

# Exploring the dynamic characteristics of thermoelectric generator under fluctuations of exhaust heat

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**Abstract:** The thermoelectric generator is a potential candidate to recover waste heat from exhaust gas. Regarding the fluctuations of exhaust heat in practical situations, this paper adopts a transient fluid-thermal-electric multiphysics model to investigate the dynamic performance of the thermoelectric generator. Results show that the fluctuation of exhaust temperature has a greater influence on the dynamic characteristics than the fluctuation of exhaust mass flow rate. The dynamic performance of the thermoelectric generator benefits from a decrease in exhaust heat, but suffers when the exhaust heat is in an upward trend. Compared with the constant power and efficiency values of 4.96 W and 2.49%, the average power and efficiency of the thermoelectric generator show a notable increase of 5.50% and 70.61% respectively during a step decrease in exhaust mass flow rate. Similarly, under a linear decrease, the mean power and efficiency experience a rise of 6.04% and 45.05% respectively. Besides, periodic exhaust heat can effectively amplify the dynamic output performance, especially for conversion efficiency. When subjected to a sin wave of exhaust mass flow rate, the efficiency experiences a 15.58% improvement. This work offers a comprehensive understanding of the dynamic characteristics exhibited by the thermoelectric generator employed for waste heat recovery.

*Keywords:* thermoelectric generator; dynamic characteristics; waste heat recovery; exhaust heat.

<b>Nomenclature</b>		$R$	load resistance, $\Omega$
		$S$	Seebeck coefficient, $\mu\text{V}\cdot\text{K}^{-1}$
		$t$	time, s
		$T$	temperature, K
		$U$	output voltage, V
		$\vec{v}$	Velocity, $\text{m}\cdot\text{s}^{-1}$
<i>Greek symbols</i>		<i>Abbreviations</i>	
$\rho$	density, $\text{kg}\cdot\text{m}^{-3}$	CFD	computational fluid dynamics
$\lambda$	thermal conductivity, $\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$	TEG	thermoelectric generator
$\eta$	conversion efficiency	TEM	thermoelectric module
$\sigma^1$	electrical resistivity, $\Omega\cdot\text{m}$		
$\phi$	electric potential, V		
$\mu$	dynamic viscosity, $\text{Pa}\cdot\text{s}$		
$\varepsilon$	turbulent dissipation rate, $\text{m}^2\cdot\text{s}^{-3}$		
<i>Symbols</i>		<i>Subscripts</i>	
$c$	specific heat capacity, $\text{J}\cdot\text{kg}^{-1}\cdot\text{K}^{-1}$	co	copper electrodes
$\vec{E}$	electric field density vector, $\text{V}\cdot\text{m}^{-2}$	ex	exhaust heat
$\vec{j}$	current density vector, $\text{A}\cdot\text{m}^{-2}$	h	hot side
$k$	turbulent kinetic energy, $\text{m}^2\cdot\text{s}^{-2}$	L	load resistance
$\dot{m}$	mass flow rate, $\text{g}\cdot\text{s}^{-1}$	m	material name
$P$	output power, W	n	n-type thermoelectric semiconductors
$p$	pressure, Pa	out	exhaust outlet
$Q$	heat absorption, W	p	p-type thermoelectric semiconductors

## 1. Introduction

In the areas of industrial production and engines, the exhaust gas generated carries a considerable amount of heat, which can be converted into electrical energy or useful thermal energy through waste heat recovery technologies, thereby reducing energy consumption and pollution. Turbo-charging [1], Rankine cycle [2], heat pump [3], and thermoelectric generator (TEG) [4-6] are the commonly used waste heat recovery technologies. Among these, the TEG, as a solid heat-to-electricity energy converter, has received great attention in the field of waste heat recovery, because of its unparalleled merits of no moving parts, no emissions, and long service life [7]. With the progress of high-performance thermoelectric materials, there is a rising trend among researchers to utilize thermoelectric generators (TEGs) for the recovery of waste heat.

In the context of industrial waste heat recovery, Meng et al. [8] employed computational fluid dynamics (CFD) tools to examine the performance of the thermoelectric generator (TEG) and optimize the structural parameters of both the TEG and heat collector; They recommended using a heat collector with 19 fins, each with a height of 8 cm, and an overall TEG size of 20 cm  $\times$  150 cm. Wang et al. [9] integrated heat pipes into the TEG to effectively harvest heat from industrial exhaust, and verified the feasibility of TEG applications in industrial waste heat recovery through experiments. In the scenario

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1 of ship waste heat recovery, Eddine et al. [10] studied and compared the output performance of two  
2 TEGs based on  $\text{Bi}_2\text{Te}_3$  and  $\text{Si}_{80}\text{Ge}_{20}$  thermoelectric materials for a marine diesel engine; They found  
3 that the  $\text{Bi}_2\text{Te}_3$ -based TEG outperforms the  $\text{Si}_{80}\text{Ge}_{20}$ -based one, and the power output of the TEG using  
4  $\text{Bi}_2\text{Te}_3$  thermoelectric materials can reach  $982 \text{ W/m}^2$  at the engine speed of 1000 rpm, while using  
5  $\text{Si}_{80}\text{Ge}_{20}$  thermoelectric materials can only achieve  $756 \text{ W/m}^2$ . Chariklia et al. [11] proposed a modular  
6 dynamic mathematical model of the TEG to evaluate its potential for waste heat recovery from a  
7 seagoing vessel; The simulation results indicated that the TEG could generate 26 kW of electricity  
8 when applied to a very large crude oil carrier and 1 kW when applied to an auxiliary engine. The TEG  
9 has witnessed good application prospects in industrial and ship waste heat recovery. Another promising  
10 application of TEGs is to harvest waste heat from automobile exhaust. Quan et al. [12] studied the  
11 performance interaction relationship between the TEG and automobile engine through CFD  
12 simulations; They revealed that the inner topology and engine operating condition significantly affect  
13 the behavior of the TEG, and TEGs offer the dual benefits of improving fuel economy and reducing  
14 emissions. Lan et al. [13] conducted a comparison of the output performance of the TEG when applied  
15 to extended-range electric vehicles and traditional vehicles; Through theoretical analysis, they found  
16 that the auxiliary power and driving cycle only affect the performance of the TEG in conventional  
17 vehicles, with little impact on its performance in extended-range electric vehicles. Recent  
18 advancements in TEG technology have led to the emergence of numerous prototypes specifically  
19 designed for automobile waste heat recovery [14-16].

20 A reasonable model is the basis for performance analysis and optimization of the TEG. To ensure  
21 an accurate assessment of its performance, a plethora of theoretical models, such as CFD models [17],  
22 analytical models [18], and multiphysics numerical models [19], have been developed. For the TEG  
23 placed between a high-temperature wastewater channel and a low-temperature air channel, Chen et al.  
24 [20] presented a performance prediction method based on CFD simulations; The prediction results  
25 indicated that the TEG could reach the highest power and efficiency of 0.411 W and 0.95% while using  
26 27 fins in the air channel, which are respectively 105.5% and 43.94% higher than those of the TEG  
27 without fins. Zhao et al. [21] developed a new automobile TEG with a perforated plate and analyzed  
28 its performance through the coupling simulations of the thermal-electric numerical model and CFD  
29 model; Also, the authors carried out an optimization study on the placement of the perforated plate and  
30 discovered that the optimized automobile TEG achieved a 73.4% higher output power compared to the  
31 TEG without a perforated plate. Considering the fast calculating speed and convenience of the  
32 analytical model, Cai et al. [22] offered a sizing optimization approach for the structural parameters of

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1 the thermoelectric module (TEM) and heat exchanger, in which the output performance is computed  
2 by an analytical model; Their results suggested that the optimal number and height of the TEM are 144  
3 and 1.5 mm respectively. Based on a thermal resistance network, Catalan et al. [23] developed an  
4 analytical model to assess the performance of TEGs applied to recover geothermal energy and pointed  
5 out that the TEG could generate 681.53 MWh of electricity per year. However, the CFD model and  
6 analytical model may lead to unreasonable prediction results due the neglect of multiphysics field  
7 coupling mechanism. Therefore, multiphysics numerical models have emerged in recent studies [24,  
8 25]. Yan et al. [26] proposed a modular TEG design with heat pipes for the purpose of waste heat  
9 recovery from passenger vehicles, and developed a comprehensive fluid-thermal-electric multiphysics  
10 model to assess its operational characteristics; The research outcomes demonstrated the advantageous  
11 effect of heat pipes on improving the heat transfer efficiency of the TEGs, allowing for a maximum  
12 output power of 29.8 W per unit. In a previous study, Luo et al. [27] provided an overview of the  
13 advancements in theoretical modeling of the TEG, encompassing various aspects such as TEG units  
14 to TEG systems, one-dimensional to three-dimensional models, and steady-state to transient-state  
15 analysis.

16 Given the dynamic nature of exhaust heat in real-world scenarios, transient performance analysis of  
17 the TEG offers a more accurate reflection of the practical conditions in comparison to steady-state  
18 analysis. Massaguer et al. [28] explored the dynamic characteristics of the automotive TEG under the  
19 New European Driving Cycle using CFD simulations and experimental tests, revealing that the output  
20 power of the TEG observed during transient experiments is smaller than the predictions from steady-  
21 state numerical simulations. Lan et al. [29] expanded the existing analytical model from steady state  
22 to transient state and applied it to study the dynamic behavior of the automotive TEG throughout an  
23 entire driving cycle; The developed model allowed for the calculation of the dynamic temperatures  
24 and output power of the TEG. Moreover, Luo et al. [30] presented a new transient dynamic model that  
25 combines the CFD model and the analytical model, taking advantage of the analytical model's  
26 computational efficiency and the CFD model's accuracy improvement potential. So far, several  
27 transient models have been formulated for TEGs employed in the recuperation of waste heat.  
28 Nevertheless, existing research primarily focuses on the transient performance analysis of TEGs under  
29 specific operating conditions, with no mention of the impact of exhaust heat parameters on their  
30 dynamic performance. In Ref. [31], it has been proved that periodic heating is conducive to amplify  
31 the output performance of the TEM. For the application of exhaust waste heat recovery, the exhaust  
32 heat in actual situations exhibits irregular fluctuations, and the periodic exhaust heat may also be

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1 helpful to enhance the behavior of the TEG. Therefore, examining the dynamic characteristics of the  
2 TEG in the presence of exhaust heat fluctuations is vital for a comprehensive understanding and  
3 effective utilization of its capabilities.

4 In contrast to the dynamic behavior of the TEM, the dynamic characteristics of the TEG in waste  
5 heat recovery are primarily influenced by fluctuations in exhaust heat, such as exhaust temperature  
6 and mass flow rate, rather than the hot-side temperature. To reveal the dynamic characteristics of the  
7 TEG under fluctuations of exhaust heat, a simplified TEG is used as the research objective in present  
8 study, and a transient fluid-thermal-electric multiphysics model is built to examine its transient output  
9 power and conversion efficiency. Besides, six basic waveforms of exhaust heat are selected as  
10 boundary conditions for the multiphysics model to explore the optimal transient exhaust heat source,  
11 including increases in step and linear, decreases in step and linear, as well as triangular and sine waves.

## 12 **2. Architecture of the simplified thermoelectric generator**

13 Considering the substantial computational resources necessary for multiphysics numerical  
14 simulation and the fact that the dynamic characteristics of the TEG are not reliant on its structure, a  
15 simplified TEG arrangement, as shown in Fig. 1, is employed as the research objective. The heat  
16 exchanger is equipped with inlet and outlet connectors, each with a diameter of 40 mm, through which  
17 the exhaust gas enters and exits. To efficiently capture heat from the exhaust gas, 16 fins are evenly  
18 distributed on the two hot sides of the heat exchanger. A TEG1-12708 TEM (Sagreon, Wuhan, China)  
19 based on  $\text{Bi}_2\text{Te}_3$  materials is affixed to one hot side of the heat exchanger, consisting of 127 pairs of  
20 p- and n-type thermoelectric semiconductors, 256 copper slices, and two ceramic plates. The TEM  
21 exhibits a sandwich structure, where the thermoelectric semiconductors are electrically connected in  
22 series and thermally connected in parallel. Dimensions (length  $\times$  width  $\times$  height) for the ceramic plate,  
23 copper slice, and thermoelectric semiconductor are  $40 \times 40 \times 0.7 \text{ mm}^3$ ,  $1.4 \times 3.8 \times 0.4 \text{ mm}^3$ , and  $1.4 \times$   
24  $1.4 \times 1.6 \text{ mm}^3$ , respectively. The cold side of the TEM is equipped with a heat sink featuring a U-  
25 shaped water channel (with a diameter of 5.5 mm), which functions as a cooling source. The overall  
26 size of the heat sink is  $40 \times 40 \times 12 \text{ mm}^3$ . Driven by the temperature difference between the heat  
27 exchanger and heat sink, the TEM will generate electricity, and its output performance depends on the  
28 exhaust heat. Fig. 1 provides more detailed structural information for each component mentioned. In  
29 addition, Table 1 presents comprehensive material parameters for the TEG, including specific  
30 thermoelectric properties supplied by the manufacturer. For the exhaust gas and coolant, the material  
31 parameters of dry air and water are adopted to represent their respective material properties.

Table 1. Material characteristics of the TEG

Component	Material name	Density (kg·m <sup>-3</sup> )	Specific heat (J·kg <sup>-1</sup> ·K <sup>-1</sup> )	Thermal conductivity (W·m <sup>-1</sup> ·K <sup>-1</sup> )	Seebeck coefficient (μV·K <sup>-1</sup> )	Electrical resistivity (10 <sup>-5</sup> Ω·m)
inlet and outlet connector	stainless steel	8030	502.48	17	-	-
heat exchanger and heat sink	aluminum	2719	871	217.7	-	-
p-type thermoelectric semiconductor	p-type Bi <sub>2</sub> Te <sub>3</sub> materials	6780	$1.7289 \times 10^{-5} T^3 - 0.0209 T^2 + 8.4401 T - 945.6858$	$1.6848 \times 10^{-7} T^3 - 1.8949 \times 10^{-4} T^2 + 0.0697 T - 6.8387$	$1.3222 \times 10^{-5} T^3 - 0.0171 T^2 + 7.3095 T - 853.6610$	$-9.0350 \times 10^{-9} T^3 + 1.6380 \times 10^{-5} T^2 - 0.00425 T + 0.6648$
n-type thermoelectric semiconductor	n-type Bi <sub>2</sub> Te <sub>3</sub> materials	7800	$1.0197 \times 10^{-5} T^3 - 0.0128 T^2 + 5.3717 T - 581.5998$	$1.4735 \times 10^{-7} T^3 - 1.5903 \times 10^{-4} T^2 + 0.0571 T - 5.0958$	$-1.5235 \times 10^{-5} T^3 + 0.0194 T^2 - 8.2297 T + 981.1090$	$4.4520 \times 10^{-8} T^3 - 5.5288 \times 10^{-5} T^2 + 0.0259 T - 3.4085$
copper slice	copper	8960	385	400	-	$1.67 \times 10^3$
ceramic plate	ceramic	3600	850	$-0.02857 T + 28.3757$	-	-

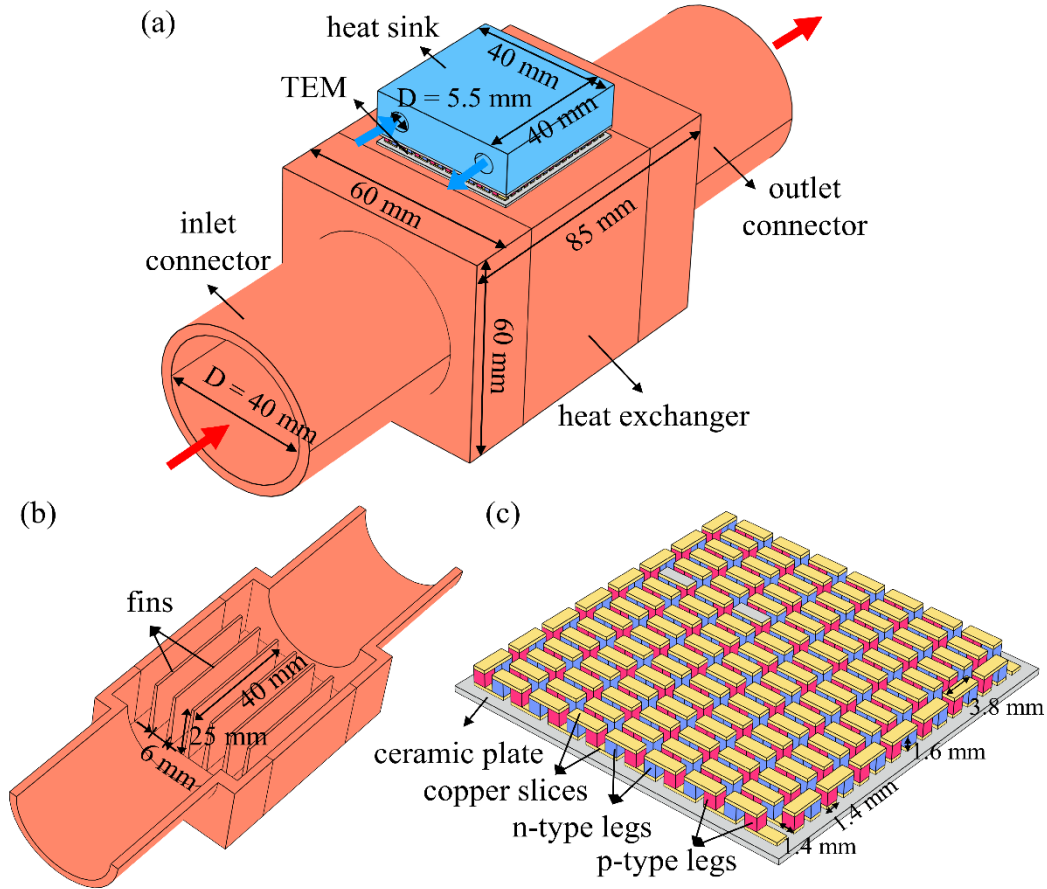


Fig. 1. Architecture of the simplified TEG [32].

### 3. Model development

The developed model is based on the following assumptions:

- (i) The heat radiation is not considered;
- (ii) Thermoelectric materials are isotropic;
- (iii) The gravity is ignored.

#### 3.1 Basic principles of the transient fluid-thermal-electric multiphysics model

1 In waste heat recovery applications, the TEG experiences complex multiphysics coupling  
 2 phenomena, which include the fluid dynamics of exhaust gas and cooling water, the thermal  
 3 distribution across the entire structure, and the electrical behavior of thermoelectric semiconductors  
 4 and copper slices. Consequently, it is necessary to consider multiphysics coupling characteristics in  
 5 the numerical model to ensure the high accuracy of simulations. The CFD theory and  $k$ - $\varepsilon$  turbulence  
 6 model [33] are employed to describe the fluid behavior of exhaust gas and cooling water, and the  
 7 corresponding governing equations include:

$$8 \quad \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \vec{v}) = 0 \quad (1)$$

$$9 \quad \frac{\partial}{\partial t} (\rho \vec{v}) + \nabla \cdot (\rho \vec{v} \vec{v}) = -\nabla p + \nabla \cdot (\mu \nabla \vec{v}) \quad (2)$$

$$10 \quad \rho c \frac{\partial T}{\partial t} + \rho c \vec{v} \cdot \nabla T = \nabla \cdot (\lambda \nabla T) \quad (3)$$

$$11 \quad \frac{\partial}{\partial t} (\rho k) + \rho (\vec{v} \cdot \nabla) k = \nabla \cdot \left[ \left( \mu + \frac{\mu_t}{\sigma_k} \right) \nabla k \right] + P_k - \rho \varepsilon \quad (4)$$

$$12 \quad \frac{\partial}{\partial t} (\rho \varepsilon) + \rho (\vec{v} \cdot \nabla) \varepsilon = \nabla \cdot \left[ \left( \mu + \frac{\mu_t}{\sigma_\varepsilon} \right) \nabla \varepsilon \right] + C_{1\varepsilon} \frac{\varepsilon}{k} P_k - C_{2\varepsilon} \rho \frac{\varepsilon^2}{k} \quad (5)$$

13 with

$$14 \quad \mu_t = \rho C_\mu \frac{k^2}{\varepsilon} \quad (6)$$

15 where,  $t$ ,  $\rho$ ,  $c$ ,  $\vec{v}$ ,  $p$ ,  $\lambda$ ,  $\mu$ , and  $T$  represent time, density, specific heat, velocity vector, pressure, thermal  
 16 conductivity, dynamic viscosity, and temperature, respectively.  $k$  and  $\varepsilon$  are respectively the turbulent  
 17 kinetic energy and its dissipation rate.  $P_k$  represents the shear term of  $k$ .  $C_{1\varepsilon} = 1.44$ ,  $C_{2\varepsilon} = 1.92$ ,  $C_\mu =$   
 18  $0.99$ ,  $\sigma_k = 1.0$ , and  $\sigma_\varepsilon = 1.3$  are constants.

19 For components without involving current flow, the thermal field can be described by the energy  
 20 conservation equation:

$$21 \quad (\rho c)_m \frac{\partial T}{\partial t} = \nabla \cdot (\lambda_m \nabla T) \quad (7)$$

22 where, subscript m denotes the name of different materials.

23 The energy conservation equations governing the thermal field in p- and n-type thermoelectric  
 24 semiconductors can be mathematically represented as follows [34]:

$$25 \quad (\rho c)_p \frac{\partial T}{\partial t} = \nabla \cdot (\lambda_p(T) \nabla T) + \sigma_p^{-1}(T) \vec{j}^2 - T_p \vec{j} \cdot \nabla S_p(T) - \frac{\partial S_p(T)}{\partial T_p} T_p \vec{j} \cdot \nabla T \quad (8)$$

$$26 \quad (\rho c)_n \frac{\partial T}{\partial t} = \nabla \cdot (\lambda_n(T) \nabla T) + \sigma_n^{-1}(T) \vec{j}^2 - T_n \vec{j} \cdot \nabla S_n(T) - \frac{\partial S_n(T)}{\partial T_n} T_n \vec{j} \cdot \nabla T \quad (9)$$

27 where,  $S$  and  $\sigma^{-1}$  are the Seebeck coefficient and electrical resistivity respectively.  $\vec{j}$  represents the  
 28 current density vector across thermoelectric semiconductors and copper slices. The subscripts p and n

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1 are employed to identify the p-type and n-type thermoelectric semiconductors, respectively.

2 Unlike thermoelectric semiconductors, the energy conservation equation for the thermal field of  
3 copper slices does not involve the inclusion of terms concerning the Seebeck coefficient, and it can be  
4 described as:

$$5 \quad (\rho c)_{\text{co}} \frac{\partial T}{\partial t} = \nabla \cdot (\lambda_{\text{co}} \nabla T) + \sigma_{\text{co}}^{-1} \vec{j}^2 \quad (10)$$

6 where, subscript co denotes copper slices.

7 As for the electric field, it follows [35]:

$$8 \quad \vec{E} = -\nabla \varphi + S(T) \nabla T \quad (11)$$

$$9 \quad \vec{j} = \sigma \vec{E} \quad (12)$$

$$10 \quad \nabla \cdot \vec{j} = 0 \quad (13)$$

11 where,  $\vec{E}$  and  $\varphi$  represent the electric field density vector and electric potential, respectively.

12 Eqs (1)-(13) form the essential equations of the transient fluid-thermal-electric multiphysics model.  
13 To compute these equations, numerical discretization is applied using the finite element method for  
14 spatial variables and the backward difference method for the time variable. The numerical calculations  
15 are performed using the commercial software, COMSOL.

16 According to numerical simulation results, the dynamic characteristics of the TEG can be estimated  
17 by extracting corresponding data from physical field distributions, including the dynamic power output  
18  $P(t)$  and conversion efficiency  $\eta(t)$ . Here, the dynamic power output is written by:

$$19 \quad P(t) = \frac{U_L^2(t)}{R_L} \quad (14)$$

20 Also, the dynamic conversion efficiency equals the ratio of  $P(t)$  to the dynamic heat absorption  
21  $Q_h(t)$ , that is:

$$22 \quad \eta(t) = \frac{P(t)}{Q_h(t)} = \frac{P(t)}{c_{\text{ex}} \dot{m}_{\text{ex}}(t) [T_{\text{ex}}(t) - T_{\text{out}}(t)]} \quad (15)$$

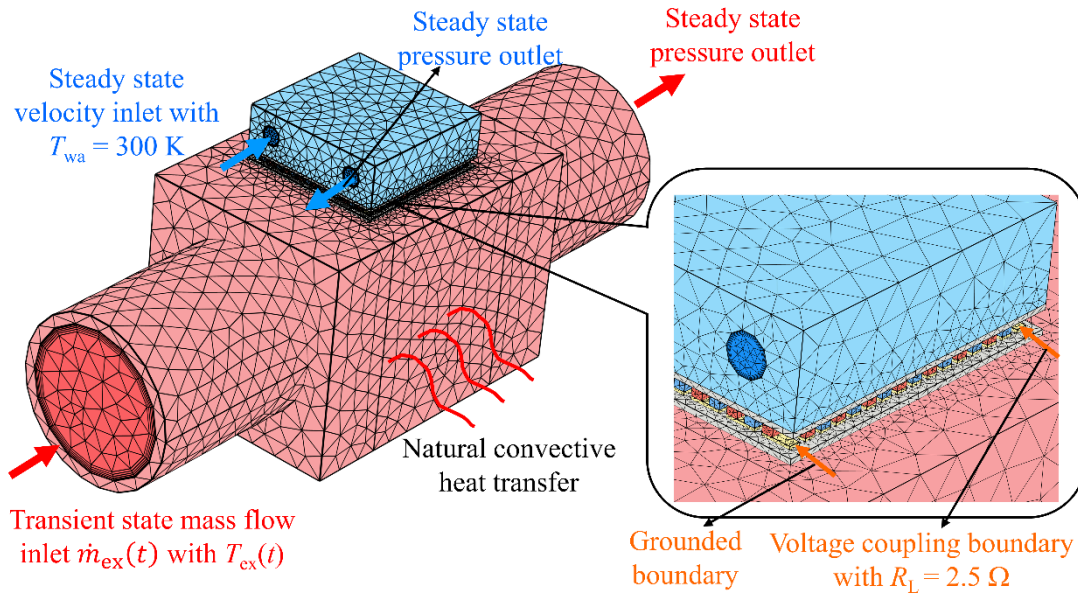
23 where  $T_{\text{out}}$  represents the exhaust outlet temperature.

### 24 3.2 Boundary conditions

25 To accurately estimate the TEG's dynamic behavior when subjected to exhaust heat fluctuations, it  
26 is essential to establish corresponding transient boundary conditions on the exhaust channel. Here, the  
27 transient state exhaust mass flow inlet boundary condition  $\dot{m}_{\text{ex}}(t)$  with the transient temperature  
28  $T_{\text{ex}}(t)$  is defined on the inlet surface of the exhaust channel, as illustrated in Fig. 2. Fig. 3 illustrates  
29 the changes of exhaust temperature and mass flow rate, ranging from 500 K to 600 K and from 10 g/s  
30 to 50 g/s, respectively. To explore the dynamic behavior of the TEG and assess its sensitivity to exhaust



1 temperature and mass flow rate fluctuations, two cases are examined. In the first case, the exhaust mass  
 2 flow rate undergoes fluctuations while the exhaust temperature is held at a steady-state average of 550  
 3 K. In the second case, the exhaust temperature experiences variations while the exhaust mass flow rate  
 4 is maintained at a steady-state average of 30 g/s. For the outlet surface of the exhaust channel, a  
 5 pressure outlet boundary condition in a steady state is employed. Besides, a steady-state velocity inlet  
 6 of 10 m/s with a water temperature of  $T_{wa} = 300$  K is defined on the inlet surface of the water channel.  
 7 On the corresponding outlet surface, a pressure outlet boundary condition is applied. At this point,  
 8 boundary conditions for the fluid domain have been fully defined. On the surfaces of the solid domain  
 9 exposed to the environment, a natural convection heat transfer boundary condition is specified. The  
 10 convection heat transfer coefficient and environmental temperature are set to  $10 \text{ W}\cdot\text{m}^{-2}\cdot\text{K}^{-1}$  and 300  
 11 K, respectively. As for the electric field boundary conditions in the TEM, a grounded boundary and a  
 12 voltage coupling boundary are respectively defined on its two terminals. Taking into account the  
 13 influence of impedance matching, a virtual circuit with load resistance is built on the COMSOL  
 14 platform, and a voltage coupling boundary is utilized to establish the connection between the load  
 15 resistance and the TEM.



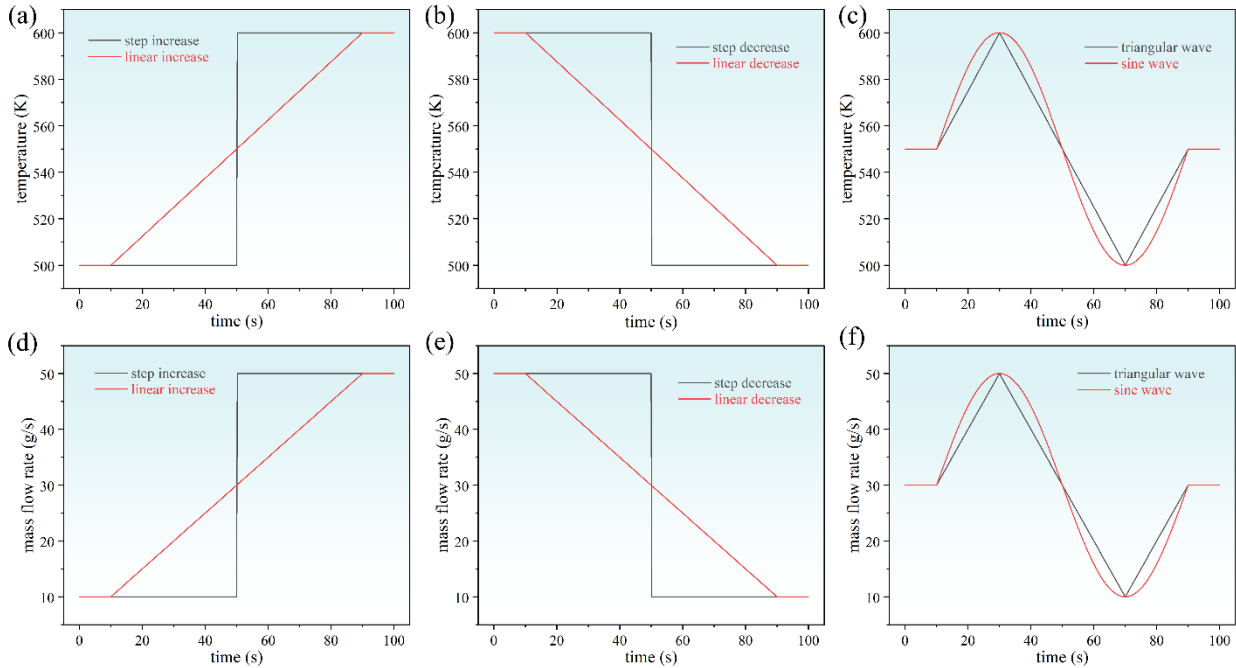
16  
 17 Fig. 2. Schematic diagram of the finite element model and boundary conditions.

18 Moreover, specific contact resistances are assigned to the corresponding interfaces based on the  
 19 experiments in Ref. [35]. For instance, contact thermal resistances of  $3.65 \times 10^{-5} \text{ m}^2\cdot\text{K}\cdot\text{W}^{-1}$ ,  $1.78 \times 10^{-4}$   
 20  $\text{m}^2\cdot\text{K}\cdot\text{W}^{-1}$ , and  $1.39 \times 10^{-4} \text{ m}^2\cdot\text{K}\cdot\text{W}^{-1}$  are defined on interfaces between copper slices and the ceramic  
 21 plate, between the TEM and the heat exchanger, and between the TEM and the heat sink, respectively.  
 22 Similarly, a contact electric resistance of  $1.18 \times 10^{-10} \text{ }\Omega\cdot\text{m}^2$  is introduced on interfaces between copper

1 slices and thermoelectric legs. The incorporation of these contact resistances is essential for ensuring  
 2 the accuracy of the calculated results.

### 3 3.3 Grid independence examination

4 Due to the sensitivity of finite element simulation results to grid parameters, a grid independence  
 5 examination is conducted on the finite element model of the TEG to select an appropriate grid system.  
 6 To ensure the reasonability of numerical calculations, boundary layer grids with 5 layers for the fluid  
 7 domain and refined grids for the TEM are adopted, as shown in Fig. 2. Four grid systems, namely grid  
 8 I, II, III, and IV, are selected for the numerical simulations, with respective grid numbers of 691783,  
 9 370658, 222694, and 170330. The exhaust mass flow rate, exhaust temperature, and load resistance  
 10 are set to fixed values of 30 g/s, 550 K, and 2.5  $\Omega$ , respectively. The output voltages of the TEG for  
 11 grid I, II, III, and IV are respectively 3.5217 V, 3.5223 V, 3.5273 V, and 3.5341 V. The more grids  
 12 there are, the more accurate the numerical results are, but the simulation time also increases  
 13 accordingly. To ensure accuracy while reducing computation time, grid II is chosen for numerical  
 14 simulations.

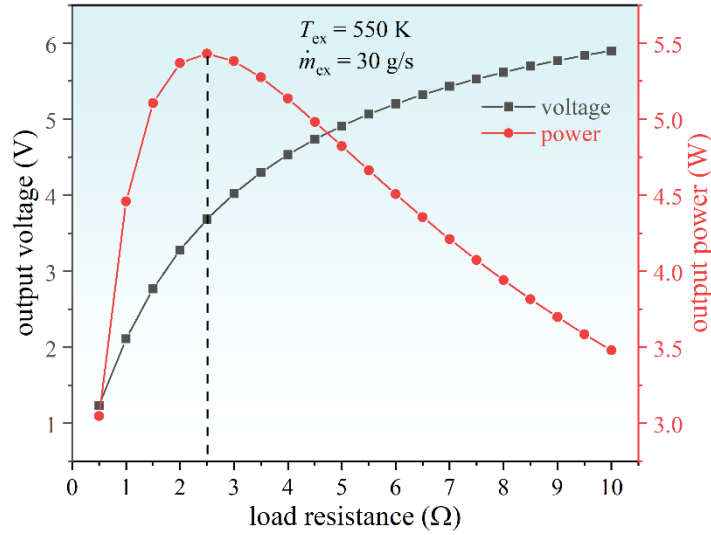


15  
 16 Fig. 3. Fluctuations of the exhaust heat. (a) Step and linear increase of exhaust temperature; (b) Step and linear decrease of  
 17 exhaust temperature; (c) Triangular and sine wave of exhaust temperature; (d) Step and linear increase of exhaust mass  
 18 flow rate; (e) Step and linear decrease of exhaust mass flow rate; (f) Triangular and sine wave of exhaust mass flow rate.

### 19 3.4 Optimal load resistance

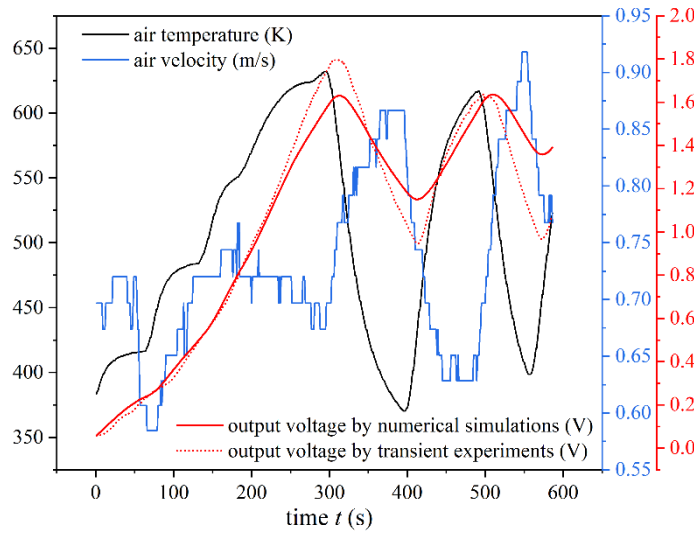
20 To ensure accurate transient numerical simulations, it is vital to determine the optimal load

1 resistance beforehand. Hence, steady-state numerical simulations are executed on the TEG using Grid  
 2 II, considering a fixed exhaust mass flow rate of 30 g/s and exhaust temperature of 550 K. The  
 3 corresponding results are presented in Fig. 4, illustrating the variations in output voltage and power of  
 4 the TEG for different load resistances. Notably, the output power reaches its peak value when the load  
 5 resistance is set at 2.5  $\Omega$ . Consequently, the optimal load resistance of 2.5  $\Omega$  is selected for the  
 6 subsequent transient numerical simulations in the subsequent sections.



7  
 8 Fig. 4. Exploration of the optimal load resistance.

9 *3.5 Experimental validation*



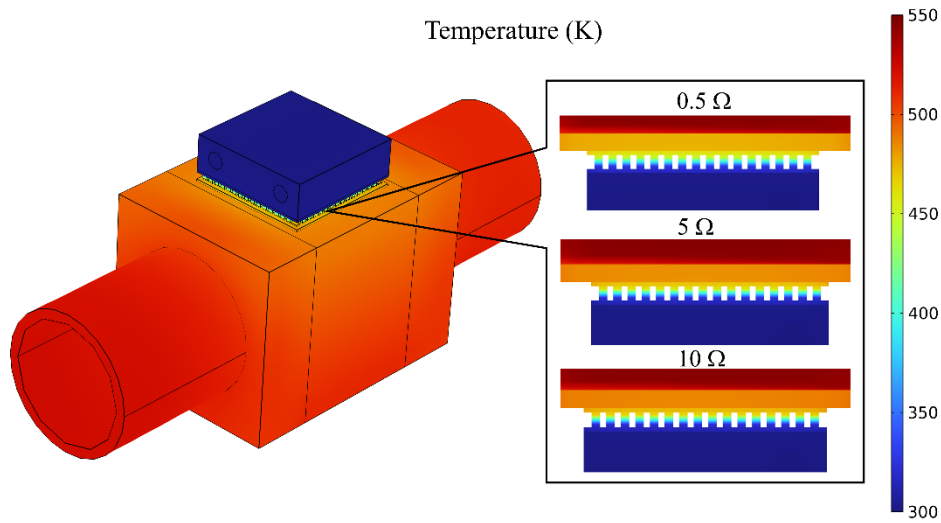
10  
 11 Fig. 5. Model verification by transient experiments [36].

12 The validity of the transient fluid-thermal-electric multiphysics model has been confirmed through  
 13 experimental verification in our previous study [36], as depicted in Fig. 5. The transient air temperature  
 14 and velocity obtained from the experimental tests are employed as the transient boundary conditions

1 for the multiphysics model, and the corresponding simulation results are obtained and compared with  
 2 the experimental data. Upon comparison, it is observed that the mean error of the output voltage  
 3 between the experimental and simulation results is approximately 9.24%, which is deemed acceptable  
 4 for transient experiments, considering the potential measurement errors associated with the  
 5 instruments.

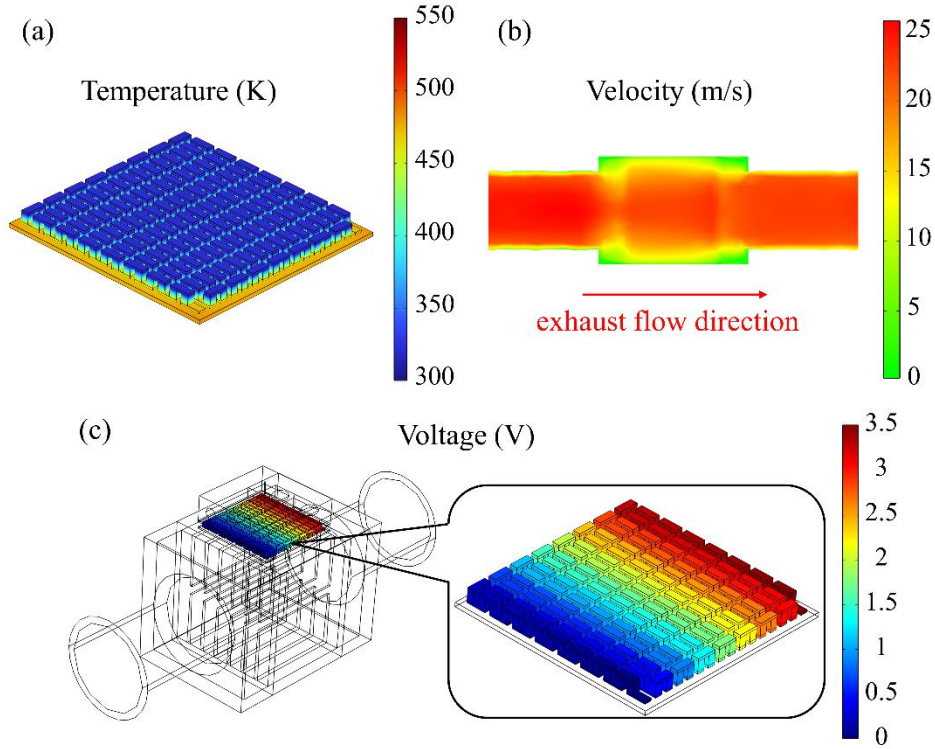
## 6 4. Results and discussion

### 7 4.1 Contours of the TEG from numerical simulations



8 Fig. 6. Temperature contour of the TEG under steady-state conditions of  $T_{\text{ex}} = 550 \text{ K}$  and  $\dot{m}_{\text{ex}} = 30 \text{ g/s}$ .  
 9

10 Fig. 6 illustrates the temperature contour of the TEG under steady-state conditions of  $T_{\text{ex}} = 550 \text{ K}$   
 11 and  $\dot{m}_{\text{ex}} = 30 \text{ g/s}$ . It is apparent that the temperature difference along the TEM exerts a dominant  
 12 influence on the temperature reduction between the high-temperature exhaust gas and the low-  
 13 temperature cooling water, primarily attributable to the limited thermal conduction capabilities of  
 14 thermoelectric materials. Additionally, the load resistance exerts a notable influence on the temperature  
 15 distribution on both sides of the TEM. The hot side temperature of the TEM decreases with the  
 16 decrease in load resistance. This is because the Peltier heat is proportional to the current, and the current  
 17 increases with the decrease in load resistance. The heat generated by the Peltier effect exhibits heat  
 18 absorption at the hot side of TEM, resulting in a decrease in temperature and output power.  
 19 Consequently, the electric field distribution also affects the temperature distribution, and it is more  
 20 reasonable to predict the behavior of the TEG by taking into account the multiphysics coupling  
 21 phenomenon.



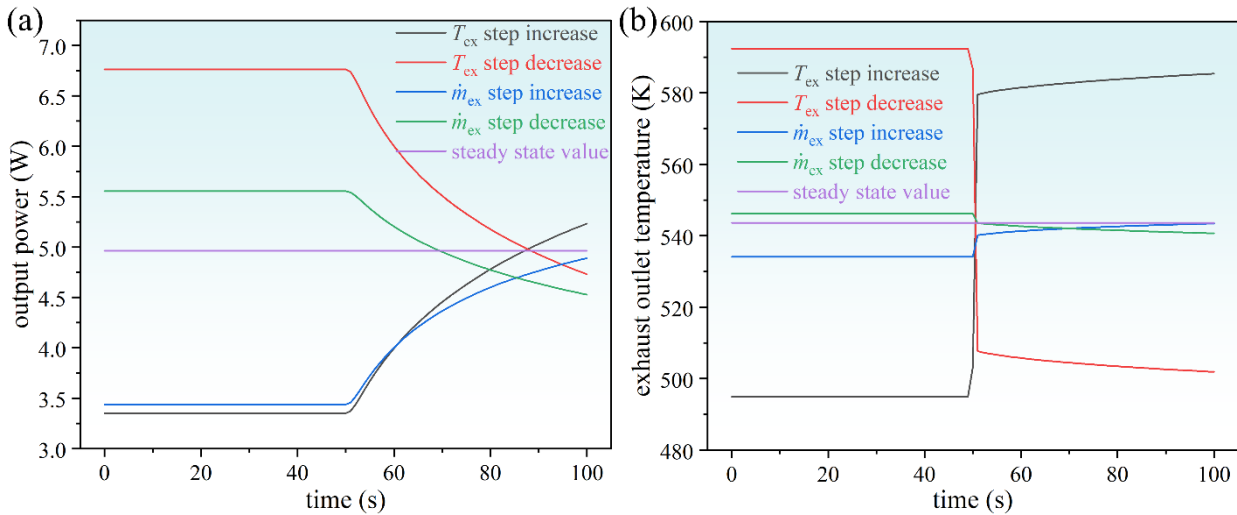
1  
2 Fig. 7. Other physical field contours of the TEG under steady-state conditions of  $T_{\text{ex}} = 550$  K,  $m_{\text{ex}} = 30$  g/s, and  $R_L = 2.5$   
3  $\Omega$ . (a) Temperature contour of the TEM; (b) Velocity contour of the exhaust region; (c) Voltage contour of the TEM.

4 Other physical field contours of the TEG can be found in Fig. 7. As depicted in Fig. 7(a), it is evident  
5 that the hot-side temperature of the TEM is markedly lower than the exhaust temperature, while the  
6 cold-side temperature closely resembles that of the cooling water. This distinction can be explained by  
7 the limited heat capacity of the exhaust gas. Enhancing the heat transfer between the heat exchanger  
8 and exhaust gas is one of the effective approaches to improve TEG performance, such as using fin  
9 structures. Fig. 7(b) shows the velocity contour in the exhaust channel. Due to the expansion flow from  
10 the inlet connector to the heat exchanger, the exhaust velocity located in the heat exchanger is lower  
11 than that in connectors, resulting in a lower exhaust temperature. Therefore, how to increase the heat  
12 exchanger area for placing TEMs while ensuring sufficient exhaust temperature is also one of the key  
13 challenges to be solved in promoting TEG applications. Fig. 7(c) gives the voltage contour of the TEM.  
14 The voltage rises proportionally as the number of thermoelectric semiconductors connected in series  
15 increases. It is observed that the output voltage is 3.52 V at  $R_L = 2.5 \Omega$ , thus, the corresponding power  
16 output is 4.96 W. The fluid-thermal-electric multiphysics model has demonstrated its effectiveness and  
17 progressive nature through the simulation results displayed in Figs 6 and 7. To delve further into the  
18 dynamic characteristics of the TEG, simulations employing the transient fluid-thermal-electric  
19 multiphysics model are performed in the following sections, incorporating fluctuations in exhaust heat

1 as the transient boundary condition. The subsequent analysis of the simulation results sheds light on  
 2 the dynamic behavior of the TEG.

### 3 4.2 Dynamic characteristics under step increase and decrease of the exhaust heat

4 Fig. 8 illustrates the dynamic power output and exhaust outlet temperature of the TEG under step  
 5 increase and decrease of the exhaust heat, where the exhaust heat changes at  $t = 50$  s. Although the  
 6 exhaust heat exhibits a step change, the power output of the TEG does not change correspondingly and  
 7 there is a significant response delay, as shown in Fig. 8(a). The power output at the intersection of  
 8 power curves between  $T_{ex}$  step increase and  $T_{ex}$  step decrease is exactly equal to the average value  
 9 under steady-state conditions, because the power output is directly proportional to exhaust temperature.  
 10 However, the output power at the intersection of  $\dot{m}_{ex}$  power curves is lower than the steady-state  
 11 average value. This can be attributed to the fact that, within a certain range of relatively high mass  
 12 flow rates, the output power exhibits only slight variations in response to changes in the mass flow  
 13 rate. As a result, even at the maximum mass flow rate of 50 g/s, the generated output power is only  
 14 marginally higher than that observed at the steady-state value of 30 g/s. Unlike the power output, the  
 15 exhaust outlet temperature exhibits step change trends consistent with the exhaust heat, as shown in  
 16 Fig. 8(b), because most of the heat is directly discharged with the exhaust gas, and only a small portion  
 17 is converted into electrical energy by the TEG. Additionally, Figs 3(a) and (d) and Fig. 8(a) clearly  
 18 demonstrate the presence of a substantial response hysteresis during the heat-to-electricity conversion  
 19 process.

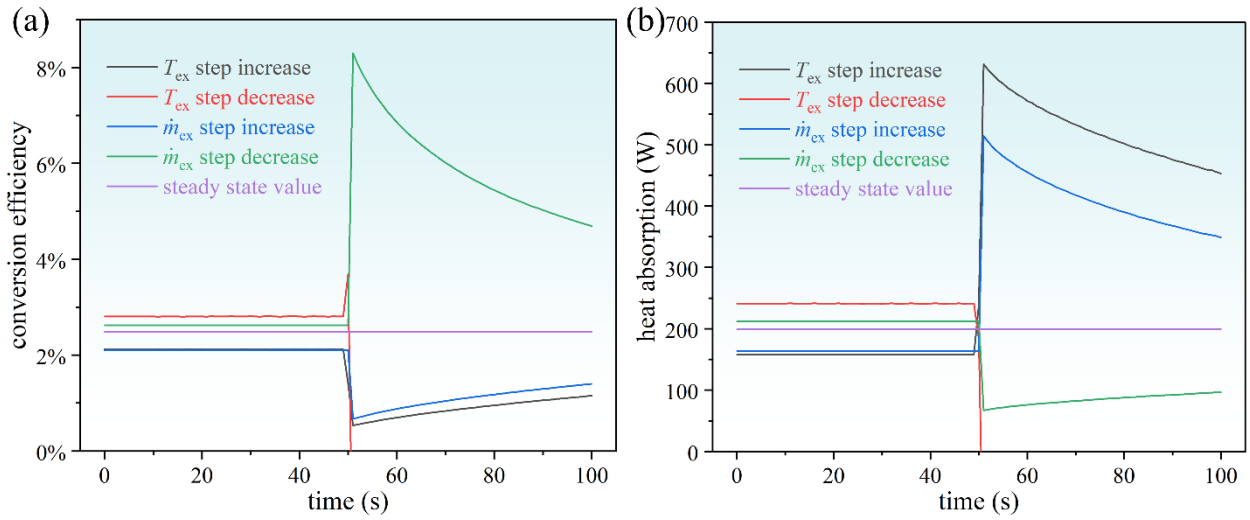


20  
 21 Fig. 8. Output power and exhaust outlet temperature of the TEG under step increase and decrease of the exhaust heat. (a)  
 22 Output power; (b) Exhaust outlet temperature.

23 Fig. 9 illustrates the dynamic conversion efficiency and heat absorption of the TEG under step



1 increase and decrease of the exhaust heat. Here, the curve part with negative efficiency and heat  
 2 absorption is not given, as it is meaningless. Unlike the output power, conversion efficiency fluctuates  
 3 sharply with the change of exhaust heat, as shown in Fig. 9(a). In the case of step changes in exhaust  
 4 mass flow rate, the conversion efficiency exhibits inverse variations, being inversely proportional to  
 5 the mass flow rate. Consequently, a step decrease in exhaust mass flow rate can significantly enhance  
 6 the conversion efficiency. As for step changes of exhaust temperature, when the exhaust temperature  
 7 step decreases, the conversion efficiency becomes negative, because the exhaust outlet temperature is  
 8 already higher than the exhaust inlet temperature, and in this case, the instantaneous heat absorption is  
 9 negative, as shown in Fig. 9(b). However, the negative value of conversion efficiency does not make  
 10 sense, and it is not reasonable to use instantaneous conversion efficiency to examine the behavior of  
 11 the TEG. Instead, the mean conversion efficiency over a period of time should be used.

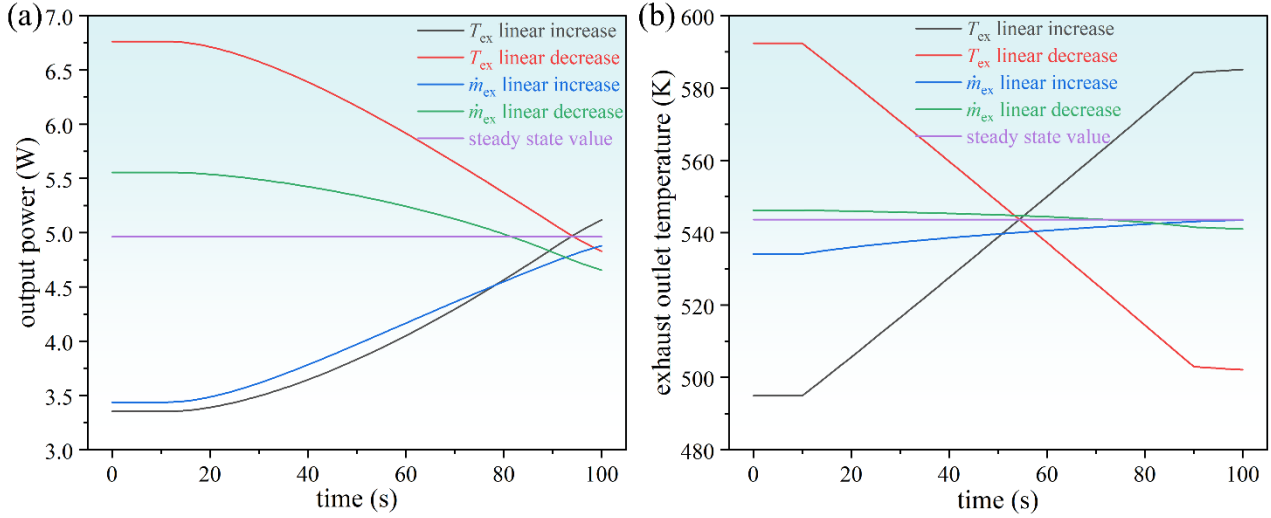


12  
 13 Fig. 9. Conversion efficiency and heat absorption of the TEG under step increase and decrease of the exhaust heat. (a)  
 14 Conversion efficiency; (b) Heat absorption.

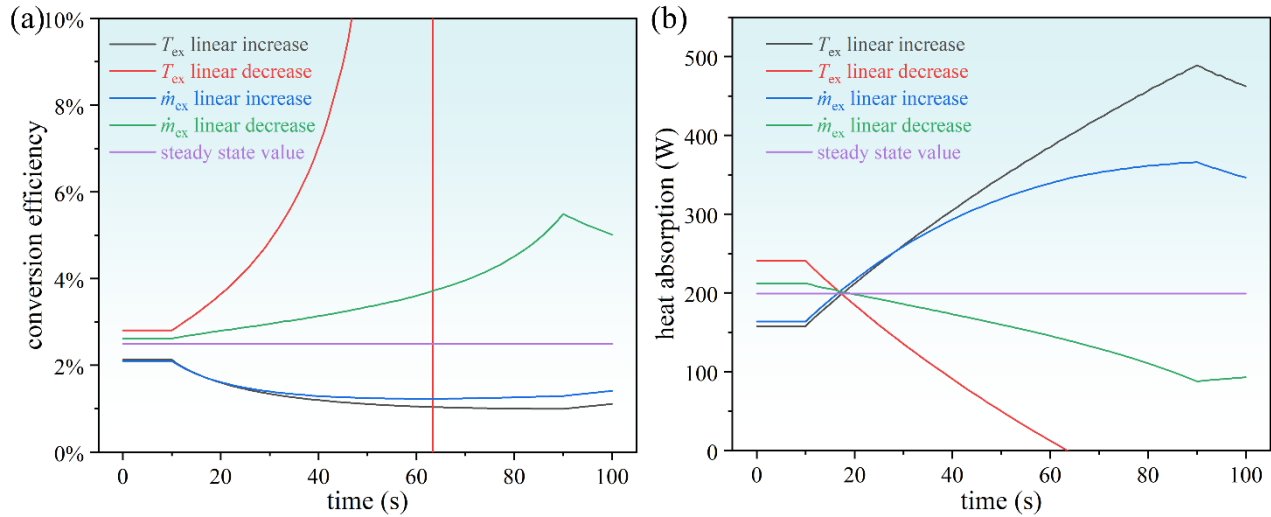
#### 15 4.3 Dynamic characteristics under linear increase and decrease of the exhaust heat

16 In most cases, the exhaust heat does not show a step change, but rather a linear change. Fig. 10 gives  
 17 the dynamic output power and exhaust outlet temperature of the TEG under linear increase and  
 18 decrease of the exhaust heat, where the exhaust heat changes from  $t = 10$  s to  $t = 90$  s. Similarly, the  
 19 mean output power of both linear increase and linear decrease in  $\dot{m}_{ex}$  is lower than the steady-state  
 20 average output power, while the mean output power of linear changes in  $T_{ex}$  is equal to the steady-state  
 21 average value, as can be observed in Fig. 10(a). The corresponding reasons in Fig. 8(a) can explain  
 22 this phenomenon. As depicted in Fig. 10(b), the exhaust outlet temperature is predominantly  
 23 determined by the exhaust inlet temperature, with only a minor influence from the exhaust mass flow

1 rate. It seems that the fluctuation of exhaust temperature has a greater influence on the dynamic  
 2 characteristics of the TEG than the fluctuation of exhaust mass flow rate.



3  
 4 Fig. 10. Output power and exhaust outlet temperature of the TEG under linear increase and decrease of the exhaust heat.  
 5 (a) Output power; (b) Exhaust outlet temperature.



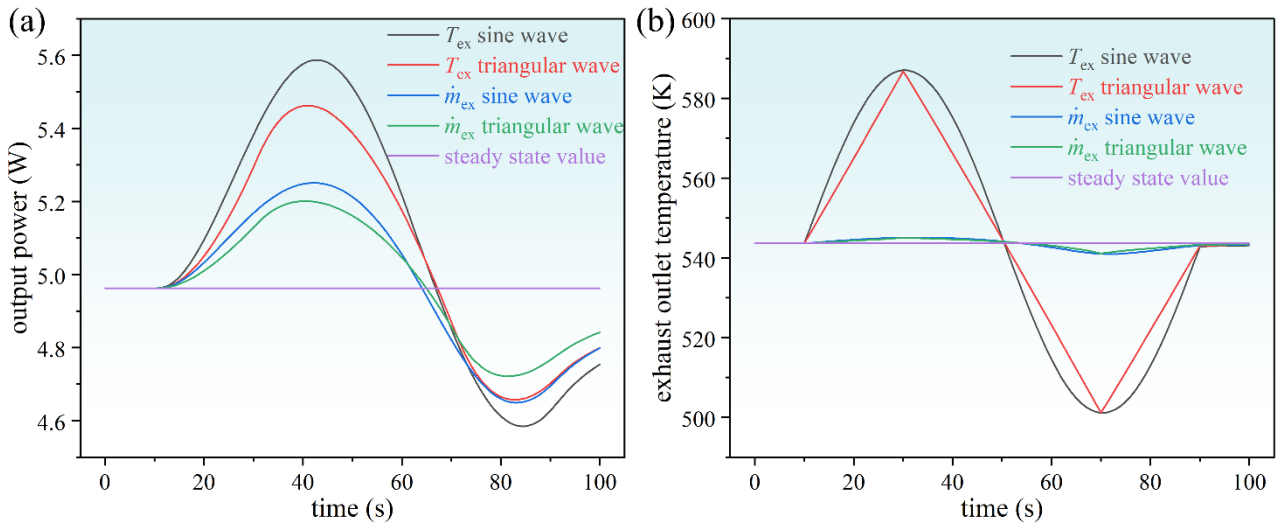
6  
 7 Fig. 11. Conversion efficiency and heat absorption of the TEG under linear increase and decrease of the exhaust heat. (a)  
 8 Conversion efficiency; (b) Heat absorption.

9 Fig. 11 illustrates the dynamic conversion efficiency and heat absorption of the TEG under linear  
 10 increase and decrease of the exhaust heat. The linear increase both in  $T_{ex}$  and  $\dot{m}_{ex}$  may deteriorate the  
 11 conversion efficiency, as shown in Fig. 11(a). Although the increase in exhaust heat can amplify the  
 12 power output of the TEG, the heat absorption also increases, and the increase in heat absorption is  
 13 greater than the increase in power (as shown in Fig. 11(b)), resulting in a decrease in conversion  
 14 efficiency. Also, in the case of a rapid increase in exhaust heat, due to the influence of thermal inertia,  
 15 the hot-side temperature of the TEM presents a slow increase, so that the power output will not



1 immediately respond to the change of exhaust heat. By taking the average of the dynamic conversion  
 2 efficiency during both linear decrease and linear increase in mass flow rate, it is found to be higher  
 3 than the conversion efficiency under steady-state conditions. This indicates that even when the mass  
 4 flow fluctuates between linear increase and decrease, the conversion efficiency of the TEG can be  
 5 significantly improved. The subsequent section explores the impact of periodic changes in exhaust  
 6 heat on the output performance of the TEG.

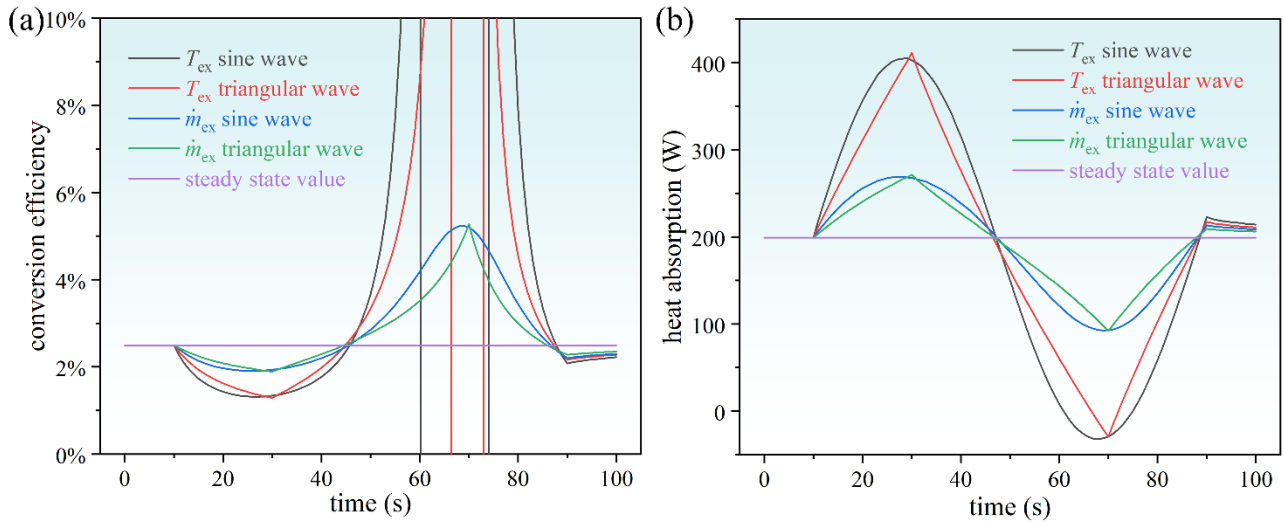
7 *4.4 Dynamic characteristics under triangular and sine waves of the exhaust heat*



8  
 9 Fig. 12. Output power and exhaust outlet temperature of the TEG under triangular and sine waves of the exhaust heat. (a)  
 10 Output power; (b) Exhaust outlet temperature.

11 In practical applications, exhaust heat may exhibit periodic changes, for example, during the  
 12 repeated switching process of vehicle acceleration and deceleration, the automobile exhaust heat may  
 13 fluctuate repeatedly between a maximum and a minimum value. In this section, two typical periodic  
 14 exhaust heat sources, triangular and sine waves, are used as the research objectives. Fig. 12(a) shows  
 15 the dynamic output power of the TEG under triangular and sine waves of the exhaust heat. In the case  
 16 of triangular waves, the changing amplitude of output power under triangular waves is smaller than  
 17 that of sine waves, and the output power exhibits a sine wave variation, which can be attributed to  
 18 thermal inertia. Thermal inertia acts as a buffer and filter during the heat transfer from exhaust heat to  
 19 the hot side of the TEM. Besides, it is observed that the mean output power under  $T_{ex}$  triangular and  
 20 sine waves may be higher than the steady-state power. Fig. 12(b) shows the dynamic exhaust outlet  
 21 temperature under triangular and sine waves of the exhaust heat. Similarly, since the exhaust outlet  
 22 temperature is mainly influenced by its inlet temperature, the curves under  $T_{ex}$  sine and triangular  
 23 waves are completely consistent with the inlet temperature curves in Fig. 3(c).

1 Fig. 13(a) gives the dynamic conversion efficiency of the TEG under triangular and sine waves of  
 2 the exhaust heat. The conversion efficiency under  $T_{ex}$  triangular and sine waves exhibits irregular  
 3 changes due to the negative value or values close to 0 K of temperature difference from the exhaust  
 4 inlet to the exhaust outlet, as can be found from the heat absorption in Fig. 13(b). In practice, when the  
 5 exhaust mass flow rate is kept constant, the exhaust temperature shows a gradual and continuous  
 6 change without the periodic fluctuations illustrated in Figs 3(a)-(c). Consequently, the dynamic  
 7 conversion efficiency under fluctuations of exhaust temperature does not have practical significance,  
 8 and the key purpose of this work is to compare which parameter, exhaust temperature or mass flow  
 9 rate, has a greater impact on the dynamic characteristics of the TEG. On the contrary, exhaust mass  
 10 flow rate with any waveform exists in actual situations. The results demonstrate that the average  
 11 conversion efficiency during triangular and sine wave fluctuations in exhaust mass flow rate is higher  
 12 compared to the steady-state value. When considering Fig. 12(a), it can be inferred that while the  
 13 periodic fluctuation of exhaust mass flow rate does not significantly enhance the output power, it does  
 14 effectively improve the conversion efficiency.

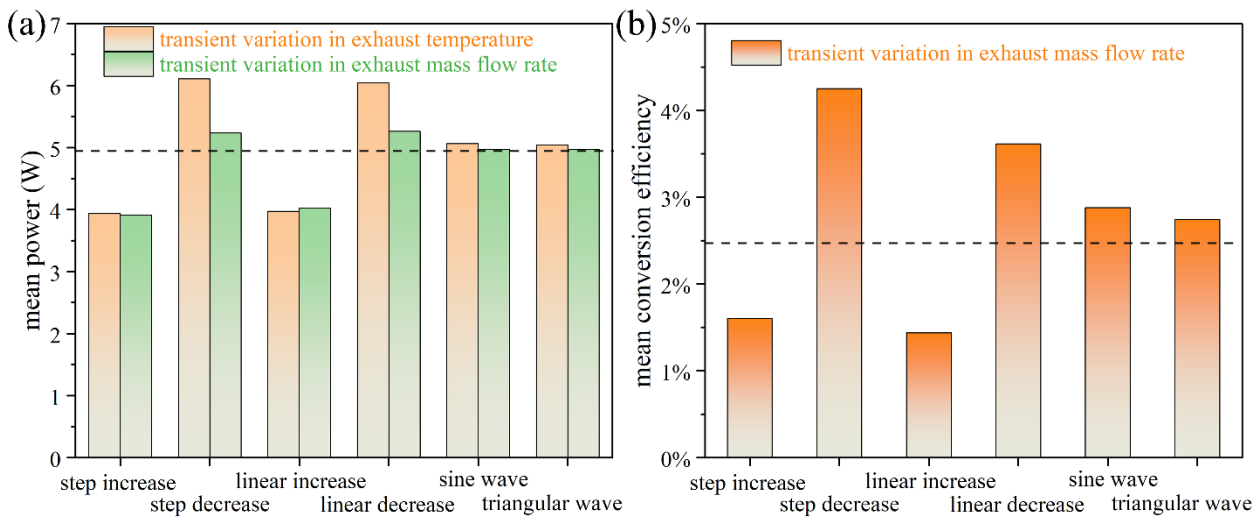


15  
 16 Fig. 13. Conversion efficiency and heat absorption of the TEG under triangular and sine waves of the exhaust heat. (a)  
 17 Conversion efficiency; (b) Heat absorption.

#### 18 4.5 Comparison of output performance under different fluctuations of the exhaust heat

19 Fig. 14 illustrates a comparison of output performance under different fluctuations of the exhaust  
 20 heat, where the dotted line represents the steady-state value. It is obvious that the step and linear  
 21 decreases of exhaust heat can amplify the output power and conversion efficiency, whereas the step  
 22 and linear increases of exhaust heat may deteriorate the dynamic performance. Compared to the steady  
 23 power of 4.96 W and efficiency of 2.49%, the TEG demonstrates an increase in mean output power

1 and conversion efficiency of 5.50% and 70.61%, and 6.04% and 45.05% respectively, when the  
 2 exhaust mass flow rate is decreased in step and linear trends. However, in practical applications, the  
 3 fluctuation of exhaust heat can not always maintain a downward trend, but is accompanied by an  
 4 upward trend. Hence, examining the impact of periodic exhaust heat considering both upward and  
 5 downward trends is more relevant to real-world scenarios. The dynamic output power of the TEG is  
 6 found to increase by 2.09% and 1.61% under sine and triangular waves of exhaust temperature,  
 7 respectively, compared to the steady-state power. Furthermore, when subjected to sine and triangular  
 8 waves of exhaust mass flow rate, the mean output power of the TEG shows a slight increase, while the  
 9 mean conversion efficiency significantly improves by 15.58% and 10.14% respectively. These results  
 10 demonstrate that periodic exhaust heat can effectively enhance the dynamic output performance of the  
 11 TEG, especially in terms of conversion efficiency. Additionally, the fluctuation of exhaust temperature  
 12 has a stronger impact on the dynamic characteristics of the TEG than the fluctuation of exhaust mass  
 13 flow rate.



14 Fig. 14. Comparison of output performance under different fluctuations of the exhaust heat. (a) Mean power; (b) Mean  
 15 conversion efficiency.  
 16

## 17 5. Conclusions

18 In the field of waste heat recovery, thermoelectric generators display notable dynamic characteristics  
 19 when exposed to fluctuations in exhaust heat. This study utilizes a transient fluid-thermal-electric  
 20 multiphysics model to evaluate the dynamic output performance of the thermoelectric generator under  
 21 six fundamental waveforms of exhaust heat, including step increase and decrease, linear increase and  
 22 decrease, as well as triangular and sine waves. By analyzing the transient simulation results, a  
 23 comprehensive understanding of the thermoelectric generator's dynamic behavior is achieved,

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1 allowing for an in-depth assessment of its dynamic output power and conversion efficiency across  
2 varying exhaust heat fluctuations. Furthermore, a comparison is made with the steady-state output  
3 performance to provide insights into the thermoelectric generator's behavior in dynamic operating  
4 conditions. The key findings are summarized as follows:

5 (1) To ensure high reasonability and accuracy of numerical simulations, it is necessary to consider  
6 the impedance matching characteristics of the electric field, and the hot-side temperature of the  
7 thermoelectric module decreases with the decrease in load resistance due to the Peltier effect.

8 (2) The downward trends in exhaust heat are beneficial for improving the dynamic performance of  
9 the TEG. Specifically, when the exhaust mass flow rate step decreases, compared with the steady  
10 power and efficiency of 4.96 W and 2.49%, the mean output power and conversion efficiency increase  
11 by 5.50% and 70.61%, respectively, while when in a linear decrease, they increase by 6.04% and  
12 45.05%, respectively. However, the upward trends in exhaust heat may lower the dynamic  
13 performance of the TEG.

14 (3) Fluctuations in exhaust temperature have a more pronounced effect on the dynamic  
15 characteristics of the TEG than fluctuations in exhaust mass flow rate. Besides, implementing periodic  
16 variations in exhaust heat can effectively enhance power and efficiency, specifically conversion  
17 efficiency, and smoother changes can lead to superior performance. When subjected to a sin wave of  
18 exhaust mass flow rate, the conversion efficiency experiences a 15.58% improvement.

19 (4) In practical applications, exhaust temperature and mass flow rate are interconnected, and  
20 temperature variations commonly accompany changes in mass flow rate. Therefore, future  
21 investigations will focus on exploring the combined effects of both simultaneous variations on the  
22 dynamic characteristics of the TEG.

## 23 **Acknowledgments**

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26 2023AFB093).

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