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The value of a comparative approach with equine vaccine development for the development of human influenza DNA vaccines

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Simple Summary:

DNA vaccines give promising results in mice, the most routinely used laboratory animal, 12 but the results often cannot be translated in human clinical trials. The same is true in the 13 horse, the immune system of which shares more similarities with humans than that of the 14 mouse. Hence, testing of human influenza DNA vaccine concepts in horses, which are 15 naturally infected by influenza A viruses, could be more predictive of what would be seen 16 in humans than testing in mice. In this review, we outline reasons for suggesting that by 17 taking a comparative approach, the horse may provide more insight than the mouse 18 model for developing human DNA vaccines against influenza. 19

Abstract:

A comparative medicine approach, whereby similarities and differences in biology between human and veterinary species are used to enhance understanding for the benefit of both, is highly relevant to the development of viral vaccines. Human and equine influenza share many similarities in pathogenesis and immune responses. The DNA vaccine approach offers potential advantages for responding rapidly and effectively to outbreaks or pandemics in both humans and animals, especially in under-resourced regions. The European and American vaccine regulatory authorities require demonstration of vaccine efficacy in animal models. However, mice, the most widely used model, are not naturally infected with influenza viruses, resulting in different pathobiology. Additionally, mice as a model for DNA vaccine testing appear to overestimate the humoral immune response compared to other mammalian species. In this review, we propose that testing of DNA vaccines against influenza type A viruses (and other shared pathogens) in the horse can provide valuable knowledge for the development of human DNA vaccines.

Keywords: DNA vaccines; animal model; equine; influenza virus, immune system

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1. Introduction

Zoonotic diseases comprise 60% of human infectious diseases, and 75% of newly emerging diseases (1). Influenza A virus (IAV), an emerging and re-emerging pathogen, continues to cause human infections and mortality, despite the availability of traditional 40

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Copyright: © 2023 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/). inactivated virus vaccines for several decades. For instance, highly pathogenic avian influenza (HPAI) of the H5N1 subtype caused 868 zoonotic infections, and 457 deaths, a
case fatality rate (CFR) of 53% from January 2003 to January 2023 (2). However, these zoonotic influenza strains do not transmit efficiently between humans (3). Nonetheless, even
after becoming established in humans, seasonal human influenza continues to cause three
to five million severe infections and nearly 500,000 deaths annually worldwide (4). Influenza A viruses also circulate in equids causing an acute respiratory tract infection (5).

Influenza type A is classified in the genus Alphainfluenzavirus, which is one of nine 48 genera in the segmented negative sense single stranded virus family Orthomyxoviridae (6). 49 Influenza A virus classification into subtypes (e.g. the HPAI H5N1 subtype as mentioned 50 above) is determined by the antigenic relationship between haemagglutinin (HA) and 51 neuraminidase (NA) proteins using traditional serological tests haemagglutination inhi-52 bition (HI) and neuraminidase inhibition (NI), respectively, and genome sequencing re-53 cently. There are 18 HA subtypes (H1 to H18) and 11 NA subtypes (N1 to N11) (7). Like 54 other RNA viruses, the high replication rate and lack of proof-reading mechanism results 55 in the rapid accumulation of mutations. When mutations accumulate in the HA and NA 56 proteins and, result in proteins that are no longer recognized by antibodies to previous 57 infection or vaccination, it is called antigenic drift. Furthermore, the emergence of new 58 viruses after reassortment may cause human pandemics; reassortment (mixing of differ-59 ent gene segments) is called antigenic shift when it involves the HA and/or NA (8). In 60 humans, there are currently two circulating IAV subtypes; H1N1and H3N2, which cause 61 seasonal epidemics (9). In equids, there is currently only the H3N8 subtype circulating, 62 which outcompeted the H7N7 subtype (10). The H3N8 subtype diverged into American 63 and Eurasian lineages in the 1980s (11). Furthermore, the American lineage is subdivided 64 into Florida, South America, and Kentucky (12). Of these sub lineages, the Florida sub-65 lineage is currently circulating with two clades. There is continuous effort to control IAV 66 in veterinary species and humans by vaccination and vaccination of competition horses is 67 mandatory under many jurisdictions such as the Fédération Équestre Internationale. 68

In the era of gene-based vaccines, DNA offers many merits over the traditional inac-69 tivated influenza vaccine candidate. The DNA vaccine approach has lower production 70 cost, higher stability (does not need to be stored or maintained in a cold chain), safety in 71 pregnant and immunocompromised individuals and is effective in the presence of mater-72 nal antibodies. The ease of design means that DNA vaccines can be tailored rapidly to 73 respond to outbreaks (13). However, few DNA vaccines have progressed beyond the clin-74 ical phase of development. The COVID-19 pandemic stimulated renewed interest in the 75 potential of DNA vaccines, particularly for low-and-middle-income countries. Indeed, a 76 DNA vaccine encoding SARS-CoV-2 spike protein received emergency use authorization 77 in India in 2021. On the other hand, 16 years earlier in 2005, the first DNA vaccine to be 78 licensed was for use in horses against West Nile virus, although the vaccine, which was 79 developed by Fort Dodge, was discontinued by Pfizer. 80

Regulatory authorities require evidence of vaccine efficacy in an animal model for granting vaccine licenses (14). However, mice, although most widely used, are not the best model for DNA vaccines for several reasons that we will explain here.

2. Influenza A virus immunity in humans and equids

The innate immune response plays a crucial role in the rapid immunological response85that is essential to limit viral infection. Subsequently, the role of the adaptive immune86response appears in viral eradication and developing immunological memory for protection from further infection.87

2.1 Innate immunity

Innate immune responses, which start by sensing risk and getting adaptive immunity 90 ready to fight the invader, are the initial line of defence against infection. Influenza A 91

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viruses have complementarity between the 5' and 3' ends of the viral RNA segments re-92 sulting in short stretches of double stranded RNA (dsRNA) (15). This pathogen associated 93 molecular pattern (PAMP) is detected by toll-like receptor 3 (TLR3), which is a pattern 94 recognition receptor (PRR) (16). The dsRNA recognition leads to activation of the intra-95 cellular signalling pathway of TIR domain containing adaptor protein TRIF (Figure 1), 96 which leads to induction of interferon inducible genes, such as interferon beta IFN-ß, in-97 terferon gamma inducible protein (IP-1) or CXCL10 and regulated on activation normal T 98 cell expressed and secreted (RANTES) protein or CCL5. Interferon beta is a type I inter-99 feron that has antiviral activity, and stimulates the other two chemotactic factors, CXCL10 100 and CCL5 that attract leukocytes to infected tissue (17). 101



Figure 1. The type-I interferon and other inflammatory cytokines are stimulated by endosomal pat-103tern recognition receptors (PRRs) and associated adaptor molecules. Toll-like receptor (TLR) 3 and104its ligand, double stranded RNA (dsRNA), stimulates the production of antiviral type-I interferons105via TIR domain containing adaptor protein (TRIF). Another toll-like receptor, TLR-9, stimulates pro-106duction of the same molecules as TLR-3, but their ligand is un-methylated CpG that can be found107in the plasmid backbone of DNA vaccines and the adapter protein is myeloid differentiation pri-108mary response 88 protein (MyD88). Adapted from (18). Created with BioRender.com.109

The target cells for IAV are the epithelial lining in the respiratory airways which pro-110 duce the previously mentioned chemotactic agents leading to recruitment of effector cells 111 of innate immunity. After this, innate effector cells such as neutrophils, macrophages, den-112 dritic cells (DCs), and natural killer (NK) cells begin to migrate. Natural killer cells have 113 two large groups of receptor families, killer cell immunoglobulin-like receptors (KIRs) and 114 killer cell lectin-like receptors (KLRs) (19). These receptors have been found to be linked 115 to severe influenza infections in children (20). The cytotoxic activity of NK cells is related 116 to natural killer protein receptors NKp44 and NKp46, and their interaction with influenza 117 HA causes lysis of IAV-infected cells (21). Equine NKp46 protein has only 65% identity 118 (the murine protein only has 58% identity) with the human ortholog, which is insufficient 119 for cross-reaction and required the development of a specific equine reagent (22). 120

Lung macrophages play a key role in controlling viral spread. Influenza-infected cells 121 are phagocytosed by activated macrophages, which prevents viral propagation and con-122 trols the subsequent adaptive immune response (23). Alveolar and interstitial macro-123 phages are the two primary types of lung macrophages that are constitutively expressed 124 in healthy mice and humans (24). However, horses additionally have a peculiar type of 125 macrophage known as pulmonary intravascular macrophage (PIM) (25). It is thought 126 these cells may explain why the horse is particularly susceptible to endotoxemia (26). Alt-127 hough PIMs are usually absent in mice and healthy humans, they can be induced in mice 128

and human patients with liver failure (27). Liver injury is one of the extra pulmonary 129 pathogenic effects of influenza infection that has been observed in humans (28). 130

During IAV infection, DCs, one of the professional antigen-presenting cells (APCs), 131 mediate between the innate and adaptive immune responses. Conventional DCs (cDCs) 132 migrate from the lungs to the lymph nodes after IAV infection (29). In DCs, the engulfed 133 antigens of IAV are processed before being presented on major histocompatibility (MHC-134 class I and class II) molecules to stimulate cellular and humoral adaptive immune re-135 sponses (30). Monocyte DCs are one of the numerous varieties of DCs that develop during 136 inflammation; in equine species, cluster of differentiation (CD83) and c-type lectin 137 (CD206) receptors are expressed on both mature and immature monocyte dendritic cells 138 (31). However, in murine and human species, whereas CD206 is abundantly expressed on 139 immature DCs, CD83 expression is highly expressed on the surface of mature DCs (32). 140 Immature dendritic cells are less capable than mature dendritic cells of stimulating naive 141 T-cells (31). Hence, attempting to improve DNA vaccine delivery by targeting CD83 or 142 CD206 (as has been proposed, e.g. (33)) may have different effects in horses than in mice 143 or humans. 144

2.2. Adaptive immune response in equids and humans

Activated DCs in turn stimulate activation of CD4+T-cells to differentiate into type 1 146 helper (Th1) or Th2 cells, which can be differentiated by the cytokines they produce (34, 147 35). The Th-1 response is characterized by production of interferon gamma (IFN- γ) and 148interleukin(IL)-2 and stimulation of cell-mediated immunity, which is represented by 149 CD8⁺ cytotoxic T-lymphocytes (CTLs) (36). The CTLs migrate to the site of infection and 150 clear viral infected cells (37) either directly by perforins and granzymes that lyse the in-151 fected cells, or indirectly by producing cytokines that cause the development of death re-152 ceptors and, eventually, apoptosis (38). The development of memory CTLs has a role in 153 the rapid response to subsequent infections by the same or different influenza strains. The 154 CTLs are directed mainly to conserved internal proteins of IAV and are critical in het-155 erosubtypic protection (39). 156

The humoral immune response is stimulated by Th2-type cytokines (IL-4, IL-5, IL10 157 and IL-13), which is characterized by B-cell differentiation into plasma cells, which pro-158 duce neutralizing and non-neutralizing antibodies against IAV (40). The life span and the 159 speed of response of memory B-cells are the two key determinants of the efficacy of vac-160 cines. All mammals produce five classes of antibody (IgG, IgM, IgA, IgD and IgE) with 161 IgA having the main role in preventing airborne transmission of virus (41), whereas IgG 162 is the dominant class of antibodies in protection against IAV pathogenesis. The horse has 163 a higher number of IgG constant region genes than either humans or mice, encoding seven 164 IgG subclasses (IgG1–7), whereas mice express five subclasses (IgG1, IgG2a, IgG2b, IgG2c 165 and IgG3) humans express four (IgG1-4, from highest to lowest abundance). In human 166 influenza infection, IgG1 and IgG3 are the main effectors of protection (reviewed by (42). 167 It has been proposed that for effective equine vaccine-induced immunity, the subclasses 168 IgG1, IgG3, IgG4, and IgG7 are required (43). Activated B-cells are essential for heterosub-169 typic protection, either through antibody-dependent cell cytotoxicity (ADCC) or non-neu-170 tralizing antibodies that promote CD8+ T cell proliferation (40, 44). 171

In summary, the primary function of CTLs is the rapid clearance of virally infected 172 cells, whereas the humoral immune response neutralising antibody mechanisms are 173 largely responsible for preventing initial infection. Strong induction of both these arms of 174 the adaptive immune response by vaccines is desirable. 175

3. Currently available commercial influenza vaccines have similar problems in equids and humans 177

Both humans and horses can benefit from vaccination as a means of protection 178 against IAV (45-47). The currently available influenza vaccines can be broadly categorised 179

into inactivated virus (typically produced in eggs), "modified live" (i.e. attenuated virus 180 vaccines), and viral vectored (e.g. canarypox vectored vaccines in equine species) or sub-181 unit (split viral vaccines in human species) (48, 49). The pros and cons of these are briefly 182 discussed in this section. 183

The first available vaccine for human influenza was produced in the 1940s and for 184 equine influenza was in the 1960s (50, 51). The virus was grown in embryonated hens' 185 eggs, inactivated chemically, and then mixed with adjuvants in the final product. Because 186 of this drawn-out process, the vaccine produced may be ineffective against the circulating 187 strain, as demonstrated by the human influenza H3N2 2014-2015 outbreak (52). Another 188 prominent drawback of egg-based vaccines is the acquisition of mutations that are ac-189 quired by propagating virus during serial passages in eggs, mainly in human but also 190 equine IAV (53, 54). These mutations may affect the antibodies that bind haemagglutinin 191 (HA), leading to a weak immunogenic response (55). In addition to previously mentioned 192 concerns, the dependence on the egg supply that becomes particularly demanding during 193 outbreaks can result in shortage in vaccine supply. 194

The best immunity can be stimulated by vaccination that mimics a natural infection, 195 especially if it is administered via the same route by which the virus initiates infection. 196 The fundamental benefit of attenuated vaccines is that, in addition to inducing a strong 197 humoral immune response, they can also produce cell mediated immunity and mucosal 198 immunity, both of which are limited or absent from inactivated virus immune responses. 199 The primary concerns of attenuated influenza vaccines in both humans and equids are the 200 potential for reversion to virulence when administered in the target host and reassortment 201 with field strains. However, this has not been observed in clinical use in either species (56, 202 57). 203

A commercially available canarypox virus vector that expresses HA as a transgene is 204 commercially available as an equine influenza vaccine. It was successfully exploited in the 205 2007 Australian EIV outbreak as a vaccine that enables differentiation of infected from 206 vaccinated animals (DIVA) (58). It also provides an immediate, long-lasting immunolog-207 ical response and stimulates a cell-mediated immune response (59, 60). Despite numerous 208 studies of vectored vaccines for human influenza, none of them have progressed beyond 209 the preclinical stage yet (61, 62). Disadvantages of vaccines that contain only one or two 210 of the surface glycoproteins such as the viral vectored or 'split' vaccines is that they lack 211 T-cell responses against the more conserved viral proteins. 212

Although a range of vaccines are available for both equine and human use, they can-213 not always prevent the subclinical infection in either species, and some of them can cause 214 adverse post vaccinal reactions (11, 63). There are also issues with vaccine effectiveness, 215 length of protection, the time of vaccine manufacturing and the cost of production. As a 216 result, there is room for further developing IAV vaccine platforms. 217

4. Influenza gene-based vaccines as promising candidates

As swift reaction to influenza pandemics is required, traditional whole inactivated 219 influenza vaccines cultivated in eggs, which at their best were only accessible after 6 220 months, had little value; this was evident in the 2009 influenza pandemic [94]. Gene-based 221 vaccines offer greater potential than conventional inactivated or subunit recombinant pro-222 teins because they are easier to synthesise and can be rapidly produced from genes of the 223 most immunogenic protein of a pathogen. Furthermore, the gene-based vaccine approach 224 depends on the expression of certain proteins in the target host's cells; therefore, it mimics 225 the process by which the body produces protection following a natural infection (Figure 226 2).

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Figure 2. Mechanism of antigen presentation and immune response in DNA vaccines.

However, delivering gene-based vaccines to the desired cells is a challenge for both DNA and messenger RNA (mRNA) with DNA having a nuclear barrier in addition to a cellular barrier (Figure 3). It is estimated that 1 in 10,000 RNA molecules can escape the endosome to the cytoplasm, and just 1% of naked DNA can be successfully delivered to the nucleus [95,96].



Figure 3. Pathway and barriers encountered after immunisation with a plasmid DNA vaccine.

The major obstacle in the early development of mRNA vaccines was the instability 243 (in the vial and *in vivo*) of mRNA. A pre-clinical mRNA rabies vaccine, however, had a 244

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two-year shelf life in the temperature range of -80°C to +70°C (64). However, in clinical 245 trials, a local and significant (but not fatal) systemic adverse event was recorded (65). This 246 highlights the inherent inflammatory response of RNA, for example, mRNA can stimulate 247 the innate immune response via several PRRs such as TLR3 and TIR-domain-containing 248 adapter-inducing interferon- β (TRIF) pathway, or TLR7 and myeloid differentiation pri-249 mary response 88 (MyD88) (66). These pathways can induce type-I interferons that can 250stall the translation and affect the final expression of encoded protein. For example, this 251 was observed in an HIV mRNA vaccine trial, in which there was decrease in the net im-252 munity of the vaccine (67). As a result, the effectiveness of subsequent doses of mRNA 253 vaccines following exposure to an inappropriate environment from the initial dose is 254 questionable. Ex vivo delivery using dendritic cells (68), which is impractical for mass 255 immunization, and cationic lipids that carry amine, which shield the negatively charged 256 RNA from the negatively charged cellular membrane, are two methods to deliver the 257 mRNA molecules with the least amount of nuclease degradation (69). The lipid encapsu-258 lated mRNA approach was used in the approved COVID-19 vaccines of Pfizer and 259 Moderna (70, 71). Synthesized nucleosides are another technique for improving stability; 260 they were employed in the development of a multivalent influenza vaccine that protects 261 against all subtypes of influenza A and influenza B (72). However, clinical toxicity studies 262 in humans conducted using mRNA containing synthetic nucleosides suggest the need for 263 caution due to potential toxicity (73, 74). 264

In contrast to mRNA, plasmid DNA offers more template to produce mRNA mole-265 cules that will be translated into proteins. Additionally, DNA vaccines are more stable 266 than mRNA vaccines. There are some concerns around DNA vaccines, such as potential 267 for integration of plasmid in chromosome of the host or autoimmunity to the host DNA, 268 although neither of these were observed in the clinical studies of DNA vaccines (75). For 269 mRNA vaccines, the possibility of integration is not entirely impossible, notably in HIV-270positive humans or in healthy people with human internal retro viruses in their genomes 271 that supply the replicative machinery that is necessary for integration (76). In terms of the 272 price of in vitro transcription and formulation, mRNA vaccines are more expensive than 273 DNA vaccines. However, as mentioned above, delivery of the nucleic acid to the desired 274 cells and cellular compartments is a particular challenge for DNA vaccines. Although 275 nanograms of protein are created after transfection of cells with plasmid DNA in vitro, 276 after immunisation much of the plasmid DNA is lost by being taken up by inefficient non-277 immune cells like myocytes or keratinocytes (77). The DNA vaccine pathway after injec-278 tion is shown in Figure 4. When the naked plasmid is injected intramuscularly then the 279 transduced myocytes act as a reservoir for the antigen, which is taken up and processed 280 by the antigen presenting cells (APCs). Then, the activated DC stimulate the cellular and 281 humoral immune responses through MHC-class-I and Class II (78). 282

Obstacles in the delivery of DNA vaccines have been at least partially overcome by 283 using a biolistic delivery device ('gene gun') that 'fires' gold particles coated with plasmid 284 DNA using high pressure gas or an electroporation device. Neither of these approaches 285 lends itself to mass immunisation. Nonetheless, the first licensed gene-based vaccine was 286 a DNA vaccine against West Nile virus (WNV) in horses (79) and a WNV DNA vaccine 287 produced a neutralising antibody in humans (80). Additionally, the first two Zika vaccines 288 that entered clinical trials were DNA vaccines (81). Hence, the DNA approach is a current 289 feasible option for influenza vaccines in both horses and people. 290



Figure 4. Different parenteral injections of DNA vaccines and the pathway of the injected plasmid 292 until effective stimulation of immune response. After vaccine injection, plasmids either transfect the 293 myocytes or keratinocytes according to the route of injection, or the plasmids can be engulfed by 294 the resident antigen presenting cells (APCs), such as dendritic cells (DCs). The later engulfment by 295 DCs is called direct transfection, while the previous transfection of myocytes or keratinocytes is 296 called indirect transfection. Finally, the activated mature DCs migrate either directly by intranodal 297 injection or after migration from the injection sites activate the CD8⁺ and CD4⁺T-cells through major 298 histocompatibility (MHC) class-I or II respectively. Adapted from (82). Created with BioRen-299 der.com. 300

5. The case for a comparative approach to development of influenza DNA vaccines 301

Influenza A virus has been the most studied pathogen as a model for DNA vaccines 302 since the 1990s. For example, Ulmer, Donnelly (83) injected naked plasmid encoding nu-303 cleoprotein (NP), which protected mice against heterologous influenza challenge. Similar 304 success was achieved also in another trial, in which different routes of plasmid admin-305 istration were used to reduce the plasmid concentration, and the vaccine achieved protec-306 tion against influenza in mice (84). Moreover, a single immunisation with DNA vaccines 307 can result in a quick and sustained immune response in mice (85). Laboratory mice are an 308 inbred species and therefore have genetic consistency, and there are abundant available 309 immunological reagents; therefore, they are the most widely used model in preclinical 310 influenza vaccine testing (86). However, the mouse is not naturally infected with influenza 311 virus, and laboratory infection by most human influenza strains needs previous adapta-312 tion (87). Moreover, the clinical manifestations of influenza infection in humans differ 313 greatly from those in mice, the disease is manifested in mice as a lower respiratory tract 314 disease, whereas the influenza is an upper respiratory infection in humans and horses. 315

Despite the protection afforded by DNA influenza vaccines in mice, this success was 316 not observed in humans or other large animals such as horses (88, 89). It was initially 317 thought this was simply a scale-up problem, but it has been suggested that differences in 318 immune response might be the cause. It is noteworthy that the repertoire of human CTL 319 is larger than mice, which have a defective response of class-I alleles for IAV (90). Further-320 more, the broad-spectrum subtype-specific, or heterosubtypic-specific protection ob-321 served in mice, is rarely observed in humans (91). Additionally, serum amyloid P (SAP), 322 which is a DNA binding protein, acts as a weak negative regulator for both adaptive and 323 innate immune responses in mice, in contrast to the strong effect of human SAP (83). 324

Finally, the number, structure and distribution of TLRs, which as previously mentioned play key roles as innate immune sensors, are different between humans and mice. 326 There are 13 TLRs in mice but the gene for TLR10 is not expressed; in humans, TLRs 11, 327 12, and 13 are not represented. Very recently, 12 TLRs were identified in members of the 328

family Equidae (92). The murine and human TLR-9, the receptor for unmethylated cytosine 329 phosphate guanosine (CpG) oligonucleotide (ODN) motifs that are found in bacterial 330 plasmids and widely used as adjuvants (93), share only 75% amino acid homology. Fur-331 thermore, the expression profile of TLR-9 in humans is on plasmacytoid dendritic cells 332 and B-cells (94) whereas the expression in mice is on macrophage and myeloid derived 333 dendritic cells. This led Kayraklioglu, Horuluoglu (95) to suggest that there will be an 334 exaggerated immune response to CpG in mice. The innate immune response is more sim-335 ilar in equine and human species. For example, some of the pattern recognition receptors 336 (PRRs), such as TLR9, the receptor of bacterial CpG, is expressed in many cell types of 337 both equine and human lungs (96, 97). The amino acid identity of equine TLR9 amino acid 338 sequence compared to human is 84%, and the same expression pattern of TLR9 was ob-339 served in leukocytes of both equine and human species (98). The main differences and 340 similarities between the immune systems of horses, mice and humans are summarised in 341 Table 1. 342

Table 1. Summary of key difference of equine, murine and human immune systems.

	Human	Mice	Equids
Clinical disease	Mainly upper respiratory in- fection	Virus usually has to be adapted and typically results in weight loss and death	Same as human – enables study of transmission
		About 50% protein identity	About 86% protein identity
Toll-like receptor 3	Among immune cells, only myeloid DCs, macrophages, and mast cells express TLR3	Higher expression on alveo- lar macrophages	Expressed on perivascular al- veolar macrophages
		75% protein identity	84% protein identity
Toll-like receptor 9	Expressed on monocytes and lymphocytes of human spe- cies	Expressed on macrophage and myeloid derived den- dritic cells	Expression similar to human (on granulocytes, monocytes and lymphocytes)
Pulmonary intravas- cular macrophages (PIM)	Absent in healthy humans but can be induced in pa- tients with hepatopulmonary diseases	Can be induced in ligated bile duct mice	Constitutively present
Killer cell immuno- globulin-like receptors	Present	Absent	Present
	Immature DCs highly ex-		Expression of CD83 and
CD83 and DEC206	press DEC 206, mature DCs express CD83	Similar to humans	DEC206 is on both mature and immature dendritic cells
IgG isotypes	IgG1, IgG2, IgG3, IgG4	IgG1, IgG2a, IgG2b, IgG3	IgG1–IgG7

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A key advantage of the equine model for vaccine efficacy studies is that experimental 347 infection models that recapitulate natural infection have been developed from initial in-348 tranasal installation of virus to exposure to a nebulised aerosol in a room, culminating in 349 the use of an individual face mask such as Flexineb®, which is marketed for delivering 350 inhaled medication to horses (99). 351

The IgA antibodies are one of the key players in the prevention of influenza infection at the site of entry in both humans and equine species, as mentioned above. It has a role in cross-protection. The best route to attain the protective level for respiratory infection 354 such as IAV is thought to be the mucosal route, to generate secretory IgA as well as systemic IgG antibodies (100). However, protection was achieved in the absence of IgA response in ponies (57). 357

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In both equine and human species, the focus of influenza DNA vaccine development 358 is on the HA glycoprotein, and the NA glycoprotein is not considered or included in most 359 of the DNA vaccine trials (88, 89). The antigenic drift of the HA glycoprotein is accompa-360 nied by changes in the NA glycoprotein to maintain the balance between the mutations 361 occurring in both glycoproteins, which aid in the effective viral replication and fitness 362 (101). Furthermore, the NA is very important in viral release and has an important role in 363 the influenza viral entry, the later effects are inhibited, when the anti-NA antibodies are 364 produced and reduced viral shedding and disease signs after challenging humans (102). 365 This partial immunity is observed when NA is used alone and is not accompanied with 366 HA (103). So, it is necessary to determine target epitopes of the NA in both equine and 367 human influenza viruses to aid in the production of more effective vaccines. 368

There have been trials to avoid the continuously changing glycoproteins (HA and 369 NA) to produce a broader immunity by using matrix ectodomain sequence of M2 or, mu-370 cosal IgA antibodies (104, 105). However, an M2 approach failed; instead of protection, it 371 enhanced the pathogenesis of the swine influenza when the vaccine was tested in pigs 372 (106). This emphasises the need for a balanced immune response to vaccination in terms 373 of antigens targeted and humoral and cellular immunity. Not only is the pig a natural host 374 of IAV but pigs can also be infected with human isolates, therefore pig has also been pro-375 posed as an undervalued model for human IAV infection studies (107). 376

Equids have been increasingly proposed as a model for various human diseases, in-377 cluding virus vaccine development (108, 109), and they have similar pathogenesis and 378 immunity to influenza infection. There are, however, several limitations to using equids 379 solely as a model species for development of human influenza vaccines including cost, 380 difficulty in obtaining unexposed animals, more limited specific immunological reagents 381 and ethical objections. Some of these limitations similarly apply to the use of pigs as a 382 model organism. On the other hand, horses are often highly valued (either economically 383 as competition animals or as companion animals) and are long-lived, and research to de-384 velop improved influenza vaccines for use in horses is ongoing. Thus, studies in equids 385 are justified for the development of both equine and human vaccines. 386

7. Future directions

As described above, there is further work to be done to optimize the antigen(s) pre-388 sented by DNA vaccines, which could potentially include expression of the matrix M1 389 protein with the HA and NA, which can then self-assemble into virus-like particles 390 (VLPs). Expression of a single viral protein suffers from the same disadvantage as recom-391 binant protein vaccines, which are poor inducers of immunity without a strong adjuvant 392 whereas by displaying viral antigens similarly to virus, VLPs are more potent. The plas-393 mid pVAX1 was designed specifically for DNA vaccine development, avoiding extrane-394 ous elements, and including a kanamycin rather than ampicillin resistance gene. None-395 theless, there are disadvantages to using bacteria to amplify plasmid DNA and alternative 396 platforms to produce DNA for immunization are being explored, for example enzymati-397 cally produced Doggybone[™] DNA as reviewed by (110). Immunization with DNA is also 398 typically done using a device such as a 'gene gun', which fires gold particles coated with 399 plasmid DNA, to target the skin as intradermal delivery appears to induce a stronger im-400 mune response than intramuscular delivery in mice (110). Due to their small size, mice 401 are not suitable for testing some delivery devices, such as biojectors, which use com-402 pressed gas to force the DNA into the dermis. Here, large animal models such as the horse 403 and pig can again afford some advantage over the use of the mouse model. On the other 404 hand, the use of such devices may not lend themselves to mass immunization in the event 405 of a human influenza pandemic, and formulations to improve traditional intramuscular 406 injection using a needle and syringe are under development (111). 407

DNA vaccines against influenza viruses show promising results in small animal 409 models, but the results in large including horses and humans are often not at the expected 410level. It appears that mice do not represent the immune response in the target host results, 411 and this may, at least in part, be due to the difference in distribution of some critical re-412 ceptors on antigen presenting cells. However, the availability of reagents and genetically 413 consistent inbred host, makes the mice the most widely accepted model in testing vaccine 414 efficacy including DNA vaccines. There are challenges to use equine species purely as a 415 model for DNA vaccine development. Nonetheless, and research to develop an equine 416 influenza DNA vaccine is ongoing, potentially providing valuable information to inform 417 human DNA vaccine development in a comparative approach. Finally, although progress 418 is being made, there is still scope for research to enhance the delivery and immunogenicity 419 of DNA vaccines. 420

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