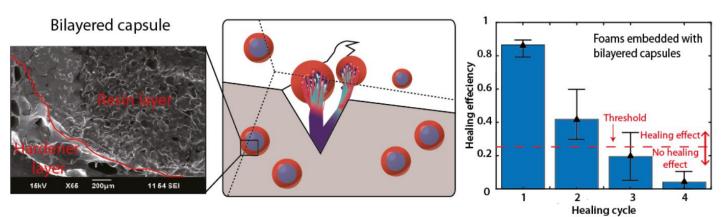
1	Bio-inspired self-healing polymer foams with bilayered capsule systems
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11 Abstract

12 Bio-inspired, self-healing polymer foams containing novel calcium-alginate capsule system 13 was developed for load-bearing application. The capsules were created by a multi-stage 14 encapsulating process that can encapsulate two mutually reactive healing agents within single 15 capsules. The capsules had a bilayered structure with the epoxy resin encapsulated within the 16 inner layer and the hardener within the outer layer. To evaluate the mechanical self-healing 17 performance, the following tests were conducted, i.e. (1) cyclic quasi-static compression tests for foams; (2) quasi-static three-point bending tests for foam core sandwich beams; and (3) 18 19 high-speed soft impact tests for foam core sandwich beams. Cyclic quasi-static compression 20 tests demonstrated (1) bilayered capsule systems had better multiple self-healing effect 21 compared to the dual capsules system without external interventions; and (2) bilayered 22 capsules could enhance the stiffness and strength of foams. For foam core sandwich beams, 23 the bilayered capsules did not have a noticeable effect on the effective stiffness of the beams; 24 however, it could provide a noticeable self-healing effect when the damage occurred. The 25 images obtained from scanning electron microscope (SEM) and X-ray microcomputed 26 tomography (μ CT) suggested that the micro-cracks induced by the static and impact loading 27 were either fully or partially healed by the released healing agents without external 28 interventions.



29 Keywords: Self-healing effect; Bilayered capsules; Calcium-alginate; Polymer foam; Foam

30 core sandwich beams

31 **1. Introduction**

32 Composite wind turbine blades, consisting of fibre reinforced composites and foam core sandwich structures, may subject to a wide range of physical events during the life expectancy. 33 These events may include variable amplitude cyclic loadings, bird strike, lightning strike as 34 35 well as daily and seasonal temperature and humidity variations [1-4], which may incur various damage in the composite structures. Dramatic growth in off-shore wind farms has created 36 37 opportunities for the application of large scale wind turbine systems in order to achieve high 38 power generation efficiency. The system of power level 12 MW and rotor diameters on the 39 order of 220 m Haliade-X has recently been under development [5]. However, large scale wind turbine systems may subject to difficulty in maintenance and damage repair, especially, for 40 the wind farms far away from the shore. Here, we report a novel, bio-inspired [6] self-healing 41 42 polymer foams that can be combined with fibre reinforced polymer composites to create self-43 healing foam core sandwich structures for composite wind turbine blade systems. The self-44 healing function embedded in the material systems will automatically be activated to fully or 45 partially restore the functionality of the composite materials when damage occurs.

46 For polymer composites, self-healing can be achieved through intrinsic and extrinsic self-47 healing mechanisms [7-9]. Intrinsic self-healing is activated by the parent polymers under 48 external stimuli, including various thermo-mechanical/chemical stimuli. Hayes et al. (2007) 49 developed intrinsic solid state self-healing systems that could achieve multiple healing effects. The healing agent was pre-mixed in the epoxy resin at 80 °C, and the damaged samples could 50 be healed at the temperature over 100 °C [10, 11]. Li and John (2008) and John and Li (2010) 51 52 developed self-healing synthetic foam core sandwich structures. The synthetic foam core had the functionality of shape memory, and damage recovery could be achieved through a 53 54 multistep heating process [12, 13].

55 Extrinsic self-healing can be achieved through releasing the prefilled healing agents within 56 either vascular [14, 15] or capsular [16, 17] containers when damage occurs. Capsular self-57 healing systems are suitable for industrial-scale production with the advantages of easy 58 fabrication, low cost, and versatility [18]. The two-part healing agents (resin and hardener) 59 can be encapsulated through three approaches, i.e. dual-capsule system [19], capsules-60 catalysts system [20], and mono-capsules system [21, 22]. In the dual-capsule system, the 61 capsules containing resin and the capsules containing hardener coexist inside the material systems, as shown in Fig. 1(a). The healing effect can be achieved when the released resin and 62 63 hardener are mixed at the locations of damage. Yuan et al. (2008) encapsulated diglycidyl 64 tetrahydro-o-phthalate (DTHP) (resin) and mercaptan/tertiary amine (hardener) using the dual-capsule system. The dual capsules were then embedded into the EPON polymer matrix. 65 Tapered double cantilever beam tests were conducted to evaluate the healing effects, which 66 67 indicated that the healing efficiency reached 100% when the capsules concentration (wt.%) was more than 5% [23]. Hia et al. (2018) fabricated a dual capsules system, which contained 68 69 diglycidyl ether of bisphenol A (resin) and mercaptan/tertiary amine (hardener). The 70 specimens were tested by an impact pendulum, which revealed that the maximum healing 71 efficiency reached over 55% when the capsules concentration was 20% [24]. Except for the 72 mercaptan/tertiary amine, Li et al. (2013) selected polyetheramine (D-230) as the hardener. 73 The healing efficiency reached 84.5% with 15 wt.% of capsules. However, if the capsules were 74 not uniformly distributed, the released resin and hardener agents could not be well mixed to 75 achieve good healing effects [25].

76 In the capsules-catalysts based self-healing system, catalysts are pre-dispersed within the 77 matrix. The healing effect is initiated when the healing agent is released from the cracked 78 capsules and interacts with the catalysts. White et al. (2001) tested the EPON polymer matrix 79 embedded with dicyclopentadiene (DCPC) capsules and bis (tricyclohexylphosphine) 80 benzylidene ruthenium (IV) dichloride catalyst under mode I fracture tests. The healing 81 efficiency was 45% at room temperature when capsules concentration was 5%. The healing 82 efficiency increased to 80% in the 80 °C environment [26]. Later on, several capsules-catalysts based self-healing systems were developed including the DCPD healing agents with the WCl₆ 83 84 catalysts [27], the ENB blend healing agents with the Grubbs' catalysts [28] and the ethyl 85 phenylacetate (EPA) healing agents with the solid-state catalysts $(Sc(OTf)_3)$ [29]. The healing efficiency of the above capsules-catalysts systems ranged from 40% to 110% depending on 86 87 the capsule concentration and temperature. The additional cost and toxicity of the catalysts 88 limited their applications [30].

The mono-capsules system only contains capsules with single-part healing agent. Healing effects are triggered when the resin releases from capsules and flows through cracks. Several

91 ideas for developing mono-capsules systems were proposed by different researchers. Caruso 92 et al. (2008) developed two epoxy solvent-based self-healing systems, namely, chlorobenzene 93 and ethyl phenylacetate solvents. When solvents were released from capsules and 94 encountered the residual amine existing in the matrix, the healing effect was triggered [31]. The healing efficiency could reach 100% with 15wt.% capsules in the 35 °C environment. Yuan 95 96 et al. (2013) fabricated a mono-capsules system, which contained diaminodiphenylsulfone 97 (DDS) catalysts agents. The capsules were embedded into the cyanate ester (CE) polymer matrix. Fracture toughness was measured using single-edge notched beams under Mode I 98 99 conditions [32]. The healing effect was triggered when the released DDS encountered the 100 melted CE resin. The healing efficiency was 85% at 220 °C environment when capsules 101 concentration was 5%. Unlike the dual capsules based systems, the mono-capsules based self-102 healing system does not require the uniform dispersion of two types of capsules. However, 103 the requirements of external interventions and the toxicity of solvents limited their 104 applications.

105 In this research, we report a novel, multi-stage encapsulating process that can encapsulate 106 epoxy resin and hardener within single calcium-alginate capsules. The capsules have a 107 bilayered structure with epoxy resin stored in the inner layer and hardener stored in the outer 108 layer, as schematically shown in Fig. 1 (b). Upon the damage events occurred inside of the 109 materials, both healing epoxy resin and hardener can be released from the capsules to repair 110 the voids and cracks within the damaged areas without imposing heat and pressure. To 111 evaluate of the self-healing performance, polymer foams and sandwich beams, both embedded with bilayered capsules, were fabricated and tested under static and impact 112 113 loadings.

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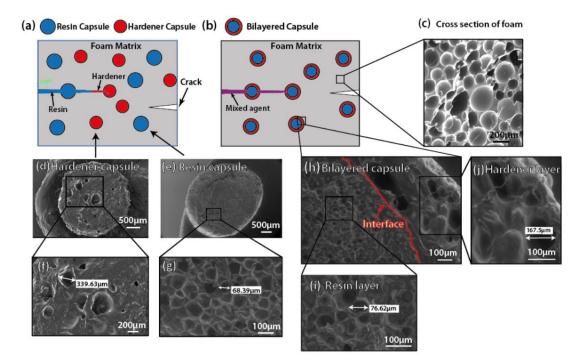
115 **2. Materials and manufacturing**

116 **2.1. Materials**

117 The polymer foams

The polymer foams were created by mixing PB250 epoxy resin¹ and DM03 hardener¹. The PB250 epoxy resin consisted of bisphenol A diglycidyl ether (DGEBA) and epoxide derivatives, which had a density of 1.10 g/cm^3 and a viscosity of $10000 - 14000 \text{ mPa} \cdot \text{s}$ at 25° C. The DM03

hardener consisted of triethylentetramine (TETA), phenol, formaldehyde/phenol/TETA 121 122 polymer, diethylenetriamine (DETA), and alkyl ether polyamine, which had a density of 1.00 123 g/cm^3 and a viscosity of 170 – 250 mPa \cdot s at 25 $^{\circ}C$. The mix ratio was 100 weight of resin with 124 30 weight of hardener. The mixed emulsion was cured after 6 hours at 40 °C. The compressive 125 strength and elastic modulus of the cured foams after free expansion were 6 MPa and 240 126 MPa, respectively. A cubic aluminium mould with the inner edge length $l_a = 50 \text{ mm}$ was used to fabricate the specimen in the foaming process. The cured polymer foams had the closed-127 128 cell microstructure with the density of $\rho_e = 0.33 \,\mathrm{g/cm^3}$, the volume fraction of void of $n_v = V_v / V_T = 0.65$, where V_v is the volume of void and V_T the total volume, as shown in Fig. 129 1 (c). The low water absorption ensured that the majority of the released healing agents could 130 flow through the micro-cracks and the fractured cells. The internal microstructure of the 131 cured foam was examined via scanning electron microscopic (SEM) images, see Fig. 1(c) for 132 example, which showed that the average diameter of the pores within the foam samples was 133 $d_c = 0.16 \mathrm{mm}$. The bilayered calcium-alginate capsules were added to the mixture at the 134 beginning of the foaming process, which can be uniformly distributed within the foams. 135



136

Figure 1. The schematics of (a) the dual-capsule self-healing system, and (b) the bilayered capsule based self-healing system. (c) The SEM image of the microstructure of the polymer foam. The SEM images of a hardener capsule showing a multicore-like internal microstructure ((d) and (f)); the SEM images of a resin capsule showing an irregular honeycomb-like internal microstructure ((e) and (g)); and the SEM images of a bilayered capsule showing a multicore-like internal microstructure at the

hardener layer and an irregular honeycomb-like internal microstructure at the resin layer ((h), (i) and(j)).

144

145 *The two-part healing agents*

The epoxy rapid repair resin² and formulated amine hardener² were used as the healing 146 agents and encapsulated within the sodium-alginate capsules. The rapid repair epoxy resin 147 consisted of bisphenol A, 1,4 – butanediol diglycidyl ether, propylene carbonate, and 1,3 – 148 propanediol, which had a density of $1.16 - 1.21 \text{ g/cm}^3$ and a viscosity of $900 - 1300 \text{ mPa} \cdot \text{s}$ 149 at 25 °C. The formulated amine hardener consisted of aminoethylpiperazine, nonylphenol, 150 triethanolamine, and 2,4,6 – tris, which had a density of $0.94 - 0.99 \text{ g/cm}^3$ and a viscosity of 151 120 – 160 mPa•s at 25 °C. The mix ratio by weight was 100:33 (rapid repair resin to 152 formulated amine hardener), which gave a viscosity of 800 – 1200 mPa•s at 25°C for the 153 154 mixed agents. The curing time was 15 minutes at room temperature. This epoxy system had 155 several advantages to achieve potential high self-healing efficiency. Firstly, the mixed agents had low viscosity, which enabled the fast-flowing of healing agents through the cracked media. 156 Secondly, the mixed agent could be cured quickly, and the cured material had good recovery 157 of mechanical properties. The tensile strength, flexural strength and flexural modulus of the 158 159 polymerized healing agents were 40 - 50 MPa, 95 - 105 MPa and 2200 - 2700 MPa, 160 respectively.

161 **2.2.**

The two-stage encapsulation process

162 The microstructures of capsules were formed through the chemical reaction when sodium 163 alginate³ ($C_6H_7O_6Na$) encountered calcium chloride³ ($CaCl_2 \cdot H_2O$). A two-stage encapsulation process was employed to create the bilayered capsules, as shown in Fig. 2. For 164 165 the first stage, the inner resin layer was fabricated, as shown in Fig. 2 (a). 40q epoxy rapid repair resin was mixed with 50g deionized water by the Digital Overhead Stirrer OS-40 for 15 166 167 min. Then, 1.5g sodium alginate powder was added into the well-mixed resin solution in three 168 portions at the interval of 15 minutes. The well-mixed resin-alginate emulsion was dropped 169 into the 0.02 wt% calcium chloride solution from a 1000 ml dropping funnel with a 2 mm socket size. The calcium ions replaced the sodium ions of sodium alginate in the droplets and 170 171 cross-linked the alginate polymer to form resin layer capsules [33]. The resin layer capsules

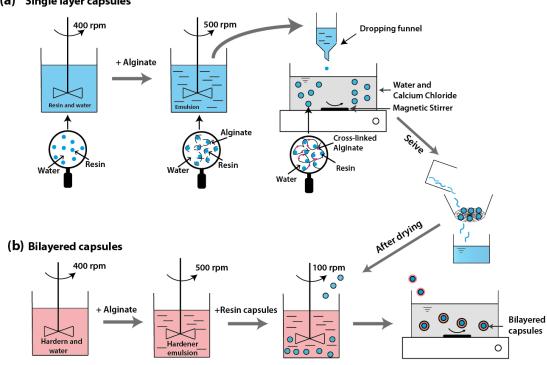
¹ Matrix Composites Ltd. UK

² Easy Composites Ltd. UK

³ Sigma-Aldrich

⁴ RS Components

172 were then sieved out from the calcium solution and washed by the deionized water. To evaporate water from capsules, the capsules were placed into an oven with 40 $^{\circ}$ C for 3 hours 173 174 [16, 24]. The diameter of dried resin layer capsules ranged from 3mm to 3.5mm. For the 175 second stage, the outer hardener layer was fabricated. The dried single layer resin capsules 176 were mixed with alginate-hardener emulsion. A laboratory stirrer was used to mix the 177 emulsion and capsules for 15 minutes to make sure the good covering of emulsion on the surface of the resin capsules. The emulsion covered capsules were sieved out and dropped 178 179 into the 0.02 wt% calcium solution to form the outer alginate-calcium microstructure containing the hardener agent. The fabrication process of the second stage is shown in Fig. 2 180 (b). The bilayered capsules were sieved out of calcium solution and dried in an oven with 40 181 ^oC for 3 hours to evaporate water. The diameters of the dried bilayered capsules ranged 182 from 3.5mm to 4mm. For the comparison purpose, single layer capsules containing either 183 184 resin or hardener agent were fabricated to create polymer foams with dual-capsule healing 185 system based on the first stage. The internal structures of a bilayered capsule and a single 186 layer capsule are shown in Fig. 1(d) to (g).



(a) Single layer capsules

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Figure 2. The schematics of the two-stage encapsulation process: (a) inner layer, and (b) outer layer. 188

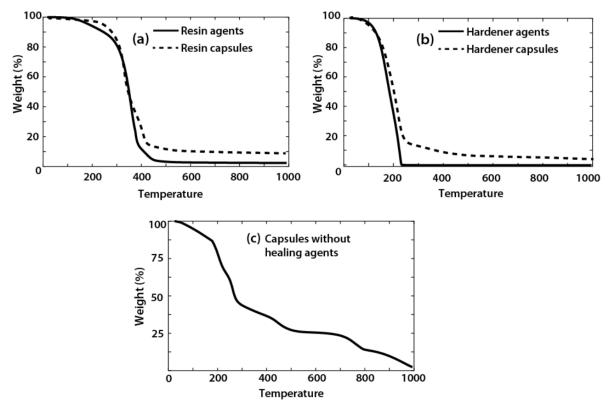
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190 **2.3.** Characterisation of capsules

191 To characterise the internal structures of capsules, a resin capsule, a hardener capsule, and a 192 bilayered capsule were cut by a stainless steel blade and dried within a vacuum oven to evaporate healing agents before processing by scanning electron microscope (SEM). The SEM 193 images of the hardener capsule, resin capsule, and bilayered capsule are shown in Fig. 1(d), 194 195 (e) and (h), respectively. The hardener capsule has a multicore-like internal microstructure that provides space to store healing agent, as shown in Fig. 1 (f), which is similar to that of 196 197 outer layer in the bilayered capsule, as shown in Fig. 1 (j). The epoxy resin capsule has an 198 irregular honeycomb-like internal microstructure to store resin agent, as shown in Fig. 1 (g), 199 which is similar to that of inner layer in the bilayered capsule, as shown in Fig. 1 (i).

200 2.4. Thermal characterisation of capsules

201 The thermogravimetric analysis (TGA) was applied to evaluate the thermal stability of healing 202 agents and capsules. The NETZSCH, TG 499 F3 Jupiter Machine with the nitrogen flowing atmosphere and 10 0 C/min heating rate was used. Three capsules or 5g healing agent were 203 placed in an aluminium crucible in each analysis. Fig. 3 (a) shows the TGA results of the resin 204 205 agents (solid curve) and resin capsules (dashed curve). Both resin agents and capsules had a 206 slight mass loss up to 250 ^oC owing to the absorption of moisture by specimens. The dramatic 207 mass losses occurred between 250 °C and 450 °C. Fig. 3 (b) shows the TGA results of the 208 hardener agents and hardener capsules, respectively. A dramatic mass losses were observed between 200 ^oC and 220 ^oC. As the healing agents were nearly evaporated with the rise of 209 temperature, the residual mass of capsules could be mainly attributed to the decomposed 210 211 materials from the calcium-alginate microstructures. As shown in Fig. 3 (c), the mass of 212 capsules without healing agents decreases gradually with the increase of temperature 213 because of the removal of the crystalline water from calcium chloride (CaCl₂•H₂O) between 150 0 C and 220 0 C and the decomposition of sodium alginate (C₆H₇O₆Na) to Na₂•CO₃ and 214 the carbonized material between 550 $^{\circ}$ C and 750 $^{\circ}$ C. 215



216

Figure 3. The TGA analysis of the (a) epoxy resin agents and resin capsules, (b) hardener agents and hardener capsules, and (c) capsules without healing agents.

220 **2.5. Fabrication of the polymer foams**

221 **Polymer foams without fibre reinforcements**

A cubic aluminium mould with a 50 mm inner edge length was used to fabricate polymer 222 foams. Before fabrication, the Macwax non-silicone aerosol release agents¹ were spread on 223 224 the internal surfaces of the mould for the ease of de-moulding. The foaming process was 225 triggered by the chemical reaction between the PB250 resin and DM03 hardener. To fabricate neat foam samples, the PB250 resin and DM03 hardener were mixed at the weight ratio of 226 10:3 for 5 minutes using Digital Overhead Stirrer at the speed of 500 r/min. The mixed 227 emulsion was then transferred into the aluminium mould. After the emulsion expanded to 228 the top of the mould, the mould was tightly sealed and placed into an oven to cure at 40 $^{\circ}$ C 229 230 for 24 hours. The density of the neat foam samples was 350-400 kg/m³. To fabricate foam samples containing capsules, the dried capsules were mixed with the emulsion before being 231 232 transferred into the aluminium mould. The dimension of foam samples after cure was 50mm X 50mm X 50mm. 233

235 Polymer foams with fibre reinforcements

The CEM-FIL[®] short glass fibres⁴ were employed to reinforce foam cores in sandwich beams to provide flow channels and facilitate the healing mechanisms. The volume fraction of the embedded short fibres $n_s = \Omega_{sh}/\Omega$ was 3.73%, where Ω_{sh} is the volume of embedded short fibres. During the fabrication, short fibres were mixed with the emulsion before transferring into the mould. Three dimensions of glass fibre reinforced polymer foams were fabricated, which were 240mm X 40mm X 10mm for three-point bending tests, 50mm X 50mm X 50mm for quasi-static compressive tests, and 140mm X 40mm X 10mm for shear tests.

243 **2.6. Fabrication of sandwich beams**

A sandwich beam was manufactured by bonding two identical glass fibre reinforced epoxy laminates on the top and bottom sides of the foam core via the Loctite EA 9461 two-part adhesive⁴. The adhesive was cured at 40 °C under 2KPa dead load for 24 hours to ensure the stable bonding. In this study, sandwich beam samples embedded with 15% VF bilayered capsules, 15% VF resin capsules, and without capsules were fabricated.

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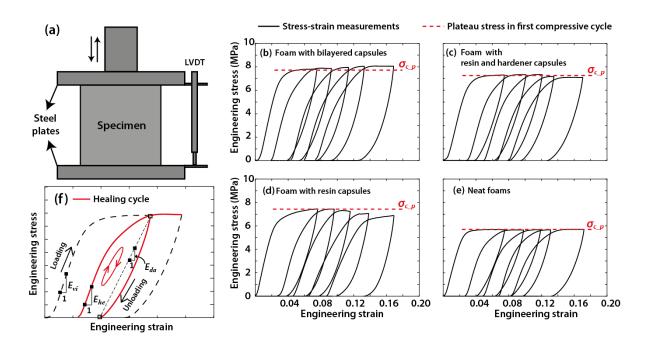
250 **3. Experiments**

251 **3.1.** Cyclic quasi-static uniaxial compression test

252 Cyclic quasi-static uniaxial compressive tests of polymer foams

253 As the foams are mainly under compressions in the engineering applications, cyclic quasi-254 static compression tests were conducted to induce damage within the foam matrix and to 255 examine the self-healing effects. Cubic specimens with an edge length of 50 mm embedded 256 with bilayered capsules, dual capsules, resin capsules in 10% volume fraction (VF), and neat 257 foams (without capsules) were fabricated for testing. The neat foams and foams embedded 258 with resin capsules were designed as the control groups which had no healing effects. The 259 self-healing efficiencies of foams embedded with dual capsules and foams embedded with bilayered capsules were compared. The schematic of the experimental setup is shown in Fig. 260 261 4 (a). The tests were carried out by an Instron Universal Testing Machine at room temperature following the procedure ASTM 1621-04a with three repetitions for each type of specimen. 262 263 The displacement-controlled crosshead speed of the test machine was 5 mm/min. The

measured compressive force $P_{\rm F}$ and the vertical displacement Δl of the crosshead were 264 265 recorded by a 50KN load cell and Linear Variable Differential Transformer (LVDT), respectively. Let l_{0r} and l represent the original height and final height of a foam sample, and A the original 266 area of the cross-section of the sample, $A = l_{0r}^2$. The compressive strain and stress of the foams 267 were calculated as $\varepsilon = |l - l_{0r}|/|l_{0r}|$ and $\sigma = P_F/A$, respectively. In the cyclic compression test, 268 a compression cycle contained a loading phase and an unloading phase, see Fig. 4 (f). Let ε_n 269 represents the compressive strain after a loading phase in the compression cycle n, 270 $n = \{1, 2, 3, 4\}$. The applied compressive strain was controlled based on the relation 271 $\varepsilon_n = \varepsilon_{n-1}^* + 0.075$ to achieve adequate damage before densification, where ε_{n-1}^* is the residual 272 strain measured after the unloading phase in the (n-1) compression cycle, and $\varepsilon_0^* = 0$. The 273 274 interval time between two compression cycles was 24 hours to achieve stable results.



275

Figure 4. (a) The schematic of the cyclic quasi-static compression test. (b) – (e): The representative
 responses of a foam embedded with the bilayered capsules (b), a foam embedded with the resin and
 hardener capsules (c), a foam embedded with the resin capsules (d), and a neat foam (e) under cyclic
 quasi-static compression. Elastic moduli of loading and unloading are defined based on the loading unloading stress-strain curve (f).

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- 282
- 283

284 Quasi-static uniaxial compressive tests of capsules

To understand the compressive behaviours of the alginate capsules, the single-particle quasistatic compressive tests were carried out using an Instron testing machine at room temperature. Resin capsules, hardener capsules, and bilayered capsules were tested with four repetitions, respectively. The displacements were obtained by the average measurements recorded by two parallel LVDTs, as shown in Fig. 5 (a) schematically. The loading speed was 0.3 mm/min.

Fig. 5 shows the measured quasi-static compressive responses of three types of capsules. For hardener capsules, the compressive forces increase gradually during the compression, as shown in Fig. 5 (a). For resin and bilayered capsules, the measurements feature the similar yield forces, which are higher than those of hardener capsules in comparison of Fig. 5 (b) and (c) with (a). The compressive responses indicated that the resin layer dominated the compressive behaviour of the bilayered capsules.

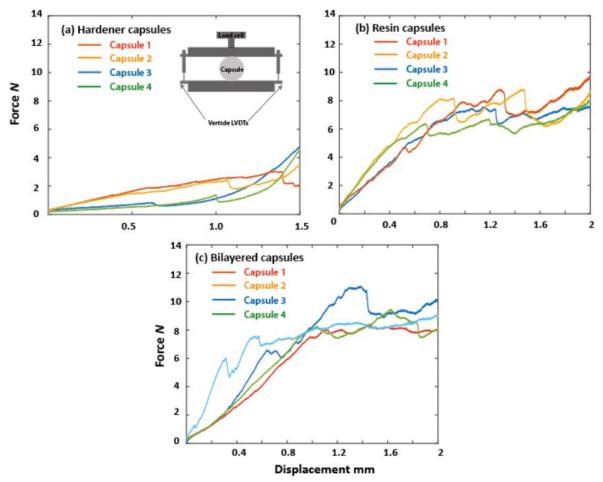


Figure 5. Compressive responses of (a) hardener capsules, (b) resin capsules, and (c) bilayered capsules.

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300 3.2. Mechanical tests of the constitutive materials of the sandwich beams

In this study, the core shear cracks in the foam cores of sandwich beams were aimed to be healed. To trigger the core shear cracks, three-point bending tests were conducted, and the geometry of the sandwich beams was designed based on the mechanical properties of constituent materials. Quasi-static compressive and shear tests were conducted on the foams embedded with short glass fibres and capsules. Tensile and compressive tests were conducted on the glass fibre reinforced epoxy laminates. Three repetitions were tested for each type of specimen.

308 **Quasi-static compressive and shear tests of fibre reinforced polymer foams**

309 The compressive tests of the fibre reinforced foams with the dimension of 50mm X 50mm X 50mm followed the Standard ASTM 1621-04a. The compressive force $P_{\rm F}$ and the vertical 310 displacement Δl of the crosshead were recorded by a 50KN load cell and an LVDT, 311 312 respectively. The typical stress-strain curves of the fibre reinforced foam and the foam 313 without fibre reinforcements are shown in Fig. 6 (a). The average compressive strength and 314 elastic modulus of the fibre reinforced foam were 12.41 MPa and 430.12 MPa, respectively, 315 which were both higher than those of the foam without fibre reinforcements. The shear tests of fibre reinforced foams with the dimension of 140mm X 40mm X 10mm followed the 316 317 Standard ASTM C273. The specimens were bonded by two steel plates on the top and bottom faces using the Loctite EA 9461 two-part adhesive. The shear force was imposed by loading 318 two parallel mild steel plates in opposite directions, as shown in Fig. 6 (b) schematically. A 319 320 constant crosshead displacement rate of 0.5 mm/min was adopted. The average shear 321 strength and shear modulus were 2.348 MPa and 209.144 MPa, respectively, measuring from 322 the shear stress-strain curve.

323 **Compressive and tensile tests of glass fibre reinforced composites**

Compressive and tensile tests with $\pm 45^{\circ}$ loading orientation were conducted on the glass fibre reinforced epoxy laminates with six $\pm 45^{\circ}$ woven layers, and followed the Standard ASTM D3410M – 16 and Standard D 3039M, respectively. An Instron 2630 clip-on extensometer was used to measure the strain, which was confirmed by the Stingray F-146B Firewire Camera video gauge with Imentrum post-processing Video Gauge software. The nominal stress was measured from a 50KN load cell. Fig 6 (c) plots the compressive and tensile stress-strain curves of the laminates with the average strength of 505.32 MPa and elastic modulus of 33.91

- 331 GPa in tension, as well as the average strength of 413.68 MPa and elastic modulus of 23.83
- 332 GPa in compression.

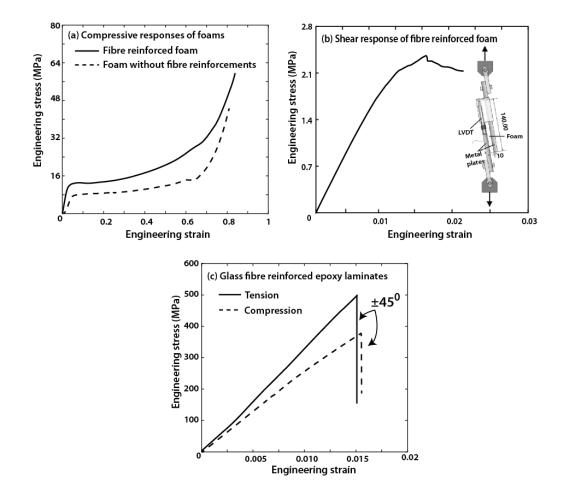


Figure 6. (a) Comparisons of the compressive responses between the fibre reinforced foam and the foam without fibre reinforcements. (b) The typical shear stress-strain curve for the fibre reinforced foam. (c) The typical stress-strain curves in tension and compression for the glass fibre reinforced epoxy laminates loading in $\pm 45^{\circ}$ loading orientation.

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339 **3.3. Geometry design of sandwich beams and three-point bending tests**

A sandwich beam under simply supported three-point bending may fail with one of the following failure modes, i.e. face yielding, core shear, wrinkling of the face sheet, and indentation of the core material. The failure loads can be calculated as

$$P_{fy} = \frac{4\sigma_h b_h t_h d_h}{l_{s0}}$$
(1)

344 for face yielding,

$$P_{cs} = 2\tau_f b_h d_h \tag{2}$$

346 for core shear,

347

$$P_{wf} = \frac{2bt_h d_h}{l_{s0}} \sqrt[3]{E_h E_f G_f}$$
(3)

349
$$P_{ci} = b_h t_h (\frac{\pi^2 \sigma_f^2 E_h d_h}{3l_{s0}})^{1/3}$$
(4)

for core indentation beneath the loading rollers. σ_{f} , τ_{f} , E_{f} , G_{f} represent the compressive 350 strength, shear strength, elastic modulus, and shear modulus of foam core, respectively; σ_{μ} , 351 $E_{_h}$ represent strength and elastic modulus of face sheet, respectively; $t_{_h}$, $b_{_h}$, $d_{_h}$, $l_{_{s0}}$ 352 represent the thickness of face sheet, the width and thickness of sandwich beam, as well as 353 354 the free span between two supports, respectively, as shown in Fig. 7. In this study, sandwich beams were designed based on the relation $P_{cs} < [P_{fy}, P_{fw}, P_{ci}]$ to trigger the failure mode of 355 core shear under the three-point bending test. From the analytic equations and the 356 357 mechanical properties of constitutive materials, the thickness of facesheets and length of sandwich beams were chosen as $t_h = 2.2 \text{ mm}$ and $l_h = 240 \text{mm}$, respectively. The width and 358 thickness of sandwich beam were $b_h = 40 \text{mm}$ and $d_h = 15 \text{mm}$, respectively. The thickness of 359 foam core is $t_f = 10 \text{ mm}$. The geometry of the sandwich beam and the setup of the three-360 361 point bending test are schematically shown in Fig. 7, where l_0 is the free span between two supports. The three-point bending tests followed the Standard ASTM C393. The beams were 362 loaded by a cylindrical roller with a radius of 10 mm, and the free span between two fixed 363 364 supports was $l_{s0} = 170$ mm.

The load was measured via a 20KN load cell, and the displacement before failure was measured via an LVDT. The LVDT was placed at centre of the bottom face. The displacementcontrolled crosshead speed was 0.5 mm/min, and the loading was stopped after the peak force. To evaluate the self-healing effects, reloading was conducted after 24 hours from the previous loading to achieve stable results. The geometry of the sandwich beam and the setup of the three-point bending test are schematically shown in Fig. 7.

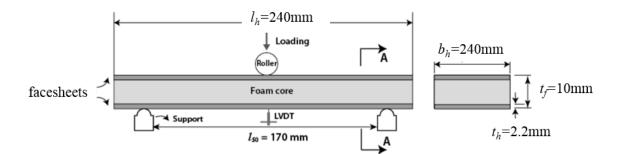


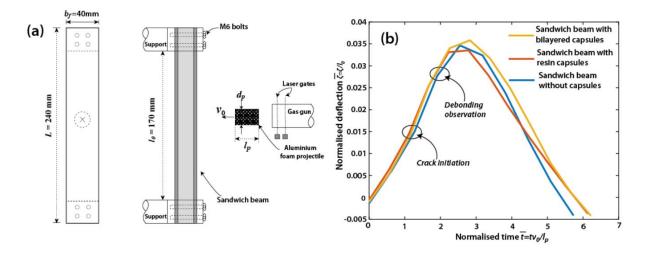
Figure 7. The geometry of the sandwich beam and the experimental setup of the three-point bendingtest.

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375 **3.4. Sandwich beams under high-speed soft impact tests**

376 Sandwich structures used in the aerospace and marine applications may suffer from the 377 impact damage. To examine the self-healing performance of foam cores sandwich structures 378 after impact damage, high-speed soft impact tests were conducted [35] using the experimental setup shown in Fig. 8 (a). The geometry of the sandwich beams used in soft 379 impact tests was identical to that used in three-point bending tests. Before the tests, the 380 381 sandwich beams were fixed into a steel fixture, which was bolted into an aluminium alloy 382 frame by eight M6 bolts. The distance from the gas gun muzzle to the front face of the 383 specimens was 200mm. The length of the gas gun barrel was 3.5m with the outer diameter 40mm and the inner diameter 28mm. The Alporas® aluminium foam of density 384 $\rho_p = 0.34 \,\mathrm{g/cm^3}$, Young's modulus $E_{pf} = 1.0 \,\mathrm{GPa}$ and plateau stress $\sigma_{pf} = 2.2 \,\mathrm{MPa}$ were 385 used to manufacture cylindrical projectiles, which had the length of $l_p = 40 \text{mm}$ and the 386 387 diameter of $d_p = 27.5$ mm. The projectiles were accelerated through the gas gun barrel by 388 compressed air that stored in a gas cylinder. Calibration tests were performed to ensure the 389 projectiles hitting at the centre of the beams. Two laser gates were installed at the open end of the barrel to record the velocities of the accelerated projectiles. The exiting velocities of 390 projectiles v_p were 246-290 m/s , which corresponded to the momentum per unit area 391 $I_p = \rho_p l_p v_p$ between 3.38 kPa•s and 3.70 kPa•s. A Phantom Mercury HS v 12.1 high-speed 392 camera captured the images of projectiles impacting on the centre of the front face sheets 393 394 and the back-face deflection of the beams. Typically, the recordings were set as the 22,000

395 fps frame rate, and 35 µs exposure time. To examine the self-healing effect after the high-396 speed soft impact tests, the damaged sandwich beams were tested under three-point397 bending tests 24 hours post the impact test.

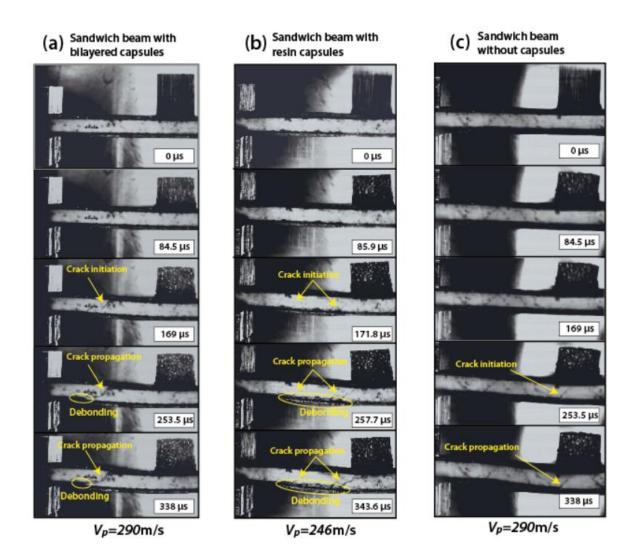


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Figure 8. (a) The experimental setup of the high-speed soft impact test. (b) Comparison of normalised deflection $\overline{\zeta}$ as a function of normalised time \overline{t} among three types of sandwich beams.

401

402 Figure 9 presents the montages of three types of sandwich beams under metal foam soft impact, obtained from the Phantom Mercury HS v 12.1 high-speed camera , i.e. sandwich 403 beams with bilayered capsules (projectile velocity 290 m/s or momentum per unit area 404 $I_p = 3.70 \text{ kPa} \cdot \text{s}$); sandwich beams with resin capsules (no healing , projectile velocity 405 246 m/s or $I_p = 3.38$ kPa·s); and sandwich beam without capsules (no healing, projectile 406 velocity 290 m/s or $I_p = 3.70$ kPa·s). The shear cracks in the foam cores occured between 407 $t = 170 \sim 250 \ \mu s$. The de-bonding between foam cores and face sheets was captured at 408 409 approximately $t = 255 \,\mu s$.



411 Figure 9. Montages obtained from the high-speed camera show the responses of (a) sandwich beam
412 with bilayered capsules, (b) sandwich beam with resin capsules, and (c) sandwich beam without

413 capsules under soft impact.

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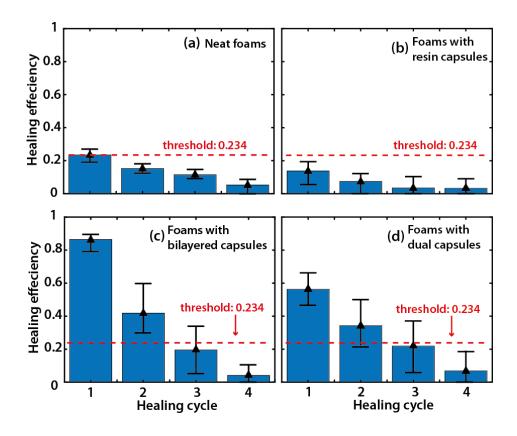
415 **4. Results and discussion**

416 **4.1.** Self-healing under cyclic quasi-static compression tests

As embedding capsules could result in modification of the mechanical properties of the matrix materials [37, 38], we first questioned whether the embedded capsules could enhance the strength and modulus of the polymer foams. The typical compressive responses of the foams embedded with bilayered capsules, foams embedded with dual capsules, foams embedded with resin capsules, and neat foams are shown in Fig. 4 (b), (c), (d), and (e), respectively. The compressive strength σ_c and elastic modulus E_c of the foams embedded with capsules in the 1st compression cycle are 7.35 MPa and 316.73 MPa, respectively, which are higher than those 424 of neat foams (5.63 MPa for strength and 272.57 MPa for elastic modulus). This confirms that capsules have reinforcing effect to the matrix foams. The plateau stress σ_{c-p} of four types of 425 foams in the 1st compression cycle is shown as the dashed line in Figs. 4. The plateau stress 426 427 of the foam embedded with bilayered capsules increases gradually with the increments of 428 compression cycles, while the plateau stress of the foam embedded with the dual capsule 429 system and that of the neat foam remain the same. In contrast, the plateau stress of the foam embedded with resin capsules gives a decreased trend with the increments of the 430 431 compression cycles. The results indicate that (1) foams embedded with capsules may have 432 higher level damage owing to the localisation induced by capsules embedded in the foam 433 matrix; and (2) the bilayered capsule system and the dual capsule system can maintain the 434 plateau stress through the healing effect. The current healing mechanism is designed to heal 435 the micro-cracks, however, the yielding of the foam is mainly controlled by the cells buckling 436 under compression [39]. Hence, the healing effect on the plateau stress is limited.

437 In this research, a healing cycle is defined as the period from the unloading phase in the 438 previous compression cycle to the loading phase in the current compression cycle, highlighted 439 by the solid curve in Fig. 4 (f). To evaluate the healing effect in each healing cycle, the healing 440 efficiency η is calculated as the recovered elastic modulus to the damaged elastic modulus $\eta = (E_{he} - E_{da})/(E_{vi} - E_{da})$ [40], where E_{vi} is the virgin elastic modulus in the first loading; E_{da} 441 is elastic modulus after damage in the unloading phase; E_{he} is the healed elastic modulus 442 obtained in the loading phase, indicating the healing effects. The elastic modulus of E_{vi} , E_{he} 443 and $E_{\rm da}$ are determined based on the stress-strain curves in Fig. 4 (f) [41]. The relations 444 between η and the healing cycles for four types of foams are shown in Fig. 10. For the neat 445 foams and foams embedded with resin capsules (without healing), the values of healing 446 447 efficiency η were non-zero, which were caused by the difference between the elastic moduli 448 in the loading and unloading phases in one healing cycle. The absorption of air during 449 unloading could be the reason to cause the difference [42]. Therefore, the healing effects can 450 be evaluated based on the threshold value $\eta_s = 0.234$ that is equivalent to the healing 451 efficiency calculated for the neat foam at the first healing cycle, i.e. there is healing effect if $\eta > \eta_s$, otherwise, no healing effect. The threshold η_s is highlighted by the horizontal dashed 452 453 lines in Fig. 10 (a). The values of the healing efficiency η calculated for the foams embedded

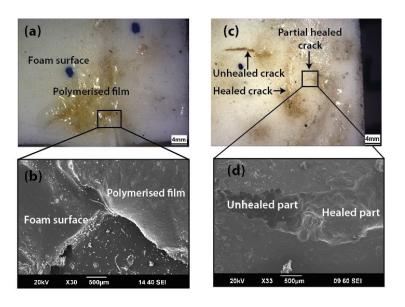
with resin capsules (without healing effects) are smaller than those of neat foams at the 454 455 corresponding healing cycles, which indicates that embedding capsules into foams could 456 result in more damage. For the foams embedded with bilayered capsules and dual capsules, 457 the values of η in the 1st and 2nd healing cycles are higher than η_s , as shown in Fig. 10 (c) and (d), respectively, which indicates the presence of the multiple healing effects. The healing 458 effects diminish in the 3rd healing cycle as the values of η are lower than η_s . For the alginate 459 460 capsules with multicore-like or irregular honeycomb-like internal microstructures, as 461 reported in this and previous researches [43], multiple healing events could be achieved 462 owing to release of the residual healing agents stored in the undamaged pores inside 463 damaged capsules. With increment of healing cycles, the amount of the stored healing agents 464 decrease, which cause the decrease of healing efficiency. In comparison, the capsules with 465 core-shell structure can only show single healing event due to the complete release of healing 466 agents after breaking of capsules [23, 42]. The values of η for the foams embedded with 467 bilayered capsules are higher than those of foams embedded with dual capsules in each 468 corresponding healing cycle. This indicates that the bilayered capsules system can provide 469 better healing efficiency than the conventional dual capsules system: the uneven distribution 470 of dual capsules could cause the insufficient chemical reaction of the two-part healing agents, 471 which limits the healing efficiency [18].

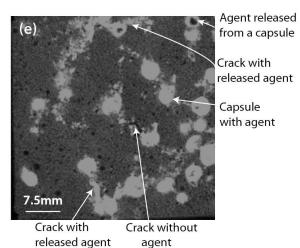


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Figure 10. The relations of the healing efficiency against the healing cycle of (a) neat foams, (b) foams
embedded with resin capsules, (c) foams embedded with bilayered capsules, and (d) foams embedded
with dual capsules under cyclic compressive tests.

477 The optical microscope and SEM were used to investigate the healing effect of the foams 478 embedded with bilayered capsules. Figure 11 (a) shows that the released healing agents could 479 provide good covering on the damaged surface. After the polymerisation of the healing 480 agents, the interconnected cracks were completely healed by the polymerised film. The 481 interface between the polymerised film and the foam surface is shown in Fig. 11 (b). Figure 482 11 (c) shows the surface of a foam containing a healed crack, a partially healed crack, and an 483 unhealed crack. The partially healed crack is further investigated using SEM, as shown in Fig. 11 (d) for example. Either insufficient released healing agents or the premature completion 484 485 of healing agent polymerisation could cause the incompletely healing.





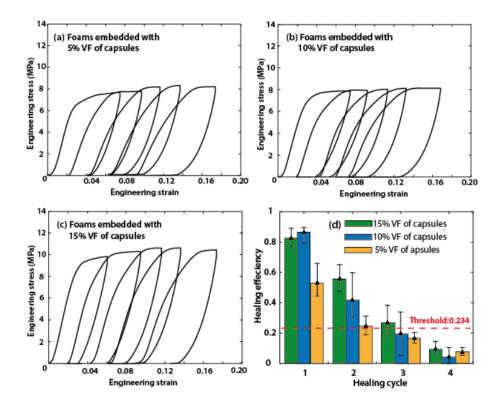
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Figure 11. (a) An optical microscopy image showing the good cover of the polymerised film on the interconnected cracks; (b) a SEM image showing the selected region in (a); (c) an optical microscopy image showing healed and unhealed cracks; (d) a SEM image of the selected region in (d); (e) an X-ray microcomputed tomography image showing a cross-section of a foam sample embedded with bilayered capsules after damage.

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494 X-ray microcomputed tomography (μCT) was employed to reveal the healing mechanism of 495 the foams embedded with bilayered capsules, as shown in Fig. 11 (e). The pixels of the X-ray 496 image were chosen as 714x714 to obtain the image with good quality. After the 1st 497 compression cycle, capsules, healed micro-cracks and unhealed micro-cracks were visible as 498 the white particles, white lines, and black lines, respectively. The white lines linking the two 499 adjacent capsules indicated the flowing of the released healing agents through the micro-500 cracks. As the bilayered capsules could retain healing agents after the 1st compression cycle, shown as the white particles in Fig. 11 (e), the bilayered capsules system could achieve multi-healing effect.

503 To evaluate the effect of volume fraction (VF) of the bilayered capsules to the self-healing 504 performance, cyclic quasi-static compressive tests were conducted on the foams embedded 505 with 5% and 15% VF bilayered capsules. The typical compressive responses of three types of foams are shown in Fig. 12 (a) to (c), respectively. The results indicated that the elastic 506 507 modulus and strength of the foam increased with the increase of the VF of the capsules. The 508 healing efficiency η of three types of foams are plotted in Fig. 12 (d). The threshold value 509 $\eta_{\rm c} = 0.234$ was selected to evaluate the healing effects. In the 1st healing cycle, all three types of foams showed healing effects. The values of η of foams embedded with 10% and 15% VF 510 capsules were similar and higher than those of foams embedded with 5% VF of capsules. With 511 the increments of healing cycles, polymer foams embedded with higher VF bilayered capsules 512 513 provided better η as more healing agents could be released.



514

Figure 12. (a) – (c): The typical compressive responses of (a) foams embedded with 5% VF of bilayered
capsules, (b) foams embedded with 10% VF of bilayered capsules, and (c) foams embedded with 15%
VF of bilayered capsules. (d) The comparisons of the healing efficiency among the foams embedded
with 5%, 10%, and 15% VF of bilayered capsules.

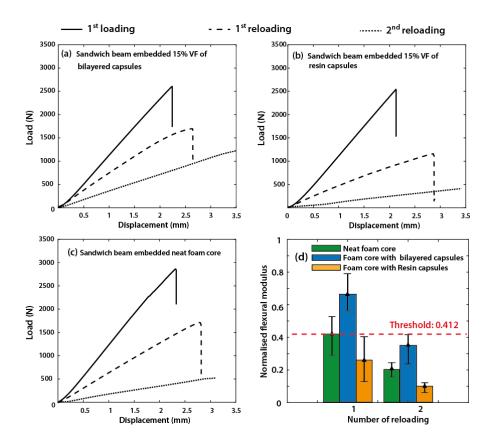
520 **4.2.** Self-healing of foam core sandwich beams under three-point bending

As higher VF of capsules could offer better healing effects, the foam cores with 15% VF of 521 bilayered capsules were used to manufacture sandwich beam samples, see Section 2.10. The 522 523 foam core sandwich beams containing 15% VF of resin capsules and neat foam core sandwich 524 beams, both without healing effects, were tested as the control groups. The overall damage 525 of the sandwich beams under three-point bending may be ascribed to (1) shear damage 526 within the foam cores; (2) damage in facesheets; and (3) interfacial damage between 527 facesheets and cores. The proposed healing system can contribute to recovery of the damage 528 of foam cores and the interfacial damage, and may have negligible effect to recover the damage in facesheets. The normalised elastic modulus \tilde{E}_t was used to evaluate the healing 529 530 effect as it can give direct comparison between the samples with healing and without healing, 531 which can be calculated as

$$\tilde{E}_t = E_r / E_v \tag{5}$$

where E_{ν} is the virgin flexural modulus measured in the 1st loading, and E_r the flexural 533 modulus measured at the reloading stage. Both E_v and E_r can be calculated as 534 $E_{torv} = \Delta P_t l_0^3 / 4 \Delta \zeta_t b_t d_t^3$, where ΔP_t is the increment of load, and $\Delta \zeta_t$ the increment of 535 displacement. $\Delta P_t / \Delta \zeta_t$ represents the slope of the linear portion of the load–displacement 536 curve, which takes the contributions of both foam cores and face sheets into account. Figures 537 538 13 (a) - (c) show the typical load-displacement curves of the three types of sandwich beams 539 under three-point bending tests, respectively. The beam samples subjected to 3 loadingunloading cycles. Only the load-displacement curves measured at loading phases are shown 540 in the figures. In the 1st loading, the average flexural moduli for three types of sandwich 541 542 beams are similar, which indicate that embedding capsules do not have a noticeable effect on flexural modulus. In the 1st or 2nd reloading, the sandwich beams with bilayered capsules 543 exhibit higher flexural modulus than those of the control groups owing to recovery of damage 544 by the healing system. The tests stopped after the 2nd reloading when the significant 545 546 debonding between foam cores and face sheets occurred. The relations between the 547 normalised flexural modulus and the number of reloading are shown in Fig. 13 (d). To evaluate the healing effect, we use a threshold value $\tilde{E}_{ts} = 0.421$, which is equivalent to the value of \tilde{E}_{t} 548 of the neat foam core sandwich beam at the 1st reloading. The healing effect is evident if the 549

measured value of \tilde{E}_t is higher than the threshold value \tilde{E}_t , which is highlighted by the 550 551 horizontal dashed lines in Fig. 13 (d). For the sandwich beams embedded with resin capsules 552 (without healing), the values of \tilde{E}_{t} were lower than those of the neat foam core sandwich 553 beams, which again indicated that embedding capsules into foams could result in more damage. For the sandwich beams containing bilayered capsules, the value of \tilde{E}_r in the 1st 554 reloading could be recovered to up to 0.8 after healing. Owing to the debonding between 555 foam cores and facesheets, the healing effect diminished during the 2nd reloading cycle (the 556 value of $ilde{E}_{_t}$ drops below $ilde{E}_{_{ts}}$). However, the sandwich beams with bilayered capsules still 557 exhibited higher flexural modulus compared to the sandwich beams without healing system 558 559 as the damage had been partially recovered by the healing system.

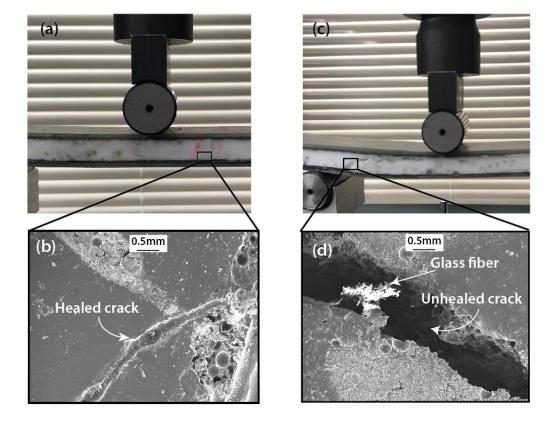


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Figure 13. The typical load-displacement measurements of (a) the sandwich beam with foam core embedded with bilayered capsules, (b) the sandwich beam embedded with resin capsules, and (c) the sandwich beam with neat foam cores under three-point bending tests. (d) The normalised flexural modulus \tilde{E}_t against the number of reloading for the three types of sandwich beams.

565

566 Figure 14 (a) shows a sandwich beam with bilayered capsules under three-point bending tests, 567 which demonstrated that the failure mode was core shear. After the test, the damaged region was cut and analysed by SEM. The SEM images suggested that cracks could be healed by the released healing agents, as shown in Fig. 14 (b) for example. This could cause partial recovery of the flexural modulus. For the comparison purpose, the failure mode of a sandwich beam with resin capsules (without healing effects) is shown in Fig. 14 (c), and the SEM image of an unhealed core shear crack is shown in Fig. 14 (d). Without healing, the core shear crack could propagate to the interface between the bottom facesheet and the foam core to cause significant debonding.



575

Figure 14. (a) and (c) The photographs of sandwich beams with bilayered capsules and with resin
capsules under three-point bending tests, respectively. (b) and (d) The SEM images of a healed crack
and an unhealed crack from the selected regions in (a) and (c), respectively.

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580 **4.3. Self-healing of foam core sandwich beams under high-speed soft impact**

The geometry of fibre-reinforced sandwich beams used in the high-speed soft impact tests were the same as those used in the three-point bending tests. To characterise the impact responses of the sandwich beams, the functional relations between normalised time $\overline{t} = tv_0/l_p$ and normalised deflection $\overline{\zeta} = \zeta/l_o$ were measured for the sandwich beams with bilayered capsules, sandwich beams with resin capsules and sandwich beams without

586 capsules, respectively, as shown in Fig. 8 (b), where t denotes the time measured after contact between the aluminium projectile and the sandwich beams; v_0 and l_n the velocity and 587 588 length of the projectiles, respectively ; ζ the back-face deflection of the beam at the centre 589 span; and l_a the free span between two fixtures. The responses of three types of sandwich 590 beams during the impact were similar, which indicated that embedding capsules did not have 591 a noticeable effect on the impact responses of the sandwich beams. The peak back face deflection occurred at around $\overline{t} = 3$, which suggested that the transient deformations of 592 sandwich beams had completed after the densification ($\overline{t} = 1$) of the projectiles [35]. Under 593 high speed soft impact, core shear cracking occurred followed by debonding between cores 594 595 and back facesheets, see Fig. 15 (a). The self-healing system can contribute to recovery of the 596 damage within foam cores. To evaluate the healing effect, three-point bending test was 597 conducted on the damaged sandwich beams 24 hours post the impact tests. The measured 598 responses from the three-point bending test of the three types of sandwich beams before 599 and after soft impact are shown in Fig. 15 (b), (c) and (d), respectively. To evaluate the healing effect, normalised residual elastic flexural modulus $\tilde{E}_t = E_r / E_v$ was calculated based on the 600 measurements, where E_r is the flexural modulus of the damaged beams measured post soft 601 impact, E_v the virgin flexural modulus measured before soft impact, as summarised in Fig. 15 602 603 (e). Comparing the responses of sandwich beams with resin capsules and sandwich beams 604 without capsules, both without self-healing effect, embedding capsules into a foam could 605 again result in higher level damage during the soft impact as sandwich beams without 606 capsules exhibited higher residual elastic modulus. With the presence of self-healing system, 607 i.e. the bilayered capsules system, the sandwich beams could achieve higher residual elastic 608 flexural modulus compared to sandwich beams without capsules. To examine the healing 609 mechanism, SEM was employed to examine the healing of cracks within the foam cores 610 before the three-point bending testing, as shown in Fig. 15 (a) for example, which suggested 611 that the shear cracks could be partially healed by the released healing agents post impact. However, the healing effect was limited as the self-healing system had negligible healing 612 613 effect on the damage within the facesheets and debonding.

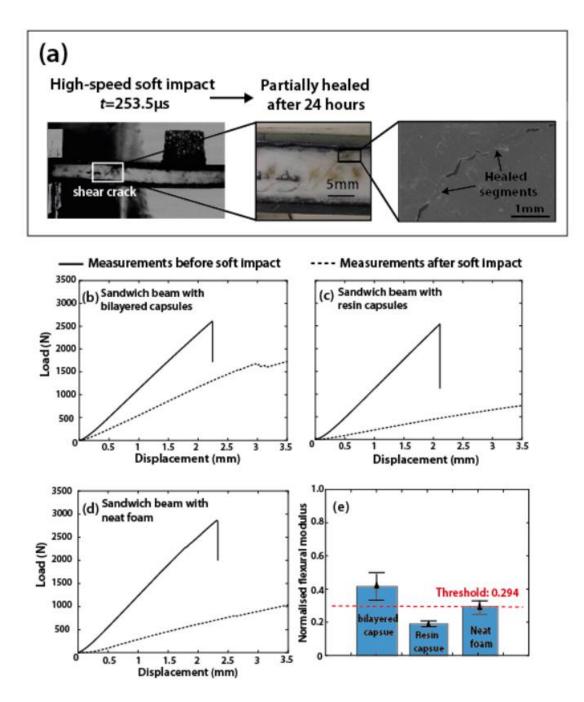


Figure 15 (a) a sandwich beam embedded with bilayered capsules under the high-speed soft impact test. The partially healed micro-crack was observed via SEM post the impact tests. The representative responses of the sandwich beam with a foam core embedded with bilayered capsules (b), the sandwich beam with a foam core embedded with resin capsules (c), and the sandwich beam with a neat foam core (d) before and post impact tests. (e) The comparisons of the normalised residual elastic flexural modulus \tilde{E}_t of the three types of sandwich beams.

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624 5. Concluding remarks

625 In this research, we reported a novel, multi-stage encapsulation process that can encapsulate two mutually reactive healing agents (epoxy resin and hardener) within single multicore-like 626 627 bilayered calcium-alginate capsules. Quasi-static cyclic compression tests were conducted to 628 evaluate the mechanical behaviours and self-healing performances of the polymer foams 629 embedded with bilayered capsules. It was demonstrated that the presence of the bilayered 630 capsules in the foams could enhance the compressive strength and stiffness, and the 631 bilayered capsules showed better multiple self-healing performances compared to the 632 conventional dual capsule system. Three-point bending and high-speed soft impact tests were conducted to evaluate the self-healing effects to foam cored sandwich beams. The 633 634 experimental study suggested that the bilayered capsules did not have a noticeable 635 reinforcing effect on the flexural modulus without damage, however it could provide a 636 noticeable self-healing effect when the damage occurred.

637 As a pioneering study on the bilayered capsules based self-healing system, there are still 638 several issues that need to be addressed in the future study. The diameter of bilayered 639 capsules will need to be further decreased to the micron level, which could expand the applications to epoxy coatings and fibre-reinforced polymer composites. After the drying 640 process in capsules fabrication, the residual water could still remain within capsules, which 641 may affect the healing effects. The effect of the residual water will be under further 642 investigation. Further mechanical tests will be conducted to evaluate the self-healing 643 644 performances of bilayered capsule systems under different loading conditions, such as 645 fracture and fatigue.

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