

Manuscript Number: IJP-D-19-01256R2

Title: Making Tablets for Delivery of Poorly Soluble Drugs Using  
Photoinitiated 3D Inkjet Printing

Article Type: Research Paper

Section/Category: Personalised Medicine

Keywords: delivery of a poorly soluble drug;  
tablet;  
additive manufacturing;  
inkjet 3D printing;  
UV photopolymerization;  
personalized medicine;  
carvedilol

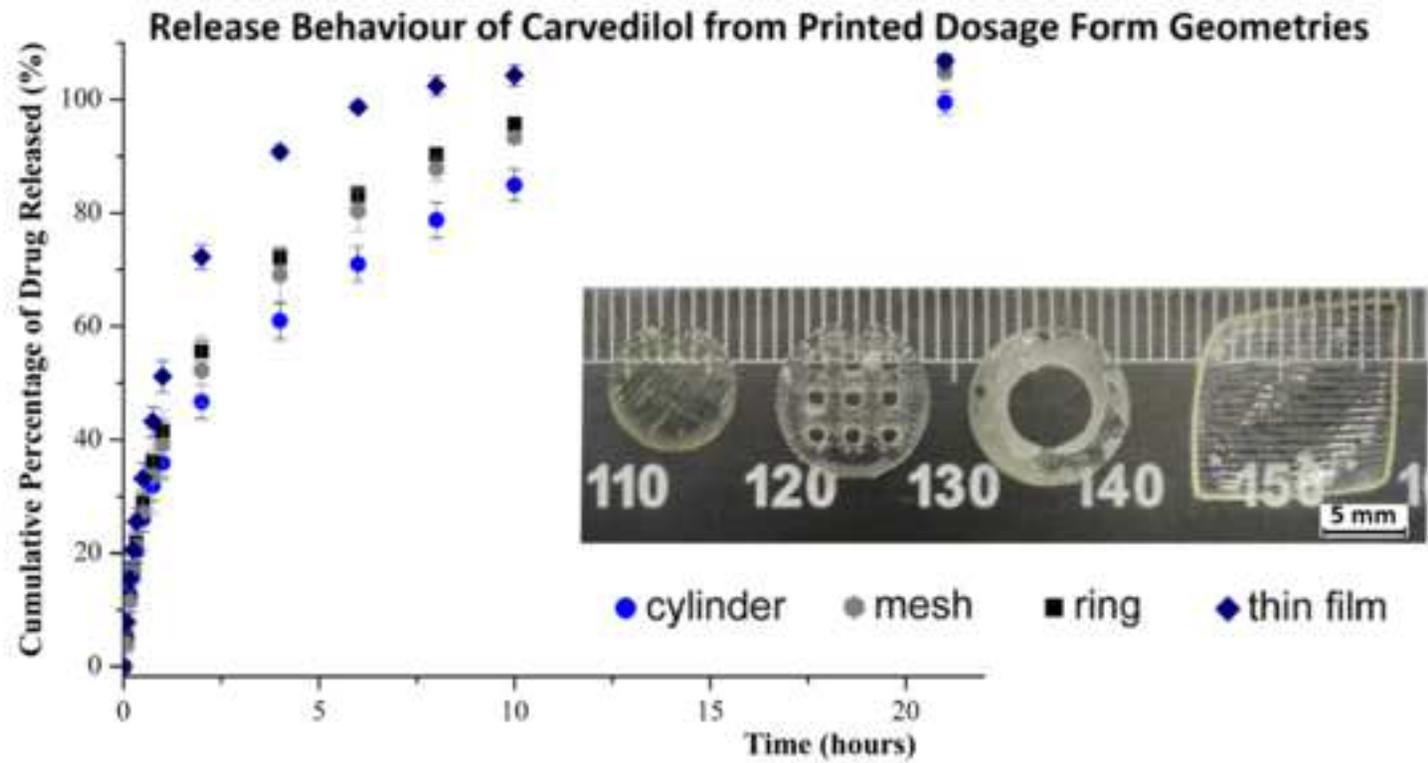
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Abstract: In this study, we investigate the viability of three-dimensional (3D) inkjet printing with UV curing to produce solid dosage forms containing a known poorly soluble drug, carvedilol. The formulation consists of 10 wt% carvedilol, Irgacure 2959, and a photocurable N-vinyl-2-pyrrolidone (NVP) and poly(ethylene glycol) diacrylate matrix, with the intention of forming an amorphous solid solution for release of carvedilol. Characterization of the printed tablets showed that the drug is an amorphous state and indicated hydrogen bonding interactions between the drug and cross-linked matrix. Several simple geometries (ring, mesh, cylinder, thin film) were printed, and the surface area to volume ratio of the prints was estimated. Over 80% carvedilol release was observed for all printed tablet geometries within ten hours. The release behaviour of carvedilol was fastest for the thin films, followed by the ring and mesh geometries, and slowest in the cylindrical forms. More rapid release was correlated to an increased surface area to volume ratio. This is the first study to implement 3D UV inkjet to make solid dispersion tablets suitable for poorly soluble drugs. Results also demonstrate that high drug-loaded tablets with a variety of release profiles can successfully be accessed with the same UV-curable inkjet formulation by varying the tablet geometry.



1 **Making Tablets for Delivery of Poorly Soluble Drugs Using Photoinitiated 3D Inkjet**  
2 **Printing**

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20

21 *Abstract*

22 In this study, we investigate the viability of three-dimensional (3D) inkjet printing with UV  
23 curing to produce solid dosage forms containing a known poorly soluble drug, carvedilol.

24 The formulation consists of 10 wt% carvedilol, Irgacure 2959, and a photocurable N-vinyl-2-  
25 pyrrolidone (NVP) and poly(ethylene glycol) diacrylate matrix, with the intention of forming

26 an amorphous solid solution for release of carvedilol. Characterization of the printed tablets  
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28 between the drug and cross-linked matrix. Several simple geometries (ring, mesh, cylinder,  
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32 geometries, and slowest in the cylindrical forms. More rapid release was correlated to an

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34 make solid dispersion tablets suitable for poorly soluble drugs. Results also demonstrate that  
35 high drug-loaded tablets with a variety of release profiles can successfully be accessed with  
36 the same UV-curable inkjet formulation by varying the tablet geometry.

37

### 38 *1. Introduction*

39 Three-dimensional (3D) printing has been investigated as a novel route to manufacture tablets  
40 and has been shown to offer several potential benefits when compared to conventional  
41 processing methods. The FDA's approval of Spritam, the first binder inkjet 3D printed tablet  
42 [FDA, 2015], highlighted this approach as a viable manufacturing platform to produce highly  
43 porous tablets which exhibit novel rapid drug release. 3D printing, particularly fusion  
44 deposition modelling (FDM), paste extrusion and inkjet methods, have been reported to offer  
45 manufacturing routes that enable tablet personalization through multi-active delivery [Khaled  
46 et al., 2015; Khaled et al., 2014; Genina et al., 2017; Goyanes et al., 2015b]. These  
47 techniques have also demonstrated precise control over tablet geometry and porosity, which  
48 can impart tuneable control over drug dissolution [Kyobula et al., 2017; Goyanes et al., 2015;  
49 Rowe et al., 2000; Solanki et al., 2018; Chai et al., 2017; Yang et al., 2018].

50 3D inkjet printing is a non-contact, drop on demand printing process that has recently been  
51 investigated as a manufacturing process to produce solid oral dosage forms [Kyobula et al.,  
52 2017; Clark et al., 2017]. Kyobula et al. used hot melt 3D inkjet to produce complex  
53 geometries whereby the freezing of the printed ink was the solidification process being  
54 exploited. They demonstrated with this approach how tablet geometry and infill density could  
55 be adjusted to predictably tune the release of fenofibrate, a poorly soluble drug, from a  
56 beeswax matrix. Bioresorbable photocurable polymers have more recently been evaluated

57 for inkjet printing using high throughput screening. Selected UV inkjet printable paroxetine  
58 HCl loaded formulations were printed into films which exhibit long-term controlled release  
59 suitable for implant applications [Louzao et al., 2018]. Drug release behaviour of paclitaxel  
60 from solvent inkjet printed micro particle geometries has also been investigated with solvent  
61 inkjet for PLGA/dimethylacetamide formulations. Drug release was shown to rely on the  
62 ratio of surface area to print volume (SA/V) of the dosage forms [Lee et al., 2012]; this  
63 dependence has been described for FDM and SLA printed formulations [Goyanes et al.,  
64 2015; Martinez et al., 2018]. Acosta-Vélez et al. have researched inkjet dispensing to deposit  
65 photocurable ink into preformed tablet reservoirs to produce dosage forms for highly water  
66 soluble drugs [Acosta-Vélez et al., 2017]. Such photocurable resin formulations have been  
67 demonstrated for VAT polymerization printing of paracetamol, 4-Aminosalicylic acid [Wang  
68 2016] acetylsalicylic acid [Vehse et al., 2014] and ibuprofen [Martinez et al., 2017]. More  
69 recently, liquid dispensing has also been combined with FDM printing to produce liquid  
70 capsules with immediate and extended release profiles for poorly soluble drugs [Okwuosa et  
71 al., 2018].

72 Carvedilol is a beta-blocker used to treat hypertension and heart failure [GSK, 2017]. It is  
73 nearly insoluble in water and simulated gastric fluid at pH 1.1. At pH values in the  
74 pharmaceutically relevant range of 1–8, the solubility of carvedilol in aqueous media ranges  
75 from about 0.01 to 1 mg/mL [Beattie et al., 2013]. The dissolution of carvedilol in acid  
76 medium is related to its ability to form protonated molecules [Beattie et al., 2013; Prado et al.  
77 2014]. Formulation enhancement of the solubility of carvedilol in water at 27 °C has been  
78 documented for carvedilol: para sulphonato calixarene inclusion complexes [Menon et al.,  
79 2012; Beattie et al., 2013].

80 Carvedilol is commercially available in a range of low dose immediate and sustained release  
81 doses ranging from 3.125 mg to 80 mg [GSK, 2017]. These formulations use the relatively

82 poorly soluble crystalline solid state forms of carvedilol [Prado et al. 2014]. As a strategy to  
83 improve the bioavailability for poorly soluble APIs the use of soluble matrix materials, such  
84 as poly(N-vinyl-2-pyrrolidone) (PVP), [Singh et al., 2017; Patterson et al., 2007; Bley et al.,  
85 2010]], and poly(ethylene glycol) (PEG) [Bley et al., 2010; Chokshi et al., 2007; Singh et al.,  
86 2017; Yuvaraja and Khanam, 2014] are well established pharmaceutical excipients [Singh et  
87 al., 2017, Kalepu and Mekkanti, 2015]. Photocurable analogues of PEG and PVP have also  
88 been previously investigated with 3D printing. In particular, fabrication of biomedical  
89 devices with PEG(meth)acrylate macromers have been utilized in VAT polymerization, 3D  
90 inkjet, and multiphoton polymerization printing processes [Nguyen et al., 2013; Wang et al.,  
91 2016; Chan et al., 2010; Vehse et al., 2014; Ligon et al., 2017; Gu, et al., 2016; Seck et al.,  
92 2010; Dhariwala et al., 2004; Gao et al., 2015; Jansen et al., 2009; Gao et al., 2015;  
93 Guvendiren et al., 2017; Clark et al., 2017; Do et al., 2018]. N-vinyl-2-pyrrolidone (NVP),  
94 the monomeric precursor to polymeric PVP, can be incorporated as a reactive diluent into UV  
95 inkjet formulations, and is capable of copolymerizing with PEG diacrylate [Lee et al., 2013].  
96 Incorporation of NVP into copolymer networks has been shown to enhance hydrophilicity  
97 [Korsmeyer and Peppas, 1984; Caló and Khutoryanskiy, 2015; Jansen et al., 2009] in medical  
98 devices such as HEMA-co-NVP contact lenses, and NVP has been reported to solubilize a  
99 variety of both soluble and poorly soluble APIs [Hacker et al., 2009; Knopp et al., 2015].  
100 Accelerated photocuring speeds have been reported for NVP systems, including for 3D  
101 printed PDLLA 3-FAME/NVP resins [Jansen et al., 2009], [Hacker et al., 2009] and acrylate-  
102 co-NVP resins [White et al., 2006, Ergenc and Kizilel, 2011].

103 In our previous work we have applied inkjet with UV initiated curing as a route to 3D print  
104 tablets with controlled release of ropinirole HCl, a highly water soluble drug [Clark et al.,  
105 2017]. In this study, our goal is to formulate, print and characterize a solvent-free, UV ink for  
106 3D inkjet printing a poorly soluble drug. The release behaviour, as well as the physical

107 properties of several printed solid dosage form geometries is therefore evaluated and  
108 compared to United States Pharmacopeia (USP) specifications.

## 109 *2. Materials and methods*

### 110 *2.1. Materials*

111 Irigacure 2959 (98%) was purchased from (BASF). Carvedilol ( $\geq 98.5\%$ ) was purchased from  
112 Carbosynth. Poly(ethylene glycol) diacrylate (number average molecular weight,  $M_n = 250$   
113 g/mol) and N-vinyl-2-pyrrolidone ( $> 99\%$ ) were purchased from Sigma-Aldrich. All  
114 materials were used as received.

### 115 *2.2. Characterization of solid dosage dimensions*

116 The dimensions of the printed dosages were measured with an electronic caliper (Products  
117 Engineering Corp.).

### 118 *2.3. X-ray micro computed tomography ( $\mu$ CT) scanning*

119  $\mu$ CT scanning of the dosage forms was carried out on a Nikon micro CT scanner (Derby,  
120 UK) with typical x-ray beam settings of 51kV and a 92 mA current, 1000 ms exposure time,  
121 two frames, and 3142 projections. Samples were mounted on a foam sample holder. No  
122 filtering was implemented, and the calculated scan resolution was 6.2  $\mu$ m. The Nikon CT-Pro  
123 software was used to reconstruct the samples. VGSTUDIO MAX (Volume Graphics,  
124 Heidelberg, Germany) software was used to remove the foam, visualize, and measure the  
125 printed dosage forms.

### 126 *2.4. FTIR-ATR spectroscopy*

127 Samples were analysed with a Perkin Elmer Frontier FTIR-ATR spectrometer (Seer Green,  
128 UK) from 4000  $\text{cm}^{-1}$  to 600  $\text{cm}^{-1}$  with a scan resolution of 2  $\mu$ m and step size of 0.5  $\text{cm}^{-1}$ .

129 Three scans were collected for each sample. Prior to sample spectrum collection, a  
130 background was collected on the clean ATR crystal.

### 131 *2.5. Raman spectroscopy*

132 A LabRAM HR (Northampton, UK) confocal Raman microscope equipped with a 784 nm  
133 infrared laser, 600 nm grating, 300  $\mu\text{m}$  slit width, and 50x objective microscope lens was  
134 used to collect single point Raman spectra. Two accumulations were taken per scan with a  
135 20s total acquisition time and spectra were analysed with the Horiba Scientific Jobin Yvon  
136 Lab Spec 6 Software.

### 137 *2.6. Dissolution testing with HPLC characterization*

138 Dissolution testing was performed using a Copely Scientific (Nottingham, UK) Tablet  
139 Dissolution Tester DIS 8000 according to USP specifications for carvedilol [USP, 2011] with  
140 rotating USP I baskets. The dissolution media was composed of 0.7 mL/L hydrochloric acid  
141 (HCl) diluted in ultra-pure water ( $\sigma=18.2 \text{ M}\Omega\cdot\text{cm}$ , ELGA), adjusted to pH 1.5 with a 50 wt%  
142 NaOH aqueous solution. The test was performed at constant volume in 900 mL of dissolution  
143 media at 37°C. Throughout the dissolution test, five millilitre sample aliquots were removed  
144 at predetermined times and replaced with fresh media in order to maintain constant volume,  
145 then filtered with a 0.45  $\mu\text{m}$  Millex PTFE hydrophilic filter (Millipore Ltd. Hertfordshire,  
146 UK).

147 Samples were characterized with an Agilent (Santa Clara, USA) HPLC Series 1100 system,  
148 equipped with an auto sampler, degasser and UV lamp. A wavelength of 240 nm was used to  
149 quantify the API. Method mobile phase compositions were 65% phosphate buffer and 35%  
150 acetonitrile (Fisher HPLC gradient grade). Phosphate buffer was composed of 2.72 g/L  
151 monobasic potassium phosphate (anhydrous, Sigma Aldrich) adjusted to pH 2.0 with

152 phosphoric acid (85-90%, Fluka). A Supelco (Sigma Aldrich) C18 Discovery column (5  $\mu\text{m}$ ,  
153 25 cm x 4.6 mm diameter) was used to separate the samples at 35°C. A flowrate of 1.3  
154 ml/min using a 20 $\mu\text{L}$  injection volume was implemented; runtime was 20 min. Carvedilol  
155 stock solutions were prepared according to the USP method [USP, 2014] by sonicating  
156 carvedilol (nominally 7 mg, Carbosynth) in 5 mL methanol (Fisher HPLC grade) and diluting  
157 the volume with dissolution media in a 250 mL volumetric flask. Standards were prepared  
158 with the stock solution and dissolution media. The standard calibration curve is included in  
159 the supplementary section, **Fig. A.1**. This HPLC method was also implemented to determine  
160 the initial carvedilol content in each printed geometry. Samples were leached separately in  
161 250 mL dissolution media in volumetric flasks, sealed with Para film and magnetically stirred  
162 at room temperature. After two days, the samples were filtered (0.45  $\mu\text{m}$ ) and analysed for  
163 carvedilol content.

#### 164 *2.7. Ink fluid properties characterization*

165 A Kruss GmbH (Hamburg, DE) Drop Shape Analyser DSA100 was used to evaluate the  
166 surface tension of the ink at ambient temperature with the Pendant Drop Method. The drop  
167 shape was analysed with the Kruss analysis software, with the Laplace-Young equation.

168 A Malvern (Malvern, UK) Kinexus rheometer fit with a cup and bob geometry was used to  
169 characterize the ink viscosity.

#### 170 *2.8. Dosage form leaching in ethyl acetate*

171 Leaching of the printed geometries was carried out at room temperature in ethyl acetate  
172 (VWR, 99.9%). Samples were leached for two days in 20 mL ethyl acetate, with the media  
173 changed daily. After two days a final wash with 10 mL ethyl acetate was carried out for thirty

174 minutes. Samples were dried in a vacuum oven overnight at 50°C and stored in a vacuum  
175 desiccator.

### 176 *2.9. HPLC characterization of carvedilol and NVP content in printed, leached samples*

177 The carvedilol and NVP content of the leached samples was determined with the USP HPLC  
178 method. NVP standards and samples were prepared in acetonitrile (Fisher, HPLC gradient  
179 grade). Printed samples were leached separately in 50mL Para film sealed volumetric flasks  
180 for two days at room temperature with magnetic stirring. A calibration curve for NVP (**Fig.**  
181 **A.1**) and a carvedilol standard (110 ppm) were prepared. Samples and the standard(s) were  
182 analysed for carvedilol content with HPLC using an injection volume 5 µL. A 20 µL  
183 injection volume was implemented for NVP. Representative HPLC chromatograms of the  
184 samples and scans are included in the **supplementary** section, **Fig. A.3**.

### 185 *2.10. Preparation of the printing ink*

186 A drug containing ink solution with 0.50 wt% Irgacure 2959 (BASF), 10.00 wt% carvedilol  
187 (Carbosynth), 73.06 wt% NVP (Sigma Aldrich > 99%), 16.44 wt% PEGDA ( $M_n = 250$  g/mol,  
188 Sigma-Aldrich) was prepared by stirring the mixture at 25°C until dissolved. The mole ratio  
189 of NVP to PEGDA was fixed to 10:1. The curing behaviour of a series of NVP:PEGDA ink  
190 compositions was also determined by photocalorimetry and is included in **Table A.1 and**  
191 **Fig. A.4**. The ink was filtered using a 0.45 µm hydrophilic PTFE filter, sealed with a septum,  
192 degassed with N<sub>2</sub> (g), and loaded into a Dimatix 10pL print cartridge with a syringe. To  
193 prevent premature ink exposure to ambient light, cartridge loading was carried out in a dark  
194 room and the cartridge was wrapped several times in silver duct tape.

### 195 *2.11. Ink printing parameters and BMP images*

296 The carvedilol ink formulation was printed with a Dimatix DMP 2830 (Fujifilm Dimatix,  
297 Inc., Santa Clara, USA) printer equipped with a 365 nm (695 mW/cm<sup>2</sup>, UV lamp, Printed  
298 Electronics PEL Tamworth, UK) lamp bolted to the print assembly and in line with the print  
299 path as previously described in [He et al., 2017] and [Clark et.al, 2017]. The printer was  
300 enclosed in a custom-built glove box and purged with N<sub>2</sub> (g), and oxygen levels were kept  
301 below 0.2%. The jetting firing voltage was 17-19.8V with a 3 kHz frequency and a drop  
302 speed adjusted to 1.00 mm in 130 s. All samples were printed at ambient temperature.  
303 Twenty non-jetting, UV post-curing layers were implemented for all print runs to further cure  
304 the dosage forms. Print specific parameters for each batch of dosage geometries such as the  
305 BMP digital image file used per layer, and the number of layers printed are tabulated in  
306 **Table 1**. The number of jets used ranged from 13 to 16, the maximum number of jets on the  
307 cartridge.

308

### 309 *3. Results and Discussion*

#### 310 *3.1. Ink characterization*

311 The fluid flow properties of the ink were characterised and found to be suitable for printing.  
312 The operating ink viscosity and surface tension ranges for printing quoted by Dimatix are 2-  
313 12 centipose (cP) and 20-40 mN/m respectively [Fujifilm, 2008]. The measured ink viscosity  
314 was 4.50 +/- 0.01 cP (20°C, n=3) and the surface tension of the ink was 36.13 +/- 0.55 mN/m  
315 at ambient temperature (21.85°C, n=10), indicating the ink is within the recommended  
316 viscosity and surface tension ranges for the Dimatix printer.

#### 317 *3.2. Physical characterization of the printed dosage form geometries*

218 Images of the printed geometries are included in **Figs. 1a, 1d , 1e** and the digital BMP files  
219 are illustrated in **Fig. 1c**. The printed geometries were robust (non-fragile), and easily  
220 handled. They appeared translucent and slightly yellow. In comparison, the formulated  
221 solutions were clear and slightly yellow, similar in appearance to the NVP liquid monomer.  
222 Optical microscopy (**Fig. 1a**) and the images indicate that the top surface of the tablets was  
223 topographically non-uniform; with bands corresponding to an individual print pass observed  
224 on the surface. In some cases, the deposition of excess ink (ring and thin film geometries,  
225 **Fig. 1d,e**) was also observed on the top surface, suggesting some leaking of the ink from the  
226 nozzle plate during printing. No evidence of crystallization within the dosage form (ijpr002)  
227 was observed in cross-polarized optical microscopy (**Fig. 1b**) or DSC. Melting peaks were  
228 not observed in the DSC thermogram of the solid dosage form, providing further evidence  
229 that carvedilol is an amorphous form within the printed polymeric matrix (**Fig. A.5**).

230 The thickness and diameter of the printed tablets are summarized in **Table 1**, as well as the  
231 digital image file dimensions. Tablet mass deviations were low, and no percent mass  
232 deviations exceeded USP specifications within each batch (10%, tablets <80 mg, USP 2000).  
233 Percent weight deviation for each tablet and all geometries is included in **Table A.2**. Tablet  
234 heights and diameters were also consistent. However, the dimensions of the tablets were  
235 observed to be larger than the image file specifications (up to 13%), as a result of the print  
236 resolution employed. The cause of these dimensional inconsistencies is unclear and may be  
237 attributed to ink spreading and variable ink curing speeds per batch. Free radical acrylate  
238 curing can be affected by the UV intensity or increased oxygen levels in the printing  
239 environment [Wight and Nunez, 1989]. The SA, V and SA/V ratio values for the dosages  
240 (**Table 2**) were calculated from the dimensional data in **Table 1**. The thin film geometries  
241 had the largest SA/V ratio, followed by the ring and cylindrical geometries.

242 An STL file (**Fig. A.6**) was then generated for each geometry from the digital bitmap image.  
243 The height of each geometry was estimated using the number of layers printed and the  
244 thickness per layer. The thickness per layer was determined from the measured height of the  
245 cylindrical tablets (ijpr002). It should be noted that the STL files represent only the intended  
246 dimensions, surface area, and volume of the printed geometries, and that the volume was kept  
247 constant. The SA, V and calculated SA/V ratios from the STL files are tabulated in **Table 2**.

### 248 *3.3. FTIR-ATR characterization of the dosage forms*

249 FTIR-ATR of the printed tablets (ijpr002, non-leached) suggests a high degree of curing in  
250 the dosage forms and the formation of a cross-linked network. The significant reduction in  
251 the PEGDA related acrylate double bond peaks ( $=\text{CH}_2$  twist  $810\text{ cm}^{-1}$ ), and the NVP vinyl  
252 group (at  $1629\text{ cm}^{-1}$ ), suggest extensive Michael addition of the double bond and thus  
253 indication of the formation of a cross-linked polymer. A broad ester carbonyl peak from the  
254 cross-linked PEGDA is observed at  $1729\text{ cm}^{-1}$ , and the peak at  $1666\text{ cm}^{-1}$  is assigned to the  
255 amide C=O stretch of PVP. The assignment of the peak observed in the spectra at  $1629\text{ cm}^{-1}$   
256 is unresolved, as this can be attributed to the vinyl stretch of residual NVP and the aromatic  
257 C=C stretch of the drug. The characteristic aryl C=C stretches for carvedilol at  $1608\text{ cm}^{-1}$  and  
258  $1591\text{ cm}^{-1}$  are observed in the tablets at  $1607\text{ cm}^{-1}$  and  $1589\text{ cm}^{-1}$ , respectively, indicating the  
259 incorporation of the drug into the dosage. Furthermore, a strong, broad peak at  $3457\text{ cm}^{-1}$   
260 indicates hydrogen bonded interactions in the cured dosage form.

261 For comparison, the FTIR spectrum of the drug-loaded ink is included in **Fig. 2a**. The  
262 characteristic C=C vinyl group stretch of NVP is observed at  $1626\text{ cm}^{-1}$  and the peak  
263 observed at  $1697\text{ cm}^{-1}$  is assigned to the C=O (amide) of NVP. A distinct characteristic C=O  
264 (ester) shift for the PEG acrylate functional group is not observed, and possibly is overlapped  
265 with the C=O (amide) NVP peak. The PEGDA acrylate  $=\text{CH}_2$  (twist) is observed at  $810\text{ cm}^{-1}$

266 while, the characteristic saw-tooth shaped, secondary amine N-H stretch of carvedilol (3342  
267  $\text{cm}^{-1}$ ) and the overlapping OH stretch are notably absent from the spectra, suggesting strong  
268 interactions between the carrier NVP-PEGDA ink and carvedilol. The broad (weak) peak at  
269 approx.  $3392 \text{ cm}^{-1}$  is attributed to hydrogen bonding [Lin-Vien et al., 1991].

#### 270 *3.4. Confocal Raman characterization of the dosage forms*

271 Further characterization with Raman spectroscopy (**Fig. 2b**) confirmed the presence of  
272 carvedilol in the tablets. Assignments for the carvedilol were made in accordance with those  
273 reported by Marques et al., 2002. The carvedilol spectra exhibit a pyrrole ring breathing  
274 mode peak at  $427.5 \text{ cm}^{-1}$ , an N-H bend at  $727.6 \text{ cm}^{-1}$ , a C=C stretch/ring breathing peak at  
275  $1013.4 \text{ cm}^{-1}$ , C=C stretching peaks at  $1575.5 \text{ cm}^{-1}$ ,  $1592.6 \text{ cm}^{-1}$  and  $1632.8 \text{ cm}^{-1}$ , and  
276 characteristic peaks at  $550.4 \text{ cm}^{-1}$  and  $1285.6 \text{ cm}^{-1}$  (strong). The printed tablets exhibit API-  
277 related peaks at  $423.7 \text{ cm}^{-1}$ ,  $550.4 \text{ cm}^{-1}$ ,  $726.1 \text{ cm}^{-1}$ ,  $1014.8 \text{ cm}^{-1}$ ,  $1285.6 \text{ cm}^{-1}$ ,  $1576.2 \text{ cm}^{-1}$ ,  
278  $1590.7 \text{ cm}^{-1}$  and  $1628.4 \text{ cm}^{-1}$ , further confirming the presence of carvedilol in the solid  
279 dosage form. Similar to the FTIR-ATR spectra of the tablet, the peak at  $1628.4 \text{ cm}^{-1}$  may be  
280 attributed to carvedilol (C=C stretching, aromatic) and unreacted NVP (C=C stretch) in the  
281 printed tablets. Finally, the drug-free cured ink exhibits characteristic peaks at  $933.8 \text{ cm}^{-1}$  and  
282  $1631.6 \text{ cm}^{-1}$ , with the latter assigned to unreacted NVP.

#### 283 *3.5. Assay of the carvedilol content and residual monomer in the leached dosage forms*

284 The materials palette for UV inkjet printing of pharmaceuticals is limited and persistence of  
285 residual unreacted material after UV curing is problematic, as residual unreacted monomer in  
286 the printed dosage forms is a concern due to possible toxicity [Norman et al., 2017]. The USP  
287 and FDA specify limits for unreacted NVP monomer in PVP based products with NVP  
288 content limited to 0.1 wt% in PVP copolymer (copovidone [USP, 2006a, b]) and cross-linked  
289 PVP (crosopovidone) and 0.0001 wt% (10ppm) in pharmaceutical grade PVP (Povidone,

290 [USP, 2006c]). Furthermore, the FDA limits the amount residual monomer in PVP used in  
291 food additive applications to 1 wt% [FDA, 2017]. A post-processing leaching procedure was  
292 therefore implemented to remove unreacted monomer from the printed geometries. Ethyl  
293 acetate was selected to leach NVP from the printed dosages, as this solvent is miscible with  
294 NVP and PEGDA, exhibits low toxicity [FDA, 2017b], and can be readily removed. Cross-  
295 linked PEGDA and PVP are insoluble in ethyl acetate, and carvedilol exhibits low solubility  
296 in this solvent [Ha et al., 2019]. The final amount of unreacted NVP and recovered API for  
297 each printed geometry is summarized in **Table 3**. The dosage forms lost 5.4% to 10% of their  
298 original mass during leaching, and it should be noted that carvedilol, as well as NVP can be  
299 leached during this process (loss of carvedilol is between 2.3 wt% and 12.6 wt%). The  
300 residual NVP monomer concentrations in the leached ring, mesh and film geometries were  
301 shown to be within the USP limits for crospovidone and copovidone (0.1 wt%). The  
302 cylindrical tablets exhibited NVP content (0.18 wt%, by HPLC) above the USP limits for  
303 copovidone and crospovidone (0.1 wt%), but still within the FDA limit for PVP (1%) for  
304 food applications. Overall, drug loading in the dosage forms remains relatively high after  
305 leaching, and further experiments could be carried out to determine if lower residual NVP  
306 targets could be achieved on shorter timescales by implementing other solvents, or with  
307 longer leaching times in ethyl acetate.

### 308 *3.6. Dissolution of the leached dosage forms*

309 The USP and FDA guidance for carvedilol tablets and extended release carvedilol phosphate  
310 capsules specifies  $\text{HCl}_{(\text{aq})}$  dissolution medium [FDA, 2017c; USP, 2011] so as to promote  
311 sufficient drug solubility (via protonation) to allow analysis of released drug, and dissolution  
312 of the dosages was hence performed according to USP conditions for the printed carvedilol  
313 tablets.

314 There is an improvement in carvedilol solubility at lower pH because the drug ionizes, but it  
315 still meets the qualification for being an insoluble API (0.033 mg/ml in 0.1M HCl [Beattie et  
316 al., 2013] and 0.089 mg/ml in 0.1M HCl [Shah et al., 2011]). The dissolution profiles (**Fig. 3**)  
317 demonstrated over 80% carvedilol release, despite the relatively high loading (10 wt%) for all  
318 printed tablet geometries within ten hours, and complete release within twenty hours. The  
319 dosages were observed to swell during dissolution (and the assay) and did not remain intact  
320 (**Fig. A.2**). Release was fastest for the thin films, followed by the ring and mesh geometries,  
321 and slowest for the cylindrical dosage forms. Faster release correlated to an increased surface  
322 area to volume ratio (SA/V) for the geometries (thin film > ring > cylinder) as calculated  
323 from the data in **Table 2**, showing that the formulation surface area is a controlling factor in  
324 release rate rather than any mechanism related to disintegration. This trend is in agreement  
325 with the literature [Lee et al., 2012; Goyanes et al., 2015; Martinez et al., 2018]. Similarly,  
326 the residual NVP content (**Table 3**), was lowest in the dosages with higher SA/V ratios,  
327 suggesting also that the leaching of the monomer may also be influenced by this ratio.

### 328 *3.7. $\mu$ CT imaging of the solid dosage forms*

329 The surface area and volume of the cylindrical and mesh carvedilol dosages were  
330 characterized with  $\mu$ CT scanning imaging (**Table 4**). The  $\mu$ CT scans of the dosage forms are  
331 illustrated in **Fig. 4**. Pores were not observed in the  $\mu$ CT scans, suggesting the dosage forms  
332 are compact. **Table 4** indicates that the volume of the mesh dosage forms decreases after  
333 leaching by 8.81%. Given the density of NVP (1.04 g/mL), this volume decrease corresponds  
334 to an approximate mass loss of 9.16% and is comparable to the mass loss noted in **Table 2**  
335 for the mesh dosage forms. The volume of the cylindrical geometry measured with  $\mu$ CT is  
336 lower than that calculated based on manual measurement (**Table 2**) and similarly, the  $\mu$ CT  
337 measured surface area was larger than that calculated in **Table 2**. It should also be noted that

338 the resulting SA/V values for the cylindrical tablets calculated with the  $\mu$ CT imaging data are  
339 larger (by 213%) than those calculated in **Table 2**. These differences can be understood in  
340 terms of the volume shrinkage exhibited by photocurable materials during curing [Park et al.,  
341 2016] and an increased surface roughness resulting from the printing process.

#### 342 *4. Conclusions*

343 Inkjet printing is a precise and scalable manufacturing method that can be used to produce  
344 pharmaceutical dosage forms. In this study, we demonstrate the feasibility of 3D inkjet  
345 printing a relatively highly drug-loaded (10 wt%) UV curable formulation for a poorly  
346 soluble API. The solid dosages were composed of carvedilol in a hydrophilic NVP and  
347 PEGDA cross-linked matrix. Several dosage geometries were printed and the release speed of  
348 carvedilol was characterized (thin films > ring, mesh>cylinder), thereby demonstrating  
349 different release profiles which can be accessed with a single ink. The weight variation of the  
350 printed solid dosages met USP specification. The release speed was demonstrated to increase  
351 with increased surface area to volume (SA/V) ratio for a cylindrical tablet to a mesh and ring  
352 geometry, to a thin film dosage form. The Raman and FTIR-ATR characterization of the  
353 tablets confirm the presence of an amorphous form of the drug in the tablets and suggest  
354 strong hydrogen bonding between the drug and the matrix. Finally, a post-processing  
355 leaching method to remove unreacted monomer in the printed dosage forms was investigated.  
356 Residual levels of monomer after leaching were demonstrated to be within the USP and FDA  
357 targets levels (0.1% for PVP related excipients copovidone and crospovidone) for several  
358 geometries (ring, film, mesh).

#### 359 *Acknowledgements*

360 The work in this paper was funded by GlaxoSmithKline, Inc. Access to the Raman  
361 instrument was kindly provided by the Nottingham Nanoscale and Microscale Research  
362 Centre. Access to the Nikon  $\mu$ CT was kindly provided by Prof. R. Leach and imaging was  
363 carried out by Dr. H. Constantin and C. Fox. E. Clark thanks L. Korner, Dr. K. Datsiou, and

364 Dr. M. Simonelli for the  $\mu$ CT imaging analysis discussions and Dr. A. Aremu for the STL  
365 file discussion.

366

367 *Appendix A. Supplementary data*

368 The following is Supplementary data to this article:

369 **A.1. Supplementary Information**

370 ***Making Tablets for Delivery of Poorly Soluble Drugs Using Photoinitiated 3D inkjet***  
371 ***printing***

372

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**Table 1:** Dimensions, print parameters and masses for each batch of dosage forms.

	<b>Cylinder ijpr002***</b>	<b>Cylinder ijpr010****</b>	<b>Thin Film****</b>	<b>Ring</b>	<b>Mesh ****</b>
BMP Geometry	circle	circle	square	ring	mesh
BMP dimensions (mm)	7.02	7.02	11.01 x 11.01	8.49	8.52
Print dimensions (mm)	7.04 ± 0.01	7.75 ± 0.03*	12.51 ± 0.05 x 12.45 ± 0.04	9.27 ± 0.02 x 9.45 ± 0.03 (n=7)**	9.31 ± 0.04 x 9.14 ± 0.04
Deviation of print from BMP file (%)	0.2279 ± 0.13	10.44 ± 0.41	13.08 ± 0.58 - 13.61 ± 0.41	10.27 ± 0.25 (n=7)	7.22 ± 0.51
Print height (mm)	1.62 ± 0.01	1.41 ± 0.03*	0.56 ± 0.03	1.53 ± 0.03 (n=7)	1.54 ± 0.04
No. layers printed	200	200	64	188	160
Cartridge height (mm)	1	2	1	1	1
No. jets used	16	16	16	13	15
Mass (mg)	50.97 ± 0.15	50.68 ± 0.35 (n=13)	53.87 ± 0.44 (n=12)	50.80 ± 0.14 (n=9)	59.61 ± 0.51 (n=12)

\* measured after leaching in ethyl acetate

\*\* Case wall thickness: 2.34±/-.01 (n=2). Case wall thickness of BMP image file was 2.00mm.

\*\*\* n=15 for all measurements

\*\*\*\* \*n=10 for all measurements unless specified

**Table 2:** Surface area (SA), volume (V) and SA/V ratio for each batch of dosage forms and the STL files

	<b>Cylinder ijpr002***</b>	<b>Cylinder ijpr010****</b>	<b>Thin Film****</b>	<b>Ring</b>	<b>Mesh ****</b>
Print volume, V (mm <sup>3</sup> )	62.94 ± 0.46	66.57 ± 1.57	87.20 ± 3.47	79.50 ± 0.21	-
Print Surface area, SA (mm <sup>2</sup> )	113.5 ± 0.4	128.8 ± 1.0	339.4 ± 2.4	171.05 ± 0.29 (n=2)	-
Print SA/V ratio (mm <sup>-1</sup> )	1.804 ± 0.009	1.934 ± 0.034	3.897 ± 0.146	2.151 ± 0.009 (n=2)	-
Height of STL (mm)	1.62	1.62	0.518	1.523	1.296
Calculated V from STL (mm <sup>3</sup> )	62.693	62.693	62.768	60.277	62.596
Calculated SA from STL (mm <sup>2</sup> )	113.127	113.127	265.244	141.783	176.719
Calculated SA/V ratio from STL (mm <sup>-1</sup> )	1.8	1.8	4.23	2.35	2.82

\* measured after leaching in ethyl acetate

\*\* Case wall thickness: 2.34±/ - 0.01 (n=2). Case wall thickness of BMP image file was 2.00mm.

\*\*\* n=15 for all measurements

\*\*\*\* \*n=10 for all measurements unless specified

**Table 3:** HPLC measurement results for the leached printed tablets

	<b>cylinder (ijpr010)***</b>	<b>ring (ijpr003)</b>	<b>mesh (ijpr005)</b>	<b>thin film (ijpr009)</b>
Carvedilol dose per dosage form (mg)	4.68 ± 0.10	4.63 ± 0.05	5.44 ± 0.06	4.44 ± 0.04
Percent carvedilol loading (%)*	10.3 ± 0.1	9.65 ± 0.06	9.85 ± 0.04	9.16 ± 0.04
NVP monomer detected by HPLC (mg)	0.076 ± 0.015	0.025 ± 0.001	0.017 ± 0.001	0.011 ± 0.002
Percent NVP in dosage form (wt%) **	0.178 ± 0.037	0.057 ± 0.001	0.035 ± 0.002	0.026 ± 0.005
Total mass loss after drying and leaching (%)	5.92 ± 0.26	5.41 ± 0.32	7.29 ± 0.05	9.95 ± 0.58
Carvedilol detected in <b>non-leached</b> printed dosage (mg)	4.79 ± 0.06	5.18 ± 0.01	5.98 ± 0.02	5.08 ± 0.04

\*Calculation based on carvedilol detected and the dried, final leached mass

\*\* Calculated with (mass NVP detected) / (final leached mass - amount of API detected) x 100

\*\*\* Solid dosages dried overnight at 50°C **prior** to solvent leaching. Carvedilol detected in non-leached cylinders (ijpr002) was 5.12 ± 0.17

mg

**Table 4:** Tabulated CT scan surface area (SA) and volume (V) results for the printed dosages.

	<b>Volume, V (mm<sup>3</sup>)</b>	<b>Surface area, SA (mm<sup>2</sup>)</b>	<b>SA/V (mm<sup>-1</sup>)</b>
Cylinder 11, leached (ijpr010)	38.9	160.37	4.12
Mesh 5, leached (ijpr005)	44.5	278.61	6.26
Mesh 14, non-leached (ijpr005)	48.8	267.48	5.48

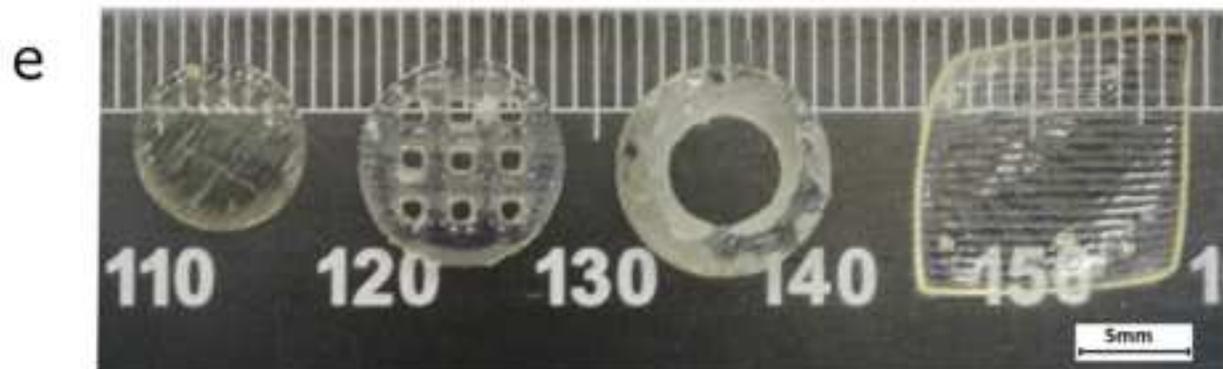
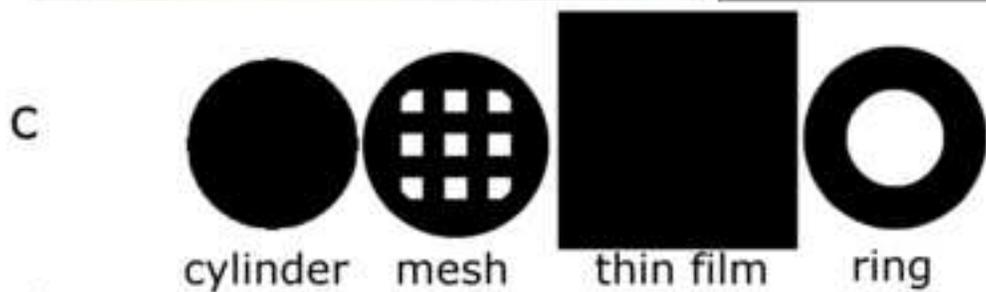
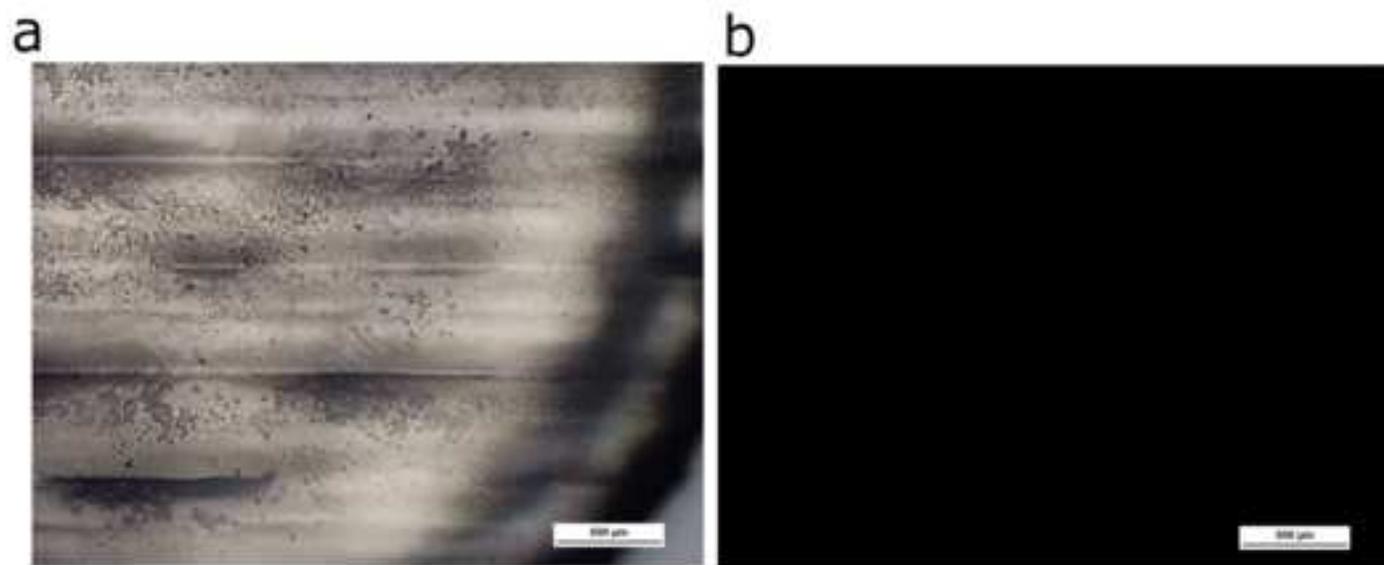
**Fig. 1.** Images of the printed geometries. **a)** Optical microscopy (reflection) and **b)** cross polarized optical microscopy (transmission) of a cylindrical tablet **c)** BMP 2D images used to build the 3D geometries **d)** images of dosages printed (top scale in mm) **e)** images of the tablets after leaching and drying. The scale is in mm.

**Fig. 2.** Spectroscopic characterization of the starting materials and dosage forms. **a)** FTIR-ATR for the printed cylindrical tablets (n=10 scans), PEGDA, NVP, the carvedilol loaded ink formulation, and carvedilol **b)** confocal Raman microscopy of a leached cylindrical tablet (blue), a cylindrical tablet (black), a drug free (API-free) tablet (grey) and carvedilol (red).

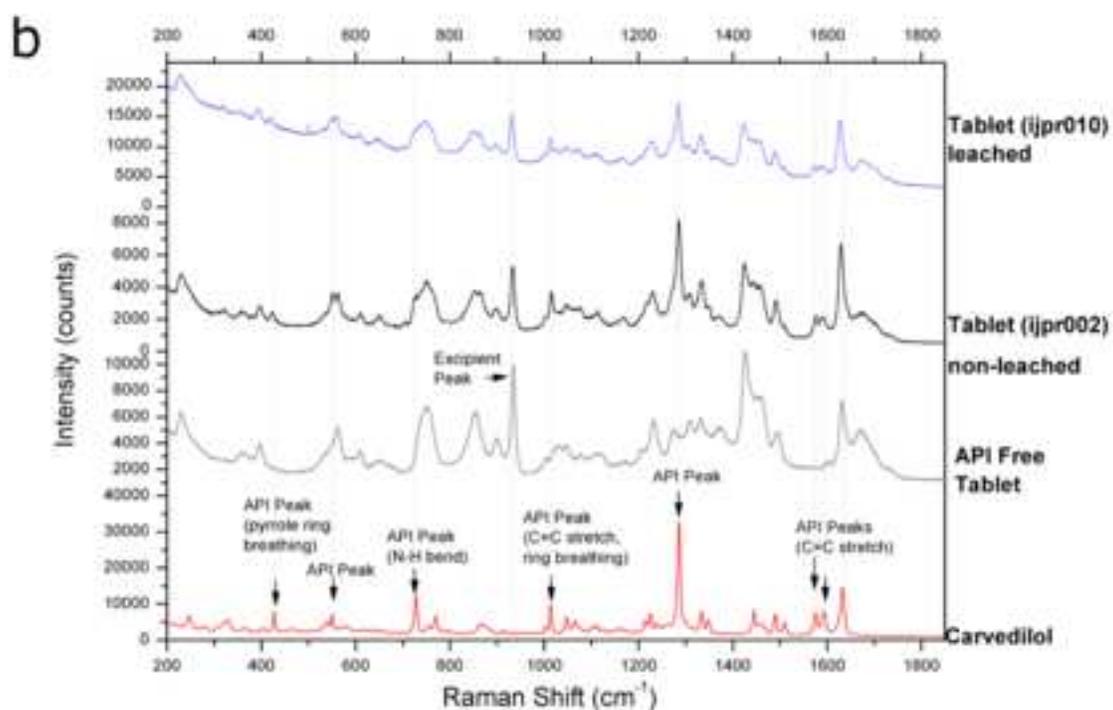
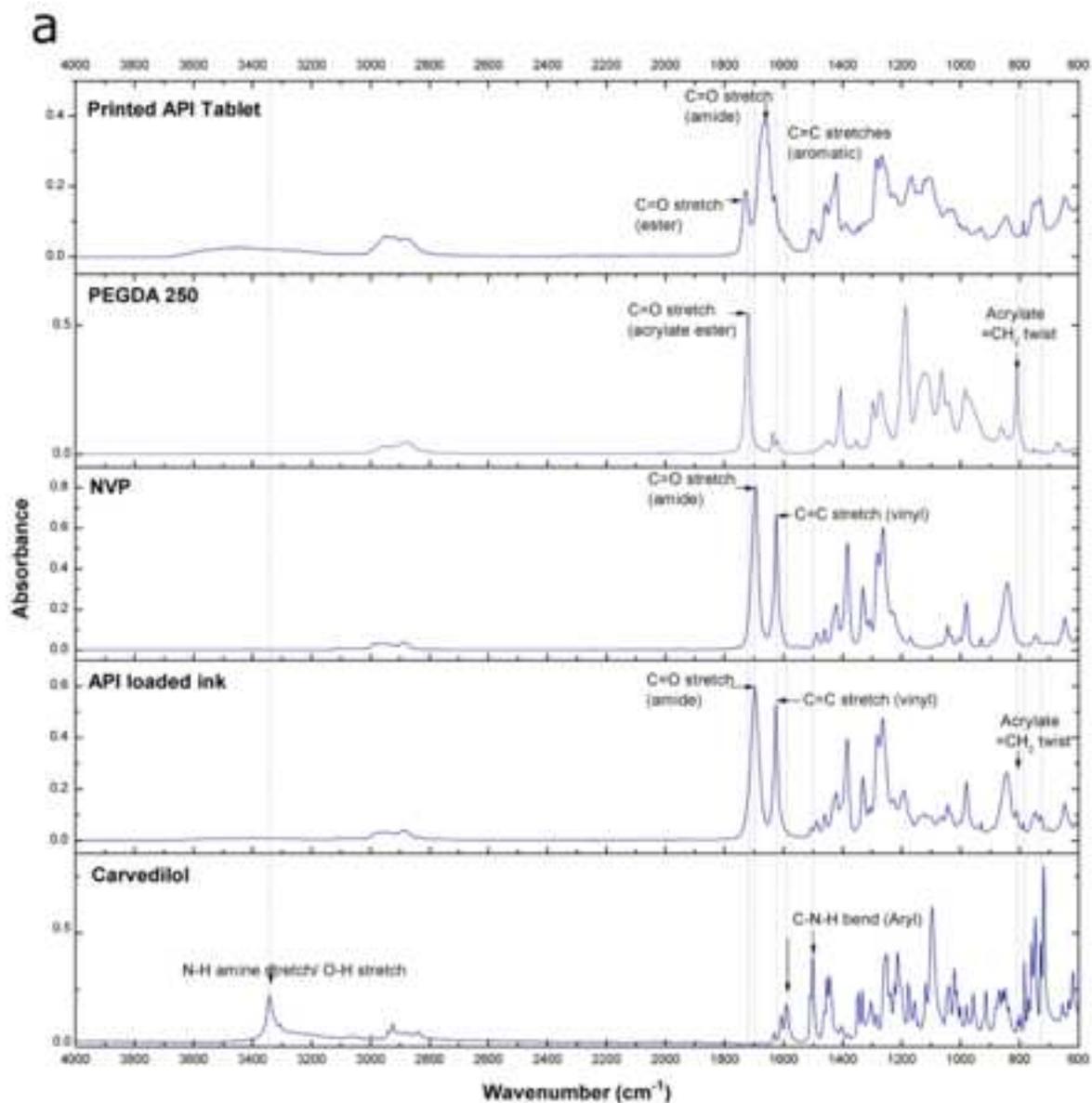
**Fig. 3.** Release behaviour of carvedilol from the printed dosage forms in USP (pH 1.5) HCl dissolution media. Error bars indicate standard deviation. n=4 for cylindrical geometries and n=3 for thin film, mesh and ring geometries.

**Fig. 4.**  $\mu$ CT Images of the dosage forms. **a)** A leached cylindrical tablet (ijpr010) **b)** a leached mesh tablet **c)** a non-leached mesh tablet.

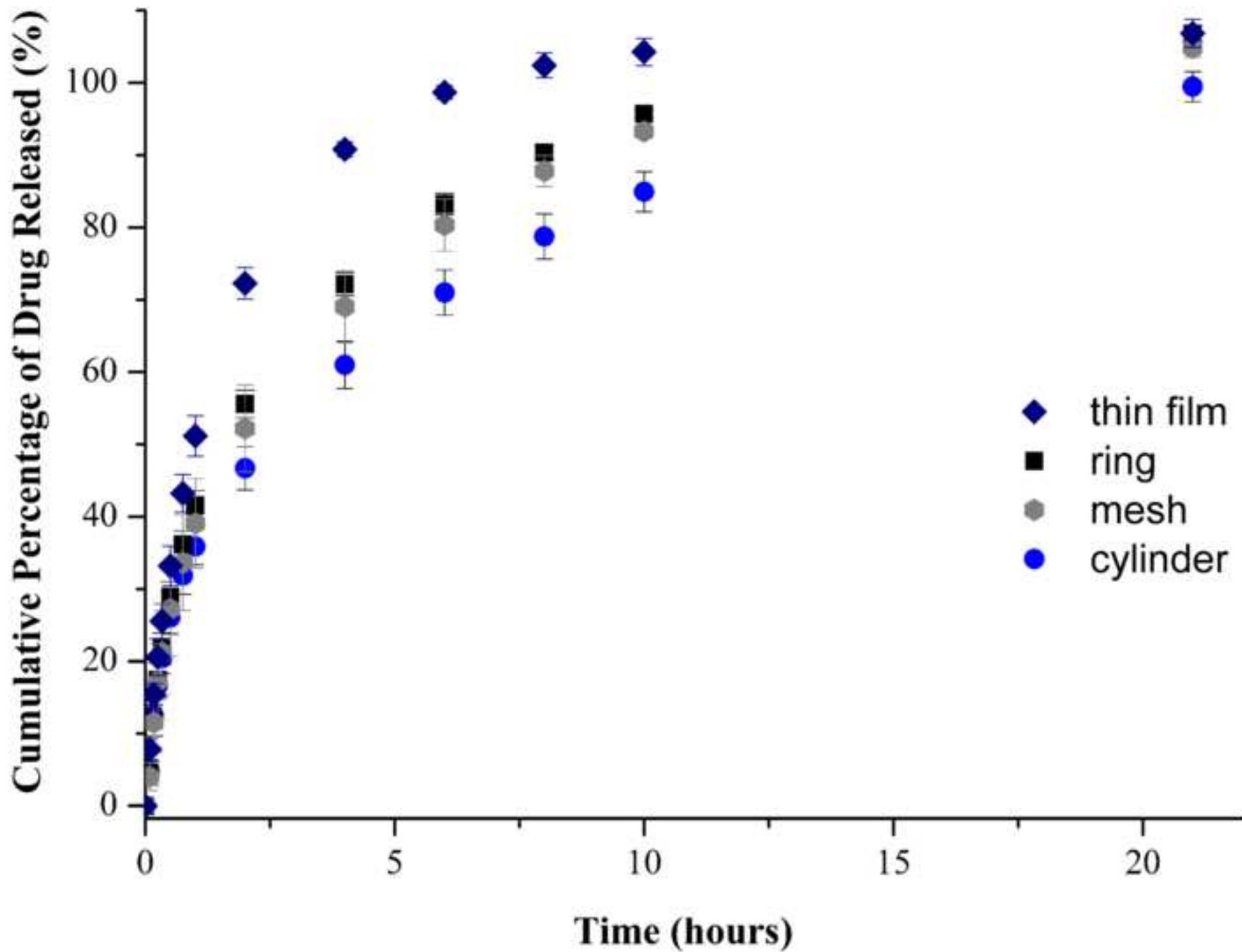
Figure(1)



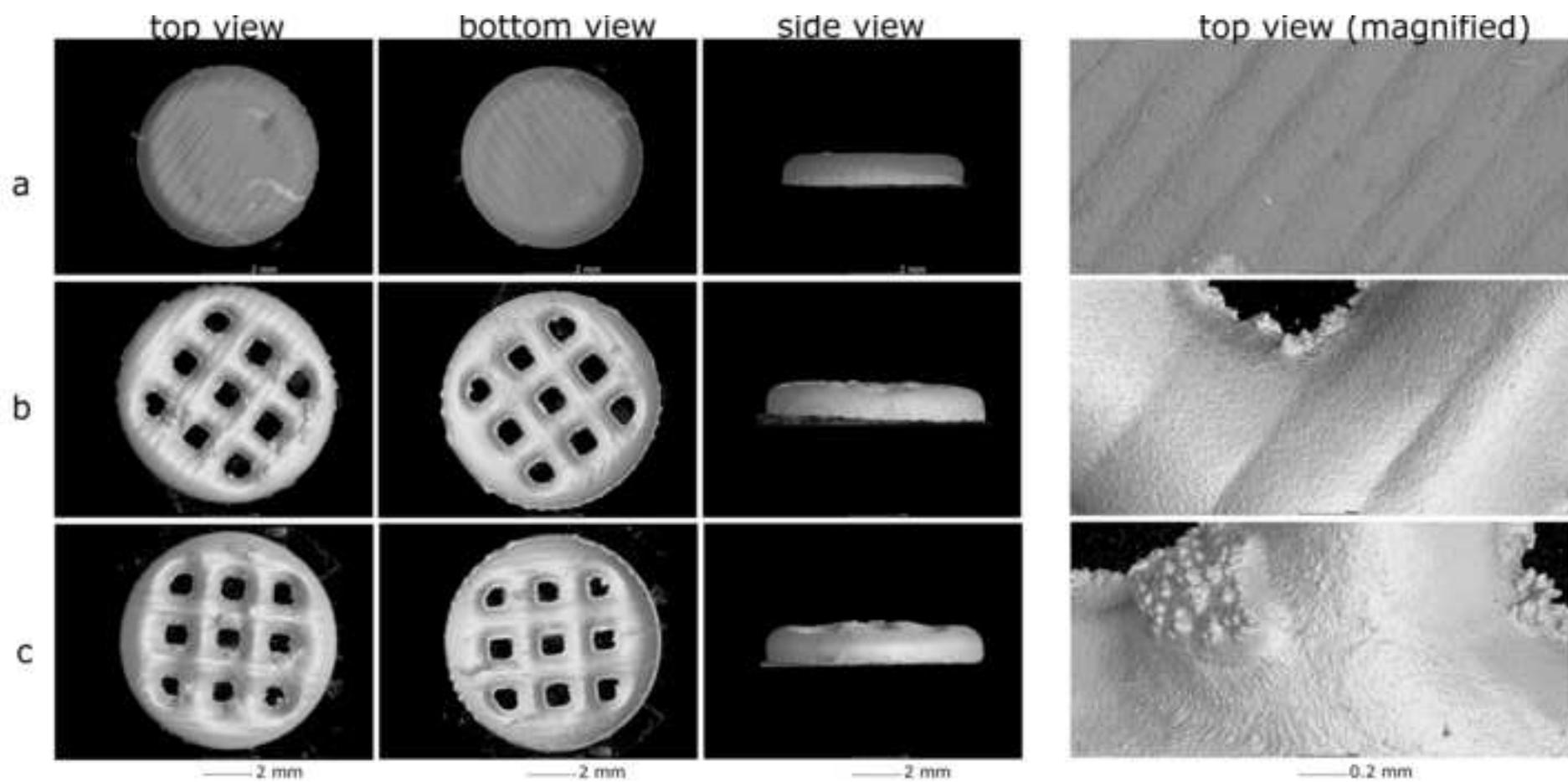
Figure(2)



Figure(3)



Figure(4)



**Supplementary Material**

[Click here to download Supplementary Material: A. Appendix Supplementary Data.docx](#)