

1 **Using lamb sales data to investigate associations between implementation of**
2 **disease preventive practices and sheep flock performance**

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12
13 Short title: Factors associated with sheep flock performance

14
15 **Abstract**

16 Although the United Kingdom (UK) is the largest lamb meat producer in Europe,
17 there are limited data available on sheep flock performance and on how sheep
18 farmers manage their flocks. The aims of this study were to gather evidence on the
19 types of disease control practices implemented in sheep flocks, and to explore
20 husbandry factors associated with flock productivity. A questionnaire focusing on
21 farm characteristics, general husbandry and flock health management was carried
22 out in 648 farms located in the UK over summer 2016. Abattoir sales data (lamb
23 sales over 12 months) was compared to number of breeding ewes on farm to
24 estimate flock productivity (number of lambs sold for meat/100 ewes/farm/year).
25 Results of a multivariable linear regression model, conducted on 615 farms with

26 complete data, indicated that farms vaccinating ewes against abortion and clostridial
27 agents and administering a group 4/5 anthelmintic to ewes (as recommended by the
28 Sustainable Control Of Parasites in Sheep Initiative, **SCOPS**) during quarantine had
29 a greater flock productivity than farms not implementing these actions ($P < 0.01$ and
30 $P = 0.02$ respectively). Flocks with maternal breed types had higher productivity
31 indexes compared to flocks with either pure hill or terminal breeds ($P < 0.01$). Farms
32 weighing lambs during lactation had greater productivity than those not weighing
33 ($P < 0.01$). Importantly, these actions were associated with other disease control
34 practices; e.g. treating individual lame ewes with an antibiotic injection, weaning
35 lambs between 13 and 15 weeks of age and carrying out faecal eggs counts,
36 suggesting that an increase in productivity may be associated with the combined
37 effect of these factors. This study provides new evidence on the positive relationship
38 between sheep flock performance and disease control measures and demonstrates
39 that lamb sales data can be used as a baseline source of information on flock
40 performance and for farm benchmarking. Further research is needed to explore
41 additional drivers of flock performance.

42

43 **Keywords:**

44 Sheep; Questionnaire; Productivity; Vaccination; Husbandry

45

46 **Implications (100 words max)**

47 This study describes, for the first time, the types of disease control practices applied
48 on commercial sheep farms in the **UK** (United Kingdom). The study is the first to
49 assess the degree of penetration of these actions among the British sheep farming
50 industry. Identification of husbandry practices with a positive association with sheep

51 flock performance can be used to promote flock health practices among the sheep
52 farming community. This study shows that lamb sales data can be used for a
53 baseline comparison of productivity levels between farms.

54

55 **Introduction**

56 In 2016, 290 thousand tonnes of lamb meat were produced under extensive, grass-
57 based systems located in the UK, accounting for 36% of the total European Union
58 sheep meat production in that year (Food and Agriculture Organization Statistics).
59 Despite the large size of the sheep population, there are limited data on how sheep
60 farmers manage their flocks in the UK. The limited data available provides only
61 numbers of sheep, mean production metrics from small samples of flocks and
62 passive disease surveillance activities. Several surveys have gathered specific
63 information on disease prevalence but none has collected information on whole
64 sheep flock husbandry practices and their associations with flock output. Therefore,
65 investigating factors affecting flock performance could prove beneficial for the sheep
66 farming sector. In the past, research based on experimental studies has identified
67 single factors with an impact on flock productivity, including genetics (Walkom et al.,
68 2014), nutrition (Fraser et al., 2004), disease (Green et al., 1998), and reproduction
69 (Kelly and Johnstone, 1982). However, comparisons on the relative importance of
70 different factors have not been established.

71 To estimate the relative impact of multiple factors that influence flock production, a
72 small number of international studies have investigated the effect of management
73 practices on flock performance (Doré et al., 1987; Townsley and Parker, 1987;
74 Bohan et al., 2017) showing that a number of management factors are associated
75 with flock performance, but the different proxies of flock performance hamper a direct

76 comparison between factors. This suggests that the use of a standardised metric
77 based on abattoir data, could simplify the identification of factors with a consistent
78 effect on flock performance. Although abattoir clinical feedback is routinely used for
79 disease surveillance purposes, its use for monitoring livestock flock performance is
80 limited.

81 Against this background, the aims of the current study were a) to gather key
82 background information on current commercial flock management practices carried out
83 in UK commercial sheep farms, b) explore the use of lamb abattoir sales data as a
84 proxy of flock productivity; and c) to investigate the relationship between flock
85 husbandry practices and flock productivity. Given that husbandry practices carried out
86 on sheep enterprises are comparable between the UK and other sheep producing
87 nations, the hypothesis explored in this study are likely to be relevant to a worldwide
88 audience.

89

90 **Material and methods**

91 *Questionnaire design*

92 The questionnaire was composed of three sections: i) farm and flock characteristics),
93 ii) animal movements on and off farm, and ii) flock disease control and prevention
94 management practices. Decisions around which questions to include were taken
95 from a literature review and the clinical experience of an author of this manuscript
96 (FL). The first section of the questionnaire asked about farm location and altitude,
97 flock size and breeds, participation in “environmental schemes”, other farm
98 enterprises, and grassland area. The second part of the questionnaire inquired about
99 animal movements in and off-farm such as number of lambs sold for meat per month,
100 ewe culling rate and store lambs purchased. The final and largest section of the

101 questionnaire focused on management of lambing and flock disease prevention and
102 control actions. The first questions of this section asked about frequency of contact
103 with a veterinary surgeon, flock information recording practices, criteria for selecting
104 culling ewes and types of vaccines used in the flock. Then, questions on parasite
105 control and procedures carried out in quarantined sheep were presented. The
106 lambing management questions included type of procedures carried out in baby
107 lambs. All questions referred to practices carried out from May 2015 to April 2016,
108 except the questions relative to lambing management, which referred specifically to
109 2016. The questionnaire was piloted by FL on eight sheep farmers and their
110 comments were used to make a final version of it. The final questions were entered
111 in software specifically designed for the purpose of this study.

112

113 *Data collection*

114 The population under study comprised commercial sheep farmers supplying finished
115 lambs to a major British food retailer. Nine hundred and twenty farmers who regularly
116 supplied and were engaged with the retailer, were selected for the study and asked
117 to respond to the questionnaire. Out of these, 746 accepted, and 615 provided
118 sufficient data to be used in a final model (initial response rate = 81%, final model
119 response rate = 67%). Farmers who agreed to participate were visited during
120 summer 2016 by twelve trained, interviewers who were independent of the retailer
121 and researchers. Interviewer training, undertaken by FL, included an explanation of
122 the purpose of the questionnaire with discussion and clarification of individual
123 questions where necessary. During training, the interviewers undertook role play
124 scenarios to ensure consistency while asking the questions in a conversational style.
125 Responses were entered into questionnaire software. Before the farm visit, abattoir

126 sales data (i.e. monthly lamb sales) for each farm were provided by the meat
127 processors and entered in the study software. During the visit, farmers were asked to
128 validate these records by comparing them with their own. If necessary, lamb sales
129 records were amended to account for lambs sold for meat to other parties.
130 The questionnaire responses and corresponding abattoir sales data for each farm
131 were provided to the authors of this paper in a spreadsheet, with farmers and farms
132 identity coded to maintain their anonymity.

133

134 *Data cleaning*

135 Farm, flock and lamb sales records were imported to Stata Version 15 (Stata Corp.,
136 College Station, TX) software for data cleaning and analysis (n=746). Farms
137 purchasing store lambs were excluded from the dataset (n=80) as purchased lambs
138 would interfere with the calculation of the flock productivity index, which focused on
139 lambs born on farm. In order to detect data entry errors or farms with biologically
140 implausible values, indexes representing the number of lambs born/ewe/year and the
141 number of lambs sold/ewe/year were calculated and compared with industry
142 reference values. Based on this, a cut-off of maximum level of 2.0 lambs sold for
143 meat per ewe per year was set, and farms with values above this were excluded from
144 the analysis (n=18). The final sample was composed of 648 farms.

145

146 *Statistical analyses and model building*

147 Following coding of categorical variables, descriptive statistics were calculated.
148 Since not all respondents answered all questions, denominators are reported when
149 relevant. Descriptive statistics of variables with more than 300 data points were
150 evaluated and reported but to avoid loss of analytic power, only variables with a

151 minimum of 475 data points were carried forward to be tested in multivariable
152 analysis. Median and interquartile ranges (**IQR**) were used to represent the spread of
153 the distributions due to the skewness of the responses. Chi-squared tests were used
154 to explore pairwise associations between categorical variables

155 We defined flock productivity as the “number of lambs sold for meat per 100 ewes
156 per year” (relative to period between June 2015 and June 2016) and this was the
157 outcome of interest in this study. This index was based exclusively on sales of lambs
158 sold for meat, not comprising animals kept or sold for breeding.

159 The explanatory variables of interest were flock management practices carried out
160 between April 2015 and June 2016. In order to explore management factors most
161 likely to be associated with flock productivity (number of lambs sold for meat per 100
162 ewes per year), univariable linear regressions were carried out. Following this
163 screening process, variables with $P \leq 0.1$ and at least 475 data points were taken
164 forward as well as variables considered potential confounders. Non-linear effects
165 were tested by adding polynomial terms of the continuous variables into the model.
166 Interactions terms were tested between terms that were included in the final model,
167 although it was recognised that the sample size may have been limiting for
168 identification of interaction terms (Gelman, 2018).

169

170 Model assumptions were checked. The normal distribution of residuals was assessed
171 by visual observation of the plotted residuals and observation of the kernel density
172 estimate. The homoscedasticity (constant variance) of the residuals was verified both
173 graphically and by running the Breusch-Pagan test (P -values >0.05). Absence of
174 multicollinearity in the model was assessed by examining the variance inflation

175 factor. The overall influence of each observation on the final model was checked by
176 calculating the Cook's distance (Dohoo et al., 2003).

177 Due to the great number of variables assessed in the context of this study and the
178 inherent increased risk of multicollinearity, we also compared the multiple regression
179 model results with the results of a penalised regression method, elastic net (Zou and
180 Hastie, 2005; Kuhn and Johnson, 2013). Additionally, we conducted analysis using
181 Multivariate Adaptive Regression Splines (**MARS**) (Friedman, 1991; Kuhn and
182 Johnson, 2013) to investigate non-linear relationships between the predictors and the
183 outcome.

184

185 **Results**

186 *Descriptive statistics*

187 *Farm and flock characteristics.* The median flock size and median farm grassland
188 area were 500 ewes (IQR 269 – 900) and 118 hectares (IQR 60 – 235) respectively
189 (648/648 observations). Seventy-seven per cent (500/648) of the farms operated a
190 mixed enterprise (beef and sheep). Overall, the number of lambs sold finished per
191 100 ewes per year was 108 (IQR 82 – 135) (648 observations). Detailed information
192 about farm characteristics and animal movements in and off farm is provided in Table
193 1 and supplementary material table S1.

194

195 *Frequency of contact with a veterinary surgeon.* Sixty-seven per cent (242/362) of the
196 farmers indicated they had one visit from the veterinarian, 15% had two visits, 14%
197 had three or more visits in the last year and only 2% had no visits from a vet.

198

199 *Culling management.* Ewe age was indicated by 42% (272/641) of respondents as
200 the primary reason for selecting ewes for culling, followed by mastitis (25%) and
201 tooth loss (21%); lameness, infertility, prolapse or poor condition was indicated by
202 only 2% of the farmers. For record keeping of culling information, 69% of the farmers
203 (236/341) used a notebook, 25% an **EID** (electronic identification) handheld, 6% a
204 computer and 2% a smartphone.

205

206 *Lambing management.* Twelve per cent (79/663) of the farms started lambing in
207 January, 21% in February, 46% in March and 18% in April. Not all farms weaned
208 lambs at the same age: 38% of the flocks weaned lambs between 15 - 17 weeks of
209 age, 24% weaned lambs when they were 17 weeks or more, 20% weaned lambs
210 between 13 -15 weeks and 18% at 11-13 weeks. Less than half of the farmers
211 weighed the lambs at least once during lactation (45%, 287/632). Additional
212 procedures carried out in new born lambs are presented in Table S2.

213

214 *Vaccination practices.* Thirty-nine per cent (252/648) of the farmers vaccinated ewes
215 against both clostridial diseases and abortion agents. Fifty-five per cent (354/648) of
216 the farmers vaccinated ewes against clostridial diseases only, 2% vaccinated their
217 ewes against abortion agents only (*Chlamydia abortus* or *Toxoplasma*), and 5%
218 (34/648) of farmers did not use any vaccines in their flock.

219

220 *Endoparasites control.* *When asked about the reasons for administering*
221 *anthelmintics to lambs, 50% (318/631) of farmers indicated using anthelmintics*
222 *based on a pre-defined schedule, 28% based on presence of clinical signs*
223 *compatible with endoparasitism, 19% based on results of Faecal Egg Counts (FEC),*

224 3% on grazing history and less than 1% on lamb live weight gains. Seventy-one per
225 cent (452/633) of farmers did not carry out a FEC in the previous year and 12%
226 conducted a FEC up to three times. The great majority (>80%) of farmers did not test
227 for anthelmintic resistance in their flock. For information on anthelmintic resistance
228 types please refer to Table S3.

229

230 *Flock biosecurity.* Only a small proportion of farms was breeding their own
231 replacement ewes and rams (31% and 10% of the flocks, respectively). For
232 additional information on procedures carried out during quarantine, please refer to
233 Table 2. A very small proportion of farms screened their flock for “iceberg” infectious
234 diseases during the previous year: for instance, only 5% (32/640) of the farms
235 screened their flock for Maedi-Visna (**MV**), and less than 2% of farms screened their
236 flock for Caseous Lymphadenitis (**CLA**), Border disease or Ovine Johne's Disease
237 (**OJD**).

238

239 *Associations between variables*

240 Figure 1 summarises the significant associations found between flock management
241 practices. For instance, a farmer weighing lambs during lactation was more likely to
242 perform several other practices (e.g. treating individual lame ewes with an antibiotic
243 injection, weaning lambs at age 13-15 weeks of age, treating lame ewes individually
244 with an antibiotic injection, testing for flock anthelmintic resistance, and purchasing
245 ewe replacements from farms) than a farmer not doing so. A farmer vaccinating
246 against both clostridial and abortive agents was more likely to footbath ewes during
247 quarantine, wean lambs between 13 and 15 weeks of age, perform FEC counts in
248 lambs and record culling information using an EID device and have a greater number

249 of visits from a veterinary surgeon. For the *P*-values of the associations between
250 these variables please refer to Supplementary Table S4.

251 The proportion of farms applying management practices varied by UK region (Table
252 3). While there was wide variation in the proportion of farms weighing lambs during
253 lactation (from 32% in Northern Ireland to 71% in the North of England), there was
254 little difference in the proportion of farms treating lame sheep with the best practice
255 among regions (from 79% to 95%).

256

257 *Multivariable regression model of flock and husbandry factors associated with flock*
258 *productivity (number of lambs sold/ewe/year)*

259 A greater flock productivity (i.e. a higher number of lambs sold for meat per 100
260 ewes) was associated with a number of flock husbandry practices in multivariable
261 analysis (Table 4). Weighing lambs during lactation, administering a Group 4/5
262 anthelmintic (monepantel or combination of derquantel and abamectin, respectively)
263 to ewes during quarantine, and vaccinating ewes against both abortion
264 (*Chlamydophila abortus* or *Toxoplasma*) and clostridial agents were associated with
265 a greater flock productivity. Additionally, flocks with maternal or terminal types
266 showed higher productivity when compared to flocks with pure hill or pedigree
267 breeds. Being part of an environmental stewardship scheme showed a negative
268 association with flock productivity. In contrast, being a lowland farm (i.e. farm located
269 below 200 m of altitude) was associated with a higher flock performance. This model
270 explained 26% of the total variance ($R^2= 0.26$).

271 Due to the fact that the variable “vaccinating ewes against both clostridial and
272 abortion agents” was strongly associated with the variable “ewes were treated for
273 lameness individually with an antibiotic injection”, an alternative model using this

274 variable instead was built. This predictor had a coefficient of 8.8 (C.I. 1.1 – 16.4),
275 meaning that, according to this model, treating individual lame ewes with an antibiotic
276 injection was associated with an additional production of 8.8 lambs per 100 ewes per
277 farm per year. This alternative model explained approximately the same proportion of
278 model variance (0.25 in contrast to 0.26).

279

280 *Model fit results*

281 The major assumptions of a linear regression model were confirmed by visual
282 observation. All observations had a Cook's distance ≤ 0.3 , suggesting that no
283 observations had a large influence on the outcome (Dohoo et al., 2003). The best
284 elastic net model and the MARS models were very similar to the multiple linear
285 regression model, with similar coefficients and model fit. This suggested that
286 multicollinearity was not an issue and that non-linear relationships were not present
287 within the data, indicating that the model coefficients were reliable.

288

289 **Discussion**

290 *Current sheep flock health management practices in the United Kingdom*

291 This is the first large-scale study investigating flock health practices carried out
292 during a full production cycle on commercial UK sheep farms. The study brings new
293 insights on the actions carried out at quarantine, vaccination practices, infectious
294 diseases screening, main source of replacement animals and reasons for selecting of
295 animals for culling.

296 The results suggest that the uptake of disease prevention practices in the studied
297 farms was relatively poor. Only 10% and 18% of the respondents footbathed newly
298 purchased sheep (for lameness prevention) and administered a recommended

299 anthelmintic drug, respectively, and a low proportion screened their flock for
300 anthelmintic resistance or production limiting infectious diseases. Considering the
301 large numbers of sheep that are being moved between farms in the UK and that 82%
302 of the flocks of this study were not “closed” (i.e. female replacement animals were not
303 bred on farm), the relatively low rate of application of disease prevention practices
304 may be cause for concern from a disease transmission perspective. The lack of
305 baseline data on uptake of disease control measures by UK sheep farmers does not
306 allow an evaluation of trends over time; however, these results were not unexpected.
307 Previous studies showed that the extensive nature of sheep farming coupled with the
308 lack of labour to gather sheep act as barriers for implementation of disease control
309 practices (Morgan-Davies et al., 2006).

310 Farmers’ responses on how flock decisions are made and type of records collected
311 suggest that flock record keeping in the sheep farms was low, confirming previous
312 evidence (Kaler and Green, 2013a); the fact that 82% of the respondents did not ear
313 tag any lamb during the previous lambing season suggests that lamb performance
314 recording was not a priority. Use of anthelmintics “based on a pre-defined schedule”
315 was preferred over other types of assessment that required use of records and the
316 selection of ewes for culling was mainly based on ewe age, rather than metrics such
317 as lameness or low productivity, which require record keeping but are more useful
318 from a flock productivity point of view. Poor record keeping is likely to be a missed
319 opportunity for improved production efficiencies because keeping records is crucial
320 for the evaluation of production system alternatives (Croston and Pollot, 1994; Kaler
321 and Green, 2013).

322 In light of the concerns regarding antibiotic usage, it is worthy of note that a relatively
323 high proportion of farmers indicated having administered oral antibiotics

324 prophylactically to newborn lambs (31%) and that 5% did so with an unlicensed
325 product. In line with these results, a recent study quantifying the amount of
326 antibiotics prescribed to sheep enterprises reported that 47% of studied flocks were
327 prescribed oral antibiotics for newborn lambs, although type of usage
328 (treatment/prophylaxis) was not specified (Davies et al., 2017). An even higher
329 proportion (68%) of farmers administering oral antibiotics prophylactically to newborn
330 lambs was reported by Douglas and Sargison (2018), however, the study design and
331 type of farms studied differed, which may explain the differences found.

332

333 *Associations between flock performance and husbandry practices*

334 This is the first study to use data provided by abattoirs about numbers of lambs sold
335 to estimate flock performance. The identification of flock management decisions
336 associated with greater productivity is of potential interest for the development of the
337 sheep farming industry. Flock breed was a powerful factor explaining flock
338 productivity (i.e. number of lambs sold for meat per 100 ewes per year per farm).
339 Flocks with maternal breeds (i.e. Welsh 'half-bred', North of England 'mule') had a
340 greater productivity than flocks composed of "pure hill", "terminal" or "pedigree"
341 breeds. A partial explanation of this is the greater number of breeding animals kept or
342 sold by 'pure' or 'pedigree' flocks, which were not accounted for by this study.
343 Maternal breeds tend to show higher fertility, fecundity and good mothering traits
344 (Bradford, 1972), possibly contributing to greater flock outputs. Similar results were
345 reported by Bohan *et al.* (2017). Interestingly, weighing lambs during lactation was
346 associated with a greater flock productivity, possibly because data on lamb weights
347 allows more targeted management interventions in nutrition and endoparasite
348 control. Weighing lambs was also reported by Townsley and Parker (1987) to be

349 associated with higher flock productivities. A positive association between higher
350 flock productivity and vaccinating the flock against abortion agents
351 (toxoplasmosis/chlamydiosis) was also observed. This is likely to be due to a lower
352 number of lamb deaths during pregnancy and the first weeks of life, resultant from
353 protection against the former infectious agents. Both toxoplasmosis and chlamydiosis
354 have a relatively high prevalence in the UK (Hutchinson et al., 2011) and a
355 considerable impact in sheep farming (Buxton et al., 2007). Additionally, an
356 association between flock productivity and administering a 'Group 4' or 'Group 5'
357 anthelmintic to ewes during quarantine was observed, which is line with the
358 guidelines promoted by the Sustainable Control of Parasites (**SCOPS**) initiative
359 (McMahon et al., 2013). Increasing resistance rates to older anthelmintics is leading
360 to a lower efficacy of treatments against endoparasites (Sargison et al., 2007; Glover
361 et al., 2017) and a consequent increase of the related deleterious effects of these on
362 sheep production. In contrast, resistance to Group 5 anthelmintics has not been
363 reported and resistance to group 4 anthelmintics only recently reported (Hamer et al.,
364 2018). A greater number of days to finish lambs not treated with Group 5 products
365 has been reported in the literature (Miller et al., 2012), which again aligns with results
366 found in this study.

367 Farms located in lowland areas (below 200m of altitude) tended to have a greater
368 flock productivity. Lower areas of the country are generally associated with greater
369 agricultural outputs (Croston and Pollot, 1994). Additionally, farms that were part of
370 an environmental stewardship schemes showed lower productivity per ewe than
371 farms not part of these programs. Environmental schemes promote a responsible
372 use of land and protection of the natural environment, and are not always compatible

373 with more intensive flock management options (such as application of grassland
374 fertilizer), which may explain the differences seen.

375 Importantly, administering antibiotics prophylactically at lambing time was not
376 associated with an increase in flock productivity. Given the current concerns about
377 antibiotic usage in livestock and the relatively high proportion of farms administering
378 oral antibiotics prophylactically to new born lambs observed in this and previous
379 studies, this is worthy of note.

380 It is important to highlight that despite limited numbers of observations being
381 available for some explanatory variables, there appears to be a set of disease control
382 practices identified in our final model that were associated with other actions (Figure
383 1). Further research is needed to carefully quantify the impact of specific health
384 management practices on productivity and to ensure that relationships identified are
385 truly causal.

386 Results of this study confirmed that flock genetics are a relevant factor explaining
387 flock productivity, and also that health-related husbandry practices are an important
388 aspect of flock performance. The relevance of disease control practices for the
389 performance of more intensively reared livestock species is well recognised (Dorea
390 et al., 2010), but to the authors' knowledge this is the first time such a relationship
391 has been observed in sheep farming. Since health-related factors explained 26% of
392 the overall flock productivity, it is likely that factors such as flock nutrition, grassland
393 management, farmer objectives or farmer attitudes (Townesley and Parker, 1987;
394 Denney et al., 1990) could help to explain additional variability between farms.

395 This study illustrated that lamb sales data can be a useful source of information for
396 baseline farm benchmarking which is less sensitive to recall bias issues than other
397 sources of data reliant exclusively on farmer records. However, a drawback of the

398 productivity index used in this study (i.e. number of lambs sold for meat per 100 ewes
399 per year) is that farms keeping or selling a higher number of breeding animals, and
400 therefore selling fewer lambs to the abattoir, will be classified as less productive .
401 Future research that incorporates these additional aspects of lamb productivity would
402 be beneficial.

403

404 *Limitations of this study*

405 It is difficult to be certain that the sample of farms responding to the survey was
406 representative of the target population (i.e. commercial sheep farmers supplying
407 finished lambs to a major British food retailer); farms that decided to participate in the
408 development group may have been systematically different from those not participating
409 (e.g. flock size, geographical distribution). Therefore a baseline assumption in our
410 study is that the biological associations identified in this research would remain
411 applicable to this wider population. Unfortunately a lack of published data (on general
412 farm characteristics) hampers any comparison between our study and target
413 populations which could help to confirm representativeness.

414 Other potential areas of bias in the sample population could have arisen from volunteer
415 bias (responders being different from non-responders), recall bias (farmers having
416 difficulty recalling practices carried out during the previous year), interviewer bias
417 (answers to questions to questions being influenced by the person asking questions)
418 and acceptability bias (farmers tending to give replies they feel place them in a good
419 light).

420 Given the response rate (67%), the extent of volunteer bias is likely to be minimal. The
421 very high response rate of this study could be due to three reasons. Firstly, all the data
422 requested were to be collected at a single farm visit which required minimal effort from

423 the farmer. Secondly, and perhaps more importantly, farmers were asked to participate
424 by a party purchasing their lamb, with whom they already had a history of business
425 and goodwill. And thirdly, we believe farmers were interested to know the results of the
426 research and were told they were going to receive feedback on results.

427 Acceptability bias could have arisen because data were collected on behalf of a retailer
428 and responses could have been biased if respondents considered that the answers
429 provided may not have been anonymous. However, the distribution of the answers on
430 sensitive areas suggests that there was no systematic alignment of responses with
431 what is considered 'best practice'. Responses were compared with data from previous
432 studies, when available, and the responses did not differ considerably. For instance,
433 rates of prophylactic usage of antibiotics at lambing were only slightly below that
434 previously reported (Davies et al., 2017) and some farmers reported the use of
435 antibiotics unauthorised for use in sheep.

436 Interviewer bias could have arisen from aspects of social desirability (especially
437 relevant in sensitive questions) or normative question order effects (i.e. respondents
438 adjusting their answers to take into account answers to earlier questions) (Dillman et
439 al., 2009). To minimize this bias, interviewers were thoroughly trained on how to
440 administer the questionnaire. A term for "interviewer" could have been used in the
441 statistical models for adjust for any systematic influences of the interviewers but
442 unfortunately this information was not available. Therefore, a baseline assumption of
443 the study is that the influence of interviewer was minimal and, in particular, did not
444 affect relationships between predictor variables and lamb production.

445 A final potential source of bias was recall bias. This was limited by carefully phrasing
446 questions and using abattoir-reported data (which was further validated by farmers'

447 records) to estimate flock productivity. Furthermore, farmers were asked to use
448 records to confirm their responses wherever possible.

449 Despite the possible limitations of this study, the associations found between
450 husbandry practices and flock productivity represent the first evidence in identification
451 of important management strategies with beneficial impacts on the productivity of
452 commercial sheep flocks.

453

454 **Conclusions**

455 Results of the current study provide plausible estimates of the extent of
456 implementation of disease control practices in commercial sheep flocks supplying
457 finished lambs to a major British food retailer. The study offers novel insights into the
458 importance of disease control practices and routine monitoring for greater flock
459 efficiencies, highly pertinent for the sheep farming industry. The research also
460 illustrated that lamb sales data are a useful and easily available source of information
461 on flock performance although the accuracy of the productivity index could be further
462 enhanced by collecting information on breeding lambs kept and sold for breeding
463 and by incorporating more detailed abattoir data (such as carcass grades or
464 deadweight information). Further research is needed to explore additional factors
465 with a potential influence on flock productivity including an assessment of
466 generalizability based on model cross-validation.

467

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471

472 **Declarations of interest**

473 The authors have declared that no competing interests exist.

474

475 **Ethics statement**

476 The study was approved by School of Veterinary Medicine and Science Ethics

477 Committee (no: 1537 150907).

478

479 **Software and data repository resources**

480 Stata 15 was used for data cleaning and analysis. The model was not deposited in

481 an official repository.

482

483 **List of references**

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558 **Tables**

559 *Table 1. Farm and flock characteristics of 645 sheep farms participating in the study, stratified by farm geographical location and*
 560 *farm altitude.*

	Number of farms	Median flock size (IQR – Inter-quartile range)	Median farm grassland area (Ha) (IQR)	Proportion of farms supported by an environmental stewardship¹	Median number of lambs sold finished per 100 ewes per year (IQR)
Scotland	10% (64/645)	746 (IQR 450 – 1418)	291 (IQR 136 – 632)	25% (16/64)	107 (IQR 80 – 139)
North East and North West	16% (105/645)	900 (IQR 586 – 1300)	326 (IQR 196 – 540)	69% (72/105)	121 (IQR 96 – 147)
West Wales	38% (244/645)	356 (IQR 193 – 646)	78 (IQR 46 – 129)	59% (143/244)	97 (IQR 74 – 125)
North Wales and West midlands	22% (141/645)	544 (IQR 284 – 904)	120 (IQR 62 – 202)	60% (85/141)	104 (IQR 81 – 126)
South Wales, South West and South East	10% 63/645)	645 (IQR 315 – 900)	100 (IQR 60 – 175)	78% (49/63)	121 (IQR 104 – 146)
Northern Ireland	4% (28/645)	176 (IQR 117 – 317)	66 (IQR 26 – 115)	36% (10/28)	138 (IQR 115 – 160)
Lowland (0 – 200 m)	42% (270/646)	360 (IQR 192 - 750)	88 (IQR 45- 175)	54% (146/270)	118 (IQR 90 -148)
Upland/Hill (>201 m)	58% (376/646)	640 (IQR 348-1000)	140 (IQR 78 - 317)	61% (230/376)	100 (IQR 78 -124)

¹ Environmental stewardships used to be defined as “an agri-environment scheme that provides funding to farmers and other land managers in England to deliver effective environmental land management” (from <http://webarchive.nationalarchives.gov.uk/20140605104008/http://www.naturalengland.org.uk/ourwork/farming/funding/es/default.aspx> , accessed at 27th February 2018), but this classification was recently updated and new categories apply. At the time of this survey, 58% of the studied farms were integrated in at least one type of environmental stewardships, such as “Higher level scheme” or “Sites of special interest”.

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575 *Table 2. Source of replacement sheep and health and control practices undertaken during quarantine to ewes and rams.*

Husbandry practices		Ewes (% of farms)	Rams (% of farms)
Source replacements	of Replacements from livestock markets only	42% (165/392)	61% (325/526)
	Replacements direct from farm only	21% (83/392)	23% (120/526)
	Replacements direct from livestock markets and farm	6% (23/392)	6% (29/526)
Procedures quarantine	at Did not buy in replacements	31% (121/392)	10% (52/526)
	Administrated either a Group 4 or Group 5 anthelmintic (as recommended by SCOPS guidelines) ¹	32% (198/615)	28% (173/615)
	Applied a footbath	14% (65/475)	22% (103/475)

¹ The SCOPS (Sustainable Control Of Parasites in Sheep Initiative) group was created to develop sustainable strategies for control of parasites in sheep. According to SCOPS manual, "broad-spectrum anthelmintics can be divided into five groups on the basis of chemical structure and mode of action: group 1 - Group 1 - BZ, Benzimidazole; Group 2 - LV, Levamisole (LV); Group 3 - ML, Macrocyclic lactones Group 4 – AD, Amino-acetonitrile derivatives; and Group 5-SI, Spiroindoles". Available at <http://www.scops.org.uk/workspace/pdfs/scops-technical-manual-4th-edition-updated-september-2013.pdf>

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582 *Table 3. Proportion of sheep farms carrying out disease control and prevention practices by region.*

	Scotland	North of England	West Wales	North Wales and West midlands	South Wales and South of England	Northern Ireland
Proportion of farms weighing lambs during lactation	45% (29/64)	70% (74/105)	35% (86/244)	44% (62/141)	51% (32/63)	32% (9/28)
Proportion of farms vaccinating the breeding flock against abortion agents only	60% (39/64)	29% (30/105)	64% (156/244)	71% (100/141)	43% (27/63)	57% (16/28)
Proportion of farms vaccinating the breeding flock against abortion agents and clostridial agents	38% (24/64)	70% (74/105)	30% (74/244)	28% (39/141)	51% (32/63)	39% (11/28)
Proportion of farms giving a Group 4 anthelmintic to ewes during quarantine	16% (10/64)	28% (29/105)	18% (46/244)	13% (19/141)	21% (13/63)	14% (4/28)
Proportion of farms treating lame sheep according to the best practice	89% (57/64)	96% (101/105)	87% (212/244)	87% (123/141)	87% (55/63)	79% (22/28)
Median number of lambs sold for meat/100 ewes/year (IQR)	107 (IQR 80 – 139)	121 (IQR 96 – 147)	97 (IQR 74 – 125)	104 (IQR 81 – 126)	121 (IQR 104 – 146)	138 (IQR 115 – 160)

584 *Table 4. Multivariable regression model of sheep flock characteristics and husbandry practices associated with flock productivity*
 585 *(number of lambs sold per 100 ewes per year) (n=615, R²= 0.25).*

	n	Coefficient	Standard error	P-value	[95% Confidence interval]	
Intercept		83.3	6.5	<0.01	70.5	96.1
Farm was <u>not</u> part of an environmental stewardship scheme	258	Reference category				
Farm was part of an environmental stewardship scheme	357	-10	2.7	<0.01	-15.2	-4.8
Farm elevation – Uplands/Highlands	360	Reference category				
Farm elevation – Lowlands	255	6.2	2.8	0.03	0.7	11.8
Flock size	615	-6.0 E-03	<0.1	0.1	-0.01	0.01
Total grassland area	615	6.0 E-04	<0.1	0.92	-0.01	0.01
Flock main breed type: pure hill breed ¹	234	Reference category				
Flock main breed type: terminal ²	150	19.1	3.9	<0.01	11.5	26.6
Flock main breed type: rare or pedigree breeds ³	17	21.5	8.1	<0.01	5.5	37.5

Flock main breed type: maternal type ⁴	214	22.4	3.4	<0.01	15.8	29
Farmer did <u>not</u> weigh lambs during lactation	340	Reference category				
Farmer weighed lambs during lactation	275	12.2	2.7	<0.01	6.8	17.6
Quarantined ewes were not given a Group-4/5 anthelmintic at quarantine ⁵	417	Reference category				
Quarantined ewes were given a Group-4/5 anthelmintic at quarantine ⁵	198	8.7	3.5	0.02	1.3	15.1
Ewes were not vaccinated	31	Reference category				
Ewes were vaccinated against clostridial agents only	346	8.6	6	0.15	-3.2	20.4
Ewes were vaccinated against abortion agents and clostridial agents	238	17.4	6.2	0.01	5.2	29.6
Newborn lambs were not given oral antibiotics prophylactically	450	Reference category				

Newborn lambs were given oral antibiotics prophylactically	165	-3.1	3	0.31	-9.0	2.8
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¹ Welsh mountain, Balwen Welsh Mountain, Swaledale, Blackface, Rough fell, Herdwick, Nelson South Wales Mountain, Kerry Hill, Badger face, Beulah Speckled Face, Easycare, North Country Cheviot, Welsh Mountain Hill Flock, Welsh Hill Speckled Face, and Cheviot breeds.

² Texel, Suffolk, Meatlinc, Berrichon, Dorset Down, Southdown, Hampshire Down, Beltex, Blue Texel and Charollais breeds.

³ Jacob, Exmoor horn, LLanwenog, Bluefaced Leicester, Charmoise Hill, Dorset Horn and Devon Closewool breeds.

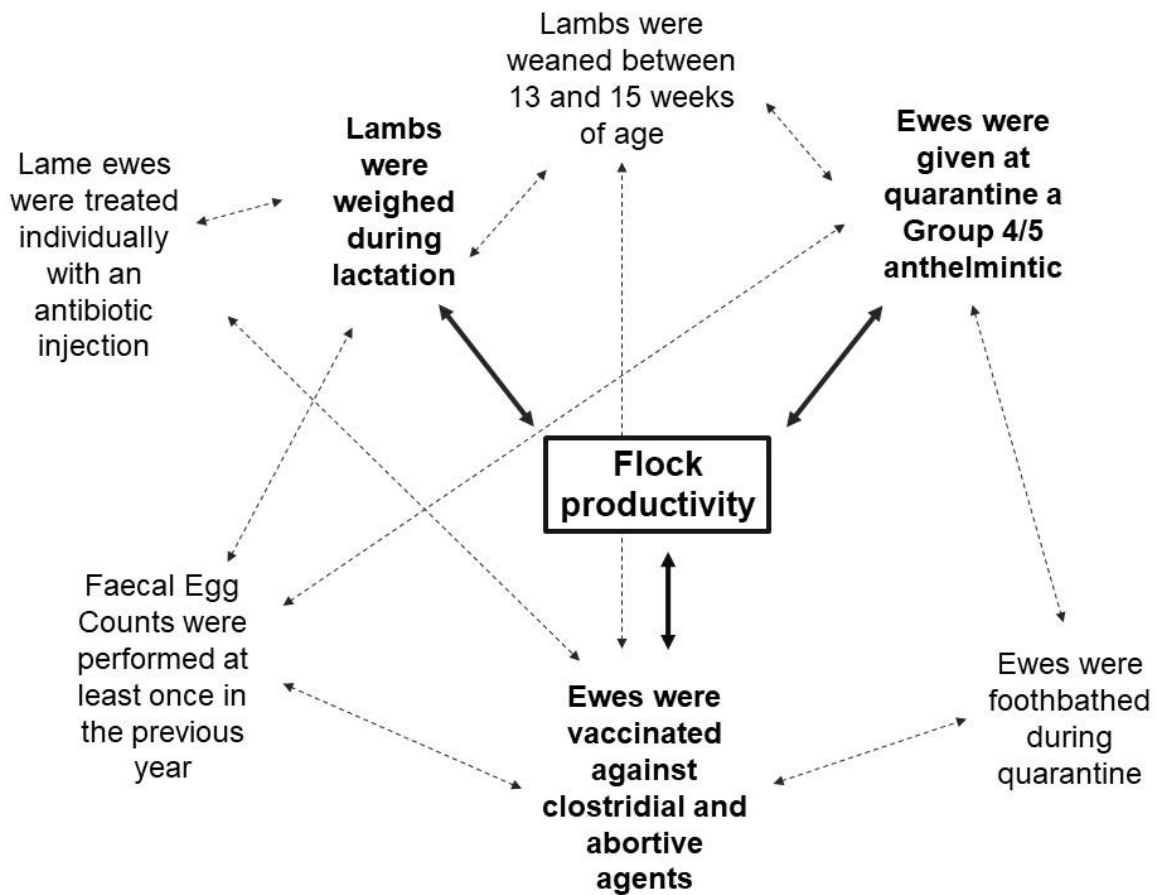
⁴ Welsh half-bred, Welsh mule sheep, North of England mule, Scotch Mule, Romney and Lleyn breeds.

⁵ The SCOPS (Sustainable Control Of Parasites in Sheep Initiative) group was created to develop sustainable strategies for control of parasites in sheep. According to SCOPS manual, "broad-spectrum anthelmintics can be divided into five groups on the basis of chemical structure and mode of action: group 1 - Group 1 - BZ, Benzimidazole; Group 2 - LV, Levamisole (LV); Group 3 - ML, Macrocyclic lactones Group 4 - AD, Amino-acetonitrile derivatives; and Group 5-SI, Spiroindoles". Available at <http://www.scops.org.uk/workspace/pdfs/scops-technical-manual-4th-edition-updated-september-2013.pdf>

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599 **List of figures captions**



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602 **Figure 1.** Associations between husbandry practices and their relationship with flock
 603 productivity (defined as the number of lambs sold for meat per 100 ewes per year).
 604 Please note that arrows represent associations rather than causality between
 605 variables. The *bold arrows* represent associations between the model predictors and
 606 flock productivity, and the *dashed arrows* indicate associations (assessed with a chi²
 607 test) between the model predictors and other flock management practices. A greater
 608 flock productivity was associated with the variables “lambs were weighed during
 609 lactation”, “ewes were vaccinated both against clostridial and abortion agents”, and
 610 “ewes were given a group 4/5 anthelmintic”. Several other variables were associated
 611 with these, suggesting that a greater flock productivity is associated with the
 612 implementation of a wider set of “good practices”.

613 **Supplementary material**

614 **Using lamb sales data to investigate associations between implementation of**
 615 **disease preventive practices and sheep flock performance (*animal journal*)**

616 Eliana Lima, Fiona Lovatt, Peers Davies and Jasmeet Kaler

617

618 *Table S1. Animal movements on the studied sheep farms.*

Animal movements on farm	Median (IQR - Inter quartile range) per farm
Number of ewes purchased	0 (IQR 0-50)
Number of store lambs purchased	0 (IQR 0-0)
Number of lambs sold finished to the abattoir	317 (140-588)
Number of lambs sold finished to other parties	52 (IQR 0-340)
Flock culling rate	14% (IQR 9% - 19%)

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620 *Table S2. Husbandry procedures undertaken during 2016 lambing period on the*
 621 *studied sheep farms.*

	All lambs (% of farms)	Some lambs (% of farms)	No lambs (% of farms)
Ear tagging	12% (44/380)	6% (23/380)	82% (312/380)
Disinfecting lamb navel with iodine	68% (426/632)	22% (141/632)	10% (65/632)
Giving the lamb supplementary colostrum	3% (13/431)	48% (211/431)	48% (207/431)
Administering spectinomycin orally	31% (174/557)	28% (154/557)	41% (229/557)
Administer "oral tablet"	5% (23/420)	2% (6/420)	93% (391/420)

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623 *Table S3. Proportion of farms testing for broad-spectrum anthelmintic resistance in*
 624 *their sheep flock (Group 1 - Benzimidazole; Group 2 – Levamisole, and Group 3 –*
 625 *macrocytic lactones)¹*

	Group 1 anthelmintics – Benzimidazole	Group 2 anthelmintics – Levamisole	Group 3 anthelmintics – Macrocytic lactones
Proportion of farms carrying out a anthelmintic resistance test	19% (119/624)	14% (96/642)	12% (77/626)
Proportion of farms reporting evidence of anthelmintic resistance ²	34% (41/119)	57% (55/96)	68% (53/77)
Proportion of farms reporting no evidence of anthelmintic resistance ²	66% (78/119)	32% (31/96)	32% (24/77)

¹ The SCOPS (Sustainable Control of Parasites) group was created to develop sustainable strategies for control of parasites in sheep. According to SCOPS manual, "broad-spectrum anthelmintics can be divided into five groups on the basis of chemical structure and mode of action: group 1 - Group 1 - BZ, Benzimidazole; Group 2 - LV, Levamisole (LV); Group 3 - ML, Macrocytic lactones Group 4 – AD, Amino-acetonitrile derivatives; and Group 5-SI, Spiroindoles". Available at <http://www.scops.org.uk/workspace/pdfs/scops-technical-manual-4th-edition-updated-september-2013.pdf>

² Out of those testing for anthelmintic resistance in the flock.

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627 *Table S4. Type of associations between disease control practices on the studied sheep*
628 *farms. The associations between variables were assessed with a χ^2 test. A minimum*
629 *number of 475 observations was required for a variable to be tested and all variables*
630 *were coded in binary form to facilitate interpretation of the results.*

	Farmer weighed lambs between birth and weaning	Quarantined ewes were given Group 4/5 anthelmintics	Ewes were vaccinated against Clostridial and abortion agents	Spectinomycin antimicrobial was administrated prophylactically to newborn lambs
Ewes were footbathed during quarantine	0.248	<0.001	<0.001	<0.001
Lame ewes were treated individually with an antimicrobial injection	<0.001	0.810	<0.001	0.429
Number of times Faecal Egg Counts were performed in a year	<0.001	0.014	0.063	0.531
Reason for worming lambs	<0.001	0.217	<0.001	0.139
Flock was screened for iceberg diseases in the previous year	0.073	0.139	<0.001	0.578
Flock resistance testing against Group 1 anthelmintics	<0.001	0.178	0.01	0.645
Flock resistance testing against Group 2 anthelmintics ²	0.002	0.934	0.029	0.863
Flock resistance testing against Group 3 anthelmintics ²	0.028	0.721	0.008	0.566
Method for recording culling information - notebook	0.673	0.073	0.019	0.785
Lamb age at weaning	<0.01	0.313	<0.01	0.035

631 ¹The SCOPS (Sustainable Control of Parasites) group was created to develop sustainable strategies for control of parasites in
632 sheep. According to SCOPS manual, "broad-spectrum anthelmintics can be divided into five groups on the basis of chemical
633 structure and mode of action: group 1 - Group 1 - BZ, Benzimidazole; Group 2 - LV, Levamisole (LV); Group 3 - ML, Macrocytic
634 lactones Group 4 – AD, Amino-acetonitrile derivatives; and Group 5-SI, Spiroindoles". Available at
635 <http://www.scops.org.uk/workspace/pdfs/scops-technical-manual-4th-edition-updated-september-2013.pdf>

636 ² Out of those testing for anthelmintic resistance in the flock.

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