

1 **Effect of Proximal Blood Flow Arrest During Endovascular**
2 **Thrombectomy (ProFATE): Study Protocol for a Multicentre Randomised**
3 **Controlled Trial**

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33 **Abbreviations:** EVT= endovascular thrombectomy, AIS= acute ischaemic stroke, mRS= modified
34 Rankin Scale, NIHSS= National Institutes of Health Stroke Scale, Alberta Stroke Program Early CT
35 Score = ASPECTS, eTICI= extended thrombolysis in cerebral infarction, sICH= symptomatic
36 intracranial haemorrhage, NCCT= non-contrast computed tomography, CTA= computed tomography
37 angiography

38 **Keywords:** Stroke, Thrombectomy, Endovascular, Balloon guide catheter, Emboli

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46 **ABSTRACT**

47 **Background**

48 Observational studies have demonstrated improved outcomes with the adjunctive use of
49 balloon guide catheters (BGC) during endovascular thrombectomy (EVT) for anterior
50 circulation acute ischaemic stroke (AIS). However, the lack of high-level evidence and global
51 practice heterogeneity justifies a randomised controlled trial (RCT) to investigate the effect of
52 transient proximal blood flow arrest on the procedural and clinical outcomes of patients with
53 AIS following EVT.

54 **Hypothesis**

55 Proximal blood flow arrest in the cervical internal carotid artery during EVT for proximal
56 large vessel occlusion is superior to no flow arrest in achieving complete vessel
57 recanalisation.

58 **Methods**

59 ProFATE is an investigator-initiated, pragmatic, multicentre RCT with blinding of
60 participants and outcome assessment. An estimated 124 participants with an anterior
61 circulation AIS due to large vessel occlusion, an NIHSS of ≥ 2 , ASPECTS ≥ 5 , and eligible for
62 EVT using a first-line combined technique (contact aspiration and stent retriever) or contact
63 aspiration only will be randomised (1:1) to receive BGC balloon inflation or no inflation
64 during EVT.

65 **Outcomes**

66 The primary outcome is the proportion of patients achieving near-complete/complete vessel
67 recanalisation (eTICI 2c-3) at the end of the EVT procedure. Secondary outcomes include the

68 functional outcome (modified Rankin Scale at 90 days), new or distal vascular territory clot
69 embolisation rate, near-complete/complete recanalisation after the first pass, symptomatic
70 intracranial haemorrhage, procedure-related complications and death at 90 days.

71 **Discussion**

72 This is the first RCT to investigate the effect of proximal blood flow arrest during EVT using
73 a BGC on the procedural and clinical outcomes of patients with AIS due to large vessel
74 occlusion.

75 **Clinical Trials Registration:** [ClinicalTrials.gov \(NCT05020795\)](https://clinicaltrials.gov/ct2/show/study/NCT05020795).

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88 INTRODUCTION

89 Endovascular thrombectomy (EVT) is the standard of care for large vessel occlusion in acute
90 ischaemic stroke (1-3). Using balloon guide catheters (BGC) as an adjunctive device during
91 EVT in the anterior circulation allows transient proximal blood flow arrest by inflating the
92 balloon at its tip, and even flow reversal when concomitant aspiration is applied. This is
93 thought to limit distal clot migration or embolisation to new vascular territories during EVT
94 (4-6).

95 Recent meta-analyses of non-randomised studies have suggested that the use of BGC during
96 EVT leads to improved rates of successful reperfusion, first-pass effect (successful
97 reperfusion after the first pass), procedural time, and functional outcomes, particularly in
98 patients treated using the stent-retriever (SR) technique only (7, 8). However, due to the
99 paucity of data, meaningful conclusions could not be drawn for patients treated using BGC
100 with the contact aspiration (CA)-only technique or the combined first-line technique of SR
101 and CA (8). This is relevant as both techniques have demonstrated high rates of successful
102 reperfusion and good functional outcomes following EVT and are now increasingly used
103 whilst the use of the SR-only technique of EVT is diminishing (9-11).

104 Despite recommendations in the current guidelines (2, 3), there is a state of clinical equipoise
105 globally, with approximately only one in four interventionists reporting routine use of BGCs
106 during EVT (12). Reasons cited for not using BGCs include: potential device related
107 complications (arterial dissection), handling properties such as lack of support, restricted
108 compatibility with larger bore aspiration catheters, and higher costs (12).

109 Due to practice heterogeneity, lack of high-level evidence data and potential for either
110 improved or worsened outcomes with BGC use during EVT, there is an urgent need for a

111 dedicated randomised controlled trial (RCT) to investigate the effect of transient proximal
112 blood flow arrest during EVT using a BGC on the procedural and clinical outcomes of
113 patients with AIS.

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115 **METHODS**

116 *Study Aim*

117 The ProFATE trial aims to test the hypothesis that proximal blood flow arrest in the cervical
118 internal carotid artery (ICA) using a BGC during EVT for proximal large vessel occlusion in
119 the anterior circulation is superior to no flow arrest in achieving complete vessel
120 recanalisation.

121 *Study Design*

122 ProFATE is an investigator-initiated, pragmatic, multicentre, randomised controlled (1:1),
123 parallel-group, and participant and outcome-blinded trial of temporary proximal blood flow
124 arrest (balloon inflation using a BGC) versus no proximal flow arrest (no balloon inflation
125 using a BGC) when first-line combined clot-retrieval technique (SR and CA) or CA only is
126 used during EVT treatment (Figure 1). Patients admitted with AIS due to anterior circulation
127 large vessel occlusion and eligible for EVT treatment will be recruited at four high-volume
128 (≥ 100 EVTs/year) EVT centres in the United Kingdom. No upper limit of the stroke onset-to-
129 EVT initiation time is prescribed due to the similar treatment effect demonstrated in patients
130 treated beyond 24 hours compared to those treated within 24 hours from stroke onset,
131 provided that the trial imaging and clinical eligibility criteria are met (13, 14). Each
132 interventionist involved in the trial should be familiar with and regularly perform EVT in the
133 anterior circulation using a BGC (≥ 20 /year). The ProFATE trial received a favourable ethical

134 opinion from the Health Research Authority, the Health and Care Research Wales, and the
135 Wales Research Ethics Committee (Ref: 21/WA/0199) on 03/09/2021. Nottingham
136 University Hospitals NHS Trust is the study sponsor (Ref: 21DI004). The trial is funded by
137 the Royal College of Radiologists Kodak Fund Scholarship and is registered with
138 ClinicalTrials.gov (NCT05020795). The trial will be conducted according to the principles of
139 the Declaration of Helsinki and Good Clinical Practice.

140 *Intervention*

141 Participants will be randomised to (i) temporary proximal blood flow arrest (balloon
142 inflation) in the cervical ICA during clot retrieval or (ii) no temporary proximal blood flow
143 arrest (no balloon inflation) during clot-retrieval (Figure 2).

144 In either trial arm, CA only (a direct aspiration first pass technique (ADAPT) technique)(11)
145 or any variation of the combined SR and CA techniques are permitted as the intended first-
146 line technique during EVT. However, it is recommended that the ‘balloon guide with large
147 bore distal access catheter with dual aspiration with stent-retriever as standard approach’
148 (BADDASS) technique is preferentially used if a combined technique is selected first line
149 (10). For each procedure, the BGC will be used as a delivery system, and the balloon will or
150 will not be inflated during clot retrieval according to the randomisation outcome. It is
151 strongly recommended that the balloon inflation is performed under direct fluoroscopy, and
152 the degree of inflation should sufficiently oppose the vessel wall (following the instructions
153 for use). Balloon inflation is only permitted in the extracranial ICA to ensure adequate flow
154 arrest (as opposed to inflation within the common carotid artery) (15).

155 The choice of any endovascular device (catheter, stent-retriever, or aspiration device) will be
156 left to the discretion of the interventionist provided the devices are FDA-approved and CE-
157 marked and are routinely used by the interventionist. At present, the only CE-marked BGCs

158 available for commercial use in the United Kingdom are the 8Fr Flowgate² BGC (Stryker,
159 USA) and the 8Fr Cello BGC (Medtronic, USA). Preparation of the balloon prior to its use
160 should be according to the product's instructions for use by active aspiration of any residual
161 air and its inflation using at least a 50:50 iodinated contrast-saline mixture. It is
162 recommended that dual aspiration using a continuous vacuum pump and/or a 60ml syringe
163 for manual aspiration are applied to both the distal access catheter and the BGC at the point
164 of clot retrieval. At least 3 thrombectomy attempts/passes with or without balloon inflation
165 must be made (if required) according to the randomisation outcome, beyond which the use of
166 balloon inflation or a change in guide catheter for any further attempts will be at the
167 interventionist's discretion. The decision for the mode of anaesthesia (general anaesthesia or
168 conscious sedation), and any rescue therapy, including intracranial angioplasty with or
169 without stenting and intra-arterial pharmacological therapy, is at the discretion of the
170 interventionist.

171 Prior to a thrombectomy attempt, cervico-cranial digital subtraction angiography (DSA) is
172 performed to confirm adequate antegrade flow (particularly in cases of moderate proximal
173 ICA stenosis), the occlusion site and any presence of emboli in a different vascular territory.
174 Blinded adjudication of the vessel recanalisation (eTICI) score, the presence of any distal or
175 new vascular territory emboli, and the presence of carotid dissection or vasospasm, is
176 performed for each thrombectomy pass.

177 All AIS patients are admitted to a dedicated hyperacute stroke unit and are treated according
178 the National Institute for Health and Care Excellence (NICE) guidelines (16) which includes
179 300mg Aspirin on admission if they are ineligible for intravenous thrombolysis/alteplase
180 (recombinant tissue type-plasminogen activator at 0.9mg/kg), adequate blood pressure and
181 blood glucose control.

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184 *Eligibility Criteria*

185 *Inclusion*

- 186 ■ Age ≥ 18 years.
- 187 ■ Acute ischemic stroke presenting with a neurological deficit (National Institutes of
188 Health Stroke Scale (NIHSS) ≥ 2).
- 189 ■ Intracranial arterial occlusion of the distal ICA or middle cerebral artery (MCA;
190 M1/proximal M2 segments) demonstrated on clinical neuroimaging such as
191 computed tomography angiogram (CTA), magnetic resonance imaging angiogram
192 (MRA), or DSA.
- 193 ■ Alberta Stroke Program Early CT Score (ASPECTS) of ≥ 5 .
- 194 ■ Pre-morbid disability (modified Rankin Scale, mRS ≤ 2).
- 195 ■ Intention to treat with first-line CA only, or combined technique of SR + CA during
196 EVT.

197

198 *Exclusion*

- 199 ■ Severe stenosis ($>90\%$) or tandem occlusion of the ipsilateral extracranial ICA.
- 200 ■ Previously deployed stents in the ipsilateral ICA.
- 201 ■ Dissection of the ipsilateral ICA.
- 202 ■ Unlikely to be available for 90 days follow-up (e.g. no fixed home address, a visitor
203 from overseas).
- 204 ■ Subject participating in a study involving an investigational drug or device that would
205 impact this study.

206

207 *Randomisation and Blinding*

208 All participants eligible for inclusion and for whom consent has been obtained will be
209 randomised centrally using a secure internet site in real time, directly after the CTA.

210 Randomisation will be 1:1 (balloon inflation vs no balloon inflation), stratified by use of

211 thrombolysis, and minimised based on key prognostic factors: age (≤ 70 vs >70 years), time
212 since stroke onset (≤ 6 vs >6 hours), ASPECTS (amount of brain tissue infarcted on the non-
213 contrast CT Head scan on admission; ≤ 7 vs >7), blood clot location (ICA vs MCA), and
214 stroke severity (NIHSS; ≤ 12 vs >12). The computer-based randomisation will allocate a
215 number corresponding to the choice of treatment, and the participant will receive treatment
216 from the allocated number. In the event of computer failure (for example: server failure),
217 investigators will follow the working practice document for computer system disaster
218 recovery, which will allow the participant to be randomised following a standardised
219 operating procedure.

220 Multiple efforts will be taken to minimise bias through concealment of allocation, blinded
221 central telephone follow-up (eliminating bias from local measurement), and core laboratory
222 adjudication of the baseline and follow-up imaging outcome measures, as well as analysis by
223 intention-to-treat with adjustment for key prognostic variables. Minimisation of key
224 prognostic variables will minimise differences in baseline prognostic variables and improve
225 statistical power to help improve precision (17). As all patients will undergo this procedure
226 under conscious sedation/general anaesthesia, the patient will be blinded to the allocation.
227 Though it will not be possible to blind the interventionist performing the intervention, the
228 baseline imaging and outcome measures by central core laboratory adjudicators, and trained
229 specialist nurses who perform the telephone follow-up interview at 90 days, will be blinded
230 to the treatment allocation.

231

232 *Primary Outcome*

233 The primary outcome is the proportion of patients achieving near-complete/complete vessel
234 recanalisation (eTICI 2c-3) at the end of the EVT procedure, as assessed by the central core

235 laboratory blinded adjudication. Near-complete/complete vessel recanalisation (procedural
236 efficacy) was chosen as the primary outcome measure as it is a key surrogate marker strongly
237 associated with increased functional independence and has been reliably used in previous
238 EVT trials (9, 18, 19).

239 *Secondary Outcomes*

240 Secondary procedural outcome measures include (i) near-complete/complete recanalisation
241 (eTICI 2c-3) and (ii) complete recanalisation (eTICI 3) after the first pass, (iii) number of
242 passes/attempts of clot retrieval to achieve near-complete/complete vessel recanalisation
243 (eTICI2c-3), (iv) procedural time (arterial puncture to final angiography), (v) new or distal
244 vascular territory clot embolisation, and (vi) change in the automated core infarct volume
245 based on the unenhanced CT imaging between baseline and at 24 hours.

246 The clinical efficacy outcomes include the (i) distribution of the mRS score at 90 days
247 (ordinal shift) and (ii) the rate of functional independence (mRS 0-2) at 90 days.

248 The secondary safety outcome measures are (i) early neurological deterioration defined as a
249 change in the stroke severity (NIHSS) of ≥ 4 between baseline and at 24 hours post-EVT, (ii)
250 symptomatic intracranial haemorrhage, defined using the European Cooperative Acute Stroke
251 Study II (ECASS II) classification as any intracranial haemorrhage with an increase in the
252 NIHSS ≥ 4 within 24 hours or death (20), (iii) procedure-related complications (including
253 vessel dissection/vasospasm/perforation, vascular access site haematoma or pseudoaneurysm)
254 and (iv) all-cause death at 90 days.

255

256 *Consent*

257 Due to the time-critical nature of performing EVT for patients with an acute ischaemic stroke
258 due to a large vessel occlusion, deferred (2-stage) informed consent was approved by the

259 ethics committee for this trial due to ethical reasons in the potential delay of treatment
260 initiation (21). Specifically, an initial brief informed verbal consent is sought from
261 participants prior to enrolment into the trial (Figure 3). Later, full written informed consent is
262 confirmed with the participant, ideally within 24-48 hours after EVT. If a participant lacks
263 the mental capacity to provide the initial verbal consent due to lack of consciousness or
264 aphasia, then a personal consultee (relative/next of kin or independent medical practitioner in
265 case no relative is available) is approached to consent for the trial enrolment. Full informed
266 consent is later sought from the recruited participant within 24-48 hours post-EVT if full
267 mental capacity is regained. It will be explained to the potential participant or their legal
268 representative that entry into the trial is entirely voluntary and that they can withdraw at any
269 time without giving a reason. In the event of their withdrawal, their participation in the trial
270 will be terminated. However, it will be explained that their data collected up to the given
271 point cannot be erased, and we will seek consent to use the data in the final analyses where
272 appropriate.

273

274 *Data Collection and Management*

275 By integrating the pragmatic trial into routine patient pathways, the imaging, clinical data,
276 and outcome measures are collected as part of the routine standard of clinical care in the
277 participating institutions thus reducing the chance of missing data and reducing participant
278 burden (Table 1). An electronic Case Report Form (eCRF) will be completed for each study
279 participant summarising all clinical screening and study data. The eCRF used for this trial is
280 hosted on Research Electronic Data Capture (REDCap®), a secure, web-based application
281 designed to support data collection and management for research studies. Each participant
282 will be assigned a trial identity code number, allocated at randomisation, for use on the eCRF
283 and other trial documents. Participants will only be referred to in the eCRF by their

284 participant number in order to retain participant confidentiality. The completed original eCRF
285 data is to be submitted via REDCap® online to the Sponsor as soon as practical after
286 completion. A trial recruitment log will be kept to ascertain the consecutive recruitment of
287 eligible participants into the trial. Regular scheduled data monitoring audits (at least every 6
288 months) are performed by members of the research department from the Sponsor's institution
289 to ensure the accuracy and completeness of the data entry.

290
291 All participants will have baseline non-contrast CT imaging on admission to the primary
292 stroke centre or the EVT-capable centre (prior to enrolment) to exclude intracranial
293 haemorrhage or other stroke mimics, and to assess the baseline infarct size scored using the
294 ASPECTS. CTA will be performed as part of the centre's local practice to identify the
295 proximal large vessel occlusion site (ICA, M1 or M2 MCA segments) and the presence of
296 collateral supply. Cranial DSA will be performed to confirm the occlusion site, the presence
297 of the anterior or posterior communicating artery, the presence of any emboli in a different
298 vascular territory, and the recanalisation score. Repeat non-contrast CT imaging will be
299 performed 24 hours after admission to evaluate the presence of any intracranial haemorrhage.
300 Neurological severity will be assessed by trained clinicians or specialist nurses using the
301 NIHSS at baseline and at 24 hours after admission. The functional outcome will be assessed
302 with the mRS at baseline and at 90 days by trained specialist nurses using a standardised
303 telephone follow-up interview proforma, blinded to group allocation.

304

305 *Sample Size*

306 No previous RCT assessing the procedural and clinical outcomes of temporary proximal
307 blood flow arrest during EVT has been completed. Hence, the sample size calculation has
308 been informed by our meta-analysis of observational studies and recent published

309 retrospective studies (7, 8, 22-26). The mean proportion of patients expected to achieve the
310 binary primary outcome measure of near-complete/complete vessel recanalisation (eTICI 2c-
311 3) in the balloon inflation treatment group is 70% versus 45% in the non-balloon inflation
312 group, giving rise to an absolute difference of 25%. Assuming an overall significance (α)
313 = 0.05; power (1-beta) = 0.80; the calculation (Chi-squared test) aiming to prove treatment
314 superiority revealed a sample size of 120 participants. To account for any (rare) eventuality
315 of the BGC not being utilised during EVT due to tortuous vessel anatomy, a 4% increase
316 adjustment was made, amounting to 124 patients. If a patient is enrolled into the trial but, due
317 to spontaneous recanalisation no thrombectomy has taken place or commenced, no further
318 data will be collected from the patient and their trial enrolment will be terminated. Instead,
319 another eligible patient will be enrolled to ensure sufficient numbers of randomised patients
320 have the procedure undertaken. The primary outcome is based on the vessel recanalisation
321 success rate identified at the end of the EVT procedure. Hence, any potential patient lost to
322 follow-up will not affect the primary outcome measure. In summary, a trial of 124
323 participants will have 80% power to detect a 25% proportional difference of successful vessel
324 reperfusion.

325

326 *Data and Safety Monitoring Board*

327 A Data and Safety Monitoring Board (DSMB) independent from the Trial Management
328 Group, will be convened to monitor the safety of participants and will include a stroke
329 physician, neuroradiologist, and an independent statistician. The DSMB will receive safety
330 reports every 3 months, or more frequently if requested and perform unblinded reviews of
331 safety data. The DSMB will perform a formal interim analysis at 50% recruitment with 90-
332 day follow-up.

333

334 *Statistical Analysis*

335 Statistical analyses will be carried out independently by the trial statistician. Study
336 characteristics will be summarised using descriptive statistics for patient demographics,
337 clinical characteristics, co-morbidities, and time metrics.

338 The primary outcome analysis of the near-complete/complete vessel recanalisation scores
339 (eTICI 2c-3) between treatment groups will be analysed using a mixed-effects logistic
340 regression model with adjustment for IV thrombolysis and age, time from stroke onset-to-
341 randomisation, ASPECTS, blood clot location and NIHSS, with the recruiting site as the
342 random centre and centre*treatment interaction.

343 Secondary outcome measure analyses will also use the mixed-effects logistic (binary for
344 dichotomised outcomes) or linear regression models as appropriate using the same
345 adjustment variables as the primary outcome, including the mixed-effects ordinal logistic
346 regression for the full-scale mRS distribution. Analyses of adjusted and unadjusted outcome
347 estimates will be expressed as an odds ratio (OR) (for logistic and ordinal regression) or a
348 coefficient estimate (for linear regression), both with 95% confidence intervals (CI). A two-
349 tailed p-value of <0.05 will be considered statistically significant. All analyses will be
350 performed on the intention-to-treat population, and the robustness of the primary and key
351 secondary analyses will be assessed in the per-protocol population.

352 Pre-specified subgroup analyses in all minimisation variables, including IV thrombolysis, age
353 (≤ 70 vs >70 years), time since stroke to randomisation (≤ 6 vs >6 hours), ASPECTS (≤ 7 vs
354 >7), blood clot location (ICA vs MCA), stroke severity (NIHSS; ≤ 12 vs >12), and
355 exploratory analyses of the collateral status and presence of the communicating arteries are
356 planned. Analysis of the primary outcome in these pre-specified sub-groups does not
357 comprise the primary analysis and has not informed the sample size calculation. The
358 interpretation of any subgroup effects will be based on interaction tests and are hypothesis-

359 generating.

360

361 *Missing data*

362 No missing data are anticipated for the primary outcome measure based on the vessel
363 recanalisation success rate as identified at the end of the EVT procedure. For any missing
364 secondary outcome mRS data, multiple regression imputation will be performed.

365

366 *Trial status*

367 Recruitment for ProFATE commenced on 17th October 2021, and is ongoing in January 2023
368 with 92 patients randomised, following the favourable recommendation of the DSMB to
369 continue enrolment after the interim safety analysis in September 2022. Recruitment is
370 expected to be completed by May 2023, and the final follow-up by August 2023.

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374 **DISCUSSION**

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376 ProFATE is a pragmatic multicentre RCT with a participant and outcome-blinded design of
377 temporary proximal blood flow arrest (balloon inflation using a BGC) versus no proximal
378 flow arrest (no balloon inflation using a BGC) when first line combined clot-retrieval
379 technique (SR and CA) or contact aspiration only is used during EVT treatment for patients
380 presenting with an AIS due to an anterior circulation large vessel occlusion. To the best of
381 our knowledge, ProFATE is the first RCT to investigate the effect of proximal blood flow
382 arrest during EVT by primarily evaluating the procedural efficacy of near-complete/complete
383 vessel recanalisation at the end of the EVT treatment.

384

385 We chose near-complete/complete vessel recanalisation (procedural efficacy) as the primary
386 outcome measure as it is a key surrogate marker strongly associated with an increase in
387 functional independence and has been reliably used in previous EVT trials comparing
388 thrombectomy devices (9, 18, 19). Although an absolute difference of 25% in the procedural
389 efficacy may be considered large, a smaller difference in the eTICI scores may not result in a
390 clinically meaningful difference in the functional outcome (mRS at 90 days). For instance, in
391 a recent non-randomised study (23), an absolute difference of 26.5% in the eTICI_{2c-3} rates
392 (76.8% vs 50.3% between the BGC and non-BGC groups respectively) only amounted to a
393 4% difference in the functional independence rate. Furthermore, the smaller reported
394 differences in the functional independence between BGC and non-BGC groups in various
395 non-randomised studies (23, 25) would necessitate an estimated large sample size of over
396 3000 patients to detect a statistically significant improvement in clinical outcome.

397

398 There has been rapid development of larger bore BGCs with an internal diameter of up to
399 0.087 inches (e.g. BOBBY; Microvention, USA, Walrus; Q'Apel Medical, USA,
400 EMBOGUARD; Cerenovus, USA), which are able to accommodate large bore distal
401 aspiration catheters (e.g. Sofia 6 Plus), similar to those currently used alongside conventional
402 (non-balloon) guide catheters (27, 28). However, only the 8Fr Flowgate² (Stryker, USA)
403 BGC, which has a smaller internal diameter of 0.084 inches, and the 8Fr Cello BGC
404 (Medtronic, USA) are available for commercial use in the United Kingdom at present,
405 thereby limiting the compatibility with large bore aspiration catheters, which have been
406 associated with improved procedural and clinical outcomes (29). Nevertheless, this trial
407 sought to investigate the concept of proximal flow arrest during EVT as opposed to the

408 efficacy of specific BGCs themselves. We sought to investigate the effect of proximal flow
409 arrest by mandating the use of a BGC (Flowgate²) in both the treatment and control arms, the
410 latter performed without balloon inflation. This ensures as many variables are standardised as
411 possible to minimise potential confounders by allowing a direct comparison of similarly sized
412 guide and aspiration catheters across both groups. Hence, in the future, findings from this
413 study may also inform potential differences between the similarly sized new generation large
414 bore BGCs compared to the conventional (non-balloon) guide catheters. The results of this
415 study could also potentially be applicable to other neurointerventional procedures where
416 proximal flow arrest may be considered, such as in carotid artery stenting for symptomatic
417 carotid stenosis (30).

418

419 Although there is an increasing trend of EVT treatment using the radial artery approach, there
420 remains some reluctance amongst interventionists to use large bore BGCs for anterior
421 circulation EVT, particularly in patients with a small radial arterial diameter due to the
422 theoretical risk of arterial injury or occlusion (31). However, recent studies have reported on
423 the successful completion of EVT procedures performed using a sheathless BGC via the
424 transradial approach (28). Hence, it is probable that BGC use with the transradial approach
425 may be equally feasible and safe as the transfemoral approach, and its uptake amongst
426 interventionists should not solely be limited by the preferred vascular access site.

427

428 An important outcome measure investigated in this study is the frequency of emboli to distal
429 and new vascular territories. Recent studies have demonstrated poorer functional outcomes
430 amongst patients with distal emboli, and including those with microemboli formed during
431 EVT which are thought to play a role in microperfusion delay (no-reflow phenomenon)

432 despite successful vessel recanalisation (4, 6, 32). There is no consensus on the optimal
433 method of identifying emboli to new or distal vascular territories due to limitations in each
434 imaging method (33). We chose to identify emboli to new and distal vascular territories by
435 comparing the pre- and post-EVT angiographic images as opposed to surrogate measures
436 using the susceptibility or diffusion-weighted MR imaging sequence. This is due to the
437 likelihood of selection bias by potentially super-selecting patients with early functional
438 recovery who are more likely to be able to tolerate the MRI investigation post-EVT and
439 excluding those who suffer from early deterioration or reduced consciousness. Furthermore,
440 due to the high incidence of iatrogenic embolic shower during EVT (up to 82% depending on
441 the MR sequence or imaging used (33)), it is reasonable to assume that new distal emboli
442 demonstrated on catheter angiography post-EVT are largely treatment-related. In addition,
443 the same standardised method of outcome assessment is employed across both treatment
444 groups, minimising any potential inherent biases.

445

446 Implementation of the deferred informed consent, which has been successfully employed in
447 recent hyperacute stroke trials, as well as the pragmatic study design, will likely increase
448 patient enrolment and reduce selection bias, thereby increasing the generalisability of the
449 results (21).

450

451 **Conclusion**

452 This is the first RCT to investigate the effect of proximal blood flow arrest during EVT using
453 a BGC on the procedural and clinical outcomes of patients with AIS due to large vessel
454 occlusion.

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	Randomisation	During procedure	24 hours	90 days
<i>Baseline information</i>				
Demographics	yes			
NIHSS	yes			
mRS	yes			
Consent*	yes		yes	
Comorbidities	yes			
Medications	yes			
Neuroimaging (NCCT/CTA)	yes			
Thrombolysis	yes			
Time metrics (patient pathway)	yes			
<i>Procedural outcomes</i>				
EVT imaging (eTICI)		yes		
Time metrics		yes		
Number of passes		yes		
Complications		yes		
Emboli in new/distal vascular territory		yes		
<i>Functional and safety outcomes</i>				
NIHSS			yes	
mRS				yes
Mortality			yes	yes
Follow-up neuroimaging (NCCT)			yes	

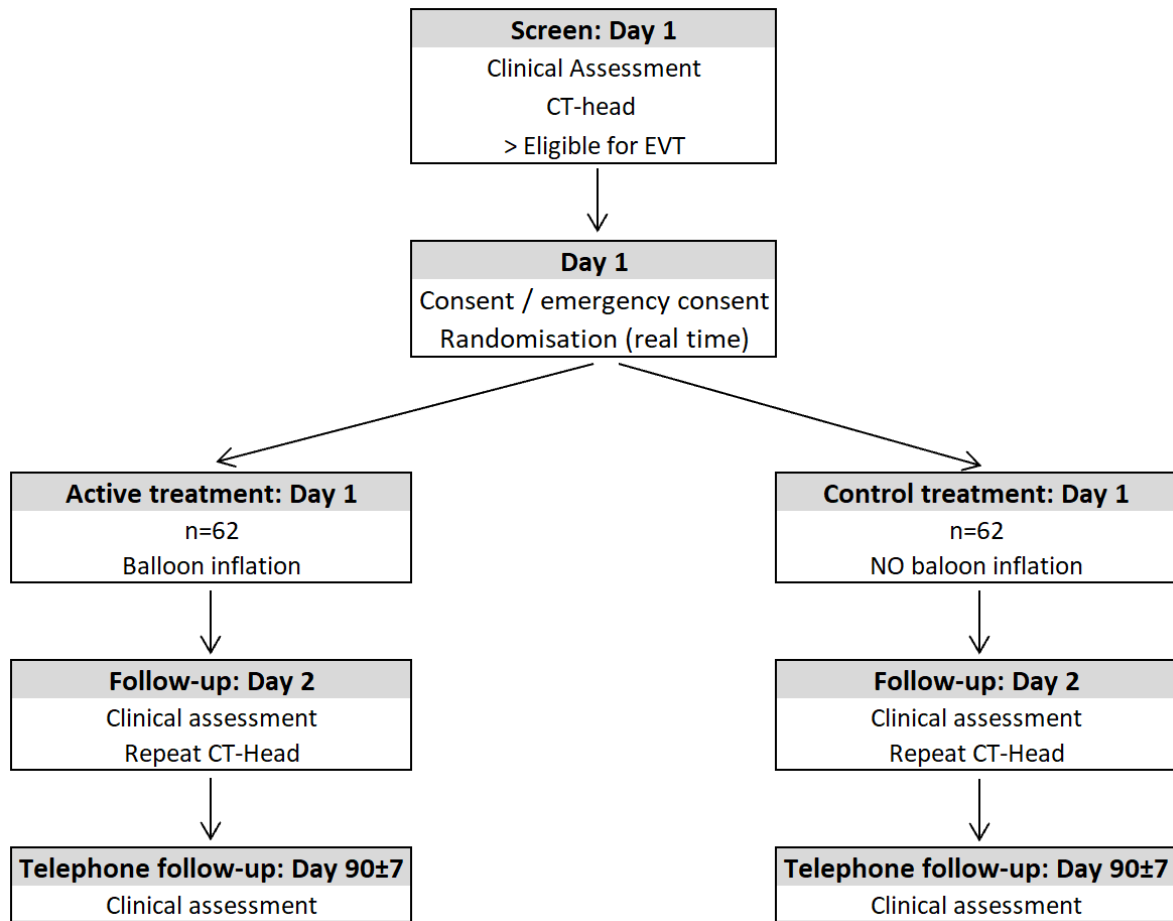
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615 **Table 1:** Summary of data collection at specified time intervals.

616 *Two-stage consent process

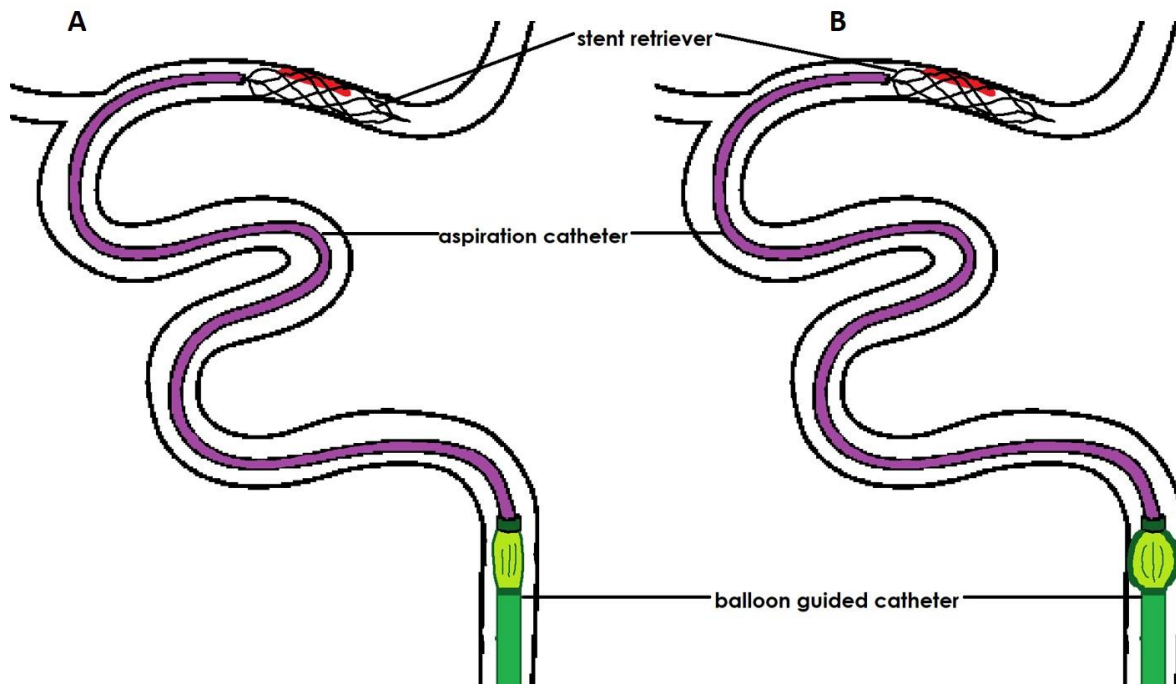
617 Footnote: EVT= endovascular thrombectomy, mRS= modified Rankin Scale, NIHSS= National
618 Institutes of Health Stroke Scale, eTICI= extended thrombolysis in cerebral infarction, NCCT= non-
619 contrast computed tomography, CTA= computed tomography angiography

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Figure. 1 Study flow-chart.



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638 **Figure 2:** (A) Control trial arm: Combined technique of contact aspiration and stent-retriever
 639 (or contact aspiration only – not shown) used without balloon inflation of the balloon guide
 640 catheter (BGC) during endovascular thrombectomy (EVT). (B) Treatment trial arm: EVT
 641 using the combined technique with balloon inflation of the BGC within the internal carotid
 642 artery.

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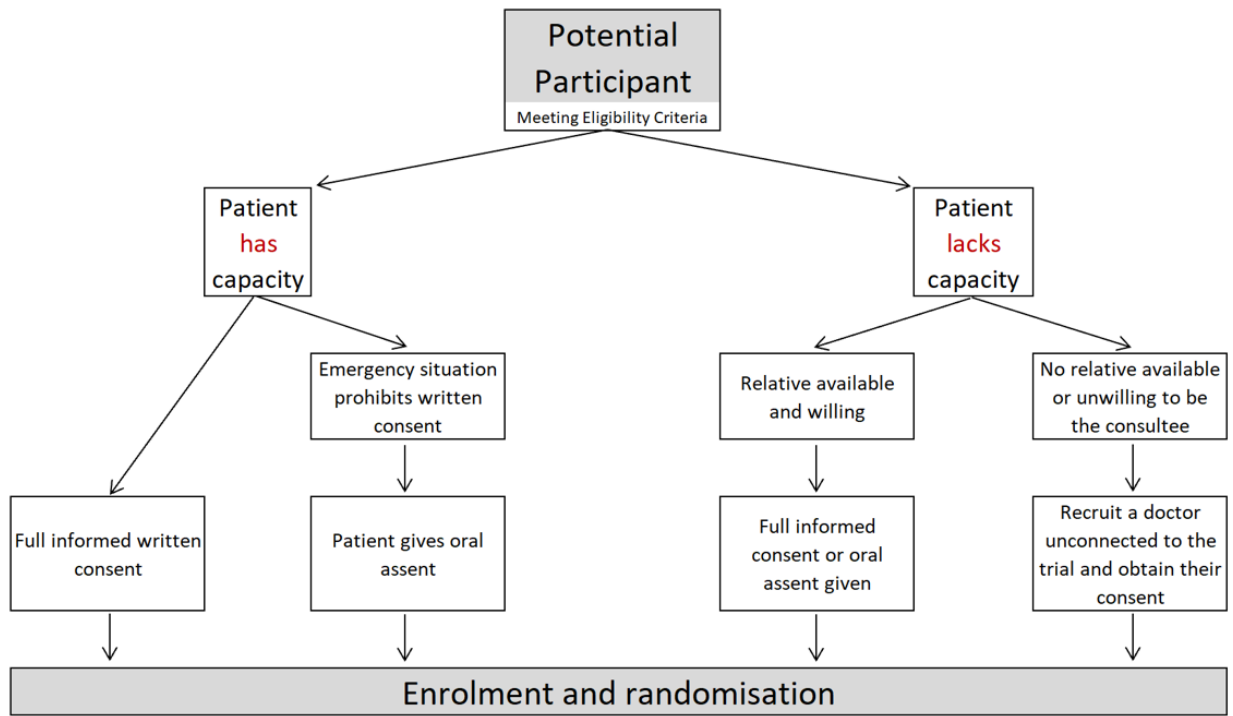
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660 **Figure. 3.** Deferred informed consent flow-chart

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