

1 **Retinoid X Receptor Gamma (RXRG) is an independent prognostic**
2 **biomarker in ER-positive invasive breast cancer.**

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4 Chitra Joseph¹, Sara Al-izzi¹, Mansour Alsaleem¹, Sasagu Kurozumi¹, Michael S
5 Toss^{1,2}, Maariya Arshad¹, Fang Qin Goh¹, Ibraheem M Alshankyty³, Mohammed A
6 Aleskandarany^{1,2}, Simak Ali⁴, Ian O. Ellis¹, Nigel P. Mongan^{5,6}, Andrew R. Green¹,
7 Emad A. Rakha^{1,2}

8 ¹Nottingham Breast Cancer Research Centre, Division of Cancer and Stem Cells, School of
9 Medicine, University of Nottingham and Nottingham University Hospital NHS Trust,
10 Nottingham, UK.

11 ² Histopathology Department, Faculty of Medicine, Menoufia University, Egypt

12 ³Faculty of Applied Medical Sciences, King Abdulaziz University.

13 ⁴Faculty of Medicine, Department of Surgery, Imperial College London, UK

14 ⁵Cancer Biology and Translational Research, Faculty of Medicine and Health Sciences,
15 University of Nottingham, Nottingham, UK

16 ⁶Department of Pharmacology, Weill Cornell Medicine, New York, NY, 10065, USA

17 **Corresponding author:**

18 Professor Emad Rakha

19 Department of Histopathology, Division of Cancer and Stem Cells, School of Medicine, The
20 University of Nottingham and Nottingham University Hospitals NHS Trust, Nottingham City
21 Hospital, Nottingham, NG5 1PB, UK

22 Email: Emad.Rakha@nottingham.ac.uk

23

24 **Key Words:** Nuclear receptor, Retinoid X Receptor Gamma (RXRG, RXR γ), ER positive IBC,
25 prognosis

26 **Running title:** Prognostic value of RXRG in breast cancer

1 **ABSTRACT:**

2 **Background:** Retinoid X Receptor Gamma (RXRG) is a member of the nuclear receptor
3 superfamily and plays a role in tumour suppression. This study aims to explore the prognostic
4 significance of RXRG in breast cancer.

5 **Methods:** Primary breast cancer tissue microarrays ($n=923$) were immuno-stained for RXRG
6 protein and correlated with clinico-pathological features, and patient outcome.

7 **Results:** Nuclear RXRG expression was significantly associated with smaller tumour size
8 ($p=0.036$), lower grade ($p<0.001$), lobular histology ($p=0.016$), lower Nottingham Prognostic
9 Index ($p=0.04$) and longer breast cancer-specific survival ($p<0.001$), and longer time to distant
10 metastasis ($p=0.002$). RXRG expression showed positive association with oestrogen receptor
11 (ER)-related biomarkers: GATA3, FOXA1, STAT3 and MED7 (all $p<0.001$) and a negative
12 correlation with the Ki67 proliferation marker. Multivariate analysis demonstrated RXRG
13 protein as an independent predictor of longer breast cancer-specific survival and distant
14 metastasis-free survival. In the external validation cohorts, RXRG expression was associated
15 with improved patients' outcome ($p=0.025$). In ER-positive tumours, high expression of RXRG
16 was associated with better patient outcome regardless of adjuvant systemic therapy. ER
17 signalling pathway was the top predicted master regulator of RXRG protein expression
18 ($p=0.005$).

19 **Conclusion:** This study provides evidence for the prognostic value of RXRG in breast cancer
20 particularly the ER-positive tumours.

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1 INTRODUCTION

2 Breast cancer is the most common cancer among women worldwide¹. Oestrogen receptor
3 (ER) and progesterone receptor (PR), which are members of the nuclear receptor superfamily
4 of transcription factors, are important in predicting prognosis and establishing therapeutic
5 strategies for breast cancer treatment. Recent studies have revealed growing evidence of the
6 involvement of nuclear receptors, other than ER and PR, in breast cancer development and
7 progression^{2,3}. Drugs targeting nuclear receptors are widely used in the clinic for treating
8 patients⁴. Expression levels of some nuclear receptors, such as thyroid hormone receptor
9 beta (THRb), COUP transcription factor 2 (COUP-TF2), peroxisome proliferator-activated
10 receptor gamma (PPARG) and liver receptor homolog 1(LRH-1), are associated with
11 clinicopathological variables and can predict outcome in tamoxifen-treated patients⁵. The
12 glucocorticoid receptor (GR) in breast cancer exerts anti-proliferative and anti-apoptotic
13 activities and its overexpression is associated with features characteristic of longer survival^{6,7}.
14 Moreover, in tamoxifen treated ER-positive breast cancer, androgen receptor (AR; also a
15 member of the nuclear receptor superfamily) status has prognostic value and it is reported to
16 be a crucial factor in deciding treatment regime⁸. With these important roles in breast cancer,
17 other nuclear receptors could therefore provide additional therapeutic targets for breast cancer
18 management⁹⁻¹¹.

19 Retinoids derived from vitamin A are signalling molecules that play important roles in cell
20 differentiation and proliferation¹² and act via retinoic acid receptors (RARs) and retinoid X
21 receptors (RXRs) which are members of the nuclear receptors superfamily. Retinoids are well
22 documented for their ability to induce differentiation and arrest proliferation in cancer^{12,13}. The
23 RXR family are known to form heterodimers with other nuclear receptors, including the vitamin
24 D receptor (VDR), peroxisome proliferator activated receptors (PPARs) and RARs¹¹. There
25 are three subtypes of the Retinoid X Receptor (RXR), namely RXR Alpha (RXR α ; NR2B1),
26 RXR β (NR2B2) and RXR γ (NR2B3)¹⁴. These receptors have tumour suppressor properties,
27 particularly as their ligand 9-*cis*-retinoic acid¹², and impede cellular proliferation¹⁵. Moreover,

1 the RXR family are involved in mediating the antiproliferative effects of retinoic acid (RA) as
2 partners of RARB and RARA¹².

3 RXRG has been demonstrated to modulate cellular differentiation and apoptosis in different
4 tumour types. For example, enhanced expression of RXRG was associated with increased
5 apoptosis in ovarian cancer¹⁶. Epigenetic silencing of RXRG correlated with decreased overall
6 survival in lung cancer¹⁷. In ovarian cancer tumour models, RXRG activation re-sensitizes
7 ovarian carcinoma cells to apoptosis. However, the mechanism by which this occurs is still
8 unclear. With minimal toxicity both *in vitro* and *in vivo*, novel RXR family members (rexinoids),
9 have been reported to suppress breast cancer development in several animal models and
10 have been extensively evaluated either alone or in combination with selective ER modulators
11 ¹⁸. One RXRG partner, RARA was shown to influence the ER α transcriptional complex in
12 oestrogen treated MCF-7 breast cancer cells^{19,20}. Together, these findings indicate that RXRG
13 could have a function in tumour pathogenesis and could potentially be promising cancer
14 therapeutics.

15 Therefore, this study aimed to investigate the potential prognostic role of RXRG in breast
16 cancer with a focus on the luminal ER-positive class.

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1 MATERIALS AND METHODS

2 Study cohort:

3 This study was conducted on a large cohort ($n=923$) of primary breast cancer from patients
4 who presented to Nottingham City Hospital with available clinicopathological data, as
5 previously described²¹⁻²³. Treatment and outcome data, including breast cancer-specific
6 survival and distant metastasis-free interval was maintained on a prospective basis. Breast
7 cancer-specific survival was defined as the duration (in months) from the date of primary
8 surgery to the time of death because of breast cancer. Distant metastasis-free interval was
9 defined as the duration (in months) from primary surgical treatment to the occurrence of first
10 distant recurrence.

11 Evaluation of RXRG protein expression:

12 RXRG protein expression was evaluated using immunohistochemistry preceded by validation
13 of the rabbit RXRG antibody (Abcam, ab15518) specificity using western blot. For the latter,
14 cell lysates of MDA-MB-231 and MCF-7 cell lines (obtained from the American Type Culture
15 Collection; Rockville, MD, USA) were incubated with the primary antibody at 1:700 dilution.
16 The specificity of the antibody was validated with a single specific band at the predicted
17 molecular weight (39 kDa, Fig 1A).

18 For evaluation of the morphological pattern of protein expression and suitability of tissue
19 microarrays for its assay, immunohistochemistry was assessed in full-face breast cancer
20 tissue sections ($n=10$). Tumour samples were arrayed onto tissue microarrays as previously
21 described²¹. 4- μm sections from the tissue microarrays and full-face sections were
22 immunohistochemically stained using the Novolink Max Polymer Detection system (Leica,
23 Newcastle, UK). The antibody was incubated 24 hours at the concentration of 1:300.

24 The modified Histo-score (H score) method was used in assessing immunohistochemistry
25 staining, taking the staining intensity and percentage positivity into account²⁴. High-resolution
26 digital images were generated via scanning the stained slides using Nanozoomer

1 (Hamamatsu Photonics, Welwyn Garden City, UK) at x20 magnification to facilitate the scoring
2 of the tissue microarrays cores. The sections were blindly double scored by two researchers
3 including a consultant histopathologist for ~25% cores to assess inter-observer concordance.
4 Inter-observer agreement was determined, and the intra-class correlation co-efficient was
5 0.83, indicating an excellent concordance between scorers. Moreover, the discordant cases
6 were re-scored by the both observers and a consensus score was agreed and assigned.
7 Biomarkers closely relevant to breast cancer carcinogenesis, progression and outcome were
8 also available for this cohort of patients (See Tables 2&3). Immunohistochemistry staining and
9 dichotomisation of these biomarkers were used as per previous publications^{6,22,24-33}.

10 **Gene expression cohorts:**

11 The clinicopathological significance of *RXRG* mRNA expression was assessed using a subset
12 ($n=150$) of the Nottingham series that was included in the Molecular Taxonomy of Breast
13 Cancer International Consortium (METABRIC) dataset³⁴. The aim of this investigation is to
14 understand the molecular biology of *RXRG* protein expression as an end product, therefore,
15 the analysis was completed utilising cases with *RXRG* protein expression. The definition of
16 cases into low versus high groups was based on *RXRG* protein expression.

17 External validation was performed using the Breast Cancer Gene-Expression Miner v4.0 (bc-
18 GenExMiner v4.0)³⁵, as previously described^{33,36}. Breast cancer cases dataset ($n=818$) within
19 The Cancer Genome Atlas (TCGA)³⁷ was also used for external validation of *RXRG* mRNA
20 expression. Patient outcome following systemic treatment was further validated using KM
21 Plotter ($n=3951$)³⁸.

22 **Pathway analysis:**

23 Differential gene expression between *RXRG* negative and positive cases was assessed using
24 the Robina implementation of EdgeR³⁹. Differential expression with >2-fold difference and a
25 false discovery rate of $q<0.05$ between *RXRG* negative and positive cases were considered

1 significant. Webgestalt (<http://www.webgestalt.org>) was used to annotate the differential gene
2 expression list and to identify over-represented gene ontologies and pathways⁴⁰.

3 **Statistical analysis:**

4 IBM SPSS 22.0 (Chicago, IL, USA) software was used for statistical analysis. The H-scores
5 of expressions of nuclear RXRG did not follow a normal distribution. For this reason,
6 expression of RXRG protein was used to define patient groups based on prediction of breast
7 cancer-specific survival using X-tile (<http://tissuearray.org>; Yale University, USA)⁴¹. Chi-
8 squared test was used to evaluate the association between expression of other biomarkers
9 and the clinicopathological parameters. Correlation between RXRG and ER/PR expression
10 was analysed using Spearman's correlation coefficient test. Association between RXRG
11 expression, clinico-pathological parameters and, other related biomarkers using the
12 continuous H-score were evaluated.

13 Kaplan–Meier analysis with log-rank test for significance was performed to assess breast
14 cancer-specific survival and distant metastasis-free interval. Interaction between RXRG and
15 ER was evaluated using Cox regression model which was also used for multivariate survival
16 analysis with adjustment of covariates to test independence from standard prognostic factors
17 in breast cancer (nodal stage, tumour grade, tumour size, ER level of expression (defined as
18 percentage of positive tumour cells), and Ki67. The STRING database (<http://string-db.org>)⁴²
19 was used to evaluate the interaction with RXRG and other nuclear receptors in steroid
20 signalling pathways. The *p*-values were adjusted using Bonferroni correction for multiple
21 testing. A *p*-value of <0.05 was considered significant.

22 This study obtained ethics approval by the North West –Greater Manchester Central Research
23 Ethics Committee under the title; Nottingham Health Science Biobank (NHSB), reference
24 number 15/NW/0685. All samples from Nottingham used in this study were pseudo-
25 anonymized and collected prior to 2006 and stored in compliance with the UK Human Tissue
26 Act.

1 RESULTS

2 RXRG protein expression

3 Full-face tissue sections (Supplementary Figure 1A-C) showed high RXRG expression in the
4 normal glandular epithelium (Supplementary Figure 1B). In contrast, low RXRG
5 immunopositivity was observed in the nuclei of invasive cancer cells (Supplementary Figure
6 1C), with some malignant cells additionally featuring cytoplasmic staining. On tissue
7 microarrays, RXRG protein expression levels varied from absent to high (Figure 1B-D). In the
8 923 scorable cores, the cut-off points of the RXRG nuclear H-score was set at 175 by X-tile
9 analysis, where low expression is defined as H-scores <175 and high expression as H-scores
10 ≥ 175 . Low RXRG nuclear expression was observed in 458/923 (49.6%) cases and high
11 expression was observed in 465/923 (50.4%) cases. Low *RXRG* mRNA expression was found
12 in 73/150 (49%), whereas high *RXRG* mRNA expression was observed in 77/150 (51%)
13 cases.

14

15 Relationship between RXRG protein expression and clinicopathological variables

16 In the whole cohort and ER-positive sub-cohort, RXRG was associated with features of
17 favourable prognosis, including smaller tumour size ($p=0.036$), lower histological grade
18 ($p<0.00001$), less pleomorphism ($p=0.042$), lower mitotic scores ($p<0.00001$), lobular and
19 special tumour types of excellent prognosis ($p=0.016$), and lower Nottingham Prognostic Index
20 ($p<0.05$; Table 1). Moreover, significant association was observed with breast cancer
21 molecular intrinsic subtypes ($p<0.00001$ and $p=0.009$), for the whole series and ER-positive
22 tumours, respectively (Table 1). High RXRG expression was primarily observed in luminal A
23 tumours (136/214, 63.6%), while it was less expressed in HER2+ and triple negative breast
24 cancer.

25 There was a significant positive linear correlation between RXRG and ER expression in the
26 whole cohort and in ER-positive tumours ($r=0.30$, $p<0.0001$ and $r=0.20$, $p=0.016$,

1 respectively). Similar results were observed with PR expression ($r=0.20$, $p=0.014$ and $r=0.17$,
2 $p=0.016$; respectively). High nuclear RXRG expression showed significant positive
3 association with ER and PR positivity ($p<0.0001$ and $p=0.018$, respectively), while negative
4 association was observed with basal cytokeratin CK5/6 ($p=0.020$; Table 2). High expression
5 of RXRG was positively associated with luminal subtype related biomarkers in both the whole
6 cohort and ER-positive tumours including ER-chromatin interaction regulator Forkhead box
7 protein A1 (FOXA1; $p<0.00001$) and human brain expressed X-linked1 (BEX1; $p<0.00001$).
8 Significant positive associations were observed with cell cycle regulatory proteins such as
9 GATA3 ($p=0.0001$), and STAT3 ($p<0.00001$); markers also known to be overexpressed in ER-
10 positive breast cancer and associated with favourable outcome^{21,43}. By contrast, negative
11 associations were observed with the proliferation marker Ki67 ($p=0.014$), epithelial-
12 mesenchymal transition markers such as N-cadherin ($p<0.00001$) and phosphatidylinositol-
13 4,5-Bisphosphate 3-Kinase Catalytic Subunit Alpha (PIK3CA; $p=0.012$). In addition, the
14 mediator subunit MED7 was positively associated ($p<0.00001$) with RXRG (Table 2; both
15 whole & ER-positive cohort). In ER negative tumours, only MED7 ($p<0.00001$), BEX1
16 ($p=0.032$) and N-cadherin ($p=0.034$) showed significant association with RXRG (Table 2).

17 Positive associations were observed between the nuclear expression of RXRG and the
18 expression of nuclear receptors including PPAR γ , PPAR β , AR, RAR α , glucocorticoid receptor,
19 and liver receptor homolog-1 (p for all <0.001) (Table 3; in the whole cohort, ER-positive and
20 ER negative cohort). Moreover, using the continuous H-score to assess the association
21 between RXRG expression and the clinico-pathological parameters as well as other breast
22 cancer related biomarkers revealed similar significant association to those obtained with the
23 categorised RXRG (Supplementary Table 1).

24 **Association between RXRG protein expression and patients' outcome**

25 High expression of RXRG was associated with longer breast cancer-specific survival
26 ($p<0.0001$; Figure 2A). Regarding distant metastasis, high RXRG expression was associated

1 with a lower probability of distant metastasis ($p=0.002$; Figure 2B). Cox proportional
2 multivariate analysis showed that RXRG expression was an independent indicator of both
3 longer breast cancer-specific survival and distant metastasis-free interval in the whole cohort
4 (HR=0.6; 95%CI=0.4-0.8; $p=0.04$ and HR=0.7; 95%CI =0.6-0.9; $p=0.025$, respectively)
5 independent of the standard prognostic parameters of breast cancer including tumour size,
6 histological grade, nodal stage, ER status and proliferative fraction as assessed by Ki67.
7 Comparable results were obtained when we included the ER level of expression as a
8 continuous variable to the multivariate analysis of the ER-positive cohort (Table 4).

9 Similarly, in ER-positive tumours, high RXRG levels were predictive of longer breast cancer-
10 specific survival ($p<0.0001$; Figure 2C) and longer distant metastasis free-interval ($p=0.002$;
11 Figure 2D). The Cox regression model demonstrated that RXRG was an independent
12 predictor of both breast cancer-specific survival and longer distant metastasis-free interval
13 (HR=0.5; 95%CI=0.4-0.7; $p=0.004$ and HR=0.7; 95%CI =0.5-0.9; $p=0.036$ respectively, Table
14 4). In triple negative breast cancer and HER2+ phenotypes, RXRG expression was neither
15 associated with breast cancer-specific survival nor with distant metastasis-free interval.

16 RXRG positivity was associated with a significant survival advantage in patients with ER-
17 positive tumours irrespective of hormonal therapy ($p=0.049$ and $p<0.0001$, respectively,
18 Figure 2E and 2F). Similarly, in ER-positive patients who either received or did not receive
19 adjuvant chemotherapy, the prognostic advantage of positive RXRG expression was
20 maintained ($p=0.006$ and $p=0.002$, respectively) (Figure 2G and 2H). Supporting this,
21 evaluation of the interaction between RXRG and ER level of expression (RXRG*ER) using the
22 Cox regression model showed significant association with longer breast cancer-specific
23 survival and distant metastasis-free interval (both $p=0.001$).

24 There was a trend towards a positive linear correlation between *RXRG* mRNA and protein
25 expression in the subset of Nottingham cases within the METABRIC study ($n=150$), that has
26 data on both mRNA and protein expression, however, the association did not reach statistical
27 significance ($r=0.20$, $p=0.077$).

1 **Genomic study and pathway analysis**

2 We next identified differential gene expression between patients with low versus high RXRG
3 mRNA expression in the Nottingham primary operable breast cancer series which were
4 included in the METABRIC³⁴ study ($n=150$). This analysis identified 1048 significant
5 differentially expressed genes ($p<0.05$), comprised of 554 over-expressed and 494 down-
6 regulated genes, associated with reduced RXRG expression. Analysis of the differential gene
7 expression list identified over-represented pathways including dysregulation of genes
8 regulating ER signalling pathway (Supplementary Table 2; $p=0.0053$; *FOS* and *AP-1*
9 transcription factor subunit). Other relevant pathways involved in regulating RXRG protein
10 expression included cAMP signalling pathway ($p=0.001$; *ADORA1*), protein digestion and
11 absorption pathway ($p=0.001$; *COL4A2* and *SLC7A7* and the ABC transport pathway
12 ($p=0.002$; *ABCB9* and *ABCD3*). Interaction with RXRG and other nuclear receptors in steroid
13 signalling pathways are summarized in Supplementary Figure 2.

14 **RXRG genomic profiling**

15 Expression analysis for *RXRG* mRNA using Breast Cancer Gene-Expression Miner v4.0
16 showed that high *RXRG* expression was associated with older age at diagnosis ($n=3600$;
17 Supplementary Figure 3A; $p=0.0082$), lower histological tumour grade ($n=3518$; $p=0.0024$;
18 Supplementary Figure 3B), ER positive status ($n=5558$; Supplementary Figure 3C; $p=0.029$).
19 Among PAM50 subtypes, *RXRG* mRNA was associated with luminal subtypes ($n=5607$;
20 $p=0.0024$; Supplementary Figure 3D) and non-triple negative status ($n=1275$; $p=0.014$;
21 Supplementary Figure 3E). Targeted prognostic analyses for *RXRG* with nodal status and
22 positive ER status patients ($n=33$ data sets, 3941 patients) indicated that high gene expression
23 correlated with adverse event free survival (HR=0.88; 95%CI=0.79-0.98; $p=0.025$;
24 Supplementary Figure 3F). Consistent with this, Kaplan Meier analysis³⁸ indicates high *RXRG*
25 expression showed significant survival advantage irrespective of systemic treatment in
26 ($n=3951$; $p<0.0001$; Supplementary Figure 3G). To confirm this, we examined the TCGA-
27 BRCA^{44,45} dataset and found high *RXRG* mRNA expression was associated with longer

1 disease-free intervals, post-menopausal status, and differential ER, PR and HER2 expression
2 (Supplementary Figure 4 A-F).

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4 **DISCUSSION**

5 Understanding the mechanisms by which RXRs exert their effects in breast cancer remains
6 incomplete¹². To our knowledge, this is the first study to define the prognostic role RXRG in
7 breast cancer using a large clinical data set with long-term follow up. Results from the current
8 study provide evidence that high expression of RXRG protein was significantly associated
9 good long-term clinical outcome. Our study shows that high nuclear RXRG was associated
10 with ER-positive tumours, and is consistent with previous reports which shows it confers a
11 better prognostic impact⁴⁶. Indeed, the positive correlation between RXRG and ER
12 expression, and association of higher RXRG with improved patient outcome independent of
13 ER expression, suggest that RXRG could be a potential surrogate marker for ER expression
14 in our cohort. Moreover, RXRG expression is significantly higher in breast cancer histologic
15 subtypes with better prognosis such as invasive lobular carcinoma^{46,47}, in contrast to ductal or
16 medullary-like tumours which typically are associated with poorer outcomes.

17 In this study, ER-positive breast cancer showed the highest expression of RXRG compared
18 to HER2+ and triple negative breast cancer. Moreover, elevated expression of RXRG was
19 associated with ER associated markers such as GATA3⁴⁸, FOXA1⁴⁹, BEX³⁰, STAT3⁴³ and
20 MED7³³. As noted earlier, RXRs and RARs form heterodimeric complexes, which bind DNA
21 at specific retinoid responsive elements and regulate the various transcriptional processes¹².
22 In breast cancer, functional interactions between retinoic acid and oestrogen signalling are
23 complex and well documented^{2,19,20}.

24 In this study pathway analyses were conducted to explore the differentially enriched pathways
25 associated with increased expression levels of RXRG protein. Results on pathway analysis
26 confirmed our IHC findings reinforcing the importance of RXRG expression and ER status,

1 where it revealed a positive association between high RXRG expression and ER positivity,
2 and on patients' survival. Our results indicated that the ER enriched pathway was the top
3 master regulator of RXRG. Thus, we exposed a positive correlation between the genes
4 regulating the ER pathway and RXRG protein expression suggesting that suppressed
5 expression of those indicators may inhibit signalling via the ER pathway and consequently
6 affecting RXRG expression. For instance, dimerised ER directly binds to DNA sequences
7 called Oestrogen Response Elements (EREs) in relevant activated genes and activate gene
8 transcription. However, ER is also known to use non-classical pathways via Activator protein
9 1 (AP-1) or via Specificity protein 1 (Sp-1)⁵⁰. In ER-positive, breast cancer cell lines, ER
10 enhanced *ADORA1* mRNA and protein levels. Moreover, inhibition of *ADORA1* reduced the
11 binding activity of ER to its target gene indicating that *ADORA1* is required for full
12 transcriptional activity of ER on oestrogen stimulation⁵¹. By reducing *COL4A2* mRNA levels
13 via miR-29b may be contribute to the invasiveness of in ER-positive BC cells. The
14 aforementioned studies have revealed the potential role of these biomarkers in ER-related
15 pathways ⁵² and may affect RXRG expression. However, it is important to note that the role
16 of RXRG within ER-related pathways may be quite complex, depending on the specific
17 interacting partners. For example, in this study, RXRG expression was negatively associated
18 with *PIK3CA*. *PIK3CA* mutations are strongly associated with ER-positive tumours with better
19 prognostic characteristics⁵³. Thus, its inverse relationship to *PIK3CA* warrants further
20 investigation in the context of ER associated pathways. Interestingly, in the MNU-induced rat
21 mammary tumour models, the RXR-selective retinoid bexarotene (Targretin), suppressed ER-
22 positive tumour development with minimal toxicity⁵⁴.

23 In this study, the negative correlation with N-cadherin, CK5/6, and Ki67 indicates that RXRG
24 expression is not associated with aggressive breast cancers. Elevated N-cadherin expression
25 is associated with epithelial mesenchymal transition (EMT) and tumour aggressiveness⁵⁵. In
26 thyroid carcinoma, administration of ligands selective for RXRG resulted in a 30% reduction
27 in cell proliferation⁵⁶, which is in agreement with low proliferation index and high RXRG

1 expression. High molecular weight cytokeratin are strongly associated with high histological
2 grade, and worse patient outcome³¹ and their negative association with RXRG further
3 reinforces its role as a good prognostic indicator.

4 Nuclear RXRG expression displayed strong positive associations with other nuclear receptors.
5 Studies have shown that RXRs form heterodimers with many nuclear receptors, including
6 RARs, VDRs, PPARs, liver-x receptor (LXRs) and farnesoid X receptors (FXRs)⁵⁷, suggesting
7 that the positive correlations in our study could be due to heterodimer formation with one or
8 more of these nuclear receptors. For instance, in breast cancer cells treated with ligands
9 specific for PPAR γ and RXR/RAR, troglitazone and 9-*cis*-retinoic acid respectively, a reduction
10 in proliferation was observed⁵⁸, and low doses of PPAR γ and RXR ligands also promoted
11 apoptosis⁵⁹. This suggests that RXRs have an anti-tumourigenic role, potentially through
12 heterodimer formation with PPAR γ . Treatment of thyroid cancer cells containing both RXRG
13 and PPAR γ with their ligands resulted in a synergistic increase in apoptotic activity⁵⁶. This
14 suggests that, RXR γ -PPAR γ heterodimer may be present, and that activation of this
15 heterodimer leads to a synergistic increase in apoptosis. For this reason, we propose that
16 increased expression of RXRG could potentiate heterodimer formation and activation of other
17 nuclear receptors (e.g. VDR, RAR and PPAR γ) thereby enhancing their anti-tumourigenic
18 functions.

19 Regarding the association with patient outcome, high nuclear RXRG expression was
20 associated with improved breast cancer-specific survival and a longer time to distant
21 metastasis in the whole series and in ER-positive breast cancer. However, in other breast
22 cancer subtypes RXRG did not show any association with patient outcome. This might be due
23 to the smaller sample size of ER-negative, HER2+ and triple negative breast tumours in this
24 cohort. Further investigation of larger cohorts of ER-negative, HER2+, and triple negative
25 breast tumours is therefore warranted. Our findings are consistent with previous reports in
26 breast and renal cancer^{60,61}. In our study, these outcome associations were independent of
27 other well-established prognostic variables. Interestingly, increased RXRG expression

1 showed improved outcome regardless of adjuvant hormonal therapy or chemotherapy status.
2 Hence, in chemotherapy-intolerant patients, therapeutic manipulation of RXRG on its own, or
3 in combination with other therapies, may be helpful in improving the existing treatment
4 regimen, particularly as next-generation RXR subtype-selective rexinoids enter clinical testing
5 and use. Furthermore, assessment of *RXRG* mRNA levels using bc-GenExMiner and TCGA
6 demonstrated that high *RXRG* mRNA expression is significantly associated with better tumour
7 characteristics and longer event-free survival of breast cancer patients, which corroborates
8 with RXRG protein expression. *RARA* mRNA expression levels in breast cancer patients
9 treated with hormonal therapy predicted positive outcome¹⁹, which is in agreement with our
10 findings.

11 In summary, high RXRG expression in breast cancer is associated with favourable prognostic
12 parameters and is an independent prognostic factor with prolonged patient survival. The
13 interaction between RXRG, ER and other nuclear receptors may explain the prognostic effect
14 of RXRG in breast cancer. There is evidence that rexinoids are more effective anti-cancer
15 agents than retinoids in preclinical models and show minimal toxicity⁶². Therefore, further
16 studies to validate the potential of RXRG as a therapeutic target in breast cancer are therefore
17 warranted.

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1 **ETHICAL APPROVAL AND CONSENT TO PARTICIPATE**

2 This work obtained ethics approval by the North West – Greater Manchester Central
3 Research Ethics Committee under the title; Nottingham Health Science Biobank
4 (NHSB), reference number 15/NW/0685. All samples from Nottingham used in this
5 study were pseudo-anonymized and collected prior to 2006 and stored in compliance
6 with the UK Human Tissue Act.
7

8 **AVAILABILITY OF DATA AND MATERIALS**

9 The authors confirm the data that has been used in this work is available on
10 reasonable request.

11 **CONFLICT OF INTEREST**

12 The authors have no conflicts of interest to declare.

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16 **AUTHORS' CONTRIBUTIONS**

17 CJ participated in its conception, design, experimentation, analysis, interpretation, and
18 manuscript drafting. SA conducted the immunohistochemical studies and participated in the
19 analysis and interpretation. MST helped with pathology review and manuscript drafting; MA,
20 FQG, and IA helped in immune-histochemical analysis and interpretation; MA, SK, IA, MAA,
21 SA, NPM, IOE and ARG participated in interpretation and manuscript drafting. EAR conceived
22 and supervised the study, participated in its design, interpretation, and analysis, including
23 drafting. All authors contributed to drafting and reviewing the manuscript and approved the
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- 16

17

18 **FIGURE LEGEND**

19 **Figure 1: Western blot and immunohistochemical expression of RXRG in breast cancer**

20 (A) Western blotting results for RXRG expression in MCF7 and MDA-MB231 breast cancer
21 cell lines using rabbit polyclonal antibody (Abcam, ab15518). Green and red bands represent
22 RXRG and the house-keeping Beta-Actin, respectively. RXRG protein expression in breast
23 cancer tissue microarrays cores. (B) negative/no staining (C) showing low expression and (D)
24 showing high immunoreactivity. Images are at x40 magnification.

25

26 **Figure 2: Kaplan Meier plot for the association of RXRG nuclear expression.** Whole

27 series: A) Breast cancer-specific survival, B) Distant metastasis-free survival. In ER-positive
28 tumours. C) Breast cancer-specific survival, D) Distant metastasis-free survival. Kaplan-Meier
29 analysis of breast cancer-specific survival showing the impact of treatment on RXRG nuclear
30 protein expression in ER-positive cohort; E) in patients who did receive hormone therapy (F)
31 in patients that did not receive hormone therapy (G) in patients who did receive chemotherapy
32 & H) in patients who did not receive chemotherapy with significance determined using the log-
33 rank test.

34

Table 1: Associations between RXRG expression and clinico-pathological features in the whole series, ER-positive and ER-Negative breast cancer series.

Parameters	RXRG expression Whole cohort			RXRG expression ER-Positive cohort			RXRG expression ER-Negative cohort		
	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)
Age at Diagnosis (years)									
<50	167 (51.2)	159 (48.8)	1.473	94 (43.3)	123 (56.7)	1.239	72 (68.6)	33 (31.4)	0.123
≥50	291 (48.7)	306 (51.3)	(0.520)	225 (46.7)	257 (53.3)	(0.682)	66 (57.9)	48 (42.1)	(2.673)
Histological Grade									
1	52 (35.6)	94 (64.4)	<0.00001 (44.423)	49 (35.8)	88 (64.2)	<0.00001 (25.929)	2 (40.0)	3 (60.0)	0.530 (1.271)
2	130 (40.8)	189 (59.2)		122 (39.9)	184 (60.1)		8 (61.5)	5 (38.5)	
3	273 (60.9)	175 (39.1)		145 (58.5)	103 (41.5)		128 (63.6)	71 (35.7)	
Tubules									
1	11 (26.2)	31 (73.8)	0.004 (13.895)	11(27.5)	29 (72.5)	0.172 (6.284)	0 (0.0)	1 (100.0)	0.376 (1.959)
2	140 (46.1)	164 (53.9)		123 (44.2)	155 (55.8)		17 (68.0)	8 (32.0)	
3	289 (53.4)	252 (46.6)		169 (48.0)	183 (52.0)		120 (63.5)	69 (36.5)	
Pleomorphism									
1	5 (23.8)	16 (76.2)	<0.00001 (23.960)	5 (26.3)	14 (73.7)	0.042 (10.294)	0 (0.0)	1 (100.0)	0.406 1.803
2	144 (41.4)	204 (58.6)		136 (40.6)	199 (59.4)		8 (66.7)	4 (33.3)	
3	291 (56.2)	227 (43.8)		162 (51.3)	154 (48.7)		129 (63.9)	73 (36.1)	
Mitosis									
1	111 (36.0)	197 (64.0)	<0.00001 (53.653)	107 (36.0)	190 (64.0)	<0.00001 (22.597)	4 (44.4)	5 (55.6)	0.170 (3.452)
2	77 (43.3)	101 (56.7)		67 (42.4)	91 (57.6)		10 (50.0)	10 (50.0)	
3	252 (62.8)	149 (37.2)		129 (60.0)	86 (40.0)		123 (66.1)	63 (33.9)	
Stage									
I	280 (50.5)	275 (49.5)	1.69 (0.337)	203 (47.6)	221 (52.4)	1.064 (2.200)	80 (60.6)	52 (39.4)	0.522 (1.300)
II	141 (49.1)	146 (50.9)		97 (43.7)	125 (56.3)		43 (68.3)	20 (31.7)	
III	34 (47.2)	38 (52.8)		19 (38.0)	31 (62.0)		15 (68.2)	7 (31.8)	
Tumour size									
< 2.0cm	182 (42.8)	243 (57.2)	0.0005 (15.355)	143 (40.6)	209 (59.4)	0.036 (7.550)	38 (54.3)	32 (45.7)	0.071 (3.609)
≥ 2.0cm	274 (55.8)	217 (44.2)		174 (51.0)	167 (49.0)		100 (67.6)	48 (32.4)	
Histological type									
Ductal	403 (53.3)	353 (46.7)	0.0001 (29.455)	277 (49.5)	283 (50.5)	0.016 (19.281)	125 (64.8)	68 (35.2)	0.071 (10.161)
Lobular	32 (32.3)	67 (67.7)		32 (33.0)	65 (67.0)		0 (0.00)	2 (100.0)	
Medullary-like	12 (57.1)	9 (42.9)		1 (50.0)	1 (50.0)		11 (57.9)	8 (42.1)	
Special type **	8 (22.2)	28 (77.8)		6 (18.8)	26 (81.3)		2 (100.0)	0 (0.0)	
IHC subtypes									
ER+/HER2- Low Proliferation	78 (36.4)	136 (63.6)	<0.00001 (37.474)	78 (36.4)	136 (63.6)	0.009 (14.564)			0.103 (2.849)
ER+/HER2- High Proliferation	147 (50.3)	145 (49.7)		147 (50.3)	145 (49.7)				
Triple Negative	102 (68.0)	48 (32.0)					102 (68.0)	48 (32.0)	
HER2+	71 (57.3)	53 (42.7)					31 (55.4)	25 (44.6)	
Nottingham Prognostic Index									
GPG	105 (39.2)	163 (60.8)	0.0004 (19.294)	101 (39.8)	153 (60.2)	0.040 (6.538)	3 (30.0)	7 (70.0)	0.051 (5.943)
MPG	260 (52.5)	235 (47.5)		165 (48.1)	178 (51.9)		95 (62.9)	56 (37.1)	
PPG	91 (59.5)	62 (40.5)		51 (45.7)	45 (46.9)		40 (70.2)	17 (29.8)	

Significant p values are highlighted in bold; GPG; Good Prognostic Group; MPG: Moderate Prognostic Group; PPG: Poor Prognostic Group

** Special Types of excellent prognosis (invasive tubular, invasive cribriform, invasive mucinous, invasive papillary carcinoma)

Table 2: Associations between RXRG expression and other biomarkers in the whole series, in ER-positive and ER-Negative breast cancer series.

Parameters	RXRG expression Whole cohort			RXRG expression ER-Positive cohort			RXRG expression ER-Negative cohort		
	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)
Oestrogen (ER) status									
Negative	138 (63.0)	81 (37.0)	< 0.0001 (20.142)						
Positive	319 (48.7)	380 (54.4)							
Progesterone (PR) status									
Negative	201 (56.3)	156 (43.7)	0.018 (7.726)	67 (45.0)	82 (55.0)	0.780 (0.137)	134 (65.0)	73.0(35.0)	1.00 (0.543)
Positive	247 (46.8)	281 (53.2)		246 (46.7)	281 (53.3)		1 (100.0)	0 (0.00)	
Human epidermal growth factor receptor 2 (HER2)									
Negative	371 (48.4)	395 (51.6)	0.057 (3.612)	269 (44.2)	339 (55.8)	0.102 (5.750)	102 (66.0)	53 (34.0)	0.016 (1.928)
Positive	72 (57.6)	53 (42.4)		41 (59.4)	28 (40.6)		31 (55.0)	25 (45.0)	
Forkhead box protein A1 (FOXA1)									
Negative	235 (65.1)	126 (34.9)	<0.00001 (33.053)	133 (61.0)	85 (39.0)	<0.0001 (19.026)	102 (71.0)	41 (29.0)	0.194 (2.220)
Positive	103 (41.5)	145 (58.5)		92 (40.4)	136 (59.6)		11 (55.0)	9 (45.0)	
GATA binding protein 3 (GATA3)									
Negative	266 (62.3)	161 (37.7)	<0.00001 (36.024)	169 (58.9)	118 (41.1)	0.0001 (23.251)	97(69.3)	43(30.7)	0.312 (2.220)
Positive	43 (32.6)	89 (67.4)		43 (3.3)	86 (66.7)		0 (0.00)	1(100)	
Brain-expressed X-linked protein 1(BEX1)									
Negative	149 (70.0)	64 (30.0)	<0.00001 (31.812)	99 (67.3)	48 (32.7)	<0.00001 (24.131)	50 (77.0)	15 (23.0)	0.032 (5.610)
Positive	184 (46.1)	215 (53.9)		133 (42.8)	178 (57.2)		51 (59.0)	36 (41.0)	
Cluster of Differentiation 71 (CD71)									
Negative	139 (50.2)	138 (49.8)	0.049 (4.891)	115 (47.1)	129 (52.9)	0.496 (2.396)	25 (71.0)	10 (29.0)	0.838 (0.114)
Positive	218 (58.9)	152 (41.1)		130 (54.2)	110 (45.8)		89 (69.0)	41 (32.0)	
Ki67									
Negative	120 (41.0)	173 (59.0)	0.0004 (15.903)	104 (39.4)	160 (60.6)	0.014 (9.660)	15 (56.0)	12 (44.0)	0.678 (0.590)
Positive	240 (56.1)	188 (43.9)		150 (25.6)	135 (47.4)		90 (63.0)	52 (37.0)	
Cytokeartin5/6 (CK5/6)									
Negative	298 (49.1)	309 (50.9)	0.020 (7.883)	242 (46.7)	276 (53.3)	1.63 (0.157)	56 (63.0)	32 (37.0)	0.623 (0.402)
Positive	70 (63.6)	40 (36.4)		8 (42.1)	11 (57.9)		62 (68.0)	29 (32.0)	
Phosphatidylinositol-4,5-bisphosphate 3-kinase, catalytic subunit alpha (PIK3CA)									
Negative	71 (40.1)	106 (59.9)	0.0004 (15.545)	60 (38.7)	95 (61.3)	0.012 (10.045)	11 (55.0)	9 (45.0)	0.458 (0.832)
Positive	307 (57.2)	230 (42.8)		205 (53.8)	176 (46.2)		102 (65.0)	54 (35.0)	
N cadherin									
Negative	66 (34.2)	127 (65.8)	<0.00001 (32.387)	53 (32.3)	111 (67.7)	<0.00001 (20.774)	13 (46.0)	15 (54.0)	0.034 (6.434)
Positive	286 (58.4)	204 (41.6)		194 (53.7)	167 (46.3)		92 (71.0)	37 (29.0)	
Signal transducer and activator of transcription 3 (STAT3)									
Negative	283 (59.7)	191 (40.3)	<0.00001 (35.589)	197 (57.3)	147 (42.7)	<0.00001 (28.678)	86 (66.0)	44 (34.0)	0.210 (1.734)
Positive	61 (34.3)	117 (65.7)		45 (30.8)	101 (69.2)		16 (53.0)	14 (47.0)	
Mediator of RNA polymerase II transcription subunit 7 (MED7)									
Negative	275 (67.7)	131 (32.3)	<0.00001 (105.75)	117 (63.4)	102 (36.6)	<0.00001 (68.053)	97 (79.0)	26 (21.0)	<0.00001 (32.610)
Positive	105 (30.2)	243 (69.8)		81 (28.7)	201 (71.3)		24 (37.0)	41 (63.0)	

Table 3: Associations between RXRG expression and other nuclear receptors in the whole series, ER-positive and ER-Negative breast cancer series.

Parameters	Whole cohort			ER-Positive cohort			ER-Negative cohort		
	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)	Negative/ Low Expression N (%)	High Expression N (%)	P value (χ^2)	Negative/ Low Expression N (%)	High expression N (%)	P value (χ^2)
Androgen receptor (AR)									
Negative	253 (70.7)	105 (29.3)	<0.0001	156 (70.0)	69 (30.0)	<0.0001	97 (74.0)	34 (26.0)	0.0003
Positive	103 (31.4)	225 (68.6)	(105.72)	88 (30.3)	202 (69.7)	(77.25)	14 (39.0)	22 (61.0)	(15.66)
Glucocorticoid receptor (GR)									
Negative	184 (71.0)	75 (29.0)	<0.0001	108 (66.0)	57 (34.0)	<0.0001	76 (82.0)	17 (18.0)	0.00001
Positive	129 (37.4)	216 (62.6)	(67.10)	100 (36.0)	180 (64.0)	(36.88)	28 (45.0)	34 (55.0)	(22.52)
Liver receptor homolog-1(LRH1)									
Negative	220 (65.5)	116 (34.5)	<0.0001	142 (63.0)	85 (37.0)	<0.0001	77 (73.0)	29 (27.0)	0.039
Positive	135 (39.5)	207 (60.5)	(45.94)	103 (36.0)	180 (64.0)	(34.53)	32 (55.2)	26 (44.8)	(5.13)
Peroxisome proliferator-activated receptor beta (PPARβ)									
Negative	227 (67.0)	112 (33.0)	<0.00001	142 (64.0)	80 (36.0)	<0.0001	85 (74.0)	30 (26.0)	0.004
Positive	94 (35.3)	172 (64.7)	(59.84)	78 (34.0)	152 (66.0)	(40.83)	15 (44.0)	19 (56.0)	(10.556)
Peroxisome proliferator-activated receptor gamma (PPARγ)									
Negative	267 (69.0)	120 (31.0)	<0.00001	175 (67.0)	86 (33.0)	<0.0001	92 (74.0)	33 (26.0)	0.00001
Positive	51 (25.0)	157 (75.0)	(107.54)	437(25.0)	141 (75.0)	(77.30)	3 (15.8)	16 (84.2)	(24.55)
Retinoid A Receptor Alpha (RARα)									
Negative	238 (68.0)	114 (32.0)	<0.00001	193 (50.0)	194 (50.0)	<0.00001	85 (80.0)	21 (20.0)	<0.00001
Positive	117 (35.0)	216 (65.0)	(72.29)	52 (37.0)	88 (63.0)	(24.13)	26 (44.0)	33 (56.0)	(22.46)
Retinoic acid-related Orphan Receptor gamma (RORγ)									
Negative	294 (55.0)	244 (45.0)	0.002	115 (47.1)	129 (52.9)	0.033	100 (68.0)	47 (32.0)	P=0.22
Positive	60 (38.0)	98 (62.0)	(13.58)	130 (54.2)	110 (45.8)	(6.69)	8 (47.1)	9 (52.9)	(2.979)
Vitamin D Receptor (VDR)									
Negative	216 (59.0)	153 (41.0)	0.004	133 (52.0)	121 (48.0)	0.090	82 (72.6)	31 (27.4)	0.014
Positive	145 (45.0)	178 (55.0)	(12.85)	119 (45.0)	148 (55.0)	(3.16)	26 (47.3)	29 (52.7)	(10.309)
Photoreceptor cell-specific nuclear receptor (PNR)									
Negative	206 (56.0)	161 (44.0)	0.030	148 (52.0)	138 (48.0)	0.042	57 (73.0)	21 (27.0)	0.22
Positive	162 (48.0)	178 (52.0)	(5.09)	103 (43.0)	141 (57.0)	(4.80)	59 (62.0)	36 (38.0)	(2.334)

Table 4: Univariate and multivariate analysis of RXRG expression compared with tumour stage, grade, size, Ki67 and ER-status for breast cancer-specific survival and distant metastasis-free survival

Variable	Breast cancer-specific survival						Distant metastasis-free interval					
	Univariate			Multivariate			Univariate			Multivariate		
	HR	95%CI	p value	HR	95%CI	p value	HR	95%CI	p value	HR	95%CI	p value
Whole cohort												
Stage	2.1	1.9-2.4	<0.0001	2.2	1.7- 2.8	<0.0001	2.3	2.1-2.5	<0.0001	2.0	1.6-2.4	<0.0001
Grade	2.3	2.0-2.6	<0.0001	1.7	1.3- 2.5	<0.0001	1.7	1.6-2.0	<0.0001	1.3	1.1-1.6	0.039
Tumour size	2.1	1.8-2.5	<0.0001	1.6	1.1-2.2	0.006	1.9	1.6- 2.2	<0.0001	1.4	1.1-1.9	0.005
ER*	0.9	0.9-1.1	<0.0001	1.1	0.9-1.2	0.558	0.9	0.8-1.1	<0.0001	1.6	1.1-2.3	0.026
Ki67	2.6	2.1-3.1	<0.0001	1.5	1.1-2.3	0.027	2.1	1.7-2.5	<0.0001	1.6	1.2-2.2	0.004
RXRG	0.6	0.4-0.7	<0.0001	0.6	0.4-0.8	0.040	0.8	0.6-0.9	0.003	0.7	0.6-0.9	0.025
ER+ cohort												
Stage	2.0	1.8-2.4	<0.0001	2.1	1.6-2.7	<0.0001	2.2	1.9-2.4	<0.0001	2.0	1.6-2.4	<0.0001
Grade	2.4	2.1-2.8	<0.0001	1.6	1.2-2.3	0.004	1.9	1.6-2.1	<0.0001	1.3	0.9-1.7	0.084
Tumour size	2.3	1.9-2.9	<0.0001	1.6	1.1-2.4	0.025	2.2	1.8-2.6	<0.0001	1.5	1.1-2.1	0.024
ER*	0.9	0.9-1.0	0.101	0.9	0.8-1.1	0.428	1.0	0.9-1.2	0.002	0.9	0.8-1.1	0.456
Ki67	2.9	2.3-3.7	<0.0001	1.8	1.2-2.9	0.005	2.4	1.9-3.0	<0.0001	1.8	1.2-2.6	0.002
RXRG	0.5	0.4-0.7	<0.0001	0.5	0.4-0.7	0.004	0.7	0.5-0.9	0.002	0.7	0.5-0.9	0.036



