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9	Age-related decrements in cortical gyrification:
10	Evidence from an accelerated longitudinal dataset
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29	Abstract
30	Cortical gyrification has been found to decrease due to aging, but thus far this has only been
31	examined in cross-sectional samples. Interestingly, the topography of these age-related
32	differences in gyrification follow a distinct gradient along the cortex relative to age effects on
33	cortical thickness, likely suggesting a different underlying neurobiological mechanism. Here
34	I examined several aspects of gyrification in an accelerated longitudinal dataset of 280
35	healthy adults aged 45-92 with an interval between first and last MRI session of up to 10
36	years (total of 815 MRI sessions). Results suggest that age changes in sulcal morphology
37	underlie these changes in gyrification.
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39 Keywords: structural MRI; cortical folding; brain morphology; sulcal morphology

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Introduction

Over the past decade, brain imaging studies have demonstrated several gradients in activation 41 related to functional networks of regions (e.g., Margulies et al., 2016; Murphy et al., 2019; 42 Sormaz et al., 2018). Distinct cortical gradients in structural properties of the brain also exist, 43 44 such as in cortical thickness (e.g., Hogstrom et al., 2013; Madan & Kensinger, 2018; Salat et 45 al., 2004; Sowell et al., 2003) and myelination (e.g., Carradus et al., 2020; Glasser & Van Essen, 2011; Grydekand et al., 2013; Mangeat et al., 2015; Shafee et al., 2015). However, 46 one of the most identifiable characteristics of the human brain is its folding structure. Despite 47 macro-scale consistencies between individuals, everyone's cortical folding pattern is unique. 48 49 While these folding patterns change due to aging, the underlying process of this change is not well understood. 50

Elias and Schwartz (1969) developed a procedure to quantify cortical gyrification as a 51 52 ratio between the area of the total cortical surface relative to the 'exposed' surface. Here a stereological approach was used, though was limited by available technologies. This 53 methodology was later refined to use the pial and outer contour lengths estimated from 54 55 coronal sections by Zilles et al. (1988). The outlines shown in Figure 1A display this visually, with the pial length shown in blue and the outer contour length shown in green. The ratio of 56 these lengths provide a measure of cortical folding, referred to as the gyrification index. A 57 brain with a lower gyrification index will be smoother and less folded; a rodent's brain has a 58 59 much lower gyrification index than a human brain. Extending this procedure to 3D surfaces, 60 Schaer et al. (2008, 2012) developed an automated approach for calculating cortical gyrification from a surface mesh, through the generation of an outer surface that encloses the 61 gray matter surface generated by FreeSurfer (as shown in Figure 1B) along with the 62 corresponding surface measure of gyrification (Figure 1C). 63





Figure 1. Illustration of the calculation procedure for global gyrification. (A) From the
original T1-weighted volume, the outer contour of the gray matter is traced, and then
surrounded by a smooth enclosing surface. (B) When done as a 3D surface, this results in the
pial (blue) and enclosing (green) surfaces. (C) The gyrification index (GI) is the ratio of the
cortical surface area divided by the surface area of the enclosing surface.

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             As initially shown by Zilles et al. (1988) and replicated in more recent studies (e.g.,
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      Hogstrom et al., 2013; Madan & Kensinger, 2016), gyrification is relatively highest in the
      parietal and temporal lobes. Several studies have shown that global cortical gyrification
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      decreases with age in several cross-sectional samples (Cao et al., 2017; Hogstrom et al.,
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      2013; Lamballais et al., 2020; Madan & Kensinger, 2016, 2018; Madan, 2018). It has also
      been shown that the topography of these changes is distinct from cortical thickness, where
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      gyrification primarily decreases in the parietal lobe (e.g., Hogstrom et al., 2013; Madan &
      Kensinger, 2016, 2018). Cortical thickness, in contrast, primarily decreases in frontal and
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      temporal regions—with age related decreases associated with changes in cortical myelination
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82	affecting gray/white matter contrast as well as decreases in the dendritic density of pyramidal
83	neurons (Dickstein et al., 2007; Duan et al., 2003; Hao et al., 2007; Peters, 2002),
84	mechanisms distinct from age changes in gyrification. Further demonstrating the utility of
85	gyrification measurements, studies of patient populations have demonstrated differences in
86	gyrification relative to healthy individuals in relation to Alzheimer's disease (King et al.,
87	2010; Liu et al., 2012), schizophrenia (Cao et al., 2017; Palaniyappan & Liddle, 2012;
88	Palaniyappan et al., 2015), autism (Schaer et al., 2013), and major depression disorder (Cao
89	et al., 2017), among other psychiatric disorders. Within healthy samples, age-related
90	differences in cortical folding structure have also been associated with individual functional
91	differences (e.g., see Lamballais et al., 2020; McDonough & Madan, in press).
92	Using cross-sectional data, global gyrification has previously been estimated to
93	decrease by approximately 0.035 GI/decade (Madan & Kensinger, 2016; Madan, 2018),
94	though there is also a consideration of measurement validity. For example, in an analysis of
95	test-retest reliability, where ten sessions were conducted just 2-3 days apart (each), a mean
96	within-participant deviation of 0.04 was found (Madan & Kensinger, 2017). Moreover, plots
97	of cross-sectional data reveal a large amount of off-axis variability (i.e., age-unrelated)
98	indicating that, though reproducible, the link between gyrification and aging is relatively
99	weak and influenced by many other factors. Based on these considerations, a longitudinal
100	dataset with a larger interval between scans would be prudent for evaluating the influence of
101	aging on cortical gyrification.
102	Here I examine changes in cortical gyrification in an accelerated longitudinal sample

to directly examine cortical folding changes with age at the individual level, rather than in
cross-sectional datasets. While accelerated longitudinal samples have previously been used to
examine age changes in other morphological measures, such as cortical thickness (e.g.,
Storsve et al., 2014), this has yet to be done with gyrification. It is currently unclear how

gyrification changes with aging—for instance, is the decrease in gyrification in the parietal
lobe more evident because the parietal lobe has the highest gyrification? Moreover, does the
distribution of gyrification change with age?

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Methods

112 *Dataset*

Data from 280 healthy adults (aged 45-92) from the OASIS-3 (Open Access Series of 113 114 Imaging Studies 3) dataset (LaMontagne et al., 2019) were included in the analyses presented here. From the full dataset, participants were only included in the present analyses if four 115 conditions were met. (1) There must be at least two MRI sessions available with T1-weighted 116 117 volumes collected. (2) MRI data had to be collected using either the Siemens TIM Trio 3 T scanner or Siemens BioGraph mMR PET-MR 3 T scanner (less than 5% of the otherwise 118 119 available data were collected using one of three other MRI scanners). (3) There had to be an interval from first to last MRI session spanning at least three years. (4) From the clinical 120 sessions, there had a Clinical Dementia Rating (CDR) score of zero at every assessment. All 121 122 raw data is available from https://www.oasis-brains.org. Across the 280 included adults, the Mini-Mental State Exam (MMSE) was 123 administered in a total of 1904 clinical sessions, with between 2 and 20 clinical sessions per 124 125 participant ($M\pm SD = 6.70\pm 3.21$ administrations). Of the 1904 administrations, only four 126 yielded an MMSE score below 25. For two individuals, these sub-25 MMSE scores were 127 later followed by higher MMSE scores (here, scoring at least 29) on multiple subsequent

administrations. For the remaining two individuals, these were the last MMSE scores

recorded (for these specific individuals, there were 5 and 9 MMSE administrations,

130 respectively).

131	The interval between the first and last MRI session acquired for each participant
132	ranged from 3 to 10 years ($M\pm SD = 5.47\pm 1.91$ years). Of the 280 participants, 117 had two
133	MRI sessions, 96 had three sessions, 45 had four sessions, and 22 had five or more sessions
134	(to a maximum of seven). In total, 1138 T1-weighted volumes from 815 MRI sessions were
135	examined. If more than one T1-weighted volume was acquired during an MRI session, all
136	volumes were processed (see procedure below) and the gyrification estimates for the scans
137	were averaged. The same protocol was used for the other derived measures.
138	Structural data was collected using two different Siemens 3 T MRI scanners with
139	MPRAGE sequences: (1) 581 of the 815 MRI sessions were collected with a Siemens TIM
140	Trio 3 T scanner, with parameters: TR=2.4 ms; TE=3.2 ms; flip angle=8°; voxel size= $1 \times 1 \times 1$
141	mm. (2) The remaining 234 MRI sessions were collected with a Siemens BioGraph mMR
142	PET-MR 3 T scanner, with parameters: TR=2.3 ms; TE=3.0 ms; flip angle=9°; voxel
143	size= $1 \times 1 \times 1$ mm.

145 *MRI Processing*

All T1-weighted volumes were processed using FreeSurfer v6.0 on a machine running
RedHat 4.8.5-16 (Fischl, 2012; Fischl & Dale, 2000; Fischl et al., 2002). (Note, these are not
the same FreeSurfer outputs as publicly distributed from OASIS, which were estimated using
older versions of FreeSurfer.) All T1-weighted volumes were processed independently with
the standard FreeSurfer pipeline (i.e., recon-all), i.e., not using the longitudinal processing
pipeline, to allow the individual scan derived measures to be comparable in reliability to
previous cross-sectional studies.

Gyrification index was calculated using FreeSurfer, as described in Schaer et al.
(2008, 2012) and illustrated in Figure 1. This process involves generating an enclosing
surface which serves the same purpose as the outer contour used by Zilles et al. (1988) but

exists in 3D space. This enclosing surface involves a parameter of how 'tight' to bridge 156 157 across gyri, as opposed to falling into sulci. The default settings were used, based on validation work conducted by Schaer and colleagues. 158 Sulcal morphology, width and depth, was estimated for 16 major sulci, using the 159 160 calcSulc toolbox (Madan, 2019a). The sulci are the central, post-central, superior frontal, 161 inferior frontal, parieto-occipital, occipito-temporal, middle occipital and lunate, and marginal part of the cingulate sulci, in both the left and right hemispheres. Analyses here are 162 only conducted on the mean sulcal width and depth, averaging across the 16 sulci. 163 164 Anterior-posterior gradient in gyrification. The standard FreeSurfer pipeline results in 165 166 variation in the number of surface mesh vertices for each participant. To adjust for this, gyrification measurements for each MRI session were resampled to the common surface 167 168 space using FreeSurfer's spherical surface co-registration (mris_preproc; Fischl et al., 1999). This registration allows for vertex-wise comparisons in gyrification across participants, as 169 170 shown in Figure 2A. 171 This common surface space was then divided into 200 coronal sections, analogous to the contour procedure used by Zilles et al. (1988). This allows for mean gyrification to be 172 simplified into an anterior-posterior plot, as demonstrated in Figure 2B. Note that, however, 173 vertex-wise gyrification measures were first estimated based on the Schaer et al. (2012) 174 175 procedure that relies on 25-mm radius local region of interest. This results in a gyrification 176 index value for each vertex of the surface mesh, referred to as a local gyrification index. 177 Gyrification for each section is spatially autocorrelated with adjacent sections. To-date there

pattern using the FreeSurfer gyrification calculation implementation (i.e., the Schaer et al.

do not appear to be any publications that have examined the anterior-posterior gyrification

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180 [2012] approach).



Figure 2. Illustration of the calculation of the anterior-posterior gradient. (A) Individual 183 brain pial surfaces are used to generate local gyrification index topography (based on Schaer 184 et al., 2012), these are then resampled to the common space, through registration of the 185 individual pial surface to the FreeSurfer standard surface. (B) The local gyrification index is 186 then averaged across vertices for 200 coronal sections, shown for the same two example 187 surfaces as in panel A. 188

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- 191 **Statistics**
- Age-related changes in global gyrification were examined as the slope of decline in 192
- 193 gyrification with age. In subsequent analyses, the relative contribution of sulcal width and
- 194 depth, in explaining the age decrements in gyrification are evaluated using a mediation
- 195 analysis.

Results

197 Global gyrification

198 Before examining the topography of gyrification, I evaluated age changes in global

199 gyrification, which itself is yet to be examined in a longitudinal dataset. The decline in

200 gyrification was estimated using a linear mixed effects model to estimate the slope (allowing

201 for random intercepts for each participant; i.e., different starting points, but a common slope).

As shown in Figure 3, the fixed effect of age was statistically significant [t(813)=14.41,

203 p < .001] with a decreasing slope of 0.04291 GI/decade [95% C.I. = 0.03499-0.04603].

For comparison, a similar analysis was conducted on fractal dimensionality, another measures of cortical structure. The results of this analysis are reported in the Appendix.

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Figure 3. Age changes in global gyrification. Each coloured line represents an individual
participant, with each dot corresponding to an MRI session. The plot has been divided into
panels for each set of timepoints to improve readability. Dashed line present in all panels
show the decrease in global gyrification based on all data, estimated using the linear mixed
effects model.

213 Anterior-posterior gradient in gyrification

214 The results of this analysis are shown in Figure 4. Looking from anterior to posterior, the gradient gradually rises to a peak mid-way through the frontal lobe (approx. percentile 75), 215 216 followed by a relative plateau through the section that subtends the temporal lobe, with a 217 trough as the anterior-posterior section is increasingly represented by the parietal lobe 218 (percentile 37). A higher plateau peak subtends the parietal lobe, and then gradually declines 219 as gradient transitions into the occipital lobe (beginning from around percentile 15). 220 The middle and lower rows of Figure 4 show that while gyrification decreases globally, they are most pronounced in the parietal lobe. Further examination of the surface 221 222 topography can better differentiate gyrification in parietal and temporal regions. These aging results demonstrate that the overall distribution of gyrification does not change with age, it 223 224 merely diminishes in magnitude. 225



228 Figure 4. Gyrification index across posterior (percentile 0; caudal) to anterior

229 (percentile 100; rostral). The upper row illustrates the average gyrification across the

common surface space. Coloured labels denoting each of the four lobes (frontal: red; parietal:

green; temporal: blue; occipital: orange), insula (purple), and central sulcus (yellow) areincluded to aid in interpretation. An inflated cortical surface is shown on the right to help

visualise the relative extents of these regions, though a folded brain was used for the actual

analyses. The middle row shows the mean anterior-posterior gyrification for each age

between 50 and 80, inclusive; brighter colours denote regions of higher gyrification index.

This subset of ages was selected based on having sufficient sessions per age to use in the

estimation, as shown in the right panel. A total of 746 of the available 815 MRI sessions were

in this age range. The lower row shows the mean anterior-posterior gyrification for

individuals aged 50, 60, 70, and 80. Aging is associated with overall decreases in

240 gyrification, but these appear to be most pronounced in the parietal lobe.

241 Topography of gyrification

As gyrification is calculated as the ratio of areas of the cortical surface to an enclosing surface, the gyrification index is highest at the insula—as shown Figure 5A. Here I found a similar pattern in the present accelerated longitudinal dataset, as shown in Figure 5B. The decline in gyrification is most pronounced in the parietal lobe and posterior aspects of the frontal lobe. However, on individual cortical surfaces, even examining changes over nearly a decade, changes in the surfaces are only barely visible (see Figure 6).

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Figure 5. Topography of gyrification. (A) Mean gyrification, with the highest values
corresponding to the insula. (B) Age changes in gyrification, with the highest values

- corresponding to the parietal lobe and posterior aspects of the frontal lobe (i.e., primary
- motor and somatosensory regions). Values determined based on vertex-wise regression of
- local gyrification index with age.

Participant A [8-year interval]



Participant B [9-year interval]



Figure 6. Longitudinal age changes in cortical folding. Cortical surface reconstructions for

two participants, illustrating changes in folding over nearly a decade each. GI denotes

259 gyrification index. See Madan (2015) for details related to rendering the cortical surfaces.

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261 *Sulcal prominence*

262 Figure 6 shows that the overall pattern of cortical folding remains relatively consistent,

263 despite small reductions in the gyrification index. Visible from the MRIs themselves,

264 however, does indicate changes in the sulcal width and depth. Here I examined how well

- 265 global measures of sulcal morphology, both width and depth, can alternatively explain
- variability in gyrification. To test this, I conducted a multi-level mediation analysis on the
- 267 longitudinal global gyrification index measurements, with random intercepts for each
- 268 participant and based on all available timepoints.

269	Standardised parameter estimates for the model are shown in Figure 7, along with all
270	associated standard error (SE) estimates in parentheses. Age was significantly related to
271	increases in sulcal width [$a_1 = 0.463$ (0.028); $t(813)=16.41$, $p<.001$] as well as decreases in
272	sulcal depth [$a_2 = -0.362$ (0.022); $t(813)=16.76$, $p<.001$]. Gyrification index was significantly
273	related to both mediators, i.e., sulcal width and depth, and a significant direct effect of age
274	remained after the mediators were modelled [sulcal width: $b_1 = -0.105 (0.029)$; $t(813)=3.69$,
275	$p < .001$; sulcal depth: $b_2 = 0.676 (0.027)$; $t(813)=25.12$, $p < .001$; remaining direct effect of
276	age: <i>c</i> ' = -0.071 (0.020); <i>t</i> (813)=3.52, <i>p</i> <.001].

Mediation analyses indicated that both sulcal width and depth mediated the effects of 277 age on gyrification index [sulcal width: $a_1b_1 = -0.049$ (0.014); Sobel's Z=3.59, p<.001; sulcal 278 279 depth: $a_2b_2 = -0.245$ (0.018); Sobel's Z=13.94, p<.001]. The entire mediation model accounted for 49.9% of the variability in gyrification (i.e., R^2). Proportion-mediated effect 280 281 size estimates demonstrated that most of this variability was accounted for by sulcal depth (36.2%), with lesser proportions being explained by sulcal width (7.2%) and the remaining 282 direct effect of age (10.6%). Note that these summed values slightly exceed the total amount 283 of variability explained, as sulcal width and depth are not orthogonal. These results indicate 284 that age related decreases in sulcal depth largely account for the apparent changes in 285 gyrification, and both sulcal width and depth are more sensitive to effects of aging than the 286 gyrification index measure. 287



- **Figure 7. Path diagram for age effects on gyrification index, considering the mediators**
- 290 of sulcal width and depth. Standardised parameter estimates for the model are shown for
- each effect, along with standard error (SE) estimates in parentheses.

Discussion

293 From the global gyrification and anterior-posterior analyses, it is clear that the age decreases 294 in gyrification are gradual. Moreover, the anterior-posterior analysis was designed to evaluate 295 if these age changes in gyrification were related to shifts in the underlying distribution of 296 cortical folding, but this does not appear to be the case. Instead, there is a global decrease, 297 with some regions more affected than others, as highlighted in the topography analyses. Converging with prior cross-sectional studies, regions most affected by age related 298 gyrification changes are distinct from those regions affected by changes in cortical thickness. 299 300 While studies examining cross-sectional data suggest that global gyrification involves 301 a large degree of age-independent variability, the results of the longitudinal analyses here 302 show a relatively consistent age decline, distinct from differences in the y-intercept of the 303 gyrification index (i.e., Figure 3). Though changes in gyrification due to aging have not 304 previously been investigated using an anterior-posterior gradient approach, the overall gradient (i.e., the upper row of Figure 4) is relatively consistent with Zilles et al. (1988, Fig. 305 6). The overall topography, as shown in Figure 5, appears consistent with previously 306 307 published results (e.g., Schaer et al., 2008; Cao et al., 2017; Lamballais et al., 2020). As 308 discussed in the Introduction section, previous studies have demonstrated age differences in 309 gyrification, but as of yet, this has only been in cross-sectional samples (Cao et al., 2017; 310 Hogstrom et al., 2013; Lamballais et al., 2020; Madan & Kensinger, 2016, 2018; Madan, 311 2018).

312 Despite numerous prior studies reporting decreases in gyrification with age, these 313 studies provide little towards explaining the underlying mechanism. While a mechanism is 314 not presented here either, the current results provide some insight into a more specific 315 characterisation of how aging influences cortical folding. Many prior studies of brain 316 morphology have indicated that cortical surface area is not affected by aging (e.g., Hogstrom

et al., 2013; McKay et al., 2014; Storsve et al., 2014). This lack of influence of age on 317 318 cortical surface area measurements also rules out any systematic changes in the minor deformations in the folds along the gyri. More specifically, these minor folds could be 319 measured using other approaches, such as indices of the spatial frequency/power spectra of 320 321 cortical folding as providing information distinct from gyrification itself (as in Madan, 322 2019b). Age-related changes in cortical thickness (and volume) are often found, but follow from a distinct topography than have been reported for gyrification (e.g., Hogstrom et al., 323 324 2013; Madan & Kensinger, 2016, 2018; McKay et al., 2014). However, long before neuroimagers began to examine cortical thickness as an index of cortical atrophy, radiologists 325 have qualitatively assessed sulcal prominence-alternatively referred to as widening, 326 327 enlargement, or dilation—as a key measure of age-related atrophy (Coffey et al., 1992; Drayer, 1988; Huckman et al., 1975; Jacoby et al., 1980; Laffey et al., 1984; LeMay, 1984; 328 329 Pasquier et al., 1996; Roberts & Caird, 1976; Scheltens et al., 1997; Tomlinson et al., 1968; 330 Turkheimer et al., 1984; Yue et al., 1997). Indeed, by visual inspection, cortical atrophy is 331 much more readily assessed from sulcal features than from cortical thickness (see Figure 6). 332 Using current automated methods, sulcal morphology can also be quantitatively measured, where the width and depth of major sulci can be identified and estimated (e.g., 333 334 Kochunov et al., 2005; Madan, 2019a). Across a number of studies and samples (albeit generally with much smaller samples), sulcal morphology has been reliably associated with 335 336 age-related differences (Jin et al., 2018; Kochunov et al., 2005; Li et al., 2011; Liu et al., 337 2010, 2013; Madan, 2019a; Rettmann et al., 2006; Shen et al., 2018). The work presented 338 here provides additional specificity in how gyrification appears to decrease with age, a step 339 towards understanding the underlying mechanism. Here I show that gyrification changes can 340 be considered strongly related to changes in the underlying sulcal morphology, particularly 341 depth. However, despite this well-established relationship between sulcal morphology and

aging, the neurobiological mechanism underlying this change in the fundamental organisationof cortical folding remains unclear and a topic for further study.

More generally, this work adds to the growing literature demonstrating that the 344 availability of open-access MRI data can facilitate advances in our understanding of brain 345 346 morphology well beyond the goals of the researchers that originally collected the data (see 347 Madan, 2017, for an overview of benefits and considerations). It is worth acknowledging that the results presented here likely underestimate the extent that gyrification decreases with age. 348 349 Older adults that are interested and able to participate in a research study in their 80s and 90s are very likely to be a biased sample of individuals for their age cohort, demonstrating better 350 physical and mental health than many of their peers (i.e., an issue of external validity; see 351 Pearl & Bareinboim, 2014, and Keyes & Westreich, 2019, for more nuanced discussions). 352 353

354		Abbreviations
355	CDR	Clinical Dementia Rating
356	FD	fractal dimensionality
357	fMRI	functional magnetic resonance imaging
358	GI	gyrification index
359	MMSE	Mini-Mental State Exam
360	MRI	magnetic resonance imaging
361	OASIS	Open Access Series of Imaging Studies
362		
363		
364		Conflict of interest
365	The authors	s have no conflict of interests to disclose.
366		
367		
368		Data accessibility
369	All raw dat	a is available from <u>https://www.oasis-brains.org</u> .
370		
371		
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Appendix: Longitudinal changes in global cortical fractal dimensionality 564 565 Madan and Kensinger (2016) demonstrated that fractal dimensionality can be a more 566 sensitive measure of age-related differences in cortical structure than conventional measures, 567 including gyrification. To provide a complementary analysis, although tangential to the focus 568 of the current study, here I present the age-related longitudinal changes in fractal 569 dimensionality, from the same data as in the other analyses. To-date, longitudinal analyses of 570 fractal dimensionality have not yet been conducted, and these results serve as an initial 571 comparison between the gyrification results (e.g., participants in each panel are in the same line colour as in Figure 3). As expected from prior work, Figure A1 shows that there is less 572 off-axis variability (i.e., random y-intercepts) with fractal dimensionality than are 573 consistently found with gyrification. As with gyrification, a linear mixed effects model with 574 random slopes for each participant was calculated, with a significant age fixed effect 575 576 [t(813)=25.55, p<.001] with a decreasing slope of 0.01325 FD/decade [95% C.I. = 0.01223— 577 0.01426].

578 As fractal dimensionality is a summary statistic of the complexity of a structure, a 579 topographical analysis cannot be equivalently carried out as for gyrification—though fractal 580 dimensionality can be calculated for parcellated cortical regions.

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Figure A1. Age changes in global cortical fractal dimensionality. Each coloured line
represents an individual participant, with each dot corresponding to an MRI session. The plot
has been divided into panels for each set of timepoints to improve readability. Dashed line

586 present in all panels shows the decrease in global fractal dimensionality based on all data, 587 estimated using the linear mixed effects model. Compare with Figure 3.