at 2h, 6h,
389 and 18h (Figure S7). While contrary to Zhang *et al.*, perhaps due to the specific DEP used, its
390 dose, the timing of sampling, or cell type examined, this is consistent with our *in vivo* finding
391 that DE (in the context of allergen) did not increase TETs levels in human bronchial epithelium.

392 TET family members have been demonstrated to have a catalytic domain, comprising a
393 Cys-rich and a double-stranded β helix domain, with all three TET members having 5mC
394 oxidation properties (50). However, there are known differences among the TET members.
395 Both TET1 and TET3 have CXXC domains, which have a high affinity for unmethylated CpG
396 dinucleotides, whereas TET2 does not possess this domain(11). It has been widely reported
397 that TET family enzymes are expressed and regulated in a dynamic and tissue-specific manner
398 (11-13). TET1 and TET2 are highly expressed in mouse embryonic stem cells, while TET3 has
399 a high abundance in oocytes and one-cell zygotes (51, 52). We found that TET1 is consistently
400 predominant in human circulating dendritic cells among different participants (39).
401 Unexpectedly, pTET was participant-dependent in human bronchial epithelium, as was
402 pDNMT. This observation is important, especially when researchers are investigating the role

403 of these enzymes in primary human samples or in *in vivo* human studies.

404 As lung epithelial cells serve as barriers to environmental exposures, DNA methylation
405 of these cells has been demonstrated to be affected by various inhaled insults, including
406 particulate matter, allergens, and tobacco smoke (5, 20, 53). We show global hypermethylation
407 in AHR participants' bronchial epithelium, compared to those without AHR, but demonstrate
408 no significant difference between the global 5hmC levels in the epithelium of AHR and non-
409 AHR participants. In an independent study, global 5hmC levels in saliva were higher in
410 asthmatics than non-asthmatics (n = 18 pairs) (18). Higher levels of global 5hmC was also
411 found in the PBMCs from AR patients than those from healthy volunteers (39). This
412 discrepancy might be due to the different sample types across these studies or the relatively
413 small sample size of this study. Intriguingly, we observed that changes in 5mC and 5hmC
414 following allergen challenge tended to be in the opposite directions. As a result, we showed
415 that the 5mC/5hmC ratio significantly decreased following allergen challenge. Moreover, the
416 ratio of 5mC to 5hmC declines with aging, caloric restriction (30), and mucosal hypertrophy
417 in oral ulcers (54), but increases with prenatal mercury exposure (55) and colorectal cancer
418 (56). The ratio of 5mC to 5hmC may be a sensitive marker to monitor environmental exposures
419 and the development of inflammation and we recommend considering this when studying DNA
420 methylation patterns following such exposures.

421 There are important implications of our findings. Firstly, our data suggest that allergen
422 exposure may have a great effect on triggering epigenetic regulation leading to the development
423 of inflammation. However, it is important to consider the acute DE mono-exposure and DE +
424 allergen co-exposure effects, to further investigate the complex interplay between different
425 environmental exposures and asthma pathophysiology. Secondly, we show that TET levels in
426 HBECs were correlated with not only allergen-induced FEV₁ decline, but also with increased
427 cytokines and chemokines in the BAL; this suggests that the TET family may play a role in
428 instigating airway inflammation in the development of asthma. In support of this, TET2 SNP
429 (rs10010325) was shown to correlate with lung function (57). To some extent, these findings
430 indicate that compared to DNMT, TET changes may be a more sensitive biomarker for airway
431 inflammation and, therefore, a more relevant focus for diagnosis and determining the prognosis
432 of asthma. Interestingly, we demonstrated a correlation, between levels of these fundamental
433 enzymes of DNA methylation and lung function, that suggests a potential physiologic
434 relationship therein even after the primary effect of acute exposure (allergen leading to drop in
435 FEV₁) has resolved. However, further investigation is needed to uncover the mechanism by
436 which TETs mediate the development of airway inflammation. Burlison *et al.* showed that IFN
437 signaling and the aryl hydrocarbon receptor pathway are modulated by TET1 in an allergic
438 airway inflammation mouse model (19). NF- κ B activation leads to the repression of TET1 and
439 higher immune infiltration in breast cancer, melanoma, lung cancer, and thyroid cancer (58).
440 Increasing our knowledge of the upstream and downstream pathways of TET regulation could
441 provide further mechanistic insight that may enhance asthma treatment or prevention.

442

443 **Conclusions**

444 Our data suggest that AHR participants whose bronchial epithelium is globally
445 hypermethylated with higher TET enzyme expression may be more susceptible to
446 environmental exposures. This controlled human exposure study provides new evidence of the
447 acute effects of allergen challenge on epigenetic marks, which could be potentially used as
448 biomarkers for the diagnosis, prognosis, and treatment of asthma.

449

450 **Author contributions**

451 H.L.—formulated and designed this study, performed experiments, analyzed the data, produced
452 most of the tables and figures, and drafted the manuscript; M.H.R.—performed experiments,
453 provided conceptual and logistical support for the study, and reviewed and edited the
454 manuscript; C.R.—performed experiments, provided conceptual and logistical support for the
455 study, and reviewed and edited the manuscript; W.T.—performed experiments, and reviewed
456 and edited the manuscript; R.L.C.—conceptual and logistical support of the study, and
457 reviewed and edited the manuscript; M.J.A.—conceptual and logistical support of the study,
458 and reviewed and edited the manuscript; W.P.W.—helped with study design, reviewed and
459 edited the manuscript; C.C.—obtained funding, designed the study, provided supervision, and
460 reviewed and edited the manuscript.

461

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470

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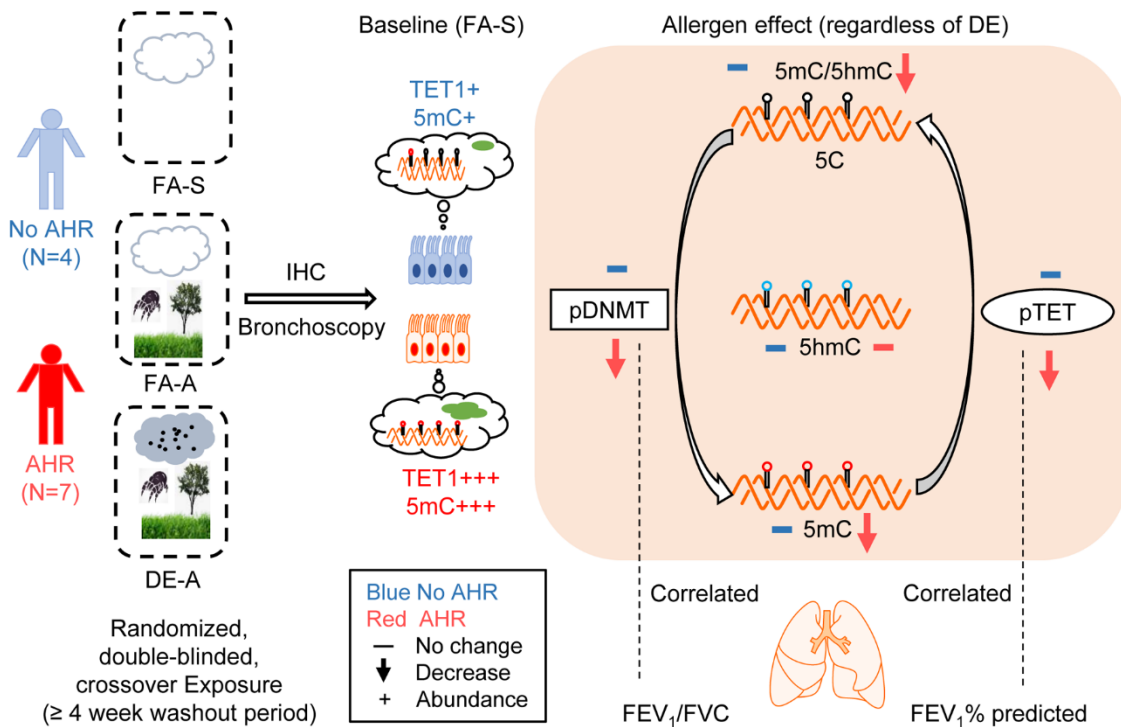
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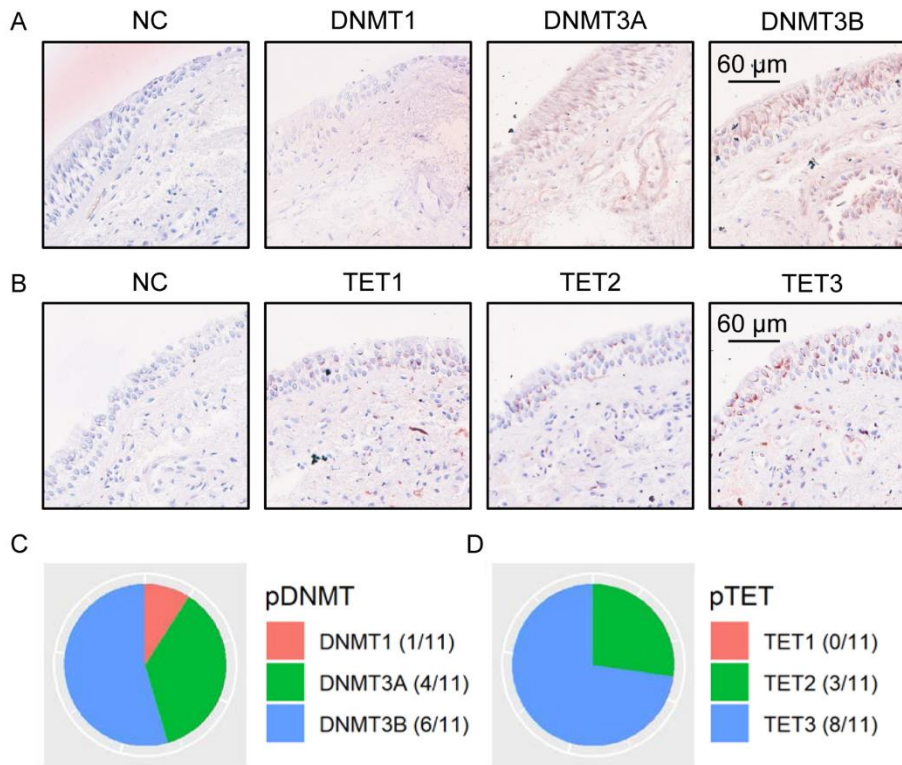
Allergen inhalation alters DNA regulation enzymes that correlate with lung function



AHR, airway hyperresponsiveness; **FA-S**, filtered air (FA) + saline; **FA-A**, FA + allergen; **DE-A**, diesel exhaust (DE) + allergen; **IHC**, immunohistochemistry; **pDNMT**, predominant DNA methyltransferase (the most abundant DNMT among DNMT1, DNMT3A, and DNMT3B); **pTET**, predominant ten-eleven translocation enzyme (the most abundant TET among TET1, TET2, and TET3); **5mC**, 5-methylcytosine; **5hmC**, 5-hydroxymethylcytosine; **FEV₁**, forced expiratory volume in 1 second; **FEV₁% predicted**, FEV₁% of the participant divided by the average FEV₁% in the population for any person of similar race, sex, age, and height; **FVC**, forced vital capacity.

620

621 **AHR**, airway hyperresponsiveness; **FA-S**, filtered air (FA) + saline; **FA-A**, FA + allergen; **DE-**
 622 **A**, diesel exhaust (DE) + allergen; **pDNMT**, predominant DNA methyltransferase (the most
 623 abundant DNMT among DNMT1, DNMT3A, and DNMT3B); **pTET**, predominant ten-eleven
 624 translocation enzyme (the most abundant TET among TET1, TET2, and TET3); **5mC**, 5-
 625 methylcytosine; **5hmC**, 5-hydroxymethylcytosine; **FEV₁**, forced expiratory volume in 1
 626 second; **FEV₁% predicted**, FEV₁% of the participant divided by the average FEV₁% in the
 627 population for any person of similar race, sex, age, and height; **FVC**, forced vital capacity.

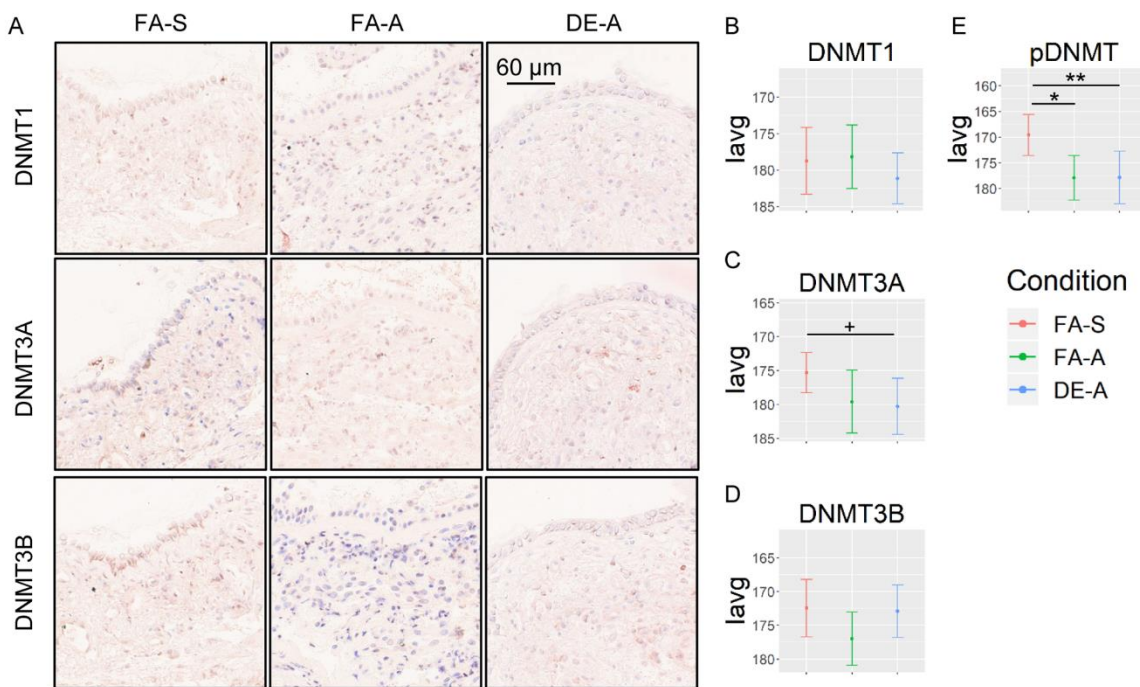
Fig 1.

629

630 **Figure 1. Predominant DNMT and TET enzymes in the human bronchial epithelium were**
 631 **participant-dependent.**

632 Representative images showing the immunohistochemistry (IHC) staining of DNA
 633 methyltransferases (DNMTs) (A), ten-eleven translocation enzymes (TETs) (B) and the
 634 negative control (NC) in human bronchial biopsies (Participant 5, condition: FA-S). DNMT3B
 635 was the predominant DNMT (pDNMT) and TET3 was the predominant TET (pTET) in the
 636 bronchial epithelium from this participant. Red staining shows specific target signals (DNMT
 637 or TET enzyme) from HRP/AEC/ABC detection kit, and blue staining shows hematoxylin
 638 counterstaining. Pie chart showing the number of individuals with predominant DNMT1,
 639 DNMT3A or DNMT3B (pDNMT) (C) and predominant TET1, TET2 or TET3 (pTET) (D) in
 640 human bronchial epithelial samples (taken from FA-S exposure) from 11 participants.

Fig 2.



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Figure 2. pDNMT decreased following allergen challenge, irrespective of DE exposure.

643

(A) Representative IHC staining images show DNMT1, DNMT3A, and DNMT3B levels in

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human bronchial epithelial samples (Participant ID: 3) at 48h after exposure to FA-S (negative

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control), FA + allergen (FA-A), or diesel exhaust (DE) (diluted to 300 μg/m³ of particulate

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matter sized 2.5 microns in diameter or less (PM_{2.5}) + allergen (DE-A), respectively. Means ±

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SEM of DNMT1, DNMT3A, DNMT3B, and pDNMT levels are shown in B, C, D, and E,

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respectively. The Aperio Positive Pixel Count Algorithm (v9) was applied in the quantification

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of the epithelial layer. Higher average intensity of all pixels (Iavg) indicates a lower level of

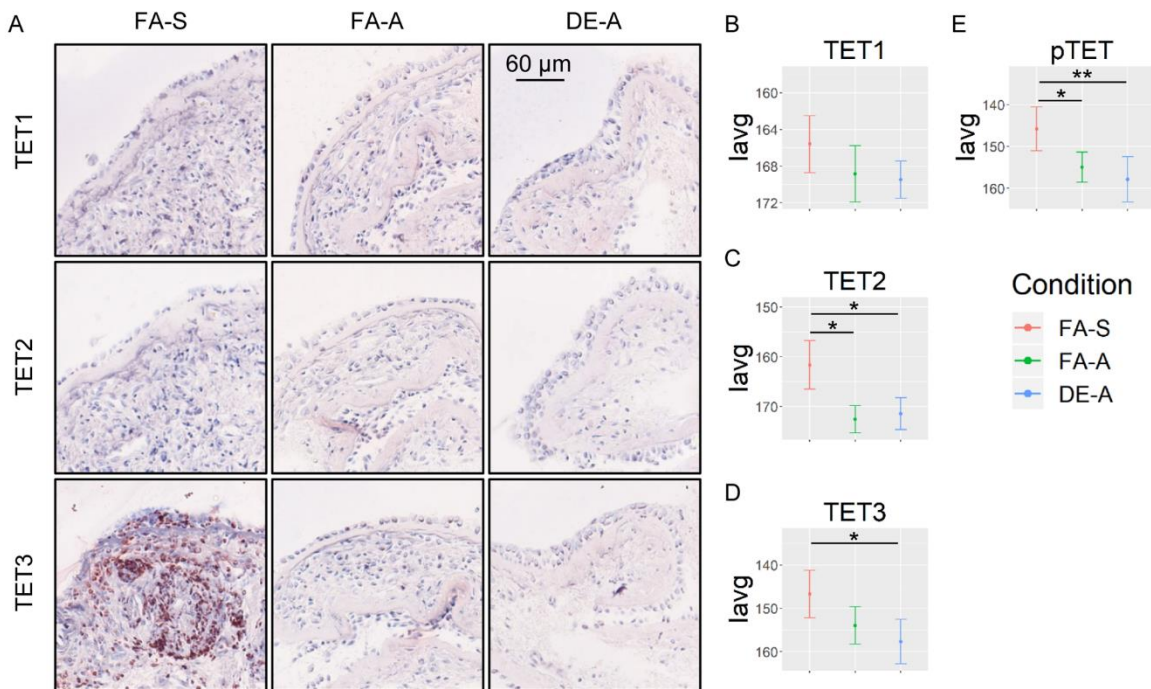
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the target. Linear mixed-effects (LME) model analysis was conducted. +: *p* < 0.1, *: *p* < 0.05,

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** : *p* < 0.01.

Fig 3.

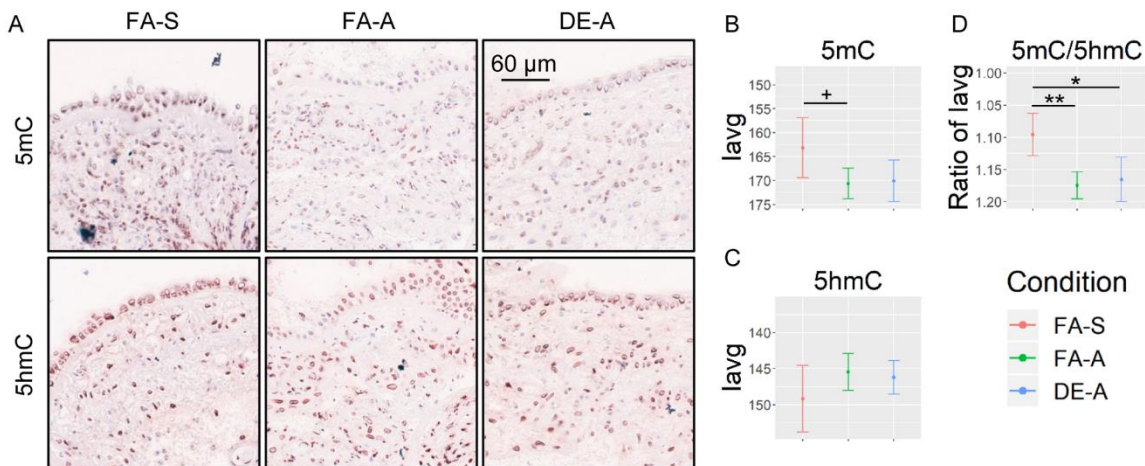


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Figure 3. pTET decreased following allergen challenge, irrespective of DE exposure.

(A) Representative IHC staining images show TET1, TET2, and TET3 levels in the human bronchial epithelium (Participant ID: 6) at 48h following exposure to FA-S, FA-A, and DE-A, respectively. Means \pm SEM of TET1, TET2, TET3, and pTET levels are shown in B, C, D, and E, respectively. LME model analysis was conducted. Data are presented as means \pm SEM. *: $p < 0.05$, **: $p < 0.01$.

Fig 4.

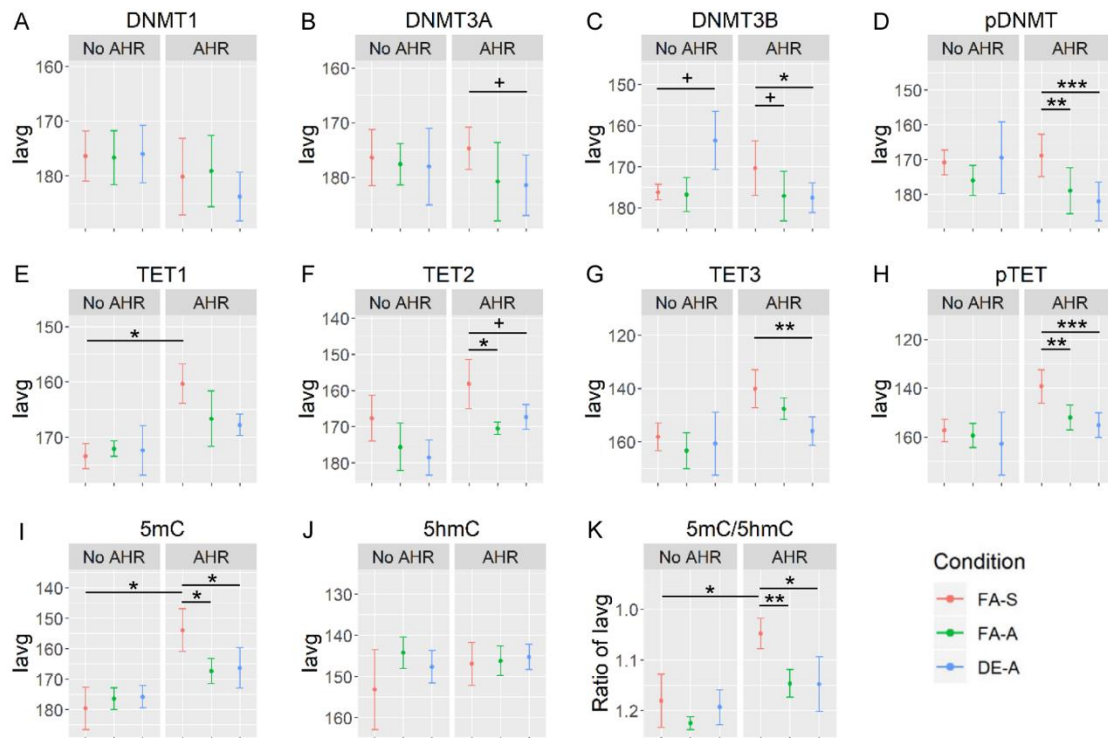


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666

Figure 4. The ratio of 5mC to 5hmC decreased following allergen challenge, irrespective of DE exposure.

(A) Representative IHC staining images show global 5mC and 5hmC levels in the human bronchial epithelium (Participant ID: 3) at 48h following three exposures. Means \pm SEM of global 5mC, 5hmC, and the ratio of 5mC to 5hmC are shown in B, C, and D, respectively. LME model analysis was conducted. Data are presented as means \pm SEM. +: $p < 0.1$, *: $p < 0.05$, **: $p < 0.01$.

Fig 5.

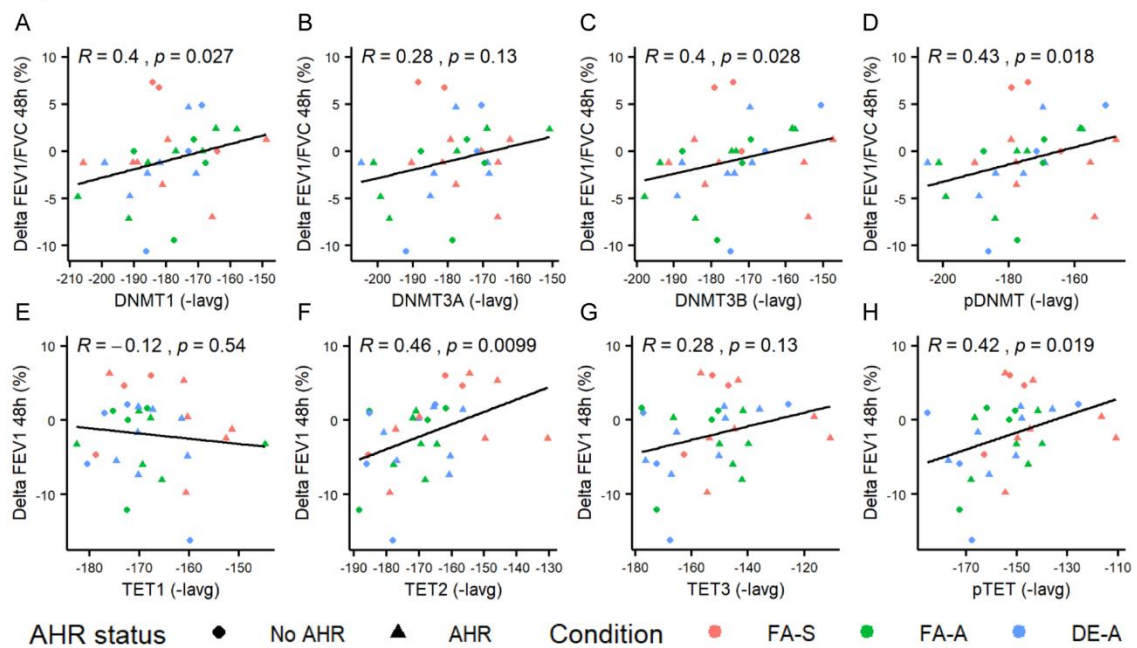


667

668 **Figure 5. Global 5mC levels and associated enzymes differed between participants with**
 669 **and without AHR under baseline conditions and in response to allergen challenge.**

670 The level of DNMTs (A-D) and TETs (E-H), global levels of 5mC (I) and 5hmC (J), and the
 671 5mC/5hmC ratio were grouped by participants' airway hyperresponsiveness (AHR) status (7
 672 with AHR and 4 without AHR). The levels of TET1, 5mC, and the 5mC/5hmC ratio in the
 673 negative control (FA-S) were higher in the bronchial epithelium of AHR group than those of
 674 the non-AHR group (E, I, K). Global 5mC levels (I), 5mC/5hmC ratio (K) and their regulation
 675 enzymes, including pDNMT (D) and pTET (H), are more susceptible to allergen challenge
 676 (irrespective of DE exposure) in AHR group compared to non-AHR group. LME model
 677 analysis was conducted. Data are presented as means \pm SEM. +: $p < 0.1$, *: $p < 0.05$, **: $p <$
 678 0.01 , ***: $p < 0.001$.

Fig 6.

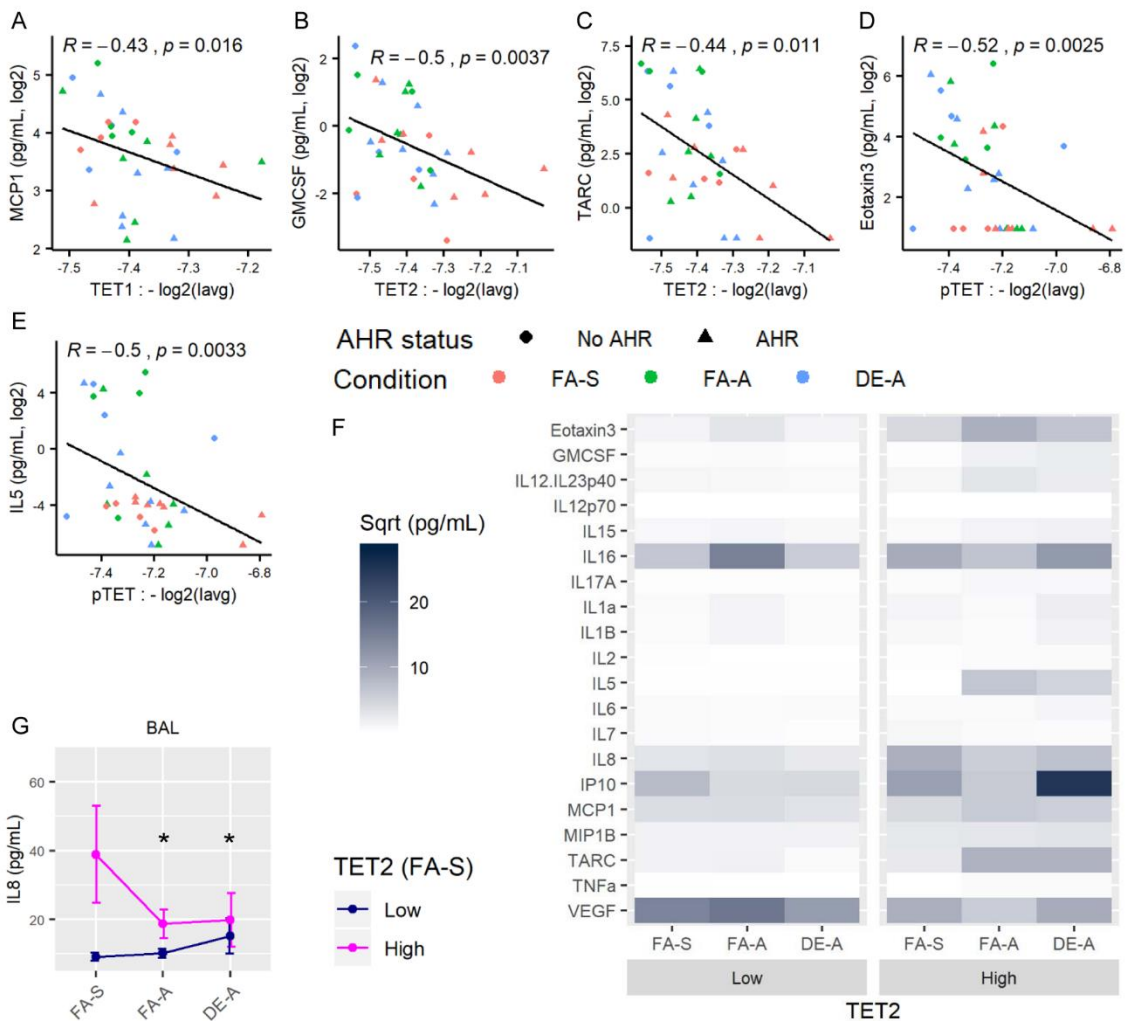


679

680 **Figure 6. DNMT and TET were correlated with lung function.**

681 Spearman's correlation was computed to analyze the association between DNAm biomarkers
682 and lung function at 48h post-exposure. The correlation analyses between FEV₁/FVC and
683 DNMT1 (A), DNMT3A (B), DNMT3B (C), and pDNMT (D) are shown. The correlation
684 analyses between delta FEV₁ and TET1 (E), TET2 (F), TET3 (G), and pTET (H) are shown.
685 Spearman's correlation coefficient R and p-values are shown. Y-axis values are expressed as
686 changes in FEV₁/FVC% or FEV₁% predicted from baseline (pre-exposure) measurements. The
687 X-axis shows the negative log₂(lavg). The points are shape-coded by AHR status and color-
688 coded by condition.

Fig 7.



689

690 **Figure 7. TET level was correlated with cytokines and chemokines in the bronchoalveolar**
 691 **lavage.**

692 Spearman's correlation was computed to analyze the association between TET level and
 693 cytokine and chemokine secretions in bronchoalveolar lavages (BAL). Correlation analyses
 694 between MCP1 and TET1 (A), GM-CSF and TET2 (B), TARC and TET2 (C), Eotaxin-3 and
 695 pTET (D), and IL-5 and pTET (E) are shown. Spearman's correlation coefficient R and p -
 696 values are listed. The x-axis shows the negative log₂(lavg). (F) Using the median of TET2 level
 697 in the negative control (FA-S), 11 participants were split into two groups, including a low TET2
 698 group ($n = 6$) and a high TET2 group ($n = 5$). The heatmap shows the cytokine and chemokine
 699 secretions following exposures grouped by TET2 levels. Concentrations are shown as the
 700 square root (Sqrt) of the raw data. (G) The exposure effects of FA-A and DE-A on IL-8 secretion
 701 in the BAL were modified by TET2 levels. LME model analysis where exposure condition-by-
 702 TET2 level (low TET2 vs. high TET2) interaction as the fixed effect was applied. $*p < 0.05$.
 703 The points are shape-coded by AHR status and color-coded by condition.

704

Table 1. Participants' characteristics and their pDNMT and pTET for *in vivo* study

ID	AHR	Sex	Age (yr.)	FEV ₁ % predicted	Allergen	pDNMT	pTET
1	Yes	F	23	84	Grass	3A	3
2	Yes	F	24	100	HDM	3B	3
3	Yes	F	32	111	HDM	3B	2
4	Yes	F	44	114	HDM	3A	2
5	Yes	M	25	107	HDM	3B	3
6	Yes	M	28	123	Birch	3A	3
7	Yes	M	33	86	Grass	3B	3
8	No	F	28	105	HDM	3B	3
9	No	F	46	97	Grass	3A	2
10	No	M	23	105	Grass	3B	3
11	No	M	30	108	HDM	1	3
Summary	7 Yes 4 No	6 F 5 M	30.6 ± 7.9*	103.66 ± 11.48*	6 HDM 4 Grass 1 Birch	6 DNMT3B 4 DNMT3A 1 DNMT1	8 TET3 3 TET2 0 TET1

705 *Mean ± SD.

706 AHR, airway hyperresponsiveness; yr., year; FEV₁% predicted, FEV₁% of the patient divided by the average
707 FEV₁% in the population for any person of similar race, sex, age, and height; pDNMT, predominant DNA
708 methyltransferase; pTET, predominant ten-eleven translocation; F, female; M, male; HDM, house dust mite; Grass,
709 pacific grass, Birch, birch pollen.