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Dewar Benzenoids Discovered In Carbon Nanobelts

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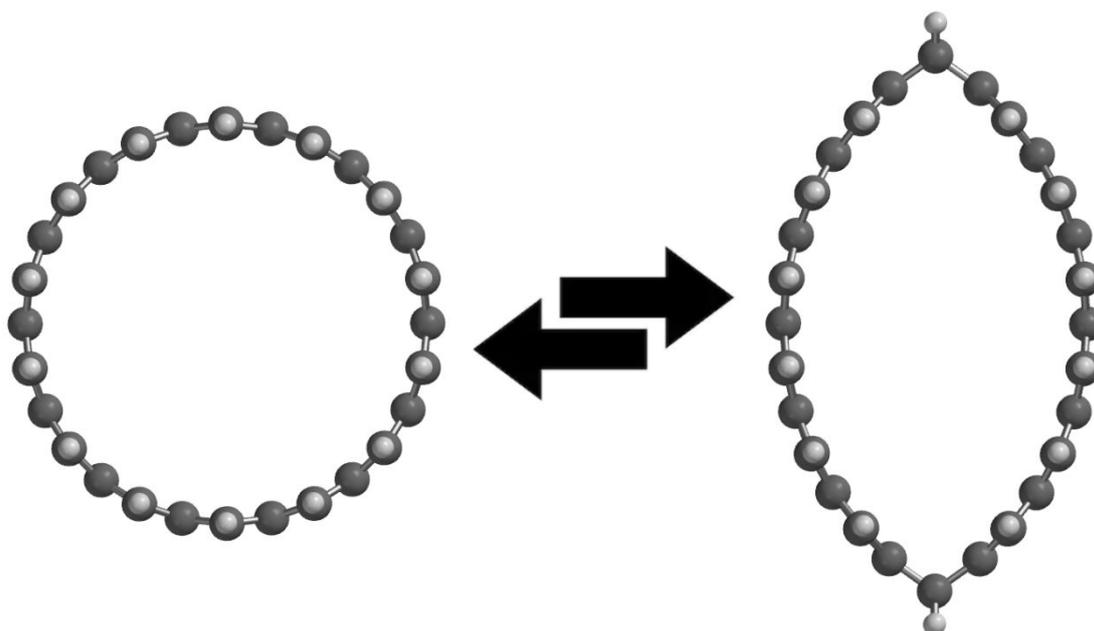
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ABSTRACT: The synthesis of cyclacene nanobelts remains an elusive goal dating back over 60 years. These molecules represent the last unsynthesized building block of carbon nanotubes and may be useful both as seed molecules for the preparation of structurally well-defined carbon nanotubes and for understanding the behaviour and formation of zigzag nanotubes more broadly. Here we report the discovery that isomers containing two Dewar benzenoid rings are the preferred form for several sizes of cyclacene. The predicted lower polyradical character and higher singlet-triplet stability that these isomers possess compared with their pure benzenoid counterparts suggests that they may be more stable synthetic targets than the structures which have previously been identified. Our findings should facilitate the exploration of new routes to cyclacene synthesis through Dewar benzene chemistry.

TOC Graphic



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3 Since their conception by Heilbronner in 1954,¹ cyclacenes have been the focus of
4 extensive ongoing attempts at both synthesis and theoretical characterization.² In
5 their own right, cyclacenes are interesting highly aromatic molecules. They can be
6 considered as having two different types of π -electron system, one comprising an
7 arenoid belt made up of fused benzene rings and the other consisting of two
8 peripheral circuits formed from two fused trans-polyene ribbons called trannulenes.³
9 These trannulene-like circuits show different electronic behaviour for cyclacenes
10 made up of even or odd numbers of fused rings, where the number of π -electrons in
11 the peripheral circuits becomes $4k$ or $4k+2$, with k being a whole number.⁴ These
12 electronic differences are known as cryptoannulenic effects and are responsible for
13 cyclacenes with an even number of fused rings being more stable than those with an
14 odd number.⁴

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25 Cyclacenes are the shortest possible hydrogen-capped zigzag carbon nanotubes
26 and are the last minimal building block of carbon nanotubes that have yet to be
27 synthesized. Understanding the behaviour of cyclacenes can provide important
28 insight into the chemical and mechanical properties of zigzag carbon nanotubes. For
29 example, cyclacenes have been used as a finite-length model for nanotubes in
30 theoretical simulations.^{5, 6} If cyclacenes can be synthesized, they also hold promise
31 for acting as templates in the “bottom-up” synthesis of zigzag carbon nanotubes at
32 lowered temperatures due to the potential for early structural diversification and
33 functionalization compared to traditional carbon nanotube approaches.⁷

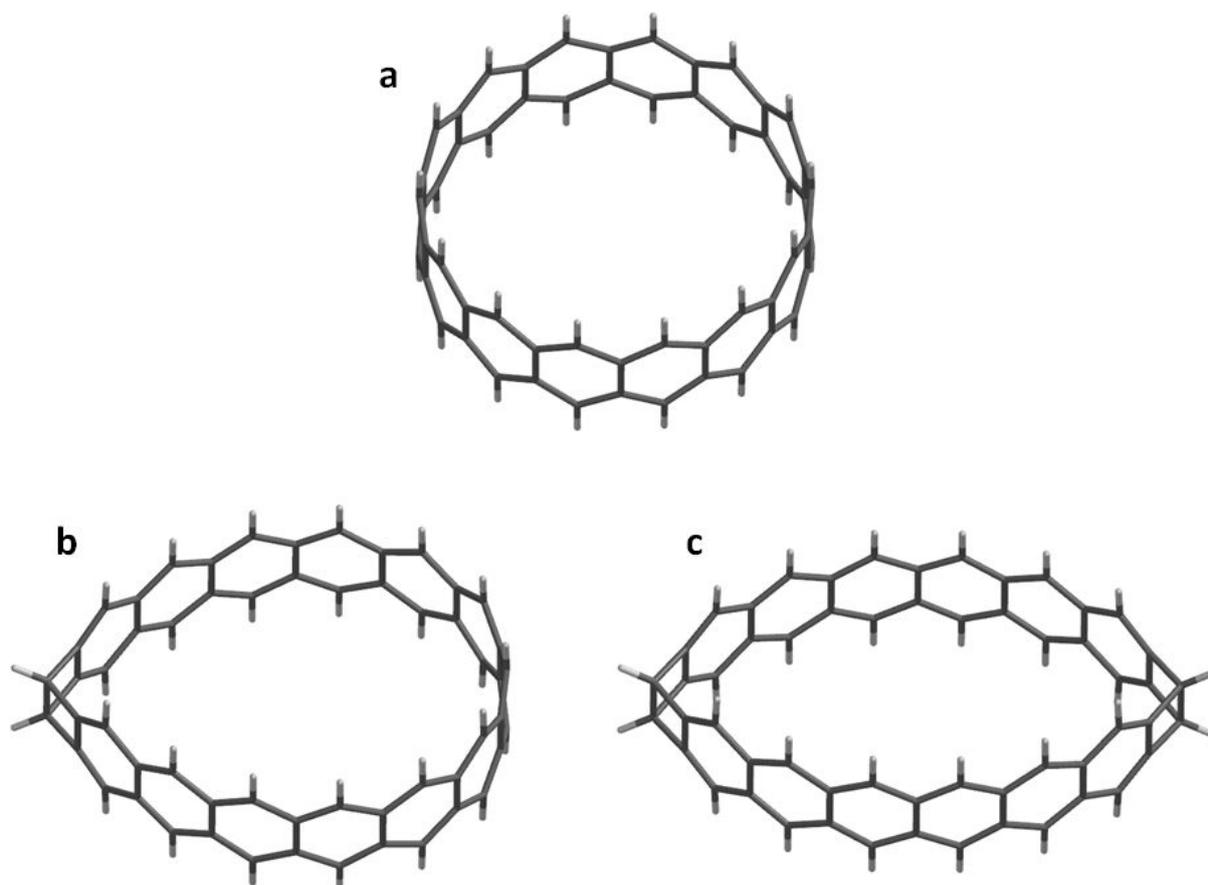
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41 Despite the sustained interest, all attempts at making cyclacenes have so far been
42 unsuccessful. Pioneering work by Stoddart and co-workers in the 1980s arrived at a
43 hydrogenated derivative, for which dehydrogenation failed to yield the 12-cyclacene
44 product.⁸⁻¹⁰ Cory et al. tried to synthesize 8-cyclacene by a stereospecific double
45 Diels-Alder macroannulation.¹¹ Many other approaches have also all ended
46 unsuccessfully. More recently, in 2017 efforts to make armchair and chiral nanobelts
47 culminated in the first successful synthesis of Itami’s chiral nanobelt by Povie et al.¹²
48 In the past year Schulz et al. attempted to make a cyclacene via the formation of
49 tetraepoxycyclacenes on a copper surface.¹³ However, once again the targeted
50 cyclacene products were not detected. Potential explanations for the lack of
51 synthetic success include the high strain that cyclacenes are expected to have, as
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3 well as predictions of low energy triplet electronic states and significant polyradical
4 character in the ground states.¹⁴⁻¹⁶
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7 Unusual bonding arrangements, perhaps most famously exemplified by the Stone-
8 Wales defect,¹⁷ have important consequences in carbon nanotubes. Herein, we will
9 see that a different type of defect features in certain cyclacenes. Isolated benzene
10 rings have several ring isomers which can undergo isomerization into the more
11 thermodynamically stable standard aromatic structure.¹⁸⁻²¹ Among the isomers of
12 benzene, bicyclo[2.2.0]-hexa-2,5-diene (“Dewar benzene”) becomes more stable
13 when the peripheral hydrogen atoms are substituted by electron-withdrawing
14 groups,²²⁻²⁴ or when conjugated with ferrocene.²⁵ Dewar benzene may, therefore,
15 also form a long-lived isomer when embedded within a cyclacene. As Dewar
16 benzene is neither flat nor aromatic, due to the carbon atoms at the intersection of
17 the two internal four-membered rings being bound to four atoms in a distorted
18 tetrahedral geometry, the presence of a Dewar benzenoid in a cyclacene can be
19 expected to reduce the ring strain in the remaining benzenoid rings while also
20 disrupting the delocalized aryl and trannulene π -electron circuits, leading to
21 significant changes in the electronic properties. Cyclacene isomers containing Dewar
22 benzenoids have not hitherto been considered in the literature and their properties
23 are the focus of this present study.
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37 To determine their relative stabilities, geometry, vibrational frequency, and
38 energy calculations were performed on the n -cyclacene isomers ($n = 5-14$)
39 with zero, one, and two Dewar benzenoids, as depicted in Figure. 1 for a 14-
40 cyclacene exemplar. The results were calculated using density functional
41 theory (DFT) and confirmed using complete active space self-consistent field
42 theory (CASSCF) and second-order n -electron valence perturbation theory
43 (NEVPT2) for a selection of the smaller ring sizes (see Supporting Information
44 for details). In principle one could also consider the free energy using a
45 thermodynamic entropy term derived from the cyclacene vibrational
46 frequencies. However, this would involve a significantly lower level of theory
47 and is expected to provide limited additional insight beyond the primary
48 energetic considerations presented. The different positions that two Dewar
49 benzenoid rings can occupy relative to each other in a cyclacene give
50 structures with different energies. For the 7-cyclacene and 8-cyclacene
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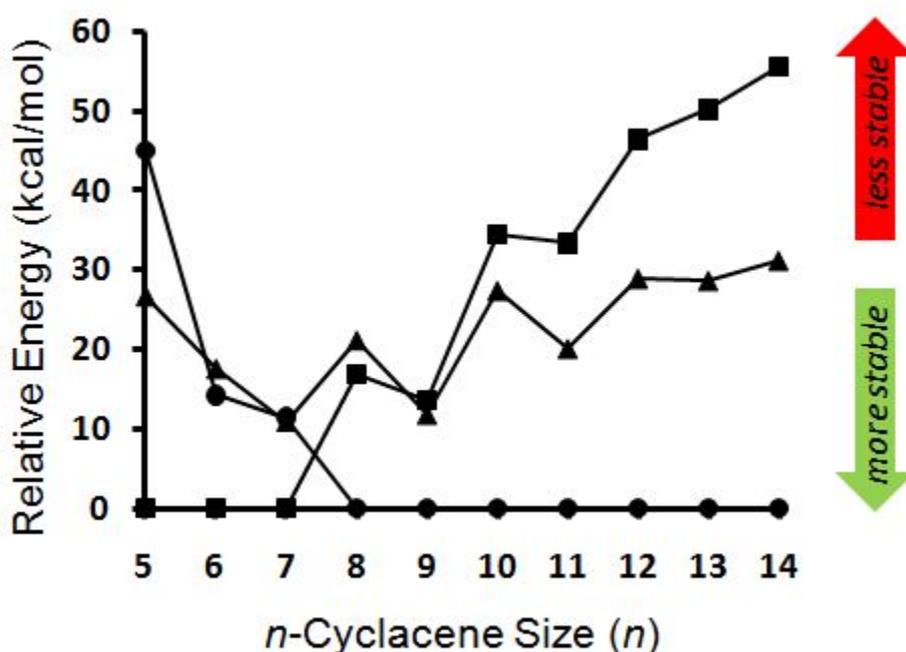
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3 isomers it transpires that the energy is minimized when the distance between
4 the two Dewar benzenoids reaches a maximum (see Supplementary
5 Information). The rest of these calculations have therefore been performed
6 with the two Dewar benzenoid rings located on opposite sides of the molecule.
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8 The relative energies calculated for the isomers show that, for the n -
9 cyclacenes where $n = 5-7$, the isomers with two Dewar benzenoids are the
10 most stable form, and that the single Dewar benzenoid isomer is also more
11 stable than the purely benzenoid isomer for 5-cyclacene.
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47 Figure. 1 Optimized geometries of the 14-cyclacene isomers for (a) the isomer
48 containing benzenoid rings only, (b) the isomer containing one Dewar benzenoid
49 ring, and (c) the isomer containing two Dewar benzenoid rings.
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52 These results are shown for DFT in Figure. 2 and validated by the CASSCF
53 and NEVPT2 calculations which can be found together with the tabulated DFT
54 energies in the Supplementary Information. The 5-cyclacene isomer with two
55 Dewar benzenoids is predicted to be ca. 85.0 kcal/mol below the standard
56 isomer when using NEVPT2 and the single Dewar benzenoid isomer is
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3 predicted to be 38.4 kcal/mol below the conventional isomer. The cyclacene
4 isomers with no Dewar benzenoids are the most stable form at ring sizes of n
5 = 8 and larger. The isomers containing a single Dewar benzenoid become
6 more stable than the isomers containing two Dewar benzenoids after the 9-
7 cyclacene ring size. As the newly identified isomers are more stable than the
8 conventional cyclacenes for smaller ring sizes, they provide new intermediate
9 targets for synthesis and are likely to play an important role in understanding
10 the behaviour of cyclacene systems during synthesis and isolation. The
11 geometries calculated for the pure benzenoid isomers in this work closely
12 match those reported in the literature.^{15, 16, 43} Cartesian coordinates for the
13 isomers studied have been provided in the Supplementary Information
14 together with discussion of some of the newly identified key structural features.



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47 Figure. 2 Relative energies of the n-cyclacene isomers calculated using TAO-DFT where the
48 number of Dewar benzenoids is zero (circles), one (triangles), or two (squares).

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50 One proposal to explain why cyclacene synthesis has proven to be such a
51 hard problem is because of their unique electronic properties. Conventional
52 cyclacene isomers are predicted to have readily accessible excited electronic
53 states, and significant polyradical character in their singlet ground state
54 configurations that anti-correlates strongly with their singlet-triplet energy
55 gaps.¹⁶ Radical indices have been calculated at between 1 and 2 unpaired
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3 electrons for the even numbered cyclacenes where $n = 6, 8,$ and $10,$ with an
4 increase to between 3 and 4 unpaired electrons when $n = 12, 14,$ and 16 using
5 CASSCF methods.¹⁶ The cyclacene isomers considered here are all predicted
6 to have singlet ground state configurations, and their singlet-triplet energy
7 gaps are shown in Figure. 3(a). The singlet-triplet energy gaps for the pure
8 benzenoid n -cyclacenes oscillate, with the even n species having more stable
9 ground states than the species with odd $n,$ due to the presence of
10 cryptoannulenic effects. The ground states for the isomers with one Dewar
11 benzenoid are more stable for the low n ring sizes and do not have oscillating
12 energy gaps. The isomers with two Dewar benzenoids also do not show the
13 oscillating pattern indicative of cryptoannulenic effects and have significantly
14 larger singlet-triplet gaps, starting 1.66 eV above the purely benzenoid isomer
15 for 5-cyclacene. The isomers with two Dewar benzenoids follow a nearly
16 monotonically decreasing trend as the ring size increases. The one notable
17 exception to this trend is the 7-cyclacene isomer, which has an anomalously
18 large singlet-triplet energy gap and increased singlet stability compared with
19 the equivalent 6-cyclacene isomer when calculated using DFT. Because the
20 cyclacene isomers containing two Dewar benzenoids have a comparatively
21 small degree of fractional orbital occupation (*vide infra*), they can be described
22 more meaningfully using a single set of orbitals than the other isomers. The
23 singlet-triplet energy gaps for these isomers follow a similar trend to the
24 differences between the canonical highest occupied molecular orbital (HOMO)
25 and lowest unoccupied molecular orbital (LUMO) energies when using DFT,
26 and the relative increase in stability of the ground state of the 7-cyclacene
27 isomer can be rationalized as partly due to a 0.24 eV increase in the LUMO
28 energy compared to the corresponding 6-cyclacene isomer. The cavity of the
29 7-cyclacene isomer is small enough and of sufficiently low-symmetry to allow
30 the LUMO to involve a significant delocalization across the cavity as shown in
31 Figure. 3(b).
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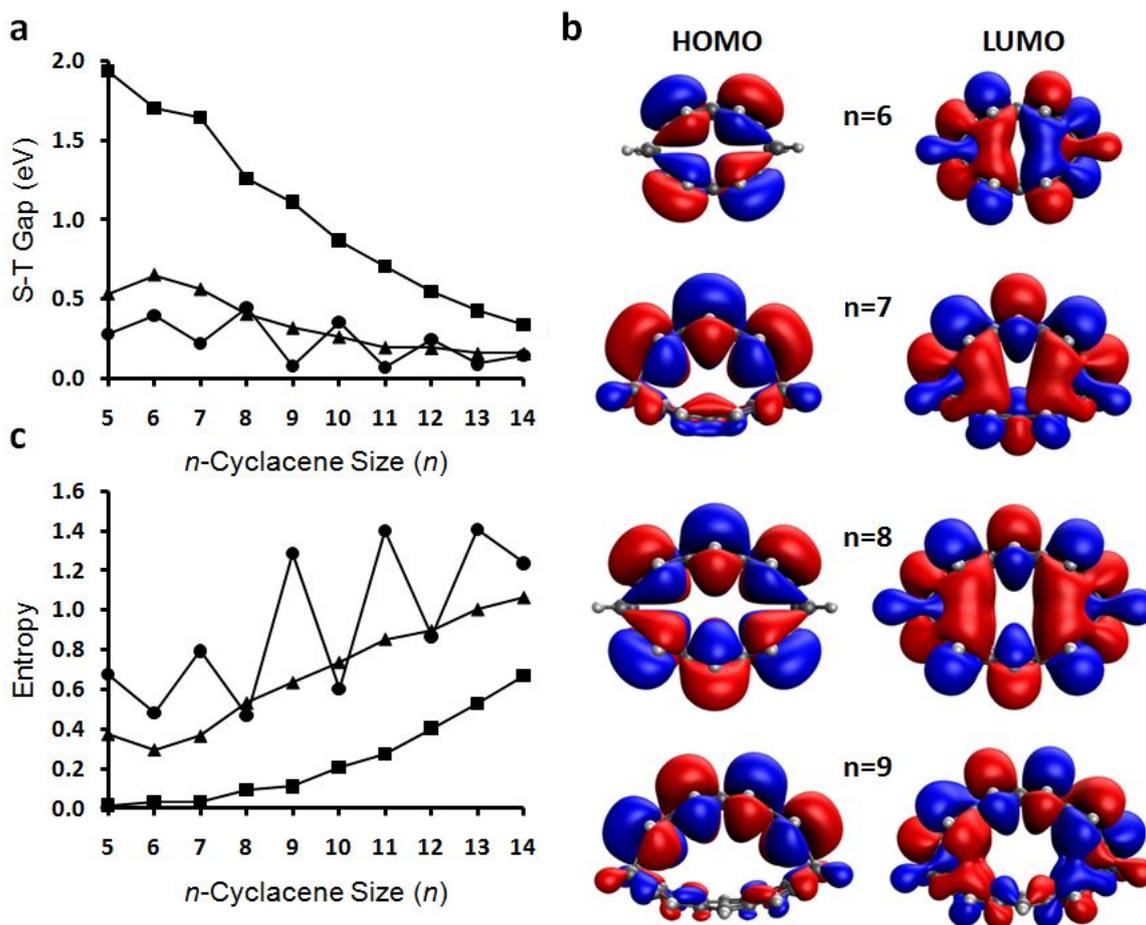


Figure. 3 Electronic properties of Dewar benzenoid containing cyclacenes. (a) the Singlet-triplet vertical transition energies for n -cyclacene isomers where the number of Dewar benzenoids is zero (circles), one (triangles), or two (squares). (b) canonical DFT HOMOs and LUMOs for the n -cyclacene isomers containing two Dewar benzenoids ($n = 6-9$, shown in descending order) at an isosurface value of $0.02 e/\text{\AA}^3$. The HOMO is defined here as the $(N/2)^{\text{th}}$ orbital and LUMO is defined as the $(N/2+1)^{\text{th}}$ orbital. (c) symmetrized von Neumann entropy for n -cyclacene isomers, where the number of Dewar benzenoids is zero (circles), one (triangles), or two (squares).

Symmetrized von Neumann entropy (see Supporting Information) can be used to estimate the radical character by measuring the degree to which orbitals are fractionally occupied. The radical character thus measured is shown in Figure. 3(c). For the pure cyclacenes the symmetrized von Neumann entropy oscillates in line with previous calculations, attributed to cryptoannulenic effects.²⁶ These oscillations indicate that n -cyclacenes with odd n have a higher radical character than the even n species, when n is relatively small. The cyclacene isomers with single Dewar benzenoids do not show oscillating

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3 behaviour, and begin to follow a near linear trend with increasing size from the
4 8-cyclacene isomers onwards after an initial decrease in the symmetrized von
5 Neumann entropy between the 5-cyclacene and 6-cyclacene isomers. The
6 entropy for these isomers appears to be anti-correlated to the singlet-triplet
7 gaps, suggesting a close relationship between the transition energy and the
8 radical character. In contrast, the isomers containing two Dewar benzenoids
9 have relatively low symmetrized von Neumann entropies and are likely to have
10 very limited radical character and little static electron correlation. Thus, they
11 are likely to be well described by single reference *ab initio* methods,
12 particularly for values of $n < 10$. The symmetrized von Neumann entropies
13 show a different trend to the singlet-triplet gaps at these values of n ,
14 suggesting that the relative stability of the singlet ground state in these
15 isomers is not due to the presence of radicals. Furthermore, the relative
16 stability that these isomers are predicted to have strongly suggests that they
17 should be synthetically accessible from simple fragments by the generalized
18 synthetic strategies exemplified in Figure S1 of the Supporting Information.
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33 The discovery that cyclacene isomers containing two maximally separated Dewar
34 benzenoids are predicted to be more stable than the purely benzenoid cyclacenes
35 when the ring size is small, together with the lower polyradical character and
36 significantly larger singlet-triplet energy gaps, imply that these systems are much
37 less reactive than the isomers that have previously been considered. These isomers
38 are expected to be metastable with respect to the pure benzenoid cyclacenes for the
39 larger belts and to interconvert for the smaller belt sizes. Their discovery therefore
40 represents a promising set of new synthetic targets for a wide range of nanobelts
41 systems.
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51 ASSOCIATED CONTENT

52 Supporting Information

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55 The Supporting Information is available free of charge at <https://pubs.acs.org/doi/...>
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3 Computational details, calculated absolute energies, leading CASSCF configuration
4 weights, Cartesian coordinates, and discussion of the geometric properties for the *n*-
5 cyclacene isomers studied.
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19 Notes

20 The authors declare no competing financial interest.
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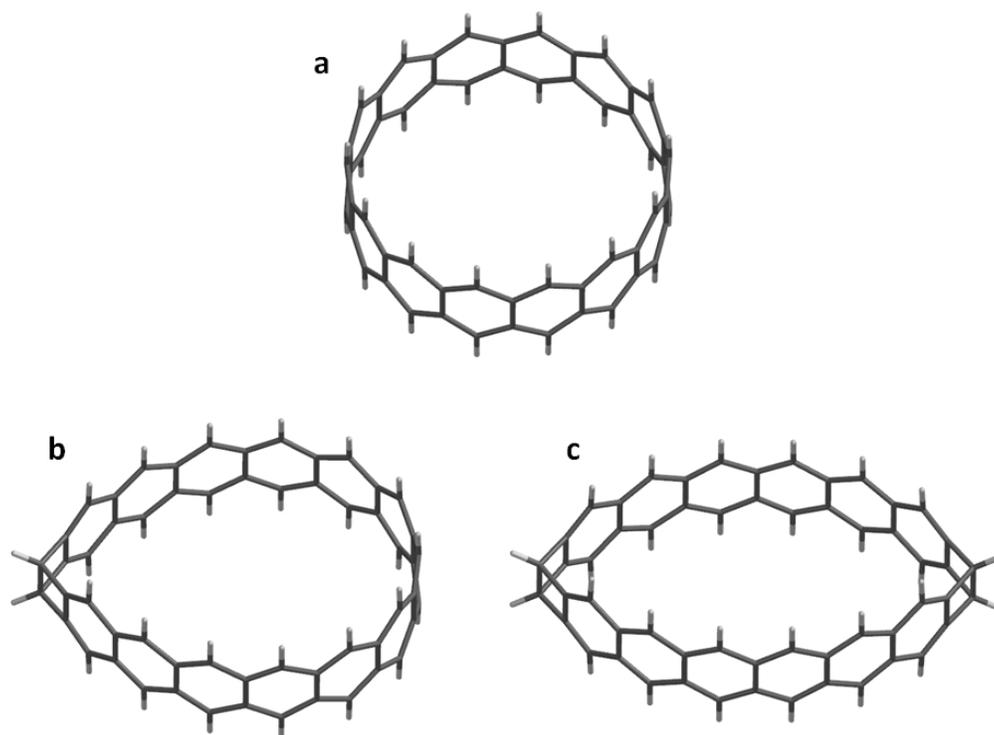


Figure. 1 Optimized geometries of the 14-cyclacene isomers for (a) the isomer containing benzenoid rings only, (b) the isomer containing one Dewar benzenoid ring, and (c) the isomer containing two Dewar benzenoid rings.

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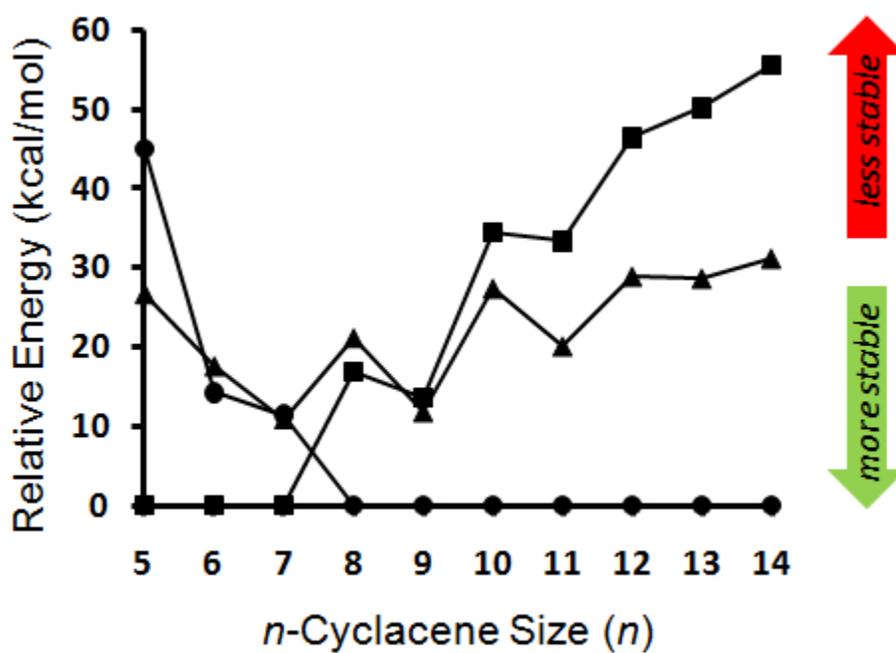


Figure. 2 Relative energies of the n -cyclacene isomers calculated using TAO-DFT where the number of Dewar benzenoids is zero (circles), one (triangles), or two (squares).

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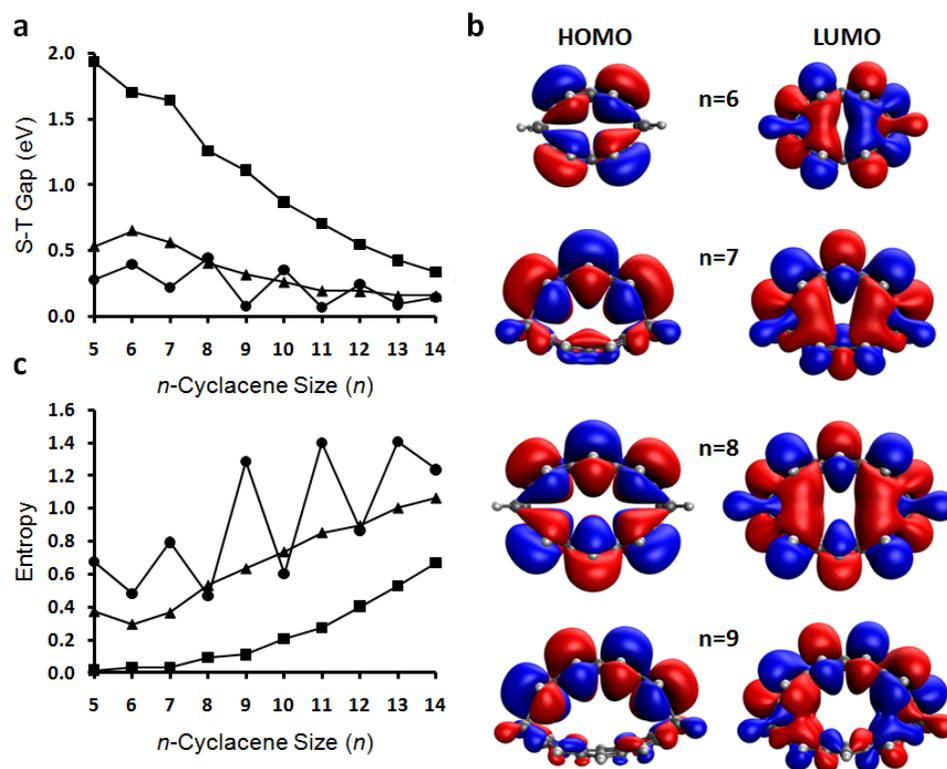


Figure. 3 Electronic properties of Dewar benzenoid containing cyclacenes. (a) the Singlet-triplet vertical transition energies for n -cyclacene isomers where the number of Dewar benzenoids is zero (circles), one (triangles), or two (squares). (b) canonical DFT HOMOs and LUMOs for the n -cyclacene isomers containing two Dewar benzenoids ($n = 6-9$, shown in descending order) at an isosurface value of $0.02 e/\text{\AA}^3$. The HOMO is defined here as the $(N/2)$ th orbital and LUMO is defined as the $(N/2+1)$ th orbital. (c) symmetrized von Neumann entropy for n -cyclacene isomers, where the number of Dewar benzenoids is zero (circles), one (triangles), or two (squares).

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