

# The Three Hundred project: shapes and radial alignment of satellite, infalling, and backsplash galaxies

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## ABSTRACT

Using 324 numerically modelled galaxy clusters, we investigate the radial and galaxy–halo alignment of dark matter subhaloes and satellite galaxies orbiting within and around them. We find that radial alignment depends on distance to the centre of the galaxy cluster but appears independent of the dynamical state of the central host cluster. Furthermore, we cannot find a relation between radial alignment of the halo or galaxy shape with its own mass. We report that backsplash galaxies, i.e. objects that have already passed through the cluster radius but are now located in the outskirts, show a stronger radial alignment than infalling objects. We further find that there exists a population of well radially aligned objects passing very close to the central cluster’s centre that were found to be on highly radial orbit.

**Key words:** methods: numerical – galaxies: clusters: general – galaxies: formation – galaxies: haloes – large-scale structure of the universe – cosmology: theory.

## 1 INTRODUCTION

Weak gravitational lensing caused by the large-scale structure of the Universe (i.e. the ‘cosmic shear’) induces correlations in the observed shapes of galaxies. For surveys covering a large enough fraction of the sky, such a signal can then be used to obtain valuable information about structure formation in the Universe and eventually cosmological parameters. However, this requires a profound and detailed understanding of natural galaxy alignments, i.e. alignments not apparently induced by lensing but caused by other mechanisms such as, for instance, cosmic structure formation itself. This is in particular important at small scales, where the signal-to-noise ratio of weak lensing statistics is highest. Therefore, the last decade has seen a lot of work aiming at understanding the origin of galaxy alignments such as correlations between the galaxy and halo shape, the orientation of galaxy shapes with respect to their local cosmic web, and the relation between galaxy position and its shape. For an exhaustive introduction to the field and an overview

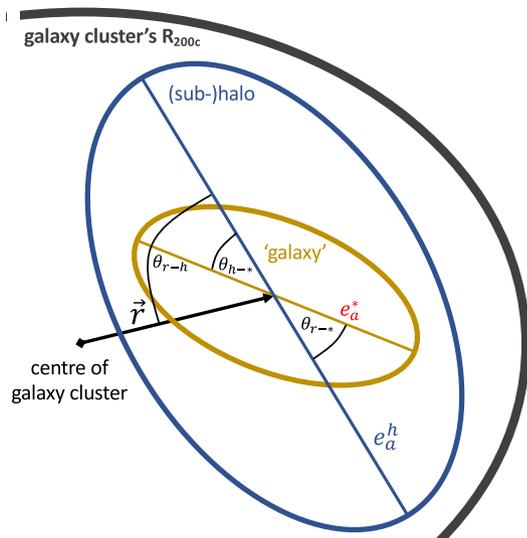
of all these phenomena, we refer the reader to the two elaborate review articles by Joachimi et al. (2015) and Kiessling et al. (2015).

In this work, we focus on the alignment of galaxies with respect to the host halo they orbit. This topic has already been addressed from three different perspectives: observations, analytical models, and numerical simulations. Here, we are going to concentrate our efforts on the latter. We further focus on one particular type of alignment, i.e. the ‘radial alignment’ (sometimes also referred to as ‘shape alignment’<sup>1</sup>). This alignment – as depicted in Fig. 1 below – measures the correlation between the major axis of the elliptical shape of a galaxy (or its dark matter halo) to its position with respect to the centre of the nearest larger object (which most commonly is the galaxy cluster in which the galaxy orbits). However, we will also briefly touch upon the ‘galaxy–halo alignment’ that evaluates the orientation between the shape of a galaxy and its own dark matter halo.

Radial alignment has been studied in the 2000’s by means of dark matter only simulations and an appreciable signal has been found for halo shapes (Kuhlen, Diemand & Madau 2007; Faltenbacher

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<sup>1</sup>In some observational studies, this is also referred to as ‘satellite alignment’.



**Figure 1.** Illustration of the eigenvectors and angles used for the study of alignments.

et al. 2008; Knebe et al. 2008a,b; Pereira, Bryan & Gill 2008). But to date only a few works exist that are based upon cosmological simulations that also include all the relevant baryonic effects. To our knowledge the only contributions including baryon physics are the studies of Knebe et al. (2010), Tenneti et al. (2015b), Velliscig et al. (2015b), and Chisari et al. (2017). However, Barber et al. (2015) used a semi-analytical modelling approach – as opposed to full physics hydrodynamical simulations – to investigate the alignment of dwarf spheroidal galaxies within the (dark matter only) ‘Aquarius’ simulation suite.

While radial alignment has been found in cosmological simulations whether subgrid physics have been included or not, the situation is less clear for observations. Parallel to the numerical modelling in the 2000’s, the utilization of early SDSS data confirmed that the major axis of galaxies preferentially points towards the centre of the cluster they reside in (e.g. Pereira & Kuhn 2005; Agustsson & Brainerd 2006). However, these findings have recently been challenged as the majority of newer observations tend to either indicate no such alignment (e.g. Hung & Ebeling 2012; Schneider et al. 2013; Chisari et al. 2014; Sifón et al. 2015) or only alignment for the most luminous galaxies (Singh, Mandelbaum & More 2015). However, very recent works not only reports that such a signal exists (Pajowska et al. 2019; Wang et al. 2019), but also detected a dependence on distance to the central object (Huang et al. 2018; Georgiou et al. 2019).

The radial alignment of satellites and the alignment between the dark matter halo and galaxies are important ingredients for toy models of galaxy shapes as found in large volume cosmological dark matter only simulations (Joachimi et al. 2013a,b), which in turn are being used to quantify the aforementioned contamination of weak lensing surveys. We add that the halo model for intrinsic alignment in weak lensing analyses assumes that satellites point towards central galaxies (Troxel & Ishak 2015), and it fits observations rather well (see e.g. Singh et al. 2015). The analysis presented here will hence help to understand how to improve these models using more realistic assumptions on the mass and scale dependence of the galaxy–halo alignment.

To investigate the presence (or not) of radial alignment in cluster galaxy members, we employ ‘The Three Hundred’ data set that

consists of regions of diameter  $30h^{-1}$  Mpc centred on the 324 most massive objects found within a cosmological dark matter only simulation of side length  $1000h^{-1}$  Mpc. Those regions have been re-simulated with GADGET-X, i.e. full physics hydrodynamical code for cosmological simulations based upon a modern SPH solver. For more details about both the code and the general data set, we refer the reader to the paper by Cui et al. (2018). When analysing our data we then put a special focus on the differences found for radial alignments of subhaloes, infalling, and backplash objects. We are particularly interested in contrasting the latter two populations, i.e. those objects that are approaching the host galaxy cluster for the first time and those that already passed through its radius.

The paper is structured as follows. In Section 2, we introduce our data set and the way we calculate halo and galaxy shapes as well as how to quantify alignment. In Section 3, we then present the distribution of shapes of our objects; we also briefly touch upon ‘galaxy–halo’ that measures the orientation of the shape of the stellar component with respect to that of the host halo it resides in. Our main results are then shown in Section 4 where we study the radial alignment and its relation to several other factors (e.g. distance to central galaxy clusters, etc.). We conclude in Section 5.

## 2 THE DATA

### 2.1 ‘The Three Hundred’ galaxy clusters

Our data constitutes ‘The Three Hundred’ theoretically modelled galaxy clusters.<sup>2</sup> The 324 objects – simulated using a so-called pseudo-zoom technique<sup>3</sup> – form a mass-complete set covering the range  $M_{200} \in [6.4, 26.5] \times 10^{14} h^{-1} M_{\odot}$  (at redshift  $z = 0$ ) and have been presented in Cui et al. (2018). One of the strengths of our data is that the size of our sample that allows statistically significant subsamples to be constructed. As all the details of the models can be found in either the introductory paper by Cui et al. (2018) or any of the other already published papers based upon this data (i.e. Wang et al. 2018; Ansarifard et al. 2020; Arthur et al. 2019; Mostoghiu et al. 2019; Haggard et al. 2020), we are only going to briefly highlight here the prime aspects of the GADGET-X code that has been used to model our data.

*GADGET-X* This is an advanced version of GADGET3 incorporating an improved SPH scheme with artificial thermal diffusion, time-dependent artificial viscosity, high-order Wendland C4 interpolating kernel, and wake-up scheme (Beck et al. 2016). Star formation follows the classical Springel & Hernquist (2003) prescription and is implemented in a stochastic way that leads to varying star particle masses of order  $m_* \sim 4 \times 10^7 h^{-1} M_{\odot}$ . Stellar evolution and metal enrichment is originally described in Tornatore et al. (2007) with further updates in Murante et al. (2010) and Rasia et al. (2015). It further implements the black hole growth and AGN feedback of Steinborn et al. (2015).

<sup>2</sup><http://www.the300-project.org>

<sup>3</sup>The 324 most massive objects found in the dark-matter only MDPL2 simulation (cf. <http://www.cosmosim.org>) have been selected and a  $15h^{-1}$  Mpc region about them populated with gas particles; the simulation was then re-run, but particles outside that region sequentially degraded in mass resolution. The re-simulation then modelled all relevant baryonic physics for those gas particles and hence we end up with a full halo and galaxy catalogue for each of the 324 central galaxy clusters within a  $15h^{-1}$  Mpc sphere.

## 2.2 Shape calculation

All our objects have been identified with the open-source halo finder AHF<sup>4</sup> (Gill, Knebe & Gibson 2004a; Knollmann & Knebe 2009). AHF locates density peaks in an adaptively smoothed density field of the simulation collecting particles gravitationally bound to it. In that process, it not only considers dark matter but also star and gas particles where for the latter the thermal energy is taken into account during the unbinding procedure. All objects (which might include stellar- and/or gas-only objects) with at least 20 particles are kept and a suite of integral properties calculated. Please note that while the radius of field haloes is calculated via  $M_{200c}(< R_{200c}) = 200 \rho_{\text{crit}} R_{200c}^3 4\pi/3$ , subhaloes could have their radius truncated earlier due to the embedding within the background density of their host halo. For AHF subhaloes, the radius (and hence the particles considered for the shape calculation) is eventually determined as the distance to the farthest gravitationally bound particle (see Knollmann & Knebe 2009).

For the calculation of the shapes (and the respective orientations of them), we are utilizing the so-called reduced moment of inertia tensor:

$$I_{i,j} = \sum_n m_n x_{i,n} x_{j,n} / r_n^2, \quad (1)$$

where  $x_{i,n}$  and  $x_{j,n}$  are the  $i$ th and  $j$ th component of the  $n$ th particle coordinate and  $r_n$  the particle's distance to the centre of the object; note that – due to the  $1/r^2$  weighting – the reduced version puts more emphasis on to the central region, which is especially important when aiming at determining the shape of the galaxy in the central part of the objects. Our determination of the eigenvalues  $a > b > c$  used for the shape determination is based upon a diagonalization of  $I_{i,j}$  which will also equip us with the corresponding eigenvectors  $\mathbf{e}_a, \mathbf{e}_b, \mathbf{e}_c$ . We define sphericity as the ratio between the smallest and largest eigenvalue:

$$s = \frac{c}{a}, \quad (2)$$

and primarily consider the major axis  $\mathbf{e}_a$  for the study of alignment. Note that we will distinguish two reduced moment of inertia tensors, one that is based upon *all* particles within the haloes' radius (incl. dark matter, gas, and star particles) and one that uses *only star particles* inside the halo. The corresponding sphericities and axes will be superscripted  $h$  (for 'halo', i.e. all particles) and  $*$  (for 'stellar', i.e. only star particles), respectively.

The determination of the halo centres might impact the measurement of the inertia tensor, especially for irregular-shaped haloes. We therefore like to mention that the centres returned by our halo finder are 'peaks in the density field'. And as the density contours are derived via an adaptive-mesh refinement technique (see Knollmann & Knebe 2009) they are arbitrarily shaped, perfectly following the actual density field. We therefore do not expect our density-based centre determination to be affected by irregularly shaped objects. The performance of the centre determination for spherical NFW haloes can, for instance, be seen in Knebe et al. (2011, fig. 2). Further note, there is only one centre for both the halo and 'galaxy' shape, i.e. the one based upon the whole matter density field (which includes the stars and gas).

We like to close with two remarks of caution. First, due to the spherical overdensity nature of our halo finder, shapes will be biased towards larger sphericities. An in-depth study of this situation has been presented in Bailin et al. (2005) where they find that

using spherical shells, rather than ellipsoids defined by isodensity contours, does not affect the orientation of the principal axes; it does, however, bias the derived axis ratios towards spherical. They further provide a formula to post-correct for this bias [E4.(4) in their paper, based upon their fig. 1]. In order to leave our own results as 'lower limits', we chose to not correct for the bias (but please see Fig. A4 in Appendix A). Secondly, using all particles when calculating the overall halo shape includes again the stellar particles and hence might give a biased estimate. But as has been shown in previous works, the calculation will be dominated by the dark matter – if applying an upper limit for the stellar-to-halo mass (SMHM) ratio – and hence this does not affect the results (cf. fig. 1 in Knebe et al. 2010). We finally remark that all our analyses will be performed in 3D to profit from the full information contained in the simulations.

## 2.3 Object selection

We consider all objects out to  $3 \times R_{200c}$  where  $R_{200c}$  is the radius of the central galaxy cluster and defined via

$$M(< R_{200c}) = 200 \rho_{\text{crit}} 4\pi R_{200c}^3 / 3. \quad (3)$$

Note that for all objects considered here the reference frame is given by the central galaxy cluster. In view of various technical limitations related to, for instance, the need to include a sufficiently large number of particles when calculating shapes, we are eventually not using all objects found by the halo finder. We are restricting our sample by applying several selection criteria detailed in the following.

### 2.3.1 Mass limit

AHF provides objects with as few as 20 particles. However, this number is too low to infer reliable shape measurements. While it has been advocated that 200–300 particles are sufficient (e.g. Pereira et al. 2008; Knebe et al. 2010; Velliscig et al. 2015b; Chisari et al. 2017), the convergence studies of Tenetti et al. (2014) and Hoffmann et al. (2014, figs A1 and A2) argue for a more conservative value of 1000 particles. We decided to only use objects with a stellar mass of at least  $M_* \geq 10^{11} h^{-1} M_\odot$ . Note, the stellar particles in GADGET-X have varying masses due to the nature of the implementation of subgrid physics, but our mass cut ensures we always have  $N_* \geq 1000$  and hence  $N_h > 1000$ , too.

### 2.3.2 Stellar-to-halo mass ratio limit

As mentioned in Section 2.2, our halo shape calculations are based upon *all* particles within the object and hence also include the star particles that are the ones used for the galaxy shape determination, too. But if the ratio between stellar mass  $M_*$  and halo mass  $M_{\text{halo}} = M_{\text{dm}} + M_* + M_g$  approaches unity,<sup>5</sup> this then entails that both halo and galaxy shape will be identical. To avoid this, we are restricting the SMHM ratio to be  $M_*/M_{\text{halo}} < 0.2$  (cf. fig. 6 in Cui et al. 2018).

### 2.3.3 Subsubhaloes

Our cluster simulations have high enough mass resolution to actually resolve subsubhaloes, i.e. haloes orbiting *within* the objects for which we determine alignment. But as our main focus lies with

<sup>5</sup>Remember that AHF finds all gravitationally bound particle aggregations and some of those are in fact made up of objects primarily consisting in stellar particles (see Mostoghiu & et al. 2020).

<sup>4</sup>AHF can be freely downloaded from <http://popia.ft.uam.es/AHF>.

**Table 1.** Number of subhaloes ( $N_s$ , first column), backplash ( $N_b$ , second column), infalling ( $N_i$ , third column) and all objects ( $N_{\text{all}}$ , fourth column) as found by the halo finder within  $3 \times R_{200c}$ , and successively applying our selection criteria, i.e. stellar mass threshold ( $M_* \geq 10^{11} h^{-1} M_\odot$ ), restriction of stellar-to-halo mass (SMHM) ratio ( $M_*/M_{\text{halo}} < 0.2$ ), removal of substructure, ‘exclusiveness’ ( $M_*^{\text{ex}}/M_*^{\text{in}} > 0.85$ ), and prolateness  $b/a$ . The last column features the range of halo masses covered by the selected objects.

Selection criterion	$N_s$	$N_b$	$N_i$	$N_{\text{all}}$	Range of halo masses $M_h$ ( $h^{-1} M_\odot$ )
None	144 651	66 050	148 286	358 987	$7.4 \times 10^8 - 1.1 \times 10^{15}$
Mass cut	3586	764	1840	6190	$1.1 \times 10^{11} - 1.1 \times 10^{15}$
+ SMHM ratio restriction	3073	752	1801	5626	$5.0 \times 10^{11} - 1.1 \times 10^{15}$
+ Substructure removal	2645	741	1551	4937	$5.0 \times 10^{11} - 1.1 \times 10^{15}$
+ ‘Exclusiveness’ restriction	2371	679	781	3831	$5.0 \times 10^{11} - 5.3 \times 10^{14}$
+ $b/a < 0.9$ selection	1767	373	306	2446	$5.0 \times 10^{11} - 5.3 \times 10^{14}$

the alignment of subhaloes with respect to the central galaxy cluster, we are removing those subsubhaloes from our study. They would need to be studied in the rest frame of their respective subhalo frame.

### 2.3.4 Inclusive versus exclusive particles

The particle content of all our AHF haloes is ‘inclusive’, meaning that substructure is considered part of its host halo. This implies that particles in the substructure also contribute to the shape of the host halo.<sup>6</sup> And even though the  $1/r^2$  weighting in the reduced moment of inertia tensor corrects for that, it might affect massive objects in the outer regions (i.e. beyond  $R_{200c}$ ) of the central galaxy cluster. Their sphericities  $s^{hl*}$  as determined using the ‘inclusive’ particles are smaller (i.e. less spherical) than the sphericity as measured by, for instance, only the innermost 20 per cent of particles.<sup>7</sup> In order to remove affected objects, we employ the following strategy: for the stellar component, AHF not only returns the inclusive  $M_*^{\text{in}}$  but also the exclusive mass  $M_*^{\text{ex}}$  for all objects. Therefore, the ratio  $M_*^{\text{ex}}/M_*^{\text{in}}$  provides us with a means to quantify the corruption of the shape calculation. Restricting this ratio to be larger than 0.85 leaves us with clean objects – although reducing it to even 0.5 does not affect any of the radial alignment plots presented below. We further remark that the stellar mass ratio criterion is more restrictive than the substructure fraction limitation.

### 2.3.5 Prolateness

For oblate objects (characterized by  $a \sim b > c$ ), there exist a degeneracy between the two major axes. Therefore, using angles that involve the major axis  $a$  might result in unclear signals unless a ‘prolateness criterion’ is applied. We therefore restrict the analysis to objects with axis ratios  $b/a < 0.9$  as advocated in Pereira et al. (2008) and Knebe et al. (2008a).

## 2.4 Object populations

For the remainder of the work, we will distinguish three distinct populations of objects defined as follows:

- (a) *subhaloes*: objects located at redshift  $z = 0$  inside  $R_{200c}$ ,
- (b) *infalling haloes*: these are objects that have not yet entered  $R_{200c}$  and are on their first passage towards the host cluster, and

<sup>6</sup>Note that what is considered ‘host’ halo here might as well be a subhalo of the central galaxy cluster.

<sup>7</sup>This has been verified for a small yet representative sample of affected objects by extracting their (inclusive) particles from the original simulation and calculating the radial profile of sphericity  $s^{hl*}(r)$ .

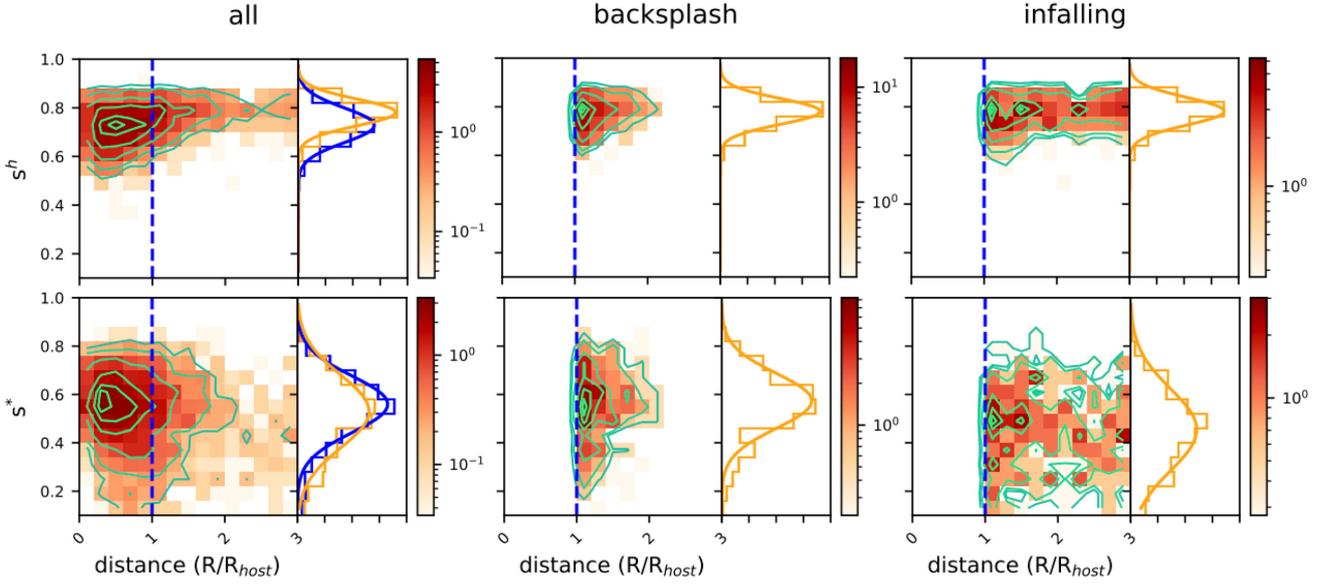
(c) *backplash galaxies*: these objects have already passed through  $R_{200c}$ , but are now outside  $R_{200c}$ ; please refer to Hagggar et al. (2020) for an exhaustive discussion of their properties.

This distinction allows us to check for and quantify the contribution of objects that already were under the (tidal) influence of the host halo to the radial alignment signal in the outskirts of the cluster.

In order to allow for this division, we are tracking the orbits of all our objects backwards in time as described in detail in a companion paper (Hagggar et al. 2020). In doing so, we also record the cases where a halo actually crosses  $R_{200c}$  multiple times. Note, an infalling object has not yet crossed  $R_{200c}$ , a subhalo normally crossed once, and a backplash galaxy twice. But we also find few instances of more than two crossings: 12 per cent of all the objects considered traversed  $R_{200c}$  more than twice, but 85 per cent of those eventually ended up as subhaloes at redshift  $z = 0$  (the other 15 per cent are obviously backplash haloes). We do not believe that it adds to the results to study them separately and hence refrain from doing so.

In all that follows, we are stacking our selected objects for all 324 central galaxy clusters. We summarize the reduction of the number of objects due to successive application of the selection criteria in Table 1. Besides listing the number of subhaloes (i.e. objects within  $R_{200c}$ , first column), we also give the number of backplash (second column) and infalling (third column) objects out to  $3 \times R_{200c}$ ; the fourth column is simply the sum of the three previous columns, and in the last column we provide the mass range of all objects. We like to remark that practically all backplash haloes are situated in  $[R_{200c}, 2R_{200c}]$  with approximately equal numbers of infalling objects in  $[R_{200c}, 2R_{200c}]$  and  $[2R_{200c}, 3R_{200c}]$  (cf. Hagggar et al. 2020). We notice that the most stringent selection is given by the stellar mass cut; all other cuts just marginally lower the number of objects. However, the exception to that rule is found for the infalling haloes when applying the ‘exclusiveness’ restriction. This criterion basically checks for substructure in the objects of interest, and the sharp drop when applying it to the infalling population indicates that nearly half of that population contains substructure and falls towards the main central galaxy cluster in groups, respectively. We will investigate such ‘group infall’ in more detail in a companion paper (Hagggar et al., in preparation).

For clarity, we like to briefly summarize the nature of the objects considered in the following analysis. Our reference frame for distances and velocities is the halo of the central galaxy cluster of which there are 324 in our THE THREE HUNDRED data set. Applying all the aforementioned selection criteria to all objects found within  $3 \times R_{200c}$ , we now distinguish between objects inside (subhaloes) and outside (either infalling or backplash) the central cluster’s radius  $R_{200c}$ . Each of these objects contains dark matter, stars, and gas. We then study the alignment of both the shape of the total and



**Figure 2.** Halo (upper panel) and stellar component (lower panel) sphericities as a function of distance to the centre of the (host) galaxy cluster. The panels to the right of each contour plot show the respective distribution of sphericities for objects inside (blue) and outside (orange)  $R_{\text{host}} = R_{200c}$  of the central host cluster. The vertical blue-dashed line corresponds to  $R_{200c}$ . While we use all objects in the left-hand panel, the data are restricted to backsplash (infalling) objects in the middle (rightmost) one. The colour bar encodes the number of objects in each bin.

stellar matter content with respect to position and velocity in the central cluster’s rest frame (referred to as ‘radial alignment’). We also check the relation between the shape of the total and stellar matter itself (referred to as ‘galaxy–halo’ alignment).

## 2.5 Alignments

Previous theoretical studies have reported that the distribution of the different structures found within galaxy clusters is not random. In fact, one can infer that there is a tendency of substructures to have their intrinsic shapes oriented towards preferential directions, which will depend on the environment that embeds them (e.g. Kuhlen et al. 2007; Faltenbacher et al. 2008; Knebe et al. 2008a, 2010; Pereira et al. 2008; Tenneti et al. 2015b; Velliscig et al. 2015b). To measure such alignments, it is common practice to approximate the ellipsoidal shape of the objects using the eigenvectors of the reduced moment of inertia tensor as defined by equation (1).<sup>8</sup>

But before studying and quantifying their orientations, we consider it important to clearly define the three types of alignments examined here. Therefore, to better visualize the situation and the actual angles to be investigated, respectively, we prepared Fig. 1. The sketch shows the example of a subhalo residing inside a larger host halo. However, only the relative distance of the subhalo is of relevance for our work and – as mentioned before – we also consider situations where the subhaloes are found at distances out to  $3 \times R_{200c}$ . The relevant vectors in the study of alignments are

(i)  $\hat{\mathbf{e}}_a^h$ : the major axis  $a$  as given by the eigenvector of the reduced moment of inertia tensor of the whole halo corresponding to the smallest eigenvalue,

(ii)  $\hat{\mathbf{e}}_a^*$ : the major axis  $a$  as given by the eigenvector of the reduced moment of inertia tensor of only the stellar component corresponding to the smallest eigenvalue, and

(iii)  $\mathbf{r}$ : the position vector of the subhalo in the rest-frame of the host halo.

The alignment angles – which without loss of information are considered to be only in the range  $\theta \in [0, \pi/2]$  – are then defined as follows

$$\begin{aligned} \theta_{r-h} &= \arccos \left| \hat{\mathbf{r}} \cdot \hat{\mathbf{e}}_a^h \right|, \\ \theta_{r-*} &= \arccos \left| \hat{\mathbf{r}} \cdot \hat{\mathbf{e}}_a^* \right|, \\ \theta_{h-*} &= \arccos \left| \hat{\mathbf{e}}_a^h \cdot \hat{\mathbf{e}}_a^* \right|, \end{aligned} \quad (4)$$

where the  $\hat{\cdot}$ -symbol indicates that the vector has been normalized to unity. The first two angles are quantifying ‘radial’ (or ‘shape’) alignment, which is the prime objective of our study; the last one measures what we already referred to as ‘galaxy–halo alignment’ and will be briefly investigated, too. Further note that we require  $b/a < 0.9$  in order to avoid oblate objects  $a \sim b > c$  for which alignment with  $\mathbf{e}_a$  cannot be properly measured.

## 3 SHAPES AND THEIR ALIGNMENTS

### 3.1 Shapes

The alignment of the haloes and galaxies can only be analysed in the presence of non-spherical objects. We therefore start with presenting some statistics on the shapes of the subhaloes and galaxies considered here. While a simple probability distribution could be considered sufficient, we prefer to also take into account the distance of our objects to the central galaxy cluster and their affiliation to one of our three object samples: subhalo, infalling, and backsplash, respectively. In Fig. 2, we therefore show the sphericity for haloes (upper panel) and ‘galaxies’<sup>9</sup> (lower panel) in relation to the normalized distance  $R/R_{\text{host}}$  to the centre of the central galaxy cluster with radius  $R_{\text{host}} \equiv R_{200c}$ . While the left column shows the

<sup>8</sup>Note that several studies also use the non-reduced moment of inertia tensor that is missing the  $1/r^2$  weighting.

<sup>9</sup>We loosely refer to the stellar component of our selected objects as ‘galaxy’ (cf. Section 2.3).

**Table 2.** Mean and standard deviation values of the distribution of stellar ( $\mu^*$ ,  $\sigma^*$ ) and dark matter ( $\mu^h$ ,  $\sigma^h$ ) shapes for our different haloes population.

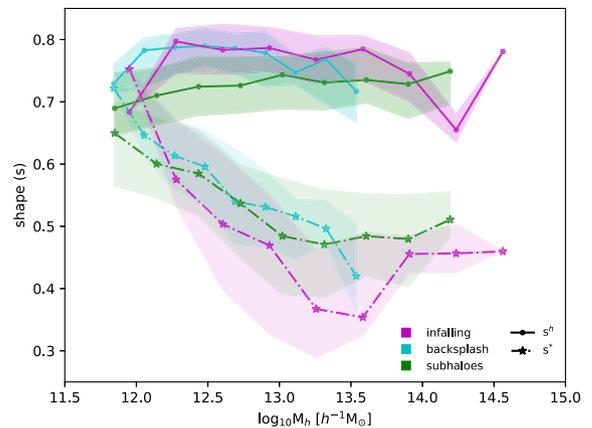
Object sample	distance	$\mu^*$	$\mu^h$	$\sigma^*$	$\sigma^h$
All haloes	$r < R_{200c}$	0.56	0.73	0.12	0.07
	$r \geq R_{200c}$	0.53	0.78	0.15	0.06
Backsplash haloes	$r \geq R_{200c}$	0.58	0.78	0.14	0.05
Infalling haloes	$r \geq R_{200c}$	0.46	0.78	0.17	0.06

shape distributions for all our objects, the other columns distinguish between backsplash (middle column) and infalling (right column) objects in order to check for possible effects that transits have on the shape of the different components under study. When comparing haloes and galaxies we observe that (a) all our objects are in fact non-spherical (as can be verified by the shape distributions given to the right of each contour plot), (b) the halo component follows a more spherical distribution than the galaxy one, and (c) the trends with distance are different, i.e. haloes become less spherical when located closer to the central galaxy cluster, whereas galaxies show no apparent correlation with distance; this trend is in agreement with results reported for other hydrodynamical simulations (e.g. fig. 8 in Tenneti et al. 2015a) and will not be quantified in more detail here. Instead, we contrast the results for subhaloes to those located *outside*  $R_{\text{host}}$ . Following previous works (e.g. Ryden 2004; Knebe et al. 2008a; Padilla & Strauss 2008), we fit the shape distributions to a simple Gaussian:

$$p(s) \propto e^{-(s-\mu)^2/2\sigma^2}, \quad (5)$$

where  $\mu$  measures the peak position (i.e. mean) and  $\sigma$  the width (i.e. standard deviation). The best-fitting parameters are listed in Table 2. The decreasing sphericity of haloes closer to the central galaxy cluster – as quantified by the distribution shifting towards lower  $s^h$  values and the marginal drop in peak position  $\mu^h$ , respectively – can be attributed to tidal interactions with the central galaxy cluster and the resulting tails of stripped material that will distort the shape (as some of those particles might still be bound to the subhalo). This is actually a trend opposite to the one found by Kuhlen et al. (2007), but in that work the shape has been measured at  $R_{\text{max}}$  (i.e. the position of the peak of the circular rotation curve and hence much further in than in our case, cf. Section 2.2) and their simulations are dark matter only. Kuhlen et al. (2007) actually expected the trend to be as the one found by us, and attribute their results to measuring the shape deep inside the halo. However, in contrast Vera-Ciro et al. (2014) found no distance relation for (sub)halo shapes when either measuring  $s^h$  at the halo’s radius or at  $R_{\text{max}}$ . The stellar component is still shielded by the halo and not as affected by tides as in the case of the halo – as witnessed by the smaller difference between the corresponding  $\mu^*$  values in the two different radial ranges. But it is interesting to note that both the infalling and the backsplash haloes appear to have very similar shape distributions, which is different to the one for the subhaloes. This is yet another indication of tidal effects acting on the subhaloes, but not (anymore) on the infalling (backsplash) haloes. The situation is, however, different for the stellar component: here the backsplash galaxies show a similar shape distribution to the satellites inside  $R_{\text{host}}$ , both being more spherical than the infalling objects. This could be attributed to tidal heating (during pericentre passage) of the stars leading to a more spherical configuration that is not ‘re-shaping’ and ‘re-adjusting’, respectively, like the halo when leaving the host cluster.

Even though we do not explicitly show it here, we confirm that we observe the same trends when investigating  $q = b/a$ , i.e. the

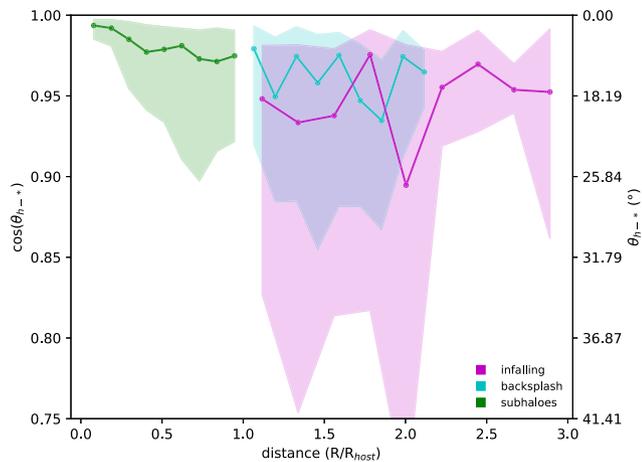


**Figure 3.** Halo and stellar component sphericities as a function of halo mass. In this and all subsequent plots, the values shown are the median in the respective bin with the error bars representing 25/75 percentiles.

ratio between semimajor and major axis of the objects. However, the average  $q$  values are approximately +0.1 (+0.2) larger for the haloes (stellar component).

There is quite a body of theoretical work in connection to the relation between the shape and halo mass including field haloes (Allgood et al. 2006; Hahn et al. 2007; Chisari et al. 2017; Chua et al. 2019), subhaloes (Kuhlen et al. 2007; Tenneti et al. 2014; Vera-Ciro et al. 2014; Barber et al. 2015; Velliscig et al. 2015a; Bhowmick et al. 2019), and also the stellar component (Tenneti et al. 2014, 2015b; Bhowmick et al. 2019). They all report a mild dependence of shape on halo mass in the sense that more massive haloes appear to be less spherical; Tenneti et al. (2014) even provide a fitting function for this relation. We also investigate such a correlation in Fig. 3 where we again separate our sample of objects into infalling, backsplash, and subhaloes (lines are medians with 25/75 percentiles error bars shown as transparent regions). While we confirm a mild trend for infalling and backsplash haloes, it appears that our subhalo shapes are independent of mass. To understand this, we have to bear several factors in mind. First and foremost – and as mentioned before in Section 2.2 – our shapes are biased towards larger sphericities given the nature of our halo finder. When applying the post-correction suggested by Bailin et al. (2005), we recover a correlation between shape and mass though still not as strong as reported in previous works and as given by the fitting function provided by Tenneti et al. (2014). But we also need to bear in mind that the definition of the radius  $R_{200c}$  of our central galaxy cluster that is used to define subhaloes is smaller than the virial radius by approximately a factor  $\sim 1.4$  (assuming an NFW profile for the central galaxy cluster with concentration  $c \sim 4$ ). When extending the definition of subhaloes to also include objects out to  $1.4R_{200c}$  as well as post-correcting our sphericities as  $s \rightarrow s\sqrt{3}$  we do in fact recover the relation advocated by Tenneti et al. (2014; see Fig. A4 Appendix A). When viewing the mass–distance relation for our objects (not shown here) we attribute this to an increase of halo mass with distance, i.e. the haloes in the outskirts of the central galaxy cluster are on average more massive than those found within  $R_{200c}$  and hence contribute with their less spherical shapes to the relation when measured at distances  $\geq R_{200c}$ .

The shape of the stellar component clearly depends inversely on halo mass, with a tendency for no correlation above  $M_h > 10^{13.5} h^{-1} M_\odot$ . We attribute this to the dependence of SMHM on actual halo mass that decreases for increasing halo mass for the objects under consideration here (as naturally expected, e.g. fig. 6

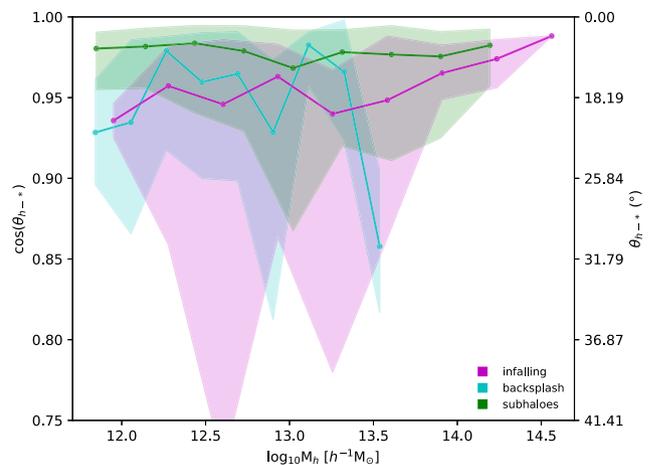


**Figure 4.** Median alignment between halo and stellar component as a function of distance to the (host) galaxy cluster; errors are again 25/75 percentiles. Values closer to unity are representative of a stronger alignment.

in Cui et al. 2018): for higher SMHM values the shape of the stellar component is more in agreement with that of its halo and hence more spherical (something confirmed by plotting the relation between SMHM and stellar shape, also not explicitly shown here). Further, when checking the distance dependence of the SMHM relation (not shown here, too) we find that – for objects situated close to the centre of the host cluster – it steeply increases towards our maximum allowed value (cf. Section 2.3). This is readily explained by tidally truncating the halo making it smaller and more comparable in size to the stellar component. This then leaves the latter ‘un-shielded’ and hence prone to tidal heating. But it does not explain why we find the same (stellar) shape–halo mass trends for the infalling and backplash population. For the latter two samples, we find that neither halo nor stellar mass depend on distance leaving the median SMHM constant. But we observe the same halo mass trend for their SMHM relation and hence conclude that the same explanation applies, i.e. for higher SMHM values the stellar shape more closely follows the halo shape.

### 3.2 Galaxy–halo alignment

Even though our prime focus lies with the radial alignment, we nevertheless also investigate how the stellar component orients itself with respect to its own host halo as this provides the link between halo and ‘galaxy’ radial alignment. For this purpose, we study the alignment between the major eigenvector of the reduced inertia tensor of the stellar component ( $\mathbf{e}_a^*$ ) and that of the halo they reside in ( $\mathbf{e}_a^h$ ), an alignment referred to as ‘galaxy–halo’ alignment. The result can be viewed in Fig. 4 where we show the median  $\cos\theta_{h-*}$  as a function of distance of the object to the central cluster (errors are again 25 and 75 percentiles). As before, we separate our object sample into the three populations introduced in Section 2.3. We observe for all populations that the major axis of the stellar component aligns very well with the major axis of its halo, as previously reported in other works (Tenneti et al. 2014, 2015a; Chisari et al. 2015; Velliscig et al. 2015b; Tenneti, Gnedin & Feng 2017). A (mild) radial dependence can only be observed for subhaloes, indicating an even better alignment of stellar and halo shape for galaxies situated closer to the cluster’s centre. Such a trend can be explained by the fact that – due to tidal stripping – the shape of haloes closer to the centre of the cluster is determined



**Figure 5.** Alignment between halo and stellar component as a function of halo mass. Values closer to unity are representative of a stronger alignment.

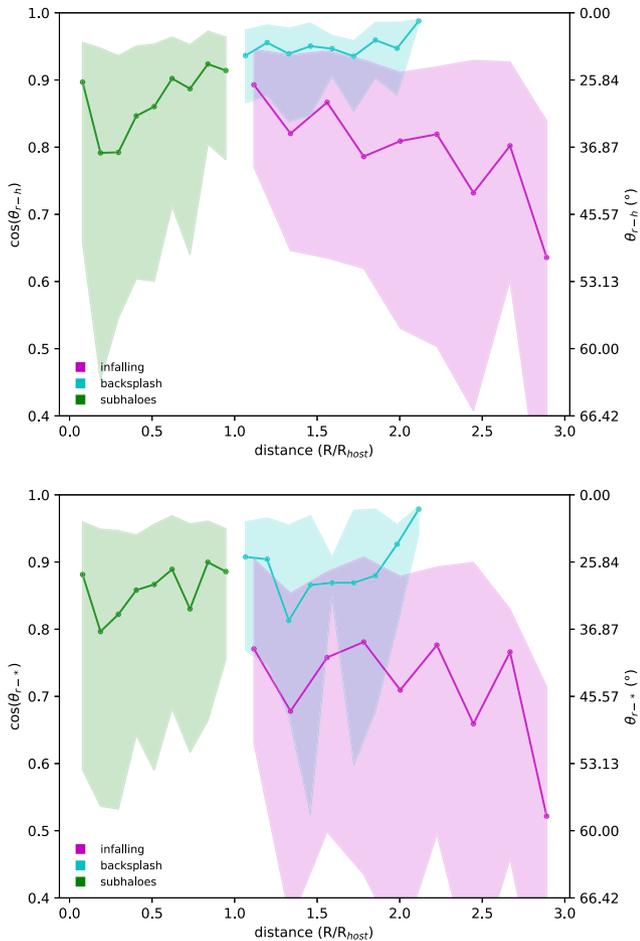
by its innermost part. And – as has been previously shown (e.g. Bailin et al. 2005; Velliscig et al. 2015a) – the alignment between the stellar and dark matter component increases when considering the central region of objects, i.e. a tidally truncated halo shows stronger galaxy–halo alignment. This improved alignment between the shapes of the stellar and halo component for subhaloes relates back to an artificially increased SMHM ratio. Please refer to a more elaborate discussion of this phenomenon in Appendix A.

Infalling and backplash objects show no significant radial dependence. But even though a fair fraction of the backplash objects entered as deep as  $0.1\text{--}0.2R_{\text{host}}$  into the central cluster (cf. fig. 1 in Haggard et al. 2020), we do not observe that the alignment found for subhaloes is preserved when they exit the host again. This is indicative of differing mechanisms re-adjusting stellar and halo alignment while orbiting, e.g. the halo is more prone to be affected by (and hence re-aligned to) the cluster’s potential than the central stellar component.

We can further see – as shown in Fig. 5 – that the alignment between the stellar component and its halo does not depend on halo mass – at least not for subhaloes. Previous studies (i.e. Tenneti et al. 2014; Velliscig et al. 2015a; Chisari et al. 2017; Xia et al. 2017; Bhowmick et al. 2019) state that lower mass objects tend to show marginally less alignment, as the stellar component becomes more spherical for lower halo masses hence making it more difficult for alignment to be quantified. However, we only observe such a tendency for the infalling population that has not yet experienced any influence of the central galaxy cluster.

## 4 RADIAL ALIGNMENT

We now turn to the study of radial alignment, i.e. the alignment of the shape of an object with respect to its positional vector in the rest frame of the central galaxy cluster. Previous analyses of the alignment of the major axis of dark matter (sub)haloes have shown that the major axis  $\mathbf{e}_a$  is in fact preferentially oriented towards the centre of the (host) galaxy cluster (e.g. Kuhlen et al. 2007; Faltenbacher et al. 2008; Knebe et al. 2008b, 2010; Pereira et al. 2008). However, there are to date only a few studies that made use of full physics hydrodynamical simulations investigating this phenomenon also for ‘galaxies’ (Chisari et al. 2017) or even ‘satellite galaxies’ (Knebe et al. 2010; Barber et al. 2015; Tenneti et al. 2015a; Velliscig et al. 2015b). Further, so far there is no study



**Figure 6.** Median radial alignment – as defined by equation (4) using 25/75 percentiles as the error bars – of dark matter (upper panel) and stellar (lower panel) objects as a function of distance to the central galaxy cluster.

that distinguishes between infalling and backplash objects. This work now aims at adding to this by analysing radial alignment in cluster environments and the different factors that may play a role.

#### 4.1 Dependence on distance to the centre of the host cluster

In Fig. 6, we show the dependence of radial alignment of dark matter haloes (upper panel) and galaxies (lower panel) on their relative distance to the central galaxy cluster. We clearly see that there is a difference between the radial alignment signals for our three object samples. Regarding subhaloes, we can distinguish – for both the stellar and dark matter component – between two different regimes: while they are highly aligned at the edge of the host galaxy cluster (i.e.  $R \sim R_{\text{host}}$ ), this alignment tends to decrease as they approach to the host centre, having their smallest value at  $R \sim 0.2R_{\text{host}}$ . This indicates that pre-aligned subhaloes probably have large infall velocities leaving no time for shape readjustment when approaching to the centre (Pereira et al. 2008; Knebe et al. 2010, see also Fig. A3 in Appendix A). However, at distances  $R \leq 0.2R_{\text{host}}$  we see that radial alignment sharply increases again.<sup>10</sup> This change of trends can be explained by radial versus circular orbits of subhaloes –

<sup>10</sup>We have explored and excluded the possibility that this rise is a numerical artefact due to our binning procedure.

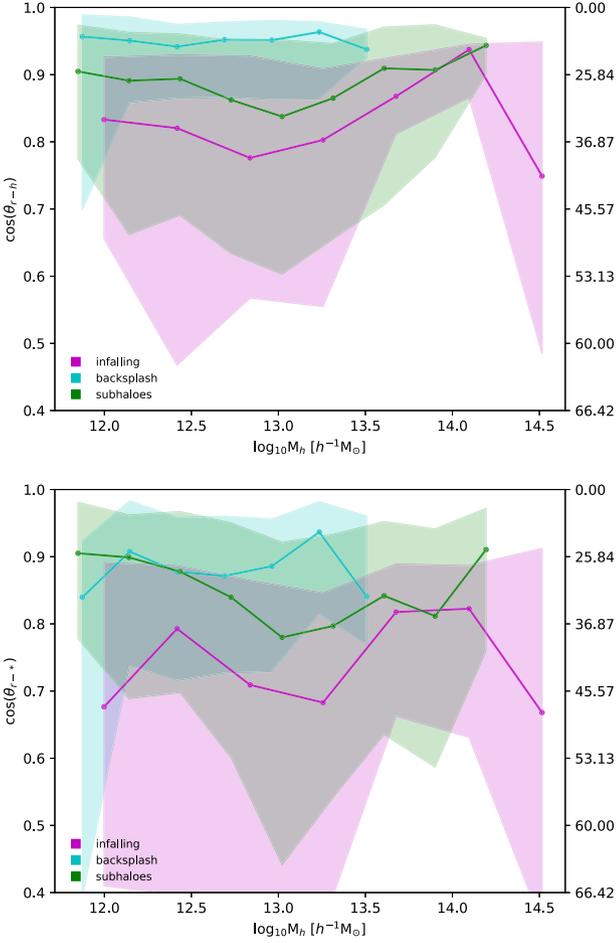
something we will study in Section 4.3 when quantifying different relations between the bulk velocity  $\mathbf{v}$  and their positional vector  $\mathbf{r}$  of our structures. Only subhaloes on radial orbits reach as deep into the central cluster’s potential as  $R \leq 0.2R_{\text{host}}$ . If they now have their shapes aligned upon infall this alignment then this persists even at these small distances. A clear indication of the re-adjustment of the subhalo shapes aligning themselves with the positional vector is the rather strong signal found for the backplash population: the alignment is preserved when exiting the host cluster again.

In the case of infalling objects, we can see that radial alignment for both stellar and dark matter components increases with decreasing distance to the host centre, nearly matching up with the level found for subhaloes at  $R_{\text{host}}$  – at least for the halo, not for the stellar component though. This offset in the signal for haloes (upper panel) and galaxies (lower panel) relates back to the marginally larger misalignment between those two components for infalling objects as seen in Fig. 4. At even larger distances from the galaxy cluster, the radial alignment of objects approaching the central cluster tends to decrease even more – which only appears to be natural. In fact, when extending the study to distances as far as  $13 h^{-1}$  Mpc, i.e. close to the edge of the high-resolution region in which the central galaxy cluster resides, we find that any signal of radial alignment approaches  $\cos \theta \sim 0.5$ , as expected for a random distribution. As pointed out by Pereira et al. (2008), the alignment already seen at distances  $R \leq R_{\text{host}}$  can be a consequence of a primordial alignment of (sub)haloes with respect to the filaments at which they form (e.g. Ganeshaiiah et al. 2018). They are then accreted on to galaxy clusters that lie at the intersection of such filaments only enhancing the aligning by re-adjusting their shape towards the cluster.

Up to now, we have treated all our central galaxy clusters equally not distinguishing between relaxed and un-relaxed objects. But as seen by Mostoghiu et al. (2019), such a separation might reveal interesting insights. We therefore also split the sample of galaxy clusters using the same criterion as presented in Cui et al. (2018; section 3.1.2). However, we defer from showing the results here as we cannot confirm any clear relation to the dynamical state of the galaxy cluster: neither have the trends already seen in Fig. 6 changed nor does the actual strength of the signal differ for relaxed and un-relaxed host clusters. This might be attributed to the fact that relaxation is temporary and changes quickly. But we also need to remember that we infer the cluster dynamical state mainly by its dark matter component, see indicators in Cui et al. (2018), and these are not strictly related to the galaxy distribution.

#### 4.2 Dependence on halo mass

We have seen in Fig. 5 that the alignment between the shape of the stellar component and its halo shows no prominent correlation with halo mass – at least not for subhaloes and backplash galaxies. We now confirm in Fig. 7 that radial alignment as a function of halo mass shows in general but weak trends: for subhaloes we might infer a minute increase of alignment for objects with  $M_h < 10^{13} h^{-1} M_{\odot}$ . We therefore conclude that the mass of the object only plays a minor role in observations of radial alignment, in agreement with Tenneti et al. (2015b, right-hand panel of fig. 14). Further, splitting the host galaxy clusters into relaxed and un-relaxed systems (using the criteria specified in Cui et al. 2017, 2018) does not reveal any relation to the dynamical state of the host, and hence we decided to omit such a plot for clarity.



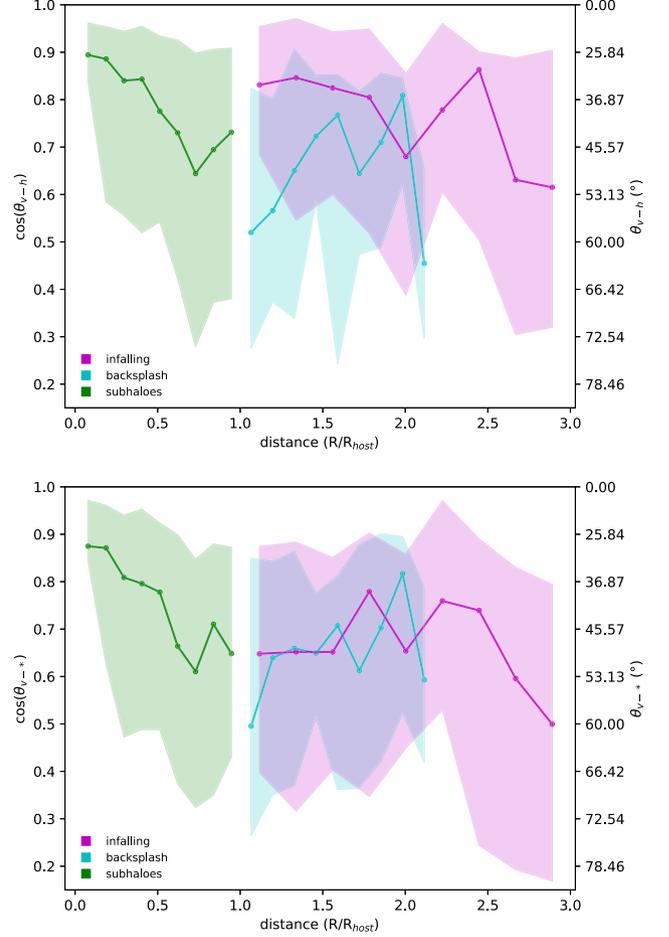
**Figure 7.** Median radial alignment of the dark matter ( $\theta_{r-h}$ ; upper panel) and stellar ( $\theta_{r-*}$ ; lower panel) component of objects as a function of their halo mass (error regions are again 25/75 percentiles).

### 4.3 Relation to object velocity

In Fig. 6, we have seen that – for both the stellar and halo shapes – the radial alignment signal for subhaloes weakens for objects in the distance range  $R \sim R_{\text{host}} \rightarrow 0.2R_{\text{host}}$ . Previous works (e.g. Faltenbacher et al. 2008; Knebe et al. 2010) attributed this to tidal torquing and the fact that these objects – presumably on bound orbits – move too fast at their pericentre for radial alignment to be effective, i.e. the subhalo does not have enough time to re-arrange its shape at pericentre passage. However, we have also seen that the radial alignment strengthens when considering even smaller distances  $R < 0.2R_{\text{host}}$ . But this certainly requires a different explanation. We therefore will have a closer look at the velocities of our objects and show in Fig. 8 the alignment between the object’s bulk velocity vector  $\mathbf{v}$  (in the rest frame of the central host galaxy cluster) and its major axis  $\mathbf{e}_a^{h/*}$ , i.e.

$$\theta_{v-h/*} = \arccos |\hat{\mathbf{v}} \cdot \hat{\mathbf{e}}_a^{h/*}|, \quad (6)$$

where the  $\hat{\cdot}$ -symbol again indicates a normalized vector. For subhaloes, the plot shows a clear tendency for these two vectors to be aligned as they approach to the host centre, both for their halo and stellar components (as expected from Fig. 4). This then certainly explains the drop in radial alignment towards  $0.2R_{\text{host}}$ , but not the subsequent rise again. We conjecture that this rise has to be caused by a population of subhaloes with different orbital

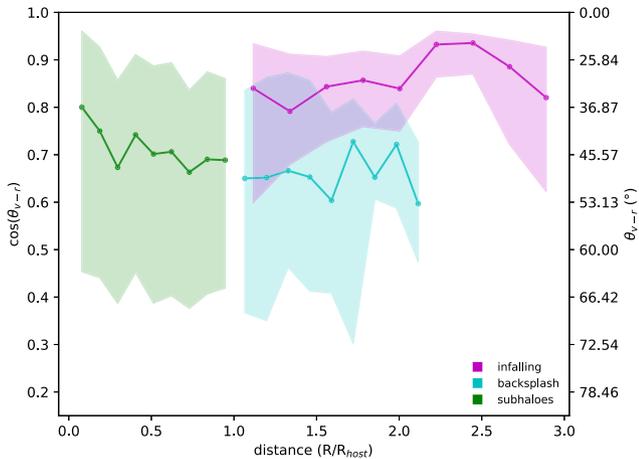


**Figure 8.** Alignment of the halo (upper panel) and stellar (lower panel) component with the bulk velocity vector of the halo in the rest frame of the central galaxy cluster. Values closer to unity are representative of the object’s major axis  $\mathbf{e}_a$  being aligned with the direction in which the object is moving.

properties. In general, we expect subhaloes to be on bound orbits with eccentricities  $p = 1 - p/a \sim 0.6$  where  $p$  and  $a$  are peri- and apo-centre, respectively (cf. fig. 7 in Gill et al. 2004b). Hence, velocity and position vector will not be aligned: if they were aligned, it would mean that the subhalo is on a highly radial orbit with  $p \rightarrow 1$ . We therefore check in Fig. 9 for exactly this alignment, i.e. the plot shows

$$\theta_{v-r} = \arccos |\hat{\mathbf{v}} \cdot \hat{\mathbf{r}}|, \quad (7)$$

where both  $\mathbf{v}$  and  $\mathbf{r}$  are given for the whole halo in the rest frame of the central galaxy cluster. We can clearly see that there is a significant difference between the results obtained for each of our halo populations. Focusing first on the subhaloes, we find that those objects that are closer to the host centre and with distances  $R \leq 0.2R_{\text{host}}$ , respectively, show an increase in the velocity–position alignment indicative of more radial orbits. That then explains why we found the increase of radial alignment in the same distance regime: the objects are plunging into the central galaxy cluster with their major axis  $\mathbf{e}_a$  aligned with the flight path. The same can be observed for the infalling haloes further supporting the scenario in which radial alignment of haloes at large distances is a consequence of the accretion on to galaxy clusters through the large-scale structure: they are on highly radial orbits yet gradually developing a radial



**Figure 9.** Alignment between the bulk velocity vector and the radial position vector of the haloes in the rest frame of the central galaxy cluster. Values closer to unity are representative of a stronger alignment.

alignment of their shape (as observed in Fig. 6). But subhaloes in the radial range  $R \in [0.2, 1.0]R_{\text{host}}$  show a weaker velocity–position alignment that is then due to moving on more regular orbits with  $p \sim 0.6$ . We finally notice that splashback haloes show the least velocity–position alignment more or less matching the signal for subhaloes at  $R_{\text{host}}$  – in agreement with the results found for the radial alignment in Fig. 6: the splashback haloes are keeping their orientations pointing towards the host centre while being on trajectories closer to circular than the infalling population. This significant difference between the alignment signals of infalling and splashback (sub)haloes makes this analysis useful for separating them.

We close this subsection with the remark that when dividing our host clusters into relaxed and un-relaxed central galaxy clusters we find that our results are once more independent of the dynamical state of the host cluster.

## 5 CONCLUSIONS

Using the mass-complete sample of 324 numerically modelled galaxy clusters provided by ‘The Three Hundred’ collaboration,<sup>11</sup> we investigate how the shape of haloes and galaxies orbiting in and about the central cluster orients itself with respect to position. To this extent, we use the stellar component of a halo as a proxy for ‘galaxy’. We further separate our objects of interest into three distinct populations: (1) subhaloes, (2) infalling, and (3) splashback objects. After applying very conservative selection criteria to the general pool of objects aiming at minimizing numerical artifacts, we find for the shapes that

- (i) splashback and infalling *haloes* have similar shapes that are more spherical than those of subhaloes, and that
- (ii) splashback and satellite *galaxies* have similar shapes that are more spherical than those of infalling galaxies.

Regarding the radial alignment as measured by the angle between the eigenvector  $\mathbf{e}_a$  corresponding to the largest eigenvalue of the (reduced) moment of inertia tensor and the position vector in the rest frame of the central galaxy cluster we find

- (i) stronger radial alignment for splashback than infalling haloes,
- (ii) equal radial alignment for splashback and subhaloes at  $R_{200c}$ ,

(iii) radial alignment of subhaloes decreases towards the centre due to the faster movement at pericentre and hence lack of time for shape re-adjustment, but

(iv) radial alignment actually increases in the very central regions of galaxy clusters  $R \leq 0.2R_{\text{host}}$  that we attribute to radially aligned objects infalling on highly radial orbits,

(v) halo and galaxy radial alignment trends are following each other due to a strong alignment of both halo and galaxy shape, and eventually that

(vi) radial alignment does not depend on halo mass.

When additionally dividing our sample of central galaxy clusters into relaxed and un-relaxed objects (following the same criterion as presented in Cui et al. 2018), we cannot find any influence of the dynamical state on the aforementioned results. This likely relates to the fact that relaxation is temporary and changes quickly.

Both the differences between radial alignment (Fig. 6) and velocity–position alignment (Fig. 9) for splashback and infalling objects can serve as a means to actually distinguish these two distinct populations. However, we need to bear in mind that this analysis has been performed in 3D and the applicability of this finding in an observer’s frame in 2D remains to be shown. We leave a projection of the simulation data into an observer’s plane to a future study where we will eventually also utilize galaxy colours. Further, while this study is only based upon present-day data, we will extend it to higher redshifts in a follow-up work. There we will then also trace back the objects quantifying the change in radial alignment of individual objects and investigate possible links to the cosmic web in the vicinity of the central galaxy cluster.

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<sup>11</sup><http://www.the300-project.org>

<sup>12</sup><https://www.the300-project.org>

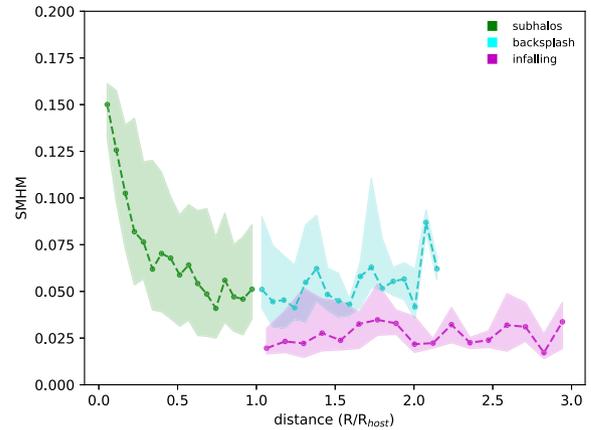
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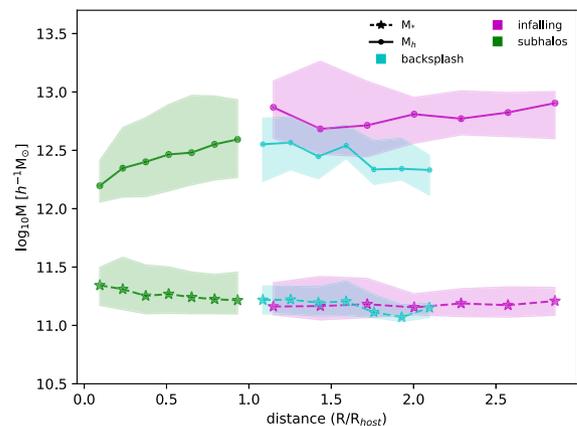
## APPENDIX A: SUPPLEMENTARY PLOTS

In this Appendix, we provide complementary plots that support some of the statements made in the main text.

We like to return to the point of what causes the rise in alignment between galaxy and halo shape as seen for objects closer to the



**Figure A1.** SMHM ratio as a function of normalized distance to the host.



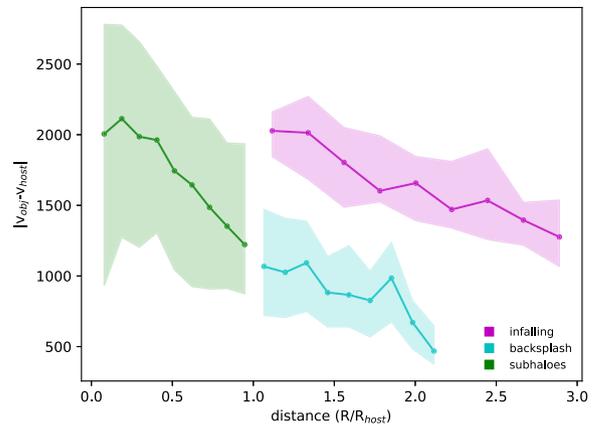
**Figure A2.** Median halo mass as a function of normalized distance to the host.

position of the central galaxy cluster (cf. Fig. 4). If dark matter has been stripped off, then the increased alignment observed for distances  $\leq 0.5R_{200c}$  should be preserved for backplash galaxies. But for those objects we find again an alignment in agreement with subhaloes located at  $\sim R_{200c}$ . We speculate that the apparent stripping of material for haloes  $\leq 0.5R_{200c}$  is a mere numerical artefact. To shed light into this, the SMHM ratio as a function of distance is presented in Fig. A1. We can clearly see how the SMHM ratio increases towards the centre, and that it is continuous at  $R_{200c}$  for subhaloes and backplash, as expected. We accompany this plot (Fig. A2) that shows the median mass as a function of distance. Both plots taken together suggest that while the stellar component of objects does not depend on distance, the total mass gets smaller for objects closer to the position of the central galaxy cluster.<sup>13</sup> But when the objects leave the deep potential well (i.e. backplash galaxies) the halo mass rises again. This phenomenon – that surely is of numerical nature – has been discussed in great detail in, for instance, Knebe et al. (2011, fig. 7), Onions et al. (2012, fig. 7), and Knebe et al. (2013, fig. 11) where it also has been shown to primarily affect subhaloes  $< 0.2R_{200c}$ . We also observe above that the SMHM is lowest for infalling due to lack of processing. And, we attribute the erratic behaviour for backplash objects at the largest distances they can have to small number statistics: there are a mere six objects in the last two bins. However, we also note that those farthest backplash galaxies have the lower masses than the other backplash objects indicative of actual stripping.

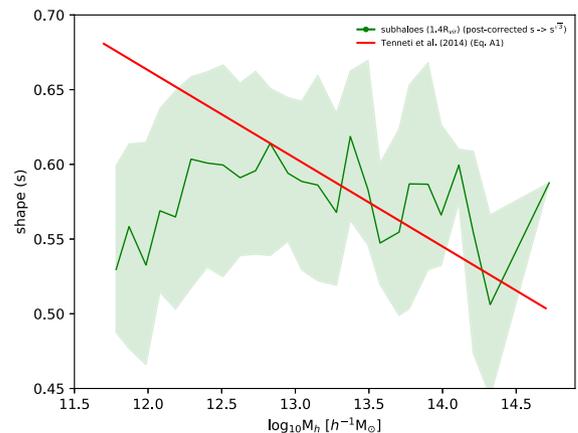
When discussing Fig. 6 in Section 4.1 we argued that subhaloes that reach very close to the cluster centre should have large infall velocities and that they do not have time to readjust their orientation towards the centre. But we also might expect an old population of objects close to the centre, having reached this far in due to dynamical friction. Additionally, their orbits should have experienced circularization (e.g. Hashimoto, Funato & Makino 2003; fig.10 in Gill et al. 2004b; Reed et al. 2005). But in that case their (circular) velocities should be comparatively small (as can be verified by calculating the circular velocity profile for an NFW cluster-sized halo again), and they should also have their velocity vector misaligned with the position vector. The latter is excluded by Fig. 9 and the former by the supplementary Fig. A3 provided here. Fig. A3 shows the median velocity as a function of distance clearly indicating a substantial rise towards the centre of the host cluster.

We finally provide here a plot that compares our own data to the fitting function of Tenneti et al. (2014, equation A1) when post-correcting our ‘spherical’ shapes following Bailin et al. (2005,  $s \rightarrow s\sqrt{3}$ ): as can be seen, we do in fact recover the same shape-mass trend, at least for halo masses  $> 10^{12.5} h^{-1} M_{\odot}$ . As can be seen in the mass–distance relation provided above (Fig. A2), the lower mass

<sup>13</sup>We have confirmed that this is not a ‘volume effect’: the mass function of subhaloes indicates that there are far more low-mass than high-mass haloes, and hence we preferentially expect to find low-mass haloes in small volumes such as the spheres closer to the host centre. But when randomly assigning masses to the objects entering Fig. A2, we find a flat radial distribution and hence any trend seen in it cannot be driven by such an effect.



**Figure A3.** Halo velocity in host rest frame as a function of distance to the host.



**Figure A4.** Post-corrected halo shape as a function of halo mass using all objects out to  $1.4R_{200c}$ .

objects are preferentially located closer to the position of the central cluster. And for those objects we have also seen that their shapes are primarily dominated by the less spherical stellar component – partly due to halo finder limitations (as seen in Fig. A1 above). We therefore consider this deviation from the trend usually found in the literature to be artificial again. We further like to remark that the masses of the host haloes entering the Tenneti et al. work span a much larger range than ours: we are only considering subhaloes of (massive) galaxy clusters. This might have an impact on the halo masses and shapes, especially at the lower mass end: those cluster subhaloes might have experienced substantial stripping.

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