



Derivation of field theory for the classical dimer model using bosonizationNeil Wilkins  and Stephen Powell *School of Physics and Astronomy, University of Nottingham, Nottingham, NG7 2RD, United Kingdom*

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We derive a field theory for the two-dimensional classical dimer model by applying bosonization to Lieb's (fermionic) transfer-matrix solution. Our constructive approach gives results that are consistent with the well-known height theory, previously justified based on symmetry considerations, but also fixes coefficients appearing in the effective theory and the relationship between microscopic observables and operators in the field theory. In addition, we show how interactions can be included in the field theory perturbatively, treating the case of the double dimer model with interactions within and between the two replicas. Using a renormalization-group analysis, we determine the shape of the phase boundary near the noninteracting point, in agreement with results of Monte Carlo simulations.

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In statistical mechanics, certain lattice models with hard constraints exhibit so-called “Coulomb phases” [1], unconventional disordered phases with algebraic correlations, topological order, and fractionalized defects. These include vertex, coloring, and spin models [2–8], as well as dimer models on bipartite lattices [9–11]. In a long-wavelength description, the microscopic constraint becomes a continuum Gauss law, in terms of which defects are effective charges.

In three spatial dimensions and above, the constraint can be resolved in terms of an effective gauge field [9], whereas in two dimensions, the corresponding resolution is in terms of a scalar “height” [4,12]. Configurations in the two-dimensional (2D) microscopic models can be put in (many-to-one) correspondence with an appropriately defined discrete-valued height on the dual lattice, which encodes the hard constraints in a way amenable to coarse-graining. Based on its nontrivial transformation properties under the symmetries [13], one can write a field theory in terms of the coarse-grained height.

The simplest massless Gaussian action for the gauge or height field then gives a continuum theory with algebraic correlations and charges subject to Coulomb-law interactions at long distances. (It has indeed been proved that such a theory describes the 2D dimer model [14,15], even in the presence of certain interactions [16,17].) Phase transitions from this Coulomb phase can be described by adding terms to the action, which, in two dimensions, take the form of sinusoidal functions of the height. If these “cosine terms” are relevant under the renormalization group (RG) [18] at the Gaussian fixed point, then the result is a Berezinskii-Kosterlitz-Thouless (BKT) transition [19–21] to a phase where fluctuations of the

height are suppressed. This corresponds to an “ordered” phase of the microscopic model [10,13,22,23], where correlations are short-ranged and defects are confined, while symmetry may or may not be spontaneously broken.

A feature special to two dimensions is that many of the relevant lattice models, including dimer models on planar graphs and with periodic boundaries [24–26], are exactly solvable. In particular, using Pfaffian methods and a related transfer-matrix solution [27], it is possible to calculate exact results for dimer-dimer correlation functions [28]. These results have previously been used to fix the coefficients in the effective height-field theory [29–32], by requiring that it reproduces the asymptotic long-distance forms of the exact results.

In this work we use the exact solution to provide a direct and explicit route to the continuum theory. Starting from the transfer-matrix solution of the square-lattice dimer model in terms of free fermions on a lattice in $1 + 1$ dimensions [27,33,34], we constructively derive the bosonic height-field theory, including the effective long-wavelength action and the correspondence between microscopic dimer observables and operators in the field theory. As previously noted [30,35], the relationship between the fermionic and bosonic theories is an instance of the general phenomenon of bosonization [36], and we show how this can be made concrete.

Besides its intrinsic appeal, our constructive approach has a number of benefits. By providing a direct calculation of the coefficients appearing in the field theory, it shows how they change with modifications to the microscopic model, such as including weights for dimers on horizontal and vertical links. In addition, it clarifies the origin of the short-distance cutoff required to regularize the field theory and the dependence of the bare parameters on this cutoff, which must be included correctly in order to calculate asymptotic dimer-dimer correlations.

The bosonization approach also allows certain types of interactions to be treated using perturbation theory. Since it provides precise values for coefficients in the field theory, rather than requiring them to be fixed by

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comparison with exact results, it leads to quantitative predictions in terms of the microscopic perturbations in the original dimer model. As an example, we consider the double dimer model [22] and show how interactions within and between the two replicas generate cosine terms in the field theory. By treating these terms using a renormalization group (RG) analysis, we predict the shape of the phase boundary in the vicinity of the noninteracting point, in quantitative agreement with our previous computational results.

In Sec. II we summarize the relevant results of the transfer-matrix method from Ref. [34]. These are then used to derive the field theory in Sec. III. We extend the theory to the interacting case in Sec. IV, allowing us to predict the phase boundary of the interacting double dimer model in the vicinity of the noninteracting point. We conclude in Sec. V.

II. REVIEW OF TRANSFER-MATRIX SOLUTION

In this section, we review the main steps in the solution of the classical dimer model using the transfer matrix [27]. We follow the presentation in Ref. [34], focusing on those results that will be used in Sec. III to derive the bosonic field theory.

A. Dimer model

The partition function for the classical dimer model on an $L_x \times L_y$ square lattice with periodic boundaries is

$$Z = \sum_{c \in \mathcal{C}_0} w^{N_c}, \quad (1)$$

where \mathcal{C}_0 denotes the set of all close-packed dimer configurations. We include a weight w assigned to each horizontal dimer; $w = 1$ is the isotropic model where all dimer configurations have equal weight in the ensemble. (The parameter w is called α in Refs. [27,34].) For simplicity, we assume throughout that L_x and L_y are both even.

Denoting by $d_\mu(\mathbf{r})$ the dimer occupation number (equal to 0 or 1) on the bond joining sites \mathbf{r} and $\mathbf{r} + \delta_\mu$, with δ_μ a unit vector in direction $\mu \in \{x, y\}$, the ‘‘flux’’ is defined by

$$\Phi_\mu = \frac{1}{L_\mu} \sum_{\mathbf{r}} (-1)^{r_x+r_y} d_\mu(\mathbf{r}). \quad (2)$$

As a result of the close-packing constraint [8], this is in fact equal to a sum over any single column (for Φ_x) or row (Φ_y),

$$\Phi_x = \sum_{r_y=1}^{L_y} (-1)^{r_x+r_y} d_x(\mathbf{r}) \quad (\text{any } r_x), \quad (3)$$

$$\Phi_y = \sum_{r_x=1}^{L_x} (-1)^{r_x+r_y} d_y(\mathbf{r}) \quad (\text{any } r_y), \quad (4)$$

and so the components of Φ are integers.

B. Transfer matrix

We first define a Hilbert space whose basis states correspond to all configurations of a single row of vertical bonds. The transfer matrix V is an operator that acts on any such state and gives a linear combination of possible configurations for the next row, with appropriate weights. Representing occupied

and empty bonds as spin up $|\uparrow\rangle$ and down $|\downarrow\rangle$ respectively, we can write [27]

$$V = \exp \left(w \sum_{j=1}^{L_x} \sigma_j^- \sigma_{j+1}^- \right) \prod_{j=1}^{L_x} \sigma_j^x, \quad (5)$$

where σ_j^μ and $\sigma_j^\pm = \frac{1}{2}(\sigma_j^x \pm i\sigma_j^y)$ are Pauli operators acting on the bond with column index j .

In terms of the transfer matrix, the partition function, Eq. (1), can be expressed as

$$Z = \text{Tr} V^{L_y}. \quad (6)$$

It is convenient to define the Hamiltonian \mathcal{H} in terms of the two-row transfer matrix,

$$V^2 = e^{-2\mathcal{H}}, \quad (7)$$

and so

$$Z = \text{Tr} e^{-L_y \mathcal{H}} \quad (8)$$

is effectively the partition function for a quantum system at inverse temperature L_y . (In Ref. [34], a parameter t is included that couples to Φ ; the transfer matrix is then V and V^\dagger on even and odd rows, respectively. Here we set $t = \mathbf{0}$ and so $V = V^\dagger$. Including t would give edge weights as in Ref. [37].)

The Hamiltonian can be expressed exactly in terms of free fermions by using a Jordan-Wigner transformation to real-space fermions C_j ,

$$C_j = \left(\prod_{i=1}^{j-1} -\sigma_i^z \right) \sigma_j^-, \quad (9)$$

$$C_j^\dagger C_j = \frac{1}{2} (1 + \sigma_j^z), \quad (10)$$

with boundary condition

$$C_{L_x+1} = -(-1)^p C_1, \quad (11)$$

where $p = 0$ (even) or 1 (odd) is the parity of the total fermion number.

This is followed by a Bogoliubov transformation to momentum space fermions ζ_k ,

$$C_j = \sqrt{\frac{2}{L_x}} e^{-i\pi/4} \sum_{k \in \mathbb{K}_p} e^{ikj} \cos \theta_k \times \begin{cases} \zeta_k & \text{for } j \text{ even} \\ \zeta_{-k}^\dagger & \text{for } j \text{ odd,} \end{cases} \quad (12)$$

where

$$\tan(2\theta_k) = \frac{1}{w \sin k}, \quad \theta_k \in \left[0, \frac{\pi}{2} \right] \quad (13)$$

and \mathbb{K}_0 (\mathbb{K}_1) is the set of all half-integer (resp., integer) multiples of $2\pi/L_x$ in $[-\pi, \pi)$:

$$\mathbb{K}_0 = \{\pm\pi/L_x, \pm 3\pi/L_x, \dots, \pm(L_x - 1)\pi/L_x\}, \quad (14)$$

$$\mathbb{K}_1 = \{0, \pm 2\pi/L_x, \pm 4\pi/L_x, \dots, \pm(L_x - 2)\pi/L_x, -\pi\}. \quad (15)$$

The Hamiltonian in parity sector p is then

$$\mathcal{H}_p = \sum_{k \in \mathbb{K}_p} \epsilon(k) \zeta_k^\dagger \zeta_k, \quad (16)$$

where

$$\epsilon(k) = \sinh^{-1}(w \sin k). \quad (17)$$

The full set of eigenstates of the original Hamiltonian \mathcal{H} is given by the union of those eigenstates of \mathcal{H}_0 that have even total (real-space) fermion number and those of \mathcal{H}_1 that have odd total number.

The y component of the flux Φ can similarly be written as

$$\Phi_y = -\frac{L_x}{2} + \sum_{k \in \mathbb{K}_p} \zeta_k^\dagger \zeta_k. \quad (18)$$

Note that it is possible to express Φ_y in this way only because it can be written in terms of vertical dimers on a single row, as in Eq. (4). There is no corresponding operator for Φ_x , which necessarily involves dimers on different rows.

C. Expectation values

Expectation values in the classical ensemble can be expressed using appropriate operators acting in the Hilbert space. For the dimer occupation numbers, these are [34]

$$\begin{aligned} d_{j,x} &= -w C_j C_{j+1}, \\ d_{j,y} &= C_j^\dagger C_j, \end{aligned} \quad (19)$$

for the horizontal bond between $r_x = j$ and $j + 1$ and for the vertical bond at $r_x = j$, respectively.

To calculate an expectation value involving observables at different rows l , one writes out the operator exponential in Eq. (6) as a product of L_y copies of the single-row transfer matrix V , and inserts operators for the observables at appropriate positions. For example, the correlation function for two observables, O_1 and O_2 , in rows $1 \leq l_1 \leq l_2 \leq L_y$, is given by

$$\langle O_1(l_1) O_2(l_2) \rangle = \frac{1}{Z} \text{Tr}[V^{L_y-l_2} \hat{O}_2 V^{l_2-l_1} \hat{O}_1 V^{l_1}], \quad (20)$$

$$C_{j[l]} = \sqrt{\frac{2}{L_x}} e^{-i(-1)^j \pi/4} \sum_{k \in \mathbb{K}_p} e^{ikj} \cos \theta_k \times \begin{cases} \zeta_k & \text{for } j+l \text{ even} \\ (-1)^j \zeta_{-k}^\dagger & \text{for } j+l \text{ odd} \end{cases}. \quad (27)$$

D. Monomer distribution function

The monomer distribution function G_m , related to the entropic interaction between a pair of monomers (empty sites) [38], is defined by

$$G_m(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{Z} \sum_{c \in \mathcal{C}(\mathbf{r}_1, \mathbf{r}_2)} w^{N_x}, \quad (28)$$

where $\mathcal{C}(\mathbf{r}_1, \mathbf{r}_2)$ is the set of all configurations with monomers at sites \mathbf{r}_1 and \mathbf{r}_2 .

In the transfer-matrix formalism, an ensemble containing monomers can be produced by inserting spin-lowering operators σ^- into the original partition function. To see this, suppose the vector $|l_0\rangle$ gives all possible configurations on row l_0 of vertical bonds, with appropriate weights. Then $\sigma_j^- V^{l-l_0} |l_0\rangle$ gives all configurations of row l where bond j is empty but where the intervening rows are consistent with it being occupied. The resulting configurations and their weights are exactly those with a monomer in column j of the row of lattice sites between rows $l - 1$ and l .

The monomer distribution function is therefore given by [34]

$$G_m(\mathbf{r}_1, \mathbf{r}_2) = \langle \sigma_{j_1}^- (l_1) \sigma_{j_2}^- (l_2) \rangle, \quad (29)$$

where \hat{O} is the operator corresponding to the classical observable O . When both l_1 and l_2 are even, this expression can easily be rewritten in terms of \mathcal{H} using Eq. (7). To handle the case where either is odd, we define

$$\hat{O}_{[l]} = \begin{cases} \hat{O} & \text{for } l \text{ even} \\ e^{-\mathcal{H}} V^{-1} \hat{O} V e^{+\mathcal{H}} & \text{for } l \text{ odd} \end{cases}, \quad (21)$$

in terms of which

$$\langle O_1(l_1) O_2(l_2) \rangle = \frac{1}{Z} \text{Tr}[e^{-\mathcal{H}(L_y-l_2)} \hat{O}_{2[l_2]} e^{-\mathcal{H}(l_2-l_1)} \hat{O}_{1[l_1]} e^{-\mathcal{H}l_1}]. \quad (22)$$

Note that $\hat{O}_{[l]}$ does not include the full l dependence (i.e., it is not an imaginary-time Heisenberg operator) and depends on l only through its parity. Clearly, $(O_1 O_2)_{[l]} = O_{1[l]} O_{2[l]}$ and, because V is Hermitian,

$$(\hat{O}_{[l]})^\dagger = (\hat{O}^\dagger)_{[l]}. \quad (23)$$

For the dimer operators in Eq. (19), we therefore have

$$d_{j[l],x} = -w C_{j[l]} C_{j+1,[l]}, \quad (24)$$

$$d_{j[l],y} = C_{j[l]}^\dagger C_{j[l]}. \quad (25)$$

Using $e^{-\mathcal{H}} \zeta_k e^{+\mathcal{H}} = e^{+\epsilon(k)} \zeta_k$ and

$$\begin{aligned} V^{-1} \zeta_k^* V &= -e^{-\epsilon(k)} \zeta_{k-\pi}^\dagger, \\ V^{-1} (\zeta_k^\dagger)^* V &= -e^{\epsilon(k)} \zeta_{k-\pi}, \end{aligned} \quad (26)$$

together with the complex conjugate of Eq. (12), we find

where the right-hand side is defined as in Eq. (20). In the case where the monomers are in the same row and at columns j and $j + X$, respectively, this can be expressed as

$$G_m(\mathbf{r}_1, \mathbf{r}_2) = -\left\langle C_j e^{i\pi \sum_{i=j+1}^{j+X-1} c_i^\dagger c_i} C_{j+X} \right\rangle, \quad (30)$$

using the inverse of the Jordan-Wigner mapping, Eq. (9). This expectation value can be calculated in the microscopic theory using Wick's theorem [34].

III. DERIVATION OF FIELD THEORY

In this section, we derive an effective field theory description of the dimer model using bosonization, starting from the transfer-matrix solution.

A. Bosonized Hamiltonian and action

1. Linearized theory

The free-fermion Hamiltonian, Eq. (16), has dispersion $\epsilon(k)$, as shown in Fig. 1. There are two Fermi points k_\pm , i.e., points where $\epsilon(k_\pm) = 0$, at

$$k_+ = 0 \quad \text{and} \quad k_- = \pi, \quad (31)$$

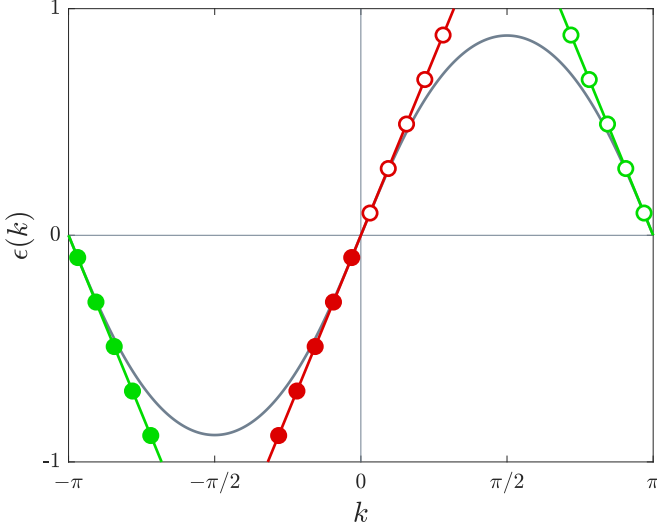


FIG. 1. The dispersion $\epsilon(k)$ (gray) is linearized around the two Fermi points at $k_+ = 0$ and $k_- = \pi$; the right- (red) and left- (green) moving branches are then extended to infinity. Filled and empty circles denote single-fermion states that are occupied and empty, respectively, in the ground state. (The dispersion is plotted for the isotropic case $w = 1$ and we have set $p = 0$, so the k values are half-integer multiples of $2\pi/L_x$.)

which we refer to as the right and left Fermi points, respectively. The Fermi velocities at these points are $\epsilon'(k_{\pm}) = \pm w$.

Our goal is to construct a linearized theory that exactly reproduces long-wavelength properties, such as long-distance correlation functions, of the microscopic model. To do so, we linearize the dispersion around the two Fermi points and extend each branch to infinity (see Fig. 1). This modifies the dispersion away from the Fermi points and adds an infinite number of new single-particle modes at high energy. On physical grounds, we expect the long-wavelength properties to be unaffected, provided we consider only quantities to which the extra modes do not make spurious contributions.

To describe the modes of the linearized theory, we define right- and left-moving fermion annihilation operators, R_k and L_k , and corresponding real-space operators

$$\begin{aligned} R(x) &= \frac{1}{\sqrt{L_x}} \sum_{k=-\infty}^{\infty} e^{+ikx} R_k, \\ L(x) &= \frac{1}{\sqrt{L_x}} \sum_{k=-\infty}^{\infty} e^{-ikx} L_k. \end{aligned} \quad (32)$$

The set of k values included in each sum is the infinite extension of \mathbb{K}_p : all half-integer (integer) multiples of $2\pi/L_x$ for $p = 0$ (resp., $p = 1$). This implies the boundary condition

$$R(x + L_x) = -(-1)^p R(x) \quad (33)$$

and the same for $L(x)$. Note that the real-space operators are defined in the continuum rather than on the lattice, since there is no Brillouin zone in the linearized theory.

These operators are related to the microscopic fermions ζ_k by

$$\zeta_k \approx \begin{cases} R_{k-k_+} & \text{for } k \text{ near } k_+, \\ L_{k-k_-} & \text{for } k \text{ near } k_-, \end{cases} \quad (34)$$

or equivalently to the real-space fermions

$$\psi_j = \frac{1}{\sqrt{L_x}} \sum_k e^{ikj} \zeta_k \quad (35)$$

by

$$\psi_j \approx e^{ik_+j} R(x_j) + e^{ik_-j} L(x_j), \quad (36)$$

where x_j is the position of site j . Expressions such as Eqs. (34) and (36) relate operators in the microscopic and linearized theories, which have different Hilbert spaces. They should be understood as equivalences between the two, rather than (approximate) operator identities.

When constructing corresponding relations for other operators such as the Hamiltonian, it is necessary to avoid spurious contributions from the additional modes in the linearized theory. This can be achieved by putting all fermion operators into normal order (see Appendix B) before applying Eq. (34) or Eq. (36). For bilinears, normal ordering simply amounts to subtracting the ground-state expectation value, and so the Hamiltonian, Eq. (16), can be written as

$$\mathcal{H}_p = E_0(p) + \sum_k \epsilon(k) : \zeta_k^\dagger \zeta_k :, \quad (37)$$

where $: \cdot :$ denotes normal ordering. Here $E_0(p)$ is the ground-state energy in the sector with parity p , which obeys [34]

$$E_0(1) = E_0(0) + \frac{\pi w}{2L_x} + O(L_x^{-3}). \quad (38)$$

One similarly finds, using Eq. (18),

$$\Phi_y = p + \sum_k : \zeta_k^\dagger \zeta_k :. \quad (39)$$

(Our convention for fermion normal ordering, defined in Appendix B 1, uses as reference state the ground state with $\Phi_y = +1$ when $p = 1$.)

Using Eq. (34) and expanding $\epsilon(k)$ to leading order around the Fermi points then gives

$$\mathcal{H}_p = E_0(p) + w \sum_k k (: R_k^\dagger R_k : + : L_k^\dagger L_k :), \quad (40)$$

or, transforming to real space by inverting Eq. (32),

$$\mathcal{H}_p = E_0(p) + \frac{w}{i} \int_0^{L_x} dx [: R^\dagger(x) \partial_x R(x) - L^\dagger(x) \partial_x L(x) :]. \quad (41)$$

Here and in the following, we use the same symbols for operators in the microscopic and linearized theories (or, in other words, write these relationships using “=” rather than “ \approx ”), since there is no risk of ambiguity. The corresponding expression for the flux is

$$\Phi_y = p + \int_0^{L_x} dx [: R^\dagger(x) R(x) + L^\dagger(x) L(x) :]. \quad (42)$$

TABLE I. Bosonization dictionary: identities, derived in Appendix C, that relate bilinears in right- and left-moving fermion fields, $R = \Psi_+(x)$ and $L = \Psi_-(x)$, to expressions containing the boson field ϕ and its canonically conjugate momentum Π . The fermion fields obey boundary conditions $\Psi_{\pm}(x + L_x) = -(-1)^p \Psi_{\pm}(x)$ with $p = 0$ or 1 , and fermion normal ordering, denoted $:\dots:$, is defined with respect to the ground state subject to this boundary condition. Boson normal ordering is denoted $^* \dots ^*$. The constant a is a short-distance cutoff with dimensions of length that arises in the bosonization formalism and which we use to regularize the bosonic field theory (see Appendix D).

Fermions \leftrightarrow Bosons	Equation
$:R^\dagger R + L^\dagger L: = \partial_x \phi - \frac{1}{L_x} p$	Eq. (C42)
$:R^\dagger R - L^\dagger L: = -\frac{1}{\pi} \Pi$	Eq. (C43)
$\frac{1}{2i}[:R^\dagger \partial_x R - (\partial_x R^\dagger)R - L^\dagger \partial_x L + (\partial_x L^\dagger)L:] = \frac{\pi}{2} [^*(\partial_x \phi)^2 + \frac{1}{\pi^2} \Pi^{2*}] - \frac{\pi}{2L_x} p$	Eq. (C45)
$R^\dagger L + L^\dagger R = \frac{1}{\pi a} \sin(2\pi \phi)$	Eq. (C50)
$R^\dagger L - L^\dagger R = \frac{i}{\pi a} \cos(2\pi \phi)$	Eq. (C51)

2. Bosonization and path integral

The right- and left-moving fermions can be expressed, according to the bosonization identity [36], in terms of a pair of bosonic operators $\phi(x)$ and $\Pi(x)$. We provide full details of this mapping in Appendix C, but Table I summarizes the most important results. [These are operator identities, in contrast to relations such as Eq. (34).]

The operators $\phi(x)$ and $\Pi(x)$ are Hermitian and obey $[\phi(x), \phi(x')] = [\Pi(x), \Pi(x')] = 0$ and

$$[\phi(x), \Pi(x')] = i\delta_a(x - x') \quad (43)$$

for $0 \leq x, x' < L_x$. Here δ_a is a nascent delta function [specifically, a Lorentzian; see Eq. (C23)] whose width is a , a short-distance cutoff that arises in the bosonization formalism [36] and which we use to regularize the field theory (see Appendix D). In the limit $a \rightarrow 0$, δ_a becomes a Dirac delta function, and so we refer to $\Pi(x)$ as the canonically conjugate momentum for the field $\phi(x)$. (Note that a is *not* the lattice constant, which we have set to 1.)

As we show in Appendix C 1, $\phi(x)$ is defined only up to a global integer shift and has a jump across the periodic boundaries. To be precise, Eqs. (42) and (C42) give

$$\Phi_y = \int_0^{L_x} dx \partial_x \phi, \quad (44)$$

and so $\phi(x)$ obeys the boundary condition [equivalent to Eq. (C29)]

$$\phi(L_x) = \phi(0) + \Phi_y. \quad (45)$$

For the Hamiltonian, using Eq. (C45) and integration by parts gives

$$\mathcal{H}_p = E_0(0) + \frac{w\pi}{2} \int_0^{L_x} dx \left[^*(\partial_x \phi)^2 + \frac{1}{\pi^2} \Pi^{2*} \right], \quad (46)$$

where $^* \dots ^*$ denotes boson normal ordering (see Appendix B 2). Note that, using Eq. (38), the dependence on parity sector p cancels at least to order L_x^{-2} , and we therefore omit p in the following.

Using the standard mapping to a path integral for bosonic fields [39], the partition function, Eq. (8), may be rewritten as

$$Z = \int \mathcal{D}\phi e^{-S[\phi]}, \quad (47)$$

where the action functional is¹

$$S[\phi] = \frac{w\pi}{2} \int_0^{L_x} dx \int_0^{L_y} dy \left[(\partial_x \phi)^2 + \frac{1}{w^2} (\partial_y \phi)^2 \right]. \quad (48)$$

We label the imaginary-time dimension in the path integral y , because the transfer matrix effects evolution in the y direction of the original dimer model; the effective inverse temperature is L_y [see Eq. (8)].

The integral in Eq. (47) is over real field configurations $\phi(x, y)$, with $0 \leq x \leq L_x$ and $0 \leq y \leq L_y$. Due to the boundary condition Eq. (45), it includes paths where $\phi(L_x, y) - \phi(0, y)$ is given by an integer, identified as the y component of the flux, Φ_y . Since the operator $\phi(x)$ is defined only up to global integer shifts, so are the fields appearing in the path integral. Fixing the value of ϕ at any one point (x, y) allows it to be fixed everywhere throughout a simply connected region, but not around the periodic boundaries in the y direction. The path integral should therefore also include configurations where $\phi(x, L_y) - \phi(x, 0)$ is an integer.² As expected by symmetry, and as we show explicitly in Sec. III B 2 [see Eq. (67)], this discontinuity determines the x component of the flux, Φ_x .

The field theory defined by Eqs. (47) and (48)—which is simply a free, massless real field theory in 2D—is identical to the “height theory” proposed to describe the dimer model [29–32], including the invariance under global shifts and the relationship between the flux and the boundary conditions on the field. We return to this point in Sec. III B 2 where we relate the field ϕ to the dimer observables.

B. Dimer occupation numbers

The field theory for the dimer model, Eqs. (47) and (48), is useful only when paired with expressions that relate the microscopic degrees of freedom, i.e., dimers, to the field ϕ , so that one can calculate observables. In this section we derive expressions for the dimer occupation numbers on vertical and horizontal bonds in terms of ϕ .

¹The boson normal ordering must be removed before deriving the path integral, but this merely adds an unimportant constant $\propto a^{-2}$.

²An identical argument applies for the path integral of a single $O(2)$ quantum rotor [39].

1. Bosonized operators

The first step is to write the expressions for $d_{j[l],x}$ and $d_{j[l],y}$, Eqs. (24) and (25), in normal order. Since these operators are bilinears in fermions, this simply involves subtracting their expectation values in the reference state $|0\rangle$, the ground state of the free-fermion Hamiltonian \mathcal{H}_p in parity sector p (see Appendix B 1). It is in fact more convenient to express them in terms of expectation values in the *global* ground state of \mathcal{H} , which is equal to the ground state in the sector with $p = 0$. Denoting such expectation values by $\langle \cdot \rangle$, we find, by a similar calculation to that for Eq. (38),

$$\langle 0|d_{j[l],x}|0\rangle = \langle d_x \rangle \quad (49)$$

$$\langle 0|d_{j[l],y}|0\rangle = \langle d_y \rangle + p \frac{(-1)^{j+l}}{L_x}, \quad (50)$$

$$C_{j[l]} = \sqrt{\frac{2}{L_x}} e^{-i(-1)^l \pi/4} \sum_k e^{ikj} \times \begin{cases} \cos \theta_k R_k + (-1)^l \sin \theta_k L_{-k} & \text{for } j+l \text{ even} \\ (-1)^l \cos \theta_k R_{-k} - \sin \theta_k L_k & \text{for } j+l \text{ odd} \end{cases} \quad (53)$$

By assumption, the only important contributions to the sum have small k , and so we replace θ_k by $\theta_0 = \frac{\pi}{4}$. The sums can then be identified with real-space fermions using Eq. (32), which gives

$$C_{j[l]} = e^{-i(-1)^l \pi/4} \times \begin{cases} R + (-1)^l L & \text{for } j+l \text{ even} \\ (-1)^l R^\dagger - L^\dagger & \text{for } j+l \text{ odd} \end{cases} \quad (54)$$

Like those in Sec. III A 1, this equation relates an operator in the microscopic theory to the equivalent operator in the linearized theory. Here and in the expressions that follow, the continuum fields on the right-hand side are understood to be evaluated at the position x_j of site j .

Inserting this result into Eq. (52) gives, for the dimer occupation number on vertical bonds,

$$d_{j[l],y} - \langle d_y \rangle = (-1)^{j+l} :R^\dagger R + L^\dagger L: + (-1)^l (L^\dagger R + R^\dagger L). \quad (55)$$

This can be bosonized using the results of Table I, giving

$$d_{j[l],y} - \langle d_y \rangle = (-1)^{j+l} \partial_x \phi + \frac{(-1)^l}{\pi a} \sin(2\pi \phi). \quad (56)$$

Similarly, for the dimer occupation number on horizontal bonds, we find

$$d_{j[l],x} - \langle d_x \rangle = -iw [(-1)^{j+l} :R^\dagger R - L^\dagger L: + (-1)^j (R^\dagger L - L^\dagger R)], \quad (57)$$

and bosonization gives

$$d_{j[l],x} - \langle d_x \rangle = \frac{iw}{\pi} (-1)^{j+l} \Pi + \frac{w}{\pi a} (-1)^j \cos(2\pi \phi). \quad (58)$$

³For completeness, their values are

$$\langle d_x \rangle = \frac{\arctan w}{\pi}, \quad \langle d_y \rangle = \frac{\arctan(1/w)}{\pi},$$

in the thermodynamic limit [28,34].

up to corrections of higher order in L_x^{-1} . By symmetry, $\langle d_x \rangle$ and $\langle d_y \rangle$ are exactly independent of position, which is not true of $\langle 0|d_{j[l],y}|0\rangle$ for $p = 1$, since $\Phi_y \neq 0$ reduces the symmetry.³ We therefore have

$$d_{j[l],x} - \langle d_x \rangle = -w :C_{j[l]} C_{j+1,[l]}: \quad (51)$$

$$d_{j[l],y} - \langle d_y \rangle = :C_{j[l]}^\dagger C_{j[l]}: + p \frac{(-1)^{j+l}}{L_x}. \quad (52)$$

While the term of order L_x^{-1} in Eq. (52) has no effect on dimer correlations in the thermodynamic limit, it is required to give the correct expression for the flux in Sec. III B 2.

Next, we express these in terms of right- and left-moving fermions $R(x)$ and $L(x)$, by linearizing the transformation between $C_{j[l]}$ and ζ_k , Eq. (27). After inserting Eq. (34), shifting the summation indices, and extending both sums over k to infinity, we obtain

These leading-order expressions for $d_{j[l],x}$ and $d_{j[l],y}$ result from replacing θ_k in Eq. (53) by its value at $k = 0$. One can instead expand in a Taylor series around this point, which gives terms in Eq. (54) involving derivatives of the fermion fields R and L . These terms can also be bosonized [40], giving higher-order terms in Eqs. (56) and (58) and hence corrections to the asymptotic dimer-dimer correlations.

2. Dimer operators in path-integral formalism

Applying the same mapping that led from the partition function in the form Eq. (8) to the path integral Eq. (47), we can write any expectation value as an average over the ensemble of field configurations. For example, the correlation function in Eq. (22) becomes

$$\langle O_1(l_1) O_2(l_2) \rangle = \frac{1}{Z} \int \mathcal{D}\phi e^{-S[\phi]} \widetilde{O}_{1,[l_1]}(l_1) \widetilde{O}_{2,[l_2]}(l_2), \quad (59)$$

where $\widetilde{O}(l)$ is a function of ϕ and its derivatives evaluated at $y = l$. In cases where the operator \widehat{O} can be expressed in terms of only the field operator ϕ , $\widetilde{O}(l)$ is simply given by the corresponding function of the field configuration ϕ . For the operator Π , which is not diagonal in the basis states used to construct the path integral, the appropriate function is given by the ‘‘equation of motion’’ $\widetilde{\Pi} = \frac{iw}{w} \partial_y \phi$.

Mapping Eqs. (56) and (58) to the path-integral formulation therefore gives (omitting \sim to simplify the notation)

$$d_y(\mathbf{r}) - \langle d_y \rangle = (-1)^{r_x+r_y} \partial_x \phi + \frac{1}{\pi a} (-1)^{r_y} \sin(2\pi \phi) \quad (60)$$

and⁴

$$d_x(\mathbf{r}) - \langle d_x \rangle = -(-1)^{r_x+r_y} \partial_y \phi + \frac{w}{\pi a} (-1)^{r_x} \cos(2\pi \phi). \quad (61)$$

⁴As usual with off-diagonal operators in the path integral, this expression does not give the correct expectation value for an operator such as $[d_x(\mathbf{r})]^2$. Here this operator does not give the correct expectation value for the dimers anyway [34].

These expressions, along with the action in Eq. (48), are the main results of the bosonization calculation.

The relations in Eqs. (60) and (61) are exactly equivalent to those proposed to relate dimer observables to a height field describing long-wavelength properties of the dimer model [29–32,41]. In these previous works, their form was inferred based on the microscopic definition of the height and symmetry considerations (and by analogy with the bosonized form of the spin and charge density in a Luttinger liquid [32]), while the coefficients, along with those in the action, were fixed using exact asymptotic results for the dimer correlations. Here we have explicitly constructed the long-wavelength theory describing the model and have shown that it contains a single real field ϕ , related to the dimer observables according to Eqs. (60) and (61) and governed by the action Eq. (48), with no undetermined parameters. By comparing Eqs. (60) and (61) for $w = 1$ with the corresponding expressions in Ref. [29,30], we conclude that our field ϕ is related to the continuum height fields of the latter by $\phi = -\frac{1}{2\pi}\phi_{[30]} = -\frac{1}{4}h_{[29]}$ (where the subscripts are reference numbers).⁵

From Eqs. (60) and (61), we can determine the transformation properties of ϕ under the symmetries of the microscopic model. Under \mathbb{T}_x and \mathbb{T}_y , translation by one lattice spacing in the x and y directions, respectively, the right-hand sides of Eqs. (60) and (61) should transform trivially, which requires

$$\phi \xrightarrow{\mathbb{T}_x} \frac{1}{2} - \phi \quad \phi \xrightarrow{\mathbb{T}_y} -\phi. \quad (62)$$

When $w = 1$, there is also symmetry under a fourfold rotation \mathbb{R} , which we define as rotation by $\frac{\pi}{2}$ counterclockwise around $\mathbf{r} = \mathbf{0}$. Under this transformation,⁶

$$d_x(\mathbf{r}) \rightarrow d_y(\mathbb{R}^{-1}\mathbf{r} - \delta_y), \quad (63)$$

$$d_y(\mathbf{r}) \rightarrow d_x(\mathbb{R}^{-1}\mathbf{r}), \quad (64)$$

and so

$$\phi \xrightarrow{\mathbb{R}} \phi + \frac{1}{4}. \quad (65)$$

Note that the similarity between the expressions for the vertical and horizontal dimers, Eqs. (60) and (61), respectively, reflects the fact that the symmetry (for $w = 1$) between x and y , hidden in the transfer-matrix formalism, is manifest in the bosonized path integral.

Transformation properties equivalent to Eqs. (62) and (65) were determined in Ref. [13] based on the microscopic definition of the height; comparison with these results implies $\phi = h_{[13]} - \frac{1}{8}$. (The rotation considered in Ref. [13] is about

⁵Note that Eqs. (60) and (61) include explicit factors of the short-distance regularization parameter a . As we show in Sec. III B 3, this cancels in observables such as dimer-dimer correlation functions. Expressions for the dimers in terms of the height that do not explicitly include a cutoff, such as in Refs. [29–32], assume an appropriate choice of cutoff in terms of the lattice spacing (which is set to 1 here and in the cited references).

⁶The bond joining sites $\mathbb{R}^{-1}\mathbf{r} - \delta_y$ and $\mathbb{R}^{-1}\mathbf{r}$, whose dimer occupation number is $d_y(\mathbb{R}^{-1}\mathbf{r} - \delta_y)$, is mapped by \mathbb{R} to the bond joining \mathbf{r} and $\mathbf{r} + \delta_x$.

a plaquette center and can be expressed as $\mathbb{R}' = \mathbb{T}_y\mathbb{R}$. Reference [22] uses the definitions of Ref. [13].)

Following Ref. [13], one can also consider the consequences of locality. The dimer occupation numbers $d_\mu(\mathbf{r})$ are given by local functions of the field $\phi(\mathbf{r})$ and its derivatives, but the derivative terms in Eqs. (60) and (61) imply that $\phi(\mathbf{r})$ depends nonlocally on the dimer configuration. Nonetheless, any rearrangement of the dimers can change d_x and d_y only by integers, and so moving dimers within a region far from \mathbf{r} can only shift $\phi(\mathbf{r})$ by an integer. This implies that the action must be invariant under integer shifts of $\phi(\mathbf{r})$, for any microscopic model that is local in the dimer degrees of freedom, as previously determined [13] by considering the microscopic heights. Note that the redundancy under integer shifts arises naturally from the bosonization procedure, as described in Sec. III A 2.

Finally, using Eqs. (60) and (61) we can confirm the relationship between the flux Φ and the boundary conditions on ϕ stated at the end of Sec. III A 2. We start from Eq. (4), which gives Φ_y as the sum of $(-1)^{r_x+r_y}d_y(\mathbf{r})$ over any row r_y of the lattice, and use Eq. (60). Since ϕ is a slowly varying function of \mathbf{r} and $\langle d_y \rangle$ is independent of \mathbf{r} , we can drop rapidly oscillating terms and replace the sum by an integral, leaving

$$\Phi_y = \int_0^{L_x} dx \partial_x \phi = \phi(L_x, r_y) - \phi(0, r_y), \quad (66)$$

as claimed above. Similarly, Eqs. (3) and (61) give

$$\Phi_x = - \int_0^{L_y} dy \partial_y \phi = -\phi(r_x, L_y) + \phi(r_x, 0), \quad (67)$$

for any r_x .

3. Dimer-dimer correlations

To check our results for the action, Eq. (48), and the dimer occupation numbers, Eqs. (60) and (61), we use them to calculate the asymptotic behavior of the dimer-dimer correlation function,

$$G^{\mu\nu}(\mathbf{R}) = \langle d_\mu(\mathbf{r} + \mathbf{R})d_\nu(\mathbf{r}) \rangle - \langle d_\mu(\mathbf{r} + \mathbf{R}) \rangle \langle d_\nu(\mathbf{r}) \rangle, \quad (68)$$

for large separation $\mathbf{R} = (X, Y)$, and compare with results calculated for the microscopic lattice model [28,34].

As we show in Appendix D, the field theory has correlation function

$$\langle [\phi(\mathbf{r} + \mathbf{R}) - \phi(\mathbf{r})]^2 \rangle = \frac{1}{\pi^2} \log \frac{|\tilde{\mathbf{R}}|}{a} + O\left(\frac{|\tilde{\mathbf{R}}|}{a}\right)^{-1} \quad (69)$$

for $a \ll |\mathbf{R}| \ll L_x, L_y$, where $\tilde{\mathbf{R}} = (X, wY)$. [The average $\langle \cdot \rangle$ is taken in the ensemble of field configurations, defined as on the right-hand side of Eq. (59).]

Differentiating this, we find

$$\langle \partial_\mu \phi(\mathbf{r} + \mathbf{R}) \partial_\nu \phi(\mathbf{r}) \rangle = \frac{w_\mu w_\nu |\tilde{\mathbf{R}}|^2 \delta_{\mu\nu} - 2\tilde{R}_\mu \tilde{R}_\nu}{2\pi^2 |\tilde{\mathbf{R}}|^4}, \quad (70)$$

where $w_x = 1$ and $w_y = w$, while the result $\langle e^{iA} \rangle = e^{-\frac{1}{2}\langle A^2 \rangle}$, for a Gaussian random variable A with zero mean, gives

$$\langle e^{2\pi i[\phi(\mathbf{r}+\mathbf{R})-\phi(\mathbf{r})]} \rangle = \frac{a^2}{|\tilde{\mathbf{R}}|^2} \quad (71)$$

and $\langle e^{2\pi i[\phi(\mathbf{r}+\mathbf{R})+\phi(\mathbf{r})]} \rangle = 0$. We therefore have

$$\begin{aligned} & \langle \cos[2\pi\phi(\mathbf{r}+\mathbf{R})] \cos[2\pi\phi(\mathbf{r})] \rangle \\ &= \langle \sin[2\pi\phi(\mathbf{r}+\mathbf{R})] \sin[2\pi\phi(\mathbf{r})] \rangle = \frac{a^2}{2|\tilde{\mathbf{R}}|^2} \end{aligned} \quad (72)$$

and

$$\langle \cos[2\pi\phi(\mathbf{r}+\mathbf{R})] \sin[2\pi\phi(\mathbf{r})] \rangle = 0. \quad (73)$$

Substituting Eqs. (60) and (61) into Eq. (68) and using these results, we find

$$G^{xx}(\mathbf{R}) \approx (-1)^X \frac{w^2}{\pi^2} \frac{1}{|\tilde{\mathbf{R}}|^4} \times \begin{cases} X^2 & Y \text{ even} \\ (wY)^2 & Y \text{ odd} \end{cases}, \quad (74)$$

$$G^{yy}(\mathbf{R}) \approx (-1)^Y \frac{1}{\pi^2} \frac{1}{|\tilde{\mathbf{R}}|^4} \times \begin{cases} (wY)^2 & X \text{ even} \\ X^2 & X \text{ odd} \end{cases}, \quad (75)$$

$$G^{xy}(\mathbf{R}) \approx (-1)^{X+Y} \frac{w^2}{\pi^2} \frac{XY}{|\tilde{\mathbf{R}}|^4}. \quad (76)$$

These are consistent with Ref. [34], where the same asymptotic results were found by calculating correlation functions in the microscopic lattice model using the transfer matrix and then taking the large-separation limit.

As required for any observable, these correlation functions are independent of the regularization parameter a . While the expressions for the dimer observables, Eqs. (60) and (61), contain a explicitly, this is compensated by the implicit a dependence of the distribution of fields $\phi(\mathbf{r})$ implied by Eq. (69).

C. Monomers

The monomer distribution function G_m , which describes the dimer ensemble in the presence of a pair of monomers, is defined in Sec. IID. Because of the chain of operators in Eq. (30), we are not able to bosonize it using the same approach as in Sec. IIIB. Instead, we construct an operator in the continuum theory that corresponds to the spin-lowering operator σ_j^- and confirm that it reproduces the correct leading-order behavior for the monomer distribution function.

The operator σ_j^- is bosonic, i.e., operators on different sites commute, and it decreases $d_{j,y} = \sigma_j^z$ by one:

$$d_{j,y} \sigma_j^- = \sigma_j^- (d_{j,y} - \delta_{j,j'}). \quad (77)$$

We seek a continuum operator with the corresponding properties. This can be constructed using the Hermitian operator $\vartheta(x)$, defined in Eq. (C21), which is related to the conjugate field Π by $\Pi(x) = -\pi\vartheta'(x)$ and obeys $[\vartheta(x), \vartheta(x')] = 0$. From Eq. (C26), its commutator with $\phi(x)$ is

$$[\phi(x), \vartheta(x')] = \frac{i}{\pi} \Theta_a(x-x'), \quad (78)$$

where, for $0 \leq x, x' < L_x$, Θ_a obeys $\Theta'_a(x) = \delta_a(x)$ and becomes a unit step function in the limit $a \rightarrow 0$.

For any real m , the operator $V_m(x') = e^{2\pi i m \vartheta(x')}$ therefore shifts $\phi(x)$ by $-2m\Theta_a(x-x')$:

$$\phi(x) V_m(x') = V_m(x') [\phi(x) - 2m\Theta_a(x-x')], \quad (79)$$

and so

$$\partial_x \phi V_m(x') = V_m(x') [\partial_x \phi - 2m\delta_a(x-x')]. \quad (80)$$

Comparing with the first term in the bosonized (continuum) form of the operator $d_{j[l],y}$, Eq. (56), we therefore see that $V_m(x_j)$ with $m = \frac{1}{2}(-1)^{j+l}$ reduces the dimer number by one (spread over a region of size a).⁷ We conclude that it is a continuum operator corresponding to the microscopic operator $\sigma_{j[l]}^-$.

The monomer operator therefore corresponds to a ‘‘magnetic vertex operator’’ [36,42], which inserts vortices in the height field, as has previously been stated in Refs. [13,32,43]. The fact that the magnetic charge m has opposite sign on even and odd sites reflects the opposite effective charge of monomers on the two sublattices [1]. Since only charge-neutral products of vertex operators have nonzero expectation values, the appearance of $e^{\pm i\pi\vartheta}$ does not lead to ambiguities, even though (as explained in Appendix C) ϑ is defined only up to an integer.

Note that $V_{m,e}(x) = e^{2\pi i[m\vartheta(x)+e\phi(x)]}$ is also a bosonic operator with the same commutation relations, as long as the ‘‘electric charge’’ e [13,42] is an integer. In general, the microscopic operator can be expressed as a linear combination of $V_{m,e}(x)$ with the same m but different e . The long-distance form of the monomer distribution function will be dominated by $V_m \equiv V_{m,0}$ since the others have correlation functions that decrease more rapidly with distance [42].

Finally, we confirm that the proposed continuum operator gives the correct asymptotic form of the monomer distribution function $G_m(\mathbf{r}, \mathbf{r}')$ for sites \mathbf{r} and \mathbf{r}' on opposite sublattices. If $r_x + r_y$ is even and $r'_x + r'_y$ is odd, then

$$G_m(\mathbf{r}, \mathbf{r}') \sim \langle V_{\frac{1}{2}}(\mathbf{r}) V_{-\frac{1}{2}}(\mathbf{r}') \rangle. \quad (81)$$

Because ϑ is a free bosonic field, we have

$$\langle e^{i\pi\vartheta(\mathbf{r})} e^{-i\pi\vartheta(\mathbf{r}')} \rangle = e^{-\frac{\pi^2}{2} \langle [\vartheta(\mathbf{r}) - \vartheta(\mathbf{r}')]^2 \rangle}. \quad (82)$$

The Hamiltonian \mathcal{H} in Eq. (46), when written in terms of ϑ using $\Pi(x) = -\pi\vartheta'(x)$, is symmetric between ϑ and ϕ , and so their correlations are identical. We can therefore use Eq. (69) to give

$$G_m(\mathbf{r}, \mathbf{r}') \sim \langle e^{i\pi\vartheta(\mathbf{r})} e^{-i\pi\vartheta(\mathbf{r}')} \rangle \sim |\mathbf{r} - \mathbf{r}'|^{-1/2}, \quad (83)$$

which is consistent with exact asymptotic results [34,44].

IV. INTERACTING DOUBLE DIMER MODEL

Up to this point, we have considered dimers that interact only through the close-packing constraint. In this section, we bosonize additional interactions between dimers and show how they modify the effective field theory. For simplicity, we restrict to the isotropic case, $w = 1$.

In particular, we consider the interacting double dimer model [22], consisting of two replicas of the close-packed dimer model on the square lattice, with coupling K between replicas and an aligning interaction J within each. (Desai *et al.* [23] have studied a more general version of this model where

⁷The second term in Eq. (56) also makes a nonzero contribution over a region of size a near x_j . This comes with a rapidly oscillating factor $(-1)^j$, and so makes no contribution to the long-distance correlation function.

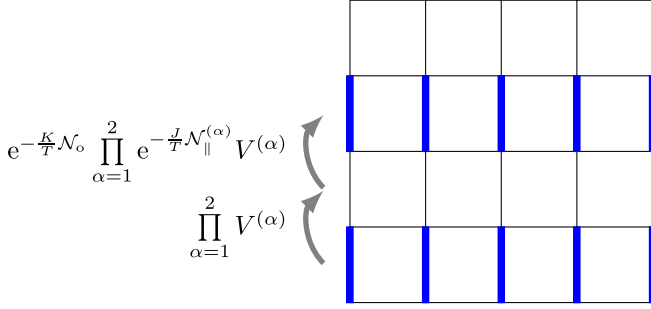


FIG. 2. Illustration of transfer matrix for the 1-GS double dimer model. Dimer-dimer interactions, Eqs. (86) and (87), are applied only on alternate rows of vertical bonds (thick blue lines), which we call type-1 bonds.

dimers are also allowed on “vertical” bonds joining the two replicas, but we do not include such interlayer dimers here.)

The partition function is

$$Z_{4\text{-GS}} = \sum_{\substack{c^{(1)} \in \mathcal{C}_0 \\ c^{(2)} \in \mathcal{C}_0}} e^{-E_{4\text{-GS}}/T}, \quad (84)$$

where $c^{(\alpha)}$ is the configuration of replica α and

$$E_{4\text{-GS}} = J[N_{\parallel}^{(1)} + N_{\parallel}^{(2)}] + KN_o \quad (85)$$

is the total energy. Following the nomenclature of Ref. [45], we refer to this as the 4-GS model, since it has four degenerate ground states when $J < 0$ and $K < 0$. In Eq. (85), $N_{\parallel}^{(\alpha)}$ counts the number of parallel pairs of dimers in replica α [10,13],

$$N_{\parallel}^{(\alpha)} = \sum_{\langle ll' \rangle} \left[d_l^{(\alpha)} - \frac{1}{4} \right] \left[d_{l'}^{(\alpha)} - \frac{1}{4} \right], \quad (86)$$

where the sum is over nearest-neighbor pairs of parallel bonds and $d_l^{(\alpha)}$ is the dimer occupation number on bond l in replica α , while

$$N_o = \sum_l \left[d_l^{(1)} - \frac{1}{4} \right] \left[d_l^{(2)} - \frac{1}{4} \right] \quad (87)$$

counts the number of dimers that overlap between the two replicas. In defining N_{\parallel} and N_o , we choose to subtract from each $d_l^{(\alpha)}$ its mean value $\langle d_l^{(\alpha)} \rangle = \frac{1}{4}$ (by symmetry). This differs from the definition used in Ref. [22] by a constant shift in $E_{4\text{-GS}}$, because $\sum_l d_l^{(\alpha)} = \frac{1}{2}L_x L_y$ is fixed by the close-packing constraint.

Using the transfer-matrix formalism, it is simpler to consider a reduced-symmetry variant, the 1-GS model, illustrated in Fig. 2. In this model the aligning interactions and replica coupling are both applied only on alternate rows of vertical bonds, which we call type-1 bonds, giving a single ground state when $J < 0$ and $K < 0$. The configuration energy $E_{1\text{-GS}}$ is given by Eq. (85) but with only type-1 bonds included in the sums in Eqs. (86) and (87). [Note that, summing over only type-1 bonds, $\sum_l d_l^{(\alpha)}$ is not fixed. Subtracting $\frac{1}{4}$ from each $d_l^{(\alpha)}$ in Eqs. (86) and (87) is equivalent to applying a potential on these bonds.]

In the following sections, we extend the transfer matrix to the 1-GS model. Because the interactions render the model

nonintegrable [13], we turn to perturbation theory. Instead of directly perturbing the eigenvalues and eigenvectors of \mathcal{H} , we add perturbations to the effective field theory of Eq. (48) by using bosonization. In doing so, we keep only terms of first order in J and K and drop terms that cannot be relevant at the (Gaussian) RG fixed point corresponding to the free field theory.

Finally, in Sec. IV E, we use our results for the 1-GS model to infer the extension to the full 4-GS model. In Ref. [22] we have shown that the latter exhibits a BKT phase transition between a standard Coulomb phase [1] and a synchronized phase, and that the phase boundary passes through the non-interacting point $J = K = 0$. We are therefore able to predict the shape of the phase boundary near this point by applying an RG analysis and perturbation theory in J and K .

A. Transfer-matrix perturbation theory

Let $W^{(\alpha)} = [V^{(\alpha)}]^2 = e^{-2\mathcal{H}^{(\alpha)}}$ be the two-row transfer matrix for the noninteracting model in replica α . The two-row transfer matrix for the 1-GS model is given by (see Fig. 2)

$$W_{1\text{-GS}} = e^{-\frac{K}{T}N_o} \prod_{\alpha=1}^2 e^{-\frac{J}{T}N_{\parallel}^{(\alpha)}} W^{(\alpha)}, \quad (88)$$

where the operator

$$\mathcal{N}_{\parallel}^{(\alpha)} = \sum_{j=1}^{L_x} \left[d_{j,y}^{(\alpha)} - \frac{1}{4} \right] \left[d_{j+1,y}^{(\alpha)} - \frac{1}{4} \right] \quad (89)$$

counts the number of parallel pairs of nearest-neighbor dimers in replica α on a row of vertical bonds, and

$$\mathcal{N}_o = \sum_{j=1}^{L_x} \left[d_{j,y}^{(1)} - \frac{1}{4} \right] \left[d_{j,y}^{(2)} - \frac{1}{4} \right] \quad (90)$$

counts the number of overlapping dimers on a row of vertical bonds.

To include these interactions in the transfer-matrix solution, we define the perturbed Hamiltonian $\mathcal{H}_{1\text{-GS}}$ by

$$W_{1\text{-GS}} = e^{-2\mathcal{H}_{1\text{-GS}}}, \quad (91)$$

which, from Eq. (88), is given by

$$\mathcal{H}_{1\text{-GS}} = -\frac{1}{2} \log \left(e^{-\frac{K}{T}N_o} e^{-\frac{J}{T}[N_{\parallel}^{(1)} + N_{\parallel}^{(2)}]} e^{-2[\mathcal{H}^{(1)} + \mathcal{H}^{(2)}]} \right). \quad (92)$$

The operators $\mathcal{N}_{\parallel}^{(\alpha)}$ and \mathcal{N}_o commute with each other, but not with $\mathcal{H}^{(\alpha)}$, and so the Baker-Campbell-Hausdorff formula, Eq. (A1), is required to simplify Eq. (92). To first order in the couplings J and K but all orders in $\mathcal{H}^{(\alpha)}$, this can be written as

$$\begin{aligned} \mathcal{H}_{1\text{-GS}} &= \sum_{\alpha=1}^2 \left(\mathcal{H}^{(\alpha)} + \frac{1}{2} \frac{J}{T} \mathcal{N}_{\parallel}^{(\alpha)} \right) + \frac{1}{2} \frac{K}{T} \mathcal{N}_o \\ &+ \sum_{n=1}^{\infty} c_n \left\{ \frac{K}{T} \mathcal{L}^n \mathcal{N}_o + \frac{J}{T} \mathcal{L}^n [N_{\parallel}^{(1)} + N_{\parallel}^{(2)}] \right\}, \end{aligned} \quad (93)$$

for some coefficients c_n [46], where \mathcal{L} is a superoperator defined by

$$\mathcal{L}X = [\mathcal{H}^{(1)} + \mathcal{H}^{(2)}, X]. \quad (94)$$

In fact, we need only retain terms on the first line of Eq. (93). To see this, note that Eq. (16) gives $[\mathcal{H}, \zeta_k] = -\epsilon(k)\zeta_k$ (omitting replica indices). Since $\mathcal{N}_{\parallel}^{(\alpha)}$ and \mathcal{N}_o are polynomials in ζ_k , each action of \mathcal{L} gives an additional factor of $\epsilon(k)$, producing terms that are less important in the long-wavelength limit. We therefore drop all commutators in Eq. (93), leaving simply

$$\mathcal{H}_{1\text{-GS}} \approx \sum_{\alpha=1}^2 \left(\mathcal{H}^{(\alpha)} + \frac{1}{2} \frac{J}{T} \mathcal{N}_{\parallel}^{(\alpha)} \right) + \frac{1}{2} \frac{K}{T} \mathcal{N}_o. \quad (95)$$

In the following sections we linearize and then bosonize $\mathcal{N}_{\parallel}^{(\alpha)}$ and \mathcal{N}_o , giving expressions in terms of the bosonic operators ϕ and Π . We then use these results, along with Eq. (95), to find the corresponding perturbation to the 1-GS model, to first order in the couplings J and K .

B. Aligning interactions

The calculation of \mathcal{N}_{\parallel} (we drop replica indices in this section) in the free theory follows the procedure in Sec. III B for the dimer occupation numbers. However, because this operator is quartic, rather than quadratic, in fermions, the algebra is more involved.

We first write Eq. (89) in terms of fermion operators and then normal order using Eq. (B4), giving

$$\mathcal{N}_{\parallel} = \frac{L_x}{16} + \sum_{j=1}^{L_x} \left[:C_j^{\dagger} C_j C_{j+1}^{\dagger} C_{j+1}: + \frac{1}{4} :C_j^{\dagger} C_{j+1}^{\dagger} - C_j C_{j+1}: \right]. \quad (96)$$

(We define normal ordering using the ground state of the noninteracting dimer model, and so expectation values are calculated in the latter.)

To bosonize this, we use Eq. (54) with $l = 0$ to express C_j in terms of the continuum fermion operators R and L . For the quartic term, this gives

$$\begin{aligned} & :C_j^{\dagger} C_j C_{j+1}^{\dagger} C_{j+1}: \\ & \approx -[:R^{\dagger}(x)R(x) + L^{\dagger}(x)L(x)][R^{\dagger}(x')R(x') + L^{\dagger}(x')L(x')]: \\ & + [:R^{\dagger}(x)L(x) + L^{\dagger}(x)R(x)][R^{\dagger}(x')L(x') + L^{\dagger}(x')R(x')]:, \end{aligned} \quad (97)$$

where $x = x_j$ and $x' = x_{j+1}$ are the positions of two adjacent sites. In writing Eq. (97), we have dropped terms that alternate in sign for even and odd j , which give negligible contributions when summed over j .

Since we are retaining only the most RG-relevant terms, i.e., those without derivatives, we can replace $x' \rightarrow x$, effectively keeping only the zeroth-order term in a Taylor expansion in $x' - x$. All terms that involve repeated operators, such as $R(x)R(x')$, therefore vanish, and we are left with

$$:C_j^{\dagger} C_j C_{j+1}^{\dagger} C_{j+1}: \approx -4:R^{\dagger}(x)R(x)::L^{\dagger}(x)L(x):, \quad (98)$$

where we have used the fact that all fermion operators anti-commute within normal ordering (see Appendix B 1). Using

Eqs. (C42) and (C43) in Table I, we find

$$-4:R^{\dagger}(x)R(x)::L^{\dagger}(x)L(x): = \frac{1}{\pi^2} \Pi^2 - (\partial_x \phi)^2, \quad (99)$$

omitting a boundary term involving p that makes a negligible contribution in the thermodynamic limit.

Following the same steps, the quadratic terms in Eq. (96) give

$$:C_j^{\dagger} C_{j+1}^{\dagger} - C_j C_{j+1}: \approx 2i(-1)^j :L^{\dagger}(x)R(x) - R^{\dagger}(x)L(x):, \quad (100)$$

and hence make no contribution to this order.⁸

The result is therefore

$$\mathcal{N}_{\parallel} = \frac{L_x}{16} + \int_0^{L_x} dx \left[\frac{1}{\pi^2} \Pi^2 - (\partial_x \phi)^2 \right], \quad (101)$$

where we have normal ordered the bosonic operators to match those in the Hamiltonian, Eq. (46). Because it is quadratic in boson operators, this simply adds a constant, but using Eq. (C46) one can show that this constant is in fact zero.

This result, when combined with Eq. (95), shows that the effect of aligning interactions is to modify the relative coefficients of the two terms in the unperturbed Hamiltonian, Eq. (46). This is in qualitative agreement with Ref. [30], where \mathcal{N}_{\parallel} is found by substituting Eq. (56) into the continuum version of Eq. (89) and using an operator product expansion. The results are not in quantitative agreement, however, which we believe is due to inconsistent treatment of short-distance regularization in Ref. [30].⁹

C. Replica coupling

In contrast to \mathcal{N}_{\parallel} , one can calculate \mathcal{N}_o directly by substituting the bosonized expression for $d_y^{(\alpha)}$, Eq. (56), into the continuum version of Eq. (90),

$$\mathcal{N}_o = \int_0^{L_x} dx \left[d_y^{(1)} - \frac{1}{4} \right] \left[d_y^{(2)} - \frac{1}{4} \right]. \quad (102)$$

This is because operators with different replica indices commute, and hence, provided $d_y^{(1)}$ and $d_y^{(2)}$ are each fermion normal ordered, the product $d_y^{(1)} d_y^{(2)}$ is also normal ordered. An analogous calculation for the Hubbard model, with spin playing the role of replica index, can be found in Ref. [47].

Making this substitution and dropping terms with a factor of $(-1)^j$ as in Eq. (97), one obtains

$$\begin{aligned} \mathcal{N}_o &= \int_0^{L_x} dx \left[(\partial_x \phi^{(1)})(\partial_x \phi^{(2)}) \right. \\ & \left. + \frac{1}{(\pi a)^2} \sin(2\pi \phi^{(1)}) \sin(2\pi \phi^{(2)}) \right]. \end{aligned} \quad (103)$$

⁸If one does not subtract $\frac{1}{4}$ from each $d_y^{(\alpha)}$ in Eq. (86), the quadratic terms make an additional contribution $\frac{1}{2\pi a} \sin(2\pi \phi)$ [40]. According to the logic following Eq. (87), this cannot have any effect in the 4-GS model.

⁹Specifically, in Ref. [30] the short-distance cutoff μ is set equal to 1 (in units of the lattice spacing) rather than $1/\pi$, as required by their expression for the dimer operators in terms of ϕ (see footnote 5).

D. 1-GS model

To find the bosonized Hamiltonian for the 1-GS model, we substitute the expressions for the aligning interactions \mathcal{N}_{\parallel} , Eq. (101), and the replica coupling \mathcal{N}_0 , Eq. (103), into Eq. (95). This gives, up to additive constants,

$$\mathcal{H}_{1\text{-GS}} = \int_0^{L_x} dx \left[\sum_{\alpha=1}^2 \frac{1}{2} \kappa_{1\text{-GS},x}^{*\alpha} (\partial_x \phi^{(\alpha)})^2 + \kappa_{1\text{-GS},y}^{-1} (\Pi^{(\alpha)})^2 + \lambda_{1\text{-GS}} (\partial_x \phi^{(1)}) (\partial_x \phi^{(2)}) + V_{1\text{-GS}} \sin(2\pi \phi^{(1)}) \sin(2\pi \phi^{(2)}) \right], \quad (104)$$

where

$$\kappa_{1\text{-GS},x} = \pi \left(1 - \frac{1}{\pi} \frac{J}{T} \right), \quad (105)$$

$$\kappa_{1\text{-GS},y} = \pi \left(1 + \frac{1}{\pi} \frac{J}{T} \right)^{-1} \approx \pi \left(1 - \frac{1}{\pi} \frac{J}{T} \right), \quad (106)$$

$$\lambda_{1\text{-GS}} = \frac{1}{2} \frac{K}{T}, \quad (107)$$

$$V_{1\text{-GS}} = \frac{1}{2} \frac{K}{T} \frac{1}{(\pi a)^2}. \quad (108)$$

The approximations made in the derivation of Eq. (104) can only modify these coefficients at higher order in J/T and K/T and give other terms in the Hamiltonian that are less relevant under the RG [30].

The corresponding action is given by $S_{1\text{-GS}} = S^{(1)} + S^{(2)} + \delta S_{1\text{-GS}}$, where $S^{(\alpha)}$ is the unperturbed action for replica α [Eq. (48) with $w = 1$] and

$$\delta S_{1\text{-GS}} = \int d^2 \mathbf{r} \left[\frac{1}{2} \delta \kappa_{1\text{-GS}} (|\nabla \phi^{(1)}|^2 + |\nabla \phi^{(2)}|^2) + \lambda_{1\text{-GS}} (\partial_x \phi^{(1)}) (\partial_x \phi^{(2)}) + V_{1\text{-GS}} \sin(2\pi \phi^{(1)}) \sin(2\pi \phi^{(2)}) \right], \quad (109)$$

where $\delta \kappa_{1\text{-GS}} = -J/T$. The first term is a modification of the stiffness κ due to J , while the second is a quadratic coupling between replicas due to K . (Note that, even though both types of interaction are anisotropic, anisotropy in the action is only generated by K at this order.) The final term is the most RG-relevant periodic function of $\phi^{(1,2)}$ that is consistent with symmetry constraints, and is allowed only because the 1-GS model breaks symmetry under fourfold rotations \mathbb{R} .

E. 4-GS model

The perturbation to the action, $\delta S_{1\text{-GS}}$, gives the effect of interactions applied only on the type-1 bonds. In this section, we use the symmetry properties of ϕ , given in Eqs. (62) and (65), to infer the action for the 4-GS model, where the interactions are applied with equal strength on all bonds.

To do so, we decompose the lattice into four bond types $i \in \{1, 2, 3, 4\}$, defined in Fig. 3. The effect of interactions on bonds of type 2 to 4 can be found by applying \mathbb{T}_y and \mathbb{R} to $\delta S_{1\text{-GS}}$, which has interactions only on bonds of type 1. At first order in perturbation theory, the change in the effective action is linear in the perturbation, and so the total perturbation to

the action for the 4-GS model is simply given by the sum of the contributions from the four types of bond.

To find the contribution from bonds of type 2, we apply \mathbb{T}_y , which maps $\phi^{(\alpha)} \rightarrow -\phi^{(\alpha)}$ and hence leaves $\delta S_{1\text{-GS}}$ unchanged. For type-4 bonds, we apply \mathbb{R} , mapping $\sin(2\pi \phi^{(\alpha)}) \rightarrow \cos(2\pi \phi^{(\alpha)})$ and $(\partial_x, \partial_y) \rightarrow (-\partial_y, \partial_x)$. Finally, for type-3 bonds we apply \mathbb{T}_y followed by \mathbb{R} , giving the same as for type-4. The total action is therefore $S_{4\text{-GS}} = S^{(1)} + S^{(2)} + \delta S_{4\text{-GS}}$ with

$$\delta S_{4\text{-GS}} = \int d^2 \mathbf{r} \left\{ \frac{1}{2} \delta \kappa (|\nabla \phi^{(1)}|^2 + |\nabla \phi^{(2)}|^2) + \lambda (\nabla \phi^{(1)}) \cdot (\nabla \phi^{(2)}) + V^{(-)} \cos[2\pi (\phi^{(1)} - \phi^{(2)})] \right\}, \quad (110)$$

where

$$\delta \kappa = 4\delta \kappa_{1\text{-GS}} = -\frac{4J}{T}, \quad (111)$$

$$\lambda = 2\lambda_{1\text{-GS}} = \frac{K}{T}, \quad (112)$$

$$V^{(-)} = 2V_{1\text{-GS}} = \frac{K}{T} \frac{1}{(\pi a)^2}. \quad (113)$$

One can diagonalize the quadratic terms by defining [22]

$$\phi^{(\pm)} = \phi^{(1)} \pm \phi^{(2)}, \quad (114)$$

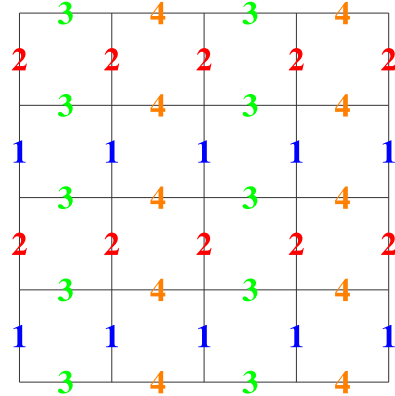


FIG. 3. Definition of bond types $i \in \{1, 2, 3, 4\}$ in the square lattice. In the 1-GS model (see Fig. 2), the couplings J and K are applied only on type-1 bonds. In the 4-GS model, they are applied on all bond types with equal strength.

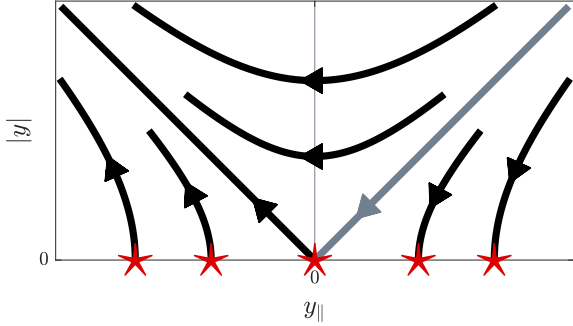


FIG. 4. RG flows of the sine-Gordon action, Eq. (118), with $y_{\parallel} = 2(\mathcal{K} - 1)$ and $y = g/\pi$. There is a line of fixed points (red stars) along $|y| = 0$, which are stable (unstable) for $y_{\parallel} > 0$ ($y_{\parallel} < 0$). The trajectories are hyperbolas, and the separatrix $|y| = y_{\parallel}$ (gray) divides regions where $|y|$ flows to zero and infinity.

in terms of which the total action is

$$S_{4\text{-GS}} = \int d^2\mathbf{r} \left\{ \frac{1}{2}\kappa_{+}|\nabla\phi^{(+)}|^2 + \frac{1}{2}\kappa_{-}|\nabla\phi^{(-)}|^2 + V^{(-)}\cos(2\pi\phi^{(-)}) \right\}, \quad (115)$$

with

$$\kappa_{\pm} = \frac{\pi}{2} + \frac{1}{2}(\delta\kappa \pm \lambda), \quad (116)$$

$$= \frac{\pi}{2} - \frac{2J}{T} \pm \frac{K}{2T}. \quad (117)$$

This form for $S_{4\text{-GS}}$, including the values of κ_{\pm} at $J = K = 0$, was deduced in Ref. [22].¹⁰ Here we have also determined how the parameters κ_{\pm} and $V^{(-)}$ depend on the microscopic couplings J and K .

In order to determine the critical properties of $S_{4\text{-GS}}$, we appeal to an RG analysis, including the leading-order effect of $V^{(-)}$ on the flows. For the general sine-Gordon action [47]

$$S_{\text{SG}}[\phi] = \int d^2\mathbf{r} \left[\frac{1}{2} \frac{\pi}{2\mathcal{K}} |\nabla\phi|^2 + \frac{1}{2} \frac{g}{(\pi a)^2} \cos(2\pi\phi) \right], \quad (118)$$

one can show¹¹ that the RG flow equations for $|g| \ll 1$ are given by

$$\frac{dy_{\parallel}}{d\ell} = -y^2, \quad \frac{dy}{d\ell} = -y_{\parallel}y, \quad (119)$$

where $y_{\parallel} = 2(\mathcal{K} - 1)$, $y = g/\pi$, and ℓ is a scale parameter. The RG flows described by these equations are illustrated in Fig. 4. In particular, since $y dy = y_{\parallel} dy_{\parallel}$, we have

$$y_{\parallel}^2 - y^2 = \text{const}, \quad (120)$$

¹⁰A term $\cos(4\pi\phi^{(+)})$ is also allowed by symmetry [22] but is not important near $J = K = 0$ and does not appear at this order in perturbation theory.

¹¹To be explicit, our Eq. (118) is the action corresponding to the Hamiltonian in Eq. (2.106) of Ref. [47], with the replacement $\phi \rightarrow \frac{\pi}{\sqrt{2}}\phi$, and the flow equations are Eq. (2.140).

i.e., the trajectories are hyperbolas. There is a BKT phase transition along the separatrix $|y| = y_{\parallel}$: For $y_{\parallel} > |y|$, y flows to zero, meaning that the cosine term renormalizes to zero at long distances leaving a free Gaussian theory. For $y_{\parallel} < |y|$, y flows to $\pm\infty$ giving a dominant cosine term that locks ϕ to discrete values.

In the case of the 4-GS model, the field $\phi^{(-)}$ is governed by a sine-Gordon action with

$$y_{\parallel} = 2 \left(\frac{\pi}{2\kappa_{-}} - 1 \right) \approx \frac{2}{\pi} \left(4 \frac{J}{T} + \frac{K}{T} \right), \quad (121)$$

$$y = \frac{2K}{\pi T}. \quad (122)$$

The separatrix at $|y| = y_{\parallel}$ corresponds to a phase boundary at

$$\left(\frac{J}{T} \right)_c = \begin{cases} 0 & \text{for } \frac{K}{T} \geq 0 \\ -\frac{1}{2} \frac{K}{T} & \text{for } \frac{K}{T} \leq 0 \end{cases}, \quad (123)$$

with $\phi^{(-)}$ locked to discrete values for $(\frac{J}{T}) < (\frac{J}{T})_c$. As shown in Ref. [22], locking of the relative field $\phi^{(-)}$ corresponds to either the synchronized or antisynchronized phase of the double dimer model, depending on the sign of K .

The result in Eq. (123) therefore constitutes a quantitative prediction for the asymptotic behavior of the phase boundary near the noninteracting point $J = K = 0$. This prediction is indeed consistent with our numerical determination of the phase boundary in Fig. 3 of Ref. [22], which used a Monte Carlo worm algorithm. In particular, the data point closest to the origin is $(K/T, J/T) = (-0.097(9), 0.05)$, in agreement with Eq. (123) for $K/T \leq 0$, while the phase boundary runs along the line $J/T = 0$ for $K/T \geq 0$, as was previously conjectured [48].

V. CONCLUSIONS

Starting from the transfer-matrix solution of the classical dimer model on the square lattice, we have used bosonization to derive a continuum field theory to describe its long-wavelength properties. The result, expressed by the action of Eq. (48) and the relationships Eqs. (60) and (61), is a free, massless real field theory in two dimensions and is consistent with the ‘‘height theory’’ that is well established as the continuum description of the dimer model [32].

In contrast to previous works, which justify the continuum description based on general properties such as symmetry, our constructive derivation gives exact values for the coefficients in the action (including in the anisotropic case) and those relating the continuum fields to microscopic observables. Other properties of the field theory also arise naturally from our derivation, without needing to be added ‘‘by hand,’’ such as the fact that the height is defined only up to an integer and that it has boundary discontinuities related to the flux.

In addition, our approach allows us to apply perturbation theory to treat weak interactions added to the noninteracting dimer model. In the fermionic language, the Coulomb phase of the original dimer model is a noninteracting Fermi gas, while adding interactions gives a Luttinger liquid. In the double dimer model, this has two components in the unsynchronized Coulomb phase but a single component in the synchronized phase. Our derivation determines the leading-

order perturbation to the action due to the microscopic interactions, allowing a quantitative prediction of the shape of the phase boundary near the noninteracting point. The long-wavelength theory derived here can be extended to include higher-order terms and hence determine corrections to the asymptotic forms of correlation functions. These include corrections to the relations between dimer observables and operators in the field theory [40] as well as corrections to the action due to band curvature [49,50].

The bosonization approach could straightforwardly be applied to dimer models on other planar bipartite lattices, such as the honeycomb lattice, and to other exactly-solved models whose transfer matrix has a free-fermion form. In fact, for any such model, the long-wavelength Hamiltonian will take the form of Eq. (40), with w given by the Fermi speed, and hence the action will be identical to Eq. (48). (This follows from the fact that any free-fermion model has Luttinger parameter $K = 1$ [39].) Note, however, that relationships between the microscopic degrees of freedom and the continuum fields, such as Eqs. (60) and (61), will not be the same, including in the honeycomb-lattice dimer model [29].

The approach may also be applicable to the dimer model on the triangular lattice [51], which is not bipartite, but can be treated as a square lattice with added diagonal links. These give operators that create or annihilate pairs of monomers, and hence a term of the form $\cos(2\vartheta)$ in the field theory [52].

ACKNOWLEDGMENT

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APPENDIX A: BAKER-CAMPBELL-HAUSDORFF FORMULA

The first few terms in the Baker-Campbell-Hausdorff formula are [53]

$$\log(e^A e^B) = A + B + \frac{1}{2}[A, B] + \frac{1}{12}([A, [A, B]] + [B, [B, A]] + \dots), \quad (\text{A1})$$

where the omitted terms involve three or more nested commutators.

In the case $[A, [A, B]] = [B, [A, B]] = 0$, Eq. (A1) implies

$$e^A e^B = e^{A+B} e^{[A,B]/2} \quad (\text{A2})$$

and

$$e^A e^B = e^B e^A e^{[A,B]}. \quad (\text{A3})$$

APPENDIX B: NORMAL ORDERING

In this Appendix we define fermion and boson normal ordering, denoted by $:$ and $^* \cdot ^*$ respectively. Boson and fermion normal ordering are not in general equivalent [54].

1. Fermions

For fermions, normal ordering is required when relating the microscopic lattice model to the long-wavelength continuum theory. It is defined with respect to a particular choice of a reference many-body state $|0\rangle$.

In both parity sectors of the microscopic model, we take this state such that

$$\begin{aligned} \zeta_k |0\rangle &= 0 & \text{for } 0 < k < \pi \\ \zeta_k^\dagger |0\rangle &= 0 & -\pi \leq k \leq 0 \end{aligned}, \quad (\text{B1})$$

so that all single-fermion states with $\epsilon(k) < 0$ are occupied and all those with $\epsilon(k) > 0$ are empty. In the sector with odd parity, $p = 1$, there are also single-fermion states at $k = 0$ and $k = -\pi$, for which $\epsilon(k) = 0$; in Eq. (B1), both are occupied, and so, using Eq. (18), $\Phi_y = +1$.

The notation $:$ then means to anticommute all ζ_k^\dagger with $-\pi \leq k \leq 0$ and all ζ_k with $0 < k < \pi$ to the right of all other creation and annihilation operators. For example, with $-\pi \leq k \leq 0$,

$$:\zeta_k^\dagger \zeta_k: = -\zeta_k \zeta_k^\dagger. \quad (\text{B2})$$

Similar definitions apply for the right- and left-moving fermions of the continuum theory, $\Psi_{k+} = R_k$ and $\Psi_{k-} = L_k$. In this case, the reference state $|0\rangle$ has all single-fermion states with $k \leq 0$ occupied and all others empty, and $:$ is defined by anticommuting all R_k^\dagger and L_k^\dagger with $k \leq 0$ and all R_k and L_k with $k > 0$ to the right of all other $R_k^{(\dagger)}$ and $L_k^{(\dagger)}$ operators.

In the case of two fermion operators, the above definitions of normal ordering are equivalent to

$$AB = :AB: + \langle 0|AB|0\rangle. \quad (\text{B3})$$

For four fermion operators one requires the Wick expansion [55–57]

$$\begin{aligned} ABCD &= :ABCD: \\ &+ \langle AB \rangle_0 :CD: - \langle AC \rangle_0 :BD: + \langle AD \rangle_0 :BC: \\ &+ \langle BC \rangle_0 :AD: - \langle BD \rangle_0 :AC: + \langle CD \rangle_0 :AB: \\ &+ \langle AB \rangle_0 \langle CD \rangle_0 - \langle AC \rangle_0 \langle BD \rangle_0 + \langle AD \rangle_0 \langle BC \rangle_0, \end{aligned} \quad (\text{B4})$$

where $\langle \cdot \rangle_0 \equiv \langle 0 | \cdot | 0 \rangle$.

Note that any two fermion operators anticommute when they are within normal ordering, e.g.,

$$:ABCD: = -:BACD:. \quad (\text{B5})$$

2. Bosons

For boson operators, $^* \cdot ^*$ means to commute all annihilation operators $b_{q\eta}$ to the right of all creation operators $b_{q\eta}^\dagger$ of the same species, e.g.,

$$^* b_{q\eta} b_{q'\eta}^\dagger ^* = b_{q'\eta}^\dagger b_{q\eta}. \quad (\text{B6})$$

We include an explicit short-distance regularization a in the bosonized theory, and expressions where bosonic operators are not in normal order typically depend on a , while normal-ordered expressions do not.

APPENDIX C: BOSONIZATION

This Appendix provides a brief overview of (abelian) bosonization. The main results are summarized in Table I. For an application to the XXZ spin chain using a similar approach, see Ref. [40].

1. Bosonization identity

In this section we state the bosonization identity, which is an operator identity in Fock space, as well as defining the fermion and boson fields that appear within it. We follow Ref. [36] but with some changes to definitions of the fields; see Refs. [39,47] for other useful resources.

We start from right- and left-moving fermions as introduced in Sec. III A 1. In this Appendix, we use the notation $\Psi_+ \equiv R$ and $\Psi_- \equiv L$ for the right- and left-moving fermions, respectively. They obey

$$\Psi_\eta(x) = \frac{1}{\sqrt{L_x}} \sum_k e^{i\eta k x} \Psi_{k\eta}, \quad (\text{C1})$$

$$\Psi_{k\eta} = \frac{1}{\sqrt{L_x}} \int_0^{L_x} dx e^{-i\eta k x} \Psi_\eta(x), \quad (\text{C2})$$

for $\eta = \pm$, where L_x is the number of lattice sites. Here and in the following, the momenta included in the sum over k are the same as in Eq. (32),

$$k \in \frac{2\pi}{L_x} \left[\mathbb{Z} + \frac{1}{2}(1-p) \right], \quad (\text{C3})$$

where the parameter $p = 0$ or 1 .¹² This implies boundary conditions on $\Psi_\eta(x)$ of

$$\Psi_\eta(x + L_x) = -(-1)^p \Psi_\eta(x). \quad (\text{C4})$$

The commutation relations are

$$\begin{aligned} \{\Psi_{k\eta}, \Psi_{k'\eta'}^\dagger\} &= \delta_{\eta\eta'} \delta_{kk'} & \{\Psi_{k\eta}, \Psi_{k'\eta'}\} &= 0, \\ \{\Psi_\eta(x), \Psi_{\eta'}^\dagger(x')\} &= \delta_{\eta\eta'} \delta(x-x') & \{\Psi_\eta(x), \Psi_{\eta'}(x')\} &= 0 \end{aligned} \quad (\text{C5})$$

for $0 \leq x, x' < L_x$.

For each η , we define the fermion number operator

$$N_\eta = \sum_k : \Psi_{k\eta}^\dagger \Psi_{k\eta} : = \int_0^{L_x} dx : \Psi_\eta^\dagger(x) \Psi_\eta(x) :, \quad (\text{C6})$$

which counts the number of fermions of type η relative to the reference state $|0\rangle$. Normal ordering, denoted $: \cdot :$, is defined in Appendix B 1; as there, we take the reference state to obey

$$\begin{aligned} \Psi_{k\eta}|0\rangle &= 0 \quad \text{for } k > 0, \\ \Psi_{k\eta}^\dagger|0\rangle &= 0 \quad k \leq 0, \end{aligned} \quad (\text{C7})$$

and hence to be the ground state of the Hamiltonian, Eq. (40). (If $p = 1$, then $k = 0$ is included and $|0\rangle$ is one of four degenerate ground states.)

One can construct bosonic operators from bilinears in the fermionic operators. First, we define creation operators

$$b_{q\eta}^\dagger = \frac{i}{\sqrt{n_q}} \sum_k \Psi_{k+q,\eta}^\dagger \Psi_{k,\eta} \quad (\text{C8})$$

for $q = 2\pi n_q/L_x$ with $n_q \in \mathbb{Z}^+$, and corresponding annihilation operators $b_{q\eta} = (b_{q\eta}^\dagger)^\dagger$. They obey [36]

$$[b_{q\eta}, b_{q'\eta'}^\dagger] = \delta_{\eta\eta'} \delta_{qq'}, \quad [b_{q\eta}, b_{q'\eta'}] = 0, \quad (\text{C9})$$

and commute with the fermion number operators N_η , since they create particle-hole pairs. Note also that, since $b_{q\eta}$ contains only operators $\Psi_{k\eta}^\dagger \Psi_{k'\eta}$ with $k' > k$, it obeys $b_{q\eta}|0\rangle = 0$.

We also define real-space operators

$$\varphi_\eta^\dagger(x) = \frac{\eta}{2\pi} \sum_{q>0} \frac{1}{\sqrt{n_q}} e^{-i\eta q x} b_{q\eta}^\dagger e^{-aq/2} \quad (\text{C10})$$

and $\varphi_\eta(x) = [\varphi_\eta^\dagger(x)]^\dagger$, where a is a short-distance cutoff which is required to regularize certain expressions. They have commutators $[\varphi_\eta(x), N_{\eta'}] = [\varphi_\eta(x), \varphi_{\eta'}(x')] = 0$ and

$$[\varphi_\eta(x), \varphi_{\eta'}^\dagger(x')] = \frac{\delta_{\eta\eta'}}{(2\pi)^2} \sum_{q>0} \frac{1}{n_q} e^{q[i\eta(x-x')-a]} \quad (\text{C11})$$

$$= -\frac{\delta_{\eta\eta'}}{(2\pi)^2} \log [1 - e^{i\frac{2\pi}{L_x}[\eta(x-x')+ia]}]. \quad (\text{C12})$$

In particular,

$$[\varphi_\eta(x), \varphi_{\eta'}^\dagger(x)] = -\frac{\delta_{\eta\eta'}}{(2\pi)^2} \log \frac{2\pi a}{L_x}, \quad (\text{C13})$$

since $a \ll L_x$. As with $b_{q\eta}$, we have $\varphi_\eta|0\rangle = 0$.

From these operators, we construct the Hermitian combination

$$\phi_\eta(x) = \phi_\eta^{(0)} + \frac{x}{L_x} \left(N_\eta + \frac{1}{2}p \right) + \varphi_\eta(x) + \varphi_\eta^\dagger(x). \quad (\text{C14})$$

The zero-wave-vector mode of $\phi_\eta(x)$ is the (x -independent) operator

$$\phi_\eta^{(0)} = -\frac{\eta}{2\pi} \theta_\eta, \quad (\text{C15})$$

where θ_η ($= \theta_\eta^\dagger$) is dual to N_η , i.e., $e^{+i\theta_\eta}$ (the ‘‘Klein factor’’ F_η^\dagger [36]) increments N_η . Equivalently,

$$[N_\eta, \theta_\eta] = -i\delta_{\eta\eta'}, \quad (\text{C16})$$

but with the important caveat that θ_η is defined only up to multiples of 2π (since N_η takes integer values) and hence the zero mode $\phi_\eta^{(0)}$ is defined only up to integers. We also require

$$[\theta_+, \theta_-] = i\pi, \quad (\text{C17})$$

and that the operators θ_η commute with $\varphi_\eta(x)$.

The real-space fermionic operator $\Psi_\eta(x)$ can be expressed in terms of the bosons through the bosonization identity¹³

$$\Psi_\eta(x) = \frac{1}{\sqrt{L_x}} e^{-i\theta_\eta} e^{2\pi i\eta(N_\eta - \frac{1}{2} + \frac{1}{2}p)x/L_x} e^{2\pi i\eta\varphi_\eta^\dagger(x)} e^{2\pi i\eta\varphi_\eta(x)} \quad (\text{C18})$$

$$= \frac{1}{\sqrt{2\pi a}} e^{2\pi i\eta\phi_\eta(x)}, \quad (\text{C19})$$

which becomes an exact operator identity in the limit $a \rightarrow 0$ [36]. The two expressions can be related by combining the exponentials using Eq. (A2). In the first, the boson operators

¹³For the left-moving fermions, $\eta = -$, our Eq. (C18) is equal, up to normalization of the fields, to Eq. (62) of Ref. [36] with $F_\eta = e^{-i\theta_\eta}$. Our ϕ_η is equivalent to $\tilde{\Phi}_\eta$, defined in Sec. D.2 of Ref. [36].

¹²In the notation of Ref. [36], this corresponds to $\delta_b = 1 - p$.

are in normal order, while in the second, $e^{2\pi i\eta\phi_\eta(x)}$ is not normal ordered and so has a nontrivial limit as $a \rightarrow 0$.

Finally, we define the fields

$$\phi(x) = \phi_+(x) + \phi_-(x), \quad (\text{C20})$$

$$\vartheta(x) = \phi_+(x) - \phi_-(x), \quad (\text{C21})$$

and $\Pi(x) = -\pi\vartheta'(x)$. To find their commutation relations, we use Eq. (C11) and the identity

$$\sum_{n=-\infty}^{\infty} e^{in\xi} e^{-\lambda|n|} = 2\pi \sum_{m=-\infty}^{\infty} \delta_\lambda(\xi - 2\pi m), \quad (\text{C22})$$

where

$$\delta_\lambda(x) = \frac{\lambda}{\pi} \frac{1}{\lambda^2 + x^2} \quad (\text{C23})$$

is a Lorentzian of width λ . These give $[\phi(x), \phi(x')] = [\vartheta(x), \vartheta(x')] = [\Pi(x), \Pi(x')] = 0$ and

$$[\phi(x), \Pi(x')] = i\text{III}_a(x - x'), \quad (\text{C24})$$

where

$$\text{III}_a(x) = \sum_{n=-\infty}^{\infty} \delta_a(x - nL_x). \quad (\text{C25})$$

In the limit $a \rightarrow 0$, III_a becomes a Dirac comb (periodic delta function) with period L_x . In this limit, the field $\Pi(x)$ therefore plays the role of the canonically conjugate momentum for the bosonic field $\phi(x)$.

Integrating Eq. (C24) and using the boundary condition $[\phi(x), \vartheta(x)] = \frac{i}{2\pi}$, which follows from Eqs. (C13) and (C17), we find

$$[\phi(x), \vartheta(x')] = \frac{i}{\pi} \Theta_a(x - x'), \quad (\text{C26})$$

where Θ_a , defined by $\Theta'_a(x) = \text{III}_a(x)$ and $\Theta_a(0) = \frac{1}{2}$, is a smoothed ceiling function,

$$\lim_{a \rightarrow 0} \Theta_a(x) = \left\lceil \frac{x}{L_x} \right\rceil \quad (\text{C27})$$

for $x/L_x \notin \mathbb{Z}$. For $0 \leq x, x' < L_x$, Θ_a can be replaced by a unit step in this limit.

We note here two important properties of the field ϕ : First, Eq. (C14) implies it is not periodic, but rather satisfies

$$\phi(L_x) - \phi(0) = p + N_+ + N_- \quad (\text{C28})$$

$$= p + \int_0^{L_x} dx : \Psi_+^\dagger \Psi_+ + \Psi_-^\dagger \Psi_- :, \quad (\text{C29})$$

where Eq. (C6) has been used. Second, because of the definition of the zero mode $\phi_\eta^{(0)}$, both ϕ and ϑ (but not Π) are defined only up to global integer shifts.

2. Bosonization dictionary

We now use the bosonization identity to derive a ‘‘dictionary’’ of useful bosonization formulas, as summarized in Table I. (In the table, we make the replacements $\Psi_+ \rightarrow R$ and $\Psi_- \rightarrow L$ to match the notation used in the main text.)

In order to bosonize bilinears (and their derivatives) that contain a single fermion species, as shown in the first three rows of Table I, we consider the two-point function

$$G_\eta(x, y) = : \Psi_\eta^\dagger(x) \Psi_\eta(y) : \quad (\text{C30})$$

$$= \Psi_\eta^\dagger(x) \Psi_\eta(y) - \langle 0 | \Psi_\eta^\dagger(x) \Psi_\eta(y) | 0 \rangle, \quad (\text{C31})$$

such that

$$: (\partial_x^m \Psi_\eta^\dagger) \partial_x^n \Psi_\eta : = \lim_{y \rightarrow x} \partial_x^m \partial_y^n G_\eta(x, y). \quad (\text{C32})$$

Products of fermion operators diverge when evaluated at coinciding points, and so Eq. (C31) is valid only for $x \neq y$. The limit in Eq. (C32) is well defined, however, because normal-ordering removes the divergences; this technique is referred to as ‘‘point splitting’’ [58].

We now express $G_\eta(x, y)$ in terms of boson operators. To do so, we start from Eq. (C18) and use Eqs. (A3) and (C12) to exchange $e^{-2\pi i\eta\phi_\eta(x)}$ and $e^{2\pi i\eta\phi_\eta^\dagger(y)}$, with the result

$$\Psi_\eta^\dagger(x) \Psi_\eta(y) = \frac{1}{L_x} \frac{* e^{-2\pi i\eta[\phi_\eta(x) - \phi_\eta(y)]} *}{1 - e^{2\pi i\eta(x-y)/L_x}}, \quad (\text{C33})$$

in the limit $a \rightarrow 0$. The expression in the numerator is shorthand for

$$\begin{aligned} * e^{-2\pi i\eta[\phi_\eta(x) - \phi_\eta(y)]} * &\equiv e^{-2\pi i\eta(N_\eta - \frac{1}{2} + \frac{1}{2}p)(x-y)/L_x} \\ &\times e^{-2\pi i\eta[\phi_\eta^\dagger(x) - \phi_\eta^\dagger(y)]} e^{-2\pi i\eta[\varphi_\eta(x) - \varphi_\eta(y)]}, \end{aligned} \quad (\text{C34})$$

where the operator exponentials have been rearranged into normal order, with all bosonic annihilation operators φ_η to the right of all creation operators φ_η^\dagger . Because $\varphi_\eta|0\rangle = N_\eta|0\rangle = 0$, the expectation value of this operator in the ground state is

$$\langle 0 | * e^{-2\pi i\eta[\phi_\eta(x) - \phi_\eta(y)]} * | 0 \rangle = e^{\pi i\eta(1-p)(x-y)/L_x}, \quad (\text{C35})$$

and so we obtain

$$G_\eta(x, y) = \frac{1}{L_x} \frac{* e^{-2\pi i\eta[\phi_\eta(x) - \phi_\eta(y)]} * - e^{\pi i\eta(1-p)(x-y)/L_x}}{1 - e^{2\pi i\eta(x-y)/L_x}}. \quad (\text{C36})$$

We can now use Eq. (C32) to express local bilinears of fermions in terms of the bosonic operators. The simplest are the densities of right- and left-movers relative to the ground state, given by

$$: \Psi_\eta^\dagger(x) \Psi_\eta(x) : = \lim_{\epsilon \rightarrow 0} G_\eta(x, x + \epsilon) \quad (\text{C37})$$

$$= \lim_{\epsilon \rightarrow 0} \frac{* e^{2\pi i\eta\epsilon\partial_x\phi_\eta(x)} * - e^{-\pi i\eta(1-p)\epsilon/L_x}}{2\pi i\eta\epsilon}, \quad (\text{C38})$$

where

$$* e^{2\pi i\eta\epsilon\partial_x\phi_\eta(x)} * \equiv e^{2\pi i\eta\epsilon(N_\eta - \frac{1}{2} + \frac{1}{2}p)/L_x} e^{2\pi i\eta\epsilon\partial_x\varphi_\eta^\dagger(x)} e^{2\pi i\eta\epsilon\partial_x\varphi_\eta(x)}. \quad (\text{C39})$$

Expanding the exponentials and collecting terms gives

$$: \Psi_\eta^\dagger(x) \Psi_\eta(x) : = \frac{1}{L_x} N_\eta + \partial_x \varphi_\eta(x) + \partial_x \varphi_\eta^\dagger(x) \quad (\text{C40})$$

$$= \partial_x \phi_\eta(x) - \frac{1}{2L_x} p. \quad (\text{C41})$$

Hence, using Eqs. (C20) and (C21), we find

$$:\Psi_+^\dagger \Psi_+ + \Psi_-^\dagger \Psi_-: = \partial_x \phi - \frac{1}{L_x} p, \quad (\text{C42})$$

$$:\Psi_+^\dagger \Psi_+ - \Psi_-^\dagger \Psi_-: = -\frac{1}{\pi} \Pi. \quad (\text{C43})$$

Note that Eq. (C42) is consistent with Eq. (C29).

Similarly, for the terms with first derivatives, we compute

$$\frac{1}{2i} : \Psi_\eta^\dagger \partial_x \Psi_\eta - (\partial_x \Psi_\eta^\dagger) \Psi_\eta : = \eta \pi_*^* (\partial_x \phi_\eta)^{2*} - \frac{\pi \eta}{4L_x^2} p, \quad (\text{C44})$$

which implies

$$\begin{aligned} & \frac{1}{2i} : \Psi_+^\dagger \partial_x \Psi_+ - (\partial_x \Psi_+^\dagger) \Psi_+ - \Psi_-^\dagger \partial_x \Psi_- + (\partial_x \Psi_-^\dagger) \Psi_- : \\ &= \frac{\pi}{2} (\partial_x \phi)^2 + \frac{1}{\pi^2} \Pi^{2*} - \frac{\pi}{2L_x^2} p. \end{aligned} \quad (\text{C45})$$

On the right-hand side of Eqs. (C44) and (C45), normal ordering simply amounts to adding a constant,

$$\begin{aligned} & *(\partial_x \phi_\eta)(\partial_x \phi_\eta)^* = (\partial_x \phi_\eta)(\partial_x \phi_\eta) - [\partial_x \phi_\eta(x), \partial_x \phi_\eta^\dagger(x)] \\ &= (\partial_x \phi_\eta)(\partial_x \phi_\eta) - \frac{\delta_{\eta\eta'}}{(2\pi a)^2}. \end{aligned} \quad (\text{C46})$$

We also require a bosonized expression for the combination $\Psi_-^\dagger(x)\Psi_+(x)$, which mixes right- and left-moving fermions. This can be found using the second form of the bosonization identity, Eq. (C19), which gives

$$\Psi_-^\dagger(x)\Psi_+(x) = \frac{1}{2\pi a} e^{2\pi i \phi_-(x)} e^{2\pi i \phi_+(x)}. \quad (\text{C47})$$

Using Eq. (A2) and

$$[\phi_-(x), \phi_+(x)] = -\frac{1}{(2\pi)^2} [\theta_-, \theta_+] = \frac{i}{4\pi} \quad (\text{C48})$$

to combine the exponentials, we find simply

$$\Psi_-^\dagger(x)\Psi_+(x) = \frac{1}{2\pi i a} e^{2\pi i \phi(x)}, \quad (\text{C49})$$

and so

$$\Psi_+^\dagger \Psi_- + \Psi_-^\dagger \Psi_+ = \frac{1}{\pi a} \sin(2\pi \phi), \quad (\text{C50})$$

$$\Psi_+^\dagger \Psi_- - \Psi_-^\dagger \Psi_+ = \frac{i}{\pi a} \cos(2\pi \phi). \quad (\text{C51})$$

Note that, as expected, all of the bosonic expressions are invariant under integer shifts of ϕ .

APPENDIX D: PATH INTEGRAL AND REGULARIZATION

The fields ϕ and Π constructed in Appendix C have commutation relation [Eq. (C24)]

$$[\phi(x), \Pi(x')] = i\text{III}_a(x - x'), \quad (\text{D1})$$

where III_a is a periodic Lorentzian of width a and period L_x and a is a small cutoff with dimensions of length, originally introduced in Eq. (C10). Strictly speaking, the fields therefore become conjugate position and momentum variables only in the limit $a \rightarrow 0$, where III_a becomes a periodic delta function.

Rather than taking the limit $a \rightarrow 0$ before mapping to a path-integral representation, we keep a as a short length scale. As we show below, this is sufficient to regularize the bosonic field theory, and so we use a rather than introducing a separate short-distance cutoff. (Note that a is not the lattice spacing, which we set to 1 in the microscopic theory.) Although including a breaks the symmetry between x and y in the path integral, it correctly gives isotropic correlations for distances much larger than a [see, e.g., Eq. (D10)].

To make this explicit, we introduce a periodic field $\chi(x)$ through

$$\phi(x) = (\text{III}_a * \chi)(x) + \frac{x\Phi_y}{L_x}, \quad (\text{D2})$$

where $*$ denotes convolution,

$$(\text{III}_a * \chi)(x) = \int_0^{L_x} dx' \text{III}_a(x - x') \chi(x'), \quad (\text{D3})$$

and the second term in Eq. (D2) gives the correct boundary condition, Eq. (45). Comparison with Eq. (C24) shows that the operator χ has commutator

$$[\chi(x), \Pi(x')] = i\text{III}(x - x'), \quad (\text{D4})$$

where III is a (zero-width) periodic delta function, and so is exactly the conjugate field to the momentum Π . [From Eq. (44), it follows that $[\Phi_y, \Pi(x)] = 0$.]

The mapping from the Hamiltonian to a path integral, described in Sec. III A 2, should then be performed using complete sets of eigenstates of χ , leading to an action

$$S[\chi] = \frac{w\pi}{2} \int_0^{L_x} dx \int_0^{L_y} dy \left[(\partial_x \phi)^2 + \frac{1}{w^2} (\partial_y \chi)^2 \right], \quad (\text{D5})$$

where ϕ is given in terms of χ by Eq. (D2). This should be understood as the regularized version of the action given in the main text, Eq. (48).

We use this action to calculate regularized correlation functions for separations much smaller than the system size. To do so, we define the Fourier transform $\tilde{\chi}(\mathbf{k})$ of χ by

$$\chi(\mathbf{r}) = \int \frac{d^2 \mathbf{k}}{2\pi} e^{-i\mathbf{k} \cdot \mathbf{r}} \tilde{\chi}(\mathbf{k}), \quad (\text{D6})$$

where we have taken the thermodynamic limit ($L_x, L_y \rightarrow \infty$) and neglected boundary effects. The regularized action can then be written as

$$S[\tilde{\chi}] = \frac{w\pi}{2} \int d^2 \mathbf{k} \left[k_x^2 \tilde{\delta}_a(k_x)^2 + \frac{k_y^2}{w^2} \right] |\tilde{\chi}(\mathbf{k})|^2, \quad (\text{D7})$$

where $\tilde{\delta}_a(k) = \int_{-\infty}^{\infty} dx e^{ikx} \delta_a(x) = e^{-|k|a}$, and so the correlation functions of $\tilde{\chi}$ are

$$\langle \tilde{\chi}(\mathbf{k}) \tilde{\chi}(-\mathbf{k}') \rangle = \frac{1}{w\pi} \frac{\delta^2(\mathbf{k} - \mathbf{k}')}{k_x^2 \tilde{\delta}_a(k_x)^2 + k_y^2/w^2}. \quad (\text{D8})$$

Although the correlation function $\langle [\chi(\mathbf{r}) - \chi(\mathbf{r}')]^2 \rangle$ diverges in the UV (in fact, linearly, rather than logarithmically as when $a = 0$), the correlation functions of $\phi(\mathbf{r})$ are finite. From Eqs. (D2) and (D6), we have (for $L_x \rightarrow \infty$)

$$\phi(\mathbf{r}) = \int \frac{d^2 \mathbf{k}}{2\pi} e^{-i\mathbf{k} \cdot \mathbf{r}} \tilde{\delta}_a(k_x) \tilde{\chi}(\mathbf{k}), \quad (\text{D9})$$

and hence [Eq. (69)]

$$\langle [\phi(\mathbf{r}) - \phi(\mathbf{r}')]^2 \rangle = \frac{1}{\pi^2} \log \left(\frac{|\tilde{\mathbf{r}} - \tilde{\mathbf{r}}'|}{a} \right) + O \left(\frac{|\tilde{\mathbf{r}} - \tilde{\mathbf{r}}'|}{a} \right)^{-1}, \quad (\text{D10})$$

where $\tilde{\mathbf{r}} = (x, wy)$. The cutoff a therefore regularizes ϕ correlators. The UV cutoff is typically inserted by hand when

calculating ϕ correlators [8], leading to the same asymptotic form as Eq. (D10) but with leading-order corrections $O(a^0)$ rather than $O(a^1)$.

We note also that in the short-distance limit, $|\mathbf{r} - \mathbf{r}'| \ll a$,

$$\langle [\phi(\mathbf{r}) - \phi(\mathbf{r}')]^2 \rangle = O \left(\frac{|\mathbf{r} - \mathbf{r}'|}{a} \right), \quad (\text{D11})$$

meaning that the regularized path integral is dominated by Hölder-continuous field configurations $\phi(\mathbf{r})$.

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