

1 Intradermal delivery of imiquimod using polymeric  
2 microneedles for basal cell carcinoma

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## 20 Abstract

21 Despite being one of the most efficacious drugs used in the treatment of basal cell carcinoma (BCC),  
22 imiquimod has limited cutaneous permeation. The current work presents the development of  
23 polyvinylpyrrolidone-co-vinyl acetate (PVPVA) microneedles loaded with imiquimod for improving  
24 intradermal delivery of imiquimod for the treatment of nodular BCC. *In vitro* permeation studies,  
25 using full thickness *ex vivo* porcine skin were used to evaluate the effectiveness of these imiquimod  
26 loaded polymeric microneedles in comparison to the topical application of commercial Aldara™  
27 cream. HPLC analysis demonstrated similar intradermal permeation of imiquimod from Aldara™  
28 cream and imiquimod-loaded microneedles despite the microneedle having a six-fold lower drug  
29 loading than the clinical dose of Aldara™ used for BCC management. In addition, ToF-SIMS analysis of  
30 skin cross sections demonstrated intradermal localisation of imiquimod following microneedle-based  
31 delivery while the Aldara™ treated skin showed the drug localised predominantly within the *stratum*  
32 *corneum*. ToF-SIMS analysis also demonstrated intradermal co-localisation of the PVPVA polymer,  
33 used in fabricating the microneedle, with imiquimod within the microneedle channels in a label-free  
34 manner. This study demonstrates that a polymeric microneedle system may be a viable approach to  
35 improving the intradermal delivery of imiquimod for the treatment of nodular BCC with lower drug  
36 loading.

37 KEYWORDS: Microneedles, imiquimod, basal cell carcinoma, time-of-flight secondary ion mass  
38 spectrometry, polyvinylpyrrolidone-co-vinyl acetate

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## 44 1. Introduction

45 Basal cell carcinoma (BCC) is the most prevalent type of skin cancer, with the number of individuals  
46 affected by the disease escalating worldwide. For instance, a large US sex-stratified cohort study by  
47 Wu *et al* highlighted that the incidence rate of BCC in both men and women have doubled over the  
48 past 20 years (Wu et al., 2013). In addition, similar trends have been reported in Canada, Asia, Australia  
49 and Europe (Cameron et al., 2019). Furthermore, it is anticipated that the incident rate will continue  
50 to escalate due to the rise in an aging population coupled with historical UV exposure (Diffey and  
51 Langtry, 2005). Given that UV exposure is a risk factor in developing BCC, it therefore quite common  
52 that BCC typically manifests on sun exposed regions of the body such as the face, arms and necks  
53 (Suppa et al., 2015). The two most common BCC subtypes are superficial and nodular (Kuijpers et al.,  
54 2002). Superficial BCC generally manifests as flat red patches on the skin on the skin surface and  
55 proliferates parallel to the epidermis (Colver, 2002; Crowson, 2006). On the other hand, nodular BCC  
56 manifests as a translucent pearly nodule that resides within dermis at approximately 400 µm below  
57 the skin surface (Sabri et al., 2020; Williams et al., 2017). In addition, it has been reported that at least  
58 one-third of nodular BCC coexists with superficial BCC (Goldenberg et al., 2010)

59 Despite displaying low metastasis rates of 0.0028 %, this form of skin cancer can lead to considerable  
60 local tissue destruction (nose, ears and eyelids) leading to poor cosmetic outcomes and disfigurement  
61 (Mackiewicz-Wysocka et al., 2013; Mehta et al., 2012). The high incidence rate of the disease also  
62 forms a considerable proportion of a dermatologist's workload (Wu et al., 2015). Therefore, there is a  
63 need to develop a simple and efficacious treatment strategy, which patients could simply administer  
64 by themselves in the management of BCC. Although surgical intervention is effective in treating BCC,  
65 such treatment is both time-consuming and technical (Nijssen et al., 2002). In addition, some patients  
66 may opt for non-surgical alternatives that offer lower overall treatment costs and improved cosmetic  
67 outcomes (Lien and Sondak, 2011; Tinelli et al., 2012). One of the most effective non-surgical  
68 interventions is the topical application of the immunomodulator, imiquimod (Jansen et al., 2017).

69 Imiquimod is a potent immune response modifier that induces its immunomodulation through Toll-  
70 like receptors located on antigen presenting cells. Upon binding to these receptors, imiquimod induces  
71 the release of pro-inflammatory cytokines which culminate in its antitumoral activity (Lacarrubba et  
72 al., 2008). Imiquimod is marketed as Aldara™ cream (5% w/w) by 3M Pharmaceuticals for the  
73 treatment of anogenital warts, actinic keratosis and superficial BCC. Despite its potency, the drug has  
74 limited dermal permeation thus reducing its therapeutic value to superficial BCC (Ma et al., 2015).  
75 However, in the case of nodular BCC, it has been found that topical therapy with imiquimod typically  
76 has a lower cure rate than surgical intervention (Williams et al., 2017). Such a finding is attributed to  
77 the efficient barrier function of the *stratum corneum* which limits the permeation of imiquimod into  
78 the skin (Al-Mayahy et al., 2019). In addition, the location of nodular BCC that resides deep within the  
79 aqueous dermis presents another barrier for imiquimod delivery. This is because imiquimod is poorly  
80 water soluble and thereby has limited permeation within the dermis (Sauder, 2000; Yang et al., 2012).  
81 The poor permeation properties of imiquimod deeper into the skin is attributed to the various  
82 physiochemical properties of the drug. It is suggested some of the ideal characteristics for a drug to  
83 permeate deeper into the skin include a Mw < 600 Da, a Log P 1.0 to 3.0, a low melting point, and ≤2  
84 hydrogen bonding groups (Sabri et al., 2019). Although imiquimod meets some of these criteria, the  
85 drug has a high melting point of 292 °C and a total of 4 hydrogen bonding groups. The presence of  
86 such hydrogen bonding groups, especially the primary amine that may interact with the anionic  
87 components of the stratum corneum, contribute to the poor permeation profile of imiquimod deeper  
88 into the skin (Al-Mayahy et al., 2019). Furthermore, the drug has poor aqueous solubility which  
89 precludes the drug from permeating deeper into the aqueous and water rich dermal layers (Sabri et  
90 al., 2020) .The combination of these factors presents a challenge in delivering imiquimod in a  
91 concentrated and localised fashion into the dermis for the treatment of nodular BCC.

92 It has been reported by patients that topical treatment such as creams are often unfavorable as this  
93 drug delivery vehicle typically has poor cosmetic feel upon administration as well as exuding an  
94 unpleasant scent once applied. In addition, the restriction in daily activities post application imposed

95 after application (e.g. not being able to shower and bathe after application) may result in poor  
96 compliance (Devaux et al., 2012). Also, the likelihood of the cream spreading onto clothes and healthy  
97 skin also raises the issue of unwanted side effects.

98 One of the drug delivery platforms that could be utilised to improve the delivery of imiquimod into  
99 the dermis for the management of basal cell carcinoma are microneedles. Microneedles consist of  
100 arrays of fine micro-projections that generate transient channels when applied to the skin. The  
101 accepted view is that microneedles breach the outermost layer of the skin, *stratum corneum*,  
102 generating channels which promote the entry of molecules into and across the skin (Prausnitz, 2004).  
103 Microneedles have been widely investigated as a drug delivery platform for skin cancer therapy, as  
104 such systems provide more localised delivery of therapeutics. In the context of skin tumours,  
105 microneedle-based drug delivery systems can locally mediate the release of drugs and increase their  
106 permeation into deeper tumour regions within the skin (Sabri et al., 2019). Several researchers have  
107 investigated the utility of using solid microneedles, as a skin pre-treatment via the patch-and-poke  
108 strategy, to improve the utility of delivering anticancer compounds into the skin for the treatment of  
109 skin cancer. Naguib *et al.* demonstrated, via a murine model, the feasibility of using solid stainless-  
110 steel microneedles to enhance the intradermal delivery of 5-fluorouracil, 5-FU to treat skin tumours.  
111 In their *in vitro* work, the group demonstrated that 5-FU flux increased by 4.5-fold when 5-FU cream  
112 was applied on microneedle perforated murine skin compared to cream application on intact skin  
113 (Naguib et al., 2014). More recently, Al-Mayahy *et al* showed that using a two-step application process  
114 involving pre-treating the skin with solid stainless-steel microneedles followed by Aldara™ cream  
115 application, they were able to enhance the permeation of imiquimod deeper into the skin (Al-Mayahy  
116 et al., 2019).

117 However, the utility of using solid stainless-steel microneedles is limited by the short duration (< 15  
118 min) than the channels remain open, due to the regeneration of skin, ultimately reducing the amount  
119 of drug delivered (Bal et al., 2010). Given these limitations of topical cream application, there is an

120 impetus to reformulate imiquimod into a dissolving microneedle system which could offer a more  
121 effective and patient friendly treatment strategy for the management of nodular BCC. The simple and  
122 straightforward one-step application using dissolving polymeric microneedle patch loaded with  
123 imiquimod relative to the two-step application process via the patch-and-poke strategy using solid  
124 microneedles and Aldara™ may be a more preferred treatment option for patients. However, there  
125 are no studies to date that have evaluated improving the delivery of imiquimod into the dermis via  
126 the use of dissolving polymeric microneedles for the management of nodular BCC.

127 The aim of this study is to fabricate and characterize polymeric microneedles as a drug delivery system  
128 to achieve the localised intradermal delivery of imiquimod for nodular BCC treatment.  
129 Polyvinylpyrrolidone-co-vinyl acetate (PVPVA) microneedles loaded with imiquimod were developed  
130 through a microfabrication and micromoulding technique. PVPVA is a biocompatible polymer that is  
131 widely used in the pharmaceutical industry as a dry binder in tableting, as a film-forming agent in  
132 tablet coating, as well as a film-forming agent in topical drug delivery systems (Kolter and Flick, 2000;  
133 Patel et al., 2015). Besides that, being a derivative of PVP, PVPVA is a chemically and biologically inert  
134 polymer which obviates the issues of polymer-drug compatibility along with biological toxicity  
135 (Taresco et al., 2017; Teodorescu and Bercea, 2015). A series of experiments were performed to  
136 characterise the PVPVA microneedle formulation. In addition, an *in vitro* permeation study, using full  
137 thickness *ex vivo* porcine skin was used to evaluate imiquimod delivery into and across the skin. HPLC  
138 and ToF-SIMS analysis were utilised to illustrate the permeation and dermal distribution of imiquimod  
139 into skin following the application of imiquimod loaded microneedles versus Aldara™ cream. This  
140 study can serve as a basis for future *in vivo* and clinical studies with imiquimod loaded PVPVA  
141 microneedles for nodular BCC treatment.

## 142 2. Materials and Methods

### 143 2.1. Materials

144 Imiquimod was purchased from Cayman Chemicals, USA. Aldara™ topical cream (5% w/w imiquimod),  
145 MEDA Company, Sweden was purchased from Manor pharmacy, UK. Polyvinylpyrrolidone-co-vinyl  
146 acetate (PVPVA), was kindly provided by BASF (Ludwigshafen, Germany). Polyethylene glycol, PEG 400  
147 was purchased from Sigma Aldrich, Belgium. Sodium carboxymethyl cellulose, Mw 90,000 was  
148 purchased from Sigma Aldrich, USA. Glycerol was purchased from Sigma Aldrich, USA. Sodium acetate  
149 was purchased from Sigma-Aldrich, UK. Acetonitrile (HPLC grade) and glacial acetic acid were obtained  
150 from Fisher Scientific, UK. Teepol solution (Multipurpose detergent) was ordered from Scientific  
151 Laboratory Supplies, UK. D-Squame standard sampling discs (adhesive discs) were purchased from  
152 Cuderm corporation, USA. OCT media was obtained from VWR International Ltd. Belgium. Deionised  
153 water was obtained from an ELGA reservoir, PURELAB® Ultra, ELGA, UK. All reagents were of analytical  
154 grade, unless otherwise stated. *Ex vivo* porcine skin was used in imiquimod permeation studies due to  
155 the similarities in histology, thickness and permeability to human skin (Benech-Kieffer et al., 2000).  
156 Skin samples were prepared from ears of six-month-old pigs obtained from a local abattoir prior to  
157 steam cleaning. The skins were of full skin thickness to prevent altering the biomechanical properties  
158 of the tissue that may lead to over-penetration of the microneedles into the skin (Naguib et al., 2014).  
159 The porcine skin samples were stored at -20 °C until analysis.

### 160 2.2. Design and production of microneedle master structure and microneedle

#### 161 PDMS moulds

162 To produce the custom PDMS moulds, a stainless-steel microneedle master structure was designed in  
163 SolidWorks 2018 (Dassault Systèmes), consisting of a 10 x 10 array of 300 µm x 300 µm x 1000 µm (W  
164 x L x H) pyramidal microneedles with tip-to-tip spacing of 800 µm. This master structure, and a  
165 corresponding mould housing, Figure S1, were then produced from stainless-steel using a Kern Evo  
166 CNC Micro Milling Machine at the University of Nottingham Institute for Advanced Manufacturing. A

167 polydimethylsiloxane (PDMS) (Sylgard 184<sup>®</sup>, Dow Corning, Midland, MI) mould was then created from  
168 the stainless-steel microneedle master structure. A mixture of elastomer and curing agent, Sylgard  
169 184<sup>®</sup>, were prepared at a ratio of 10:1 (elastomer: curing agent). The mixture was then degassed for  
170 45 minutes to remove any trapped air in the mixture. After degassing the PDMS mixture was poured  
171 into the stainless-steel master mould structure and placed in an 80 °C oven for one hour to cure the  
172 PDMS. After curing, the mould along with the cured PDMS was plunged into an ice bath to allow ease  
173 of removal of the cured PDMS mould. The stainless-steel master structure was then cleaned with  
174 propan-2-ol before being reused to make further PDMS moulds.

### 175 2.3. Fabrication of blank and drug loaded polyvinylpyrrolidone-co-vinyl acetate 176 (PVPVA) microneedles

177 Polymeric microneedles were prepared using a micromolding technique. The PDMS moulds produced  
178 as described in Section 2.2 were used to fabricate the blank microneedles. The microneedle matrix  
179 was prepared using 16.2 % w/v PVPVA (in water) by dissolving the polymer at room temperature and  
180 pressure for one hour. Then, 2% v/v of PEG 400 was added to the polymer solution. The polymer  
181 solution was then degassed for 30 minutes and 150 µl of the PVPVA solution was then pipetted using  
182 a positive displacement pipette into the PDMS mould and centrifuged at 4000 RPM for 15 min at room  
183 temperature to fill the needle cavities. Then, excess polymer was removed before leaving the needle  
184 layer to dry overnight in a desiccator. The backing layer of the microneedle patch were prepared using  
185 5.2 % w/w of carboxymethylcellulose, CMC (in water). The backing solution was made by dissolving  
186 CMC under stirring at 75 °C for 2 hours. In addition, 0.66 % v/w of glycerol was added to the backing  
187 solution as a plasticiser. Using a positive displacement pipette, 200 µl of the CMC solution was then  
188 pipetted on top of the needle layers and centrifuged at 3500 RPM for 10 minutes. The mould was  
189 dried at room temperature for 48 hours in a desiccator. The polymeric microneedles were then  
190 demoulded and stored in a desiccator until further use. For imiquimod loaded microneedles the

191 fabrication process was repeated in a similar fashion and composition, however the drug, PEG 400  
192 and PVPVA were dissolved in 0.05 M of hydrochloric acid under stirring for 1 hour.

## 193 2.4. Characterisation of PVPVA microneedles (SEM, tensile strength, skin insertion 194 properties)

### 195 2.4.1. Microscopy

196 Polymeric microneedle images were captured using an optical microscope (Zeiss Axioplan, Germany)  
197 and an environmental scanning electron microscopy (ESEM) (FEI Quanta 650) in low vacuum mode to  
198 visualize the shape and dimensions of the microneedles. For ESEM imaging, the microneedles were  
199 mounted on a metal stub using double-sided carbon tape prior to imaging.

### 200 2.4.2. Measurement of needle fracture force

201 The needle fracture force of the polymeric microneedles was determined using a texture analyser  
202 (Stable Microsystems, UK) following a previously reported method (Donnelly et al., 2011). This is to  
203 investigate the effect of applying an axial force parallel to the microneedle vertical axis, similar to the  
204 force encountered by the needles during application to the skin. The polymeric microneedles were  
205 visually inspected before and after application of the compression force. For this, the force required  
206 for compression of the polymeric microneedle to a specified distance was measured. The polymeric  
207 microneedles were attached to a 10 mm cylindrical Delrin probe (part code P/ 10) using double-sided  
208 adhesive tape. The probe is connected to a 50-kg load cell and was set at the same distance from the  
209 platform for all the test measurements. The TA XT Plus Texture Analyser was set to compression, the  
210 pre-test speed was set at 2 mm/s and post-test speed at 10 mm/s. The trigger type was set to auto  
211 (force) with a trigger force of 0.009 N. The test station compresses the polymeric microneedle against  
212 a flat block of aluminium of dimensions 10.0 × 9.0 cm. Compression force versus displacement curves  
213 were plotted to calculate the fracture force. A total of five microneedle patches were used to evaluate  
214 the fracture force of the microneedles.

215                   2.4.3. *In vitro* skin simulant insertion

216       As an alternative method to determine the microneedle penetration depth as a function of length, a  
217       polymeric film (Parafilm M<sup>®</sup>, a blend of a hydrocarbon wax and a polyolefin) was utilised as a skin  
218       model. This insertion study was adopted from Larrañeta *et al.* (Larrañeta et al., 2014). In brief, 8 layers  
219       of Parafilm M<sup>®</sup> were stacked onto each other on a cork mat that mimics underlying muscles. The  
220       PVPVA microneedle patch was applied under thumb pressure for 10 seconds. Six replicates were  
221       generated and observed under the Zeta Profilometer (KLA-Tencor, US) for the number of micropores  
222       created.

223                   2.4.4. Dye binding study

224       In order to evaluate if the microneedle patch is capable of penetrating the skin and to visualise the  
225       depth of microneedle penetration into the skin, a dye binding study using *ex vivo* porcine skin was  
226       conducted. The porcine ear skin was defrosted at room temperature for an hour prior to the  
227       experiment. Using clippers, excess hair was carefully trimmed from the skin. Regions of the skin were  
228       then selected for microneedle treatment. The skin was treated with PVPVA microneedles loaded with  
229       methylene blue dye, which is a hydrophilic dye. The microneedle was left in the skin for one hour  
230       before removing the microneedle patch. Upon removing the patch, the skin was visually inspected to  
231       see if any microneedle channels have been generated in the skin. In order to gauge the depth of  
232       microneedle penetration into the skin, skin cross-sectioning was performed. In brief, each  
233       microneedle application site was cut into 1 cm × 1 cm and fresh frozen on a metal block that was  
234       cooled with liquid nitrogen. Skin cross-sections were performed using a cryostat (Leica CM3050 S  
235       Research Cryostat, UK). The depth of microneedle penetration as visualised by methylene blue  
236       permeation was measured using an optical microscope (Zeta Profilometer, KLA-Tencor, US).

237                   2.5. Measurement of imiquimod permeation from PVPVA microneedles

238       Imiquimod skin permeation was evaluated *ex vivo* using a Franz-type diffusion cell. Prior to the  
239       permeation study, skin samples were defrosted and carefully trimmed into small pieces according to

240 the area of the donor chamber of the Franz diffusion cell (Soham Scientific, Cambridgeshire, UK). The  
241 *ex vivo* porcine skins were subjected to the following treatments: i) application of 20 mg Aldara™  
242 cream. This is in accordance with clinical dose approved by the FDA for the treatment of BCC. ii)  
243 imiquimod loaded PVPVA microneedles. Next, the treated porcine skins were placed on top of the  
244 receptor compartment filled with 3 ml of degassed 100 mM acetate buffer pH 3.7. This buffer was  
245 chosen as the receptor phase in order to maintain sink conditions throughout the permeation study.  
246 This is due to the insolubility of imiquimod at neutral or basic pH values. Various researchers have  
247 reported using acetate buffer pH 3.7 as the receptor phase in imiquimod permeation studies (Donnelly  
248 et al., 2006; Sharma et al., 2019; Venturini et al., 2015). The skin was then secured between the donor  
249 and receptor compartment of the diffusion cell using a metal clamp, with the stratum corneum side  
250 facing the donor compartment. Upon assembling the Franz diffusion cell, the permeation experiment  
251 was conducted over a period of 24 hours in a thermostatically controlled water bath set at 36.5 °C. 1  
252 ml of the receptor fluid at designated time points (0.5, 1, 3, 6, 12 and 24 hours) was sampled and then  
253 replaced with equal volume of fresh 100 mM acetate buffer pH 3.7. Upon sampling, 1000 µl of the  
254 solution from each Franz cell after collection was then spiked with 100 µl of 100 µg/ml propranolol as  
255 an internal standard before being filtered through 0.22 µm membrane prior to HPLC analysis.

256 After the 24-hour permeation experiment, the excess cream was removed from the Aldara™ cream  
257 treated skin surface by careful application of sponges soaked with 3% v/v Teepol® solution. For the  
258 microneedle patch treated skin, the remaining microneedle patch was removed from the skin. Upon  
259 removing excess formulation from the skin surface, 15 sequential tape strips were collected from the  
260 skin. The amount of imiquimod from the pooled tape strips and remaining skin after tape stripping  
261 were extracted by the addition of 10 and 5 mL of methanol extraction mixture (Methanol 70%: Acetate  
262 Buffer pH 3.7 100 mM 30%) respectively using a previously reported method (Paula et al., 2008).  
263 Samples were then vortexed for 1 minute and sonicated for 30 minutes before being left overnight.  
264 Subsequently, samples were vortexed again and sonicated for a further 30 minutes.  
265 After sonication, 1000 µl of the extracts were collected and spiked with 100 µl of 100 µg/ml

266 propranolol as an internal standard. The samples were then filtered through 0.22 µm membrane prior  
267 to HPLC analysis.

## 268 2.6. High performance liquid chromatography (HPLC) analysis

269 HPLC analysis was carried out using an Agilent 1100 series instrument (Agilent Technologies, Germany)  
270 equipped with degasser, quaternary pump, column thermostat, autosampler and UV detector. System  
271 control and data acquisition were performed using Chemstation software. The details of the HPLC  
272 chromatographic conditions are as follow: column C18 (150 × 4.6 mm) ACE3/ACE-HPLC Hichrom  
273 Limited, UK. The mobile phase composition for analysis of extracts from skin wash, donor chamber  
274 wash, pooled tape strips and remaining skin consists of 10 mM acetate buffer: acetonitrile (79:21).  
275 Whilst, the mobile phase composition for analysis of receptor fluid consists of 10 mM acetate buffer:  
276 acetonitrile (70:30). The HPLC was operated at a flow rate of 1.0 mL/minute, UV detection at  $\lambda$   
277 max=226 nm, an injection volume of 40 µL and a column temperature of 25 °C.

## 278 2.7. ToF-SIMS analysis of skin cross-sections

279 In order to evaluate the depth of imiquimod permeation into the skin, the permeation experiments  
280 were repeated as described above Section 2.5. After the permeation study, excess formulation was  
281 removed from skin samples treated with cream and microneedles. Then, 1 cm × 1 cm of each  
282 application site was fresh frozen with liquid nitrogen. Skin cross-sectioning was performed using a  
283 cryostat (Leica CM3050 S Research Cryostat, UK). The skin slices were then thaw mounted on a glass  
284 slides and stored at -20 °C prior to ToF-SIMS analysis. ToF-SIMS was used to analyse the cryo-sectioned  
285 porcine skin samples. ToF-SIMS analysis was performed using a ToF-SIMS IV instrument (IONTOF,  
286 GmbH) with a Bi<sub>3</sub><sup>+</sup> cluster source. A primary ion energy of 25 KeV was used, the primary ion dose was  
287 preserved below 1 × 10<sup>12</sup> per cm<sup>2</sup> to ensure static conditions. Pulsed target current of approximately  
288 0.3 pA, and post-acceleration energy of 10 keV were employed throughout the sample analysis. The  
289 mass resolution for the instrument was 7000 at  $m/z$  28.

## 290 2.8. Statistical analysis

291 Statistical analysis was conducted using GraphPad Prism 7.02 software. Data are shown as  
292 mean  $\pm$  standard error of mean. When comparing two groups an unpaired t-test analysis was used,  
293 while one-way analysis of variance (ANOVA) with Tukey's multiple comparisons tests was used to  
294 compare multiple groups. P values  $< 0.05$  were considered statistically significant.

## 295 3. Results and Discussion

### 296 3.1. Microneedle fabrication

297 In this work, polymeric PVPVA microneedles of pyramidal geometry were fabricated through four  
298 manufacturing stages; structure design via CAD, micromachining, PDMS mould production and casting  
299 as shown in the schematic of Figure S1. Micro-milling was used to fabricate the designed stainless-  
300 steel master structures with minimal surface imperfections as evidenced in Figure S1. Micro-milling  
301 was used as the technique enables simplicity in the design process while offering low manufacturing  
302 cost in generating complex geometry with high accuracy and repeatability (García-López et al., 2018).

303 Next, the PDMS moulds were produced by micromoulding followed by microneedle patch fabrication  
304 via casting, centrifugation and drying. The resulting polymeric microneedle patch is shown in Figure 1.  
305 Upon visual inspection as shown in Figure 1 (a), we found that the microneedle array consists of 100  
306 uniformly distributed pyramidal microneedles. Each microneedle array had a slightly opaque and off-  
307 white appearance. The microneedle patch displays micro projections, pyramidal in structure with a  
308 length of  $992.3 \pm 45.3 \mu\text{m}$  (mean  $\pm$  SD,  $n=10$ ) and a tip diameter of  $32.3 \pm 3.1 \mu\text{m}$  (mean  $\pm$  SD,  $n=4$ ) as  
309 visualised by optical microscopy images shown in Figure 1 (b) and (c). With regards to the production  
310 method used in this study, which involved centrifugation and micromoulding, such methodology is  
311 most suited for lab-based research. However, this method of microneedle fabrication would not be  
312 ideal for the manufacture of patches at a commercial scale. However, the use of aqueous drug-  
313 polymer blend casting could be potentially be translated into the scalable roller system manufacturing

314 method developed by Lutton *et al* (Lutton et al., 2015). The use of the roller system manufacturing  
315 method would provide a potential scale-up manufacturing method at a commercial scale, enabling  
316 the transition from laboratory to industry and subsequent clinical practice.

317

318 *Figure 1 a) Photograph image of PVPVA microneedles post-fabrication (b) Optical microscopy image of PVPVA microneedles*  
319 *at 12.5x magnification (c) close up microscopy image - of a single PVPVA polymeric microneedle at 50x magnification (d) SEM*  
320 *images of PVPVA microneedles at 39x magnification.*

321

322 An analysis by SEM, as shown in Figure 1 (d), revealed equally spaced, sharp pyramidal microneedles,  
323 on a clean and smooth base. This clearly indicates that the copolymer PVPVA was a suitable material  
324 for fabricating polymeric microneedles. Although, PVPVA has not been used previously for  
325 microneedle fabrication, the homopolymer PVP has been widely used. In addition, the hydrophilic  
326 nature of both of these polymers makes it easy to use them for fabricating microneedles via casting  
327 and micromoulding aqueous solutions of the polymers into the PDMS moulds. This overcomes the  
328 need for organic solvents which could damage the PDMS moulds with repeated use (Mahomed et al.,  
329 2010). Previous work has shown that PVP microneedles are capable of delivering a range of  
330 therapeutics such as sumatriptan, polymyxin B, atorvastatin, aspirin, and lisinopril (Dillon et al., 2017;  
331 Quinn et al., 2015; Ronnander et al., 2018). In addition, although PVPVA has never been used  
332 previously in microneedle fabrication, the extensive biocompatible and cytocompatible data on the  
333 polymer strongly suggest that the PVPVA microneedles are safe for this biomedical application  
334 (Moore, 1983).

335 Preliminary microneedle fabrication without the use of PEG 400 as a plasticiser resulted in  
336 microneedles with fractured tips as shown in Figure S2. A lack of consistency in microneedle length  
337 and tip formation may result in complications such as failure to penetrate the skin as well as  
338 inconsistent drug release from the individual microneedles within the same patch. The presence of

339 fractured tips is attributed to the formation of brittle polymeric microneedles post-drying. The  
340 brittleness of the preliminary PVPVA microneedles was due to the high  $T_g$  of the polymer at 107 °C as  
341 shown in Figure S3. Since the microneedles were demoulded at room temperature, the polymer is  
342 well below its  $T_g$  and is in glassy and brittle state making it prone to fracture (Aulton, 2011). In order  
343 to overcome this issue, PEG 400 was introduced into the needle matrix as a plasticiser. The use of PEG  
344 400 falls under the category of an external plasticiser which has been used in several other  
345 microneedle formulations (Dillon et al., 2017; Quinn et al., 2015; Sun et al., 2013).

346 Due to the rigid but brittle nature of PVPVA, we discovered that using the same PVPVA and PEG 400  
347 polymer solution as a backing layer resulted in microneedle patches that fractured during the  
348 demoulding stage as shown in Figure S4. A microneedle backing layer ought to be flexible to enable  
349 easy demoulding while allowing the microneedle patch to adapt to the skin curvature during  
350 administration (Xue et al., 2015). In order to meet these criteria, we used a different polymer blend  
351 consisting of CMC and glycerol to fabricate the backing. CMC is one of the most commonly polymer  
352 solutions used in fabricating the backing layer of microneedle patches (McGrath et al., 2014; Park et  
353 al., 2016).

### 354 3.2. Microneedle characterisation

355 The mechanical properties of the needles were determined using a texture analyser. The polymeric  
356 microneedle arrays were subjected to an axial compression test to measure the fracture force of the  
357 polymeric microneedles. Profiles of force versus displacement (analogous to stress-strain curves),  
358 based on average force values ( $n = 5$ ), were generated for the PVPVA polymeric microneedle patch.  
359 This force versus displacement curve was then used to determine the average fracture force per  
360 needle. From the microneedle fracture test, the PVPVA microneedles displayed a fracture force of  
361  $0.106 \pm 0.003$  N/needle (mean  $\pm$  SD,  $n=5$ ). The required fracture force that microneedles need to  
362 possess in order to puncture the skin without fracturing is 0.098 N/needle (Lee et al., 2015; Yu et al.,  
363 2017). In addition, Donnelley *et al.* has even reported successful skin insertion with microneedles that

364 possess a fracture force as low as 0.03 N/needle (Donnelly et al., 2012). Therefore, it can be inferred  
365 that the fabricated microneedles displayed sufficient mechanical strength above the reported  
366 threshold needed to puncture the skin without fracturing.

367 It is of great importance that fracture test is coupled to insertion studies in order to evaluate the  
368 penetration capability of the fabricated microneedles. The insertion of PVPVA microneedle patch into  
369 a stack of Parafilm<sup>®</sup> layers was used as an *in vitro* skin model. This was performed by applying the  
370 patches onto the Parafilm<sup>®</sup> stacks under thumb pressure. Upon application, each Parafilm layer was  
371 separated and visualised using an optical microscope to evaluate the pore uniformity as a function of  
372 penetration depth. Figure 2 (a) shows that square shaped pores, which follows the shape of the square  
373 pyramidal PVPVA microneedle, were created on the Parafilm layers upon microneedle patch  
374 application. In addition, it can be seen that the number of microneedle channels generated decreased  
375 as a function of Parafilm layer number with the deepest layer penetrated by the microneedle patch  
376 being the fourth layer as shown in in the insertion profile of Figure 2 (b). In addition, it was apparent  
377 that all replicates resulted in complete microneedle insertion in the first parafilm layer as shown in  
378 Figure 2 (b). The Parafilm<sup>®</sup> insertion test was developed by Larrañeta *et al* as an *in vitro* test to predict  
379 the insertion capabilities of microneedles in actual skin tissues (Larrañeta et al., 2014). Collectively,  
380 Figure 2 (a) along with the insertion profile from Figure 2 (b), suggests that fabricated PVPVA  
381 microneedle patch is capable breaching the *stratum corneum* permitting microneedle insertion into  
382 the skin.

383

384 *Figure 2 (a) Microscopic images of first Parafilm<sup>®</sup> treated by PVPVA microneedles (b) Insertion profile of PVPVA microneedle*  
385 *into Parafilm<sup>®</sup> layers (c) Microchannels created in ex vivo porcine skin visualised via the release of methylene blue from*  
386 *PVPVA microneedles (d) Optical microscopy image of microneedle channels created when the skin is treated with PVPVA*  
387 *microneedles*

388 A dye release study was then performed to evaluate the ability of the microneedle patches to puncture  
389 the skin. Methylene blue loaded microneedle patch was applied onto porcine cadaver skin, which  
390 upon application resulted in the release of the hydrophilic dye to the surrounding skin tissue upon  
391 PVPVA dissolution. This results in the formation of blue microneedle channels within the porcine skin  
392 that follows the distribution of PVPVA microneedles on the patch as shown in Figure 2 (c). The  
393 histological image of the vertical section of microneedle treated skin, shown in Figure 2 (d) provides  
394 an estimate into the depth of microneedle penetration into the skin. The application of PVPVA  
395 microneedles loaded with methylene blue breached the *stratum corneum* and epidermis layers as  
396 shown in Figure 2(d). The average depth of microneedle penetration was  $426 \pm 72 \mu\text{m}$  (mean  $\pm$  SD,  
397  $n=10$ ). The penetration depth of the microneedles are of approximately the same depth as that  
398 observed with the Parafilm insertion study. With regards to clinical translation of the technology,  
399 reproducible insertion of microneedles by patients and carers is an important factor to consider.  
400 Various strategies have emerged over the years to ensure effective and reproducible insertion of  
401 microneedle patches into the skin. One of the strategies could be the use of microneedle applicators.  
402 Some examples of the microneedle applicator that could be used include MicroCor™ and Macroflux®  
403 (Singh et al., 2011). Alternatively, the use of pressure-indicating sensor film such as Pressurex-micro®  
404 Green may be an alternative, might be an option in providing feedback to patient and carers that they  
405 had pressed the microneedle with sufficient force into the skin (Vicente-pérez et al., 2016)

406 It was apparent that the microneedle penetration depth into *ex vivo* skin was shorter than the length  
407 of the microneedle. This observation agrees with earlier findings by Martanto *et al.* who reported  
408 partial microneedle insertion into the skin (Martanto et al., 2006). Such an observation is attributed  
409 to the inherent elastic nature of the skin which resists microneedle penetration. However, since it has  
410 been reported that the thickness of the porcine epidermal layer varies between 30-140  $\mu\text{m}$  (Branski  
411 et al., 2008) it can be seen that from the vertical cross-sectional data Figure 2 (a) the microneedle  
412 application in this case has reached the skin dermis. In comparison, the human skin is reported to have  
413 an epidermal thickness of 800-1500  $\mu\text{m}$  for thick skin (palm of the hands and sole of the feet) and 70-

414 150  $\mu\text{m}$  for thin skin (face, eye lids, neck and arm) (Albanna and Holmes IV, 2016). Importantly in this  
415 instance, as BCC mainly manifests on thin skin surfaces such as the face, neck and eyelid (Carr et al.,  
416 2018), it can be postulated that application of the PVPVA microneedle patch would bypass the  
417 epidermis and reach the dermis which is the target site for the treatment of nodular BCC. Besides that,  
418 in regions where there are curvatures such as the facial cheeks, nose and eyelids the application of  
419 microneedle would still be viable. However, in this instance, the use of a very flexible backing layer  
420 such as those fabricated from polyethylene glycol diacrylate (PEGDA) would allow the microneedle  
421 patch to adapt to skin curvature whilst permeating effective skin insertion (Xue et al., 2015).

422 The hygroscopic nature of PVPVA could have an adverse effect on the needle architecture along with  
423 its insertion capabilities on long term storage. Hence in order for this technology to be translated into  
424 clinical practice stability studies will need to be carried out to verify the needle structure. With respect  
425 to the hygroscopicity of PVPVA, relative to PVP which is widely used in microneedle research, PVPVA  
426 is less hygroscopic than PVP. The work by Shamblin and Zografis showed that the amount of water  
427 absorbed by PVPVA was one-third of that absorbed by PVP when stored at humidity levels similar to  
428 that of ambient room conditions. Such an observation is attributed to the carbonyl group of the vinyl  
429 acetate moiety which is less basic and hence less prone to hydrogen bonding than the carbonyl group  
430 in the pyrrolidone ring (Shamblin and Zografis, 1999). Therefore, the use of PVPVA which is a less  
431 hygroscopic polymer than the widely used PVP, may produce microneedles which are less susceptible  
432 to moisture than the commonly fabricated PVP microneedles. Nevertheless, one of the manufacturing  
433 and distribution challenges will be the need to manufacture, distribute and store the microneedles in  
434 a low humidity environment to reduce the exposure to moisture that may affect the architecture of  
435 the needle and ultimately the insertion of the needle into the skin. One possible suggestion to  
436 overcome this is to pack the microneedle patches in nitrogen flushed sterile packets that demonstrate  
437 protection against water ingress.

438 Considering the fact that microneedle dosage forms penetrate the stratum corneum rather than  
439 adhere to the surface of the skin as in a conventional transdermal patch, sterility will potentially be a  
440 key requirement by regulatory bodies. Previous work by Mccrudden *et al* 2014 have shown that  
441 endotoxin levels in dissolving microneedles can achieve levels below set by the Food and Drug  
442 Administration (FDA) guidelines for medical devices that are in direct contact with lymphatic tissue  
443 (20 units/device) using the appropriate sterilisation techniques (Mccrudden et al., 2014). As PVPVA  
444 microneedles may be susceptible to moisture due to the hygroscopic nature of polymeric  
445 microneedle, the use of heat/steam sterilisation may damage this type of microneedle necessitating  
446 microneedle production under aseptic conditions. The use of gamma irradiation may be an  
447 alternative, however previous work has shown that this method of terminal sterilisation alters the  
448 release profile of dissolving microneedles (Mccrudden et al., 2014).

### 449 3.3. Drug release study from PVPVA microneedles

#### 450 3.3.1. HPLC analysis

451 *In vitro* permeation studies utilising Franz diffusion cells are widely used to study the intradermal and  
452 transdermal delivery of drugs across the skin. In this work, we investigated the delivery of imiquimod  
453 from drug loaded microneedle patches into and across the skin in comparison to the commercial  
454 imiquimod cream, Aldara™. Imiquimod was loaded into the microneedles by dissolving both the  
455 polymer (PVPVA) and the drug into a polymer blend, casting the solution into the PDMS micromoulds,  
456 centrifugation and finally drying. Imiquimod-loaded polymeric PVPVA microneedles dissolved  
457 gradually in a limited volume of the skin's interstitial fluid to release drug into and across the skin  
458 layer. It was apparent that both drug delivery systems were capable of delivering imiquimod into the  
459 *stratum corneum*, remaining skin and into the receptor fluid as shown in Figure 3.

460 From Figure 3 (a) we observed that the mean amount of imiquimod delivered into the *stratum*  
461 *corneum* as evidenced from HPLC analysis of tape strips are 23.2 µg for microneedle treated and 8.6  
462 µg for Aldara™ cream treated skins. The differences in amount of imiquimod delivered into the

463 *stratum corneum* was of statistical significance ( $p<0.05$ ). Such enhanced permeation into the *stratum*  
464 *corneum* with imiquimod loaded microneedles may be attributed to the generation of microneedle  
465 channels within the skin. These channels act as focal points for imiquimod to permeate laterally and  
466 localise to the surrounding corneocytes, thus enhancing delivery to the upper layer of the skin.

467

468 *Figure 3 Amount of drug extracted from skin (tape strips and remaining skin) after 24 hours in the permeation study. Drug*  
469 *concentration within receptor fluid as a function of time during permeation study from Aldara™ and imiquimod microneedles.*  
470 *Data are expressed as mean± SEM for n=6. Differences were calculated using one-way ANOVA, followed by Tukey's post hoc*  
471 *test, and deemed significant at  $p<0.05$ . n.s = not statistically significant at  $p>0.05$*

472 However, in the case of nodular BCC the drug delivery system must be capable of delivering the drug  
473 beyond the *stratum corneum* and deeper into the skin (Williams et al., 2017). Therefore, in order to  
474 gauge the amount of drug delivered deeper into the skin, HPLC analysis was conducted on remaining  
475 skin after tape stripping. Figure 3 (a) also shows that the mean amount of imiquimod delivered into  
476 the remaining skin from both treatment groups. It was observed that the amount drug extracted from  
477 the remaining skin treated with the microneedles was lower than the amount of drug delivered by the  
478 commercial cream, Aldara™ although such differences were not statically significant ( $p>0.05$ ). This  
479 would suggest that the overall amount delivered per application of both delivery system are similar.  
480 From a dose delivered perspective, it may appear that the microneedle patch does not offer any  
481 additional benefits. However, when the two systems are viewed in terms of ease of application, the  
482 microneedle patch offers a simple one-step application to insert the microneedles into the skin under  
483 thumb pressure. This avoid the issues of dosing accuracy with the amount of cream applied and  
484 problems associated with the cream spreading to non-diseased skin which are associated with  
485 Aldara™ cream. With regards to the amount of imiquimod delivered for the management of BCC, it  
486 would be more preferable to use the Aldara™ cream in the management of superficial BCC as we  
487 would mitigate the unnecessary exposure of underlying healthy skin tissue to imiquimod. However,  
488 given the fact that at least one-third of nodular BCC coexist with superficial BCC (Goldenberg et al.,

489 2010), the use of imiquimod loaded microneedles in such instances would be useful as the drug could  
490 deliver equal amounts of imiquimod to tumour located at the skin surface and underlying skin tissues.  
491 In addition, Figure 3 (b) shows the amount of imiquimod delivered into the *stratum corneum* and  
492 remaining skin when calculated as a percentage of the applied dose. In this instance the percentage  
493 of the drug successfully delivered into the *stratum corneum* and remaining skin is significantly higher  
494 with imiquimod microneedles than that of Aldara™ cream. The drug loading in the microneedle patch  
495 was  $165.6 \pm 21.4 \mu\text{g}$  (mean  $\pm$  SD,  $n=8$ ). Whilst,  $\approx 20$  mg of Aldara cream (1000  $\mu\text{g}$  of imiquimod) was  
496 applied to the skin area of  $3.8 \text{ cm}^2$ , in the Franz cell, which is based on clinical dose for Aldara™ cream  
497 for the treatment of BCC (FDA, 1997). Figure 3 (b) highlights that we are able to deliver similar  
498 amounts of imiquimod into the remaining skin using a different delivery system despite a 6-fold lower  
499 drug loading with the microneedle patch.

500

501 Figure 3 (c) shows the concentration of imiquimod detected in the receptor fluid over time. It can be  
502 seen that the concentration of imiquimod in the receptor fluid overtime, was similar between Aldara™  
503 cream and imiquimod microneedle treated skin for up to 12 hours. However, at 24 hours, the  
504 concentration of imiquimod within the receptor fluid is less for skin treated with imiquimod  
505 microneedles relative to Aldara™ cream. This highlights that over the course of 24 hours, the  
506 microneedle patch resulted in less imiquimod delivery across the skin while delivering similar quantity  
507 of the drug into the remaining skin. It is understood the amount of drug detected in receptor fluid  
508 following an *in vitro* Franz cell permeation study provides a an indicator on the likelihood of systemic  
509 exposure (Benson and Watkinson, 2011). Hence, it could be postulated that the likelihood for  
510 systemic exposure to imiquimod following microneedle treatment is lower in comparison to Aldara™  
511 application. This may limit the likelihood of influenza-like symptoms, an undesirable side effect  
512 associated with imiquimod systemic exposure (Rossi et al., 2012). Based on the current work, it is  
513 suggested that the microneedle is left in the skin for 24 hours to allow comparative application and

514 delivery of imiquimod into the skin as to that of Aldara™ cream. In terms of practical delivery of  
515 imiquimod using microneedle relative to other route of administrations such as oral delivery, a  
516 microneedle based intradermal delivery would be more practical as it enables more targeted delivery  
517 and avoids the likelihood of systemic side effects arising from oral delivery of imiquimod which could  
518 give rise to flu-like symptoms and result in a poor overall quality of life for the patient.

519 In terms of enhancing imiquimod permeation, several groups have considered alternative drug  
520 delivery systems such as the use of an emulsion gel (Stein et al., 2014), transethosomes (Ma et al.,  
521 2015) and a hydrogel/oleogel colloidal mixture (Rehman et al., 2015). However, these types of  
522 formulations are typically associated with poor sensory and cosmetic issues (e.g. tackiness and  
523 stickiness) upon application. In addition, these semisolid dosage forms could potentially stain patients'  
524 clothes as well as spread to healthy skin regions leading to unwanted side effects (Buchmann, 2005;  
525 Devaux et al., 2012). This may ultimately limit patient compliance to the overall treatment. These  
526 limitations are not encountered with microneedle formulations as the patches are anchored in place  
527 by the micro-projections ensuring precise localised delivery at the site of application. In addition, with  
528 regards to disposal of the patch upon skin insertion, as the PVPVA microneedles are dissolving  
529 microneedles, the microneedles will dissolve in the skin leaving behind the backing layer. Therefore  
530 the microneedle patch is self-disabling post-insertion overcoming the issues of dangerous sharp waste  
531 disposal in resource-poor settings (González-vázquez et al., 2017).

### 532 3.3.2. ToF-SIMS analysis

533 It was apparent that HPLC analysis provided quantitative results that permit us to compare the  
534 delivery efficiency of both formulations. However, HPLC does not provide any spatial information  
535 pertaining to the dermal distribution of imiquimod. In order to complement the HPLC data, ToF-SIMS  
536 was utilised to provide insight into the dermal distribution of imiquimod.

537 Figure 4 shows ToF-SIMS secondary ion images from skin cross-sections analysed after a 24-hour  
538 permeation study. Due to the parallel detection capabilities of the ToF-SIMS, secondary ions

539 originating from both the skin tissue, polymer and drug were detected and analysed. By carefully  
540 monitoring the ion peaks from the ToF-SIMS spectra (Figure S5), we are able to visualise the  
541 localisation and distribution of these secondary ions. The fragment ion for phosphatidylcholine,  
542  $C_5H_{15}NPO_4^+$  was used to identify the dermis and viable epidermis. Additionally, the fragment ion for  
543 ceramide,  $C_{17}H_{32}N^+$  is utilised to distinguish the *stratum corneum* from the viable epidermis and dermis  
544 (Sjövall et al., 2014). This is because, the *stratum corneum* displays high levels of ceramide whilst  
545 being devoid of phospholipids which makes  $C_{17}H_{32}N^+$  a good marker for the stratum corneum (Elias,  
546 2005; Starr et al., 2019). In a previous work, it has been found that the permeation of the imiquimod  
547 across the skin could be tracked by monitoring the molecular ion  $C_{14}H_{17}N_4^+$  (Al-Mayahy et al., 2019).  
548 Through monitoring the fragment ion peak  $C_6H_{10}NO^+$  we were able to detect the localisation of the  
549 PVPVA polymer within the microneedle channels as shown in Figure 4. The peak assignment for PVPVA  
550 was validated by referring to fragmentation pattern at  $m/z$  112 with the reference spectra of pure  
551 PVPVA on silicon wafer as shown in the supplementary data (Figure S6). It is worth noting that, that  
552 the parallel detection capability of the ToF-SIMS also enabled the detection of  $Si^+$  marker -an inorganic  
553 ion of silicon -indicated in yellow in Figures S7 and S8 used to identify the glass slide (the substrate  
554 used to mount the cross-sections) as silicon is a common fundamental constituent of glass. Figures S7  
555 and S8 also shows the total ions image collected from the ToF-SIMS sample analysis.

556

557 *Figure 4 ToF-SIMS image of skin cross sections from ex vivo porcine skin that were treated with (i) Aldara™ cream (5% w/w*  
558 *imiquimod) alone (ii) PVPVA microneedles loaded with imiquimod after a 24-hour permeation study. Localisation of semi-*  
559 *dissolved polymeric microneedles within the dermis with skin treated imiquimod loaded microneedles.  $C_{14}H_{17}N_4^+$  indicated in*  
560 *green is the molecular ion for imiquimod,  $C_5H_{15}NPO_4^+$  indicated in blue is the fragment ion for phosphatidylcholine used to*  
561 *identify the viable epidermis and dermis.  $C_{17}H_{32}N^+$  indicated in red is the fragment ion for ceramide used to identify the*  
562 *stratum corneum.  $C_6H_{10}NO^+$  indicated in pink is the fragment ion for PVPVA polymer. Scale bar: 500  $\mu m$*

563 It is apparent from Figure 4 (a) that there is limited availability of imiquimod within deeper skin strata  
564 when the molecule was delivered as a topical cream, Aldara™. When the drug is applied as a topical

565 cream, imiquimod is localised in the *stratum corneum* as evidenced from overlay of imiquimod  
566 molecular ion,  $C_{14}H_{17}N_4^+$  with the fragment ion for ceramide,  $C_{17}H_{32}N^+$ . However, when imiquimod is  
567 delivered as a polymeric microneedle patch, we observed delivery of imiquimod into the dermis within  
568 microchannels as shown in Figure 4 (b). It is clear from the ceramide fragment ion,  $C_{17}H_{32}N^+$  in  
569 Figure 4 (a) that the *stratum corneum* looks intact when the drug is administered as a topical cream.  
570 In contrast, when the drug is delivered as a polymeric microneedle patch, we observed disruption in  
571 the ceramide fragment ion,  $C_{17}H_{32}N^+$  distribution within top layer of the skin as shown in Figure 4 (b)  
572 that suggest that the microneedles have disrupted the *stratum corneum* permitting the insertion of the  
573 drug loaded polymeric microneedles into the skin. Typically nodular BCC are much harder to treat  
574 effectively as the tumour typically manifests 400  $\mu\text{m}$  below the skin surface (Williams et al., 2017).  
575 The ToF-SIMS analysis of skin cross-sections from Figure 4 suggests that the microneedle patch  
576 penetrated the skin to a depth of approximately 450  $\mu\text{m}$  resulting in imiquimod delivery into the  
577 dermis. With regards to penetrating the BCC tumours with microneedles, concerns may be raised on  
578 the likelihood of aggravating the tumour which may lead to unintended side effects. However, there  
579 is little to no clinical concerns with regards to penetrating BCC tumour as the tumours are routinely  
580 penetrated via punch biopsy and intraoperative incisional biopsy. Such surgical procedures do not lead  
581 to any localised or distant spread of the BCC tumour. In fact, microneedle insertions are minimally  
582 invasive, therefore the damage inflicted from penetrating the BCC tumour with microneedles is less  
583 relative to these routine surgical procedures. In addition, many BCC lesions are also frequently  
584 traumatised accidentally by patients which causes localised bleeding but again no serious  
585 consequences (Jung et al., 2012; Kamyab-Hesari et al., 2014).

586 With regards to the dermal distribution of the various components of a microneedle system, several  
587 research groups have employed techniques such as fluorescently tagging the molecule of interest in  
588 order to visually track the delivery of compound into the skin (Saurer et al., 2010; Yu et al., 2017). This  
589 method results in modification of the physiochemical properties of the drug leading to potentially  
590 inaccurate estimation of drug permeation into the skin (Vasquez et al., 2011). However, there is no

591 work in the field that has demonstrated the capability to simultaneously detect the deposition of both  
592 drug and polymer from a dissolving microneedle patch in a label free manner. ToF-SIMS analysis also  
593 provides the capability to perform parallel detection of both endogenous and exogenous chemistry  
594 present in the analysed samples, thus permitting simultaneous mapping the presence of polymer  
595 within biological tissues as well as the drug. The polymer that is used in fabricating the microneedle  
596 patch is PVPVA. Through monitoring the fragment ion peak at  $m/z$  112 we were able to detect the co-  
597 localisation of the polymer and imiquimod within the microneedle channels as shown in Figure 4.

598 By comparing this finding with the ToF-SIMS analysis of skin cross-section from samples treated  
599 imiquimod loaded microneedles in Figure 4 (b), it was observed that imiquimod was colocalised in the  
600 presence of PVPVA polymer within the dermis following skin application. This leaves imiquimod which  
601 is embedded in a polymer matrix within skin. It has been previously postulated that embedded drug-  
602 polymer matrix slowly undergoes dissolution; generating localised regions of enhanced viscosity  
603 within the skin that slows the rate of drug release to surrounding tissues (Chu and Prausnitz, 2011;  
604 Ribeiro et al., 2017). However, in the current work we are able to demonstrate via ToF-SIMS analysis  
605 the existence of such semi-dissolved polymeric regions within the dermis that retains drug from  
606 permeating across the skin and into the receptor fluid. From a clinical perspective, the reduction in  
607 imiquimod permeation across the skin may limit the likelihood of systemic side-effects. The ability of  
608 a microneedle patch to deliver the drug to a desired location despite having lower drug loading may  
609 serve as a possible explanation for the dose sparing advantage conferred by microneedles. To the best  
610 of our knowledge, this is the first report of the dose sparing advantage conferred by microneedles for  
611 small molecule therapeutics.

612 Although a PVPVA polymer depot was observed, there is evidence based on this commercial polymer's  
613 Mw i.e 15-20 kDa that it would be eventually excreted. Indeed, based on the findings from Kagan *et*  
614 *al* on the elimination of macromolecules from the skin, it is estimated that a majority of the polymer  
615 will be drained into the dermal blood capillaries with some drainage into the dermal lymphatics before

616 reaching the systemic circulation (Kagan et al., 2007). Furthermore, as the PVPVA has a Mw less than  
617 60 kDa, the polymer will be excreted through the kidneys once it reaches the systemic circulation  
618 (Hespe et al., 1977; Yamaoka et al., 1995).

619 The HPLC analysis data for Aldara™ cream treated skin shown in Figure 3 (a) appears to contradict the  
620 ToF-SIMS analysis of skin cross-section with regards to amount drug delivered into the superficial layer  
621 of the skin, i.e. the *stratum corneum*. In order to elucidate this discrepancy, we performed a closer  
622 analysis of skin cross-sections of Aldara™ cream treated skin as shown in Figure 5. As discussed earlier,  
623 the fragment ion for phosphatidylcholine ( $C_5H_{15}NPO_4^+$ ) was used to identify the dermis and viable  
624 epidermis while the fragment ion for ceramide,  $C_{17}H_{32}N^+$  is now utilised to distinguish the *stratum*  
625 *corneum* from the viable epidermis and dermis. Closer analysis of the Aldara™ cream treated skin  
626 showed that the majority of the molecule of interest, imiquimod resides within the *stratum corneum*.

627

628 *Figure 5 ToF-SIMS image of skin cross sections from porcine skin that were treated with Aldara™ cream (5% w/w imiquimod)*  
629 *alone. This analysis was conducted at a higher resolution closer to the skin surface to image the localisation of imiquimod*  
630 *near the stratum corneum and epidermis junction.  $C_{14}H_{17}N_4^+$  the molecular ion for imiquimod indicated in green is,  $C_5H_{15}NPO_4^+$*   
631 *the fragment ion for phosphatidylcholine used to identify the viable epidermis and dermis indicated in blue.  $C_{17}H_{32}N^+$  is the*  
632 *fragment ion for ceramide used to identify the stratum corneum indicated in red. The overlays highlights imiquimod*  
633 *localisation within the stratum corneum and some near the epidermis just below the stratum corneum. Scale bar: 100  $\mu$ m*

634 With regards to tape stripping as a method to quantify drug permeation into the superficial layer of  
635 the skin, various groups have observed a reduction in corneocytes removed with tape strip number.  
636 Such reduction in corneocyte extraction is attributed to the increase in corneocyte cohesion with  
637 *stratum corneum* depth (Chapman et al., 1991; Jacobi et al., 2006; Lademann et al., 2004). In addition,  
638 the increase in skin hydration with skin depth also reduces the ability of the tape adhesive to remove  
639 the corneocyte during the stripping process (Egawa et al., 2006). When analysing the distribution of  
640 imiquimod on the Aldara™ treated skin from Figure 4, it may appear that most imiquimod is with the  
641 top layer of the skin. However, upon closer cross-sectional analysis (Figure 5 a) majority of the

642 molecule of interest, imiquimod resides within the deeper layer of the *stratum corneum*. These layers  
643 are not so easily removed by tape stripping and thus are extracted with the remaining skin.

#### 644 4. Conclusions

645 In conclusion, the current work highlights the design, fabrication, evaluation and application of drug  
646 loaded polymeric microneedles as a drug delivery platform for the intradermal delivery of imiquimod  
647 for the treatment of nodular BCC. Permeation studies utilising Franz diffusion cells demonstrated that  
648 the imiquimod loaded polymeric microneedles were capable of delivering similar quantities of  
649 imiquimod to the region of tumours, despite a 6-fold lower drug loading, relative to the current clinical  
650 dose of Aldara™ cream used in BCC treatment. This ability of the polymeric microneedle to deliver the  
651 drug to the right target site despite lower drug loading may be of economic benefit while also limiting  
652 the likelihood of side effects. Using a microneedle patch, imiquimod loaded polymeric microneedles  
653 are mechanically inserted and embedded within the dermis upon application which is the target site  
654 for the treatment of nodular BCC. ToF-SIMS analysis of skin cross-sections highlighted the presence of  
655 the embedded drug-polymer matrix within the skin, which retains the drug in the dermis while  
656 reducing the permeation of the drug across the skin. This provides evidence to support the  
657 mechanistic understanding of how the embedded drug-polymer matrix following polymeric  
658 microneedles administration controls the release of drugs. In summary, this work suggests that  
659 imiquimod loaded polymeric microneedles may be of clinical utility for localised intradermal delivery  
660 of imiquimod. Such formulations may provide a less invasive intervention to patients who would prefer  
661 an alternative treatment to surgery for the treatment of nodular BCC.

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948 **Figure legends**

949 **Figure 1** a) Photograph image of PVPVA microneedles post-fabrication (b) Optical microscopy image  
950 of PVPVA microneedles at 12.5x magnification (c) close up microscopy image - of a single PVPVA  
951 polymeric microneedle at 50x magnification (d) SEM images of PVPVA microneedles at 39x  
952 magnification.

953 **Figure 2** (a) Microscopic images of first Parafilm® treated by PVPVA microneedles (b) Insertion profile  
954 of PVPVA microneedle into Parafilm® layers (c) Microchannels created in ex vivo porcine skin  
955 visualised via the release of methylene blue from PVPVA microneedles (d) Optical microscopy image  
956 of microneedle channels created when the skin is treated with PVPVA microneedles

957 **Figure 3** Amount of drug extracted from skin (tape strips and remaining skin) after 24 hours in the  
958 permeation study. Drug concentration within receptor fluid as a function of time during permeation  
959 study from Aldara™ and imiquimod microneedles. Data are expressed as mean± SEM for n=6.  
960 Differences were calculated using one-way ANOVA, followed by Tukey's post hoc test, and deemed  
961 significant at  $p < 0.05$ . n.s = not statistically significant at  $p > 0.05$

962 **Figure 4** ToF-SIMS image of skin cross sections from ex vivo porcine skin that were treated with (i)  
963 Aldara™ cream (5% w/w imiquimod) alone (ii) PVPVA microneedles loaded with imiquimod after a 24-  
964 hour permeation study. Localisation of semi-dissolved polymeric microneedles within the dermis with  
965 skin treated imiquimod loaded microneedles.  $C_{14}H_{17}N_4^+$  indicated in green is the molecular ion for  
966 imiquimod,  $C_5H_{15}NPO_4^+$  indicated in blue is the fragment ion for phosphatidylcholine used to identify  
967 the viable epidermis and dermis.  $C_{17}H_{32}N^+$  indicated in red is the fragment ion for ceramide used to  
968 identify the *stratum corneum*.  $C_6H_{10}NO^+$  indicated in pink is the fragment ion for PVPVA polymer. Scale  
969 bar: 500  $\mu$ m

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972 **Figure 5** ToF-SIMS image of skin cross sections from porcine skin that were treated with Aldara™  
973 cream (5% w/w imiquimod) alone. This analysis was conducted at a higher resolution closer to the  
974 skin surface to image the localisation of imiquimod near the *stratum corneum* and epidermis junction.  
975  $C_{14}H_{17}N_4^+$  the molecular ion for imiquimod indicated in green is,  $C_5H_{15}NPO_4^+$  the fragment ion for  
976 phosphatidylcholine used to identify the viable epidermis and dermis indicated in blue.  $C_{17}H_{32}N^+$  is the  
977 fragment ion for ceramide used to identify the *stratum corneum* indicated in red. The overlays  
978 highlights imiquimod localisation within the *stratum corneum* and some near the epidermis just below  
979 the *stratum corneum*. Scale bar: 100  $\mu$ m

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