A study on heat storage sizing and flow control for a domestic scale solar-

2 powered organic Rankine cycle-vapour compression refrigeration system

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12 This paper presents the off-design modelling of a domestic scale solar organic Rankine cycle (ORC) 13 and vapour compression cycle (VCC) in a coupled operation in different operating modes by using evacuated flat plate (EFP) collectors. Thermodynamic and parametric studies of such coupled system 14 in literature usually assume that the isentropic efficiencies of expander and compressor and the heat 15 16 exchanger pinch temperature differences are constant. Moreover, studies for directly coupling the 17 ORC-VCC system with solar collectors are somewhat rare. Transient performance of the solar ORC-18 VCC considering the off-design behaviour of the system components needs to be investigated. A 19 simulation for a period of 24hrs is conducted by considering the electricity and cooling demand of a 20 60 m^2 office building during a typical day in July for Istanbul. The effect of heat storage capacity on 21 meeting the demand is investigated for a given area of EFP collectors. Moreover, the water flow rate 22 to the boiler is controlled periodically to provide the demanded electricity and cooling. The required EFP collector area and heat storage unit volume have been determined to be 80 m² and 9.4 m³, 23 respectively. For these design parameters, 25.6 kWh cooling and 18.76 kWh electricity can be 24 25 generated during a typical day in July. Finally, a simulation is given for such design on a sunny winter 26 day in February, 5.5 kWh electricity and 104 kWh heat can be produced.

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- Keywords: Solar Organic Rankine Cycle (ORC), vapour compression cycle (VCC), heat storage unit,
 off-design, control of flow rate
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36 Nomenclature

Α	Area, m ²	Subscripts	
<i>C</i> ₁	Heat loss term, W $m^{-2}K^{-1}$	ad	Adapted
$\overline{C_2}$	Heat loss term, W $m^{-2}K^{-2}$	am	Ambient
c_p	Specific heat, J kg ⁻¹ K ⁻¹	b	boiling
Ġ	Solar irradiance, W m ⁻²	col	Collector
h	Specific enthalpy, J/kg	con	Condenser
h_h	Heat transfer coefficient, $W m^{-2}K^{-1}$	comp	compressor
k	Thermal conductivity, W m ⁻¹ K ⁻¹	CW	Water in collector
$K_{ heta}$	Incident angle modifier	eva	Evaporator
'n	Mass flow rate, kg s ⁻¹	ex	Exhaust
М	Mass, kg	exp	Expander
Ν	Rotation speed, RPM	i	Isentropic
Nu	Nusselt number	in	Internal expansion
Ż	Heat rate, W	l	liquid
Р	Pressure, Pa	leak	Leakage
Pr	Prandtl number	тес	Mechanical
Re	Reynolds number	r	Refrigerant
\overline{T}	Mean temperature, °C	rc	Refrigerant in cooling cycle
Т	Temperature, °C	su	Supply
U	Overall heat transfer coefficient, W $m^{-2}K^{-1}$	SW	Swept volume
v	Specific volume, m ³ /kg	st	Storage
Ŵ	Power, W	st,N	Last node
x`	Vapour quality	v	Vapour
X_p	Pump capacity fraction	W	Water
Greek letters		wo	Water out from boiler
η	Efficiency	t	Tank
ρ	Density, kg m ⁻³	tur	Turbine

50 **1. Introduction**

Electricity is becoming an increasingly crucial need for people, with electricity demand increasing 51 52 day by day. Such electricity consumption has been triggered by a higher dependency and usage of 53 electrical appliances in every dwelling, as well as the desire for improved living standards and more 54 comfortable social environments using refrigeration systems. It is reported that in some cities, up to 55 50% of the supplied electricity during summer months is consumed by air conditioning applications 56 [1]. Whilst this demand is being met by the suppliers, this will, however, cause further environmental 57 concerns about fossil fuels' damage on environment and future shortage. Furthermore, indoor 58 environments in these cities need to be heated for some periods during the year. Thus, fossil fuels are 59 still used even if not by electrical heaters. Renewable energy is encouraged by governments and 60 societies, therefore the use of renewable sources such as solar, geothermal and wind energy [2], [3],[4] to produce electricity offers great opportunity for reducing the fossil fuels consumption level. 61

62 Since solar thermal systems have a great potential to produce combined electricity, heating and cooling, solar thermal energy-related systems have been developed exponentially over the last 63 64 decades. Solar trigeneration systems commonly have an absorption refrigeration cycle to obtain 65 cooling from the collected solar heat [5], [6], [7]. To meet both electricity and cooling demand, a 66 combination of a solar organic Rankine cycle (ORC) with a vapour compression cycle (VCC) can also be applicable. In this kind of systems, the expander shaft of the ORC and the compressor shaft of the 67 68 VCC are coupled directly to reduce two-way energy conversion losses. Different from the absorption 69 and desiccant refrigeration systems, the ORC-VCC system is flexible by the way of outputting power 70 when there is no need to cooling. In a hot summer, most of solar heat can be used for to refrigeration, 71 while less heat is used for cooling in spring and fall. When there is no need for cooling, the all 72 available thermal energy can be converted to electricity and sent to the grid [8]. The present 73 configuration has been investigated by a number of researchers but with some assumptions.

74 Some studies focused on a more effective system design by adding internal heat exchangers and 75 recuperators [8],[9], however, most studies were conducted to find the best working fluid through 76 first and second law analysis [10], [11], [12], [13], [14], [15]. These kinds of parametric studies show 77 performance of the system under a wide range of working conditions. However, during investigation 78 of one parameter's influence on the system, all other parameters were held constant as if all 79 components were operating according to design conditions'. Thus, isentropic efficiencies and heat 80 exchanger pinch temperature differences were assumed as constant in the literature, despite it being 81 known that system performance is related to operating conditions. To overcome this issue, system 82 components need to be modelled and simulated in off-design operation.

The ORC-VCC system has a great capacity for using waste heat and solar source, however, studies
for directly coupled this with a solar source are somewhat rare. A summary of the previous studies on

ORC-VCC systems is given in Table 1. Zheng et al. [16] investigated the performance of a solar 85 Rankine cycle powered VCC by using zeotropic mixtures, although solar radiation was taken as 86 constant in order to assess the performance of different refrigerants. The present study shows the 87 88 transient performance of the ORC-VCC system using real solar radiation data. As is the nature of 89 solar energy, the required solar energy is not available 24 hours to operate ORC-VCC, thus, when 90 solar radiation is not available to drive the system, solar heat must be stored during the daytime. 91 Therefore, a water storage tank is incorporated into system as a heat storage unit. Finally, a case study 92 on meeting the cooling and electricity demand during a day, is conducted. To match the demand, a 93 control strategy is adapted and its affect is investigated. Moreover, the system's performance in a 94 sunny winter day operation in February is simulated to observe CHP mode performance. Thus, the 95 cost effective performance of the system by operating in different modes can be clearly presented.

96 In summary, this study differs from the literature with a point of using transient analysis of the 97 components and using solar energy as a heat source with integrating a water storage unit. The effect 98 of the water storage capacity and control strategies on meeting the combined cooling and electricity 99 demand are investigated. Moreover, the system configuration allows to operate even in winter by 100 changing the operation mode from ORC-cooling to ORC-heating.

Reference	Working fluid	$\eta_{tur-exp}$	Heat Source	Notes
[8]	R245fa	0.75	N.A Boiler temp. 190°C	Many modifications
[9]	R22-R134a-R290	0.8	Flue gas (input 180-200°C output 100°C)	Compared basic and regenerative cycles with exergy analysis.
[10]	R290-R600-R600a- R1270	0.8 (0.6-0.9)	N.A (Boiler exit 60-90°C)	Parametric study
[11]	R143a-R22-R134a- R152a-propane- ammonia-isobutane- butane	0.8	Source temperature fixed at 150°C air.	NTU, size parameter and isentropic volumetric flow ratio analysis and exergy
[12]	R134a-R1234yf- R1234ze(E)	0.8	N.A Boiler exit(102°C - 152°C)	Separate system, parametric study and feasibility were conducted
[13]	R123-R600-R245fa- R141b-R600a	0.75	N.A (Boiler temp. 90°C - 120°C)	Exergy and parametric study
[14]	R245fa,R123,R134a, R1234yf, R1234ze (E)	0.8	Hot water at 100°C	Separate system, exergy analysis. Pinch point heat exchanger analysis.

	102	Table 1.	Summary	of the	previous	studies	on Ol	RC-VC	C system
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[15]	R1270-R290-RC318- R236fa-R600a- R236ea- R600- R245fa-R1234yf- R1234ze(E)	0.8 (0.6-0.9)	N.A(Boiler temp 60°C - 90°C)	Parametric study
[16]	Various pure fluids and zeotropic mixtures	0.85 (0.85-1)	Solar (expander inlet 60°C - 120°C)	Zeotropic mixtures investigated
[17]	R245fa (cooling R134a)	0.75	Engine Exhaust gas (Boiler exit 190°C)	Separate system, in experiment, flow rate was changed and η_{exp} varied.
[18]	R134a- R245fa	0.9-0.8-0.7	Bus engine exhaust gas-oil (310-360°C)	Transcritical cycle

104 **2.** System description

105 The proposed system consists of four sub-systems, namely, an array of solar field, a heat storage unit, 106 an ORC block and the VCC. The ORC comprises four main units, specifically, pump, boiler, 107 expander and condenser. The refrigeration system also has four main units, namely, compressor, 108 condenser, expansion valve and evaporator. Although the present study focuses on summer 109 application to produce both power and cooling, the components were selected to allow for operation 110 in winter as well for production of electricity and heating. In order to operate the system in all 111 seasons, some operation modes need to be determined and these are given below:

Mode 1- ORC-Cooling: this operation mode covers the main part of the paper. Original ORC-VCC operation is required during the summer period to generate enough power to produce cooling at the same time. Fig.1a shows the schematic view of the system. Blue dashed lines show inactivity in this mode; a detailed explanation of the operation will be given.

Mode 2- ORC-Heating: this mode can be used when heating is demanded by residents. If sufficient heat is stored in the tank to drive the ORC which is directly related with the solar radiation profile in that day, the system can operate the ORC cycle and condensing heat from the ORC can be used for heating the property. As shown in Fig.1b, the evaporator of the refrigeration system turns into an ORC condenser and blue dashed lines are inactivated.

Mode 3- Heating: solar energy may be unavailable or fairly weak on some days in winter. Thus, water temperature in the tank cannot be increased to a high enough level. On these days the system is unable to produce electricity from the ORC. However, heating is still demanded by the residents. Lower temperature water in the tank can therefore be used for heating, a process which is commonly studied, thus it will not be simulated in this study.

Since it is assumed that the system will operate smoothly in the three modes, solar collectors, heatstorage medium and expander were carefully selected on the following basis:

Evacuated flat plate (EFP) collectors were chosen as solar collectors given that they have advantages 128 129 over other types. They do not need a sun tracking system and they perform reasonably well even 130 under low radiation and low ambient temperature conditions when compared to conventional flat plate 131 collectors, thus there is a potential for use in winter [19]. Despite the higher cost, their ability to operate during cold periods shows that EFP collectors are a good candidate for domestic trigeneration 132 133 systems. Water was chosen as the working fluid in the solar cycle. Given the temperature range of the solar circuit which is below 150 °C, this also makes water a suitable working fluid which can be 134 directly coupled with a pressurised water tank. A water tank is used as a heat storage unit to provide 135 136 heat for the ORC, thus making a stable power generation for avoiding variation due to changing solar irradiance. Moreover, it allows the operation of the ORC when solar radiation is insufficient or 137 unavailable. EFP collectors are used for heating water which comes from the bottom of the tank (T_{st0}) 138 and is then discharged into the top of the water tank at a higher temperature (T_{col}) . 139

140 High temperature water in the tank is used as a heat source for the ORC at (T_{st1}). A concentric tube 141 heat exchanger is adopted as a boiler for the ORC block and high-pressure working fluid is 142 evaporated in this boiler. After evaporating (3), high pressure and high temperature working fluid enters into the expander. As an expander device, a scroll type expander was selected as these are well 143 144 adapted to small-scale Rankine cycle applications and further, offer the advantages of low rotational speeds, reliability and ability to operate without significant performance reduction in different 145 146 operating conditions [20]. Regarding the working fluid selection, Saleh [15] reported that R600 and 147 R245fa achieved the best performance among other candidates in his parametric analysis. Therefore, 148 as seen in Table 1, the commonly preferred working fluid R245fa was chosen to use in this study.

149 After the expander, the operating mode determines the path of the working fluid. For ORC-cooling mode, the condenser is in common use by both the ORC and VCC systems, which lead the same 150 151 refrigerant circulating in both cycles, one comes from the expander (4), the other from the 152 compressor (r4), thereafter, the two are mixed in the auxiliary component mixer before entering the 153 condenser. Later, the refrigerant is separated through the two cycles after condensing. One path goes into the pump (1) and leaves it with higher pressure (2). The other path is for the refrigeration cycle 154 155 (r1). Working fluid pressure and temperature is decreased by the expansion valve (r2) then via the evaporator, the refrigerant is evaporated and provides cooling. After the evaporator (r3), working fluid 156 157 pressure and temperature is increased by the compressor.

For the ORC-heating mode, the compressor and expansion valve are not in use. The working fluid directly enters the new condenser which was the indoor air unit evaporator in ORC-cooling mode after the expander. While the fluid is condensing, taken heat is used for heating the room.



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163

Fig.1. Schematic views of heating and cooling modes of ORC-VCC

165 For the air cooling section of the ORC-VCC system, the exhaust air from the conditioned space is mixed with ambient fresh air. This mixture is cooled at the evaporator and supplied to the conditioned 166 167 space. The cooling load which impacts directly on the operation of the compressor, is calculated by using the software EnergyPlus [21] for the given data of Istanbul. A 60 m² office room was assumed 168 169 for the case study and Fig.2 gives the cooling and electricity demands for the selected room during a typical day in July. To conduct the analysis, some assumptions were made: 170

- For the cooling mode of the ORC-VCC system, the difference between the condensation 171 temperature and the ambient air temperature was considered to be 10°C [22], [23]. This value 172 is practically recommended for obtaining appropriate cost of heat exchanger [24]. 173
- For the cooling mode of the ORC-VCC system, the evaporation temperature of the VCC is 174 fixed at 5°C with assumption of no superheating. The conditioned space has a temperature of 175 176 24°C and 50% relative humidity [14].
- For the heating mode of the ORC-VCC system, the VCC is not in use. The indoor air unit acts 177 178 as the condenser of the ORC system and its condensation temperature was considered as 38°C 179 [24].

- The mechanical efficiency of the compressor η_{mec} changes between 0.95-1 and it can be assumed as 0.95 [18].
- 182
- 183 According to the given assumptions, the corresponding P-h diagram of the ORC-VCC system for
- boiling temperature of 90 °C is given in Fig.3.
- 185





Fig.2. Cooling and electricity demand during a typical day for an assumed office room







Fig.3. P-h diagram of ORC-VCC system

3. Modelling of components

194 **3.1 Solar Collector**

As solar collector TVP SOLAR HT-Power, a high efficiency evacuated flat plate collector has been chosen for the study, given its potential in ORC systems was previously evaluated by Freeman et.al [25], [26], Calise et.al [27] and Kutlu et. al [19]. Modelling of the evacuated flat-plate collector follows the same assumptions as in the reference [26], $\eta_0=0.82$, $K_{\theta}=0.91$, $c_1=0.399$, $c_2=0.0067$, thus the efficiency of the solar collector can be given by:

200

$$\eta_{col} = \eta_0 \cdot K_\theta - c_1 \frac{\bar{T} - T_{am}}{G} - c_2 \frac{(\bar{T} - T_{am})^2}{G} \tag{1}$$

201

$$\dot{Q}_{col} = \eta_{col} \cdot A_{col} \cdot G = \dot{m}_{cw} \cdot c_{p,cw} \cdot (T_{col} - T_{st10}) \tag{(1)}$$

202 3.2. Heat storage

A pressurised water tank is adopted as the heat storage unit. Its volume determines the energy storage 203 204 capacity and also the effect of its temperature levels on the performance. The water tank is modelled 205 in transient states by considering the thermocline behaviour. It is analysed using a one-dimensional temperature distribution model [28]. Isothermal mixing zone methodology is used for simulations; 206 this multi node simulation allows the system to show potential temperature gradient inside the tank. 207 208 The cylinder volume has been divided into 10 equal layers. In every control volume, an energy 209 balance equation can be written considering the heat loss to the environment [6], [29]. By solving all 210 the energy balance equations simultaneously, the temperature distribution inside the tank can be determined. Eq.(3) is the energy balance in the first control volume, Eq.(4) is the energy balance in 211 212 the internal volumes 'i'. Eq.(5) is the energy balance in the last control volume.

213

$$M_{st1} \cdot c_{p,w} \cdot \frac{\partial T_{st1}}{\partial t} = \dot{m}_{cw} \cdot c_{p,cw} \cdot (T_{col} - T_{st,1}) + \dot{m}_{w} \cdot c_{p,w} \cdot (T_{st,2} - T_{st,1}) - U_t \cdot A_{st1} \cdot (T_{st,1} - T_{am})$$
(3)

214

$$M_{st,i} \cdot c_{p,w} \cdot \frac{\partial T_{st,i}}{\partial t} = \dot{m}_{cw} \cdot c_{p,cw} \cdot \left(T_{st,i-1} - T_{st,i}\right) + \dot{m}_{w} \cdot c_{p,w} \cdot \left(T_{st,i+1} - T_{st,i}\right) - U_t \cdot A_{st,i}$$

$$\cdot \left(T_{st,i} - T_{am}\right)$$

$$(4)$$

215

2)

$$M_{st,N} \cdot c_p \cdot \frac{\partial T_{st,N}}{\partial t} = \dot{m}_{cw} \cdot c_p \cdot \left(T_{st,N-1} - T_{st,N}\right) + \dot{m}_{drawn} \cdot c_p \cdot \left(T_{wo} - T_{st,N}\right) - U_t \cdot A_{st,N}$$

$$\cdot \left(T_{st,N} - T_{am}\right)$$
(5)

216 **3.3. Expander**

217 In the present study, a decision was made to use a scroll type expander as this is particularly well-218 adapted to small-scale Rankine cycle applications. A semi empirical model was adapted from the study, based on Lemort et al. [30]. Guiffarida [31] further improved the model for use with all 219 220 refrigerant. This expander model was implemented to analyse the variation of the expander performance over the changing conditions. modelling is following same steps with reference, such as 221 222 1) adiabatic pressure supply, 2) Isobaric supply cooling down, 3) internal leakage, 4) adiabatic and reversible expansion to the adapted pressure 5) adiabatic expansion at constant machine volume, 6) 223 224 adiabatic fluid mixing 7) isobaric exhaust heating-up or cooling down. All detailed calculation 225 procedures are not explained here but some important parts are given. Mass flow rate of the 226 refrigerant is determined from Eq.(6)

$$\dot{m}_r = \rho_{su1} \cdot A_{su} \cdot \sqrt{2 \cdot (h_{su} - h_{su1})} \tag{6}$$

227

228 \dot{m}_r is refrigerant mass flow rate at the inlet port in the scroll expander. This mass flow rate includes 229 leakage flows as well. After isobaric cooling down the refrigerant, leakage flow rate can be 230 determined by Eq.(7) and Eq.(8):

$$\dot{m}_r = \rho_{su,2} \cdot V_{sw} \cdot \frac{N}{60} + \dot{m}_{leak} \tag{7}$$

$$\dot{m}_{leak} = \rho_{leak} \cdot A_{leak} \cdot \sqrt{2 \cdot \left(h_{su,2} - h_{leak}\right)} \tag{8}$$

231 V_{sw} and N indicate swept volume and rotation speed, respectively.

After leakage flow leaves from the main stream, rest of the working fluid expanded according to volumetric built in ratio. Then adiabatic expansion at constant volume occurs. Main stream refrigerant is mixed with leakage flow and finally exhaust heat transfer happens. After thermodynamic states are determined, internal expansion power can be found from Eq. (9):

$$W_{in} = (\dot{m}_r - \dot{m}_{leak}) \cdot \left[(h_{su,2} - h_{ad}) - v_{ad} \cdot (P_{ad} - P_{ex,2}) \right]$$
(9)

236 Shaft power can be find from Eq. (10):

$$W_{shaft} = P_{in} - \frac{2 \cdot \pi \cdot N}{60} \cdot \tau_{loss} \tag{10}$$

Fig.4 shows the result of the model when the ambient temperature is held at 23 °C for refrigerantR245fa but supply pressure is varied.





Fig.4. Variation of expander isentropic efficiency by pressure ratio

240 241

242 **3.4. Boiler**

243 In the proposed system, there are three heat exchangers but the boiler is the most important 244 component of the ORC because boiler uses hot water from the water tank to evaporate the refrigerant. 245 It is expected that the temperature of the water varies with time due to consumption and charging for 246 this reason, a sliding pressure operation is implemented in the modelling, which means that the 247 evaporation pressure is determined from the water inlet temperature. However, effect of water inlet temperature is the not only consideration. Since one of the target of this study is controlling the 248 249 evaporation temperature by changing water mass flow rate, the boiler needs to be modeled to obtain the effect of the water mass flow rate. By neglecting the pressure losses and making the study more 250 practical, double pipe heat exchangers were selected as a boiler in the ORC. To conduct the off-design 251 252 analysis of the heat exchanger, the effectiveness-NTU method was implemented.

$$NTU = \frac{U \cdot A}{C_{min}} \tag{11}$$

253

Where NTU is number of transfer units, *U* is overall heat transfer coefficient. The boiler consists of two regions in one exchanger such as the single phase and evaporation regions as given in Fig.5. In off-design operation, the total length of the boiler is constant, however, regions may differ according to heat source conditions. Heat transfer coefficient can be determined as follows:

258

$$h_h = \frac{Nu \cdot k}{d} \tag{12}$$

- 260 Here, Nu, k and d are Nusselt number, thermal conductivity of refrigerant and diameter of the tube.
- 261
- 262 Since flow inside tube is turbulent, the Nusselt number of single phase region for liquid water and
- 263 pure liquid R245fa can be found with Gnielinski [32] equation:

$$Nu = \frac{\left(\frac{f}{8}\right)(Re - 1000)Pr}{1 + 12.7\left(\frac{f}{8}\right)^{0.2}\left(Pr^{2/3} - 1\right)}$$
(13)

where f can be calculated with Petukhov [33] equation:

$$f = (0.79 ln Re - 1.64)^{-2} \tag{14}$$

266

When boiling of the refrigerant R245fa takes place, fluid is in a two-phase state (saturated mixture).
For boiling in the evaporator, the Kenning-Cooper correlation is used as given by Sun and Mishima
[34] based on their findings.

$$Nu_b = [1 + 1.8X^{-0.87}] 0.023 Re_l^{0.8} Pr_l^{0.48}$$
⁽¹⁵⁾

270

271 where X is the Martinelli factor which is given from vapour quality x:



 $X = \left(\frac{1-x}{x}\right)^{0.9} \left(\frac{\rho_{\nu}}{\rho_{l}}\right)^{0.5} \left(\frac{\mu_{l}}{\mu_{\nu}}\right)^{0.1}$

272

Fig.5. Schematic of boiler single and two-phase regions boundary

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275 **3.5.** Compressor

An important parameter of the VCC unit is compressor work. The compressor is directly coupled with
the expander, so the compressor work equation can be included with mechanical transmission
efficiency. The equation is given as:

(16)

$$\dot{W}_{comp} = \frac{\dot{m}_{rc} \cdot (h_{r4} - h_{r3})}{\eta_{mec} \cdot \eta_i} \tag{17}$$

Here, η_{mec} and η_i are mechanical and isentropic efficiency of the compressor, respectively. η_{mec} changes between 0.95-1 and it can be assumed as 0.95 [18]. However, η_i changes with condensation and evaporation pressure ratio. So, the following equation is used [35].

$$\eta_i = 0.874 - 0.0135 \cdot \frac{P_{con}}{P_{eva}} \tag{18}$$

282

283 where P_{con} and P_{eva} are condensation and evaporation pressures.

284

285 **3.6. Evaporator**

As previously mentioned, in cooling mode, evaporation temperature is kept constant, the refrigerant mass flow rate varies according to cooling requirements and this affects compressor work under different ambient conditions. In the calculations, cooling load is known parameter and taken from EnergyPlus data. Since evaporator inlet and outlet conditions are determined primarily, the mass flow rate of the refrigerant in cooling cycle can be found.

$$\dot{Q}_{cooling} = \dot{m}_{rc} \cdot (h_{r3} - h_{r2}) \tag{19}$$

291

292 **4.** Results and discussions

293 In order to evaluate the system performance, design conditions need to be determined first. These are determination of the design evaporation and condensation temperatures and later, the boiler is 294 295 dimensioned as operation of the ORC depends on boiler performance. Transient mathematical model has been built in Matlab environment and results have been presented by using EES (Engineering 296 297 Equation Solver) software. When design conditions are determined, the simulation is run, and 298 collector and storage dimensions are changed until the system output matches with the desired output. The calculation procedure is briefly summarized in Fig.6. Useful solar heat is collected by the EFP 299 300 collectors. This useful heat and collector water inlet temperature effects the output temperature which 301 is mixed with the first element of the tank. Tank model gives temperature distribution of the water in tank. It is effected by two circulation waters one to the collector side and the other to the boiler side. 302 Boiler model determines the evaporation temperature of the working fluid and also effects on tank 303 304 temperature gradient as well. Expander model calculates the mass flow rate and shaft power output. 305 The solar ORC and VCC systems are evaluated as separate systems except their condensing parts 306 because VCC performance mainly depends on compressor electricity consumption and it can be

- 307 calculated from the cooling load which is variable. Calculated mass flow rate from the evaporator is
 308 used to obtain compressor work. The compressor work is taken from the expander shaft and the rest
 309 of the energy is transmitted to the generator to generate electricity.
- 310



312

Fig.6. Calculation procedure of the system

313 4.1. Determining of Design Conditions

Condensing temperature of the ORC can be determined first, as it depends on the ambient temperature 314 for air cooled condensers. Fig.7 shows ambient air temperature and solar irradiance variation during a 315 316 warm day in July in Istanbul. Since the water tank is a finite heat storage, it is necessary to choose 317 matched requirements to avoid over usage of the contained heat. Thus, before selecting the design 318 conditions and parameters, the total electricity demand of the room is required. Variation of 319 compressor work and total demands including electrical appliances as given in Fig.8. The compressor work was calculated according to the cooling load given in Fig.2. It is found that the maximum 320 321 compressor work and total demand are 0.4kW and 1.35 kW, respectively.



Fig.7. Weather data for a typical warm day in Istanbul







325



Fig.8. Mechanical work or electricity demand of the system over time

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328 To provide 1.35 kW of work from the expander shaft, the design evaporation temperature is selected as 95 °C when considering the generator efficiency and safety factor. A volume of 150 L hot water 329 330 tank is the standard household size in practice. However, in this study, charging and discharging heat levels are elevated compared to the conventional domestic water tanks. To maintain the required 331 amount of heat to the system, the heat storage tank can be selected from 1.5 m³ to 9 m³. To provide 332 useful solar heat for running the system at given design conditions, a 60 m^2 collector area was chosen 333 334 preliminarily, to be the same as the floor area of the simulated office room. In Table.2, design 335 conditions for the ORC unit are summarised.

337

Table 2. Selected design conditions and system specifications

Parameter	Value	Parameter	Value	Parameter	Value
Collector area	60 m^2	Evaporation	95 °C	Boiler water side	0.016 m
		temperature in boiler		diameter	
Collector flow rate	0.02 kg/s	Water inlet	105 °C	Boiler refrigerant side	0.007 m
		Temperature		diameter	
Tank volume	3.5 m^3	Circulating water	0.3 kg/s	Length of boiler	21 m
		flow rate			
Condensation	36 °C	Heat from source:	15.6 kW	Expander shaft output	1.437 kW
temperature					

4.2. Off-design characteristics and control strategy

340 Off-design performance of the system is based on the performance of the boiler given that work output is related to the evaporation temperature and this temperature is directly related to the source 341 conditions which come from the water tank. Two parameters determine the evaporation temperature 342 in the boiler. The first is source temperature; as solar energy is not constant during the day, the water 343 tank is exposed to charge and discharge operations. In the day time, the tank is charged by the 344 345 collected useful heat and its temperature is increased in spite of ORC runs at the same time. As a 346 result of this increment, evaporating temperature and work output increase. The other parameter is 347 mass flow rate of the water. The mass flow rate also has an influence on the evaporation temperature. 348 The effects of the parameters are given in the Fig.9. This trend reveals the off-design operation of the 349 system.

350



352 Fig.9. Evaporation temperature variation according to water inlet temperature and mass flow rates.

353 Operation of the system is given as the basis: the ORC-VCC system operation starts at 06:30 to 354 provide shaft work to the compressor; for cooling purposes, the collector pump operates and collected 355 solar energy is accumulated in the storage, at the same time the ORC produces electricity for 356 supplying to the electrical appliances. The water mass flow rate from tank to boiler is selected as 0.3 357 kg/s to match with the demand via previously designed heat exchanger. The peak period ends at 16:00 358 and the other period which requires less work begins. In this period, the mass flow rate of water is 359 reduced to 0.1 kg/s to prevent excessive use of the heat source. This period is completed by 21:00 and 360 energy in the tank is stored for the next day. There still exists an electricity requirement in the 361 building, but this requirement can be met from the grid or a storage battery.

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4.3. Simulations

364 To simulate the system, initial tank temperature selection is an important issue. As one-day simulation 365 is carried out for a typical day, the temperature is not uniform in the tank due to the thermocline 366 behaviour of the water. Normally, the initial temperatures of the water layers in the tank are the final 367 temperatures of the previous day. However, this study considers only one day, thus, the initial 368 temperatures need to be determined by iteration. Firstly, an assumed temperature value is given to all water layers and simulation is executed. The final temperatures of the first simulation are used as the 369 370 initial temperatures of the next simulation. The steps were repeated until the end temperature 371 distribution matches the initial temperature distribution [19]. It can be said that all of the useful solar energy charged to the tank is used for driving the ORC and the rest is transferred to the ambient as 372 373 heat losses. The useful heat gain trend is directly affected by the solar irradiance pattern during the 374 day. To observe the effect of the heat storage volume on the system performance, Fig.10 is plotted by 375 using constant water mass flow rate during all periods.



Fig.10. Produced shaft work versus time for various heat storage dimensions ($m_w=0.3$ kg/s, A_{collector}=60m²)

Since temperature increment is higher when using the constant solar collector area in smaller heat storages, peak output yields higher than the demand. A problem occurs, however, in the early morning period as output in this period cannot fulfil the demand. One solution is an increment to the storage capacity to avoid excessive temperature fall at night-time, however, using higher capacity storage decelerates the temperature increase at midday. As seen from Fig.10, when 3 m high and 1.5 m diameter heat storage units area used, produced work is getting closer to the demand but does not fulfil the midday demand as the temperature of the storage is not high enough.

Fig.11 shows expander efficiencies for using various storages. Since temperature alteration is high in small capacity storages, expander performance variation is considerable. For the bigger storages, expander performance can be further stabilised by avoiding excessive changes to the operating temperature.

In order to meet the electricity demand, the collector area is increased to 80 m² and simulations have been conducted for two different storage sizes. Fig.12 shows that increments in collector and heat storage size are still not enough to meet the demand. As a solution, the mass flow rate of the water needs to be controlled for different periods, so power output and heat rejection rates can be controlled.





Fig.11. Expander efficiency for various heat storage dimensions ($m_w=0.3 \text{ kg/s}$, $A_{\text{collector}}=60\text{m}^2$)

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- 400
- 401





Fig.12. Produced shaft work by time for various heat storage dimensions ($m_{w2}=0.3$ kg/s, $A_{collector}=80m^2$)

In Fig.13, the mass flow rate is controlled for three different cases. Firstly, a case using a 60 m^2 407 408 collector area and 2 m height with 1.5 m diameter storage tank has been analysed. Although 409 performance is improved by controlling the mass flow rate, there is still a problem in the morning period, as the temperature of the tank at the end of the day does not satisfy the requirement in early 410 411 morning, until the sufficient solar radiation is received. An increment to the storage capacity to avoid 412 excessive temperature fall in the night period can be applied, however, it may require a larger 413 collector area. To observe the phenomenon, a second case has been analysed. When the heat storage size is held constant and the collector area is increased to 80 m², over production occurred at midday, 414 415 however, the problem still exists in the early morning. As a final case, the storage size is also 416 increased with the collector area. With these final changes, produced work fulfils the demand.





Fig.13. Produced shaft work by time with control strategy (m_{w1} =0.1 kg/s, m_{w2} =0.3 kg/s)

419 **4.4. ORC-heating mode**

420 One of the advantages of the proposed system is that it should operate and show a good performance in winter days without any modification by switching to CHP mode. In this mode, the compressor 421 422 does not run and the VCC indoor air unit evaporator operates as the ORC condenser, which is given in 423 Fig.1b. Since this heat exchanger located in the air conditioning cycle, what would normally be 424 wasted condensing heat can be used for heating purpose. The condensation temperature is adjusted at 38 °C to heat up the indoor unit blowing air. This temperature is identical to the condensing 425 426 temperature in ORC-cooling mode. More specifically, the ORC operation would not be affected by 427 the mode switch as a result of the same condensing temperature and pressure. However, electricity output is expected to decrease, as solar heat would be lower than in the summer. Fig.14 shows 428 429 weather condition for a sunny day in February. Solar radiation is quite good but ambient weather temperature is around 12 °C which shows heating is needed. Solar collectors and ORC work same as 430 431 with the ORC-cooling mode but expander outlet is directed to the indoor heat exchanger. Fig.15 432 shows instant electricity generation by the ORC, the magnitude of the production looks not satisfying 433 but electricity production in daily basis is 5.5 kWh. The reason is lower tank temperature. Since solar 434 irradiance is not good in previous days, water tank temperature reduces and it causes lower 435 evaporation temperature with lower electricity output. It should be noted that while electricity is produced its useful condensing heat, almost 7kW, can be used for space heating. Required heat is 436 437 transferred to the indoor air and the rest is ejected by the outdoor condenser as seen in Fig.1b.





Fig.14. Solar radiation and ambient temperature profile on a sunny winter day



Fig.15. Produced shaft work in the heating mode of ORC-VCC

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443 **5.** Conclusion

In this study, the modelling of the solar ORC-VCC system integrated with a sensible heat storage unit 444 operating at off-design conditions has been presented. Electricity and cooling demand from the end 445 user has been also considered from the point of view of control strategies. In the solar part, evacuated 446 447 flat plate collectors have been used and collectors linked with a sensible heat storage unit. The heat storage unit has been modelled on the multi node analysis method to obtain thermocline phenomena. 448 A transient analysis has been conducted to predict system outputs considering the off-design 449 performance; in this way the analysis differs from the steady-state models. As working conditions 450 have an influence on expander performance, electricity output is also effected. It is shown that water 451 452 flow rate control strategies are promising and can improve the precision between the produced work 453 and the demand. Sizes of the components have been determined according to summer conditions for Istanbul for ORC-cooling mode. It was found that to meet electricity and cooling demand for studied 454 office, 80 m² collector array and 9.4 m³ heat storage are required. Additionally, this system with 455 456 selected components have been analysed for a winter day as ORC-heating mode and 5.5 kWh 457 electricity and 104 kWh heating load potential has been obtained.

458

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