

1 **Tinnitus, hearing loss and inflammatory processes in an older Portuguese**
2 **population**

3

4 **Abstract**

5 *Objective:* Tinnitus is associated with various conditions such as presbycusis, infectious,
6 autoimmune and many other diseases. Our study aims to identify an association between
7 inflammatory markers and the presence of tinnitus or hearing loss (HL).

8 *Design:* Exploratory study including a structured interview, complete ENT observation,
9 audiological and inflammatory markers evaluation.

10 *Study Sample:* 60 women and 54 men (55 to 75 years) from the Portuguese population,
11 with or without sensory presbycusis and/or tinnitus.

12 *Results:* IL10 levels were significantly lower in participants with tinnitus than in those
13 without tinnitus. Moreover, TGF- β was lower in older participants ($p=.034$), IL1 α was
14 higher in participants with tonal tinnitus ($p=.033$), and IL2 was lower in participants who
15 reported partial or complete residual inhibition ($p=.019$). Additionally, we observed a
16 negative correlation between tinnitus duration and IL10 levels ($r=-.281$), and between
17 HSP70 levels and tinnitus loudness ($r=-.377$). TNF- α and HSP70 levels appears to be
18 sensitive to the time when samples were collected (i.e. morning or afternoon).

19 *Conclusions:* The results of our study show fluctuations in inflammatory markers along
20 the hearing loss process, reinforce the idea that inflammatory mechanisms are involved
21 in hearing loss pathogenesis but also in tinnitus. IL10 levels appear significantly altered
22 in tinnitus but not hearing loss.

23 **Keywords:** Tinnitus; Inflammatory biomarkers; ARHL (Age-Related hearing loss).

24

25 **Introduction**

26 Tinnitus is the perception of sound in the absence of acoustic stimulation and is
27 frequently a consequence of hearing loss (HL) or activation of the somatosensory system
28 (Mazurek et al. 2010; Shore 2011). It is frequently associated with conditions such as
29 presbycusis, ototoxicity, infectious and autoimmune diseases, sleep disturbances,
30 cognitive problems, psychological disorders, and many other problems and diseases
31 (Heller 2003; Hoffman and Reed 2004; Seydel et al. 2013; Watts et al., 2018).

32 Tinnitus is most commonly associated with hearing loss. Many studies have linked
33 chronic inflammation to age-related-hearing-loss (ARHL) and other age-related diseases
34 also termed as inflammaging (Franceschi and Campisi 2014). Epidemiological studies in
35 older adults have shown an association between long-term serum C-reactive protein
36 (CRP) levels and hearing loss (Nash et al, 2013). Another study found a significant
37 independent association between levels of circulating leucocytes and levels of hearing
38 loss (Verschuur, Agyemang-Prempeh and Newman 2014). In brief, these studies show
39 that this effect increases with age, with the strongest association among those over 75
40 years of age.

41 Inflammation occurs as the response of the organism to harmful stimuli.
42 Inflammatory processes involve major cells of the immune system and are controlled by
43 regulators such as cytokines and chemokines. Cytokines can be broadly classified
44 according to their immune response as pro-inflammatory (interleukin 1 alfa and beta (IL1
45 α and IL1 β), IL 6, Tumour Necrosis Factor (TNF)- α and Interferon (INF)), and anti-
46 inflammatory (IL-12, IL-10) (Turner et al. 2014).

47 Evidence suggests that frailty is due to a low-grade inflammatory response that
48 persists for prolonged time, even in the absence of inflammatory stimuli, e. g. infection
49 or injury (Hubbard et al. 2009; Leng et al. 2007; Qu, Walston et al. 2009; Qu, Yang et al.

50 2009). Thus, the mechanisms leading to frailty involve inflammation affecting the
51 immune and neuroendocrine systems among others (Ferrari and Magri 2008; Poeggeler
52 2005; Walston et al. 2006) with inflammatory cytokines such as Interleukin (IL) 6, CRP
53 and Tumor necrosis factor- α (TNF- α), playing an important role (Collerton et al. 2012;
54 Leng et al. 2007; Qu, Walston et al. 2009; Qu, Yang et al. 2009).

55 The production of inflammatory cytokines is significantly influenced by oxidative
56 processes (Bodamyali et al. 2000), wherein an increase in pro-inflammatory cytokines
57 seems to be associated with a simultaneous increase in oxidative stress (Menardo et al.
58 2012). This influence of oxidative stress in the state of chronic inflammation can be
59 associated with the development of premature hearing loss (Menardo et al., 2012).

60 Persistent tinnitus, as a consequence of hearing loss, can have a significant
61 negative impact on quality of life originating major psychological distress (Bartels, Staal
62 and Albers 2007). The relationship between tinnitus and distress is complex and manifests
63 itself as the auditory attention focused on the tinnitus sound with consequent increased
64 irritability, anxiety, depressive mood or somatic complains (Hiller and Goebel 1992;
65 Tyler et al. 2014). Indeed, circulating levels of CRP, IL6 and TNF- α have been associated
66 with psychological components of many disorders (Steptoe, Hamer and Chida 2007).

67 Tinnitus can also be regarded as a chronic stressor that affects cytokine
68 production. Besides being associated with inflammatory or infectious diseases, changes
69 in circulating levels of IL1 β , IL6 and TNF- α have been associated with aging, exposure
70 to stress, and some neurological disorders (Zhang et al. 2013). In addition, serum
71 concentrations of IL1 β (Szczepek et al. 2014) and TNF- α have been correlated to
72 tinnitus-related distress (Szczepek et al. 2014; Weber et al. 2002). However, IL 6 does
73 not seem to associate with tinnitus-induced distress (Szczepek et al. 2014).

74 The cochlear resident cells in the organ of Corti have immune competences and
75 participate in the cochlear immune response to acoustic overstimulation (Cai et al. 2014).
76 Disruption of gene expression related to pain and inflammation has been described as
77 involved in noise-induced tinnitus and spontaneous hyperactivity in the cochlear nucleus
78 (CN) (Manohar et al. 2016). Inputs from the CN leading to the disruption of the auditory-
79 somatosensory pathway has also been suggested as a mechanism of tinnitus. This
80 disruption results from maladaptive auditory-somatosensory plasticity, a form of axonal
81 sprouting promoted by transforming growth factor (TGF- β) signaling, which can be
82 inhibited by the anti-hypertensive drug losartan (Mun et al. 2018).

83 Cochlear and auditory nerve degeneration may elicit a chronic neuroimmune
84 response (activation of microglia) and the up-regulation of proinflammatory cytokines
85 such as IL1 β (Fuentes-Santamaria et al. 2013) through the up-regulation of the glutamate
86 transporter Slc17a6.

87 Animal studies have explored the association between Heat Shock Protein 70
88 (HSP-70) and the auditory system (Gong and Yan 2002; Trune et al. 1998), finding HSP-
89 70 to be associated with an increase of autoimmune response in the inner ear (Gong and
90 Yan 2002). Controversial results are published on the interaction between HSP-70 and
91 HL, from no association (Trune et al. 1998), to its assumption as a prognostic marker of
92 idiopathic sudden sensorineural hearing loss (ISSHL) (Düzer et al. 2014).

93 This study aims to identify associations between inflammatory markers and the
94 characteristics, presence, or severity of tinnitus or HL, in an older Portuguese population,
95 as potential diagnostic or prognostic markers.

96

97 **Methods:**

98 **Participants**

99 Our sample included 114 older individuals (n=60 women, n=54 men) consecutively
100 recruited from ENT outpatient's consultation at Hospital Cuf Infante Santo, Lisbon,
101 Portugal. Inclusion criteria were adults from the Portuguese population, of both genders,
102 aged between 55 to 75 years, presenting with or without hearing loss and/or tinnitus.
103 Presbycusis was defined as bilateral sensorineural hearing loss in downslope audiometric
104 pattern, above 1000 Hz with poor speech discrimination (discrimination threshold > 40
105 dB SPL and 100% discrimination to 60 dB or worse).

106 Exclusion criteria comprised inability to understand and sign the informed consent due to
107 a significant cognitive impairment, an uncompensated medical disorder that requires
108 urgent evaluation, or the presence of a serious psychiatric disorder. Moreover, we
109 excluded individuals with Ménière's disease, chronic otitis media, otosclerosis, tinnitus
110 induced by occlusive exostosis, otitis externa, a history of immunologic,
111 neurodegenerative or demyelinating diseases, ototoxic drugs use, massive noise exposure,
112 or chemotherapy.

113 This study had the approval of the Ethical Committees from Hospital Cuf Infante Santo
114 (26 the November, 2014), Nova Medical School (n°65/2014/CEFCM) and the National
115 Department of Personal Data Protection (authorization number:1637/2016). The study
116 was conducted in accordance with the Declaration of Helsinki.

117 **Clinical Evaluation**

118 Data collected from all participants comprised their personal clinical history (past and
119 present), family history, and audiological assessment, including the rating of tinnitus
120 intensity in a scale from 0 to 10 (being 10 the loudest possible). As part of the clinical
121 evaluation, a complete ENT evaluation was performed. Epidemiologic data

122 (demographic, previous and present diseases, toxicological habits, and exposure to noise)
123 were collected using a structured interview.

124 **Audiological assessment**

125 Pure Tone Audiometry:

126 Hearing thresholds were determined by pure tone audiometry (air and bone) according to
127 ISO 8253 and 389. The exam was performed in a soundproof booth, (Model: IAC), using
128 an Interacoustics® audiometer (Assens, Denmark; Model: AC40) and TDH39/HDA300
129 headphones fitted with noise-excluding headset ME70 and bone conductor B-71.
130 Audiometry was performed at frequencies from 0.25 kHz to 16 kHz (standard tonal
131 audiometry and extended high frequency). The category of hearing loss (HL) was defined
132 according to the recommendations of the Bureau International d’Audiophonologie
133 (BIAP): normal or subnormal hearing (below 20dB), mild hearing loss (21-40), moderate
134 hearing loss (41-70), severe hearing loss (71-90), very severe hearing loss (91-119) or
135 total hearing loss – cophosis (over 120). Pure tone average (PTA) was taken as the
136 average threshold across 500 Hz, 1000 Hz, 2000 Hz and 4000 Hz. Frequencies not heard
137 were recorded as 120 dB threshold. Retrieved May 15, 2018 from:
138 [http://www.biap.org/en/recommandations/recommendations/tc-02-classification/213-
139 rec-02-1-en-audiometric-classification-of-hearing-impairments/file](http://www.biap.org/en/recommandations/recommendations/tc-02-classification/213-rec-02-1-en-audiometric-classification-of-hearing-impairments/file).

140 “High frequency” pure-tone average (HF_PTA) was calculated as the average thresholds
141 across 2, 4, and 8 kHz (Newman et al. 2012).

142 All participants were submitted to immittance to rule out middle ear pathology (Model:
143 Madsen Zodiac 901), so participants without type A tympanogram were excluded.

144 **Tinnitus assessment**

145 Psychoacoustic tinnitus evaluation:

146 This step was performed after audiometric testing in a soundproof booth using an
147 Interacoustics® audiometer (Assens, Denmark; Model: AC40) and TDH39 headphones
148 fitted with noise-excluding headset ME70. First, we checked whether the tinnitus percept
149 was more similar to a tone or a noise, and the evaluation of tinnitus frequency was
150 performed by offering frequencies from 125 to 16000Hz, two stimuli each time, asking
151 the participants to choose which was more similar to their tinnitus sound. For the
152 identification of tinnitus loudness (intensity), the elected frequency (from the previous
153 step) was offered in an intensity similar to the hearing threshold, and loudness was
154 gradually increased (5dB steps) until it reached the closest match to tinnitus percept.

155 Loudness discomfort levels (LDL):

156 This evaluation was performed for each ear individually, using pure tones (those from
157 tonal audiometry) in an ascending method. The participant should state when the sound
158 was uncomfortable (Goldstein and Shulman 2007).

159 Feldmann masking curves or Minimum Masking Levels (MML):

160 This test was performed at the frequencies where tonal audiometry was tested, using
161 narrow band noises or pure tones (in case tinnitus was not masked by narrow band noises),
162 using an ascending method, 5dB each step during 1-2 seconds, from hearing thresholds
163 until the participant noticed he/she couldn't hear tinnitus. According to the spatial relation
164 of the curves from hearing thresholds and tinnitus masking, this one was designated as: 1
165 - Convergent; 2 – Divergent; 3 – Congruent; 4 – Distant; 5 – Persistent (Lokenberg 2000).

166 Residual inhibition:

167 Procedure: at the identified tinnitus pitch (frequency), the participant was stimulated with
168 a narrow band noise, 10dB above the tinnitus loudness for 1 minute. According to the
169 responses of participants, 4 categories were possible: 1) complete (tinnitus is not audible);
170 2) partial (tinnitus became quieter); 3) negative (no change at tinnitus percept); and 4)
171 “rebound” effect (tinnitus became louder). At categories 1 and 2 we measured the time
172 that tinnitus was abolished or diminished (Coles and Hallam 1987; Goldstein and
173 Shulman, 2007).

174 The severity of tinnitus was evaluated using the Tinnitus Handicap Inventory (THI)
175 (Newman, Jacobson and Spitzer 1996). The THI is a self-administered questionnaire with
176 good psychometrics properties (McCombe et al. 2001). It comprises 25 questions
177 concerning tinnitus, with the response options "Yes", "Sometimes" and "No",
178 respectively corresponding to scores of 4, 2 and 0, giving a total score that may vary from
179 0 and 100. The questionnaire has three sub-scales or dimensions: Functional (11 items -
180 contributing 0-44 for the final score), Emotional (9 items - contributing 0-36 for the final
181 score) and Catastrophic (5 items - contributing 0-20 for the final score). The total score
182 of the responses allowed tinnitus classification according to its severity or impact in daily
183 life: 0-16, Slight or no handicap (Grade 1); 18-36, Mild handicap (Grade 2); 38-56,
184 Moderate handicap (Grade 3); 58-76, Severe handicap (Grade 4); 78-100, Catastrophic
185 handicap (Grade 5).

186 **Evaluation of inflammatory markers**

187 Venous blood samples were collected into tubes without anticoagulant agents. Samples
188 were allowed to coagulate for 30 minutes at room temperature and were centrifuged
189 afterwards. After the separation from cells, sera were further divided in labeled aliquots
190 of about 500 μ L, which were frozen at -80° C until analysis. Each aliquot was used only
191 once.

192 For the evaluation of IL1 α , IL1 β , IL2, IL6, IL10, IFN (Interferon)- γ and TNF- α , a BD
193 CBA Flex Set (BD Biosciences, San Jose, CA, USA) bead based multiplex assay was
194 used. The protocol was performed following strictly the instructions of the manufacturer.
195 In brief, after the preparation of standards and other ancillary reagents, serum samples
196 were incubated with specific capture beads for 1 hour at room temperature in flow
197 cytometry tubes. The detection reagent was then added to the samples and incubated for
198 2 hours at room temperature in the dark. After a washing step, beads were resuspended
199 and analyzed using BD FACS Canto II flow cytometer, previously set up according to
200 the BD CBA Flex Set recommendations. A minimum of 300 beads were acquired for
201 each cytokine in each sample. The FCAP Array Software (BD Biosciences) was used for
202 data analysis. Standard curves covering a 0–2,500 pg/mL concentration range were
203 generated after serially diluting reconstituted standards. To be accepted, all 10-point
204 standard curves should present at least $r^2 > 99.90$. Minimum detection levels were: 1.0
205 pg/mL for IL1 α ; 2.3 pg/mL for IL1 β ; 11.2 pg/mL for IL2; 1.6 pg/mL for IL6; 0.13 pg/mL
206 for IL10; 1.8 pg/mL for IFN- γ ; and 0.7 pg/mL for TNF- α .

207 A similar BD CBA Flex Set protocol was performed for TGF- β , using the Human TGF-
208 β 1 Single Plex Flex Set (BD Biosciences). The difference between this and the previous
209 tests was that TGF- β requires activation of the latent TGF- β 1 to its immunoreactive form.
210 Therefore, the Sample Activation Kit 1 (R&D, Minneapolis, MN, USA) was used to
211 acidify samples for 10 minutes with 1N HCL and then neutralize them using 1.2N
212 NaOH/0.5M HEPES, according to the recommended procedure. After activation, samples
213 were incubated with capture beads for 2 hours, washed and incubated with detection
214 reagent. Acquisition and analysis were performed as described above. For TGF- β
215 standard curves covered a 0–10,000 pg/mL concentration range, and minimum detection
216 level was 14.9 pg/mL.

217 Finally, Heat Shock Protein 70 (HSP70/HSPA4) was assayed using the HSPA4 (HSP70)
218 Human ELISA Kit (ThermoFisher, Frederick, MD, USA), a classical ELISA plate-based
219 assay. Samples were assayed in duplicates, following the steps described in the
220 manufacturer's instructions, including sample incubation with capture antibodies
221 adsorbed in the plate, Biotinylated Antibody, Streptavidin-HRP Reagent, TMB Substrate
222 and finally, Stop solution. After all washing and incubation steps, absorbances were
223 assessed at 450nm in an ELISA plate reader (Stat Fax® 2100, Fisher Bioblock Scientific,
224 France). Data were analyzed using Logit regression V21042005 free-software, available
225 at www.xs4all.nl/~ednieuw. The Range for HSP70/HSPA4 was 2-600 ng/mL, and all
226 mean values below the detection limit were evaluated as zero.

227 **Statistical analysis**

228 Descriptive analyses (absolute and relative frequencies, averages and respective standard
229 deviations) were performed for the study sample and for the evaluated parameters.
230 Subsequently, association analyses were performed between the presence of tinnitus and
231 the evaluated inflammatory markers. The assumption of distribution normality was
232 analysed with the Shapiro-Wilk test. Normal distribution was accepted for samples with
233 a size greater than 30, and the Anova-One-Way test and the Pearson correlation
234 coefficient were used as parametric tests. The assumption of homogeneity of variances
235 was analysed with the Levene test. When the assumptions of the parametric tests were
236 not satisfied, non-parametric tests were used as an alternative. Mann-Whitney (for two
237 groups) or Kruskal-Wallis (for more than two groups) tests were employed to compare
238 the presence and severity of tinnitus and the presence of HL. The level of significance
239 considered was $p=0.05$. All the results were analysed through a logistic regression model,
240 where age and gender were control variables. In the cases where we had missing data

241 we considered n=total of entries. Statistical analyses were performed in SPSS version
242 24.0.

243 **Results**

244 **Sample Distribution**

245 Our population included 114 adults with a median age of 63.0 (P₂₅=59.8, P₇₅=68.3) years
246 old. Most of the individuals were female (n=60, 52.6%), presenting a median age of 63.5
247 (P₂₅=59.0, P₇₅=68.3) years old. For men (n=54, 47.4%), the median age was 63.0
248 (P₂₅=60.0, P₇₅=68.5) years old.

249 Participants were grouped primarily as ‘tinnitus’ versus ‘no tinnitus’, and secondarily, as
250 ‘with hearing loss’ versus ‘without hearing loss’. For some analyses, we further
251 subdivided participants into subgroups (1) without hearing loss and without tinnitus
252 (control group), (2) without hearing loss but with tinnitus, (3) with hearing loss but no
253 tinnitus, and (4) with hearing loss and tinnitus (Table 1). As such we compared tinnitus
254 (subgroup 2 + subgroup 4) with no tinnitus (subgroup 1 + subgroup 3).

255

256 [INSERT TABLE 1 HERE]

257

258 **Audiological assessment**

259 PTA and HF_PTA were higher in those with tinnitus than in those who did not have
260 tinnitus

261 [INSERT FIGURE 1 HERE]

262 Figure 1. Pure Tone Audiometry (average curves) in the 4 subgroups.

263

264 **Tinnitus characteristics**

265 Table 2 shows clinical characterisation and the psychoacoustic characteristics of tinnitus.

266 The mean tinnitus duration was 7.8 ± 8.6 years. Mean tinnitus intensity was 3.3 ± 1.6 , on

267 a visual analogue scale (VAS) of 1-10 (Table 2). For most participants tinnitus was central

268 (i.e. perceived in the head) (47.8%) and tonal (53.2%). In most participants tinnitus was

269 constant (87%). Tinnitus onset was gradual for 49% and abrupt for 19.5% of participants.

270 Dizziness, often associated with tinnitus, was reported by 38% of participants with

271 tinnitus, while 54.4% reported not having dizziness symptoms. In most participants,

272 tinnitus worsened in situations where they were nervous (58.7%). Reduced sound

273 tolerance was reported by 48.9% of participants, and 33.7% of participants with tinnitus

274 had unprotected exposure to noise, while only four participants used protection when

275 exposed to noise. Concerning psychoacoustic assessment, frequencies matched to tinnitus

276 pitch ranged from 2000 Hz to 8000 Hz, with 4000 Hz being the most frequently matched.

277 Loudness was matched to 0 dB (with a variation of + or – 5dB according to hearing

278 threshold). Most participants reported central (52.4%) and pure tone (59.0%) tinnitus.

279 Convergent (47.6%) and distant (29.8%) Feldmann’s curve types were the most frequent.

280 Residual inhibition was negative in 43.9% of participants and partial in 36.6%.

281 [INSERT TABLE 2 HERE]

282

283 Tinnitus severity was categorised by means of the THI scores. Mild handicap was the

284 most prevalent (38 participants), followed by moderate handicap (22), slight or no

285 handicap (17), severe handicap (14), and only one participant had catastrophic handicap
286 (Figure 2).

287 [INSERT FIGURE 2 HERE]

288 Figure 2. THI scores of tinnitus participants

289

290 **Inflammatory characteristics**

291 Table 3 demonstrates the mean values and standard deviation for each inflammatory
292 parameter in the groups with and without tinnitus or hearing loss, and degree of hearing
293 loss (n=112).

294 For analysis of HSP70 only 80 participants were included. The only significant difference
295 between groups was for IL10 ($p = .025$). Between group differences in IL6 and TGF- β
296 were not significant ($p = .052$ and $p = .064$, respectively).

297 [INSERT TABLE 3 HERE]

298

299 We analysed the inflammatory parameters in participants according to the presence or
300 absence of deafness. The mean values and standard deviation of inflammatory parameters
301 were presented according to the different degrees of deafness - normal, slight and
302 moderate. Except for IL2 and IFN- γ , the values of the inflammatory parameters were
303 lower in the moderately hearing-impaired group compared to the normal group and slight
304 hearing impairment group. It is interesting to note that the mean value of several
305 inflammatory parameters (IL1 α , IL1 β , IL10, IFN- γ , TNF- α and HSP70) decreased
306 progressively as the degree of hearing loss increased. However, differences were not
307 statistically significant.

308 **Association tests concerning to inflammatory parameters:**

309 **Tinnitus and comorbidities**

310 Concerning the comorbidities, only smoking was significantly associated with levels of
311 IFN- γ ($p=.041$).

312 **Clinical characterization and psychoacoustic assessment in tinnitus group:**

313 In exploratory analyses we divided the participants according to those aged 55-64 and 65-
314 75 years old. Between these groups there was a statistically significant difference in levels
315 of TGF- β ($U= 721.5, p= .034$) (lower in the older group). There were also significant
316 differences in IL1 α ($U= 577.000, p= .033$) levels according to tinnitus type: IL1 α values
317 were statistically higher in patients with tonal tinnitus compared to those with narrow
318 band tinnitus. Concerning residual inhibition, we found statistically significant
319 differences in IL2 levels between those who did and did not experience it ($H = 9,948, p =$
320 $.019$). Additionally, we observed a negative correlation between tinnitus duration and
321 levels of IL10 ($r = -.281, p = .007$).

322 Correlations between matched tinnitus loudness and inflammation factors are shown in
323 Table 4.

324 [INSERT TABLE 4 HERE]

325

326 There was a significant negative weak correlation between HSP70 and tinnitus loudness
327 ($r = -.397, p = .004$). Because the coefficient is negative, this means that higher tinnitus
328 loudness values were associated with lower levels of HSP70.

329

330 **Presence of tinnitus and sample collection time**

331 In a further exploration of the data, the study population was divided according to the
332 time of collection (morning or afternoon), presence of tinnitus, and inflammatory

333 parameters. For 36 participants, blood samples were collected in the morning (before
334 11.30am) and for 78 participants blood samples were collected in the afternoon, (between
335 12 and 4.30pm (Table 5).

336

337 [INSERT TABLE 5 HERE]

338

339 Overall, only levels of TNF- α and HSP70 were significantly different (higher in the
340 morning,) (Table 6).

341

342 [INSERT TABLE 6 HERE]

343

344 In the subgroup with tinnitus, IL10 and IFN- γ levels differed significantly between
345 sample collection times (Table 5).

346

347 **Modelling the data**

348 **Presence of tinnitus and inflammatory factors**

349 Table 7 presents a logistic regression modelling inflammatory factors, age, gender, high
350 frequency, IFN- γ and exposure to noise as confounding variables. This analysis was first
351 performed for all participants, and then just for the ‘afternoon’ group. The dependent
352 variable in the model was presence of tinnitus.

353 [INSERT TABLE 7 HERE]

354 High frequency hearing loss in both ears represented a significant risk of tinnitus in all
355 participants and in the ‘afternoon’ group, 1.096 and 1.082 respectively.

356

357 **Severity of tinnitus and inflammatory factors**

358 In a logistic regression modelling inflammatory factors, age, gender, IL2 and residual
359 inhibition were considered as confounding variables. The dependent variable in the model
360 was severity of tinnitus, measured through THI (Table 8).

361 [INSERT TABLE 8 HERE]

362

363 The logistic regression revealed that residual inhibition ($p = .011$) had a significant effect
364 on the probability of patients having severe or catastrophic tinnitus. Thus, the odds of a
365 patient having severe or catastrophic tinnitus was higher in participants who had a
366 negative or rebound residual inhibition, compared to those having partial or complete
367 residual inhibition. The IL2 mean value was 0.62 pg/mL for participants with a negative
368 or rebound effect of residual inhibition, and 0.36 pg/mL for those having a complete or
369 partial effect of residual inhibition. Nevertheless, the difference was not significant ($p =$
370 $.504$), which limits the use of this marker in the assessment of partial/complete residual
371 inhibition.

372 **Discussion**

373 In this study, we have conducted an exhaustive audiological and inflammatory evaluation
374 of older Portuguese adults with or without hearing loss and/or tinnitus.

375 Studies have shown that inflammatory responses occur in the inner ear under various
376 damaging conditions, including overstimulation with noise (Fujioka et al. 2006) and
377 cisplatin-induced ototoxicity (Park et al. 2009). Several studies demonstrate possible
378 relationships between inflammation and inflammatory mediators in the cochlea and the
379 development of ear diseases such as deafness (Fujioka, Okano and Ogawa 2014).

380 Many inflammatory factors were measured in the current study but only IL10 emerged as
381 significantly different, between those who do and do not have tinnitus. IL10 levels were

382 not significantly different between those who did and did not have hearing loss, or
383 different levels of hearing loss, suggesting it may be a useful marker of tinnitus
384 independent of hearing loss.

385 Analyses also identified some trends that warrant further investigation. Though statistical
386 significance was not achieved, the mean value of several systemic inflammatory markers
387 were lower (IL1 α , IL10, TNF- α , and HSP70) or higher (IL2) with increasing hearing loss.
388 Trends towards lower levels for most parameters was more pronounced in participants
389 with more high-frequency hearing loss. Supporting this notion, in a study involving an
390 older population, Doi and colleagues found an association between polymorphisms in the
391 IL6 gene at region – 174G/C and susceptibility to tinnitus (Doi et al. 2015). In the current
392 study IL6 levels were just short of significant, but there was a significant difference in
393 IL10 levels. Epidemiologic prospective studies also confirm the association between
394 inflammation and hearing loss (long-term serum C-reactive protein levels) in ARHL
395 (Nash et al. 2013).

396 Our results have shown that tinnitus participants presented lower levels of IL10. The main
397 source of IL10 are regulatory T cells and they target cells such as B cells and
398 macrophages, promoting their anti-inflammatory functions by inhibiting cytokine
399 production and the function of mononuclear cells. INF- γ is also mainly originated from
400 T cells and influences various cells. This classical pro-inflammatory cytokine increases
401 neutrophil and monocyte function, though according to the surrounding stimuli it may
402 play both pro- or anti-inflammatory roles (Turner et al. 2014). Gilles et al (2017) in their
403 genome-wide association study (GWAS) found through gene set enrichment analysis that
404 several metabolic pathways, including those for oxidative stress, endoplasmic reticulum
405 (ER) stress, and serotonin reception mediated signaling, may be implicated in tinnitus
406 pathophysiology. The excessive production of ROS (Reactive Oxygen Species) and NO

407 can alter the ER and disrupt the electron-transport chain, causing ER stress and ROS
408 production (Xu et al, 1999, 2004). This can activate calcium-dependent protein kinases,
409 as well as JNK and NF- κ B, leading to inflammatory responses and cell death (Malhotra
410 and Kaufman 2007).

411 Finally, the statistical association between IL1 α values and tonal type tinnitus may be
412 related to specific pathophysiological mechanisms that warrant further confirmatory
413 studies in larger study populations.

414 We have found more significant differences for the afternoon blood collection group,
415 which may reflect different circadian paradigms depending on different inflammatory
416 factors.

417 Several studies have shown heat shock transcription factor 1 (HSF-1) activation after
418 injury, which in turn induces several HSP, these phenomena is diminished during ageing
419 consequently reducing HSP cytoprotective action (Lobo et al. 2013; May et al. 2013).

420 HSPs are present in different cell subsets. At the nervous and the immune systems, these
421 proteins have intra- and extracellular functions with paracrine effects such as the
422 activation of cytokines (Giffard, Macario, and de Macario 2013; Pujol and Puel 1999).

423 On the other hand, conditions involving deficiency at the HSP system may lead to tinnitus
424 in people with acute noise exposure (Dechesne et al. 1992). Our results open new

425 therapeutic options regarding prevention or retardation of the mechanisms involved in
426 ARHL and tinnitus that, although complex, are surely associated to inflammatory

427 mechanisms. Nakamoto and colleagues suggested that the suppression of the
428 proinflammatory cytokine HSF-1 in the cochlea by the administration of
429 geranylgeranylacetone (GGA) may be an important way of protecting the inner ear
430 (Nakamoto et al. 2012).

431

432 **Study limitations**

433 Blood samples in the current study were collected at different times during the day. , and
434 for some variables this appeared to have an effect of the result. Hence, our participant
435 samples may not be as ‘homogenous’ as first thought. Our results show that generally,
436 levels of our tested inflammatory markers were higher in the morning than in the
437 afternoon, and for TNF- α and HSP70 the differences were statistically significant.
438 Petrovsky described a higher peak the cytokines IFN-gamma, TNF-alpha, IL-1 and IL-
439 12 during the night and early morning (Petrovsky N., et al, 1998). Another study,
440 regarding circadian rhythm included 30 different types of cytokines, has shown that
441 plasma collected in the afternoon contains higher concentrations of cytokines and
442 chemokines than serum and plasma collected in the morning (Altara et al., 2015). This
443 apparently contradictory results reflects the need for further confirmatory studies
444 regarding the more advisable time of the day for sample collection, which may vary
445 according to the battery of cytokines to be studied.

446

447 **Conclusions**

448 Due to an increasing older population, it is estimated that in 2050 there will be two billion
449 people older than 65 years of age. Results from the most recent World Health
450 Organization (WHO) Global Burden of Diseases (2015) reports hearing loss as the fourth
451 leading cause of years lived with disability. Given the strong links between hearing loss
452 and tinnitus, tinnitus will surely follow this trend. In order to improve the quality of life
453 in people with those disabilities it is imperative to invest in studies that aim to clarify the
454 underlying causal mechanisms. Such studies will enable a more efficient prevention or
455 treatment and avoid the progression to frailty and related mental health disabilities.

456 The results of our study clearly demonstrate that inflammatory mechanisms are involved
457 not only in hearing loss pathogenesis but also in tinnitus. In addition, we have shown for
458 the first time that the systemic concentration of IL10 is associated with the presence of
459 tinnitus. Another interesting finding is that higher IL1 α levels are associated with tonal
460 type of tinnitus and HSP70 and IL10 are negatively correlated with tinnitus loudness and
461 tinnitus duration respectively. Altogether our data reinforce the need for further research,
462 not only to confirm our observations in larger samples, but also to address the
463 pathophysiological mechanisms underlying this interplay, controlling possible
464 confounding factors. Finally, a trend for negative correlations between many
465 inflammatory markers and tinnitus characteristics makes it reasonable to hypothesise that
466 inflammatory mechanisms are involved in the acute phase of tinnitus emergence.

467

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478

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659 **Tables**

Table 1. Distribution of the individuals by subgroups.

<i>Subgroup</i>	<i>Audiological Characteristic</i>	<i>Gender</i>		<i>n (%)</i>	<i>Median Age, years (Median, 25th-75th percentiles)</i>
		<i>Male</i>	<i>Female</i>		
1	PTA≤20 without Tinnitus	5	12	17 (14.9%)	63.0 (59.8, 68.3)
2	PTA≤20 with Tinnitus	15	27	42 (36.8%)	
3	PTA >20 without Tinnitus	3	2	5 (4.4%)	
4	PTA >20 with Tinnitus	31	19	50 (43.9%)	
<i>Total</i>		54	60	114	

660 *PTA* Pure Tone Average.

661 Table 2. Clinical characterization and psychoacoustic tinnitus assessment.

<i>Clinical variables</i>		<i>Participants with tinnitus (n=92)</i>
Tinnitus Duration (mean in years)		7.8 ± 8.6
Intensity of tinnitus (scale 1-10)		3.3 ± 1.6
Manifestation of tinnitus		
	Constant	80 (87%)
	Intermittent	7 (7.6%)
	Pulsatile	4 (4.3%)
	Omitted	1 (1.1%)
How did tinnitus begin?		
	Gradual	45 (49%)
	Abrupt	18 (19.5%)
	Omitted	29 (31.5%)
Dizziness		
	Yes	35 (38%)
	No	50 (54.4%)
	Omitted	7 (7.6%)
Does tinnitus gets worse when you're nervous?		
	Yes	54 (58.7%)
	No	37 (40.2%)
	Omitted	1 (1.1%)
Lower noise tolerance		
	Yes	45 (48.9%)
	No	47 (51.1%)
Noise exposure		
	Yes, with protection	4 (4.3%)
	Yes, without protection	31 (33.7%)
	No	57 (62%)
<i>Audiological measurements</i>		<i>Participants with tinnitus (n=92)</i>
Pitch (n=83)		4000Hz (2000Hz; 8000Hz)
Loudness (n=83)		0 dB (0 dB; 5.0 dB)
Laterality		
	Central	44 (47.8%)
	Right	15 (16.3%)
	Left	25 (27.2%)
	Omitted	8 (8.7%)
Type		
	Pure Tone	49 (53.2%)
	Narrow Band Noise	34 (37%)
	Omitted	9 (9.7%)
Feldmann's Curve		
	Congruent	17 (18.4%)
	Convergent	40 (43.4%)
	Divergent	1 (1.1%)
	Distant	25 (27.1%)
	Persistent	1 (1.1%)
	Omitted	8 (8.7%)
Residual inhibition		
	Negative	36 (39.1%)
	Partial	30 (32.6%)
	Complete	13 (14.1%)
	Rebound Effect	3 (3.3%)
	Omitted	10 (10.9%)

663 Table 3. Descriptive analyses of inflammatory parameters for tinnitus, hearing loss and deafness grade

Inflammatory parameter	With Tinnitus	Without Tinnitus	P value (Mann - Whitney)	With hearing loss	Without hearing loss	P value (Mann - Whitney)	Hearing impairment grade			P-value (Kruskal-Wallis)
							Normal <20dB	Slight 21-40dB	Moderate 41-70dB	
IL1 α (pg/mL)	0.698 \pm 2.51	0.362 \pm 0.68	.300	0.736 \pm 2.64	2.741 \pm 17.22	.433	2.693 \pm 17.07	0.828 \pm 2.88	0.296 \pm 0.24	.768
IL1 β (pg/mL)	1.424 \pm 5.40	0.810 \pm 1.85	1.000	1.535 \pm 5.68	4.637 \pm 28.02	.461	4.557 \pm 27.78	1.772 \pm 6.20	0.365 \pm 0.31	.539
IL2 (pg/mL)	0.464 \pm 1.62	0.227 \pm 0.70	.980	0.454 \pm 1.63	0.311 \pm 1.24	.171	0.306 \pm 1.23	0.428 \pm 1.74	0.657 \pm 1.01	.089
IL6 (pg/mL)	2.023 \pm 3.00	2.164 \pm 1.48	.052	5.339 \pm 19.45	1.937 \pm 3.49	.582	1.904 \pm 3.46	6.111 \pm 21.21	1.571 \pm 1.80	.647
IL10 (pg/mL)	1.175 \pm 1.30	1.843 \pm 2.51	.025*	1.184 \pm 1.18	1.849 \pm 4.83	.470	1.827 \pm 4.79	1.273 \pm 1.24	0.747 \pm 0.66	.239
IFN- γ (pg/mL)	3.321 \pm 9.88	6.483 \pm 16.57	.116	3.985 \pm 12.29	7.461 \pm 17.60	.181	7.381 \pm 17.46	2.738 \pm 5.85	11.298 \pm 29.57	.302
TNF- α (pg/mL)	2.563 \pm 10.24	1.829 \pm 4.96	.841	2.573 \pm 10.46	5.424 \pm 26.93	.691	5.331 \pm 26.71	3.052 \pm 11.40	0.138 \pm 0.37	.391

HSP70 (ng/mL)	0.496±1.24	0.391±0.69	.827	0.396±0.96	0.531±1.24	.544	0.531±1.24	0.473±1.04	0.000	.333
TGF-β (pg/mL)	1450.609±77 5.71	1339.357±86 5.55	.064	1827.441±125 4.80	1807.449±110 2.73	.801	1819.252±109 6.71	1861.179±133 0.40	1550.385±78 0.86	.699

664

Table 4. Correlations: inflammatory parameters and tinnitus loudness.

<i>Inflammatory parameters</i>	<i>r- value</i>
IL1 α	-.018
IL1 β	-.023
IL2	-.015
IL6	-.143
IL10	-.004
IFN- γ	.028
TNF- α	-.026
HSP70	-.397**
TGF- β	.115

Table 5. Mean and standard deviation of the inflammatory markers in the morning and afternoon.

Inflammatory marker	Morning period		Afternoon period		p- value (Mann-Whitney)
	Without tinnitus (n=2)	With tinnitus (n=33)	Without tinnitus (N=20)	With tinnitus (n=57)	
IL1 α (pg/mL)	0.745 \pm 0.96	5.131 \pm 22.73	0.307 \pm 0.65	0.346 \pm 0.54	
IL1 β (pg/mL)	3.155 \pm 4.02	8.984 \pm 37.17	0.560 \pm 1.45	0.610 \pm 1.37	
IL2 (pg/mL)	0.000	0.556 \pm 2.03	0.239 \pm 0.72	0.343 \pm 1.24	
IL6 (pg/mL)	2.940 \pm 2.75	8.064 \pm 25.14	2.038 \pm 1.37	1.602 \pm 2.03	
IL10 (pg/mL)	6.300 \pm 8.72	2.186 \pm 6.11	1.347 \pm 0.60	1.032 \pm 0.87	.032*
IFN- γ (pg/mL)	5.090 \pm 4.69	7.293 \pm 17.30	6.442 \pm 16.98	4.645 \pm 13.77	.045*
TNF- α (pg/mL)	8.705 \pm 12.31	10.227 \pm 36.78	1.061 \pm 3.54	1.308 \pm 4.57	
HSP70 (ng/mL)	1.115 \pm .95 (n=2)	0.682 \pm 1.12 (n=14)	0.315 \pm 0.65 (n=19)	0.438 \pm 1.28 (n=45)	
TGF- β (pg/mL)	694.370 \pm 315.22	2095.511 \pm 1402.92	1640.260 \pm 1349.39	1757.686 \pm 940.64	

667 Table 6. Inflammatory markers and collection time

	<i>Morning</i>		<i>Afternoon</i>		<i>Sig.</i>
	<i>Mean</i>	<i>SD</i>	<i>Mean</i>	<i>SD</i>	
IL1a	4.88	22.08	0.34	.57	.673
IL1b	8.65	36.09	0.60	1.39	.947
IL2	.52	1.98	0.32	1.13	.836
IL6	7.77	24.43	1.72	1.89	.845
IL10	2.42	6.20	1.11	.82	.463
IFNg	7.17	16.82	5.11	14.58	.056
TNFa	10.14	35.75	1.24	4.31	.038*
HSP70	0.74	1.09	0.40	1.13	.028*
TGF_BETA	2015.45	1401.51	1727.19	1053.51	.361

676 * $p < .05$, ** $p < .01$, *** $p < .001$.

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Table 7. Logistic regression model applied to presence of tinnitus.

<i>Variable*</i>	<i>B</i>	<i>Wald</i>	<i>OR</i>	<i>p- value</i>	<i>(95% IC)</i>
Sex	.015	.001	1.015	.978	(.345, 2.988)
Age	-.034	.481	.967	.488	(.878, 1.064)
High_frequency_PTA_OD_OE ^a	.092	6.502	1.096	.011*	(1.021, 1.176)
IFNg	.004	.051	1.004	.822	(.972, 1.036)
Exposure to noise	1.228	3.095	3.414	.079	(.869, 13.405)
Constant	1.416	.202	4.120	.653	
Sex	.109	.032	1.115	.858	(.337, 3.695)
Age	-.030	.310	.971	.577	(.875, 1.077)
High_frequency_PTA_OD_OE ^b	.079	4.099	1.082	.043*	(1.003, 1.168)
IFNg	.001	.002	1.001	.961	(.968, 1.035)
Exposure to noise	1.242	2.129	3.461	.144	(.653, 18.339)
Constant	1.080	.103	2.944	.749	

680 ^a whole group, ^b afternoon group * $p \leq 0,05$

Table 8. Logistic regression model applied to severity of tinnitus and residual inhibition.

	B	Wald	OR	Sig.	(95% IC)
Sex (Female)	.813	.693	.535	0.367	(.138, 2.082)
Negative/rebound (1)	6.475	.728	6.381	0.011*	(1.531, 26.599)
Age	.176	.060	1.026	0.674	(.911, 1.154)
IL2	.110	.205	.934	0.740	(.625, 1.397)
Constant	1.084	3.889	.017	0.298	

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* $p \leq 0,05$

682 **Figures**

683 Figure 1

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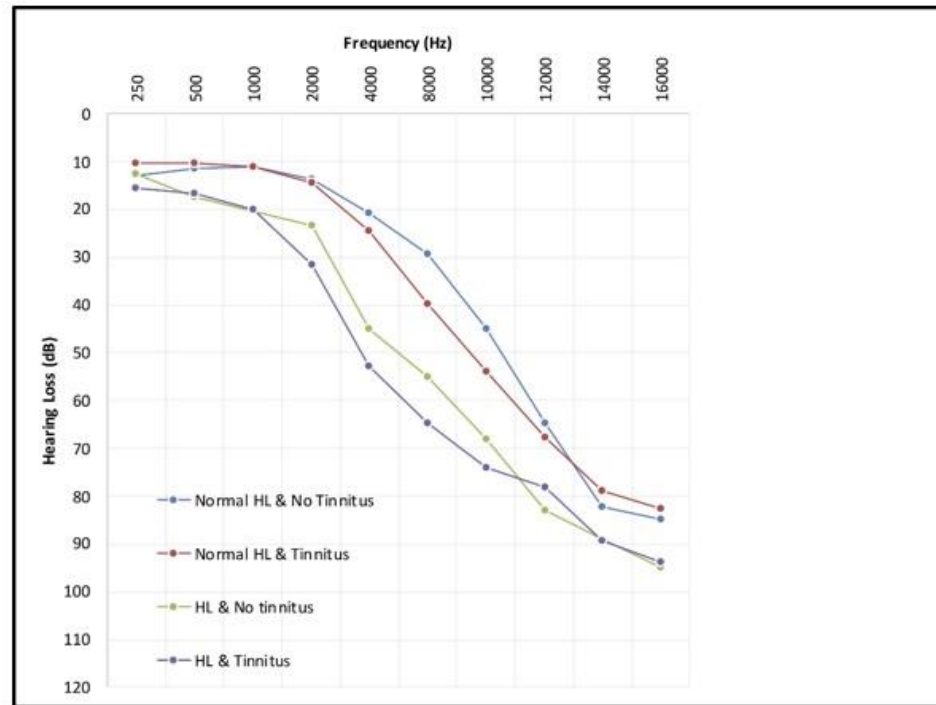
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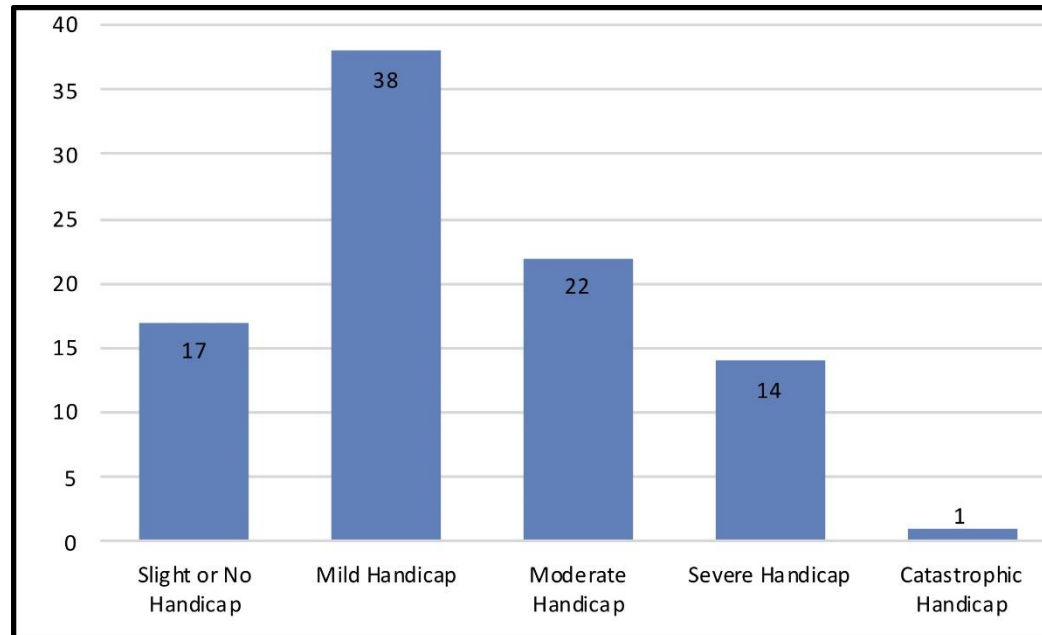
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693 Figure 2

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695 **Figure Legends**

696 Figure 1. Pure Tone Audiometry (average curves) in each of the 4 subgroups.

697 Figure 2. THI scores of tinnitus participants

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