

1 **CRISPR-Cas9<sup>D10A</sup> nickase-assisted base editing in solvent producer**

2 ***Clostridium beijerinckii***

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17 **Author Contributions**

18 Qi Li performed the experiments. Qi Li and Sheng Yang wrote the manuscript. François

19 M. Seys, Nigel P. Minton, Junjie Yang, Weihong Jiang and Yu Jiang designed the

20 experiments and wrote the manuscript.

21

22 Conflict of Interest

23 The authors declare no competing financial interest.

## 24 **Abstract**

25 *Clostridium beijerinckii* is a potentially important industrial microorganism as it can  
26 synthesize valuable chemicals and fuels from various carbon sources. The  
27 establishment of convenient to use, effective gene tools with which the organism can  
28 be rapidly modified is essential if its full potential is to be realized. Here, we developed  
29 a genomic editing tool (pCBEclos) for use in *C. beijerinckii* based on the fusion of  
30 cytidine deaminase (Apobec1), Cas9<sup>D10A</sup> nickase and uracil DNA glycosylase inhibitor  
31 (UGI). Apobec1 and UGI are guided to the target site where they introduce specific  
32 base-pair substitutions through the conversion of C·G to T·A. By appropriate choice of  
33 target sequence, these nucleotide changes are capable of creating missense mutation or  
34 null mutations in a gene. Through optimization of pCBEclos, the system derived,  
35 pCBEclos-opt, has been used to rapidly generate four different mutants in *C.*  
36 *beijerinckii*, in *pyrE*, *xylR*, *spo0A* and *araR*. The efficiency of the system was such that  
37 they could sometimes be directly obtained following transformation, otherwise only  
38 requiring one single re-streaking step. Whilst CRISPR-Cas9 nickase systems, such as  
39 pNICKclos2.0, have previously been reported in *C. beijerinckii*, pCBEclos-opt does  
40 not rely on homologous recombination, a process that is intrinsically inefficient in  
41 clostridia such as *C. beijerinckii*. As a consequence, bulky editing templates do not need

42 to be included in the knock-out plasmids. This both reduces plasmid size and makes  
43 their construction simpler, e.g., whereas the assembly of pNICKclos2.0 requires six  
44 primers for the assembly of a typical knock-out plasmid, pCBEclos-opt requires just  
45 two primers. The pCBEclos-opt plasmid established here represents a powerful new  
46 tool for genome editing in *C. beijerinckii*, which should be readily applicable to other  
47 clostridial species.

48

49 Key words: CRISPR, Cas9, nickase, base editing, *Clostridium beijerinckii*

50

## 51 **1 Introduction**

52 *Clostridium beijerinckii*, a spore-forming, solventogenic, Gram-positive  
53 bacterium, is a potentially important industrial strain as it can utilize a variety of carbon-  
54 based feedstocks to generate valuable chemicals and fuels (Chen & Blaschek, 1999;  
55 Dürre, 1998; Ezeji, Qureshi, & Blaschek, 2007; Y. Gu, Jiang, Yang, & Jiang, 2014;  
56 Jiang, Liu, Jiang, Yang, & Yang, 2015; Lee et al., 2008; Thakker, Martinez, Li, San, &  
57 Bennett, 2015). The establishment of convenient to use, effective gene tools with which  
58 the organism can be rapidly modified is essential if its full potential is to be realized.  
59 Such tools may be used both to provide an in-depth understanding of cell physiology  
60 and to enable the robust construction of engineered process organisms. Several genomic  
61 editing tools have been developed in *C. beijerinckii*. Till now, a commonly used

62 procedure is based on gene inactivation by group II introns, typified by  
63 Clostron/Targetron technology (Heap et al., 2010; Heap, Pennington, Cartman, Carter,  
64 & Minton, 2007; Shao et al., 2007). Here the presence of intron-encoding protein allows  
65 a mobile group II intron to recognize and insert into a specific site of the genome,  
66 resulting in gene disruption. Although Clostron/Targetron technology is effective, it  
67 cannot achieve in-frame deletion, large fragment insertion or base editing. Moreover,  
68 in common with any insertional mutagen, it can result in polar effects.

69 Traditional homologous recombination-dependent allelic exchange may also be  
70 employed to edit *C. beijerinckii* genomes. Its application is reliant on the sequential  
71 occurrence of single crossover and double crossover events. These occur naturally, but  
72 at a very low frequency. According those cells in which the desired crossovers have  
73 taken place need to be detected in the wild type population through the use of  
74 appropriate selective tools. The latter have included the use of counter selection  
75 markers (Al-Hinai, Fast, & Papoutsakis, 2012) or I-SceI endonuclease (N. Zhang et al.,  
76 2015). Their use, however, is somewhat laborious, involving numerous re-streaking of  
77 colonies onto the necessary selective media, and their effectiveness can suffer from a  
78 high background of false positives due to spontaneous mutants. A more effective means  
79 of selecting the required double crossover mutants is to use CRISPR-Cas9 where the  
80 wild type cells are eliminated on mass leaving only the desired mutant cells. In such a  
81 system, typified by the previously described *C. beijerinckii* CRISPR-Cas9<sup>D10A</sup> genome  
82 editing tool (Li et al., 2016), all colonies obtained following transformation are in

83 essence mutants. However, whilst the use of CRISPR-Cas9 offers significant advantage  
84 over the use of other counter selection markers, it remains reliant on homologous  
85 recombination (HR), which is notoriously inefficient in clostridia and therefore reliant  
86 on highly efficient DNA transfer. As the frequency of DNA transfer is inversely  
87 proportional to plasmid size, the need to incorporate large editing templates in CRISPR-  
88 Cas9 vectors for the purposes of HR compromise the system. Moreover, the inclusion  
89 of an editing template in the design of the knock-out plasmid adds complexity, requiring  
90 at least six primers for the assembly of the vector (Li et al., 2016). As the consequence  
91 that the availability of a HR-independent *C. beijerinckii* genomic editing tool that  
92 would involve fewer steps for assembly, and use relatively smaller vectors conducive  
93 to high transformation frequencies, is highly desirable.

94 In recent years, the utility of CRISPR-Cas in genome editing has been extended  
95 through its combination with deaminase enzymes to create a novel strategy for strain  
96 engineering which is not reliant on HR. Cytidine deaminase or adenine deaminase is  
97 fused to Cas9 effector protein (Cas9 nickase or dCas9) which allows its delivery to the  
98 intended DNA target sites by the sgRNA/Cas9 complex. Upon delivery, the deaminase  
99 converts nucleotide base pairs C·G to T·A or A·T to G·C. These conversions take place  
100 in the absence of Cas9-mediated DNA double-stranded breaks (DSB) while the plasmid  
101 employed do not require the relatively large editing templates associated with  
102 traditional CRISPR-Cas9 genome editing vectors. To date, the base conversion activity  
103 of cytidine deaminase and adenine deaminase has been used in prokaryotes (Banno,

104 Nishida, Arazoe, Mitsunobu, & Kondo, 2018; Gaudelli et al., 2017; T. Gu et al., 2018;  
105 Wang, Liu, et al., 2018; Wang, Wang, et al., 2018; Zheng et al., 2018) and eukaryotes  
106 (K. Kim et al., 2017; Y. B. Kim et al., 2017; Komor, Kim, Packer, Zuris, & Liu, 2016;  
107 Nishida et al., 2016; Rees et al., 2017; Y. Zhang et al., 2017; Zong et al., 2017), but no  
108 deaminase was applied in *Clostridium* species.

109 In this study, we established a base editing tool (pCBEclos) in *C. beijerinckii*  
110 NCIMB 8052 by the fusion of Cas9<sup>D10A</sup> nickase, cytidine deaminase (rat Apobec1) and  
111 uracil DNA glycosylase inhibitor (UGI) which was able to efficiently convert specific  
112 C·G nucleotide base pairs in the target window sequence to T·A. In its optimized form  
113 (pCBclos-opt) it proved possible to rapidly generate mutants in four different genes,  
114 namely *pyrE*, *xylR*, *spo0A* and *araR*. The system does not rely on HR, a process that is  
115 intrinsically inefficient in clostridia such as *C. beijerinckii*. As a consequence, bulky  
116 editing templates do not need to be included in the knock-out plasmids. This both  
117 reduces plasmid size and makes their construction simpler, e.g., whereas the assembly  
118 of pNICKclos2.0 requires six primers for the assembly of a typical knock-out plasmid,  
119 pCBEclos-opt requires just two primers.

120 To our knowledge, this is the first report of the successful application of the  
121 Cas9<sup>D10A</sup> nickase and deaminase mediated base editing in *Clostridium*. It represents a  
122 powerful new tool for genome editing in *C. beijerinckii*, which should be readily  
123 applicable to other clostridial species.

124

## 125 **2 Materials and Methods**

### 126 **2.1 Bacterial strains, media and reagents**

127 The bacterial strains used in this study are listed in the Supporting information, Table  
128 S1. *Escherichia coli* DH5 $\alpha$  was used for plasmid construction and maintenance. It was  
129 grown in LB medium at 37°C, supplemented where necessary with ampicillin (100  
130  $\mu$ g/ml). *C. beijerinckii* NCIMB 8052 was used as genome editing strain, it was grown  
131 in CGM medium at 37°C in anaerobic chamber (Thermo Forma, Inc., Waltham, MA,  
132 USA). 20  $\mu$ g/ml of erythromycin was supplemented as needed for plasmid selection.  
133 For *C. beijerinckii* NCIMB 8052 *pyrE* mutant, 20  $\mu$ g/l uracil was required in CGM  
134 medium.

135 The DNA polymerase KOD plus Neo and KOD FX (Toyobo, Osaka, Japan) were  
136 used for high fidelity DNA amplification and colony PCR, respectively. All restriction  
137 enzymes used in this study were purchased from Thermo Fisher Scientific (USA). The  
138 plasmids used in this study were assembled by ClonExpress One Step Cloning Kit  
139 (Vazyme Biotech Co., Ltd, Nanjing, China). DNA purification and plasmids extracting  
140 were performed by kits purchased from Axygen (Hangzhou, China).

### 141 **2.2 Plasmid construction**

142 Cas9<sup>D10A</sup> nickase and P<sub>thl</sub> were amplified from the plasmid pNICKclos2.0 (Li et al.,  
143 2016) by primers BE-P<sub>thl</sub>-up/BE-P<sub>thl</sub>-dn (apo-hm) and Cas9nclos-up/Cas9nclos-dn

144 respectively. The plasmid #73021 purchase from Addgene was used as the template to  
145 amplify the Apobec1 and UGI gene by primers Apobec1-hm-up/Apobec1-hm-dn and  
146 UGI-hm-up/UGI-hm-dn. The design guideline for sgRNA is as follows: 1. Choose 5'-  
147 NGG-3' protospacer-adjacent motif (PAM); 2. The window area (typically from  
148 positions 4 to 8 within the N20, counting the end distal PAM to the as position 1) must  
149 containing C; 3. Base immediately 5' of the target C should be  $TC \geq CC \geq AC > GC$   
150 (Komor et al., 2016). The primers *cbei1006*-gRNA1-up1/BE-gRNA-dn were first used  
151 to amplify the  $P_{j23119}$ -sgRNA-*pyrE* cassette from pNICKclos2.0 which was then used  
152 as the template with primers *cbei1006*-gRNA1-up2/BE-gRNA-dn to produce the  
153 overlapping extensions at the 5' ends of the  $P_{j23119}$ -sgRNA-*pyrE* cassette. Then,  $P_{j23119}$ -  
154 sgRNA-*pyrE* cassette,  $P_{thi}$ , Apobec1, Cas9<sup>D10A</sup> nickase and UGI were fused with  
155 *Bam*HI/*Sma*I linearized pXY1 to generated plasmid pCBEclos-*cbei1006*-g1. Plasmids  
156 pCBEclos-*cbei1006*-g2 and pCBEclos-*cbei1006*-g3 were derived from pCBEclos-  
157 *cbei1006*-g1 by replacing the 20-bp target sequences. The construction of plasmid  
158 pCBEclos-*cbei1006*-g2 has been shown here as an example. Fragment *cbei1006*-  
159 gRNA2-A was amplified from plasmid pCBEclos-*cbei1006*-g1 by primers *cbei1006*-  
160 gRNA2-up(A-up)/pBEclos-A-dn. Primers pBEclos-B-up/pBEclos-B-dn, pBEclos-C-  
161 up/pBEclos-C-dn were used to amplify the fragments BEclos-B, BEclos-C from  
162 pCBEclos-*cbei1006*-g1. *cbei1006*-gRNA2-A, BEclos-B and BEclos-C were assembled  
163 together to yield plasmid pCBEclos-*cbei1006*-g2. Among them, the fragments BEclos-  
164 B and BEclos-C were universal, only the fragment A (e.g. *cbei1006*-gRNA2-A) was



165 changed for each new plasmid (Fig. S1). For example, during the construction of  
166 plasmid pCBEclos-*cbei1006-g3*, only the primers *cbei1006-gRNA3-up* (A-  
167 up)/pBEclos-A-dn were used to amplified the fragment *cbei1006-gRNA3-A*, then this  
168 fragment was fused with previously amplified fragments BEclos-B and BEclos-C to  
169 generate the plasmid pCBEclos-*cbei1006-g3*.

170 Codon optimization of genes Apobec1 and UGI were performed by GenScript  
171 Biotech Corp in Nanjing. Primers Apobec1-opt-up/Apobec1-opt-dn, UGI-opt-up/UGI-  
172 opt-dn were adopted to amplify the optimized Apobec1 and UGI genes respectively.  
173 Cas9<sup>D10A</sup> nickase was amplified from the plasmid pNICKclos2.0 by primers Cas9nclos-  
174 up (for opt)/Cas9nclos-dn (for opt). Apobec1, UGI and Cas9<sup>D10A</sup> nickase amplified here  
175 were fused with *BamH/SmaI* linearized pCBEclos-*cbei1006-g1* to generated plasmid  
176 pCBEclos-*cbei1006-g1-opt*.

177 Plasmid pCBEclos-*cbei1006-g2-opt*, pCBEclos-*cbei1006-g3-opt*, pCBEclos-  
178 *cbei4456-opt*, pCBEclos-*cbei2385-g1-opt*, pCBEclos-*cbei2385-g2-opt* (Addgene  
179 deposits No. 118215) and pCBEclos-*cbei1712-opt* were derived from pCBEclos-  
180 *cbei1006-g1-opt* by replacing the 20-bp target sequences. The construction process of  
181 these plasmids was similar to the unoptimized pCBEclos series of plasmids. Here, only  
182 the construction of plasmid pCBEclos-*cbei1712-opt* has been shown as an example.  
183 Fragment *cbei1712-gRNA-A* was amplified from plasmid pCBEclos-*cbei1006-g1-opt*  
184 by primers *cbei1712-gRNA-up*(A-up)/pBEclos-A-dn. Primers pBEclos-B-  
185 up/pBEclos-B-dn, pBEclos-C-up/pBEclos-C-dn were used to amplify the fragments

186 BEclos-B-opt, BEclos-C-opt from pCBEclos-*cbei1006-g1-opt. cbei1712-gRNA-A*,  
187 BEclos-B-opt and BEclos-C-opt were assembled together to yield plasmid pCBEclos-  
188 *cbei1712-opt*. Similarly, the fragments BEclos-B-opt and BEclos-C-opt were universal  
189 for constructing the optimized pCBEclos series of plasmids (Fig. S1).

### 190 **2.3 Electroporation and screening of mutant strains**

191 Plasmids were transformed into *C. beijerinckii* NCIMB 8052 using a previously  
192 reported electroporation protocol (Mermelstein, Welker, Bennett, & Papoutsakis, 1992).  
193 The recovered cells were spread on CGM agar supplemented with an appropriate  
194 amount of erythromycin and incubated at 37°C for approximately 2 days. The primers  
195 listed in the Supplementary Table S2 were used for colony PCR, which was undertaken  
196 when the transformants were visible on the CGM agar plates. Then, the PCR products  
197 were extracted and sequenced to confirm the desired mutation events. For screening of  
198 the *pyrE* mutants, the CGM medium was supplemented with 400 µg/l 5-fluoroorotic acid  
199 (5-FOA). Colony PCR was undertaken on a selection of random colonies growing on  
200 the CGM agar containing 5-FOA, to confirm the expected mutation.

### 201 **2.4 Plasmid curing**

202 To eliminate the plasmids used in this study, mutants were first cultivated in 5 ml of  
203 CGM medium without any antibiotic (T1). After growing for 12 h, 50 µl of the T1 broth  
204 was used to inoculate 5 ml of fresh CGM medium and grown for 12 h until the OD<sub>600</sub>  
205 reached 0.8. The culture was diluted appropriately and aliquots of cells spread on a

206 nonselective CGM agar plate. The individual colonies were patch plated onto CGM  
207 agar with and without erythromycin (20 µg/ml). The cells that grew on nonselective  
208 medium, but were unable to grow on erythromycin CGM agar, were deemed to have  
209 been cured of their plasmids.

## 210 **2.5 Fermentation and data analysis**

211 The fermentation of strains 8052WT, 8052*xyIR*(TargeTron) (Xiao et al., 2012) and  
212 8052*xyIR*(BE)(*xyIR* was disrupted by pCBEclos-opt) were performed anaerobically in  
213 XHP<sub>2</sub> medium (Xiao et al., 2012) at 37°C with xylose(60 g/l) as the carbon source for  
214 72 h. 5 ml of liquid CGM was inoculated with single colony at 37 °C for about 12h,  
215 then ~5% (v/v) of the inoculum was transferred into XHP2 medium for fermentation  
216 when the optical density at 600 nm (OD<sub>600</sub>) of the cells reached 0.8–1.0. The  
217 concentrations of xylose were determined with high-performance liquid  
218 chromatography (1200 series; Agilent), as described previously (Ren et al., 2010). Cell  
219 density (OD<sub>600</sub>) was measured using a DU730 spectrophotometer (Beckman Colter).

220

## 221 **3 Results**

### 222 **3.1 Establishment of CRISPR-Cas<sup>9</sup><sup>D10A</sup> nickase-mediated base editing system** 223 **pCBEclos-opt in *C. beijerinckii* NCIMB 8052**

224 To employ the deaminase-mediated base editing in *C. beijerinckii* NCIMB 8052,

225 we combined all functional components of the desired system into a single plasmid,  
226 pCBEclos (Figure 1A). Transcription of the sgRNA was placed under the control of the  
227  $P_{j23119}$  promoter, and expression of the fusion protein of deaminase (rat Apobec1),  
228 Cas9<sup>D10A</sup> nickase and UGI under the control of the  $P_{thl}$  promoter. Cas9<sup>D10A</sup> nickase  
229 targets the non-edited strand and generates a nick, which promotes the use of the edited  
230 strand as template for the repair of the nicked strand (Komor et al., 2016; Komor et al.,  
231 2017). UGI suppresses excision of the uracil base generated by the cytosine deaminase  
232 and accelerates mutagenesis (Banno et al., 2018; Komor et al., 2017) (Figure 1A). To  
233 verify the desired mutation events generated via plasmid pCBEclos, DNA fragments  
234 amplified by colony PCR of cells growing on counter selective media were subject to  
235 Sanger sequencing for verifying the counter-selective genes; and colony PCR and  
236 sequencing were directly performed from the transformants for non-selectable genes  
237 (Figure 1B).

238 The *pyrE* gene (*cbei1006*) encoding orotate phosphoribosyltransferase was  
239 selected as the first target gene in *C. beijerinckii* NCIMB 8052. Inactivation of the *pyrE*  
240 gene leads to uracil auxotrophy and to resistance to the uracil analog 5-FOA (Ehsaan et  
241 al., 2016; Tripathi et al., 2010), making such mutants readily distinguishable from wild  
242 type cells. Accordingly, the plasmid pCBEclos-*cbei1006*-g1 carrying the spacer that  
243 targets the *pyrE* gene was electroporated into *C. beijerinckii* NCIMB 8052 and a total  
244 of 55 transformants from those obtained on CGM media supplemented with  
245 erythromycin. To establish if any of these transformants were mutants, a total of 20

246 randomly selected colonies were subject to colony PCR and the amplified DNA  
247 fragment subject to Sanger sequencing. All of the sequence reads obtained were wild  
248 type. To ascertain whether mutant cells were present within the population, all of the  
249 55 primary transformants were patch plated onto CGM agar media supplemented with  
250 5-FOA. Of these, 22 were found to be resistant to 5-FOA. However, even after an  
251 extended period of time, these colonies grew poorly (Figure 2A). Further screening of  
252 a randomly selected 9 representatives of these 22 clones by Sanger sequencing of the  
253 DNA fragment amplified by colony PCR indicate that all 9 contained the expected  
254 mutational change (Figure 2B).

255 Our hypothesis to explain this observation is that the initial transformant colonies  
256 are composed of a mixture of wild type and mutant cells in which the former vastly  
257 predominate. The ratio of mutant to wild type is such that the mutant sequence cannot  
258 be detected as it is swamped by the wild type. As an additional consequence, the  
259 transformants grow poorly when initially plated on agar media containing 5-FOA as  
260 they are predominately wild type cells, which are sensitive to this uracil analog.  
261 Additionally, vector maintenance and constitutive expression of the codon un-  
262 optimized fusion protein might also be responsible for reduced growth. Thus, our initial  
263 base editing system (designated pCBEclos) although functional in *C. beijerinckii*  
264 NCIMB 8052, was deemed relatively inefficient.

265 We also selected two other target sites (Fig. S2A) within the *pyrE* gene to test  
266 pCBEclos. Similar to pCBEclos-*cbei1006-g1*, 20 out of 22 transformants obtained with

267 plasmid pCBEclos-*cbel1006-g2* were found to grow on CGM agar containing 5-FOA,  
268 albeit weakly. In contrast, no cells resistant to 5-FOA were obtained with plasmid  
269 pCBEclos-*cbel1006-g3* (Fig. S2B). 9 out of those 20 clones obtained from pCBEclos-  
270 *cbel1006-g2* which grew on 5-FOA medium were all shown by Sanger sequencing of  
271 amplified PCR products, to contained the desired mutations (Fig. S2C). The results  
272 indicated that the selection of different target sites on the same gene was not a fruitful  
273 way to improve the efficiency of the initially established base editing plasmid  
274 pCBEclos.

275 As the Addgene-derived Apobec1 and UGI genes used in the pCBEclos plasmid  
276 system were optimized for expression in human cells, they may not be well expressed  
277 in *Clostridium*. This could explain the poor efficiency of pCBEclos. Accordingly, we  
278 elected to optimize the Apobec1 and UGI codons used based on *C. beijerinckii* NCIMB  
279 8052 genome codon usage. The humanized components on plasmid pCBEclos-  
280 *cbel1006-g1* were thereafter replaced with the *Clostridium* optimized Apobec1 and  
281 UGI genes to generate plasmid pCBEclos-*cbel1006-g1-opt*. Following the procedure  
282 showed in Figure 1B, plasmid pCBEclos-*cbel1006-g1-opt* was electroporated into *C.*  
283 *beijerinckii* NCIMB 8052 and transformed cells plated onto CGM agar supplemented  
284 with erythromycin. The transformation frequencies obtained equated to 18.2 CFU/ $\mu$ g  
285 DNA. Sanger sequencing of the amplified DNA obtained by colony PCR of six  
286 randomly selected transformants revealed that three of them contained the desired  
287 mutational changes. However, the reads obtained comprised a mixture of wild type and

288 mutant reads in the target region (Figure 3A). These cells were therefore re-streaked  
289 once onto fresh CGM agar plates and two of single colonies tested again by Sanger  
290 sequencing of the PCR amplified product. All of the purified colonies appeared to be  
291 clean mutants with no detectable wild type sequence (Figure 3C). In parallel to the  
292 above, 49 primary pCBEclos-*cbel1006*-g1-opt transformants were patch plated onto  
293 CGM agar containing 5-FOA. On the basis of their growth, 46 out of the 49 colonies  
294 were found to be resistant to 5-FOA. Moreover, in this case the growth observed was  
295 vigorous, in contrast to the poor growth previously obtained when using the un-  
296 optimized pCBEclos system (Figure 3B). The new base editing tool was designation  
297 the pCBEclos-opt system. In contrast to pCBEclos, clones containing the desired C·G  
298 to T·A mutations obtained simply by plating cells electroporated with the pCBEclos-  
299 opt system onto CGM media containing erythromycin. The detection of the desired  
300 mutants using the pCBEclos system requires subsequent screening of primary  
301 transformants on selective media (Figure 3D). Moreover, the ratio of positive 5-FOA  
302 resistant colonies was improved by about 2-folds via pCBEclos-opt system, compared  
303 to the previous pCBEclos system (Figure 3D).

304       Successive rounds of base editing require that the initially used editing plasmid is  
305 cured from the cell if an additional mutation is required. In order to test the efficiency  
306 of plasmid curing, the edited *C. beijerinckii* NCIMB 8052 containing the expected *pyrE*  
307 mutation was cultured in nonselective liquid CGM that was supplemented with  
308 exogenous uracil (20 µg/l). After two subcultures, clonal populations were isolated by

309 plating to single colonies on nonselective CGM plates and these single colonies were  
310 patch plated onto CGM agar with and without erythromycin supplementation. The  
311 result showed that all 56 colonies could grow on the nonselective CGM medium, but  
312 they were sensitive to erythromycin (Fig. S3). These data indicated that curing of  
313 plasmid pCBEclos-*cbei1006-g1* from the cells took place with 100% efficiency after  
314 only two subcultures.

### 315 **3.2 Expansion of the pCBEclos-opt system to further genes in *C. beijerinckii*** 316 **NCIMB 8052.**

317 After demonstrating the functionality of cytidine deaminase-based gene editing on  
318 the *pyrE* gene, we further expanded the pCBEclos-opt system to other genes in *C.*  
319 *beijerinckii* NCIMB 8052 (namely: *araR* or *cbei4456*, encoding a GntR family  
320 transcriptional regulator; *xylR* or *cbei2385*, encoding the transcriptional regulator of  
321 xylose metabolism; and *spo0A* or *cbei1712*, encoding response regulator receiver  
322 protein).

323 Accordingly, *C. beijerinckii* NCIMB 8052 was transformed with plasmid  
324 pCBEclos-*cbei4456-opt* encoding a sgRNA that targets *araR*. In this case all 3  
325 transformants obtained harbored the desired C·G to T·A mutation. However, as with  
326 *pyrE*, all three represented a mixed population composed of the wild type and desired  
327 mutant (Figure 4A). One pure colony harboring the desired mutation could be isolated  
328 after single-round re-streaking of one of the transformants (Figure 4B).



329           The plasmid pCBEclos-*cbei2385*-g1-opt targeting *xyIR* was transformed in *C.*  
330 *beijerinckii* NCIMB 8052 and yielded 3 transformants that were screened by colony  
331 PCR and Sanger sequencing. The sequencing results showed that 2 transformants were  
332 mixtures (Figure 4C), while the last colony was wild-type. A pure mutant could be  
333 obtained by single-round re-streaking one of the mixed colonies on the CGM agar  
334 (Figure 4D).

335           In the case of the *xyIR* gene, further improvements in mutagenesis efficiency were  
336 sought by changing the target sequence of pCBEclos-opt. Accordingly, the 20-bp spacer  
337 on plasmid pCBEclos-*cbei2385*-g1-opt was replaced to yield pCBEclos-*cbei2385*-g2-  
338 opt. The latter was found to be electroporated into 8052 with efficiency of 29.8 CFU/ $\mu$ g  
339 DNA. Sanger sequencing of the colony PCR product of five randomly selected  
340 transformants showed that one of them was a pure mutant (Figure 5A), one was a  
341 mixture and the other three were wild type. As previously, re-streaking of a mixed clone  
342 onto CGM agar and subsequent testing of individual single colonies easily allowed the  
343 isolation of a pure mutant (Fig. S4A). Thus, in contrast to the previous plasmid targeting  
344 *xyIR*, pCBEclos-*cbei2385*-g1-opt, changing the target site to that present in pCBEclos-  
345 *cbei2385*-g2-opt allowed the direct isolation of a pure mutant. As *xyIR* was inactivated  
346 via TargeTron previously (Xiao et al., 2012) and it was related to xylose consumption.  
347 In order to test the fermentation phenotype of *xyIR* mutant we obtained by pCBEclos-  
348 opt, we first cleared the plasmid pCBEclos-*cbei2385*-g2-opt with efficiency of 34/39 to  
349 obtain the strain 8052*xyIR*(BE) (Fig. S5A). Then, 8052WT, 8052*xyIR*(BE) as well as

350 8052*xyIR*(TargeTron) (Xiao et al., 2012) were cultured in XHP2 medium containing 60  
351 g/l xylose for 72h. The results showed that the fermentation phenotype of 8052(BE)  
352 was close to the 8052*xyIR*(TargeTron), both mutants consumed 10% more xylose than  
353 strain 8052WT (Fig. S5B).

354 Attempting to edit *spo0A*, plasmid pCBEclos-*cbei1712*-opt was introduced into  
355 8052, and transformants were obtained with transformation of 110.6 CFU/μg DNA. 2  
356 out of 6 random picked colonies were pure mutated colonies, 3 were mixed colonies,  
357 and 1 was pure wild type (Figure 5B). Pure mutated strains could be isolated from all  
358 3 mixed colonies (Fig. S4B).

359

## 360 **4 Discussion**

361 Genome editing tools based on CRISPR-Cas9 systems traditionally introduce a  
362 DSB at a specific locus under the guidance of a sgRNA. During the repair of the DSB,  
363 precise genome editing can be achieved in the presence of a donor DNA template by  
364 exploiting the host's homologous recombination mechanisms. Based on this principle,  
365 CRISPR-Cas9 mediated genome editing has been widely used in bacteria. However,  
366 some bacteria have inefficient HR system and lack a functional non-homologous end  
367 joining (NHEJ) repair pathway, which prevents the repair of Cas9-mediated DSBs and  
368 results in cell death. Therefore, it is necessary to establish HR independent genome  
369 editing tools in such bacteria. *C. beijerinckii* NCIMB 8052 is one of these bacteria

370 lacking an effective DSB repair pathway. One such HR independent tool available in  
371 *C. beijerinckii* NCIMB 8052 is the group II intron-based gene inactivation, but it is as  
372 precise as Cas9-mediated genome editing and it has polar effects.

373 In this study, we first established a CRISPR-mediated base editing tool pCBEclos  
374 in *Clostridium* by the fusion of Apobec1, Cas9<sup>D10A</sup> nickase and UGI. The conversion  
375 of C·G to T·A at the target sites were realized via pCBEclos in *C. beijerinckii* NCIMB  
376 8052. We initially established the pCBEclos system by directly applying Apobec1 and  
377 UGI obtained from Addgene. However, the pCBEclos plasmid was inefficient and it  
378 required selective medium to screen the edited strains, such as culturing the *pyrE*  
379 mutants on 5-FOA plates. This pCBEclos system with poor efficiency is not suitable  
380 for genes that do not exhibit a selectable phenotype. Fortunately, the base editing  
381 efficiency was greatly improved after the optimization of Apobec1 and UGI, and the  
382 desired mutants of *pyrE*、*xylR*、*spo0A* or *araR* could be directly detected in the  
383 transformants of *C. beijerinckii* NCIMB 8052 via this optimized pCBEclos-opt system.  
384 Furthermore, the loss of plasmid pCBEclos-*cbei1006-g1-opt* after gene editing was  
385 achieved with efficiency of 100% after only two subcultures, allowing for successive  
386 rounds of base editing. When mixed colonies of wild-type cells and mutants were  
387 obtained, pure colonies harboring the desired mutation could be isolated by subsequent  
388 re-streaking of the mixed colonies. Targeting a different locus within the *xylR* allowed  
389 to isolate pure colonies of the desired genotype without the need of a re-streak. This  
390 improvement in mutagenesis efficiency might reinforce the hypothesis of Komor et al

391 (2016), that the base immediately 5' and 3' of the target C may result in the different  
392 editing efficiency.

393 The whole process of Cas9<sup>D10A</sup> nickase-mediated base editing, including  
394 electroporation, editing, identification and plasmid curing, only took five days. Unlike  
395 the pNICKclos2.0 system we established previously, pCBEclos-opt does not rely on  
396 homologous recombination, and as such DNA repair templates are not required when  
397 using this system to edit gene. Therefore, the assembly of pCBEclos-opt is easier than  
398 pNICKclos2.0, requiring only two primers instead of six. PCR amplification is  
399 performed to obtain the part A that contains the new 20-bp target sequence, then this  
400 part A is fused with the universal part B and C to generate the new plasmid (Fig. S1)  
401 using ClonExpress One Step Cloning Kit. Its high genome editing efficiency of and the  
402 simplicity of its assembly make pCBEclos-opt a useful genome editing tool in  
403 *Clostridium*. If mutagenesis efficiency can be improved, a plasmid library of  
404 pCBEclos-opt containing sgRNAs targeting each gene in *C. beijerinckii* NCIMB 8052  
405 could be used to produce a mutant library that could be selected against a desired  
406 phenotype.

407 In summary, this study is the first report that successfully applied Cas9<sup>D10A</sup>  
408 nickase-mediated base editing tool in *Clostridium*. A similar strategy would likely be  
409 effective in other *Clostridium* strains. The base editing plasmid pCBEclos-opt we  
410 established here will accelerate the metabolic engineering of *Clostridium* for the  
411 optimization of chemicals and solvents in the future.

412

## 413 **Acknowledgements**

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415 China (31500068, 31870019) and the Natural Science Foundation of Shanghai  
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417

## 418 **Supporting Information**

419 Table S1: Plasmids and strains used in this study.

420 Table S2: Oligonucleotides used in this study.

421 Figure S1: Schematic for construction of pCBEclos series plasmids.

422 Figure S2: Target sites on *pyrE* gene were changed to test the pCBEclos system.

423 Figure S3: Clearance of plasmid pCBEclos-*cbei1006*-g1-opt.

424 Figure S4: Purification of the mixed *xylR* and *spo0A* mutants on plates.

425 Figure S5: Clearance of plasmid pCBEclos-*cbei2385*-g2-opt and the xylose  
426 consumption of 8052WT, 8052xylR(TargeTron) and 8052xylR(BE).

427

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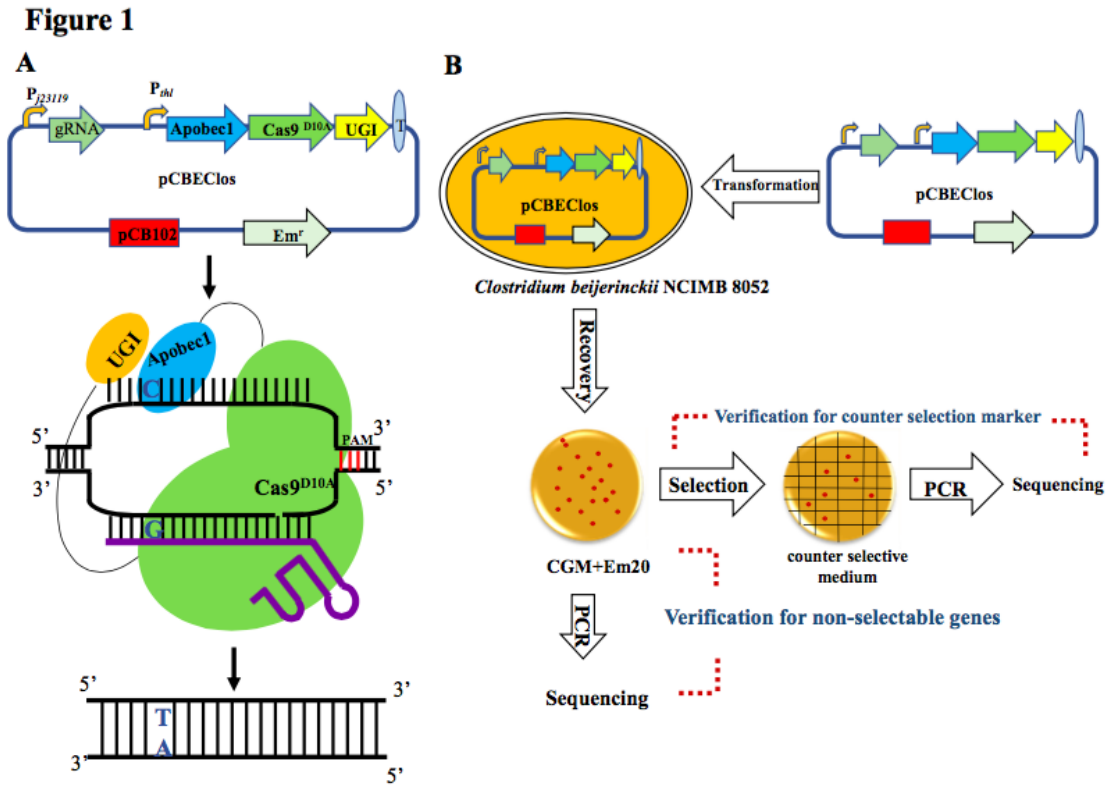
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#### 544 **Figure legends**

545 **Figure 1: Base editing in *C. beijerinckii* NCIMB 8052.** (A) Design and strategy for  
546 CRISPR-Cas9<sup>D10A</sup> nickase-mediated C·G to T·A conversion in *C. beijerinckii*. Guide  
547 RNA, Apobec1, Cas9<sup>D10A</sup> nickase and UGI are all expressed on one plasmid pCBEclos.  
548 (B) The procedure for confirming the mutant strain edited by pCBEclos in *C.*  
549 *beijerinckii*. After assembly of pBEclos, it is electroporated into *C. beijerinckii* NCIMB  
550 8052 and cells are plated on CGM plates with erythromycin to select for pBEclos. In  
551 the case of *pyrE* mutagenesis, an additional selection step is carried out by patching  
552 single colonies on CGM plates with erythromycin and 5-FOA. Colonies from both the  
553 transformation plate and the mutant selection plate are finally screened by PCR  
554 amplification and subsequent Sanger sequencing. When revealed by the sequencing

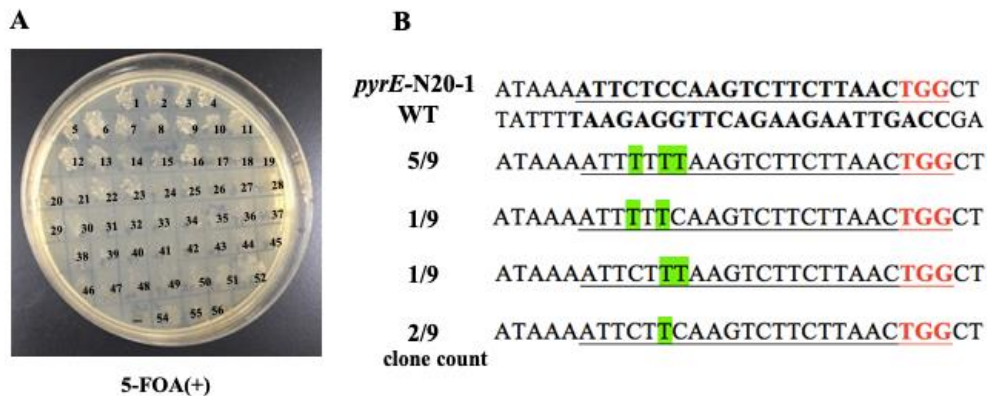
555 results, mixed colonies are re-streaked on CGM plates with appropriate antibiotic for  
 556 isolation of pure colonies and re-sequencing.



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558 **Figure 2: Mutagenesis of *pyrE* gene in *C. beijerinckii* NCIMB 8052 via pCBEclos**  
 559 **system.** (A) *C. beijerinckii* were spread on CGM plates containing 5-FOA after  
 560 transformation with plasmid pCBEclos-*cbel1006-g1*, “-” represents the negative  
 561 control; (B) Sequence alignment of the *pyrE* mutants edited by pCBEclos system after  
 562 selection on 5-FOA plates. The bolded and underlined sequence is the targeted N20 site,  
 563 the red underlined is the PAM sequence, and the mutated nucleotides are highlighted in  
 564 green.

**Figure 2**



565

566 **Figure 3: Base editing efficiency was improved by pCBEclos-opt carrying the**

567 **codon optimized Apobec1 and UGI. (A) Sequence alignment of the *pyrE* mutants**

568 **obtained by transformation with pCBEclos-*cbel1006-g1-opt*; (B) *C. beijerinckii***

569 **carrying the plasmid pCBEclos-*cbel1006-g1-opt* were patched on plates containing 5-**

570 **FOA, “-” represents the negative control; (C) Sequence alignment of the *pyrE* mutants**

571 **isolated after re-streaking mixed colonies on CGM+ erythromycin plate; (D) The ratio**

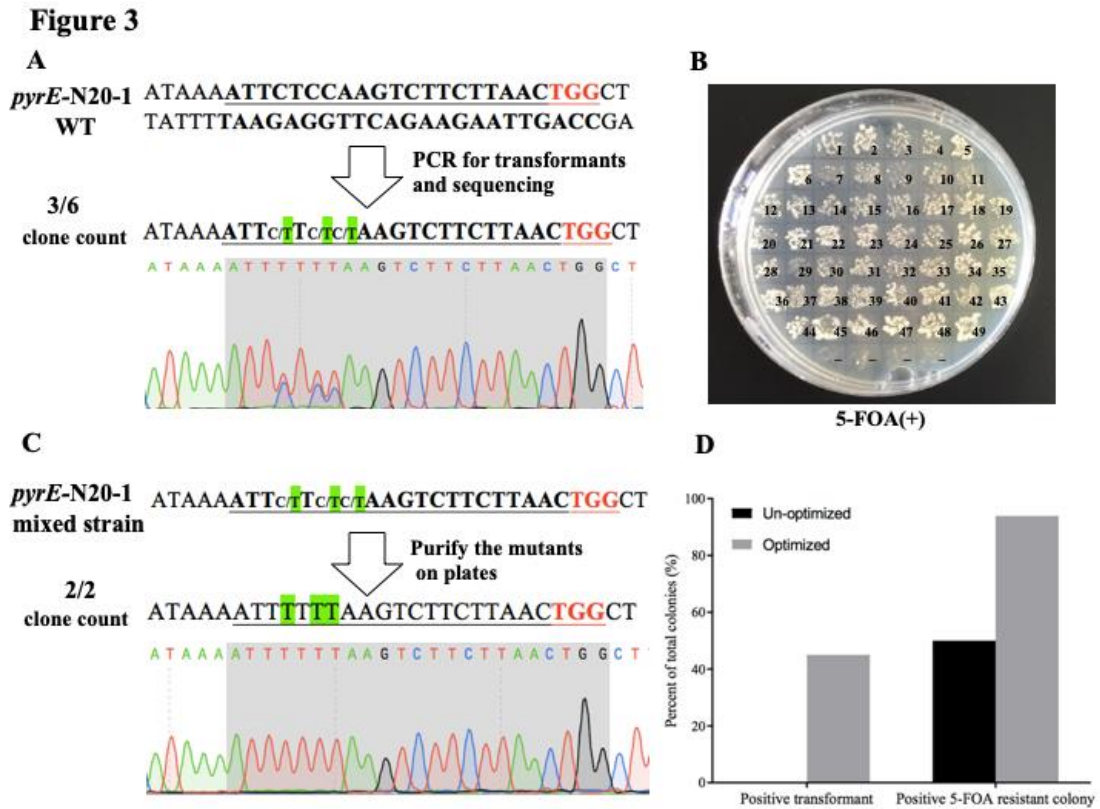
572 **of positive transformants and 5-FOA resistant strains was compared between the**

573 **pCBEclos and pCBEclos-opt. The bolded and underlined sequence is the targeted N20**

574 **site, the red underlined is the PAM sequence, and the mutated nucleotides are**

575 **highlighted in green.**

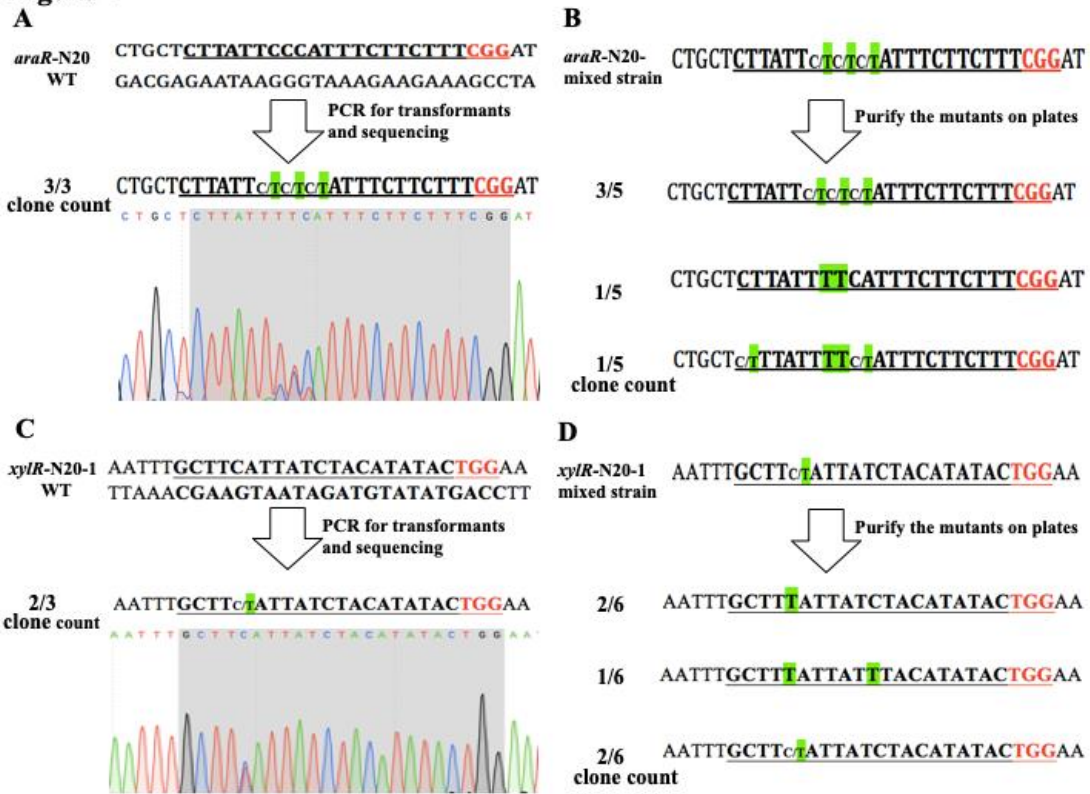
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578 **Figure 4: Mutagenesis of gene *araR* and *xylR* in *C. beijerinckii* NCIMB 8052 via**  
 579 **pCBEclos-opt system. (A) Sequence alignment of the *araR* mutants obtained by**  
 580 **transformation with pCBEclos-*cbi3835*-g1-opt; (B) The pure *araR* mutant was**  
 581 **obtained after single-round streaking the mixed colony on plate. (C) Sequence**  
 582 **alignment of the *xylR* mutants obtained by transformation with pCBEclos-*cbi2385*-**  
 583 **g1-opt. (D) The pure *xylR* mutant was isolated by single-round streaking a mixed**  
 584 **colony on plate. The bolded and underlined sequence is the targeted N20 site, the red**  
 585 **underlined is the PAM sequence, and the mutated nucleotides are highlighted in green.**

**Figure 4**



586

587 **Figure 5: Pure mutants were obtained directly from the transformants of *C.***

588 *beijerinckii* NCIMB 8052 via plasmid pCBEclos-opt. (A) Sequence alignment of the

589 *xylR* pure mutant obtained by transformation with pCBEclos-*bei2385*-g2-opt; (B)

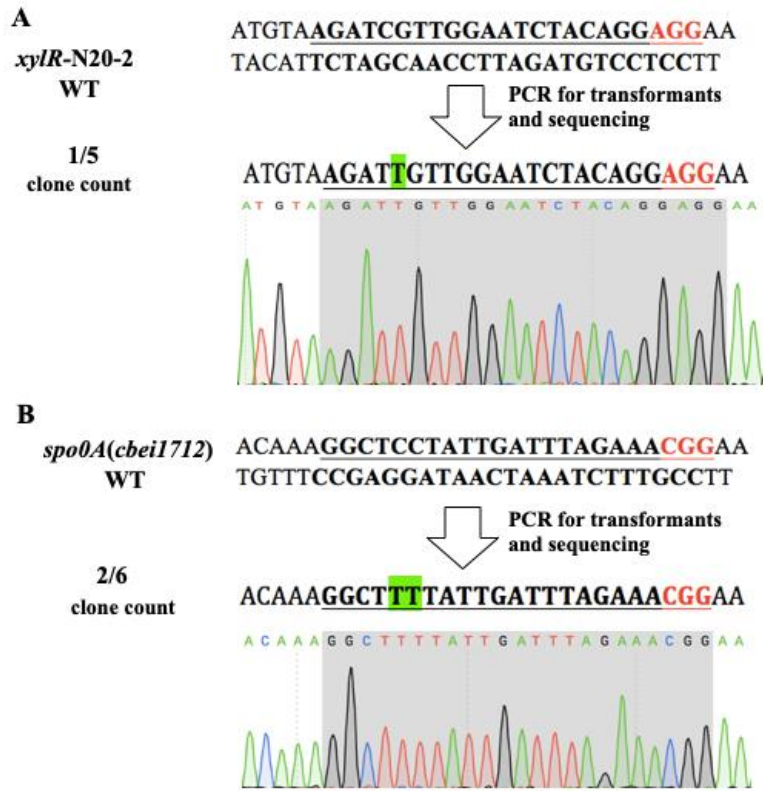
590 Sequence alignment of the *spo0A* pure mutant obtained by transformation with

591 pCBEclos-*spo0A*-g2-opt. The bolded and underlined sequence is the targeted N20 site,

592 the red underlined is the PAM sequence, and the mutated nucleotides are highlighted in

593 green.

**Figure 5**



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606 **Supporting information, Table S1: Plasmids and strains used in this study.**

Strains or plasmids	Description	Source or reference
Strains		
<i>C. beijerinckii</i> NCIMB 8052	Wild type	NCIMB
<i>E. coli</i> DH5 $\alpha$	Commercial transformation host	GIBCO BRL, Life Technologies
Plasmids		
pXY1	pCB102, MLS <sup>R</sup> , P <sub>thi</sub> promotor, ColE1 origin, Amp <sup>R</sup> , <i>E. coli-Clostridium</i> shuttle vector	This study
pCBEclos- <i>cbei1006</i> -g1	Derived from pXY1-Cas9n, pJ23119-sgRNA1- <i>cbei1006</i> , P <sub>thi</sub> -rAPOBEC1-XTEN Cas9n-UGI	This study
pCBEclos- <i>cbei1006</i> -g2	Derived from pCBEclos- <i>cbei1006</i> -g1, pJ23119-sgRNA2- <i>cbei1006</i>	This study
pCBEclos- <i>cbei1006</i> -g3	Derived from pCBEclos- <i>cbei1006</i> -g1, pJ23119-sgRNA3- <i>cbei1006</i>	This study
pCBEclos- <i>cbei1006</i> -g1-opt	Derived from pCBEclos- <i>cbei1006</i> -g1, P <sub>thi</sub> -rAPOBEC1(optimized)-XTEN Cas9n-UGI(optimized)	This study
pCBEclos- <i>cbei4456</i> -g1-opt	Derived from pCBEclos- <i>cbei1006</i> -g1-opt, P <sub>thi</sub> -rAPOBEC1(optimized)-XTEN Cas9n-UGI(optimized)	This study
pCBEclos- <i>cbei2385</i> -g1-opt	Derived from pCBEclos- <i>cbei1006</i> -g1-opt, P <sub>thi</sub> -rAPOBEC1(optimized)-XTEN Cas9n-UGI(optimized)	This study
pCBEclos- <i>cbei2385</i> -g2-opt	Derived from pCBEclos- <i>cbei1006</i> -g1, P <sub>thi</sub> -rAPOBEC1(optimized)-XTEN Cas9n-UGI(optimized)	This study
pCBEclos- <i>cbei1712</i> -opt	Derived from pCBEclos- <i>cbei1006</i> -g1-opt, pJ23119-sgRNA- <i>cbei1712</i>	This study

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610 **Supporting information, Table S2: Oligonucleotides used in this study.**

Oligos	Sequence (5'→3')
<i>cbei1006</i> -gRNA1-up1	aggtataactagtagtattctccaagtcttcttaacggttttagagctagaaatagcaagt
<i>cbei1006</i> -gRNA1-up2	aatgtgctgcattgacagctagctcagctcagctaggtataactagtagtattctccaagtct
BE-gRNA-dn	ctattattattttatcaatatattttgttaaaaaggtaccaaaaaagcaccgactcgg
BE-P <sub>thi</sub> -up	agtcggtgctttttgtgacaccttttaacaaaatattgataaaaataataatagtg
BE-P <sub>thi</sub> -dn(apo-hm)	gccactgggcccagctctcagctcatggatcctctaactaacctcctaattttgatac
Apobec1-hm-up	gttaccctgatcaaaatttagaggttagtaggatccatgagctcagagactggc
Apobec1-hm-dn	cgctatttggccgatagctaagcctattgagtattcttacttctcgggtgtggcg
Cas9nclos-up	cccgggacctcagagtcgccacaccgaaagtataagaataactcaataggcttagc
Cas9nclos-dn	tcctttcaataatctgacagattagtagaaccaccagagtcacctcctagctgact
UGI-hm-up	tgaaacacgcattgattgagtcagctaggagtgactctggtggttactaatctgt
UGI-hm-dn	gtcacgacgtgttaaacgacggccagtgattcccgggttaagaaccaccagagagca

<i>cbei1006</i> -gRNA2-up(A-up)	attgacagctagctcagtcctaggtataataactag <b><u>taactfccgccattgtaacta</u></b> gttttagagctagaaatagcaag
<i>cbei1006</i> -gRNA3-up(A-up)	attgacagctagctcagtcctaggtataataactag <b><u>ttgtgccatagttacaatgg</u></b> gttttagagctagaaatagcaag
pBEclos-A-dn	ttgactactcttcacttggga
pBEclos-B-up	gttctgataaaaaatcgtggtaaa
pBEclos-B-dn	atcctttgatctttctacgg
pBEclos-C-up	taacgtgagtttcgttcca
pBEclos-C-dn	actagtattatacctaggactgag
Apobec1-opt-up	ttaccccgtatcaaaattaggagggttagtaggagatccatgtcaagtgaacaggac
Apobec1-opt-dn	tatttggtccgatagctaagcctattgagtttcttatcagattcaggagttgcagat
Cas9nclos-up(for opt)	ccaggaacatcagaatctgcaactcctgaatctgataagaaatactcaataggcttagc
Cas9nclos-dn(for opt)	tcttttctattatctgaaagatttgatcctcactgtcacctcctagctgact
UGI-opt-up	ttatgaaacacgcattgattgagtcagctaggaggtgacagtggaggatcaacaat
UGI-opt-dn	cgacgttgtaaaacgacggccagtgaaattcccgggttatgatcctccagataacattt
<i>cbe44565</i> -gRNA1-up(A-up)	attgacagctagctcagtcctaggtataataactag <b><u>tcttattcccatttcttcttt</u></b> gttttagagctagaaatagcaag
<i>cbei2385</i> -gRNA1-up(A-up)	attgacagctagctcagtcctaggtataataactag <b><u>tgcttcattatctacatatac</u></b> gttttagagctagaaatagcaag
<i>cbei2385</i> -gRNA2-up(A-up)	attgacagctagctcagtcctaggtataataactag <b><u>agatcgttggaaatctacagg</u></b> gttttagagctagaaatagcaag
<i>cbei2385</i> -verf-up	ttgatagaagtaaatcacagtaaaataaaag
<i>cbei2385</i> -verf-dn	gaagcatacacatctatgaattctc
<i>cbei1712</i> -gRNA-up(A-up)	attgacagctagctcagtcctaggtataataactag <b><u>ggctcctattgatttagaaa</u></b> gttttagagctagaaatagcaag
<i>cbei4456</i> -verf-up	gggttacataaaggccct
<i>cbei4456</i> -verf-dn	ttaaactctagaacaagaatctctaaca
<i>cbei1006</i> -verf-up	acgagattataggaataataaaattgatc
<i>cbei1006</i> -verf-dn	tcacagtcctgagaacatataat
<i>cbei1712</i> -verf-up	atacaatgcaattgaaaaggt
<i>cbei1712</i> -verf-dn	attgttgcttacctttatcat

611 The bolded and underlined sequence represent the target sites used in base editing.

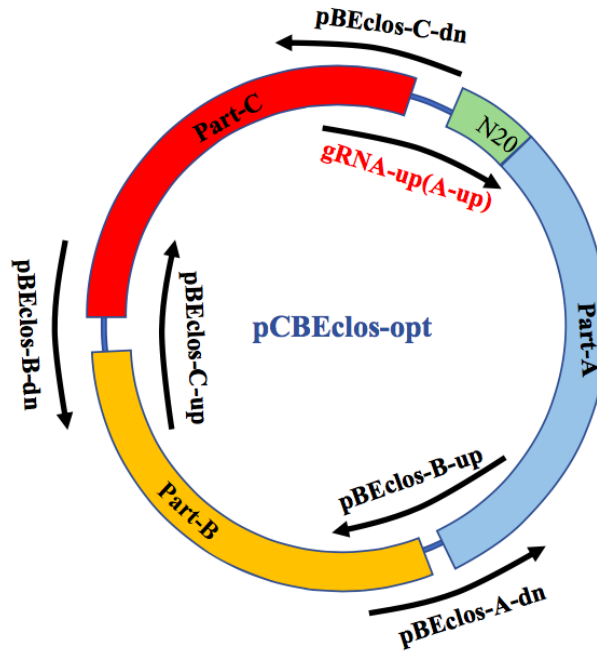
612

613

614

615 **Supporting information, Figure. S1: Schematic for construction of pCBEclos**

616 **series plasmids.**

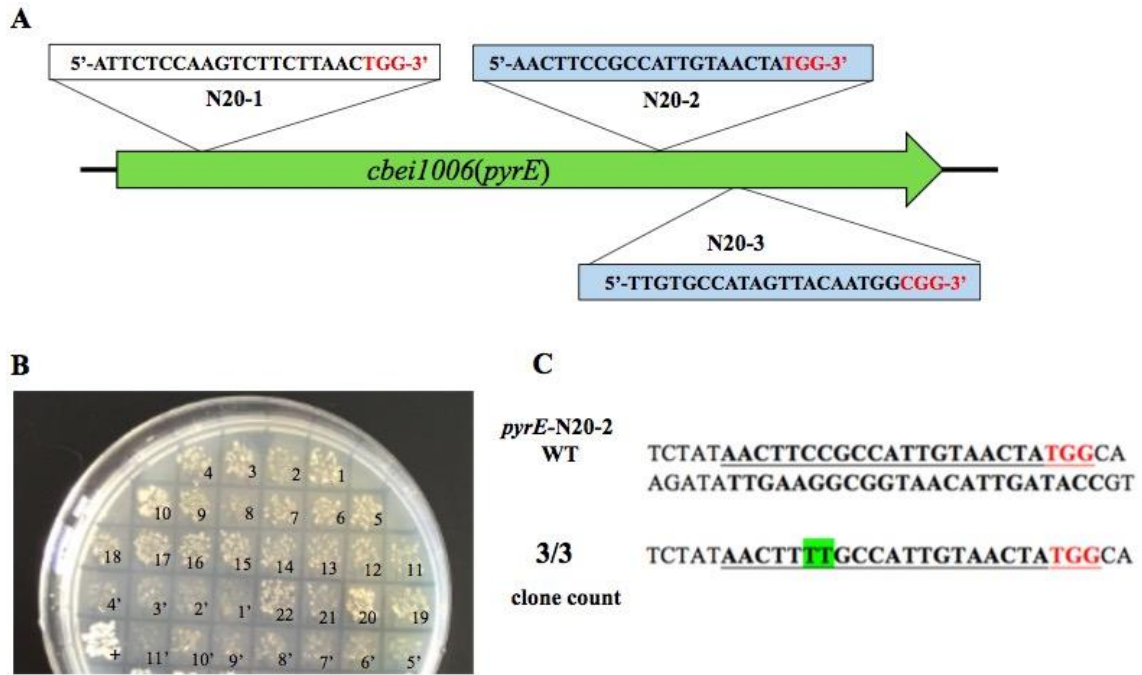


617

618 Primers pBEclos-B-up/pBEclos-B-dn, pBEclos-C-up/pBEclos-C-dn are used to  
 619 amplify the universal fragments BEclos-B-opt(part B), BEclos-C-opt(part C). Only the  
 620 part A is changed for the construction of new plasmid by the primer gRNA-up(A-up)  
 621 and the universal primer pBEclos-A-dn. Then, part A, part B and part C are assembled  
 622 to generated the new base editing plasmid.

623

624 **Supporting information, Figure. S2: Target sites on *pyrE* gene were changed to**  
 625 **test the pCBeclos system.**



626

627 (A) The sequence of target sites in the *pyrE* gene; (B) *C. beijerinckii* were spread on

628 plates containing 5-FOA after transformation with plasmid pCBEclos-*cbei1006*-g2 and

629 pCBEclos-*cbei1006*-g3; Strains 1-22 are the transformants of plasmid pCBEclos-

630 *cbei1006*-g2, while strains 1'-11' are the transformants of plasmid pCBEclos-*cbei1006*-

631 g3; “+” represents the positive control; (C) Sequence alignment of the *pyrE* mutants

632 edited by pCBEclos system after selection on 5-FOA plates. The bolded and underlined

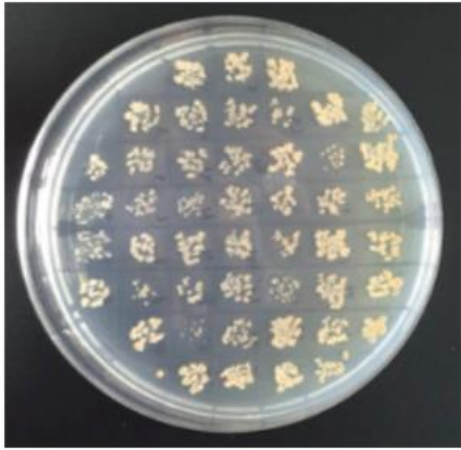
633 sequence is the targeted N20 site, the red underlined is the PAM sequence, and the

634 mutated nucleotides are highlighted in green.

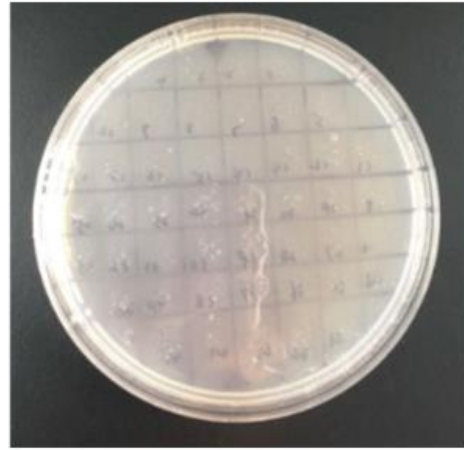
635

636 **Supporting information, Figure. S3: Clearance of plasmid pCBEclos-*cbei1006*-g1-**

637 **opt.**



**CGM+uracil**



**CGM+uracil+Em20**

638

639

640

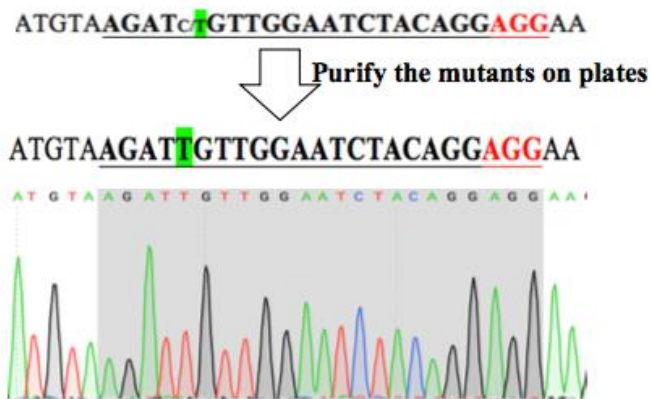
641

642 **Supporting information, Figure. S4: Purification of the mixed *xylR* and *spo0A***

643 **mutants on plates.**

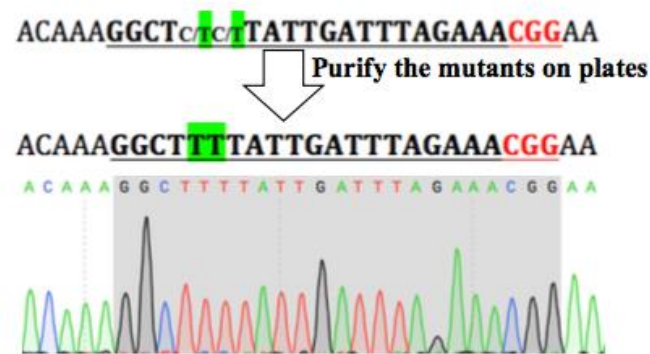
**A**  
*xyIR*-N20-2  
mixed strain

3/3  
clone count



**B**  
*spo0A(cbei1712)*  
mixed strain

2/2  
clone count



644

645 (A) Sequence alignment of the pure *xyIR* mutants after streaking the mixed strain on

646 plate. (B) Sequence alignment of the pure *spo0A* mutants after streaking the mixed

647 strain on plate.

648

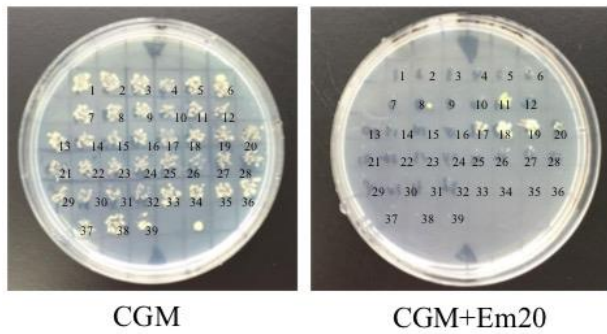
649

650 **Supporting information, Figure. S5: Clearance of plasmid pCBEclos-*cbei2385*-g2-**

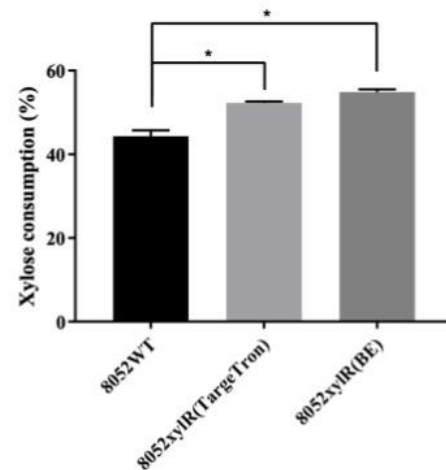
651 **opt and the xylose consumption of 8052WT, 8052*xyIR*(TargetTron) and**

652 **8052*xyIR*(BE).**

A



B



653

654 (A) Clearance of plasmid pCBEclos-*cbi2385-g2-opt*. (B) Xylose consumption of  
655 strains 8052WT, 8052*xyIR*(TargeTron) (*xyIR* was disrupted by TargeTron technology)  
656 and 8052*xyIR*(BE) (*xyIR* was disrupted by pCBE-opt) in XHP2 medium containing 60  
657 g/l D-xylose. Samples were taken after 72 h of fermentation. Fermentations were  
658 performed in triplicate.

659

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