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REVIEW

Do Asian patients require only half of the clozapine dose prescribed for Caucasians? A critical overview

Running title: Clozapine in Asians

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1 Do Asian patients require only half of the clozapine dose prescribed for Caucasians? A critical
2 overview

3 **Abstract:**

4 Since 1997, studies have found that Asians need lower clozapine doses than Caucasians.
5 Caucasians with average clozapine metabolism may need from 300 to 600 mg/day to reach the
6 therapeutic range (350 ng/ml). Thus, serum clozapine concentration-to-dose (C/D) ratios
7 typically range between 0.60 (male smokers) and 1.20 (female non-smokers). A 2019 systematic
8 review of clozapine levels demonstrated weighted mean C/D ratios of 1.57 in 876 East Asians
9 and 1.07 in 1147 Caucasians ($p < .001$). In Asian countries, average clozapine doses are lower than
10 300 mg/day. After sex and smoking stratification in five Asian samples with clozapine
11 concentrations, the clozapine dose required to reach 350 ng/ml in female non-smokers ranged from
12 145 to 189 mg/day and in male smokers, from 259 to 294 mg/day. Thus, in Asian patients with
13 average metabolism (with no inducers other than smoking, with no inhibitors, and in the absence of
14 extreme obesity), the dose needed for clinical response may range between 150 mg/day for female
15 non-smokers to 300 mg/day for male smokers. Clozapine levels may help personalize dosing in
16 clozapine poor metabolizers (PMs) and ultrarapid metabolizers (UMs). Asian PMs may need very
17 low doses (50-150 mg/day) to obtain therapeutic concentrations. About 10% (range 2%-13%) of
18 Asians are genetic PM cases. Other PMs are patients taking CYP1A2 inhibitors such as
19 fluvoxamine, oral contraceptives, and valproate. Temporary clozapine PM status may occur during
20 severe systemic infections/inflammations with fever and C-reactive protein (CRP) elevations.
21 Asian UMs include patients taking potent inducers such as carbamazepine or phenytoin, and rarely,
22 valproate.

23

24 **Key words:** Asian continental ancestry group/genetics; clozapine, blood; clozapine,
25 pharmacokinetics; CYP1A2; drug labeling; India; sex; smoking.

26

27

28 This review article proposes that the clozapine package insert (or drug labeling) and psychiatric
29 literature should inform physicians that Asian patients with average clozapine metabolism are
30 likely to need between 150 and 300 mg/day of clozapine to reach therapeutic concentrations, in
31 contrast with Caucasian patients with average clozapine metabolism, who need 300 to 600
32 mg/day.

33

34 **WHAT IS THE EVIDENCE?**

35 A reader familiar with United States psychiatric textbooks^[1] or review articles^[2] or British
36 textbooks^[3] or guidelines^[4] may find the title of this article surprising. A British textbook^[3]
37 states, “The range [for clozapine dosing] is approximately 250 mg/day (female non-smoker) to
38 550 mg/day (male smoker)” and makes no reference to Asian patients.

39

40 Average response in randomized clinical trials (RCTs) is used by pharmaceutical companies to
41 provide recommended average doses, but this approach is misguided when statistical
42 heterogeneity is present and when the mean does not represent the heterogeneous sample well.^[5]

43 As a matter of fact, the question asked in a Cochrane review, “What is the optimal dose for
44 clozapine in schizophrenia?”^[6], is an irrelevant question because there is no average clozapine
45 dose that is “best”; the right dose depends on the clozapine clearance of the individual patient,
46 which is mainly mediated by CYP1A2 and is significantly influenced by ethnicity, smoking

47 status and sex, all of which have major influence on CYP1A2 activity.^[7] A better question,
48 therefore, is to ask what dose is optimal for specific sub-groups: 1) Asian non-smoker females,
49 2) Asian non-smoker males, 3) Asian smoker females, 4) Asian smoker males, 5) non-Asian
50 non-smoker females, 6) non-Asian non-smoker males, 7) non-Asian smoker females, and 8) non-
51 Asian smoker males.^[8]

52
53 These questions are at the heart of clinical practice but were not asked when clozapine was
54 introduced in the market. Traditionally, drug studies for approval focused on Caucasian subjects,
55 predominantly male, but this state of affairs is no longer satisfactory. The current need is to
56 understand how different racial and ethnic ancestry can lead to differences in efficacy and safety
57 in the use of various drugs.

58

59 **WHO ARE ASIANS?**

60 According to the Food and Drug Administration, the Asian phenotype includes people whose
61 ancestral origins range geographically from Pakistan to Japan.^[9] Within that group is a more
62 homogeneous genetic group called East Asians, who comprise Chinese, Korean, Japanese, and
63 Mongolian people. This classification is largely driven by the history of genetic evolution.^[10]
64 This means that people from Western Asia^[11] are genetically different from other Asians and are
65 closer to Caucasian Europeans;^[10] it also means that the original people from the Americas^[10] are
66 really descended from East Asians and are likely to be genetically close to East Asians. In this
67 review, we report clozapine levels from Vellore, which probably reflects a population from
68 Southern India, while the Northern India population probably includes a more complex mix.^[12]
69

70 THE EVIDENCE FROM CLOZAPINE BLOOD LEVELS

71 Although clozapine prescribers in Western countries are not aware that Asians need lower
72 clozapine doses, this is not a new concept. In 1997 Chang et al.^[13] and Chong et al.^[14] showed
73 that Chinese patients who used half the clozapine dosage had concentrations similar to
74 Caucasians. Moreover, in 2005, Ng et al.^[15] found that 20 Singaporean Asians (from three ethnic
75 groups: Chinese, Indian, and Malay) had higher clozapine concentration-to-dose (C/D) ratios
76 than 20 Australian Caucasians.

77
78 The clozapine C/D ratio is a measure of clozapine drug clearance, which can be influenced by
79 genetic, personal, and environmental factors.^[16] The clozapine C/D ratio can be used to
80 distinguish patients based on clozapine metabolism. Thus, patients with a very low clozapine
81 C/D ratio belong to an ultrarapid metabolizer (UM) phenotype, while those with a very high C/D
82 ratio belong to a poor metabolizer (PM) phenotype. In 2015, a review^[16] proposed that in US
83 schizophrenia patients, Caucasians with average clozapine metabolism usually need 300-600
84 mg/day to reach the lowest part of the therapeutic range (350 ng/ml). US male smokers usually
85 reach a therapeutic concentration of ≥ 350 ng/ml with a dosage of 600 mg/day; this corresponds
86 to a C/D ratio of 0.58 (350/600). US female non-smokers usually reach a concentration of ≥ 350
87 ng/ml with a dosage of only 300 mg/day; this corresponds to a C/D ratio of 1.17 (350/300).
88 Therefore, in the US, clozapine C/D ratios typically range between approximately 0.60 and
89 1.20.^[16]

90
91 Based on the limited published data on Chinese patients^[13-15] and the fact that clozapine follows
92 linear kinetics, the review¹⁶ also proposed that East Asians may have clozapine C/D ratios that

93 are twice as high, ranging from 1.20 to 2.40, which means that they need only half the clozapine
94 dosage of US Caucasians.^[16] In 2019, a systematic review of clozapine levels supported that
95 conclusion,^[8] since the clozapine C/D ratio was higher when comparing weighted mean values of
96 1.57 in 876 East Asians and 1.07 in 1147 Caucasians ($p < .001$). Interestingly, a Mexican study^[17]
97 which provided no information on patient ethnicity described clozapine C/D ratios similar to
98 East Asians.

99

100 **THE EVIDENCE FROM CLOZAPINE DOSING IN ASIAN COUNTRIES**

101 In 1998, Farooq^[18] reported his clinical observation that Pakistani psychiatrists also used lower
102 doses similar to those used by Chinese psychiatrists, and proposed that Pakistanis also have
103 lower clozapine clearance than Caucasians, but similar to Chinese. However, these comments on
104 the need for low clozapine doses in Chinese and Pakistani patients were largely ignored in
105 Western countries.

106

107 Clozapine is widely used in China. In 2012, Wang and Li^[19] stated that the mean dose reported
108 in Chinese studies was 216 mg/day, which was much lower than the 431 mg/day reported in the
109 non-Chinese literature. A dosing study with >3,000 samples from the Japanese clozapine
110 database described a mean dose of 186 mg/day.^[20] In a survey of 117 Indian psychiatrists,
111 Shrivastava and Shah^[21] indicated that almost all (86%) of their patients were stabilized on
112 clozapine doses lower than 300 mg/day. A recent Asian review described clozapine daily dosing
113 in single samples from several different countries. In countries with no published blood levels,
114 the sample average doses (in mg/day) were 368 in Sri Lanka, 364 in Malaysia, 245 in Thailand,
115 193 in Myanmar, 182 in Vietnam, 158 in Pakistan, 142 in Bangladesh and 58 in Indonesia.^[22]

116

117 DOSING RECOMMENDATION FOR ASIANS IN THE ABSENCE OF BLOOD**118 LEVELS**

119 If the psychiatrist has access to blood levels, the best way to personalize clozapine dosing^[23] is to
120 use a dose that provides a trough steady-state clozapine concentration of at least 350 ng/ml.^[24]

121 Alternatively, the data from the five Asian samples,^[25] after sex and smoking stratification, can
122 be used to orient Asian clinicians who have no access to an assessment of blood levels. The five
123 samples were from Beijing,^[8,26] Taipei,^[27] Seoul^[28], and Vellore.^[29] In these five Asian samples,
124 the clozapine dose required to reach at least 350 ng/ml in female non-smokers ranged from 145
125 to 189 mg/day and in male smokers, from 259 to 294 mg/day.

126

127 These clozapine dosing guidelines are based on patients with average metabolism who are not
128 using inducers (other than smoking) or inhibitors and do not have extreme obesity. The dose
129 needed for clinical response in Asian patients with average clozapine metabolism ranges between
130 150 mg/day for female non-smokers and 300 mg/day for male smokers. After reaching these
131 doses, when a psychiatrist is faced with the need to ascertain whether the patient is not going to
132 respond to clozapine, they may want to reach at least 200 mg/day in an Asian female non-smoker
133 before declaring her to be non-responsive; likewise, an Asian male smoker will need at least 350
134 mg/day. Asian female smokers and Asian non-smoking males will need intermediate doses.

135

136 THE IMPORTANCE OF USING CLOZAPINE BLOOD LEVELS IN ASIANS

137 This review has so far focused on Asian non-smoking females or Asian smoking males with
138 average metabolism, but not all patients are average for clozapine metabolism. Clozapine PMs
139 and UMs exist, and they can be genetic or non-genetic PMs or UMs.

140
141 In the five Asian samples,^[25] approximately 10% (range 2-13%) of possible genetic clozapine
142 PMs needed very low clozapine doses of approximately 50-125 mg/day to reach 350 ng/ml. In
143 Vellore, the PM percentage appeared to be 2%. Moreover, phenoconversion by environmental
144 and personal variables can make a normal clozapine metabolizer appear to be a phenotypical
145 clozapine PM. Fluvoxamine is an extremely powerful inhibitor of clozapine metabolism that
146 makes most patients resemble clozapine PMs,^[30] and should never be co-prescribed with
147 clozapine in the absence of access to blood levels. Other powerful inhibitors of clozapine
148 metabolism that are likely to make a patient a clozapine PM are: ciprofloxacin, oral
149 contraceptives, and high doses of caffeine. Phenothiazines, tricyclic antidepressants, and high
150 doses of sertraline can also phenoconvert patients to clozapine PM.^[30] Valproic acid in some
151 patients may also inhibit clozapine metabolism.^[29,31] More importantly, using the clozapine C/D
152 ratio in the Vellore sample, we estimated that a clozapine PM male smoker who was taking
153 valproic acid would only need 105 mg/day to get therapeutic concentrations.^[25]

154
155 Clozapine deposits in fat tissue,^[32] and this decreases clozapine clearance. After combining four
156 Asian samples with measures of weights, we found that 1.1% (5/429) of patients appear to be
157 phenotypic clozapine PMs due to extreme obesity.^[25]

158

159 The most common cause of clozapine phenotypic PM status may be a severe infection or severe
160 inflammation with systemic manifestations that include fever and/or elevations of c-reactive
161 protein (CRP). The inflammation releases cytokines that inhibit CYP1A2 and other CYPs,
162 thereby increasing clozapine levels.^[33] Most clinicians are not aware that pneumonia can be
163 lethal in clozapine patients because it can lead to clozapine intoxication.^[34] Halving the clozapine
164 dose when pneumonia is diagnosed, or when any serious inflammation/infection with fever
165 and/or CRP elevation occurs, may avoid the development of clozapine intoxication.^[33,34] The
166 complexities involved in diagnosing fever in clozapine patients are reviewed in a recent
167 article.^[35]

168
169 Patients taking a potent inducer such as rifampicin or one of the three potent antiepileptic
170 inducers, , phenytoin or phenobarbital, can become clozapine UMs and require much higher
171 clozapine doses.^[30] Valproic acid, instead of being an inhibitor of clozapine metabolism, can be
172 an inducer in some patients. Studies suggest that when valproic acid acts as an inducer, it mainly
173 induces norclozapine metabolism^[31,36-38] but can sometimes contribute to the patient becoming a
174 UM who needs very high clozapine doses.^[39] Norclozapine is clozapine's main metabolite and
175 has no antipsychotic efficacy but may contribute to adverse drug reactions.^[40] In summary, in
176 some clozapine patients, valproic acid can act as an inhibitor of clozapine metabolism and in
177 others, as an inducer, particularly of norclozapine metabolism.^[41] During early titrations, it is
178 important to consider the risk of inhibition.

179
180 Mild CYP1A2 inducers are omeprazole and intake of cruciferous vegetables such as broccoli.
181 These latter compounds and the polycyclic aromatic hydrocarbons (PAH) found in the smoke of

182 tobacco bind to the aryl hydrocarbon receptor (AhR), inducing CYP1A2 expression.^[42] The
183 same PAH in barbecued food can act as it does in tobacco smoke, but one would have to
184 consume great quantities of barbecued food to gain the same effect as daily smoking. More
185 importantly, in people from India or Sri Lanka,^[43] high coffee intake has been associated with
186 induction of CYP1A2 expression, possibly because of the way the coffee beans are roasted.
187 Using the clozapine C/D ratio in the Vellore sample, we estimated that a particular clozapine UM
188 would need 1029 mg/day of clozapine to reach a clozapine level of 350 ng/ml. She was a non-
189 smoking female who reported consuming 10 cups of coffee/day, much higher than other patients.
190 Assuming that her single clozapine level was not contaminated by lack of adherence, she
191 appeared to be a clozapine UM explained by the high induction produced by the roasting of
192 coffee beans.^[25]

193

194 In conclusion, the best way of personalizing dosing for clozapine PMs and UMs, whether genetic
195 or non-genetic by source, is to measure clozapine blood levels.^[23]

196

197 **THE NEED FOR STARTING WITH LOWER DOSES AND SLOWER TITRATION IN** 198 **ASIAN PATIENTS**

199 Asian patients need half the dose to which Caucasian patients are usually up-titrated. Therefore
200 to prevent myocarditis, in Asians, it may be desirable to start with 12.5 mg/day and, if tolerated,
201 to reach 50 mg/day at day 7, 100 mg/day at day 14, and 150 mg/day at day 21. Then, after
202 reaching a steady-state (five days later), a trough clozapine level could be obtained to personalize
203 dosing. It is preferable to require a normal CRP level for starting clozapine, or else systemic
204 inflammation-related reduction in clozapine metabolism may compromise the safety of the

205 titration.^[23,44] Weekly CRP can be measured with the white blood count (WBC); if the CRP is
206 elevated, clozapine should be stopped until the CRP normalizes because this may be an initial
207 sign of clozapine-induced inflammation that can progress to myocarditis.^[23,44] Frequently,
208 clozapine up-titration is conducted in the background of a prior antipsychotic, and so delaying
209 clozapine titration until CRP normalizes is safe. If the patient is not already taking another
210 antipsychotic, an additional antipsychotic can be given until it is determined that the patient can
211 tolerate a slower clozapine up-titration.

212
213 Two articles have independently proposed that clozapine-induced myocarditis is a hypersensitive
214 reaction similar to lamotrigine-induced Stevens-Johnson syndrome that is produced by rapid up-
215 titration.^[45,46] Normal titration may lead to myocarditis in clozapine PMs, such as those taking
216 valproic acid.^[47] If the clozapine up-titration is too fast for a specific patient, a clozapine-
217 induced inflammation will develop, manifested as CRP elevation; this will further reduce
218 clozapine metabolism and predispose to myocarditis. The high incidence of clozapine-induced
219 myocarditis in Australia may be partly explained by the use of Caucasian-level titration in
220 patients of Asian ancestry, considering the increase in Asian emigration to Australia in the last
221 ten years.^[8]

222

223 **INTERPRETING CLOZAPINE BLOOD LEVELS**

224 Clinicians frequently fail to understand that a single clozapine level must be viewed with caution
225 and that a pattern change across several levels is easier to interpret. Laboratory, technical, and
226 natural variations can cause some day-to-day variations in clozapine levels, even after assuming
227 the stability of all possible confounding factors such as trough (early morning before medication

228 intake) and steady-state levels (≥ 5 days with no clozapine dose change), drug interactions,
229 smoking, and caffeine intake.^[48] The most important changes in clozapine levels in outpatients
230 are due to a lack of compliance.^[49] Based on an RCT in an inpatient setting with very strict
231 control over compliance and many levels every other week for months,^[50] we have suggested
232 that only a change by a factor of 2 is probably meaningful from the clinician's perspective. This
233 means that if an individual has a clozapine level of 500 ng/ml, the next one under the same stable
234 conditions should not be >1000 or <250 ng/ml. However, a change from 500 to 400 ng/ml is
235 probably not significant.^[48]

236

237 **CONCLUSION**

238 This review article proposes that Asians, defined as people whose ancestral origins range
239 geographically from Pakistan to Japan, and who comprise up to 50% of the world's population,
240 may need half the clozapine dosage used in Western countries. Psychiatrists in India, and more
241 widely, in Asia, need to be aware that the clozapine doses needed by Asian patients are half
242 those needed by Caucasian patients.

243

244 Based on the evidence presented in this article, Asian psychiatrists should encourage their
245 hospitals and facilities, where possible, to developing laboratories that can allow obtaining
246 clozapine levels to become routine practice. This would help personalize clozapine dosing.

247 Asian pharmaceutical companies should consider developing clozapine formulations that allow
248 lower doses, such as 12.5, 10, or even 5 mg. These low doses are far more appropriate for
249 starting clozapine in Asian patients. This article estimates dosing for Asians based on linear
250 kinetics and the estimation that the lower therapeutic range is 350 ng/ml, but this value is mainly

251 based on studies in Caucasians and response-plasma levels in Asians are needed. Future studies
252 in Asian patients need to establish whether or not this value (350 ng/ml) needs to be modified in
253 Asians.

254

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