

1 **Insight into Imiquimod Skin Permeation and Increased Delivery Using Microneedle Pre-**  
2 **treatment**

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## 20 1. Introduction

21 Basal cell carcinoma (BCC) is the most common type of skin cancer among Caucasians constituting  
22 about 75-80% of skin cancer cases [1]. It has a high prevalence in Europe, Australia and the United  
23 States, with approximately 3-4 million cases per year of BCC occur in the United States [2] alone and  
24 the incidence rate is rising by 10% annually worldwide [3]. Common aetiologies for BCC are genetic  
25 predisposition and exposure to solar radiation (UV light). In addition, increasing age, fair skin with  
26 freckles, blond or red hair, blue eyes and male sex represent other risk factors for the condition [4].

27 The aims of BCC treatment are complete eradication of the tumour with maximum restoration of  
28 normal function and acceptable cosmetic outcome via surgical or non-surgical intervention [5]. Non-  
29 surgical approaches include radiotherapy, photodynamic therapy and topical treatment with  
30 anticancer drugs such as imiquimod (an immune response modifier with antiviral and antitumor  
31 activity) or 5-Fluorouracil (an antimetabolite which inhibits DNA replication in cancer cells). Imiquimod  
32 has been demonstrated to be more effective in the treatment of superficial BCC and can be used as  
33 the first choice treatment [6].

34 Surgical excision may not be suitable for some patients because of the invasive nature of the  
35 treatment, poor cosmetic outcome, cost and waiting times [4]. Conversely, topical treatment with an  
36 anticancer drug such as imiquimod provides a non-invasive, self-administered treatment with  
37 excellent cosmetic outcome and lower cost. The four major types of BCC based on morphological  
38 classification are superficial (15%), nodular (50%), infiltrative (20%) and mixed (15%) [7]. Several  
39 clinical studies have demonstrated the efficacy of imiquimod in the treatment of superficial BCC with  
40 cure rates range from 87% to 88% for a 6 week treatment course (once daily/ 5 days per week), while  
41 the cure rates in nodular BCC range from 42% to 76% for a treatment course of 12 weeks (once daily/  
42 5 days per week) [8]. As such, the drug is yet to be approved by the FDA for the treatment of nodular  
43 BCC. This difference in the clearance rate is attributed to the fact that the lesions in nodular BCC show

44 deeper invasion within the dermis with an inability of imiquimod to permeate through the dermal  
45 layer. Several studies have attributed the poor permeation profile of imiquimod within the dermis is  
46 due to its' low water solubility [9]. In addition, the interaction between the amine groups on the drug  
47 molecule with the anionic components of the skin may contribute to the poor permeation profile of  
48 imiquimod.

49 Previous studies conducted by Stein *et al.* [10] and Rehman *et al.* [11] assessed the permeation of  
50 imiquimod into the skin from Aldara™ cream using HPLC. Stein *et al.* studied the permeation of  
51 imiquimod from Aldara™ cream across mouse skin and found that 11.5% of imiquimod from Aldara™  
52 cream permeated across the skin and only 19% remained on the skin surface [10]. Rehman *et al.*  
53 reported a higher amount of imiquimod permeated from Aldara™ cream than from a bigel  
54 formulation, where the imiquimod content in the tape strips (TS) from Aldara™ cream was found to  
55 be 59.66% of the mean % recovered amount. From both studies imiquimod displayed a high  
56 permeability profile from Aldara™ cream into the skin, this can be attributed to the use of mouse skin,  
57 since it is thinner and much more permeable than human or pig skin (up to 10 times) [12]. It is also  
58 worth noting that in both studies, the researchers employed HPLC to quantify the amount of  
59 imiquimod permeated. However, this analysis does not have any imaging capability and therefore it  
60 cannot identify the spatial distribution of imiquimod within skin. In the treatment of BCC, uniform  
61 distribution is important to ensure complete tumour eradication and hence prevention of future  
62 recurrence.

63 One of the strategies to assist the delivery of topical therapy to deeper BCC is via the use of  
64 microneedle technology. Microneedles are arrays of micron-size projections with length ranging  
65 between 250-1000  $\mu\text{m}$  providing a minimally invasive means to transport drug molecules into and  
66 across the skin. They are composed of small micron sized needles which pierce the skin to create  
67 microchannels through which drug molecules can be efficiently delivered [13]. In general,

68 microneedles can be characterised into five main groups, namely solid, coated, dissolving, hollow and  
69 hydrogel-forming microneedles [14]. These devices confer a minimally invasive and pain-free drug  
70 delivery into or across the skin which can improve patient compliance and adherence to treatment.  
71 Unlike hypodermic injections, microneedles don't cause bleeding or require trained personnel for  
72 administration and can be applied by patients themselves [15].

73 Microneedles have been used to successfully deliver a range of active pharmaceutical agents (APIs)  
74 ranging from low molecular weight drugs to macromolecules into and across the skin [15]. Donnelly  
75 *et al.* [16] used a silicon microneedle pre-treatment *in vivo* to enhance skin penetration of 5-ALA into  
76 mice skin. They found significantly higher levels of the photosensitiser protoporphyrin IX (PpIX) in the  
77 microneedle pre-treated skin compared to intact skin. It is postulated that this microneedle pre-  
78 treatment drug delivery approach would be a suitable strategy to improve the delivery of imiquimod  
79 into the skin to treat BCC lesions.

80 Time-of-flight secondary ion mass spectrometry (ToF-SIMS) is a highly sensitive surface analysis  
81 technique that can be used to characterise the surface chemistry of a sample. ToF-SIMS exhibits high  
82 chemical specificity and provide chemical imaging data [17]. Furthermore, the preparation of samples  
83 for ToF-SIMS analysis is relatively simple and does not require any extraction process that often used  
84 in chromatographic methods or the addition of fluorescent tags or radio-labels [18] except the  
85 removal of the excess moisture from the samples prior the analysis [19]. Judd *et al.* first used ToF-  
86 SIMS to successfully illustrate the permeation of an active agent (chlorhexidine) from 2% w/v aqueous  
87 chlorhexidine solution into porcine skin [18]. Sjövall *et al.* also utilised ToF-SIMS to image the  
88 distribution of the active pharmaceutical ingredient (API) 'roflumilast' in mouse skin [20]. In addition,  
89 Brunelle and co-workers have conducted considerable work on mapping the permeation profile of  
90 fatty acids penetration enhancer into the skin highlighting the utility of ToF-SIMS tracking the  
91 permeation of exogenous compound into the skin [21–23].

92 In this study we used an *in vitro* Franz cell with subsequent HPLC and ToF-SIMS analysis to illustrate  
93 the permeation depth and lateral distribution characteristics of imiquimod in porcine skin following  
94 the application of Aldara™ cream. The same approach was also used to investigate these aspects  
95 following a skin pre-treatment using a solid stainless-steel microneedling pen in an attempt to improve  
96 the permeation of imiquimod into the skin rendering it more effective in the treatment of deeper  
97 nodular type BCC tumours.

## 98 2. Materials

99 Imiquimod was purchased from Bioscience Life Sciences, UK. Aldara™ 5% cream, MEDA Company,  
100 Sweden was purchased from Manor pharmacy, UK. Dermapen® which is a microneedling pen was  
101 purchased from ZJchao, China. Sodium acetate and isopentane were purchased from Sigma-Aldrich,  
102 UK. Acetonitrile (HPLC grade), glacial acetic acid were obtained from Fisher Scientific, UK. Teepol  
103 solution (Multipurpose detergent) was ordered from Scientific Laboratory Supplies, UK. D-Squame  
104 standard sampling discs (adhesive discs) were ordered from CUDERM corporation, USA. OCT  
105 compound were obtained from VWR International Ltd. Belgium. Deionised water was obtained from  
106 an ELGA reservoir, PURELAB® Ultra, ELGA, UK. All reagents were of analytical grade, unless otherwise  
107 stated. Porcine skin was used to study the permeation profile of imiquimod due to the limited  
108 availability and difficulties associated with the use of *ex vivo* human skin. Nevertheless, various studies  
109 have highlighted that porcine skin is a suitable alternative due to the similarities in thickness,  
110 histological and permeability properties to human skin [24]. Skin samples were prepared from the  
111 porcine pig ears of six months old obtained from a local abattoir prior any steam cleaning process. The  
112 skin was washed with distilled water and dried using tissue. Hair was carefully cut by scissors to avoid  
113 any damage to the *stratum corneum* and the subcutaneous fatty layer was removed using a scalpel.  
114 Full skin thickness was used to avoid altering the skin biomechanical properties which may lead to  
115 over-penetration of microneedle into the dermal tissue [25]. After that, the full thickness skin samples

116 were wrapped in an aluminium foil and stored at -20 °C. Skin samples were used within six weeks of  
117 being frozen. A skin integrity test was performed by measuring the transepithelial electric resistance  
118 (TEER) using a modified form of EVOM2 Voltohmmeter (World Precision Instruments, USA). Skin  
119 samples passed the skin integrity test if they showed TEER reading  $\geq 3 \text{ K}\Omega$  [26]. TEER measurements  
120 were made prior to performing skin permeation experiments.

121

## 122 3. Methods

### 123 3.1. Permeation study of Aldara™ cream through porcine skin

124 Skin samples were mounted on Franz cells with the *stratum corneum* facing upwards. The receptor  
125 chamber was filled with 10 mL of 0.1 N HCl used as receptor fluid to keep sink conditions because of  
126 the high solubility of imiquimod (basic compound) in this acidic medium 9.5 mg/mL (tested  
127 experimentally). Franz cells were then placed in a stirring water bath (Cleaver Scientific Ltd., UK) at 37  
128 °C for 30 minutes to equilibrate before applying the formulation. The skin was dosed with 20 mg of  
129 Aldara™ cream on infinite dose basis over an area of 0.64 cm<sup>2</sup>. Infinite dose experiments are defined  
130 as experiment where the formulation are applied in a manner that ensures continuous excess of test  
131 preparation in the donor compartment. This avoid, the concentration of the drug from being the  
132 limiting factor for the permeation of the formulation. Infinite dose is achieved when 100 µl is applied  
133 per cm<sup>2</sup> for liquid formulations or 10 mg per cm<sup>2</sup> for solid or semisolid formulation. Such a volume  
134 ensures continuous excess of test preparation in the donor compartment [27]. Such dose will produce  
135 fundamental permeation behavior and is frequently utilised when testing the drug permeation profile  
136 in the presence of permeability enhancers, in this case the permeability enhancement is attributed to  
137 the use of microneedles [28]. .. In order to investigate the utility of microneedles to enhance the  
138 permeation profile of imiquimod from commercially available Aldara™ cream, additional Franz cell  
139 experiments were performed. However, in this experiment prior to assembling the Franz cells, the

140 skin was placed on a cork support and the microneedle device was applied vertically on the skin. The  
141 microneedle device contains 12 solid (metal) micro sized needles of 32 gauge (230  $\mu\text{m}$  diameter). The  
142 length of the microneedles used was 250  $\mu\text{m}$  with a minimum speed of vibration of 1000 turn per  
143 minute. The application time was kept to 1 minute with a mild pressure application (thumb pressure).  
144 Thereafter, the skin samples were mounted on Franz cells with the *stratum corneum* facing upwards  
145 and followed by the application of the same dose of Aldara™ cream. The receptor fluid for the Franz  
146 cells were stirred continuously by a small Teflon-coated magnetic stir bar at 600 rpm and the  
147 experiment was ran for 24 hours unoccluded. HPLC analysis for imiquimod content from different  
148 Franz cells' elements was performed after the 24 hour permeation experiment as detailed in Section  
149 3.4 .

150

### 151 3.2. Insertion study of microneedles and histological examination of microneedle 152 treated skin

153 To demonstrate the penetration efficiency of the microneedle device, an insertion and staining  
154 protocol with *en face* imaging by a light microscope was followed. Porcine skin was pinned onto a flat  
155 cork board to stretch the skin and the microneedling pen was applied vertically on the skin. An  
156 electronic microneedle device was used to pierce the skin by vibrational motion of microneedles..  
157 These application conditions were used throughout all microneedles experiments. Several drops of  
158 gentian violet 1% dye were subsequently applied to cover the treated area and left for 10 minutes.  
159 Afterwards, the excess of the dye was removed from the skin surface by a tissue towel and Azo wipes  
160 (70% v/v IPA, Synergyhealth, UK). The treated skin area was then examined under light microscope  
161 (Leica optical microscope model EC3, Leica Microsystems Ltd., Switzerland) to capture an *en face*  
162 image for the microneedles treated skin area.



163 Following the *en face* imaging of the skin area treated with microneedles by a light microscope, a  
164 histological examination was carried out to assess the penetration depth achieved by microneedles.  
165 OCT embedding and cryo-sectioning of the skin were performed followed by haematoxylin and eosin  
166 staining. Untreated skin samples with microneedles (blank skin) were also subjected to cryo-  
167 sectioning, staining and examination under light microscope.

### 168 3.3. Tape stripping of porcine skin post-permeation study

169 After removing the excess cream from the skin surface, the skin was dismantled from the Franz cells  
170 assembly and left to air dry at ambient temperature for approximately 2 hours. Following this, a tape  
171 stripping technique was employed using adhesive tapes (D-Squame, Standard Sampling Discs, USA)  
172 with a diameter of 22 mm. The adhesive tapes were applied and removed successively from the same  
173 treated skin area for up to 20 strips with the aid of a roller to press the adhesive tape 10 times onto  
174 the skin surface to stretch it to avoid the effects of furrows and wrinkles on the tape stripping  
175 procedure. A constant speed was used to remove the adhesive tapes from the skin surface by tweezers  
176 (in one swift motion) which were then placed in Eppendorf vials and stored at -20 °C until required for  
177 analysis [29].

### 178 3.4. Measurement of mass balance and HPLC Analysis

179 When the Franz cell experiments were completed (after 24 hours), the excess formulation was  
180 removed from the surface of the skin by careful application of a combination of very soft dry and  
181 moistened sponges with 3% v/v Teepol<sup>®</sup> detergent solution. The sponges were combined and stored  
182 for imiquimod HPLC analysis as a total skin wash. In addition, any cream on the donor chamber inner  
183 surface was also removed by the sponges and stored for imiquimod HPLC as a donor chamber wash.  
184 The amount of imiquimod from the different Franz cell elements (skin wash, donor chamber wash,  
185 pooled tape strips and remaining skin after tape stripping) was extracted by the addition of 20, 10, 5

186 and 3 mL of methanol extraction mixture (Methanol 90%: Water 9% : 0.1N HCl 1%) respectively. They  
187 were then vortexed for 2 minutes and left overnight. Following this, they were sonicated for 30  
188 minutes, filtered through 0.45 µm syringe filter and analysed by HPLC. Receptor fluid samples were  
189 filtered through 0.22 µm centrifuge tube filter and injected directly into the HPLC system without any  
190 dilution. HPLC analysis was carried out using an Agilent 1100 series instrument (Agilent Technologies,  
191 Germany) equipped with degasser, quaternary pump, column thermostat, autosampler and UV  
192 detector. System control and data acquisition were performed using Chemstation software. The  
193 details of the HPLC chromatographic conditions are as follow: column C<sub>18</sub> (150 × 4.6 mm) ACE3/ACE-  
194 HPLC Hichrom Limited, UK. Mobile phase of buffer: acetonitrile (70:30 v/v), the buffer is of 0.005 M  
195 sodium 1-octanesulfonate in water containing 0.1% triethylamine adjusted with dilute perchloric acid  
196 to pH of 2.2, flow rate of 0.8 mL/minute, UV detection at λ max. 226 nm, injection volume of 10 µL  
197 and column temperature at 25 °C

### 198 3.5. Cryotome of porcine skin post-permeation study for ToF-SIMS Analysis

199 Skin samples removed from Franz cells were placed in a plastic block containing the optimum cutting  
200 temperature (OCT) gel (VWR International Ltd., Belgium) which is an inert mounting medium for  
201 cryotomy that solidifies upon rapid cooling. Therefore, the plastic block containing skin immersed in  
202 OCT was placed in a beaker of isopentane pre-cooled with liquid nitrogen to solidify. After  
203 solidification, the OCT blocks were wrapped in aluminum foil, placed in an airtight plastic bags and  
204 stored at -80 °C. Cryo-sectioning of skin samples were carried out by placing the OCT block in a cryostat  
205 chamber (Thermo Cryotome™, UK) at a temperature of -20 °C. The block was allowed to equilibrate  
206 within the cryostat chamber for 30 minutes and then sectioned using a steel blade into vertical cross  
207 sections of 20 µm thickness. Following this, the cryo-sections were mounted onto polysine microscope  
208 adhesion slides (ThermoFisher Scientific) and freeze dried for 1 hour prior to ToF-SIMS analysis.

### 209 3.6. ToF-SIMS analysis

210

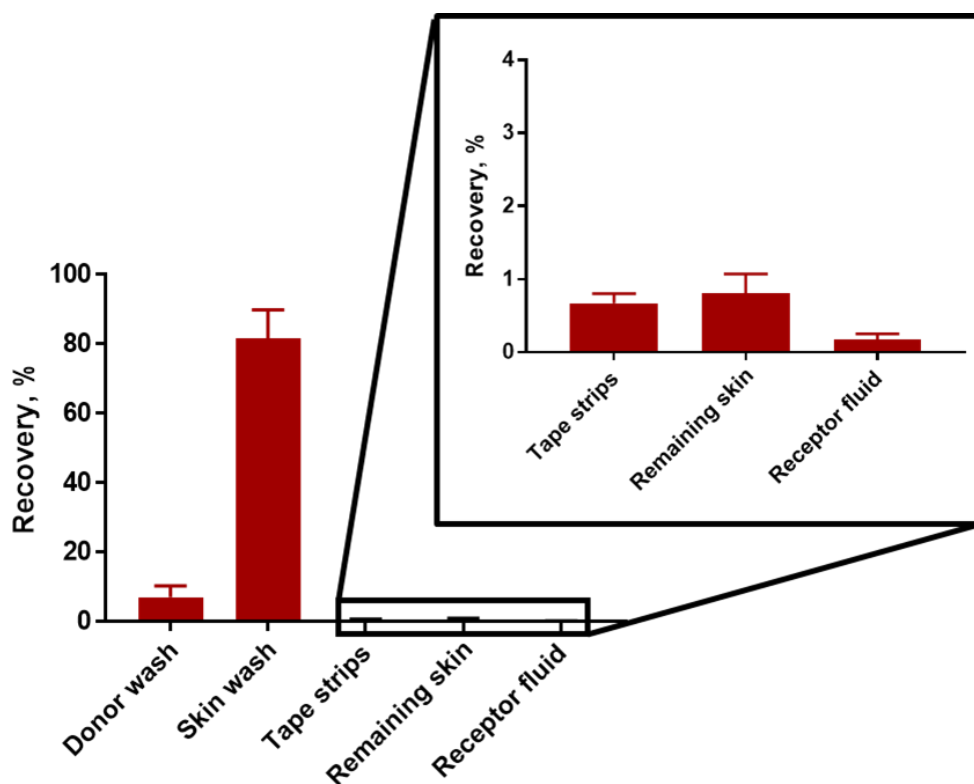
211 ToF-SIMS was used to analyse individual tape strips and cryo-sectioned skin samples obtained from  
212 Franz cell testing. The tape strips and cryo-sectioned skin samples were placed in a freeze dryer for 1  
213 hour prior to ToF-SIMS analysis. ToF-SIMS analysis was performed using a ToF-SIMS IV instrument  
214 (IONTOF, GmbH) with a  $\text{Bi}_3^+$  cluster source. A primary ion energy of 25 KeV was used, the primary ion  
215 dose was preserved below  $1 \times 10^{12}$  per  $\text{cm}^2$  to ensure static conditions. Pulsed target current of  
216 approximately 0.3 pA, and post-acceleration energy of 10 keV were employed throughout sample  
217 analysis. The mass resolution for the instrument was 7000 at  $m/z$  28. The area scanned of the tape  
218 strips samples was (9 mm  $\times$  9 mm) encompassing the entire skin area exposed to Aldara™ cream during  
219 Franz cell diffusion experiments. For the cryo-sectioned skin samples the scanned area was (6 mm  $\times$  6  
220 mm) or (10 mm  $\times$  4 mm) depending on the section size. All the samples were analysed at a resolution  
221 of 100 pixels/mm. An ion representing biological material and therefore indicative of skin (skin marker)  
222 was identified as  $\text{CH}_4\text{N}^+$  and was used to threshold the data sets..  $\text{CH}_4\text{N}^+$  is a common fragment  
223 observed in organic materials such as biological specimen. Therefore, this secondary ion was used to  
224 track the presence of corneocyte extracted on the tape strips. After that, the data was reconstructed  
225 to remove the data from the adhesive tape material found between the fissures in the stripped skin  
226 (removing the substrate data) and therefore the data was only analysed from the skin material.  
227 Following this, each image of the individual tape strip (9 mm  $\times$  9 mm) was divided into four smaller  
228 data sets of (4.5 mm  $\times$  4.5 mm) which results in four repeats ( $n = 4$ ) for each sample and their  
229 intensities were normalised to the total ion intensity. In addition, pure imiquimod and Aldara™ cream  
230 reference spectra were obtained by analysing the pure drug and the cream on silicon wafer using ToF-  
231 SIMS.

232 **4. Results and Discussion**

233 4.1. Measurement of mass balance and HPLC Analysis of Aldara permeation from  
234 porcine skin.

235 The mean total recovery for mass balance of imiquimod recovered from the different Franz cell  
236 components following the permeation study of Aldara™ cream is graphically illustrated in Figure 1. The  
237 recovery percentage of applied dose is highest in the skin wash (90 %) as compared to other  
238 components indicating that the imiquimod delivered from Aldara™ cream has limited permeation into  
239 the skin. A very minor amount (< 1 %) was recovered from the remaining skin, suggesting that  
240 imiquimod permeation from Aldara™ cream is very limited and is consistent with the FDA approval  
241 details and clinical trials that showed the efficacy of Aldara™ cream just for the treatment of superficial  
242 BCC lesions [30,31].

243



244

245 *Figure 1 Mean total recovery for mass balance of applied dose amount of imiquimod from the different Franz cell components*  
 246 *(donor chamber wash, skin wash, tape strips, remaining skin and receptor fluid) of the permeation study of Aldara™ cream*  
 247 *when analysed by HPLC. Data is presented as the mean ± SD (n = 6). The inset provides details on the amount of imiquimod*  
 248 *that have permeated into (tape strips and remaining skin) and across (receptor fluid) the skin.*

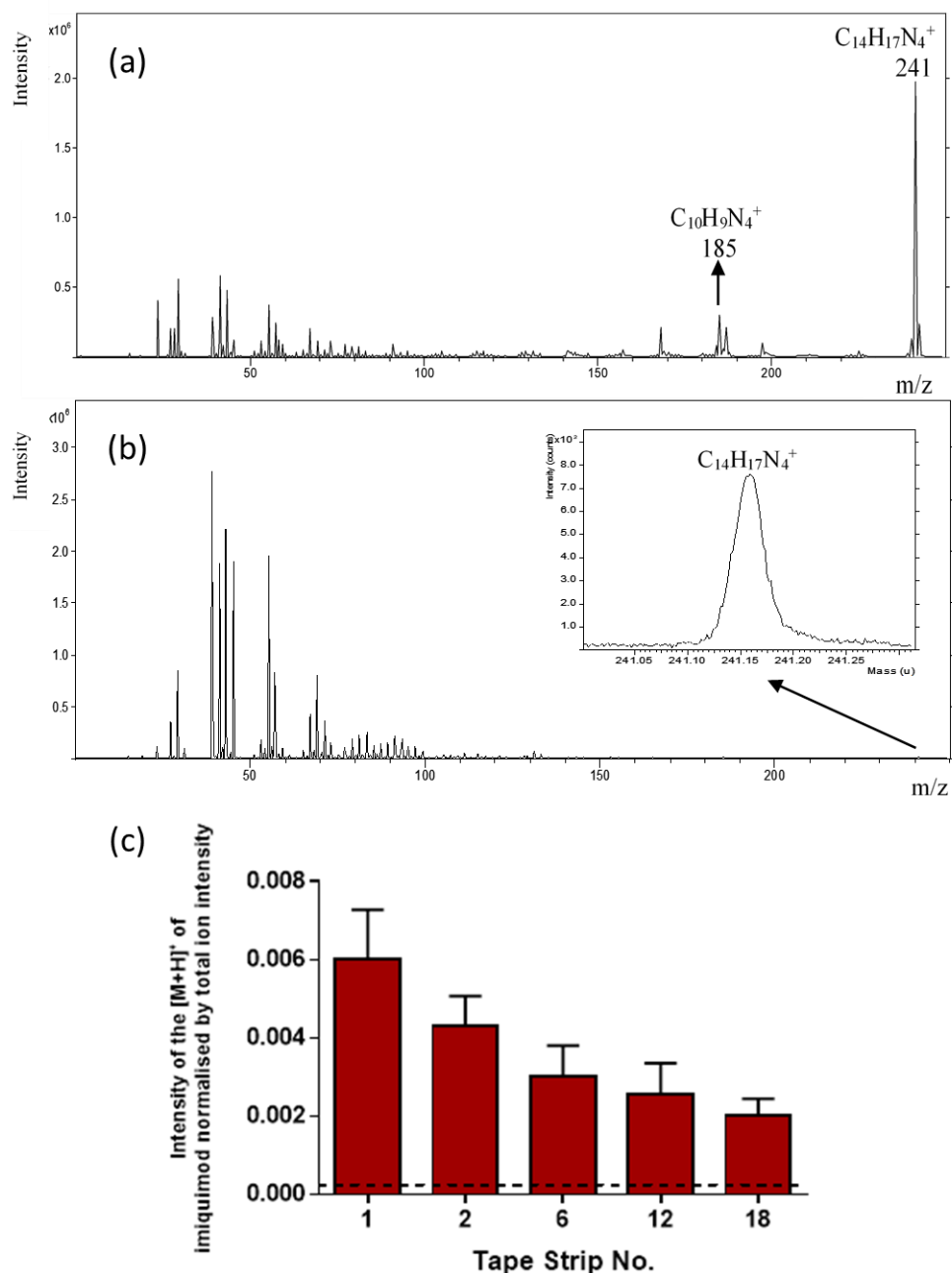
249 The amount of imiquimod observed to permeate in this study is less than that observed by Stein *et al.*  
 250 who found 11.5 % in the skin for imiquimod from Aldara™ cream and only 19% remained on the skin  
 251 surface when analysed by HPLC [10]. This higher imiquimod permeability observed by Stein *et al.* can  
 252 be attributed to the use of mouse skin, since it is thinner and much more permeable than human or  
 253 pig skin (up to 10 times) [32,33].

254 The high lipophilicity and low aqueous solubility of imiquimod suggests that it may have easier  
 255 permeation into the *stratum corneum* layer compared with the more aqueous viable epidermis and  
 256 therefore it may form a depot within the *stratum corneum* since the viable epidermis has a high-water  
 257 content. Several studies have shown that lipophilic drugs and lipophilic UV filters tend to be preferably  
 258 located or accumulated on the skin surface and in the superficial layers of the *stratum corneum*

259 [34,35]. Using porcine skin, as a suitable alternative to human skin, the current results are in  
260 agreement with these findings and highlight the superficial permeation of imiquimod into the skin.  
261 Such finding further corroborate the licensing restriction imposed by the FDA on Aldara™ cream for  
262 the treatment of superficial BCC over the nodular variants. Although the HPLC analysis provide useful  
263 quantitative results, the analytical technique does not confer any detail regarding imiquimod  
264 distribution within individual layers of skin. Therefore, additional analytical techniques were explored  
265 in an attempt to provide such spatial detail regarding imiquimod permeation.

#### 266 4.2. ToF-SIMS analysis of tape strips post permeation study

267 Due to the several advantages offered by the ToF-SIMS outlined in Judd *et al* [18]., this technique was  
268 implemented in this study to glean a more detailed insight into permeation of imiquimod from  
269 Aldara™ cream including an analysis of individual tape strips and imaging of the chemical distribution  
270 of imiquimod at their surface. Prior to ToF-SIMS analysis of the tape stripped, some preliminary ToF-  
271 SIMS experiments were performed to obtain reference spectra of pure imiquimod and Aldara™  
272 cream. ToF-SIMS survey spectra of pure imiquimod and Aldara™ cream reference on silicon wafer in  
273 positive polarity are shown in Figure 2 (a) and (b) respectively.



274

275 *Figure 2 Positive polarity ToF-SIMS survey spectra of (A) imiquimod reference and (b) Aldara™ cream, where the inset spectrum*  
 276 *shows the peak of the [M+H]<sup>+</sup> of imiquimod at m/z = 241. (c) Ion intensity values of the [M+H]<sup>+</sup> of imiquimod in Aldara™ cream*  
 277 *tape strips normalised by total ion intensity. Data is presented as the mean ± SD (n = 4). The dotted black line represents the*  
 278 *ion intensity obtained from the control skin samples.*

279

280

281 As shown in Figure 2 (a), two secondary ion peaks relevant to imiquimod are observed in the positive

282 polarity spectra, the molecular ion [M+H]<sup>+</sup> peak of imiquimod C<sub>14</sub>H<sub>17</sub>N<sub>4</sub><sup>+</sup> (m/z = 241) and the fragment

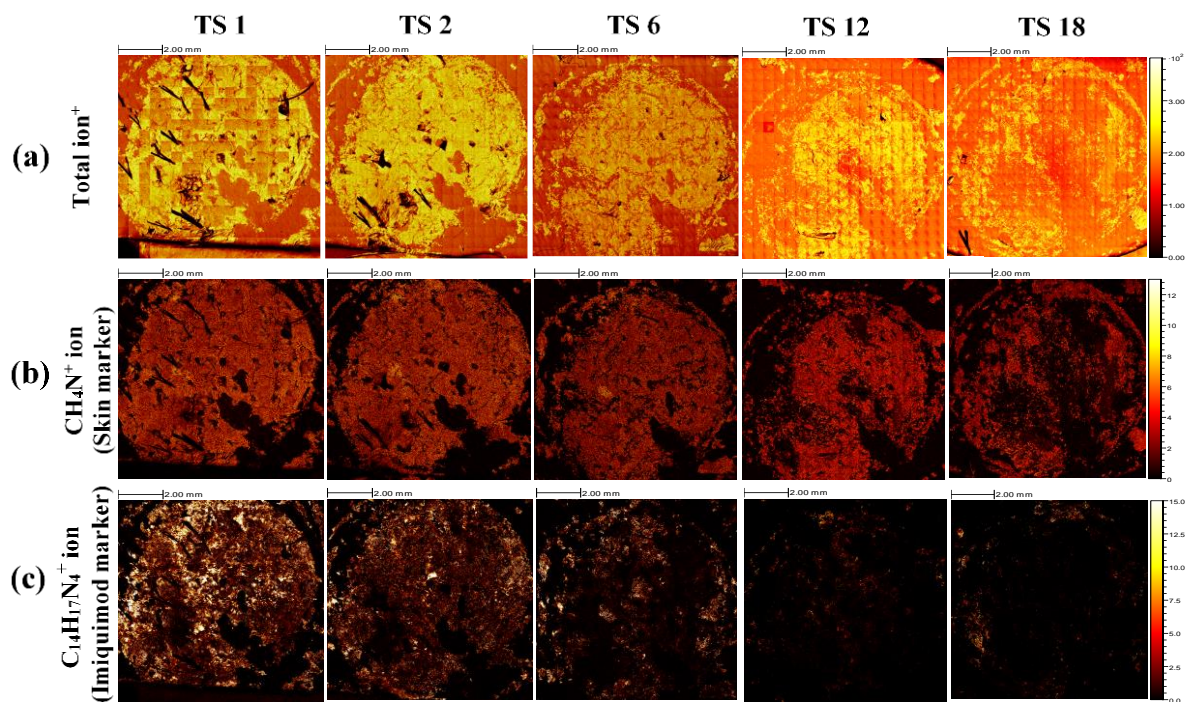
283 ion peak  $C_{10}H_9N_4^+$  ( $m/z = 185$ ). The  $[M+H]^+$  of imiquimod  $C_{14}H_{17}N_4^+$  which resulted from the ionisation  
284 of the whole imiquimod molecule  $C_{14}H_{16}N_4$  (M.wt. 240) is more intense than the fragment ion peak.  
285 In the negative polarity only a fragment ion peak,  $C_{10}H_8N_4^-$  ( $m/z = 184$ ), is observed (Supporting  
286 Information, Figure S1). The positive polarity data is therefore considered to be more informative than  
287 the negative polarity due to the presence of the  $[M+H]^+$  at a relatively high intensity which provides  
288 unambiguous identification of imiquimod. Therefore, the ToF-SIMS data of imiquimod will be  
289 presented in the positive mode only.

290 The ToF-SIMS survey spectrum of Aldara™ cream is shown in Figure 2 (b). Although the peak of the  
291  $[M+H]^+$  of imiquimod in Aldara™ cream is not as intense as observed for the pure imiquimod reference  
292 material Figure 2 (a), it is clearly resolved suggesting that ToF-SIMS can be used to identify imiquimod  
293 in Aldara™ cream.

294 To assess the exact permeation of imiquimod and visualise its distribution within the *stratum*  
295 *corneum*, tape strips obtained from Franz cell experiments were analysed by ToF-SIMS. The secondary  
296 ion intensity data for the  $[M+H]^+$  ion of imiquimod in Aldara™ cream treated skin tape strips are shown  
297 in Figure 2 (c) whereby it can be observed that this ion is observed above the control intensity  
298 throughout the series of 18 tape strips (therefore approximately illustrating the full depth of the  
299 *stratum corneum*). A decreasing ion intensity is observed from the outer surface of the skin (TS 1) to  
300 the inner layers of the *stratum corneum* (TS 18). The ability of the ToF-SIMS to analyse single tape  
301 stripped skin samples (layer by layer of skin analysis) to map the permeation of imiquimod within the  
302 *stratum corneum* has not been previously observed and this study provides further insight into the  
303 exact depth of permeation achieved with this drug. This decreasing permeation of imiquimod at the  
304 inner layers of the *stratum corneum* is consistent with the HPLC results that demonstrated a limited  
305 permeation of imiquimod into the deeper skin layers (less than 1% recovered from the remaining skin  
306 specimen).



307 ToF-SIMS ion images of the entire tape stripped area, which represents the whole exposed area of the  
 308 skin to Aldara™ cream during Franz cell diffusion experiment (9 mm diameter), are illustrated in  
 309 Figure 3. The total, skin marker ( $\text{CH}_4\text{N}^+$ ), and imiquimod marker ( $\text{C}_{14}\text{H}_{17}\text{N}_4^+$ ) ion images are shown in  
 310 Figure 3 (a, b and c respectively). The intensity are scaled to the same value to enable a valid or fair  
 311 comparison.



312

313 *Figure 3 ToF-SIMS ion images of Aldara™ cream treated skin tape strips showing the (a) the total (b) skin marker ( $\text{CH}_4\text{N}^+$ ) and*  
 314 *(c) imiquimod marker ( $\text{C}_{14}\text{H}_{17}\text{N}_4^+$ ) ions. The scanned area is (9 mm × 9 mm).*

315 An examination of the skin marker,  $\text{CH}_4\text{N}^+$  (Figure 3 (b)), shows that the amount of skin (corneocytes)  
 316 attached per tape strip is reduced moving from the outer skin surface (TS 1) towards the inner layers  
 317 of the *stratum corneum* (TS 18). Although there is some reduction, the significant reduction appears  
 318 to occur at around TS 12 and that TS 1, 2 and 6 show a large amount of stripped corneocytes. This  
 319 would be anticipated and similar observations of decreasing skin amount from the upper to lower  
 320 tape strips have been reported by other studies when corneocytes on tape strips were determined by  
 321 different methods such as the weighing method, protein assay method and UV/visible method. This

322 is due to the increased cohesion between the cells at the deeper *stratum corneum* layers compared  
323 to the outer layers which results in reduced amounts of skin being removed by a tape strip [36–38].

324 The ion images of the  $[M+H]^+$  of imiquimod (Figure 3c) are observed to decrease from the uppermost  
325 layer (TS 1) towards the deeper layer of the *stratum corneum* (TS 18) correlating with the ion intensity  
326 data shown in Figure 2 (c). Although TS 1 and 2 show a non-uniform distribution of the  $M+H^+$  ion, there  
327 are very few instances where the  $M+H^+$  ion is not present coincident with the skin marker. This  
328 suggests that within the first two layers of skin the imiquimod has permeated significantly and would  
329 potentially explain its ability to successfully treat superficial BCC tumours. The skin marker for TS 6  
330 shows some reduction in the amount of skin removed but nonetheless still shows most of the Franz  
331 cell area. The ion distributions within TS 6 exhibit some areas where the  $M+H^+$  for imiquimod and the  
332 skin marker do not correlate, where the  $M+H^+$  for imiquimod is absent. It is proposed that although  
333 imiquimod has permeated to this layer of the skin, it has not done so uniformly with absent patches  
334 up to several millimetres in diameter. It is evident from the skin marker ion that TS 12 and 18 exhibits  
335 significantly less skin than previous strips, however, it is clear that relatively little of the  $M+H^+$  ion of  
336 imiquimod can be observed correlating with the location of the skin. It is proposed that some  
337 imiquimod has permeated to the lower region of the *stratum corneum*, however, it has done so in  
338 very small areas often no larger than 1 mm in diameter.

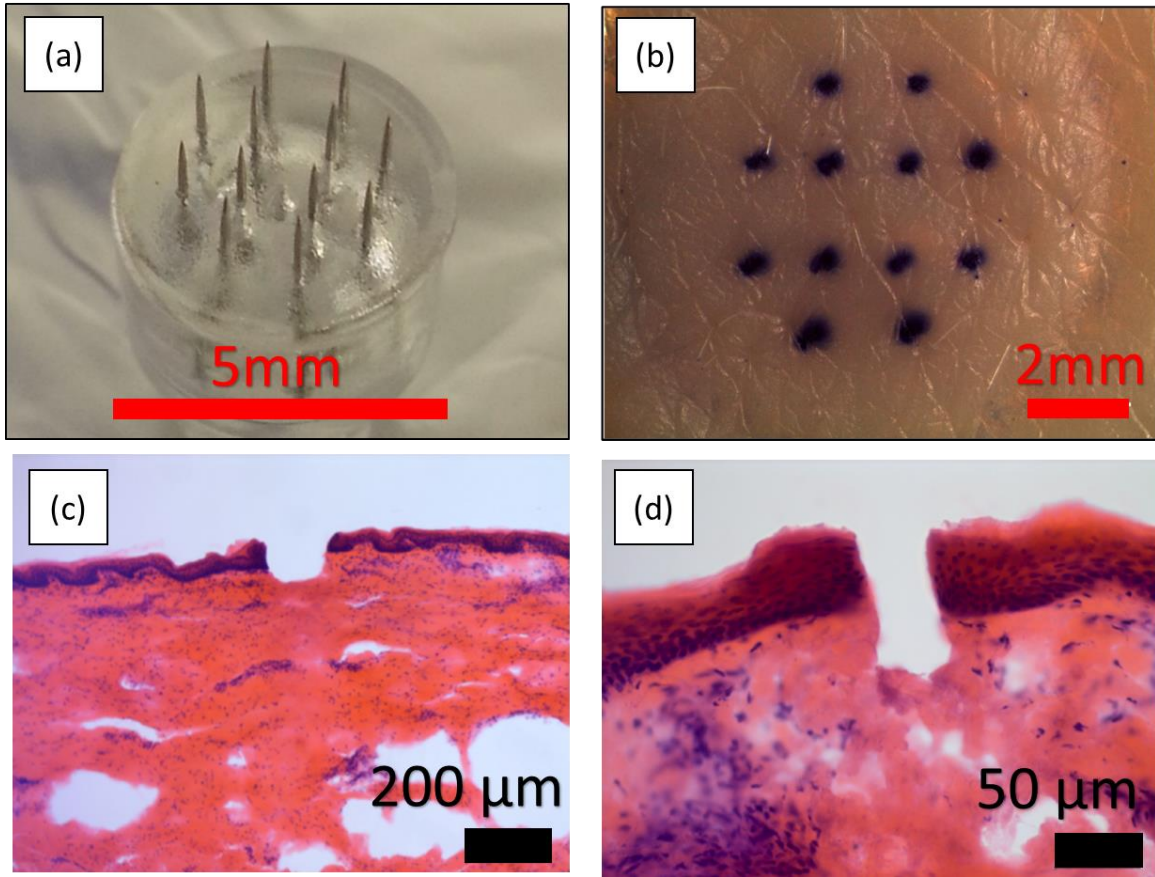
339 This observed non-uniform distribution of imiquimod within skin from TS 6 onwards can decrease the  
340 efficacy of Aldara™ cream to effectively treat whole BCC lesions. The pattern of drug distribution within  
341 the skin layers is very important in BCC because the whole lesion area should be treated evenly at the  
342 effective concentration to ensure complete cure and prevent recurrence. Therefore, the ability to  
343 assess this is of great importance, since the topical treatment of BCC lesions with Aldara™ cream has  
344 shown higher recurrence rate in comparison to surgery [8], particularly tumours with thickness > 0.4  
345 mm [39]. These findings show detailed permeation of imiquimod down to TS 18. The non-uniformity

346 in later layers supports the rationale of why FDA restrict the license of Aldara™ for the treatment of  
347 superficial BCC over nodular BCC.

348 4.3. Insertion study of microneedles and histological examination of microneedle  
349 treated skin

350 Given the limited permeation profile of imiquimod when applied as a topical cream, the utility of a  
351 skin pre-treatment using a microneedle pen as a permeation enhancement strategy was pursued.  
352 However, prior to this, the insertion profile of the device was investigated. The image of the 12-metal  
353 microneedle cartridge that is fixed in the microneedle device is shown in Figure 4 (a). The diameter of  
354 the base (circular shape) containing the 12 microneedles is 5 mm and the distance between each  
355 microneedle pin is approximately 1.5 mm.

356 To demonstrate the efficiency of the microneedle device to penetrate the uppermost layer of the skin,  
357 *en face* imaging by light microscopy was performed for the porcine skin samples treated with the  
358 microneedles and stained with gentian violet as illustrated in Figure 4 (b). These images show that the  
359 dyes are appropriately retained in the microchannels formed by the microneedles. This indicates the  
360 capability of the microneedles to successfully pierce the skin.



361

362 *Figure 4 En face images of (a) the microneedles cartridge fixed in the microneedle device used to pierce the skin, (b) porcine*  
 363 *skin following microneedle device treatment and staining with gentian violet. (c) Light microscope images H&E stained cross-*  
 364 *sections identifying the location of the microchannels within skin tissue (d) H&E stained cross-sections but at a higher*  
 365 *magnification.*

366

367 The microneedling pen penetration efficiency was observed to occur in a reproducible manner  
 368 throughout the tested skin samples which can be attributed to the fixed velocity used in the  
 369 microneedles insertion provided by the microneedle device. Verbaan *et al.* demonstrated that the use  
 370 of an electrical applicator for microneedles with 300 μm length at certain velocity facilitates the  
 371 insertion of the microneedles into the skin in a reproducible manner compared to manual application  
 372 [40]. The stained cross-sections with H&E highlight the location of the microchannels within skin tissue  
 373 and it can be observed that the microneedles penetrate the *stratum corneum* and viable epidermis to  
 374 reach the papillary dermis (PD) layer (the layer located directly beneath the viable epidermis). In order  
 375 to measure the pore size, the diameter of the stained pores could be measured to provide an estimate

376 of the size of the microchannels formed. However, as diffusion may occur, a more accurate way to  
377 estimate the pores diameter is to cryo-section the skin samples directly following insertion and  
378 measure the channel diameter via microscopy. It can be seen from Figure 4 b that the measured  
379 diameter of the pores ranged from 300 to 500  $\mu\text{m}$ . However, these values are in contrast to the  
380 measured values from the cryo-sectioned samples (Figure 4 c and d) that showed the diameter of the  
381 pores to be between 40 and 95  $\mu\text{m}$ . This overestimation of the pore size is thought to be due to the  
382 lateral diffusion of the dyes to the surrounding dermal tissue. An apparent limitation of the *en face*  
383 imaging method of visualising microneedles treated skin is the overestimation of the pore diameter  
384 because of the lateral diffusion of the dyes [41,42]. In addition, a recent study conducted by Coulman  
385 *et al.* highlighted that such overestimation may also arise from tissue processing steps which influence  
386 tissue hydration and elasticity of the skin [42]. However, such overestimation will not affect the goal  
387 of the study which is to use the microneedling pen to breach the *stratum corneum* in order to generate  
388 conduits to promote the delivery of imiquimod into the skin. A noteworthy point is that the  
389 microneedle cartridge fixed to microneedle device is disposable and can be used just for one  
390 application and then replaced with a new one for the next sample. This diminishes any damage that  
391 may occur to the integrity of microneedles from repeated applications and increases the microneedles  
392 penetration reproducibility. Simultaneously, from the clinical perspective this eliminates any safety  
393 issue generated from the breaking of the microneedles within skin from the reuse of the same  
394 microneedles.

395 .

396 4.4. Mass balance measurement and HPLC Analysis of Imiquimod from Aldara™  
397 application on microneedle pre-treated skin.

398 The mean percentage recovered amounts of imiquimod from the different Franz cell elements of the  
399 permeation study of Aldara™ cream with and without microneedles pre-treatment are reported in  
400 Table 1. It is observed in Table 1 that the mean percentage recovered amount of imiquimod from tape  
401 strips and remaining skin elements of Aldara™ cream with microneedles pre-treatment is  
402 approximately three times higher than the Aldara™ cream alone. This provides a greater opportunity  
403 for the cream to more efficiently treat whole superficial or nodular BCC lesions. In addition, the  
404 statistical comparison between the recovered amounts of imiquimod in the remaining skin shows that  
405 the recovered amount of imiquimod with microneedle pre-treatment is significantly higher (Unpaired  
406 Student's *t*-test  $p < 0.05$ ) than the Aldara™ cream alone as illustrated in Figure 5.

407

408

409

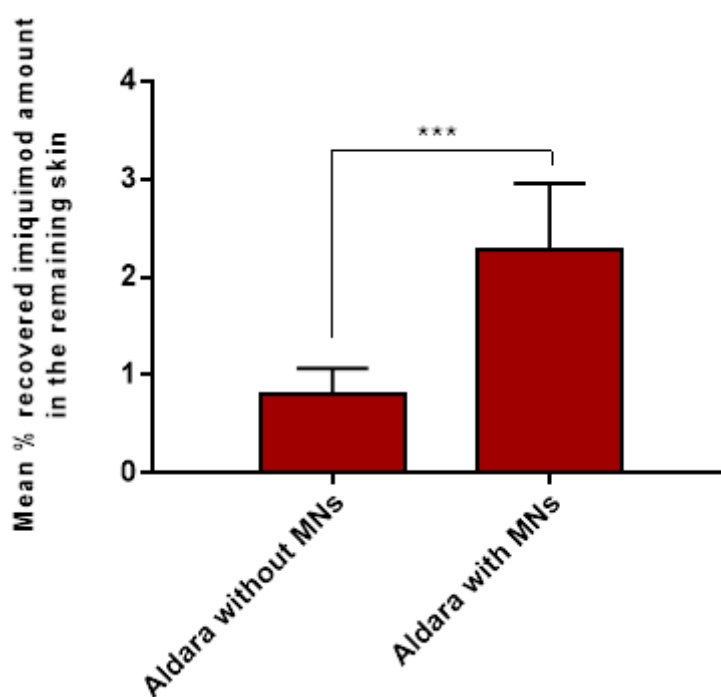
410 *Table 1 Mean percentage recovered amount of imiquimod from the different Franz cell elements of the permeation study of*  
411 *Aldara™ cream with and without microneedle pre-treatment when analysed by HPLC. Data is presented as the mean % ± SD*  
412 *(n = 6)*

<b>Analysed Element</b>	<b>Aldara™ cream only (mean % recovery ± SD)</b>	<b>Aldara™ cream with microneedles pre-treatment (mean % recovery ± SD)</b>
<b>Donor wash</b>	7.11 ± 3.27	9.38 ± 4.59

<b>Skin wash</b>	81.72 ± 8.14	72.39 ± 12.02
<b>Tape strips</b>	0.67 ± 0.13	2.38 ± 2.40
<b>Remaining skin</b>	<b>0.81 ± 0.26</b>	<b>2.27 ± 0.39</b>
<b>Receptor fluid</b>	0.17 ± 0.08	1.89 ± 0.47

413

414



415

416 *Figure 5 Mean percentage recovered imiquimod amount in the remaining skin of the permeation study of Aldara™ cream*  
 417 *with and without microneedle pre-treatment when analysed by HPLC. Data is presented as the mean ± SD (n = 6). Unpaired*  
 418 *Student's t-test p<0.05*

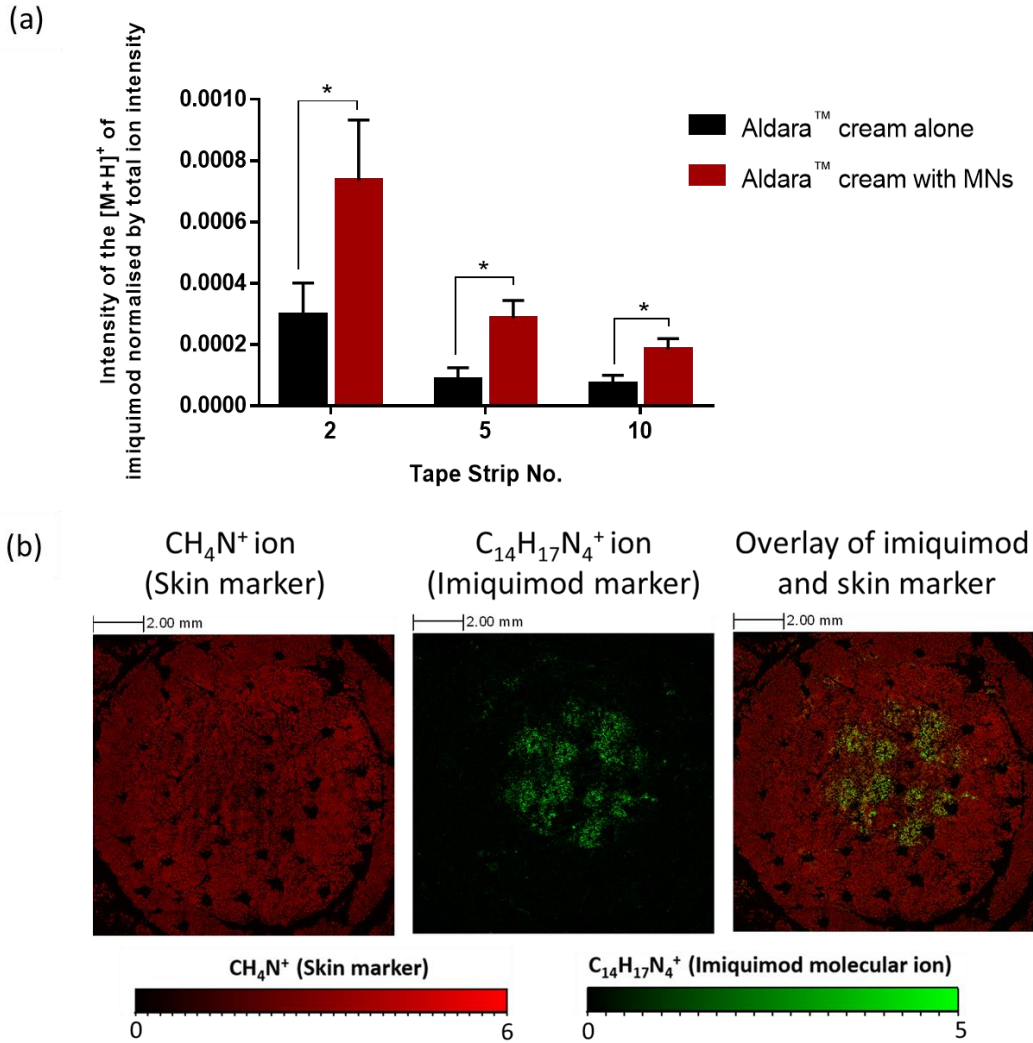
419

420 Furthermore, it is observed that with microneedle pre-treatment the imiquimod's amount in the  
421 receptor fluid is approximately ten times higher than the Aldara™ cream alone. This increase in the  
422 recovered amount of imiquimod in the receptor fluid is perhaps anticipated since the microchannels  
423 created by microneedles can reach the depth of the papillary dermis layer and thus higher amounts  
424 of imiquimod bypass the skin barriers and are presented in the receptor fluid. For *in vivo* conditions,  
425 this would suggest that higher amounts of imiquimod would be available for systemic circulation  
426 which may lead to increase the risk of imiquimod's systemic adverse effects. However, in BCC patients  
427 the *stratum corneum* becomes thicker because of the hyperkeratinisation associated with the tumour  
428 lesions [30] and the microchannels created by microneedle device may not reach the depth of  
429 papillary dermis and hence less amounts of imiquimod would be available for systemic absorption.  
430 Besides that, it could be argued that the utilisation of such device may push cancerous cells from  
431 superficial BCC into the dermis leading to the potential risk of seeding and spreading the cancer cell  
432 in a new dermal microenvironment. However, the propensity for such phenomenon is minimal due to  
433 the nature of BCC cells which is highly dependent on its microenvironment for survival[43]. However,  
434 in an attempt to limit the likelihood of such side effect, the use microneedle device could be reserved  
435 only for deeper BCC lesions such as those seen in nodular and infiltrative BCC.

#### 436 4.5. ToF-SIMS Analysis of Tape Strips from skin pre-treated with microneedles and 437 subsequent Aldara™ cream application

438 ToF-SIMS analysis of the tape strips of Aldara™ cream on microneedle pre-treated skin shows a  
439 significant increase in the ion intensity of the  $[M+H]^+$  of imiquimod in tape strips 2, 5 and 10 h for the  
440 microneedles pre-treatment samples as shown in Figure 6 (a). This indicates that a higher amount of  
441 imiquimod had permeated into the *stratum corneum* following microneedles application which is in  
442 accordance with the HPLC results (Table 1).





443

444 *Figure 6 a) Ion intensity values of the [M+H]<sup>+</sup> of imiquimod (C<sub>14</sub>H<sub>17</sub>N<sub>4</sub><sup>+</sup>) in Aldara™ cream tape strips (2, 5 and 10) with and*  
 445 *without microneedles pre-treatment normalised by total ion intensity. Data is presented at the mean ± SD (n = 4). Unpaired*  
 446 *Student's t-test p<0.05 (b) ToF-SIMS ion images of tape strip two of Aldara™ cream with microneedles pre-treatment showing:*  
 447 *the skin marker (CH<sub>4</sub>N<sup>+</sup>), the imiquimod marker (C<sub>14</sub>H<sub>17</sub>N<sub>4</sub><sup>+</sup>), and the overlaid image of imiquimod (green colour) and the skin*  
 448 *(red colour). The scanned tape strip area is of 12 × 12 mm<sup>2</sup>.*

449 ToF-SIMS ion images of tape strip two of Aldara™ cream with microneedles pre-treatment are shown  
 450 in Figure 6 (b). It can be seen that the pattern of imiquimod distribution follows the pattern of the  
 451 microneedle array on the derma pen (Figure 4 (a)). In addition, imiquimod is mostly localised in the  
 452 area disrupted by the application of the microneedle device (i.e. at a circular region in the middle of  
 453 the tape strip which corresponds to the shape of the microneedle device cartridge). Figure 6 (b) also  
 454 shows that imiquimod ion, highlighted in green, laterally diffuses out of the microchannels and  
 455 distributes to the peripheral epidermal tissue. Such findings indicate that the utilisation of

456 microneedling pen in tandem with Aldara™ cream application is able to promote lateral permeation  
457 of drug to surrounding skin tissues. Such apparent lateral permeations have been observed by various  
458 groups using conventional techniques such as fluorescent microscopy. These groups have attributed  
459 that the observed lateral permeation is due to the overlapping drug diffusion fronts from individual  
460 microneedle sites [44,45]. However, it there is yet any research to date that have observed  
461 enhancement in lateral permeation using ToF-SIMS.

462

#### 463 4.6. ToF-SIMS Analysis of Skin Cross-sections

464 ToF-SIMS analysis of cryo-sectioned skin samples were used to map imiquimod permeation within  
465 different skin layers. Skin cross-sectioning can be used as a complementary tool to the tape stripping  
466 technique to follow and visualise drug permeation within skin. ToF-SIMS analysis of the cryo-sectioned  
467 skin samples shows that the ion intensity of the  $[M+H]^+$  imiquimod from Aldara™ cream with  
468 microneedle pre-treatment is significantly higher than the ion intensity obtained from the samples  
469 without microneedles pre-treatment as shown from their overlaid spectra (Supporting Information  
470 Figure S2). This corresponds with the data obtained by tape stripping shown in Figure 6 (a). It is  
471 thought with the presence of the microchannel created by microneedles application, imiquimod  
472 penetration is not only restricted to the microchannel site but it radiates to the adjacent tissue (lateral  
473 distribution as observed in Figure 6 (b)) which results in almost continuous higher intensity zones of  
474 imiquimod localised at the upper skin strata.

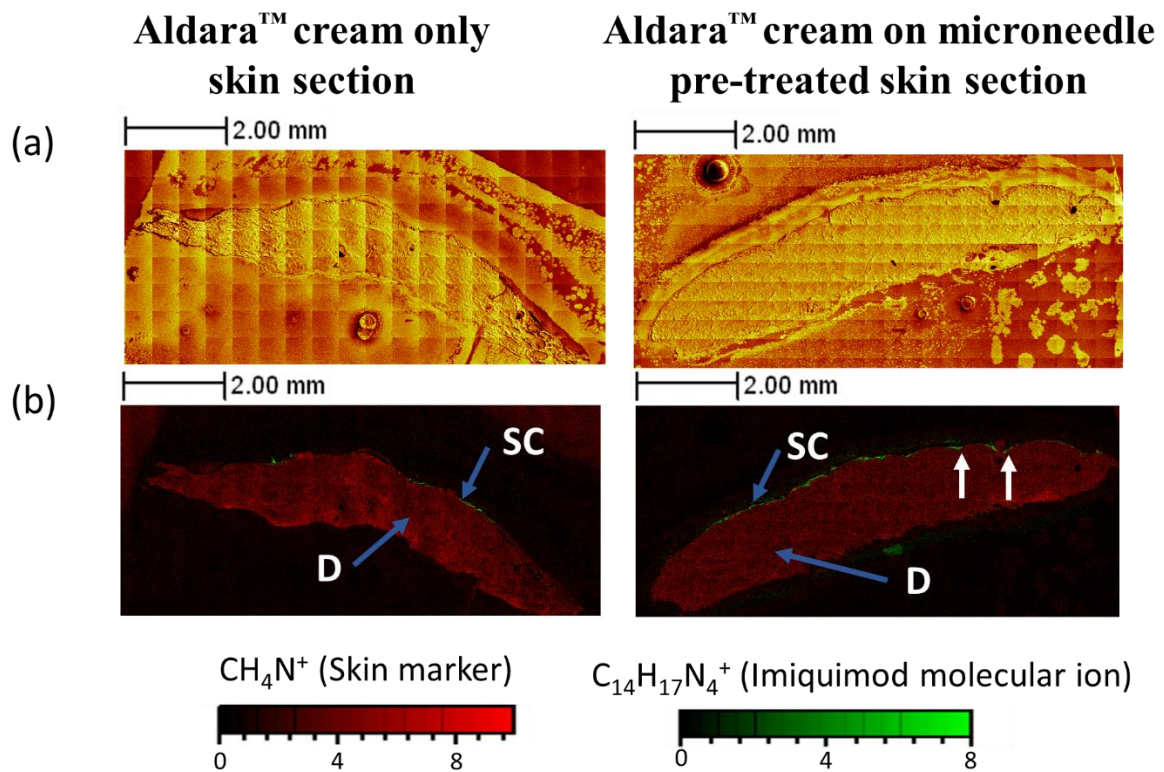
475 The whole ToF-SIMS ion images of the cryo-sectioned skin samples of Aldara™ cream with  
476 microneedles pre-treatment are illustrated in Figure 7 which show the total and the overlay image of  
477 the skin marker,  $CH_4N^+$  with imiquimod molecular ion  $C_{14}H_{17}N_4^+$  (Figure 7 a and b respectively) . An  
478 examination of the total ion image and the skin marker image indicates the location of the  
479 microchannels within the skin sections (white arrows in Figure 7 (a and b)) created by the application

480 of microneedles. The  $[M+H]^+$  imiquimod ion image shown in the overlay image (Figure 7 (b)) highlights  
481 the distribution of imiquimod at the upper layer of the skin sections in addition to its localisation in  
482 the microchannels. It is apparent from that there are some indentations in the skin that may have  
483 formed upon microneedle application. However, not the entire top layer of the cross-section contains  
484 such indentations despite the entire section of the skin analysed covers the entire microneedle treated  
485 region. This may be due to the viscoelastic nature of the skin that causes some region of the skin to  
486 recoil and recover over time from the indentations formed from microneedle application.  
487 For evaluating the difference between the two treatments in Figure 7 (b), it is worth highlighting that  
488 the imiquimod signal arising from the SC is of great interest for the comparison. From the figure it is  
489 evident that there is limited availability of imiquimod within the skin layers when applied as a topical  
490 cream alone. However, when Aldara™ is applied to the microneedle pre-treated skin, we can observe  
491 that imiquimod is mostly located in the *stratum corneum* which makes imiquimod available in this  
492 layer. Such observation would suggest lateral permeation of imiquimod following cream application  
493 on microneedle treated skin which further supports Figure 6. It is clear here that the imaging capability  
494 of ToF-SIMS illustrates where the drug is localised within the skin tissues. Such findings may be of  
495 heuristic value in guiding the development of such systems in order to improve intradermal delivery  
496 of therapeutics. However, we are unable to see imiquimod in deeper layer using cross-sections as the  
497 drug is diluted over a wide area of the dermis and epidermis. In comparison, the HPLC data from Table  
498 1 suggest increased intradermal delivery with microneedle skin pre-treatment. Such imiquimod  
499 detection was achieved as the extraction procedure concentrates imiquimod from the remaining skin  
500 allowing detection with the HPLC instrument.

501

502

503



504

505 *Figure 7 ToF-SIMS ion distribution map of porcine skin cross sections from Aldara™ cream on intact skin and microneedles*  
506 *pre-treated skin. (a) the total ion+, (b) an overlay image of the skin marker ( $\text{CH}_4\text{N}^+$ ) with imiquimod marker ( $\text{C}_{14}\text{H}_{17}\text{N}_4^+$ ) to*  
507 *indicate the localisation of imiquimod within the stratum corneum. SC indicates stratum corneum, D indicates dermis. White*  
508 *arrows indicate microneedle indentation into the skin that still persist after 24 hours. The skin cross-section covers the*  
509 *microneedle-treated part of the skin as the microneedle array is 5mm wide.*

510 The HPLC and ToF-SIMS results of Aldara™ cream with microneedles pre-treatment quantitatively and  
511 qualitatively demonstrate increased delivery of imiquimod into the epidermal skin layers and suggest  
512 its potential usefulness for more efficient treatment of both superficial and nodular BCC lesions. In  
513 addition, both the Aldara™ cream and the microneedle device are commercially available systems  
514 making them easily accessible. This study is considered to be a proof-of-concept analysis providing an  
515 insight into the potential use of microneedles for improving imiquimod's skin penetration and further

516 *ex vivo* and *in vivo* investigation on human skin with BCC lesions are required to optimise the final  
517 application conditions.

## 518 5. Conclusion

519 The current work demonstrates a novel application of Franz diffusion cells, skin tape stripping and skin  
520 cryo-sectioning with subsequent analysis by HPLC and ToF-SIMS to map and visualise the distribution  
521 of imiquimod into the skin from the commercial product Aldara™. The ToF-SIMS ion images of Aldara™  
522 cream tape strips illustrated a non-uniform distribution of imiquimod within deeper skin strata which  
523 is consistent with the FDA approval and clinical trials for the treatment of superficial BCC. In addition,  
524 this study also highlights the potential advantages of solid microneedles skin pre-treatment in  
525 conjugation with Aldara™ cream application to enhance delivery of imiquimod into the epidermal  
526 layers of the skin for the treatment of the deeper and more invasive nodular BCC lesions. This work  
527 also demonstrates the heuristic value and complementary role of ToF-SIMS technique in the analysis  
528 and imaging of imiquimod permeation into the skin with high sensitivity and chemical specificity  
529 without the need of fluorescent tags or radiolabels.

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538 Conflict of Interest

539 Conflicts of interest: none.

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