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## What is the peri-urban area, and how can it effectively be delineated? A synthesis and analysis from a literature review --Manuscript Draft--

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<b>Abstract:</b>	<p>Peri-urban areas are neither urban nor rural in the conventional sense; and their conditions and issues are different from urban and rural areas, which mix both with complexities. Nowadays, there is no uniform definition for these areas, nor an unequivocal method for delineating them. It is therefore essential to define the peri-urban areas, in order to develop appropriate policies to manage and address these complicated issues. Hence, this paper reviews and evaluates the literature and applications which encompass fundamental theories to answer the critical questions. The article finds that the peri-urban area is a transition zone between urban and rural elements and mainly has transitional, "vacuum" or complex characteristics. It confirms that quantitative delineation has become the primary means for defining the ranges of peri-urban areas. The research suggests that the data and appropriate analytical methods of quantitative delineation should be selected, to refer to the local characteristics of peri-urban areas. Furthermore, the ranges of peri-urban areas can be delineated based on data collection and integrated analysis from the dimensions of social order, economic development, environmental resource and administrative governance. Appropriate methods help improve the accuracy of defining these peri-urban areas, helping to supplement development strategies and decision-making processes.</p>
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The journal requires that all submissions fall within its aims and scope, explained <a href="#">here</a> . Please explain how your submission fits the journal's aims and scope.	The paper provides the definition and scientific delineations method for peri-urban areas. Clearly defining peri-urban areas is conducive to delineating their scope. Scientific delineations are beneficial to forming strategies targeting the areas accurately to solve the problems of the areas and achieve urban smart growth and urban-rural coordination. Hence, the paper fits the land use planning aim of the journal.
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# 1 **What is the peri-urban area, and how can it effectively be delineated? A** 2 **synthesis and analysis from a literature review**

3 Lanxin Li<sup>a</sup> \*, Amy Tang<sup>b</sup> and Nicole Porter<sup>c</sup>

4 Abstract:

5 Peri-urban areas are neither urban nor rural in the conventional sense; and their  
6 conditions and issues are different from urban and rural areas, which mix both with  
7 complexities. Nowadays, there is no uniform definition for these areas, nor an  
8 unequivocal method for delineating them. It is therefore essential to define the peri-urban  
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12 the peri-urban area is a transition zone between urban and rural elements and mainly has  
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14 delineation has become the primary means for defining the ranges of peri-urban areas.  
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18 and integrated analysis from the dimensions of social order, economic development,  
19 environmental resource and administrative governance. Appropriate methods help  
20 improve the accuracy of defining these peri-urban areas, helping to supplement  
21 development strategies and decision-making processes.

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22 Keywords: peri-urban area, characteristics, quantitative method, delineation dimension

## 23 **1. Introduction**

24 Urban expansion has profoundly affected peri-urban areas. The intersection of urban  
25 and rural areas is where the initial expansion occurs, in which urban and rural forces strongly  
26 interact (Thomas, 1990). Globally, urban expansion occurs at a high rate and on a large scale.  
27 According to the United Nations (2018), the percentage of the population living in urban areas  
28 of the world increased from 29.6% to 56.2% between 1950 and 2020; the number will increase  
29 to 68.4% in 2050. Hence, peri-urban areas, as the most extreme areas where urban and rural  
30 elements are intertwined, have become more noteworthy.

31 Peri-urban areas reveal prominent negative and positive influences between villages  
32 and cities. With the continuous urban expansion referred to above, the population, industry,  
33 commerce and residential areas sprawl into the surrounding areas, which tends to squeeze the  
34 environments in peri-urban areas bringing with it considerable pollution, such as sewage,  
35 garbage and waste (Howard, 1919; Thomas, 1990; Zhong and Yang, 2004; Li and Zhang, 2008;  
36 Wang, 2008; Gu and Zhang, 2009; Tan et al., 2010; Zhang, 2011). It may cause a reduction in  
37 agricultural production and public space (Huang et al., 2006; Honda et al., 2010; Randhawa  
38 and Marshall, 2014; Buxton et al., 2016; Singh et al., 2016; D'Alessandro et al., 2018; Fan and  
39 Wang, 2021; Kassis et al., 2021; Spyra et al., 2021; Torre and Fonseca, 2023); deterioration of  
40 the ecological environment (Randhawa and Marshall, 2014; Singh et al., 2016; Walters et al.,  
41 2016; Amirinejad et al., 2018; Kalfas et al., 2020; Fan and Wang, 2021; Yang, 2021; Aziz et  
42 al., 2023); and destruction of landscape and cultural heritage in peri-urban areas (Darwin et al.,  
43 2019; Fan and Wang, 2021; Li and Chen, 2021; Yang, 2021).

44 As a result of the complication of demographic composition in peri-urban areas, social  
45 conflicts may readily arise (Thomas, 1990; Errington, 1994). It often tends to be mixed,

46 including urban and rural residents, and even floating populations (Ren et al., 2009; Hudalah  
47 et al., 2016; Petrovici et al., 2023). The economic income and cultural backgrounds among  
48 different groups may lead to economic segregation (Ren et al., 2009; Mortoja et al., 2020; Melo  
49 and Jenkins, 2021; Gottero et al., 2023); cultural shock (Dadashpoor and Ahani, 2019); social  
50 isolation (Pan, 2012; He, 2014); and threatening social stability (Randhawa and Marshall,  
51 2014; Cheng et al., 2018; Wubie et al., 2020; Narain, 2021).

52         When the urban sprawl of peri-urban areas is ahead of planning, and peri-urban areas  
53 often are the "vacuum zones" of urban and rural management (Amirinejad et al., 2018; Yang,  
54 2021; Shao and Zhang, 2022), it results in the development of peri-urban areas lacking  
55 supervision or any management mechanism. Therefore, peri-urban areas are constantly subject  
56 to a great deal of non-conforming development (Ren et al., 2009; Ingwani, 2019; Nguyen,  
57 2023), often triggering an uncoordinated relationship between supply and demand of  
58 infrastructure (Allen et al., 2006; Amirinejad et al., 2018; Yang, 2021); scattered residential,  
59 commercial, and workspace development (Amirinejad et al., 2018); random industrial  
60 structures (He et al., 2014; Yang, 2021); and inefficient land use and substandard activities in  
61 peri-urban areas (Park, 1915; Thomas, 1990; Zhong and Yang, 2004; Zhuang et al., 2016; Tian  
62 et al., 2017; Amirinejad et al., 2018; Li and Chen, 2021). The three key negative impacts have  
63 shown that urban development potentially threatens the ecosystem, landscape, cultural  
64 heritage, agricultural output, industrial structure, infrastructure supply and social stability.

65         While there are these negative impacts, peri-urban areas act as liaisons between urban  
66 and rural areas. They bring together the benefits from urban and rural areas and play their role  
67 in coordinating the urban-rural relationship. Economic resources and high living standards  
68 have been introduced into rural and peri-urban areas from urban areas through urbanisation,  
69 increasing the economic development opportunities and living quality in peri-urban areas  
70 themselves (Fan and Wang, 2021). Conversely, rural and peri-urban areas provide resources

71 and environment for the ecology and culture of the city and as a destination for urban residents  
72 to experience the vernacular culture and enjoy nature during their leisure time (Snep et al.,  
73 2006; Fan and Wang, 2021). Therefore, managing peri-urban areas is essential to easing the  
74 conflicts between urban-rural elements and to adjusting the urban-rural relationship (Huang  
75 and Tian, 2021).

76 For understanding and managing change in peri-urban areas, the first question is: "What  
77 is the peri-urban area, and how can it be delineated?" A clear concept of and scope for peri-  
78 urban areas are fundamental to forming management strategies (Randhawa and Marshall, 2014;  
79 Amirinejad et al., 2018). Successful management of peri-urban areas has great significance. It  
80 can optimise industrial structures (He et al., 2014); infrastructure supply (Mortoja et al., 2020;  
81 Yang, 2021); and land-use patterns. It can protect the ecological environment (Randhawa and  
82 Marshall, 2014; Kalfas et al., 2020; Ouyang, 2023); landscape (Fan and Wang, 2021); cultural  
83 heritage (Fan and Wang, 2021; Li and Chen, 2021; Yang, 2021); and agricultural production  
84 (Buxton et al., 2016; Mortoja et al., 2020; Fan and Wang, 2021). It also can help coordinate  
85 people's cultural and economic social relations (Randhawa and Marshall, 2014; Ouyang, 2023)  
86 and narrow the economic and living gaps between urban and rural areas. Further, it will  
87 promote the achievement of long-term coordination of urban-rural relationships and  
88 sustainable development (Yan and Zhou, 2005; Randhawa and Marshall, 2014; Jin et al., 2018;  
89 Mortoja et al., 2020; Ge and Lu, 2021; Mortoja and Yigitcanlar, 2022; Li, 2022; Ouyang,  
90 2023).

91 However, no unified definition or delineation method exists in the current literature  
92 (Gonçalves et al., 2017a). This study explores the possibility of unifying the definition and  
93 aims to discover the appropriate delineation methods for peri-urban areas. It examines the  
94 literature developments synthesised with the concept and characteristics of peri-urban areas. It  
95 reviews the issues of modernisation and urbanisation and evaluates the conflicts in this massive

96 development process. It discusses suitable delineation methods and factors in delineating the  
97 scope of peri-urban areas. Finally, it suggests improving existing delineating factors for a more  
98 precise assessment.

## 99 **2. Concept and Characteristics of the Peri-urban Area**

100 The concept and characteristics of the peri-urban area have been continuously enriched  
101 by considerable research, but as yet there has been no uniformity in academic circles. This  
102 section reviews the primary literature on the topic, leading to a summary of the definitions of  
103 peri-urban areas.

### 104 ***2.1. Concept of the Peri-urban Area***

105 Early scholars in the field defined peri-urban areas as land-use transition areas between  
106 urban and agricultural land (Louis, 1936; Wehrwein, 1942), in which the combination of urban  
107 and rural elements in land use, production methods, culture and interpersonal relationships  
108 (Robin, 1968; Fesenmaier et al., 1979; George, 1994; Countryside Agency and Groundwork,  
109 2005) and urban and rural elements gradually shift, interact and penetrate each other (Gu et al.,  
110 1993; Chen, 1995). As a result of this interaction (Conzen, 1960; Golledge, 1960; Glaeser and  
111 Kahn, 2004; de las Rivas Sanz and Fernández-Maroto, 2019), the boundaries of the areas are  
112 often fluctuating, or "fuzzy" (Jones, 1988; Amirinejad et al., 2018), and are not necessarily  
113 coterminous with political boundaries (Whitehand, 1967). Moreover, urban expansion contains  
114 a certain degree of randomness, so peri-urban areas are often discontinuous land-use areas, in  
115 which commerce, industries, urban service facilities and farm areas are discontinuous  
116 (Wissink, 1962). Furthermore, Qviström (2007) proposed that peri-urban areas should be  
117 depicted as a phase rather than a place; rural activities are interpreted as about to disappear in  
118 a few years' time, whereas urban activities are simply understood as forerunners of the city.

119        **2.2. Characteristics of the Peri-urban Area**

120            There are numerous research studies on the characteristics of peri-urban areas. The  
121 majority of researchers state that the urban-rural transition is their primary characteristic (Gu  
122 et al., 1993; Mark, 2000; Qviström, 2007; Tian, 2015; de las Rivas Sanz and Fernández-  
123 Maroto, 2019). These studies show that the transition is generally one of three types, defined  
124 by the number of urban and rural elements and the degree of transition characteristics (see  
125 Figure 1).

126            In the first type, the number of elements in peri-urban areas is medium and shows a  
127 smooth transition between urban and rural features. For example, the population density is  
128 often medium from urban to rural areas (Golledge, 1960; Desai and Gupta, 1987). The second  
129 type is where there are fewer urban and rural elements, thus forming areas with "vacuum"  
130 characteristics (Jacobs, 1962). In such circumstances, there is often a sparsity and  
131 deconcentration in people, facilities, retail activities and intensive agricultural production  
132 (Golledge, 1960; Friedrichs and Rohr, 1975), and an imperfection of public services and public  
133 facilities (Golledge, 1960). The third type is where those urban and rural elements are high and  
134 interacting extremely. This interaction tends to bring a mix of urban-rural economic  
135 development, which often leads to mixed and complex land use (Li, 2005; Yong et al., 2011).  
136 Moreover, population mobility is often high due to urban-rural interaction (Yan and Zhou,  
137 2005; Xing, 2006), which also leads to mixed cultures, income levels, activities and so on  
138 (Zhang and Xu, 1999). Hence, these areas often display complex and mixed characteristics.

139        **2.3. Overview of the Concept and Characteristics of Peri-urban Area**

140            Although the current interpretations of the concept of peri-urban areas are limited to  
141 partial characteristics of these areas, these interpretations are often one-sided and incomplete.  
142 Its concepts and characteristics have been thoroughly studied in academic circles, resulting in  
143 a relatively unified definition: *Peri-urban areas are a phase, rather than a place where urban*

144 *elements gradually transit into rural elements. This formation often results from urban-rural*  
145 *interaction and has a discontinuous, fluctuating and dynamic evolution. The boundaries of the*  
146 *areas are often blurred and may not be coterminous with political boundaries. Peri-urban areas*  
147 *are considered those areas with high transitional, vacuum or complex characteristics, including*  
148 *but not limited to their economy, population, culture, activities and land use. Hence, the concept*  
149 *and characteristics of peri-urban areas influence the method of delineating them.*

### 150 **3. Methods of Delineation of the Range of the Peri-urban Area**

151 The fluctuating development and blurred boundaries of peri-urban areas determine that  
152 methods of delineating their range become difficult and crucial. The two main methods  
153 currently applied in this research field are therefore both qualitative and quantitative. This  
154 section reviews the key literature and evaluates the delineating methods of peri-urban areas to  
155 establish their advantages and disadvantages. It also summarises the appropriate conditions for  
156 applying such methods in practice.

#### 157 **3.1. Qualitative Delineation Methods**

158 Early attempts at delineation found in the literature relied mainly on qualitative methods  
159 based on practical experience and intuitive judgment to describe peri-urban areas' ranges  
160 fuzzily. For example, the first researchers qualitatively delineated peri-urban areas' range by  
161 depicting the areas as the zone where the city's edge expands outward (Louis, 1936; Conzen,  
162 1960; Carter and Wheatley, 1979). Carter and Wheatley (1979) considered that peri-urban  
163 areas are the administrative boundary of a city, where urban sprawl maintains a static nature.  
164 Gu et al. (1993) described peri-urban areas as mixed areas, with both urban and rural land use.  
165 In this early literature, only Pryor (1968) made an attempt to quantify their size, stating that  
166 peri-urban areas are the areas where the percentage of urban and rural land use is equal.

### 167 **3.2. Quantitative Delineation Methods**

168 With technological development from the 1970s onwards, scholars have used fewer  
169 and fewer qualitative delineation methods and have gradually been able to move to quantitative  
170 methods. These methods include using statistical indices and satellite imagery to collect data.  
171 Delineation by statistical index, essentially inserting a series of indices obtained by statistical  
172 methods into a map, often generated by Geographic Information System (GIS) data, emerged  
173 as a significant method of defining the range of peri-urban areas. These indices are mainly  
174 related to population transition (Russwurm, 1975; Bryant and Russwurm, 1982; Gu et al., 1993;  
175 Zhao and Chen, 1996; Lincaru and Atanasiu, 2014; Merciu et al., 2019a); the economy (Zhao  
176 and Chen, 1996; Liu, 2006; Lin et al., 2007; Merciu et al., 2019); public services (Merciu et al.,  
177 2019; Yan et al., 2021); and amalgamated sprawl (Kew and Lee, 2013). Compared with  
178 qualitative methods, using statistical indices is more comprehensive, objective and accurate.  
179 However, it is more challenging to collect reliable data. With the development of Remote  
180 Sensing (RS) and other supplementary technologies, scholars have begun to use satellite  
181 imagery to collect image data effectively for delineating areas; the image data can then be input  
182 into GIS for analysis, to determine the range of peri-urban areas.

183 The essential methods for data analysis of statistical indices and satellite imagery  
184 include the Threshold Method (Sutton et al., 2006; Sutton et al., 2010; Mustak et al., 2018; Yan  
185 et al., 2021); the Fuzzy Set Method (Heikkila et al., 2003; Mustak et al., 2018; Mortoja and  
186 Yigitcanlar, 2022); the Breaking Point Method (MacGregor-Fors, 2010; Zhang et al., 2010;  
187 Paul, 2017); the Cluster Analysis Method (Gonçalves et al., 2017b); and the Shannon Entropy  
188 Method (Cheng and Zhao, 1995; Chen et al., 2001; Qian et al., 2007; Wang et al., 2010b; Chai,  
189 2011; Li et al., 2012; Bian and Wang, 2015).

190 The principles applied in each method are different. The Threshold Method, as its name  
191 implies, uses a threshold of factors to delineate the ranges of peri-urban areas, such as the



192 number of public services and the brightness of nighttime light. Shannon (1948) proposed the  
193 Shannon Entropy Method to obtain the degree of information disorder: the more chaotic the  
194 system content, the higher the information entropy values; the more stable the system content,  
195 the lower the entropy value. Shannon's method delineates the ranges of peri-urban areas based  
196 on setting a threshold entropy value of the disorder degree of landscapes. Converse (1949)  
197 proposed the Breaking Point Method to explore the most striking breaking point of factors. The  
198 delineation principle here is to determine influential radiation of the urban centre on the  
199 surrounding area to identify significant changes in radiation (mutation points); these changing  
200 points can then outline circles. The area between these two circles is the delineated range of  
201 peri-urban areas. Zadeh (1965) created the Fuzzy Set Theory to explain fuzzy phenomena in  
202 the objective world. The attributes of an object are determined by assigning a certain degree of  
203 membership. Using the Fuzzy Set Method to delineate the range of peri-urban areas is derived  
204 by judging the degree of subordination of factors to the city and the village. It then uses a  
205 threshold of subordination to determine the ranges. The Cluster Analysis Method is used to  
206 perform a clustering and dimensionality reduction analysis of parishes based on various factors;  
207 then, certain clustered regions with peri-urban area characteristics are delineated as peri-urban  
208 areas.

209 In the 21st century, many scholars have carried out dynamic delineation by comparing  
210 maps of the same area at different times with the quantitative method (Heikkila et al., 2003;  
211 Bian and Wang, 2015; Qiao et al., 2017). The advantage of quantitative methods is that they  
212 make it possible to uncover the evolution of peri-urban areas, which can then become an aid in  
213 managing the decision-making process in urban and rural development.

214 In general, in contemporary times, quantitative delineation methods have gradually  
215 taken over as the primary tool for delineating the range of peri-urban areas. They break the  
216 constraints of administrative boundaries in a dynamic way, which helps achieve results that are

217 closer to reality. Further, the dynamic outlining of the range of peri-urban areas can reflect the  
218 dynamic delineation at different times. Significantly, when delineating peri-urban areas of  
219 multiple regions, specific analytical methods (threshold, Shannon Entropy, Breaking Point,  
220 Fuzzy Set and Cluster Analysis) need to be selected according to local characteristics.

### 221 ***3.3. Comparison Study of Quantitative Analytical Delineation Methods***

222 Quantitative delineation methods are the most frequently applied in practice, because  
223 of their accuracy and suitability for visualisation. There is no one analytical method suitable  
224 across the board to meet every challenge (Mortoja et al., 2020). In order to find appropriate  
225 analytical methods adapted to comprehensive coverage of all conditions, it is crucial to  
226 understand the differences between the various methods, which can be compared through the  
227 results of their delineation, prevailing factors, analytical principles and suitable areas (see Table  
228 1).

229 The prevailing factors used in the Threshold Method, the Fuzzy Set Method, the  
230 Breaking Point Method and the Cluster Analysis Method demonstrate both statistical and  
231 image aspects. The Shannon Entropy Method uses various land function factors to deal with  
232 graphical data, rather than statistics. All the influential factors used in these methods vary  
233 widely, which increases the representativeness of the results of their delineation.

234 The delineated results (see Figure 2) from the Threshold Method, the Shannon Entropy  
235 Method, the Fuzzy Set Method and the Cluster Analysis Method show more accurately the  
236 discontinuous characteristics of peri-urban areas in reality. As a result, the ranges of peri-urban  
237 areas obtained by these methods present a common characteristic: they are a discontinuous  
238 closed loop with changes in width, which confirms the features of peri-urban areas.

239 The results of delineation with the Breaking Point Method tend to display as a closed  
240 loop with various widths. It cannot, however, reflect the discontinuous characteristics of the  
241 areas under study. Nevertheless, it demonstrates the evolution of these areas through the

242 changes in their widths, thus reflecting the situation on the ground relatively more accurately.  
243 The Breaking Point Method also has the advantage of not relying on thresholds, which reduces  
244 the subjectivity of the delineated results.

245 Hence, all these methods share their suitability for obtaining more accurate ranges of  
246 peri-urban areas. However, how the specific method should be applied under various  
247 conditions needs to be further analysed in detail.

248 The transition threshold and subordination can reflect the transitional character of peri-  
249 urban areas. The Threshold Method and the Fuzzy Set Method are especially suitable for  
250 delineating peri-urban areas with high transitional characteristics. These two refer to the  
251 transition threshold of the number of factors from city to rural and the subordination degree of  
252 factors in the cities or villages to delineate the peri-urban area. The Breaking Point Method and  
253 the Cluster Analysis Method are more suitable for delineating the peri-urban areas with vacuum  
254 characteristics, where elements tend to show distinctly distinguished from urban and rural areas.  
255 With no statistical factors in the Shannon Entropy Method, because of the consideration of  
256 disordered and mixed threshold of land factors, it has an unparalleled advantage for delineating  
257 peri-urban areas with complex characteristics.

258 Significantly, the peri-urban areas of a city may contain all three characteristics in  
259 different regions (high transition, vacuum or complex). Hence, when high accuracy is required  
260 in delineating results, these methods can be separately used in different regions of the city to  
261 obtain a more comprehensive picture overall of peri-urban ranges. In short, the analytical  
262 method to be adopted needs to be determined according to the specific characteristics of peri-  
263 urban areas. If an unsuitable analytical method is used, the delineated scope may seriously  
264 deviate from their scope in reality.

#### 265 **4. Factors in Delineating Peri-urban Areas' Ranges**

266 Whether using qualitative or quantitative analytical methods, researchers should  
267 purposely select specific analytical dimensions or factors and consider the potential social,  
268 environmental, economic and administrative variables which may affect the ranges. As  
269 discussed above, scholars have adopted several methods to delineate peri-urban areas.  
270 However, they have chosen a range of differing input factors (specific data) when applying  
271 these methods, resulting in varying conclusions. This section reviews these delineating factors,  
272 summarises the dimensions of delineation, and analyses the strengths and limitations of the  
273 various factors in play.

274 The current delineation factors can be classified into social order, economic  
275 development, environmental resource and administrative management, resulting in four  
276 dimensions of delineation results. Significantly, there is no overlap between these dimensions  
277 (see Table 2).

278 Social order factors represent different perspectives on ethnicity, religion, occupation,  
279 status, income, lifestyle and relationships in urban centres, peri-urban areas and rural areas  
280 (Chen, 1995; Yan and Zhou, 2005; Li, 2005; Yong et al., 2011). The current social order factors  
281 involve the size of the peri-urban area's population, but neglect social relationship factors,  
282 which are an essential part of social order. Rural residents tend to settle steadily in an area with  
283 familiar neighbours. Hence, the primary relationship is the direct interaction between people  
284 cooperating daily (Park, 1915). In cities, the population structure is often unstable, because of  
285 large-scale communities and frequently changing neighbours. Instead of the primary  
286 relationship, urban areas mainly show the secondary indirect relationship (Park, 1915). Peri-  
287 urban areas, as the transition zone, present both primary and secondary relationships (Park,

288 1915). Hence, social relationship factors should be included and adopted to delineate peri-  
289 urban areas from the perspective of social order.

290 Economic development factors reflect the land-value differentiation between urban and  
291 rural areas. The closer to the city centre, the higher the price of housing and rent. Further out  
292 of the city, there is an inevitable change in land-use types, and economic activities differ from  
293 the urban centres, through the peri-urban areas, to the rural areas (Park, 1915; Jiang et al., 2003;  
294 Li, 2005; Yong et al., 2011; Yan and Zhou, 2005). Various industrial and commercial factors  
295 contribute to the delineation of peri-urban areas by measuring activity levels. It is worth  
296 considering whether economic development factors should include land values, which  
297 contribute to economic distribution.

298 Environmental resources factors relate to physical settings, landscaping, and promoting  
299 and restricting the development of peri-urban areas. Environmental resources are the  
300 underpinning of developing a civilisation, containing the necessary resources for human  
301 development, and directly reflecting the needs of a peri-urban area's development. Existing  
302 research has focused on many artificial environmental factors (public facilities, traffic  
303 conditions) and eco-environmental factors (eco-land, ecosystem) to delineate the areas. These  
304 factors reflect the natural environmental resources for peri-urban development and the degree  
305 of the built environment of these areas. However, the delineation should also take account of  
306 topography, landforms and environmental pollution as relevant factors within the  
307 environmental resource dimension. Topography and landforms impact the shaping process of  
308 peri-urban development; environmental pollution also shows a transitional trend from cities to  
309 the countryside and particularly in changes in the peri-urban areas (Zhang and Xu, 1999).  
310 Adopting relevant factors such as these can contribute to establishing an integrated delineation  
311 method for considering environmental resources.

312 Administrative management factors are associated with regional legal content, resulting  
313 in binding boundaries of cities. Administrative decisions restrict the division of urban, peri-  
314 urban and rural areas. Some researchers have used administrative governance boundaries to  
315 delineate peri-urban areas, but these applications lack scientific evidence. At the same time,  
316 governance model factors have not tended to be considered in the administrative dimension.  
317 The administrative management and governing structure change from city to village with  
318 various social elements. The governance model mainly adopted by cities depends on the  
319 coexistence of law governance and public opinion (Park, 1915) while, on the other hand, the  
320 governance model of villages is based mainly on law and etiquette (Park, 1915; Fei, 1992).  
321 Etiquette governance refers to the maintenance of a stable social order by disciplining the  
322 behaviour norm among primary groups (Fei, 1992). As a transitional zone between urban and  
323 rural areas, the peri-urban area has a governance model composed of law, etiquette and public  
324 opinion, which can act as administrative management factors when considering their  
325 delineation.

326 When selecting factors for delineating peri-urban areas, there is a need for accuracy that  
327 can facilitate the formation of scientific strategies for developing and managing the areas  
328 (Gross et al., 2014). Multiple social dimension factors can be introduced to delineate the areas  
329 where there are conflicts between social groupings. By changing these social dimension factors,  
330 suitable management strategies can be proposed to deal with such conflicts. Economic  
331 dimension factors can be used to formulate strategies for managing issues related to the  
332 widened economic gaps and uncoordinated industrial structures often found in peri-urban areas.  
333 Environmental dimensions can be adopted to delineate the area where the problems caused by  
334 environmental destruction and lack of facilities. Environmental protection and infrastructure  
335 supply decisions are up for discussion. Administrative management criteria can be selected to  
336 delineate the areas that lack sufficient governance in peri-urban areas. Governance strategies

337 can be formed in terms of the local governance model. With such applications, situating  
338 scientific development and management strategies of peri-urban areas can be formulated  
339 specifically for peri-urban areas. Selecting appropriate factors to delineate the areas from  
340 multiple dimensions, while taking into account the complexities of local situations, can lay a  
341 relatively accurate foundation to support developing and managing such peri-urban areas with  
342 complicated issues.

## 343 **5. Conclusion**

344 This paper aims to define the concept of the peri-urban area and determine the most  
345 suitable method for its delineation by reviewing the relevant literature and evaluating the  
346 popular application methods. Clearer delineating ranges are conducive to defining peri-urban  
347 areas more accurately. Scientifically-based delineation is beneficial to the formulation of  
348 strategies targeting such areas accurately, both to resolve issues and to achieve smart growth,  
349 urban-rural coordination and sustainable development (Randhawa and Marshall, 2014; Jin et  
350 al., 2018; Mortoja et al., 2020; Ge and Lu, 2021; Mortoja and Yigitcanlar, 2022).

- 351 • The peri-urban area can be defined as a phase, rather than a place, in which urban  
352 elements gradually transition to rural elements. They tend to contain transitional,  
353 vacuum or complex characteristics.
- 354 • Although the quantitative delineation method has become the primary means for  
355 delineating the ranges with timelessness and visualisation, the selection of data analysis  
356 methods needs to take into account the local conditions and the availability and  
357 limitations of data. The Threshold and the Fuzzy Set Methods are appropriate for  
358 delineating those peri-urban areas with evidently transitional characteristics. The  
359 Breaking Point and the Cluster Analysis Methods are more advisable for delineating

360 the peri-urban areas with distinct vacuum characteristics. The Shannon Entropy is more  
361 suitable for delineating peri-urban areas with complex characteristics.

362 • The selection of delineating factors needs to take into consideration the situations of  
363 peri-urban areas from the dimensions of social order, economic development,  
364 environmental resources and administrative management, or a combination of these.  
365 The delineation of the range of peri-urban areas, based on local conditions and  
366 accounting for the appropriate dimensions, helps in the formulation of scientific  
367 development strategies and decision-making processes.

368 To conclude, the paper reviews and evaluates the current definitions and methods of  
369 delineation for peri-urban areas. It compares the advantages and disadvantages of the  
370 qualitative and quantitative methods. It has examined the implementation of multiple  
371 delineating quantitative methods and suggested their application where appropriate. It also  
372 encourages including more delineating elements that have a significant impact on peri-urban  
373 areas. Notably, no absolute correct methods or factors exist for delineating peri-urban areas.  
374 Only relatively appropriate ones have been suggested, according to the main characteristics  
375 and conditions of different peri-urban areas (Mortoja et al., 2020). Advisable methods and  
376 factors can delineate peri-urban areas' ranges close to reality, but with social, economic and  
377 technological development, new characteristics and factors may necessitate altering the method  
378 of delineation. Appropriate methods and factors for delineating peri-urban areas may evolve  
379 accordingly, hence the examination and evaluation of delineating methods and factors should  
380 be carried out regularly. When possible, such methods should be explored further, with a view  
381 to increasing their accuracy in the future.

382

### 383 **Data availability statement**

384 No data, models or code were generated or used during the study.



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674

675 Table 1 The comparison of different analytical methods for quantitative delineation

		The Threshold Method	The Shannon entropy method	The breaking point method	The fuzzy set method	The cluster analysis method
Factor type	Image information	√	√	√	√	√
	Statistical information	√	N/A	√	√	√
Factors	Nighttime light	√	N/A	√	√	√

Built-up land	N/A	√	N/A	N/A	N/A
Arable land	N/A	√	N/A	√	√
Water body	N/A	√	√	√	N/A
Woodland	N/A	√	N/A	N/A	N/A
Meadow	N/A	√	N/A	√	N/A
Vegetation land	N/A	N/A	√	N/A	N/A
Urban land	N/A	N/A	√	N/A	√
Greenbelt	N/A	N/A	N/A	√	N/A
Bare land	N/A	√	√	√	N/A
Transport land use	N/A	√	N/A	√	√
Urban elevation	N/A	N/A	N/A	N/A	√
Commuting range	N/A	N/A	√	N/A	N/A
Population density	√	N/A	N/A	√	N/A
Population growth	√	N/A	N/A	√	N/A
Population mobility	√	N/A	N/A	√	√
Non-agricultural workers	√	N/A	N/A	√	N/A
Population of no van	√	N/A	√	N/A	N/A
Gender ratio	N/A	N/A	N/A	√	N/A
The single rate	N/A	N/A	√	N/A	N/A
Lifestyle	N/A	N/A	N/A	N/A	√
Literacy and qualification rate	N/A	N/A	√	√	N/A
Christian	N/A	N/A	√	N/A	N/A
Poor health rate	N/A	N/A	√	N/A	N/A
Dependent children	N/A	N/A	√	N/A	N/A
Aged population rate	N/A	N/A	√	N/A	N/A
Unemployed rate	N/A	N/A	√	N/A	N/A
Per capita living space	√	N/A	√	N/A	N/A
The white British rate	N/A	N/A	√	N/A	N/A
Per capita income	√	N/A	N/A	√	N/A
The occupational structure	N/A	N/A	N/A	√	N/A
Public facilities	N/A	N/A	N/A	√	√
Open space distribution	N/A	N/A	N/A	N/A	√
Water supply	N/A	N/A	N/A	N/A	√
Water risk	N/A	N/A	N/A	N/A	√
Water management capacity	N/A	N/A	N/A	N/A	√
Industry	N/A	N/A	√	N/A	N/A
The economic connection strength degree between city and county	N/A	N/A	√	N/A	N/A
The distance from CBD	N/A	N/A	N/A	N/A	√
Economic activities	√	N/A	N/A	N/A	√
Vegetable delivery radius	N/A	N/A	√	N/A	N/A
Commodity supply flow	√	N/A	√	N/A	N/A
Passengers flow	N/A	N/A	N/A	√	N/A

	Cargo flow	N/A	N/A	N/A	√	N/A
	Climate change pressure	N/A	N/A	N/A	N/A	√
	Biodiversity and ecosystem services	N/A	N/A	√	N/A	√
	Natural elements	N/A	N/A	N/A	N/A	√
Analytical principle	Determining the range of peri-urban areas based on the thresholds of factor's number	√	N/A	N/A	N/A	N/A
	Determining the range of peri-urban areas based on the thresholds of the land type distribution's disorder degree	N/A	√	N/A	N/A	N/A
	Determining the range of peri-urban areas based on the connection line of the factor's mutation points	N/A	N/A	√	N/A	N/A
	Determining the range of peri-urban areas based on the subordination thresholds of factors to urban and rural areas	N/A	N/A	N/A	√	N/A
	Clustering some regions into a few larger regions; then, certain clustered regions with peri-urban area characteristics are delineated as peri-urban areas.	N/A	N/A	N/A	N/A	√
Advantage	Result is visualization	√	√	√	√	√
	Cover many factors increasing the accuracy of delineation	√	√	√	√	√
	Delineated ranges reflect the relatively real changes of peri-urban areas	√	√	√	√	√
Suitable peri-urban areas	Method is more suitable for peri-urban areas with high transitional characteristics	√	N/A	N/A	√	N/A
	Method is more suitable for peri-urban areas with mixed characteristics	N/A	√	N/A	N/A	N/A
	Method is more suitable for peri-urban areas with vacuum characteristics	N/A	N/A	√	N/A	√

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Table 2 Current factors



Dimensions	Types of factors	Factors
Social order	Population	Population density (Desai and Gupta, 1987; Gu et al., 1993; Chen, 1995; Zhao and Chen, 1996; Heikkila et al., 2003; Li and Bai, 2005; Wang et al., 2010a; Liu and Jin, 2013; Paul, 2017; Zhou et al., 2017; Mustak et al., 2018; Merciu et al., 2019)
		Population mobility (Li and Bai, 2005; Gonçaves et al., 2017b)
		Population growth (Mustak et al., 2018)
	Urban and rural population	The ratio of non-agricultural population to agricultural population (Robin, 1968; Russwurm, 1975; Bryant and Russwurm, 1982)
		Non-agricultural workers (Mustak et al., 2018)
		Villagers (Li et al., 2012)
	Demographic structure of population	Aged population (Paul, 2017)
		Dependent children (Paul, 2017)
		Christian believers (Paul, 2017)
		White British rate (Paul, 2017)
		Lifestyle (Gonçaves et al., 2017b)
		Single rate (Paul, 2017)
		Gender ratio (Gonçaves et al., 2017b)
		Poor health rate (Paul, 2017)
		Population without car or van (Paul, 2017)
		Per capita living space (Paul, 2017)
		Occupational structure (Mustak et al., 2018)
		Qualifications rate (Paul, 2017)
		Literacy (Mustak et al., 2018)
		Unemployed rate (Paul, 2017)
Economic development	Economic situation	Economic activities (Gonçaves et al., 2017b; Yan et al., 2021)
		Per capita income (Li and Bai, 2005)
		Distance from the CBD (Robin, 1968; Russwurm, 1975; Desai and Gupta, 1987; Bartelmus, 1994; Wang et al., 2010a)
		Commodity supply flow (Chen, 1995; Merciu et al., 2019a)
		Basic vegetable delivery radius (Zhao and Chen, 1996)
		Economic connection strength degree between city and county (Liu, 2006)
	Industry	Service industry (Chen, 1995; Zhang et al., 1999b; Chao et al., 2009)
		Manufacturing industry (Chen, 1995; Zhang et al., 1999b; Chao et al., 2009)
		Industry density (Li, 2005)
		Production value density (Chen, 1995)
Environmental resources	Construction development	Nighttime light (Sutton et al., 2006; Zhuang et al., 2016; Lu, 2019)
		Urban land (Mustak et al., 2018)
		Rural residential land (Wang et al., 2010b; Li et al., 2012)
		Impervious surface coverage (Zhou et al., 2017)
		Built-up land (Cheng and Zhao, 1995; Chen et al., 2001; Qian et al., 2007; Wang et al., 2010b; Chai, 2011; Li et al., 2012; Mortoja and Yigitcanlar, 2022)
		Construction density (Lin et al., 2007; Zhang et al., 2010; Li et al., 2012; Bian and Wang, 2015; Merciu et al., 2019b)
		Building heights (Heikkila et al., 2003)
		Building density (Chen, 1995; Li and Bai, 2005)
		Location of new consumer homes (LeSage and Charles, 2008)
		Open space distribution (Danielaini et al., 2018)
		Land ownership (Lin et al., 2007)
	Traffic	Bus departure frequency (Desai and Gupta, 1987)
		Daily commuting range (Friedmann and Miller, 1965; Zhang et al., 1999a; Paul, 2017)
		Daily commuters (Desai and Gupta, 1987; Chen, 1995)
		traffic accessibility (Merciu et al., 2019a)
		Transport land use (Chai, 2011; Li et al., 2012; Yan et al., 2021)
		Urban elevation (Wang et al., 2010a)
	Public facility and utility	Public service power (Chen, 1995; Li and Bai, 2005; Wang et al., 2010a; Merciu et al., 2019a)
		Water supply (Chen, 1995; Danielaini et al., 2018)
		Water risk (Danielaini et al., 2018)
		Water management capacity (Danielaini et al., 2018)
	Eco-land	Forest land (Chen et al., 2001; Wang et al., 2010b; Li et al., 2012; Bian and Wang,

		2015; Danielaini et al., 2018)
		Water body (Cheng and Zhao, 1995; Chen, 1995; Chen et al., 2001; Wang et al., 2010b; Chai, 2011; Li et al., 2012; Bian and Wang, 2015; Gonçalves et al., 2017b; Danielaini et al., 2018; Mortoja and Yigitcanlar, 2022)
		Semi-natural area (Danielaini et al., 2018)
		Agricultural lands (Chen et al., 2001; Li and Bai, 2005; Qian et al., 2007; Wang et al., 2010b; Li et al., 2012; Bian and Wang, 2015; Danielaini et al., 2018)
		Meadow (Zhang et al., 1999b; Wang et al., 2010b; Bian and Wang, 2015)
		Woodland (Chen et al., 2001; Qian et al., 2007; Wang et al., 2010b)
		Vegetation (Zhang et al., 1999b; Mortoja and Yigitcanlar, 2022)
		Bare land (Chen et al., 2001; Wang et al., 2010b; Li et al., 2012; Mortoja and Yigitcanlar, 2022)
	Ecosystem	Climate change pressure (Danielaini et al., 2018)
		Bird diversity (MacGregor-Fors, 2010)
Administrative management	Administrative boundary	Administrative boundary of a city where urban sprawl maintains a static phase (Carter and Wheatley, 1979)

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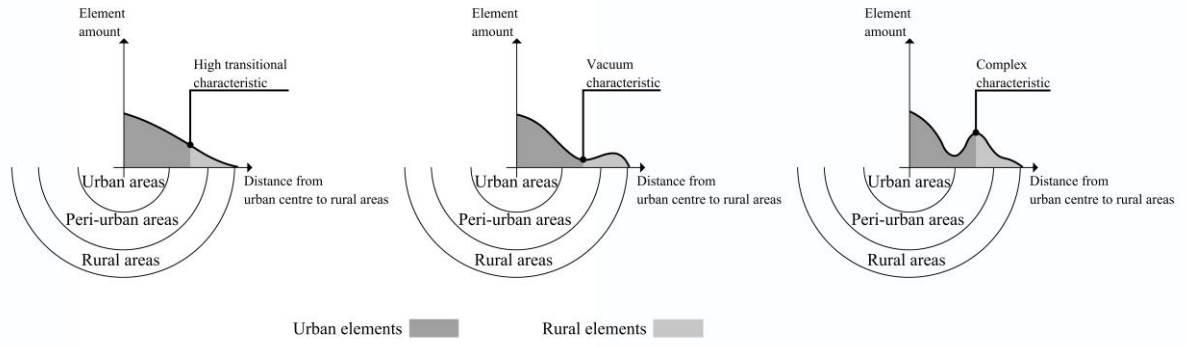


Figure 1 Characteristic types of peri-urban areas

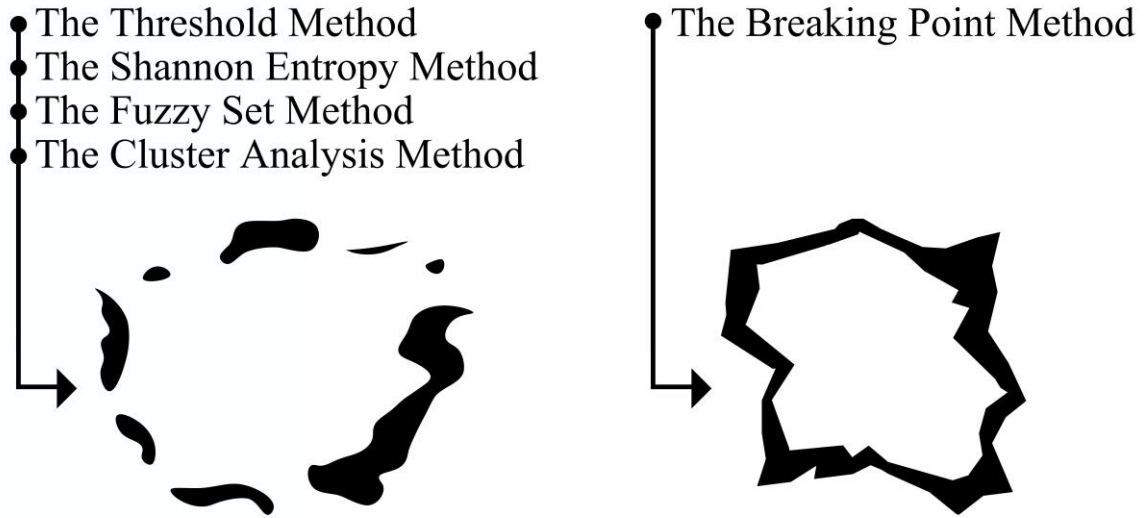


Figure 2 Diagrams of delineated results of each method

Figure 1 Characteristic types of peri-urban areas.....6

Figure 2 Diagrams of delineated results of each method.....10

Dear Editors and Reviewers:

Thank you for giving me the opportunity to submit a revision of my manuscript, titled

*"What is the peri-urban area, and how can it effectively be delineated? A synthesis and analysis from a literature review"* to

Journal of Urban Planning and Development. We are grateful to the reviewers for their insightful comments on the manuscript. We have been able to incorporate changes to reflect suggestions provided by the reviewers. We have highlighted the changes below.

### **Response to Reviewer #1**

**Comment 1:** *The authors declare that there is no uniform definition for the peri-urban area before. But, why it needs a strict definition is unclear to me. What negative thing will happen if we define it in an inaccurate way. And how should we know if it is defined right?*

**Response:** Thank you for pointing this out. We agree with this comment. Therefore, we have further explained the positive and negative influence of urban-rural interaction force on peri-urban areas in detail. Based on these influences, we discussed the possibility of coordinating the urban-rural interaction force by managing peri-urban areas to achieve sustainable development. Then, we discussed the role of defining and delineating peri-urban areas in forming scientific strategies to manage peri-urban areas. If the delineated result largely deviates from reality, it is hard to form targeted strategies to manage peri-urban areas to achieve sustainable development.

#### **1. Introduction**

(Negative influence on peri-urban areas brought by urban-rural interaction:) ... Peri-urban areas reveal prominent negative and positive influences between villages and cities. With the continuous urban expansion referred to above, the population, industry, commerce and residential areas sprawl into the surrounding areas, which tends to squeeze the environments in peri-urban areas bringing with it considerable pollution, such as sewage, garbage and waste (Howard, 1919; Thomas, 1990; Zhong and Yang, 2004; Li and Zhang, 2008; Wang, 2008; Gu and Zhang, 2009; Tan et al., 2010; Zhang, 2011). It may cause a reduction in agricultural production and public space (Huang et al., 2006; Honda et al., 2010; Randhawa and Marshall, 2014; Buxton et al., 2016; Singh et al., 2016; D'Alessandro et al., 2018; Fan and Wang, 2021; Kassis et al., 2021; Spyra et al., 2021; Torre and Fonseca, 2023); deterioration of the ecological environment (Randhawa and Marshall, 2014; Singh et al., 2016; Walters et al., 2016; Amirinejad et al., 2018; Kalfas et al., 2020; Fan and Wang, 2021; Yang, 2021; Aziz et al., 2023); and destruction of landscape and cultural heritage in peri-urban areas (Darwin et al., 2019; Fan and Wang, 2021; Li and Chen, 2021; Yang, 2021).

As a result of the complication of demographic composition in peri-urban areas, social conflicts may readily arise (Thomas, 1990; Errington, 1994). It often tends to be mixed, including urban and rural residents, and even floating populations (Ren et al., 2009; Hudalah et al., 2016; Petrovici et al., 2023). The economic income and cultural backgrounds among different groups may lead to economic segregation (Ren et al., 2009; Mortoja et al., 2020; Melo and Jenkins, 2021; Gottero et al., 2023); cultural shock (Dadashpoor and Ahani, 2019); social isolation (Pan, 2012; He, 2014); and threatening social stability (Randhawa and Marshall, 2014; Cheng et al., 2018; Wubie et al., 2020; Narain, 2021).

When the urban sprawl of peri-urban areas is ahead of planning, and peri-urban areas often are the "vacuum zones" of urban and rural management (Amirinejad et al., 2018; Yang, 2021; Shao and Zhang, 2022), it results in the development of peri-urban areas lacking supervision or any management mechanism. Therefore, peri-urban areas are constantly subject to a great deal of non-conforming development (Ren et al., 2009; Ingwani, 2019; Nguyen, 2023), often triggering an uncoordinated relationship between supply and demand of infrastructure (Allen et al., 2006; Amirinejad et al., 2018; Yang, 2021); scattered residential, commercial, and workspace development (Amirinejad et al., 2018); random industrial structures (He et al., 2014; Yang, 2021); and inefficient land use and substandard activities in peri-urban areas (Park, 1915; Thomas, 1990; Zhong and Yang, 2004; Zhuang et al., 2016; Tian et al., 2017; Amirinejad et al., 2018; Li and Chen, 2021). The three key negative impacts have shown that urban development potentially threatens the ecosystem, landscape, cultural heritage, agricultural output, industrial structure, infrastructure supply and social stability.

(Positive influence on peri-urban areas brought by urban-rural interaction:) While there are these negative impacts, peri-urban areas act as liaisons between urban and rural areas. They bring together the benefits from urban and rural areas and play their role in coordinating the urban-rural relationship. Economic resources and high living standards have been introduced into rural and peri-urban areas from urban areas through urbanisation, increasing the economic development opportunities and living quality in peri-urban areas themselves (Fan and Wang, 2021). Conversely, rural and peri-urban areas provide resources and environment for the ecology and culture of the city and as a destination for urban residents to experience the vernacular

culture and enjoy nature during their leisure time (Snep et al., 2006; Fan and Wang, 2021). Therefore, managing peri-urban areas is essential to easing the conflicts between urban-rural elements and to adjusting the urban-rural relationship (Huang and Tian, 2021).

(Contribution of defining and delineating peri-urban areas:) For understanding and managing change in peri-urban areas, the first question is: "What is the peri-urban area, and how can it be delineated?" A clear concept of and scope for peri-urban areas are fundamental to forming management strategies (Randhawa and Marshall, 2014; Amirinejad et al., 2018). Successful management of peri-urban areas has great significance. It can optimise industrial structures (He et al., 2014); infrastructure supply (Mortoja et al., 2020; Yang, 2021); and land-use patterns. It can protect the ecological environment (Randhawa and Marshall, 2014; Kalfas et al., 2020; Ouyang, 2023); landscape (Fan and Wang, 2021); cultural heritage (Fan and Wang, 2021; Li and Chen, 2021; Yang, 2021); and agricultural production (Buxton et al., 2016; Mortoja et al., 2020; Fan and Wang, 2021). It also can help coordinate people's cultural and economic social relations (Randhawa and Marshall, 2014; Ouyang, 2023) and narrow the economic and living gaps between urban and rural areas. Further, it will promote the achievement of long-term coordination of urban-rural relationships and sustainable development (Yan and Zhou, 2005; Randhawa and Marshall, 2014; Jin et al., 2018; Mortoja et al., 2020; Ge and Lu, 2021; Mortoja and Yigitcanlar, 2022; Li, 2022; Ouyang, 2023).

However, no unified definition or delineation method exists in the current literature (Gonçalves et al., 2017a). This study explores the possibility of unifying the definition and aims to discover the appropriate delineation methods for peri-urban areas. It examines the literature developments synthesised with the concept and characteristics of peri-urban areas. It reviews the issues of modernisation and urbanisation and evaluates the conflicts in this massive development process. It discusses suitable delineation methods and factors in delineating the scope of peri-urban areas. Finally, it suggests improving existing delineating factors for a more precise assessment.

**Comment 2:** *The authors discuss the factors and methods of delineation of the range of the peri-urban area in the major body of the manuscript. However, they are still open-loop analyses. Like the last comment, how should we know if the delineation is right?*

**Response:** We agree with the assessment of delineation results is an essential part of delineation. We found no absolutely correct methods and factors for delineating peri-urban areas, only relatively more appropriate methods and factors for delineating the areas with different characteristics and situations (Mortoja et al., 2020). And we suggested suitable methods and factors for delineating different peri-urban areas.



## 5. Conclusion

- ...
- Although the quantitative delineation method has become the primary means for delineating the ranges with timelessness and visualisation, the selection of data analysis methods needs to take into account the local conditions and the availability and limitations of data. The Threshold and the Fuzzy Set Methods are appropriate for delineating those peri-urban areas with evidently transitional characteristics. The Breaking Point and the Cluster Analysis Methods are more advisable for delineating the peri-urban areas with distinct vacuum characteristics. The Shannon Entropy is more suitable for delineating peri-urban areas with complex characteristics.
  - The selection of delineating factors needs to take into consideration the situations of peri-urban areas from the dimensions of social order, economic development, environmental resources and administrative management, or a combination of these. The delineation of the range of peri-urban areas, based on local conditions and accounting for the appropriate dimensions, helps in the formulation of scientific development strategies and decision-making processes.

To conclude, the paper reviews and evaluates the current definitions and methods of delineation for peri-urban areas. It compares the advantages and disadvantages of the qualitative and quantitative methods. It has examined the implementation of multiple delineating quantitative methods and suggested their application where appropriate. It also encourages including more delineating elements that have a significant impact on peri-urban areas. Notably, no absolute correct methods or factors exist for delineating peri-urban areas. Only relatively appropriate ones have been suggested, according to the main characteristics and conditions of different peri-urban areas (Mortoja et al., 2020). Advisable methods and factors can delineate peri-urban areas' ranges close to reality, but with social, economic and technological development, new characteristics and factors may necessitate altering the method of delineation. Appropriate methods and factors for delineating peri-urban areas may evolve accordingly, hence the examination and evaluation of delineating methods and factors should be carried out regularly. When possible, such methods should be explored further, with a view to increasing their accuracy in the future.

**Comment 3:** *More publications should be considered. For a research topic started before 1950, this review paper only has <100 references. The conclusions are not convincing enough.*

**Response:** Agree. We have broadened the reading and referencing range while revising and improving the content accordingly; more than 120 references have been cited by the paper in order to generate a more solid conclusion.

**Comment 4:** *The authors cite limited literature to argue that rural populations here often lack the competitiveness to accumulate wealth more than urban populations. Whether such a conclusion is universal in most countries needs further discussion.*

**Response:** We agree with this and have incorporated your suggestion throughout the Manuscript. We revised the sentence to say that **the demographic composition in peri-urban areas tends to be mixed. Cultural shock, economic segregation, and social isolation may easily occur in peri-urban areas due to differences in culture and income among different groups.**

## 1. Introduction

... As a result of **the complication of demographic composition in peri-urban areas, social conflicts may readily arise** (Thomas, 1990; Errington, 1994). It often tends to be mixed, including urban and rural residents, and even floating populations (Ren et al., 2009; Hudalah et al., 2016; Petrovici et al., 2023). **The economic income and cultural backgrounds among different groups may lead to economic segregation** (Ren et al., 2009; Morteja et al., 2020; Melo and Jenkins, 2021; Gottero et al., 2023); **cultural shock** (Dadashpoor and Ahani, 2019); **social isolation** (Pan, 2012; He, 2014); **and threatening social stability** (Randhawa and Marshall, 2014; Cheng et al., 2018; Wubie et al., 2020; Narain, 2021).

## **Response to Reviewer #2**

**Comment 1:** *From the perspective of the article as a whole, although the induction and collation of literature research are relatively comprehensive, there is a lack of in-depth summary of literature, and the innovation of the article needs to be improved.*

**Response:** Thank you for pointing this out. We agree with this comment. In-depth summary and innovation are a benefit to clear the contribution of the research. **We further discussed the suitable methods and factors for delimiting peri-urban areas with different characteristics and situations in-depth. It is the main innovation of the research and lays a clear foundation for**

managing peri-urban areas for achieving urban-rural coordination and sustainable development.

### 3.3 Comparison Study of Quantitative Analytical Delineation Methods

...

The transition threshold and subordination can reflect the transitional character of peri-urban areas. The Threshold Method and the Fuzzy Set Method are especially suitable for delineating peri-urban areas with high transitional characteristics. These two refer to the transition threshold of the number of factors from city to rural and the subordination degree of factors in the cities or villages to delineate the peri-urban area. The Breaking Point Method and the Cluster Analysis Method are more suitable for delineating the peri-urban areas with vacuum characteristics, where elements tend to show distinctly distinguished from urban and rural areas. With no statistical factors in the Shannon Entropy Method, because of the consideration of disordered and mixed threshold of land factors, it has an unparalleled advantage for delineating peri-urban areas with complex characteristics.

Significantly, the peri-urban areas of a city may contain all three characteristics in different regions (high transition, vacuum or complex). Hence, when high accuracy is required in delineating results, these methods can be separately used in different regions of the city to obtain a more comprehensive picture overall of peri-urban ranges. In short, the analytical method to be adopted needs to be determined according to the specific characteristics of peri-urban areas. If an unsuitable analytical method is used, the delineated scope may seriously deviate from their scope in reality.

### 4. Factors in Delineating Peri-urban Areas' Ranges

... When selecting factors for delineating peri-urban areas, there is a need for accuracy that can facilitate the formation of scientific strategies for developing and managing the areas (Gross et al., 2014). Multiple social dimension factors can be introduced to delineate the areas where there are conflicts between social groupings. By changing these social dimension factors, suitable management strategies can be proposed to deal with such conflicts. Economic dimension factors can be used to formulate strategies for managing issues related to the widened economic gaps and uncoordinated industrial structures often found in peri-urban areas. Environmental dimensions can be adopted to delineate the area where the problems caused by environmental destruction and lack of facilities. Environmental protection and infrastructure supply decisions are up for discussion. Administrative management criteria can be selected to delineate the areas that lack sufficient governance in peri-urban areas. Governance strategies can be formed in terms of the local governance model. With such applications, situating scientific development and management strategies of peri-urban areas can be formulated specifically for peri-urban areas. Selecting appropriate factors to delineate the areas from multiple dimensions, while taking into account the complexities of local situations, can lay a relatively accurate foundation to support developing and managing such peri-urban areas with complicated issues.

### 5. Conclusion

...

- Although the quantitative delineation method has become the primary means for delineating the ranges with timelessness and visualisation, the selection of data analysis methods needs to take into account the local conditions and the availability and limitations of data. **The Threshold and the Fuzzy Set Methods are appropriate for delineating those peri-urban areas with evidently transitional characteristics. The Breaking Point and the Cluster Analysis Methods are more advisable for delineating the peri-urban areas with distinct vacuum characteristics. The Shannon Entropy is more suitable for delineating peri-urban areas with complex characteristics.**
- The selection of delineating factors needs to take into consideration the situations of peri-urban areas from the dimensions of social order, economic development, environmental resources and administrative management, or a combination of these. **The delineation of the range of peri-urban areas, based on local conditions and accounting for the appropriate dimensions, helps in the formulation of scientific development strategies and decision-making processes.**

**Comment 2:** *According to the summary of the article, it is suggested that the future research direction can be put on the land space planning and other aspects, and the following documents are* *recommended:*

*[1] Spatiotemporal patterns in urbanization efficiency within the Yangtze River Economic Belt between 2005 and 2014 [J].Journal of Geographical Sciences,2018,28(08):1113-1126.*

*[2]A strategy of the rural governance for territorial spatial planning in China[J]. Journal of Geographical Sciences, 2021, 31(9) : 1349-1364.*

**Response:** Agree. **We have, accordingly, referenced the two important publications to emphasise the significance of defining and delineating peri-urban areas in achieving long-term urban-rural coordination and sustainable development.**

## **1. Introduction**

... **Further, it will promote the achievement of long-term coordination of urban-rural relationships and sustainable development** (Yan and Zhou, 2005; Randhawa and Marshall, 2014; Jin et al., 2018; Mortoja et al., 2020; Ge and Lu, 2021; Mortoja and Yigitcanlar, 2022; Li, 2022; Ouyang, 2023).

**Comment 3:** *In the introduction section, "It treats the ecological environment and landscape*

*of peri-urban areas." is inconsistent with the previous and subsequent contents. Should "treats" be changed to "threats".*

**Response:** Thank you for helping us to find the problems. It is 'threats', and we have corrected the spelling and proofread all content of the paper.

**Comment 4:** *Part 3.2 of the article has missing references, and the author is suggested to supplement or replace them.*

**Response:** Thank you for helping us to find the problems. We have corrected the missing and proofread all content of the paper.

**Comment 5:** *The article should pay attention to the unity of the content format. In the Factors of Delineation of the Range of the Peri-urban Area, the first sentence of the second paragraph is bolded to see if there is any special meaning.*

**Response:** Thank you for helping us to find the problems. We have proofread the format and revised the sentence in an unbolded format.

**Comment 6:** *The language expression of the full text should be further refined and improved, and attention should be paid to language simplification, semantic accuracy, fluent sentences and cohesion and logic between contexts.*

**Response:** Thank you for helping us to find the problems. We have further proofread the language expression throughout the full paper and made language become more simplification, accurate, and logical.

## **Response to Chief Editor**

**Comment:** *In view of the remaining comments, I would like the authors to prepare a revised version, and address all concerns as well as suggestions raised by the reviewers. The manuscript would be accepted for publication only if all critical issues have been taken care properly or explained convincingly. An itemized response report shall also be included to expedite the re-review process. Most importantly, the contributions of this study to the urban planning community should be highlighted clearly. The revised version will be re-reviewed by the same reviewers.*

**Response:** Thank you for providing the revisors' comments and highlighting the most important suggestions. We have revised the paper according to all revisors' comments. Significantly, we have to pay much attention to clear the contribution of the paper. We further explained the urban-rural interaction forces in peri-urban areas and pointed out the possibility of manage these interaction forces to coordinate urban-rural relationships and achieve suitable development. The clear definition and suitable delineation of peri-urban areas according to the situation of different peri-urban areas contribute to laying a foundation to form strategies for scientifically managing the areas. (the specific contribution and innovation can be seen in the responses for comment 1 of reviewer 1 and comment 1 of reviewer 2)

## **Additional clarifications**

In addition to the above comments, the expression for improving the accuracy of the paper has been improved, and all spelling and grammatical errors pointed out by the reviewers have been corrected.

We look forward to hearing from you in due time regarding our submission and to respond to any further questions and comments you may have.

Sincerely,

Lanxin Li

27 March 2023