

Parity violation in the scalar trispectrum: no-go theorems and yes-go examples

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ABSTRACT: We derive a set of no-go theorems and yes-go examples for the parity-odd primordial trispectrum of curvature perturbations. We work at tree-level in the decoupling limit of the Effective Field Theory of Inflation and assume scale invariance and a Bunch-Davies vacuum. We show that the parity-odd scalar trispectrum vanishes in the presence of any number of scalar fields with arbitrary mass and any parity-odd scalar correlator vanishes in the presence of any number of spinning fields with massless de Sitter mode functions, in agreement with the findings of Liu, Tong, Wang and Xianyu [1]. The same is true for correlators with an odd number of conformally-coupled external fields. We derive these results using both the (boostless) cosmological bootstrap, in particular the Cosmological Optical Theorem, and explicit perturbative calculations. We then discuss a series of yes-go examples by relaxing the above assumptions one at the time. In particular, we provide explicit results for the parity-odd trispectrum for (i) violations of scale invariance in single-clock inflation, (ii) the modified dispersion relation of the ghost condensate (non-Bunch-Davies vacuum), and (iii) interactions with massive spinning fields. Our results establish the parity-odd trispectrum as an exceptionally sensitive probe of new physics beyond vanilla inflation.

KEYWORDS: Early Universe Particle Physics, Effective Field Theories, Space-Time Symmetries

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1 Introduction

Usually we try to constrain the laws of physics at very high energies by attentively staring into the night sky. In this work, we instead stare into a mirror that stares into the night sky. If the relevant laws of physics during the primordial universe violate parity (point inversion), the image in the mirror will appear to belong to a universe that is not ours.

When inquiring about parity violation in the primordial universe, it is natural to ask what observables are most sensitive to this effect. The answer splits into two relevant cases: either we only have access to the scalar sector of primordial correlators, or we also observe correlators of spinning fields such as the tensor sector involving primordial gravitational waves. When considering spinning fields, parity violation can already be detected at the level of the two-point function, where for example, the two helicities of the graviton can have a different power [2]. All higher order correlators involving spinning fields can also show signs of parity violation, although they are often more constrained compared to their parity-even counterparts as recently shown for the graviton bispectrum [3] (see also [4]).

Conversely, if we are only allowed to consider correlators of scalar fields,¹ we have to dig much deeper. In this case, for the two- and three-point scalar correlators, namely the power spectrum and the bispectrum, invariance under rotations and translations automatically implies invariance under parity. The reason is simple: working in Fourier space, momentum conservation requires all momenta to lie on one plane and then a rotation perpendicular to this plane is identical to a parity transformation.² The first time that parity violation can manifest itself in scalar correlators is therefore in the four-point function, a.k.a. the trispectrum, which is the focus of this work. We will derive a set of no-go theorems and yes-go examples for parity violation in this scalar trispectrum. Other discussions of the parity-odd scalar trispectrum in the literature can be found in [1, 5], while for the parity-even trispectrum see [6–9].

Our motivation for this work is twofold. As we have just discussed, in the scalar sector the trispectrum is the leading possible signal of parity violation so understanding its properties is important. Given that parity violation in the graviton bispectrum is highly restricted [3], one might expect similar restrictions for the scalar trispectrum and indeed we will show that this is the case. Furthermore, two recent papers [10, 11] (see also [12]) searched for signs of parity violation in the BOSS galaxy survey, and found hints of parity violation. Although further investigation is clearly necessary to exclude non-primordial sources of parity violation, we take these preliminary and tantalising findings as further motivation to ask about the microscopic underpinning of parity violation in the primordial scalar trispectrum.

It will be convenient to decompose a general parity violating scalar correlator into a parity odd (PO) and a parity even contribution (PE) as

$$\left\langle \prod_{a=1}^n \phi(\mathbf{k}_a) \right\rangle = (2\pi)^3 \delta_D^{(3)} \left(\sum_{a=1}^n \mathbf{k}_a \right) B_n(\{\mathbf{k}\}), \quad (1.1)$$

$$B_n^{\text{PE}}(\mathbf{k}_1, \dots, \mathbf{k}_n) \equiv \frac{1}{2} [B_n(\{\mathbf{k}\}) + B_n(-\{\mathbf{k}\})], \quad (1.2)$$

$$B_n^{\text{PO}}(\mathbf{k}_1, \dots, \mathbf{k}_n) \equiv \frac{1}{2} [B_n(\{\mathbf{k}\}) - B_n(-\{\mathbf{k}\})], \quad (1.3)$$

where in B_n we factor out the ever-present momentum conserving delta function that appears due to spatial homogeneity. Recall that the expectation value of Hermitian operators must be real. Real fields are Hermitian operators in position space, $\phi(\mathbf{x})^\dagger = \phi(\mathbf{x})$, but their Fourier transform is not. Indeed, the reality of $\phi(\mathbf{x})$ requires $\phi(\mathbf{k})^\dagger = \phi(-\mathbf{k})$ and so $\phi(\mathbf{k})$ is a parity transformation away from being Hermitian. As a consequence, a general parity-violating correlator in Fourier space is a complex number and its parity-even and

¹By scalar field we mean a field invariant under rotations and under parity. In particular, in our nomenclature a pseudo-scalar is not a scalar field.

²More generally, parity violation must vanish for any n -point scalar correlation function in d spatial dimensions where the $n - 1$ independent momenta span a subspace of dimension less than d . As a corollary, parity violation can appear only for $n > d$. It would be interesting to see if this has any implications for theories with extra dimensions.

parity-odd components correspond to its real and (i times) imaginary part respectively i.e.

$$B_n^{\text{PE}} = \text{Re } B_n \in \mathbb{R}, \tag{1.4}$$

$$B_n^{\text{PO}} = i \text{Im } B_n \in i \times \mathbb{R}. \tag{1.5}$$

In this paper we study B_4^{PO} for curvature perturbations generated during inflation around a quasi de Sitter spacetime. We work in perturbation theory at tree-level and we make ample use of many recent results derived within the cosmological bootstrap program. In particular, we use the Cosmological Optical Theorem (COT) [13], which is a consequence of unitary time evolution and the choice of the Bunch-Davies vacuum, the Manifestly Local Test (MLT) [14], which is necessary condition for manifestly local interactions, and recent results that provide exact expressions for correlators involving massive fields [15, 16]. We derive a series of no-go theorems and discuss a number of yes-go examples that invalidate different assumptions of the no-go theorems. We work in the decoupling limit of the Effective Field Theory of Inflation (EFTI) [17], where the small effects of dynamical gravity are ignored, so our main object of interest is the trispectrum of the EFTI Goldstone mode $\pi(\eta, \mathbf{x})$ that non-linearly realises the broken de Sitter boosts. Our no-go theorems crucially rely on scale invariance, which in turn fixes the overall scaling of cosmological correlators with the various momenta, and we will show how breaking scale invariance allows for many possible parity odd trispectra giving concrete examples.

Summary of the results. Our main results are summarized as follows. We prove a series of no-go theorems for generating a parity-odd scalar trispectrum B_4^{PO} and higher-point correlation functions B_n^{PO} of curvature perturbations. In particular, we prove that $B_n^{\text{PO}} = 0$ for any $n \geq 2$ at tree-level assuming scale invariance and a Bunch-Davies state in single-clock inflation. This had already been derived by Liu, Tong, Wang and Xianyu in [1] by manipulation of the explicit perturbative contributions. Our re-derivation stresses the importance of the assumption of unitarity and the choice of vacuum. We extend these results in a few different directions, always under the assumption of a Bunch-Davies vacuum and scale invariance at tree level.

- $B_4^{\text{PO}} = 0$ in the presence of any number of scalars of any mass.
- $B_n^{\text{PO}} = 0$ for any number of fields of any spin as long as they all have massless or conformally-coupled de Sitter mode functions (see (3.14)). Crucially, this relies on the interaction being IR-finite, which corresponds to the restriction $n_i + 2n_\eta \geq 4$ with $n_{i,\eta}$ the number of spatial and time derivatives respectively. Interactions with only three spatial derivatives, such as the one in (4.2), give rise to $\log[\eta_0]$ late-time divergences in the wavefunction and then unitarity demands an associated non-vanishing contribution to the n -point correlator (see (4.3)), $B_n^{\text{PO}} \neq 0$, as anticipated in [3].
- $B_4^{\text{PO}} = 0$ in the presence of full de Sitter isometries, or equivalently full conformal invariance at the boundary (section 3.1). This is true even before imposing soft theorems, which would require this contribution to vanish anyways in single-clock inflation [18].

- Small corrections to the linear dispersion implied by the Bunch-Davies vacuum do not alter any of the above conclusions in perturbation theory. This applies also to quadratic mixing operators in the action.

Then, we derive a series of yes-go examples in which one of the assumptions of the no-go results is relaxed.

- For non-scale-invariant interactions we find $B^{\text{PO}} \neq 0$, as expected for example from the discussion in [19]. This can happen in two ways: via IR-divergences that break scale invariance at the scale we cut-off the time integrals η_0 , as discussed above, or because of time-dependent couplings that can generally arise in the EFTI. A non-vanishing contribution arises already at the level of a single contact interaction in single-clock models. The size of the parity-odd non-Gaussianity depends on how strongly scale invariance is broken.
- As an example of a non-Bunch-Davies vacuum we consider the non-linear dispersion relation of the Ghost condensate [20, 21], $\omega^2 \propto k^4$. We show that both the leading and the subleading (in the EFT expansion) parity-odd self-interactions can give rise to a non-zero parity-odd signal. Our final results are, respectively

$$B_4^\zeta = \frac{128i\pi^3\Lambda^5(H\tilde{\Lambda})^{1/2}}{M_{\text{PO}}\tilde{\Lambda}^5\Gamma(\frac{3}{4})^2}(\Delta_\zeta^2)^3 \frac{(\mathbf{k}_2 \cdot \mathbf{k}_3 \times \mathbf{k}_4)(\mathbf{k}_1 \cdot \mathbf{k}_3)(\mathbf{k}_1 \cdot \mathbf{k}_2)(\mathbf{k}_3 \cdot \mathbf{k}_4)}{k_1^{\frac{3}{2}}k_2^{\frac{3}{2}}k_3^{\frac{3}{2}}k_4^{\frac{3}{2}}} \text{Im} \mathcal{T}(k_1, k_2, k_3, k_4) + 23 \text{ perms.}, \quad (1.6)$$

$$B_4^\zeta = \frac{512i\pi^3\Lambda^5(H\tilde{\Lambda})^{3/2}}{\Lambda_{\text{PO}}^2\tilde{\Lambda}^6\Gamma(\frac{3}{4})^2}(\Delta_\zeta^2)^3(\mathbf{k}_2 \cdot \mathbf{k}_3 \times \mathbf{k}_4)(\mathbf{k}_2 \cdot \mathbf{k}_3)k_1^{\frac{1}{2}}k_2^{-\frac{3}{2}}k_3^{\frac{1}{2}}k_4^{\frac{1}{2}} \mathcal{T}(k_1, k_2, k_3, k_4) + 23 \text{ perms.} \quad (1.7)$$

In these two equations \mathcal{T} is given respectively by

$$\mathcal{T} = \int_0^{+\infty} d\lambda \lambda^{11} H_{\frac{3}{4}}^{(1)}(2ik_1^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_2^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_3^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_4^2\lambda^2) \quad (1.8)$$

and

$$\mathcal{T} = \int_0^{+\infty} d\lambda \lambda^{13} H_{-\frac{1}{4}}^{(1)}(2ik_1^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_2^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_3^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_4^2\lambda^2), \quad (1.9)$$

Λ and $\tilde{\Lambda}$ are the energy scales entering the non-linear dispersion relation ($\omega = \tilde{\Lambda}k^2/\Lambda^2$ in flat space, see also eq. (5.5) for the quadratic action in de Sitter spacetime), M_{PO} and Λ_{PO} set the scale of the parity-odd interaction for the leading and subleading interactions (eqs. (5.22) and (5.12), respectively), and finally Δ_ζ^2 is the amplitude of the curvature power spectrum, $P_\zeta(k) = \Delta_\zeta^2/k^3$.

- The tree-level exchange of massive spinning fields leads to a non-vanishing B_4^{PO} , whose overall size depends on the mass. For the explicit example of a spin-1 vector

field we find the final result in (6.40), which we report here

$$\begin{aligned}
 B_4^\zeta = & - \left(\prod_{a=1}^4 P_\zeta(k_a) \right) \frac{c_s^4 \lambda_1 \lambda_3}{H^3} (s^2 - k_1^2 - k_2^2)(s^2 - k_3^2 - k_4^2)(k_1 - k_2)(k_3 - k_4)(\mathbf{k}_3 \cdot \mathbf{k}_4 \times \mathbf{k}_2) \\
 & \times [k_{12} I_3(c_s k_{12}, s) + i c_s k_1 k_2 I_4(c_s k_{12}, s)] [k_{34} I_4(c_s k_{34}, s) + i c_s k_3 k_4 I_5(c_s k_{34}, s)] \\
 & \times \sin\left(\frac{\pi}{2}(\nu + 1/2)\right) \cos\left(\frac{\pi}{2}(\nu + 1/2)\right) + [(1, 2) \leftrightarrow (3, 4)] \\
 & + t + u.
 \end{aligned} \tag{1.10}$$

Here I_n are the integrals defined in eq. (6.37):

$$I_n(a, b) = (-1)^{n+1} \frac{H}{\sqrt{2b}} \left(\frac{i}{2b}\right)^n \frac{\Gamma(\alpha)\Gamma(\beta)}{\Gamma(1+n)} \times {}_2F_1\left(\alpha, \beta; 1+n; \frac{1}{2} - \frac{a}{2b}\right), \tag{1.11}$$

where $\alpha = \frac{1}{2} + n - \nu$, $\beta = \frac{1}{2} + n + \nu$ and the mass m of the exchanged field enters via $\nu = \sqrt{9/4 - m^2/H^2}$. The corresponding overall amplitude is shown in figure 5. In agreement with the above no-go results we find that $B_4^{\text{PO}} = 0$ when the exchanged vector field has mode functions corresponding to $m = 0$ or $m^2 = 2H^2$.

Before concluding, we stress that in this work we discuss exclusively tree-level processes. Remarkably, loop contributions can be the leading source of B_4^{PO} , but we defer a thorough discussion of this exciting possibility to an upcoming paper.

The rest of this paper is structured as follows. In section 2 we set the stage by very briefly reviewing the Effective Field Theory of Inflation (EFTI), showing how the trispectrum is related to the wavefunction of the Universe, and fixing a normalisation for the trispectrum. In section 3 we discuss a series of no-go theorems to produce a non-vanishing B_n^{PO} using either scalars of any mass at tree-level or fields of any spin with massless and conformally-coupled de Sitter mode functions. We then show how relaxing different assumptions leads to different predictions for a non-vanishing B_4^{PO} . In section 4 we relax the assumption of exact scale invariance and show how this can lead to non-vanishing B_4^{PO} thanks to either IR-divergences or time-dependent couplings. In section 5, we relax the condition of a Bunch-Davies vacuum and as a concrete example we study the Ghost Condensate, which features a non-linear dispersion relation. Then, in section 6 we allow for massive spinning fields and compute B_4^{PO} due to the exchange of a massive spin-1 field. We conclude in section 7.

While this paper was being finalised, another paper appeared that also considers the effects of massive particle exchange on parity-odd inflationary correlators [22].

Notations and conventions. The exchanged momenta and energy in a four-point exchange diagram are defined by

$$\mathbf{s} = \mathbf{k}_1 + \mathbf{k}_2, \quad \mathbf{t} = \mathbf{k}_1 + \mathbf{k}_3, \quad \mathbf{u} = \mathbf{k}_2 + \mathbf{k}_3, \tag{1.12}$$

$$s = |\mathbf{k}_1 + \mathbf{k}_2|, \quad t = |\mathbf{k}_1 + \mathbf{k}_3|, \quad u = |\mathbf{k}_2 + \mathbf{k}_3|. \tag{1.13}$$

These energies satisfy the non-linear relation

$$\sum_{a=1}^4 k_a^2 = s^2 + t^2 + u^2. \tag{1.14}$$

2 Generalities

In this section we summarize some results on the wavefunction approach to quantum field theory in curved spacetime and discuss the action of the Effective Field Theory of Inflation (EFTI) in the decoupling limit where we neglect the effects of dynamical gravity. Finally, we setup the normalisation of the trispectrum that we will use throughout this paper.

2.1 The Effective Field Theory of Inflation and the decoupling limit

In the EFTI [17] (see e.g. [23] for a review), operators are constructed from building blocks that are invariant under spatial diffeomorphisms. These can be constructed from g^{00} and the normal n_μ to the hypersurfaces of constant time. For example, one can consider the extrinsic curvature $K_{\mu\nu}$ of these hypersurfaces. In unitary gauge the action takes the form [17]

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{P}}^2}{2} R + M_{\text{P}}^2 \dot{H} g^{00} - M_{\text{P}}^2 (3H^2 + \dot{H}) + \frac{M_2^4}{2} (g^{00} + 1)^2 + \frac{M_3^4}{6} (g^{00} + 1)^3 + \dots \right. \\ \left. - \frac{\bar{M}_1^3}{2} (g^{00} + 1) \delta K - \frac{\bar{M}_2^2}{2} \delta K^2 + \dots + \text{higher-order operators} \right], \tag{2.1}$$

where $\delta K_{\mu\nu} = K_{\mu\nu} - H h_{\mu\nu}$, and $h_{\mu\nu} = g_{\mu\nu} + n_\mu n_\nu$. The first three terms correspond to the minimal action of slow-roll inflation, with Einstein gravity plus the terms required to make the background metric a consistent solution. All remaining terms in the action start at quadratic order or higher in perturbations, so tadpole cancellation is guaranteed to all orders. Here we are primarily interested in the decoupling limit of inflationary theories, which is well-suited to studying large non-Gaussianities. The ever-present fluctuations of the clock, in this limit, are better described by the scalar degree of freedom $\pi(t, \mathbf{x})$. In the decoupling limit this scalar mode decouples from metric fluctuations, and we can view it as the Goldstone boson of the spontaneously broken de Sitter boosts. Moreover, π inherits a shift symmetry which ensures an approximately scale invariant power spectrum of scalar fluctuations, as dictated by observations. On superhorizon scales, the relationship between π and the comoving curvature perturbation is a simple rescaling: $\zeta = -H\pi$. Throughout we will take the background metric to be exact de Sitter,

$$ds^2 = a^2(\eta)(-d\eta^2 + d\mathbf{x}^2), \quad a(\eta) = -\frac{1}{\eta H}, \tag{2.2}$$

where H is the approximately constant Hubble parameter during inflation. This allows us to capture the leading contributions to inflationary correlators [24]. One can obtain the

action for π via the Stückelberg trick, which in the decoupling limit and neglecting terms suppressed by $-\dot{H}/H^2$ reads [25]³

$$g^{00} + 1 = -2\dot{\pi} - \dot{\pi}^2 + \frac{(\partial_i \pi)^2}{a^2}, \tag{2.3}$$

$$n_\mu = \frac{\delta_\mu^0 + \partial_\mu \pi}{\sqrt{1 + 2\dot{\pi} + \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2}}}, \tag{2.4}$$

$$\delta K^i_j = -(1 - \dot{\pi}) \frac{\delta^{ik} \partial_k \partial_j \pi}{a^2} + \frac{H}{2} \frac{(\partial_k \pi)^2}{a^2} \delta_j^i - H \frac{\delta^{ik} \partial_k \pi \partial_j \pi}{a^2} + \frac{\delta^{ik} \partial_k \dot{\pi} \partial_j \pi}{a^2} + \frac{\delta^{ik} \partial_j \dot{\pi} \partial_k \pi}{a^2} + \mathcal{O}(\pi^3), \tag{2.5}$$

where δK^i_0 , δK^0_j and δK^0_0 can be obtained using the relations $\delta K^\mu_\nu n^\nu = 0$, $\delta K^\mu_\nu n_\mu = 0$ and $\delta K^\mu_\nu n_\mu n^\nu = 0$. For example, we have $\delta K^0_j (1 + \dot{\pi}) = -\delta K^i_j \partial_i \pi$. While the action for π coming from eqs. (2.1), (2.3), (2.4), and (2.5) is naturally written in cosmic time t , it is in conformal time η that scale invariance is manifest and is the time coordinate most suited to computing late-time cosmological correlators. We will switch between t and η in the rest of the work, while always denoting the Goldstone mode by π .

Two different regimes of the free theory of π will be of interest in this paper [17]:

- First, there is the case where the free theory is dictated by the tadpole $M_{\text{P}}^2 \dot{H} g^{00}$ and the operator M_2^4 . As is well known, M_2^4 leads to a linear dispersion relation $\omega = c_s k$ for π , with speed of sound $1/c_s^2 = 1 - M_2^4/(\dot{H} M_{\text{P}}^2)$. In the limit of small c_s one has large non-Gaussianities of the equilateral and orthogonal form of order $1/c_s^2$ [17, 26, 27] (see [28, 29] for recent constraints on these non-Gaussianities from BOSS data). Another case that falls under the same umbrella is the “de Sitter limit” $\dot{H} \rightarrow 0$, $c_s \rightarrow 0$ such that $-\dot{H} M_{\text{P}}^2 (1 - c_s^2)/c_s^2 = 2M_2^4$ stays fixed, and the free theory is dominated by the operators M_2^4 and \bar{M}_1^3 . This leads again to a linear dispersion relation with a speed of sound c_s of order $H/M \ll 1$ for $M_2 \sim M_1 \sim M \gg H$, and non-Gaussianities of order $(M/H)^2$. As far as the results of this work are concerned, this case can be grouped with the one with tadpole and M_2^4 dominating the quadratic action since for both $\omega \propto k$.
- Then, we will consider the de Sitter limit in which the free theory is determined by M_2^4 , and terms quadratic in the extrinsic curvature. This leads to a quadratic dispersion relation $\omega \propto k^2$ and non-Gaussianities that scale as $1/P_\zeta^{2/5}$. This case corresponds to the Ghost Inflation [21] limit of the EFTI.

Let us again emphasize that the crucial difference between these regimes of the EFTI is the dispersion relation of π . As we will see in sections 3 and 5, this will have very important implications for the existence of parity violation in the scalar trispectrum.

With regards to interactions, in this paper quartic self-interactions of the Goldstone will be important, as will cubic interactions that involve two Goldstone modes and one other

³We are working in the limit of exact scale invariance which means that any time dependence in the action comes from the background metric rather than time-dependent couplings. We will, however, allow for time-dependent couplings when we come to discuss the breaking of scale invariance in section 4.

field that will contribute to exchange diagrams. Such interactions can arise as the leading ones from some covariant operator in the EFTI, or as sub-leading terms required by the non-linearly realised symmetries. For example, quartic self-interactions can arise from operators with four building blocks, each which start at linear order in π , or from operators with two or three building blocks which can start at quadratic or cubic order in perturbations. Interestingly, in the decoupling limit this former choice cannot yield quadratic or cubic terms if the covariant operator is parity-odd as there are no such operators for scalars. This means that the quartic interactions are in some sense the leading ones, in this case. This does not mean that they are degenerate with the four building block operators, rather we expect that they can be distinguished by how they realise the leading order parts of the non-linear symmetries. Quartic vertices coming from four building block operators will be “invariants” in the context of non-linear realisations, whereas those coming lower building block operators will be “Wess-Zumino” terms. As far as we are aware no classification of such Wess-Zumino terms has been performed and it is certainly an interesting avenue for future work, but given that in this work we aim to provide some examples of parity-violation in the trispectrum we will concentrate on the former possibility.

2.2 The wavefunction, the density matrix and cosmological correlators

To compute cosmological correlators we will use both the wavefunction and the in-in formalism. Here we review the former and discuss the latter in section 3.3. The wavefunction⁴ can be defined formally in terms of a bulk path integral with specified boundary conditions

$$\Psi[\phi, \eta_0] = \langle \phi | \Psi_{\eta_0} \rangle = \int_{\Omega \text{ at } \eta \rightarrow -\infty}^{\phi(\eta_0)=\phi} \mathcal{D}\Phi e^{iS[\phi]}, \quad (2.6)$$

where $|\Psi_{\eta_0}\rangle$ is the quantum state of the system at some late conformal time $\eta_0 \rightarrow 0$, Ω represents the initial condition at $\eta \rightarrow -\infty$, $\langle \phi |$ a basis of (non-normalizable) field eigenstates with eigenvalue $\phi(\mathbf{k})$ and S is some action functional of the fields that determines the theory under consideration. We will parameterize Ψ in terms of wavefunction coefficients ψ_n , which contain all the dynamical information about bulk evolution and which can be written in the following way⁵

$$\Psi[\eta_0, \phi] = \exp \left[- \sum_{n=2}^{+\infty} \frac{1}{n!} \int_{\mathbf{k}_1 \dots \mathbf{k}_n} \psi_n(\{k\}, \{\mathbf{k}\}) (2\pi)^3 \delta_D^{(3)} \left(\sum_{a=1}^n \mathbf{k}_a \right) \phi(\mathbf{k}_1) \dots \phi(\mathbf{k}_n) \right]. \quad (2.7)$$

Here $\{k\}$ collectively denotes the energies⁶ $k_a = |\mathbf{k}_a|$ of the n external fields, $\{\mathbf{k}\}$ collectively denotes their spatial momenta, and $\phi(\mathbf{k})$ collectively represents all fields in the theory with

⁴As common in the phenomenological literature, here we are assuming the system is in a pure state. Given that we work with effective field theories with a limited validity in energy scale and that we study an expanding universe, one expects some (small) corrections from entanglement with high-energy modes, see e.g. [30]. It would be nice to have a systematic study of these corrections.

⁵The non-perturbative wavefunction can contain terms for which $\log \Psi$ is not an analytical functional of ϕ at $\phi = 0$. We omit this possibility in our parameterization because no such terms appear in perturbation theory.

⁶We refer to the magnitude of a spatial momentum vector as “energy” despite the absence of time translation symmetry in cosmology.

indices suppressed. Notice that this parameterization does not require any saddle-point approximation of the bulk path integral that defines Ψ . In fact, the wavefunction coefficient can be found non-perturbatively from

$$\begin{aligned} \psi_n(\{k\}, \{\mathbf{k}\})(2\pi)^3 \delta_D^{(3)}\left(\sum_{a=1}^n \mathbf{k}_a\right) &= -\frac{\delta^n \log \Psi[\eta_0, \phi] \Big|_{\phi=0}}{\delta\phi_{\mathbf{k}_1} \cdots \delta\phi_{\mathbf{k}_n}} \\ &= -(i)^n \frac{\langle 0_{\eta_0} | \Pi_{\mathbf{k}_1} \cdots \Pi_{\mathbf{k}_n} | \Psi_{\eta_0} \rangle}{\langle 0_{\eta_0} | \Psi_{\eta_0} \rangle}, \end{aligned} \quad (2.8)$$

where in the second line we provided a matrix element definition (see e.g. [31]) with $|0_{\eta_0}\rangle$ the eigenstate where all fields vanish at time η_0 and Π the momentum conjugate of ϕ . Upon renormalization, ψ_n can be computed to any desired order in perturbation theory including any number of loops. In this work we focus on the natural observables of the Poincaré patch of de Sitter and of inflationary cosmology, namely correlation functions of the equal-time product of fields at the future conformal boundary $\eta_0 \rightarrow 0$. Notice that the correlators of both massive fields and of derivatives of massless fields decay with some positive power of η . In the inflationary context, this corresponds to a suppression of these correlators by some positive powers of e^{-N} with $N \simeq \mathcal{O}(50)$ the number of e-foldings of inflation. Hence we focus on computing only correlators of the product of massless fields, namely

$$\left\langle \prod_a^n \phi(\mathbf{k}_a) \right\rangle_c = (2\pi)^3 \delta_D^{(3)}\left(\sum_{a=1}^n \mathbf{k}_a\right) B_n(\{\mathbf{k}\}), \quad (2.9)$$

$$B_n(\{\mathbf{k}\}) = \frac{\int D\phi \Psi[\phi] \Psi[\phi]^* \prod_a^n \phi(\mathbf{k}_a)}{\int D\phi \Psi[\phi] \Psi[\phi]^*}, \quad (2.10)$$

where “ c ” stands for connected, i.e. with a single overall momentum-conserving delta function, and $D\phi$ denotes a Euclidean three-dimensional path integral (in contrast with the $3+1$ Lorentzian path integral in (2.6)). All the dynamical information that we need is now contained in $|\Psi[\phi]|^2$, which we recognize to be the diagonal of the density matrix ρ

$$\hat{\rho} = |\Psi\rangle \langle \Psi| \quad \Rightarrow \quad \rho_{\phi\tilde{\phi}} = \langle \phi | \hat{\rho} | \tilde{\phi} \rangle, \quad (2.11)$$

$$|\Psi[\phi]|^2 = \Psi[\phi] \Psi[\phi]^* = \langle \phi | \Psi \rangle \langle \Psi | \phi \rangle = \rho_{\phi\phi}. \quad (2.12)$$

Analogously to what we did for the wavefunction, we can parameterize $\rho_{\phi\phi}$ as

$$\rho_{\phi\phi} = |\Psi|^2 = \exp \left[-\sum_{n=2}^{+\infty} \frac{1}{n!} \int_{\mathbf{k}_1 \dots \mathbf{k}_n} \rho_n(\{k\}, \{\mathbf{k}\})(2\pi)^3 \delta_D^{(3)}\left(\sum_{a=1}^n \mathbf{k}_a\right) \phi(\mathbf{k}_1) \cdots \phi(\mathbf{k}_n) \right], \quad (2.13)$$

where the density matrix coefficients are related to the wavefunction coefficients by

$$\rho_n(\{k\}, \{\mathbf{k}\}) = \psi_n(\{k\}, \{\mathbf{k}\}) + \psi_n^*(\{k\}, \{-\mathbf{k}\}). \quad (2.14)$$

In perturbation theory, correlators can be computed in terms of the ρ_n 's. For example, at tree level we have

$$B_2 \equiv P = \frac{1}{\rho_2}, \quad B_n^{\text{contact}} = -\frac{\rho_n}{\prod_{a=1}^n \rho_2(\mathbf{k}_a)}, \quad B_4 = -\frac{1}{\prod_{a=1}^4 \rho_2(\mathbf{k}_a)} \left[\rho_4 - \frac{\rho_3 \rho_3}{\rho_2} \right], \quad (2.15)$$

where P is the power spectrum. For the four-point function due to exchange processes, we refer to the contribution $\rho_3\rho_3$ as the “factorised contribution”. While these expressions assume a single scalar field, it is straightforward to generalize them to any number of fields of any spin. In section 6 we will present an explicit formula for B_4 due the exchange of spinning fields.

2.3 Normalization of the parity-odd trispectrum

Before proceeding, it is sensible to fix a normalisation for the trispectrum B_4^{PO} . Given that this object must be parity odd and invariant under any permutation of the four external momenta \mathbf{k}_a , it can always be written as

$$B_4^{\text{PO}}(\{\mathbf{k}\}) = (\mathbf{k}_1 \times \mathbf{k}_2 \cdot \mathbf{k}_3) F_{123} + 3 \text{ perms.} = (\mathbf{k}_1 \times \mathbf{k}_2 \cdot \mathbf{k}_3) [F_{123} - F_{234} + F_{134} - F_{124}] , \tag{2.16}$$

where F_{abc} is an alternating function of three momenta

$$F_{abc} = F(\mathbf{k}_a, \mathbf{k}_b, \mathbf{k}_c) = \text{sign}(\sigma) F(\mathbf{k}_{\sigma(a)}, \mathbf{k}_{\sigma(b)}, \mathbf{k}_{\sigma(c)}) , \tag{2.17}$$

for any permutation σ with permutation-parity $\text{sign}(\sigma) = \pm 1$, and we have used momentum conservation to write the parity-odd part of the correlator as $(\mathbf{k}_1 \times \mathbf{k}_2) \cdot \mathbf{k}_3$, without loss of generality.

To discuss the phenomenology of a given non-Gaussianity it is always useful to have a reference point in kinematic space where to specify the overall size of the signal. A highly symmetric choice of such a reference kinematic point is convenient for explicit calculations. For the bispectrum, the reference point is often taken to be the equilateral configuration where $k_1 = k_2 = k_3$. For the trispectrum we could also look for a very symmetric configuration. Let us first discuss how to visualize a given trispectrum configuration. We can draw a tetrahedron with four of the edges taken to be the \mathbf{k}_a . Momentum conservation, $\sum_{a=1}^4 \mathbf{k}_a = \mathbf{0}$, is then manifest if the \mathbf{k}_a are connected to each other cyclically. The two remaining two edges of the irregular tetrahedron are then two of the Maldelstam-like variables, depending on the order in which \mathbf{k}_a are chosen. In figure 1 we choose the ordering so that these edges are \mathbf{s} and \mathbf{u} . We could then choose all k_a to be equal, and specify the values of the diagonals s and u (eq. (1.14) relating the value of t to them). One such option is a regular tetrahedron, corresponding to the values

$$k_1 = k_2 = k_3 = k_4 = s = u = \frac{t}{\sqrt{2}} \quad (\text{tetrahedron}) . \tag{2.18}$$

However, *parity-odd* trispectra vanish in this configuration, so we instead look for a less symmetric one. We could not find a particularly convenient or simple choice of irregular tetrahedron that displays chirality [32], so we settled for (see (1.12) for the definitions of s , t and u)

$$k_1 = \frac{k_2}{2} = \frac{k_3}{3} = \frac{k_4}{\sqrt{14 - \sqrt{3}}} = \frac{s}{\sqrt{5 + 2\sqrt{3}}} = \frac{t}{\sqrt{7}} = \frac{u}{\sqrt{16 - 3\sqrt{3}}} , \tag{2.19}$$

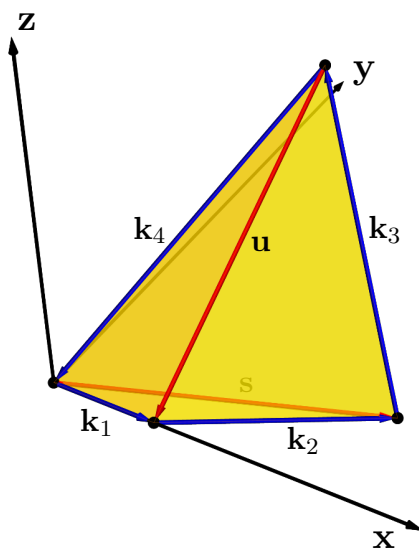


Figure 1. Tetrahedron configuration (2.20) for the normalization condition and definition of $\tau_{\text{NL}}^{\text{PO}}$ (2.22).

which guarantees that even a parity-odd trispectrum that depends on the k_a and only one of s , t or u does not vanish. We can choose the wavenumbers in this configuration to take the values

$$\bar{\mathbf{k}}_1 = \bar{k}(1, 0, 0), \quad \bar{\mathbf{k}}_2 = \bar{k}(\sqrt{3}, 1, 0), \quad (2.20)$$

$$\bar{\mathbf{k}}_3 = \bar{k}\left(-\frac{3}{2}, \frac{3}{2}, \frac{3}{\sqrt{2}}\right), \quad \bar{\mathbf{k}}_4 = -\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{k}_3. \quad (2.21)$$

Here \bar{k} is an arbitrary reference momentum, whose value is largely irrelevant if we assume scale invariance. As discussed above, we visualize this configuration by taking a tetrahedron whose edges are the four \mathbf{k}_a . Choosing one end of \mathbf{k}_1 as the origin we find figure 1. Our definition for the overall normalization of the parity-odd trispectrum is then the following: given a trispectrum model, we isolate its imaginary part, and define

$$\tau_{\text{NL}}^{\text{PO}}(\bar{k}) \equiv \frac{\text{Im}B_4^\zeta(\bar{\mathbf{k}}_1, \bar{\mathbf{k}}_2, \bar{\mathbf{k}}_3, \bar{\mathbf{k}}_4)}{[P_\zeta(\bar{k}_1)P_\zeta(\bar{k}_2)P_\zeta(\bar{k}_3)P_\zeta(\bar{k}_4)]^{\frac{3}{4}}}. \quad (2.22)$$

3 No-go theorems

In this section we will present various properties of wavefunction coefficients that together enable us to derive no-go theorems that forbid parity violation in the scalar trispectrum (and other n -point functions). We will first show that having exact de Sitter symmetries forbids parity violation in the four-point function. Then we assume the Bunch-Davies vacuum and unitarity in the form of the Cosmological Optical Theorem (COT) [13], and

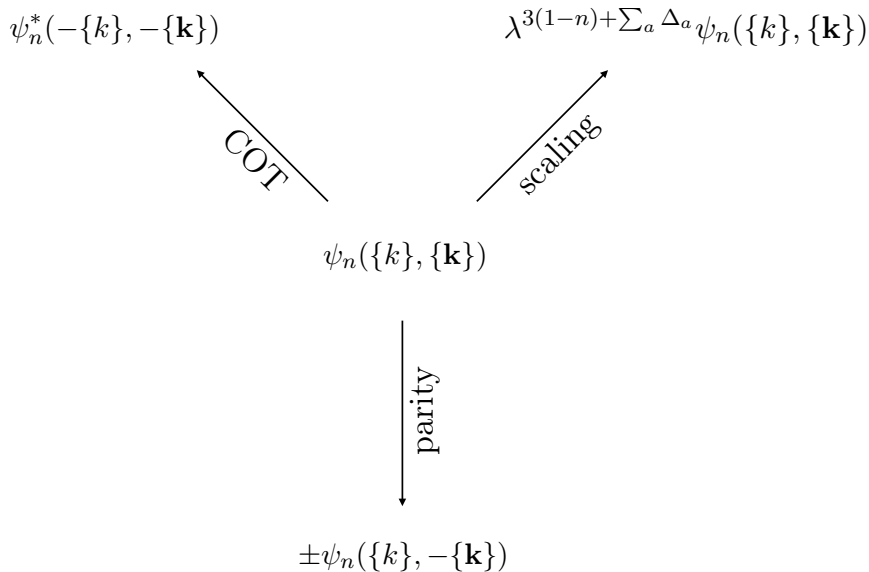


Figure 2. The flux capacitor in the figure summarises the three main relations that we use to prove a series of no-go theorems for parity odd correlators.

exact scale invariance to show that parity violation is also absent in the scalar trispectrum in a theory where all fields have massless/conformally-coupled mode functions and with boost-breaking interactions. We will work with the wavefunction in section 3.2, and directly with in-in correlators in section 3.3.

Many of our no-go results had already been derived by Liu, Tong, Wang and Xianyu in [1]. New results that we present here, and that were not explicitly discussed there, include: the connection to unitarity via the cosmological optical theorem; the no-go result from full conformal invariance at the boundary (section 3.1); a discussion of the non-vanishing contribution to parity-odd correlators from IR-divergent interactions (see (4.3)); and the extension to fields of any spin and conformally-coupled mode functions.

3.1 Conformally invariant parity-odd correlators

The isometry group $SO(4, 2)$ of de Sitter spacetime coincides with the Euclidean conformal group in 3 spatial dimensions, identified with the spacelike future conformal boundary. These isometries must be broken in all cosmological models because de Sitter is maximally symmetric and as such cannot have any non-trivial history. However, it can happen that in some models the breaking is appropriately small and can be neglected in the first approximation. This is for example the case for slow-roll inflation with a canonical kinetic term or for more general inflationary models where some sector of the theory does not couple significantly to the boost-breaking inflaton background (e.g. the graviton sector in $P(X, \phi)$ models). Then one can use (approximate) conformal symmetry to derive general results that

do not rely necessarily on perturbation theory. For example, in [33] it was shown that there are only three de Sitter invariant cubic wavefunction coefficients for massless gravitons, and only two of these give a non-vanishing graviton bispectrum [19]. This was generalized to mixed bispectra in [34] and to scalar bispectra in [35]. These results resonate with the expectation that conformal symmetry fixes three-point functions [36]. Conversely, we expect an infinite class of conformally invariant four-point functions, loosely corresponding to arbitrary functions of the conformal cross ratios. Hence, to make progress one needs to rely on perturbation theory to organize the infinitely many solutions of conformal Ward identities, as pioneered in [15] and later studied in [16, 37]. Here, we recount non-perturbative arguments that parity-odd conformal-invariant scalar four-point functions must vanish. For the first argument,⁷ recall that conformal transformations can bring any four points x_a to the same plane (conventionally one sets three points on a line and the last point determines the plane, $x_1 = 0$, $x_3 = 1$, $x_4 = \infty$ and x_2 arbitrary). A non-vanishing parity-odd scalar correlator requires contracting the three indices of the Levi-Civita symbol ϵ_{ijk} with three linearly independent vectors. However, since all points are on one plane only two of them can correspond to independent vectors and hence the parity-odd contribution must vanish. A second argument relies on embedding space.⁸ For a three-dimensional correlator we go to five-dimensional embedding space. There the Levi-Civita symbol has five indices but we have only four independent points to contract them with, and once again the parity-odd contribution must vanish.

A non-vanishing parity-odd trispectrum of scalars must therefore arise from the breaking of de Sitter symmetries, and this motivates us to study more general situations in which de Sitter boosts are broken and time derivatives are unrelated to space derivatives. This is our focus for the rest of this paper. To develop a more general set of no-go theorems, in the following three subsections we derive some useful properties of wavefunction coefficients that hold under some mild assumptions.

3.2 Derivation using the boostless cosmological bootstrap

In this section we derive a series of no-go results for the parity-odd scalar trispectrum using techniques from the boostless cosmological bootstrap. Later, in section 3.3, we will generalize these results using explicit expressions of the in-in formalism. The main idea of the derivation, summarized in figure 2, is to use a parity transformation and the cosmological optical theorem to show that the combination of wavefunction coefficients appearing in the correlator (namely the diagonal density matrix) vanishes under certain assumptions. In the following we first discuss the three ingredients of the derivation in turn and then combine them together.

Parity transformations. Here we derive some general results involving parity, a.k.a. point inversion, namely the simultaneous flipping of the sign of all spatial coordinates and Fourier momenta. In a generic parity-violating theory, quantities do not transform in a simple way under parity, but they can always be decomposed into a parity-even (PE) and

⁷This argument was explained to us by M. Mirbabayi.

⁸This argument was explained to us by S. Jain.

a parity-odd (PO) component as we touched on in the introduction:

$$\psi_n^{\text{PE}}(\{k\}, \{\mathbf{k}\}) \equiv \frac{1}{2} [\psi_n(\{k\}, \{\mathbf{k}\}) + \psi_n(\{k\}, \{-\mathbf{k}\})], \quad (3.1)$$

$$\psi_n^{\text{PO}}(\{k\}, \{\mathbf{k}\}) \equiv \frac{1}{2} [\psi_n(\{k\}, \{\mathbf{k}\}) - \psi_n(\{k\}, \{-\mathbf{k}\})], \quad (3.2)$$

and similarly for correlators B_n . As we explained in section 2, correlators are related to wavefunction coefficients via the density matrix coefficients ρ_n for which we have

$$\begin{aligned} \rho_n^{\text{PO}}(\{k\}, \{\mathbf{k}\}) &= \frac{1}{2} [\rho_n(\{k\}, \{\mathbf{k}\}) - \rho_n(\{k\}, \{-\mathbf{k}\})] \\ &= \frac{1}{2} [\psi_n(\{k\}, \{\mathbf{k}\}) + \psi_n^*(\{k\}, \{-\mathbf{k}\}) - \psi_n(\{k\}, \{-\mathbf{k}\}) - \psi_n^*(\{k\}, \{\mathbf{k}\})], \end{aligned} \quad (3.3)$$

and so ρ_n^{PO} must be purely imaginary if we are to find a non-vanishing parity-odd correlator. Similarly, one can easily see that ρ_n^{PE} must be real:

$$\rho_n^{\text{PO}} \in i \times \mathbb{R}, \quad \rho_n^{\text{PE}} \in \mathbb{R}. \quad (3.4)$$

Our no-go theorems will be based on asking when ψ_n , and therefore ρ_n^{PO} , can be imaginary.

Furthermore, as observed in the introduction, the power spectrum and bispectrum of scalars cannot violate parity because we don't have three independent spatial momenta to contract the three indices of ϵ_{ijk} . This argument is non-perturbative. A similar argument also tells us that “off-shell” cubic vertices cannot break parity, irrespective of what the vertex is connected to in a perturbative diagram. This already tells us that the tree-level contribution to a four-point function from the exchange of a scalar of any mass cannot break parity.

Hermitian analyticity: a heritage from the Bunch-Davies vacuum. Here we derive a second important result that will enable us to relate wavefunction coefficients to their complex conjugate, which will prove useful when we come to analyse the properties of ρ_n . As emphasised in ref. [13], the bulk-to-boundary propagators $K_k(\eta)$ and bulk-to-bulk propagators $G_p(\eta_1, \eta_2)$ appearing in the perturbative calculation of wavefunction coefficients enjoy the simple property⁹

$$K_{-k}(\eta)^* = K_k(\eta), \quad G_{-p}(\eta_1, \eta_2)^* = G_p(\eta_1, \eta_2). \quad (3.5)$$

Here we are using k to denote an external energy, and p to denote an internal one. This *Hermitian analyticity* is easy to see by eye in the standard de Sitter massless mode functions, but actually holds very generally, namely for fields of any mass, any spin and in any FLRW spacetime, as long as one chooses the Bunch-Davies vacuum, which physically corresponds to the Minkowski vacuum at short distances [13, 38]. Furthermore, interaction vertices with real coupling constants are also Hermitian analytic. In particular, $\partial_{\mathbf{x}}$

⁹The prescription is that all real values of energies such as k and $-k$ should be approached from the lower-half complex plane, so for complex energies this property becomes $K_{-k^*}(\eta)^* = K_k(\eta)$.

Fourier transforms to $i\mathbf{k}$, which satisfies the analog of (3.5). Time derivatives preserve the Hermitian analyticity relations in (3.5) because they are linear and real operations on the propagators. In a less precise but more evocative way, we can say that *perturbative unitary evolution preserves the analytic structure of the initial state*.¹⁰

The Hermitian analytic properties above were used in a series of papers to derive several infinite sets of identities that go under the collective name of the Cosmological Optical Theorem [13] (see also [39, 40]), e.g. cosmological cutting rules at loop level [31] and single-cut rules at tree-level [38]. For contact diagrams at tree-level one finds

$$\psi_n(\{k\}, \{\mathbf{k}\}) + \psi_n^*(-\{k\}, -\{\mathbf{k}\}) = 0. \tag{3.6}$$

An s -channel four-point exchange diagram due to two cubic vertices satisfies

$$\begin{aligned} &\psi_4(\{k\}, s, \{\mathbf{k}\}) + \psi_4^*(-\{k\}, s, -\{\mathbf{k}\}) = \\ &P_s[\psi_{3,L}(k_1, k_2, s, \{\mathbf{k}\}) + \psi_{3,L}^*(-k_1, -k_2, s, -\{\mathbf{k}\})] \\ &[\psi_{3,R}(k_3, k_4, s, \{\mathbf{k}\}) + \psi_{3,R}^*(-k_3, -k_4, s, -\{\mathbf{k}\})], \end{aligned} \tag{3.7}$$

where here we have denoted the internal energy as s , and collectively denoted external energies and spatial momenta as $\{k\}$ and $\{\mathbf{k}\}$, respectively. P_s is the power spectrum of the exchanged field. There are generalisations of this COT for exchange diagrams that apply to higher-point wavefunction coefficients, and we refer the reader to [13, 38] for further details. The t and u channel expressions are simple generalisations of (3.7). We note that in all of these expressions, any tensor structures that depend on spatial momenta and polarisations factorise since spatial momenta always come with a factor of i while polarisation vectors, and higher-spin generalisations, satisfy $e_i^h(\mathbf{k}) = [e_i^h(-\mathbf{k})]^*$. It follows that these COT expressions constrain only the part of the wavefunction coefficients that arise due to time evolution, as expected.

In summary, Hermitian analyticity, in the form of the COT, provides us with a way to relate ψ_n to ψ_n^* , or equivalently Ψ and Ψ^* . More intuitively, this gives us a relationship between the bra and the ket, which are both needed to compute correlators. The price to pay for removing the complex conjugate of ψ_n from ρ_n is that we have to analytically continue energies to unphysical negative values. As we will now discuss, we can go back to physical positive energies using momentum scaling as long as scale invariance is an exact symmetry of the boundary/late-time correlators.

Scaling symmetry. For our no-go results we will assume exact scale invariance. This is an interesting limit because scale invariance is an approximate symmetry of the observed primordial power spectrum of curvature perturbations with violations at the percent level. Deviations from scale invariance are discussed in section 4.

¹⁰Here, we are equating unitary time evolution to the reality of coupling constants in the Hamiltonian. In principle, one can have imaginary couplings in a Hermitian Hamiltonian in the presence of non-Hermitian operators. One trivial example are interactions like $H_{\text{int}} \supset i\lambda\phi^n(\Pi\phi - \phi\Pi)$. However these can always be re-written in terms of Hermitian operators and real couplings. It would be interesting to investigate whether there are relevant cases where imaginary couplings cannot be removed.

Since we want to model primordial perturbations, typically denoted by the (perturbatively) gauge invariant variable \mathcal{R} or ζ , we are interested in massless scalars. For IR-finite wavefunction coefficients this implies that wavefunction coefficients obey the following scaling relation

$$\psi_n(\{\lambda k\}, \{\lambda \mathbf{k}\}) = \lambda^3 \psi_n(\{k\}, \{\mathbf{k}\}), \tag{3.8}$$

where the λ^3 factor cancels the scaling of the Dirac delta function to ensure that the wavefunction is invariant. Since each ψ_n comes with a single delta function in the wavefunction, this scaling holds for all n . This scaling relation holds when all external fields have massless de Sitter mode functions, and implies that n -point correlators scale as $B_n(\{\lambda k\}, \{\lambda \mathbf{k}\}) = \lambda^{3-3n} B_n(\{k\}, \{\mathbf{k}\})$ where the factor of λ^{-3n} comes from the n power spectra. All fields can be different and each can have any spin.¹¹ If some of the external mode functions are not the massless de Sitter ones, then the overall scaling changes to

$$\psi_n(\{\lambda k\}, \{\lambda \mathbf{k}\}) = \lambda^{3(1-n) + \sum_a \Delta_a} \psi_n(\{k\}, \{\mathbf{k}\}), \tag{3.9}$$

where $\Delta = 3/2 + (9/4 - m^2/H^2)^{1/2}$, so that $\Delta = 3$ for $m = 0$ and $\Delta = 2$ for $m^2 = 2H^2$ (conformally-coupled). For a combination of fields with massless and conformally-coupled mode functions, one finds that the scaling is an integer. This overall integer scaling will allow us to eliminate negative energies and momenta in favour of positive ones. As we will explain in section 4, these scaling symmetries are not exact when there are IR-divergences, since we need to cut-off the time integrals at some scale η_0 , or when the coupling constants in the action of perturbations have a non-trivial time-dependence.

We now have all the ingredients to derive our tree-level no-go theorems. We will consider contact diagrams and exchange diagrams separately

Contact diagrams in the wavefunction. There are two types of contact diagrams that can play a role in the scalar trispectrum: a quartic diagram that contributes to B_4^{PO} via ρ_4 , and cubic contact diagrams that contribute to the factorised part via $\rho_3\rho_3$, cf. eq. (2.15). The former must be a diagram with four external massless scalars, while the latter must involve two massless scalars and one other field which is the “exchanged” field. We will derive a no-go theorem for this factorised part when the exchanged field has massless or conformally-coupled mode functions. Later, in section 6, we will present explicit computations for the exchange of fields of generic mass.

First consider the quartic contact diagram where we combine the contact Cosmological Optical Theorem (3.6) plus exact scale invariance (3.8) to conclude that ψ_4 is *purely real*. It then directly follows that ρ_4 is also purely real and therefore there is no parity-odd contribution to the scalar trispectrum since this requires an imaginary ρ_4 , as we explained in section 3.2. This observation results in our first no-go theorem:

Scale invariant, IR-finite, parity-odd n -point correlators B_n^{PO} from contact interactions of massless scalars with a Bunch-Davies vacuum vanish.

¹¹When de Sitter isometries are fully intact, the scaling dimension and the associated mode functions are fixed by the mass and spin. However, this is not the case once boosts are broken spontaneously and in the low-energy effective theory fields of any spin can have massless de Sitter mode functions, as nicely discussed in [41].

This result was first derived in [1]. Our presentation emphasises the role of unitarity and the assumption of IR-finiteness, among other things. Notice that this result actually holds for all n -point contact diagrams since the contact COT and integer scaling symmetry apply generally. It also holds for gravitons, and was used in [3] to derive the set of highly constrained parity-odd graviton bispectra.

Now consider the cubic wavefunction coefficients that can contribute to the factorised part of an exchange trispectrum via the $\rho_3\rho_3$ contribution in (2.15).¹² If we only have scalars, all parity-odd cubic interactions must vanish “off-shell” by momentum conservation. We are left with interactions with spinning fields, which we are assuming here have either massless or conformally-coupled mode functions. We need one of the cubic vertices to be parity-odd and the other to be parity-even which means that one of the ρ_3 needs to be imaginary while the other needs to be real. However, if we combine the contact COT (3.6) with the fact that the cubic wavefunction coefficients have a fixed, integer scaling with the external momenta, it is simple to see that regardless of parity, the ρ_3 are always real or always imaginary. We therefore arrive at another no-go theorem:

The factorised contribution to the exchange trispectrum cannot be parity-odd under the assumptions that the constituent cubic wavefunction coefficients are IR-finite and involve fields with massless or conformally-coupled mode functions with Bunch-Davies vacuum conditions.

Exchange diagrams in the wavefunction. Exchange contributions to the quartic wavefunction coefficient are slightly more complicated to analyse compared to their contact counterparts since the COT for exchange diagrams (3.7) does not have a vanishing left-hand side, rather it relates the discontinuity of a quartic wavefunction coefficient to the product of discontinuities of cubic ones. Nevertheless, we can still derive a no-go theorem that states that these diagrams always vanish under the assumptions we have made throughout this discussion.

The cubic wavefunction coefficients that appear on the right-hand side of eq. (3.7) must satisfy the contact COT. Since we are assuming that they correspond to fields with massless or conformally-coupled mode functions, and that they are IR-finite, they have a fixed integer scaling with momentum which ensures that they are either purely real or purely imaginary, regardless of how they transform under parity. It follows that the product of their discontinuities, and the left-hand side of (3.7), is always real. This by itself does not automatically imply that ψ_4 is real since an imaginary contribution could in principle cancel on the left-hand side of the COT, but let us now argue that this cannot be the case. We first recall that quartic wavefunction coefficients have a restricted set of singularities, see e.g. [42]: the wavefunction can be singular as the total-energy goes to zero which is a property of almost all cosmological wavefunctions regardless of the diagram they come

¹²Here we allow for spinning fields on external lines and therefore the wavefunction coefficients will depend on polarisation vectors. In this case we do not simply pick up a \pm when we flip the sign of all momenta since polarisation vectors do not have such a property. In this case, we simply need to first strip off all polarisation vectors and access the reality of what is left over. This is the correct thing to do since in ρ_n the polarisation vectors factorise so it is the reality of the remainder that is important.

from,¹³ but an s -channel exchange diagram can also yield “partial-energy” singularities where the partial-energies are a sum of energies that enter a sub-diagram. In this case the partial-energies are $E_L = k_1 + k_2 + s$ and $E_R = k_3 + k_4 + s$. If the constituent cubic wavefunctions are IR-finite, then the corresponding quartic wavefunction coefficient is rational so only poles can occur as we approach one of these singular kinematic points. Now as explained in [14], the exchange COT (3.7) fixes *all* residues of partial-energy poles since the second term on the left-hand side does not have partial-energy poles so there is no way they could be cancelled. Given that the left-hand side of the COT is fixed to be real, all of these partial-energy poles are then also real. The remaining structure of the quartic wavefunction coefficient, namely, total-energy poles and regular terms, can be fixed by the Manifestly Local Test (MLT). The MLT states that wavefunction coefficients of massless scalars (and gravitons) satisfy the following simple relation [14]

$$\frac{\partial}{\partial k_c} \psi_4 \Big|_{k_c=0} = 0 \quad \forall c = 1, 2, 3, 4, \tag{3.10}$$

where we hold all other energies fixed. The MLT holds when interactions are manifestly local, i.e. they do not contain inverse Laplacians. This is certainly the case for the self-interactions of π in the decoupling limit of the EFTI. Since this is a real constraint on the energy dependence of the quartic wavefunction coefficient, all remaining parts of ψ_4 will also be real. A real ψ_4 leads to a real ρ_4 which cannot contribute to the parity-odd trispectrum. We therefore arrive at another no-go theorem:

Exchange contributions to the quartic wavefunction coefficient of massless scalars with Bunch-Davies vacuum conditions cannot contribute to the parity-odd trispectrum if the exchanged field has massless or conformally-coupled mode functions, Bunch-Davies vacuum conditions and if the constituent cubic wavefunction coefficients are IR-finite.

In the following subsection we derive these no-go theorems using the in-in formalism and provide some generalisations that follow from the same assumptions we have made here. In the rest of the paper, we will discuss how non-vanishing parity-odd trispectra can arise if one or more of these assumptions are violated.

3.3 Derivation using the in-in formalism

In this section we extend the no-go theorems for the parity-odd trispectrum to more general n -point correlators by directly using the in-in perturbative expressions for tree-level correlators, without any reference to the wavefunction or the COT. First, we briefly review the Feynman rules to compute in-in diagrams and then show that by performing an appropriate Wick rotation of all time integrals, tree-level parity odd correlators manifestly vanish. Similar results were first derived in [1] using this formalism. The parity-odd trispectrum from loop corrections will be discussed in a separate paper.

¹³Interestingly, on the leading total-energy pole we recover the flat-space scattering amplitude for the same process [13, 33, 43].

Feynman rules. The Feynman rules for the correlators are nicely spelled out in [44] (see also [45]):

- Draw a diagram and indicate all possible vertices with an r if the corresponding interactions H_{int} is to the right of the operator, as in $\langle \mathcal{O}(\mathbf{k})H_{\text{int}} \rangle$, or with an l if the Hamiltonian is to the left of the operator, as in $\langle H_{\text{int}}\mathcal{O}(\mathbf{k}) \rangle$.
- Every vertex gets a vertex factor that depends on the theory. Every spatial derivative is $\partial_{\mathbf{x}} \rightarrow (-i\mathbf{k})$ because it would be a $+i\mathbf{p}$ in the Fourier-space Hamiltonian, which then gets integrated over $\delta^{(3)}(\mathbf{k} + \mathbf{p})$. We will find it convenient to use the *amplitude convention*¹⁴ to get the crucial factors of i right: no i overall and if the coupling in the Hamiltonian is $H_{\text{int}} \sim +\lambda$ then put a $-i\lambda$ on a right vertex a $+i\lambda$ on a left vertex.
- Now there are four possible bulk-to-bulk (B2B) propagators:

$$G_{rr}(\eta_1, \eta_2, p) = f_p(\eta_1)f_p^*(\eta_2)\theta(\eta_1 - \eta_2) + f_p^*(\eta_1)f_p(\eta_2)\theta(\eta_2 - \eta_1), \quad (3.11)$$

$$G_{lr}(\eta_1, \eta_2, p) = f_p(\eta_1)f_p^*(\eta_2) = G_{rl}^*(\eta_1, \eta_2, p), \quad (3.12)$$

$$G_{ll}(\eta_1, \eta_2, p) = G_{rr}^*(\eta_1, \eta_2, p), \quad (3.13)$$

where f are the positive-frequency mode functions, for example

$$f_k(\eta) = \eta \frac{H}{\sqrt{2k}} e^{-ik\eta} \quad (\text{conformally coupled}), \quad (3.14)$$

$$f_k(\eta) = \frac{H}{\sqrt{2k^3}} (1 + ik\eta) e^{-ik\eta} \quad (\text{massless, dS}). \quad (3.15)$$

- There are two bulk-to-boundary (B2b) propagators, G_r from H_{int} 's to the right of \mathcal{O} and G_l from H_{int} 's to the left of \mathcal{O} :

$$G_r(\eta, p) = f_p(\eta_0)f_p^*(\eta), \quad G_l(\eta, p) = f_p^*(\eta_0)f_p(\eta). \quad (3.16)$$

Finally we discuss a useful property that relates diagrams related by switching all right and left vertices, $r \leftrightarrow l$. Let D be a Feynman diagram with V vertices and σ_a with $a = 1, \dots, 2^V$ all possible ordered lists of how to label the V vertices right or left. Let $\bar{\sigma}_a$ represent the ordered list σ_a where all vertices have been flipped $r \leftrightarrow l$. Then

$$D[\sigma] = D[\bar{\sigma}]^*(-)^{n_i}, \quad (3.17)$$

where n_i is the total number of spatial derivatives in all the vertices. From this we deduce

$$\begin{aligned} B_n &= \sum_a D[\sigma_a] = \frac{1}{2} \sum_a [D[\sigma_a] + D[\bar{\sigma}_a]] \\ &= \frac{1}{2} \sum_a [D[\sigma_a] + D[\bar{\sigma}_a]^*(-)^{n_i}] \\ &= \frac{1}{2} \sum_a [D[\sigma_a] + D[\sigma_a]^*(-)^{n_i}]. \end{aligned} \quad (3.18)$$

¹⁴The alternative convention, used e.g. in [13, 31, 38], is to put an overall i^{1-L} , no i 's on the vertices and an extra i on the propagators.

This is particularly useful when we discuss parity-even and parity-odd contributions:

$$B^{\text{PE}} = 2 \text{Re Diagram}(\text{color order } n_r \geq n_l), \quad (3.19)$$

$$B^{\text{PO}} = 2i \text{Im Diagram}(\text{color order } n_r \geq n_l). \quad (3.20)$$

Notice that, as anticipated in eq. (1.5), we find that parity-odd correlators in Fourier space are purely imaginary, as they should be.

Massless de Sitter mode functions. We are now in the position to prove the following no-go theorem: any tree-level parity-odd n -point correlation functions involving only massless de Sitter mode functions, (3.15), vanishes if all time integrals are IR-convergent (in Fourier space). Here is the derivation. Consider a generic manifestly-local interaction Hamiltonian

$$H_{\text{int}} = \int_{\mathbf{p}_1, \dots} \delta_{\text{D}}^{(3)} \left(\sum_a \mathbf{p}_a \right) \prod_b^n \left[(-ip_b \eta)^{n_i^{(b)}} (\eta \partial_\eta)^{n_\eta^{(b)}} \phi_b(\mathbf{p}_b) \right], \quad (3.21)$$

where $n_i^{(b)}$ and $n_\eta^{(b)}$ count the number of spatial and time derivatives in the vertex and ϕ_b 's are fields of any spin with a *massless de Sitter mode function*. The crucial point to notice is that in H_{int} every i comes with an η and viceversa (notice that $\eta \partial_\eta = (i\eta) \partial_{i\eta}$). In other words, H_{int} is a real function of the variable $i\eta$. Since the detailed values of $n_i^{(b)}$ and $n_\eta^{(b)}$ will be irrelevant, it is convenient to simplify our notation and rewrite this as

$$H_{\text{int}} = \int_{\mathbf{p}_1, \dots} \delta_{\text{D}}^{(3)} \left(\sum_a \mathbf{p}_a \right) F(i\mathbf{k}\eta) \prod_b^n \phi_b(\mathbf{p}_b), \quad (3.22)$$

where now $F(i\mathbf{k}\eta)$ is a vertex factor that collects all spatial derivatives and ϕ_b are fields of any spin with massless de Sitter mode functions *or time derivatives thereof*. The contribution to any tree-level parity-odd correlator with V interactions takes the following form

$$B_n = 2i \text{Im} \left[\prod_{A=1}^V \int_{-\infty}^0 \frac{\pm i d\eta_A}{(H\eta_A)^4} F_A(i\mathbf{k}\eta_A) \right] \left[\prod_{a=1}^n G_X(ik_a \eta_B) \right] \left[\prod_{m=1}^I G_{XX}(\eta_C, \eta_D, p_m) \right], \quad (3.23)$$

where X in each propagator can be r or l . Each of the I bulk-to-bulk propagators contains the two possible time orderings of the vertices it connects. If I_{rr} and I_{ll} are the numbers of G_{rr} and G_{ll} propagators, this gives at most $2^{I_{rr}+I_{ll}}$ terms, each corresponding to a different ordering of the right times η_A^r and the left times η_B^l . Notice that for certain choices of right and left labelling of the vertices, there might right or left times that are not ordered with respect to each other. This is not an issue because any diagram where, say, two right vertices are not ordered with respect to each other can always be written as the sum of two diagrams that are each ordered. In this way, up to a relabelling of time integration variables, any one of the many possible left and right time orderings takes the form

$$B_n = \pm 2i \text{Im} \int_{-\infty(1-i\epsilon)}^0 id\eta_1^r \int_{\eta_1^r}^0 id\eta_2^r \cdots \int_{\eta_{V_r-1}^r}^0 id\eta_{V_r}^r \\ \times \int_{-\infty(1+i\epsilon)}^0 id\eta_1^l \int_{\eta_1^l}^0 id\eta_2^l \cdots \int_{\eta_{V_l-1}^l}^0 id\eta_{V_l}^l P(i\eta_A, k, \mathbf{k}), \quad (3.24)$$

where P is a real-analytic function of $i\eta_A$ and the momenta (a polynomial times an exponential). To show that the argument of Im is real, we change all but two of the integration variables to $\eta_A^{r,l} = \eta_1^{r,l} \tilde{\eta}_A^{r,l}$ for $A = 2, 3, \dots, V_{r,l}$ and we rename $\eta_1^{r,l} = \eta^{r,l}$. This gives

$$\begin{aligned}
 B_n = \pm 2i \text{Im} & \int_{-\infty(1-i\epsilon)}^0 i d\eta^r \int_1^0 i \eta^r d\tilde{\eta}_2^r \cdots \int_{\tilde{\eta}_{V_r-1}^r}^0 i \eta^r d\tilde{\eta}_{V_r}^r \\
 & \times \int_{-\infty(1+i\epsilon)}^0 i d\eta^l \int_1^0 i \eta^l d\tilde{\eta}_2^l \cdots \int_{\tilde{\eta}_{V_r-1}^l}^0 i \eta^l d\tilde{\eta}_{V_l}^l P(i\eta^{r,l}, \tilde{\eta}_A^{r,l}, k, \mathbf{k}).
 \end{aligned}
 \tag{3.25}$$

Let's discuss the converge of these integrals. No divergences can come from $\tilde{\eta}_A^{r,l} = 1$. Convergence at $\eta^{r,l} = -\infty$ is guaranteed by the $i\epsilon$ prescription. Convergence at $\eta^{r,l} = 0$ depends on the interaction. For $n_i + 2n_\eta \geq 4$ there are no $\eta \rightarrow 0$ divergences. We assume here that this is the case and discuss later what happens in the presence of an $\eta \rightarrow 0$ IR divergence. Since the integrands of the $d\eta^{r,l}$ integrals are analytic in the upper- and lower-half complex plane, respectively, we can rotate the integration contour.¹⁵ For IR-convergent interactions both integrals converge at $\eta \rightarrow 0$ by assumptions, so we only have the three contributions depicted on the left-hand side of figure 3. The arc at infinity (contribution D) vanishes and so our integral on the negative real line equates the integral on the positive or negative imaginary axis for right and left times, respectively. Hence, for the right vertices we can rotate by 90° clockwise for η^r from $-\infty < \eta^r < 0$ to $0 < \lambda^r < +\infty$ so that $\eta^r = i\lambda^r$. For the left contour we can rotate 90° counterclockwise to $\eta^l = i\lambda^l$ with $0 < \lambda^l < -\infty$. Since only the combination $i\eta^{r,l}$ appeared in the integrands, the result of these rotations is manifestly real and so the parity-odd B_n vanishes.

This proves that tree-level, parity-odd correlators involving fields of any spin with massless de Sitter mode functions vanish. A few comments are in order:

- This no-go result applies also to quadratic mixing of fields that are treated in perturbation theory since the above argument applies also when the valency n of the interaction in (3.21) is $n = 2$.
- Comparing to wavefunction calculations Minkowski space, we notice that scale invariance in de Sitter made things simpler for us. In Minkowski every additional space or time derivative brings in an extra factor of i so we have to keep track of them. Whether a parity-odd or parity-even contribution vanishes or not depends on how many derivatives we have. In contrast, in dS scale invariance forces derivatives to come in the form $ik\eta$ or $i\mathbf{k}\eta$ and so every i comes with an η . We will see in section 5 that even in de Sitter the situation is slightly more complicated when we have non-linear dispersion relations.
- The above proof also generalizes to spinning fields if by “parity odd” we mean “with an odd number of derivatives”. Indeed the above derivation applies unchanged if the fields ϕ_b have indices, as e.g. a vector A_i or a graviton γ_{ij} . What changes for fields

¹⁵This rotation is the same that relates dS calculations to Euclidean AdS calculation, as first pointed out for the wavefunction in [24] and recently elaborated in [46, 47].

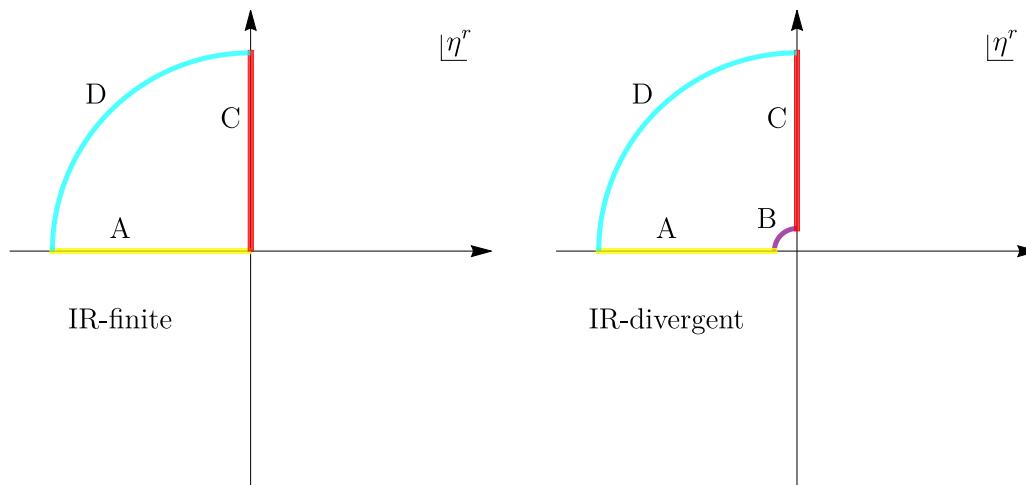


Figure 3. Integration contours in complex η^r plane. A similar contour in the lower-half complex plane applies to the left vertices.

with spin is that in general they allow for different IR-divergent derivatives, with $n_i + 2n_\eta < 4$, as we will discuss shortly.

Conformally-coupled de Sitter mode functions. The above discussion can be straightforwardly generalized to include also any number of fields of any spin and conformally-coupled de Sitter mode functions, as in eq. (3.14). The only difference now is that in these mode functions one power of η appears without a factor of i . Internal lines come with a bulk-to-bulk propagator that has two mode functions and so any number of conformally-coupled G_{XX} 's will not change our conclusion above. Conversely, we need to keep track of external conformally-coupled lines. These observations allow us to conclude that: a tree-level parity-odd correlator of an *even* number of fields with conformally-coupled mode functions and any number of fields with massless mode functions vanishes, again for any spin and under the assumptions of scale invariance and a Bunch-Davies vacuum. Conversely, in the presence of an odd number of conformally-coupled external fields we expect a non-vanishing parity-odd contribution but a vanishing parity-even contribution. This extends the observation of [13] to all parity-even tree diagrams with an odd number of conformally-coupled external fields.

4 Yes-go 1: breaking scale invariance

In this section we will show that breaking exact scale invariance allows us to realise parity-odd trispectra. We will consider two types of symmetry breaking which both invalidate the assumption that the wavefunction coefficients have a fixed scaling with momenta: (i) explicit η_0 dependence via IR-divergences, and (ii) explicit breaking of scale invariance at the level of the action due to time-dependent couplings.

IR-divergences. At tree-level, the analytic properties of wavefunction coefficients with Bunch-Davies initial conditions are very constrained, irrespective of de Sitter boost invariance [48]. Assuming scale invariance, contact diagram contributions to the quartic wavefunction coefficient of massless scalars can yield rational terms in the external kinematics, IR-divergences in the form of poles as $\eta_0 \rightarrow 0$, and finally IR-divergent logarithms of the form $\log(-k_T\eta_0)$ where $k_T = k_1 + k_2 + k_3 + k_4$ is the total-energy. The latter two possibilities break the fixed integer momentum scaling of the wavefunction coefficient. Such logs are particularly interesting in the context of parity violation since the Cosmological Optical Theorem (COT) demands that they always appear in the combination [13]

$$\log(-k_T\eta_0) + \frac{i\pi}{2}, \tag{4.1}$$

so their contribution to the wavefunction is always complex. As we have discussed a number of times, parity-odd trispectra come from the imaginary part of the wavefunction so having IR-divergences in the form of logs can yield parity-odd signals. It is always the $i\pi$ that contributes to the correlator. This is familiar from in-in computations of parity violation in the gravitational sector [2, 49], and plays a crucial role in deriving the small number of parity-odd shapes of graviton bispectra [3].

Now such logs can only be multiplied by tensor structures or a polynomial in the external energies [48]. If we assume that what multiplies the log scales as $\sim k^3$, combined with the fact that we require a factor of $\epsilon_{ijk}k_1^i k_2^j k_3^k$ to break parity, the coefficient of the log can only be a constant. We then immediately conclude from Bose symmetry that such a contribution to the wavefunction, and therefore the trispectrum, can only arise if all four scalars are different since there is no way to cancel the anti-symmetry we get from $\epsilon_{ijk}k_1^i k_2^j k_3^k$. We note that this case is not captured by our no-go theorem of section 3 since the log invalidates the scaling in (3.9) (the wavefunction coefficient does not simply pick up an overall minus sign as we send $\{k\} \rightarrow -\{k\}$ and $\{\mathbf{k}\} \rightarrow -\{\mathbf{k}\}$).

To be more explicit, let's consider the only parity-odd quartic interaction with $n_i + 2n_\eta < 4$, namely

$$H_{\text{int}} = \lambda\phi_1\partial_i\phi_2\partial_j\phi_3\partial_k\phi_4\epsilon_{ijk}. \tag{4.2}$$

If any two of the four fields are identical, $\phi_a = \phi_b$, then this vanishes by integration by parts. So this example requires at least four distinct scalars, in agreement with our boundary argument above. The result can be computed directly, and gives

$$\begin{aligned} B_4 &= 2i\lambda(\mathbf{k}_2 \times \mathbf{k}_3 \cdot \mathbf{k}_4) \text{Im} \int_{-\infty}^{\eta_0} \frac{-i d\eta}{(H\eta)^4} (-iH\eta)^3 \left[\prod_a^4 G_+(k_a) \right] \\ &= -i \frac{\lambda H^7 \pi}{8e_4^3} (\mathbf{k}_2 \times \mathbf{k}_3 \cdot \mathbf{k}_4). \end{aligned} \tag{4.3}$$

Notice the absence of total energy poles, as discussed in [3]. This result can also be derived from the complex plane. First, note that when we rotate η to $i\lambda$ as in figure 3, we need to consider an additional contour corresponding to a small arch around $\eta = 0$, which picks up the contribution from the pole at $\eta_0 = 0$. This contribution is in general complex and

leads to a non-vanishing B_4^{PO} . Indeed, the arc can be computed and gives

$$\text{Im} \int_{-\infty}^{\eta_0} \frac{d\eta}{\eta} e^{ik_T \eta} \prod_a (1 - ik_a \eta) = \text{Im} \int_{\pi}^{\pi/2} \frac{|\eta_0| e^{i\theta} d\theta}{|\eta_0| e^{i\theta}} e^{ik_T \eta} [1 + \mathcal{O}(|\eta_0|)] = \frac{\pi}{2}. \quad (4.4)$$

This nicely picks up the $i\pi/2$ that accompanies the log in the wavefunction computation.

Time-dependent couplings. When scale invariance is broken the coupling constants of the effective action for perturbations can depend explicitly on time. A generic time dependence leads to a non-vanishing contribution to parity-odd n -point correlators of fields of any spin and mass. Here we concentrate on the scalar trispectrum (see e.g. [19, 50] for a related discussion of the graviton bispectrum). For concreteness we will consider only one of the leading parity-odd quartic interactions in the effective theory of single-clock inflation since it is enough to illustrate what is going on. We take

$$M(t + \pi)(g^{00} + 1) e^{\mu\nu\rho\sigma} n_\mu \delta K_{\nu\lambda} (n^\alpha \nabla_\alpha \delta K^\lambda{}_\rho) \nabla_\sigma \delta K \rightarrow \frac{\lambda(\eta)}{a^9} \pi' \epsilon_{ijk} \partial_i \partial_l \pi \partial_l \partial_j \pi' \partial_k \partial^2 \pi + \dots, \quad (4.5)$$

where the \dots denote terms that are higher orders in π as required by symmetry. To present explicit expressions for B_4^{PO} , we will consider two time dependencies arising from expanding the coupling constant. First, let's assume the time evolution is well captured by a term linear in η , as in

$$\lambda(\eta) = \lambda_* + \lambda'_*(\eta - \eta_*) + \mathcal{O}((\eta - \eta_*)^2). \quad (4.6)$$

The trispectrum is then

$$\begin{aligned} B_4^{\text{PO}} &= 2i \text{Im} \int \frac{-i\lambda(\eta) d\eta}{(\eta H)^4} (-H\eta)^9 (-i)^7 F(\mathbf{k}) G'_r(k_1) G_r(k_2) G'_r(k_3) G_r(k_4) + 23 \text{ perms.} \\ &= i\lambda'_* 5040 F(\mathbf{k}) H^{13} \frac{k_1^2 k_3^2 [90k_2 k_4 + 9k_T(k_2 + k_4) + k_T^2]}{(k_1 k_2 k_3 k_4)^3 k_T^{11}} + 23 \text{ perms.}, \end{aligned} \quad (4.7)$$

where the vertex F is given by

$$F(\mathbf{k}) \equiv (\mathbf{k}_2 \times \mathbf{k}_3 \cdot \mathbf{k}_4) (\mathbf{k}_2 \cdot \mathbf{k}_3) k_4^2. \quad (4.8)$$

As expected, B_4^{PO} in (4.7) is not scale invariant since $B^{\text{PO}} \sim k^{-10}$ as opposed to the expected k^{-9} .

As a second example, we will assume that the time dependence of the coupling constant during the period in which modes cross the Hubble radius is well captured by a linear term in $t \sim \log(-\eta)$,

$$\lambda(\eta) = \lambda_* + \lambda_{*,N} \log\left(\frac{\eta}{\eta_*}\right) + \mathcal{O}(\log^2). \quad (4.9)$$

The integral in (4.7) can now be computed with this logarithmic time dependence in terms of exponential integrals in *Mathematica*, but there is a more direct analytical way to get

the result. Notice that the integrand is convergent at $\eta \rightarrow 0$ even in the presence of the log. We can therefore rotate it as in the left-hand side of figure 3. Then we have

$$\log(\eta) \rightarrow \log(i\lambda) = \log \lambda + i\frac{\pi}{2}. \tag{4.10}$$

Since we only pick up the imaginary part, it is only the $i\pi/2$ term that contributes. Therefore we simply have to compute the integral in (4.7) with $\lambda = \lambda_*$ constant and multiply it by $i\pi/2$. The result is

$$B_4^{\text{PO}} = i\lambda_{*,N} 630\pi F(\mathbf{k}) H^{13} \frac{k_1^2 k_3^2 [72k_2 k_4 + 8k_T(k_2 + k_4) + k_T^2]}{(k_1 k_2 k_3 k_4)^3 k_T^{10}} + 23 \text{ perms.} \tag{4.11}$$

A few comments are in order. First, notice that this B_4^{PO} has the correct scaling dictated by scale invariance, $B_4^{\text{PO}} \sim k^{-9}$. This is to be expected from power counting at the level of the integral. We are therefore in a situation in which scale invariance is broken in the wavefunction by the logarithmic time dependence of a coupling constant, but the breaking is not visible to leading order in the correlator. Second, note that this B_4^{PO} has total energy poles at $k_T \rightarrow 0$. This is in contrast to contributions arising in the scale invariant theory, such as for example (4.3) and the parity-odd graviton bispectra computed in [3, 49].

We conclude by pointing out that these two simple examples are by no means an exhaustive list, and many other time dependencies could be considered, depending on the model under consideration. The main takeaway is that a non-vanishing B_4^{PO} is generally produced by any deviation from scale invariance.

5 Yes-go 2: non-Bunch-Davies vacuum

In section 3 we showed that contact diagram contributions to the parity-odd quartic wavefunction coefficient cannot contribute to the trispectrum when the dispersion relation is linear i.e. $\omega^2 \propto k^2$. We have also shown that our results are robust against adding small corrections to this dispersion relation. In this section we will look for a way out by considering the non-linear dispersion relation $\omega^2 \propto k^4$ which occurs in Ghost Inflation (GI) [21] which is an inflationary generalisation of the Ghost Condensate [20] (see also [51]). Our results of the previous section do not apply to GI since the non-linear dispersion relation translates into a bulk-to-boundary propagator for the Goldstone mode that is not Hermitian analytic, as was pointed out in [38]. In section 3 we heavily relied on Hermitian analyticity of the bulk-to-boundary propagator as a way of deducing when the time integral can contain an imaginary part. In this section we will show that a large parity-odd trispectrum can indeed arise from contact diagrams in GI (in a similar way, we expect that the models studied in [52], for which the dispersion relation is $\omega^2 = k^{2n}$ for $n > 1$, will also give a non-zero parity-violating trispectrum).

Before proceeding, let us emphasize that in this section we discuss GI only as an example of non-Bunch-Davies vacuum conditions, and of how deviating from a linear dispersion relation allows for parity violation in the scalar trispectrum. We do not advocate it either as an explanation of the results of refs. [10, 11], or as a theory of the primordial universe.

Indeed, the validity of the Ghost Condensate, and of GI as an inflationary model, has been put into question in light of problems associated with black hole thermodynamics [53] and violation of the de Sitter entropy bound [54] (but see also [55–57] for a discussion of scenarios where these bounds are not violated in practice). Our result that GI can indeed yield a non-vanishing parity-odd trispectrum may motivate further model building for such non-standard dispersion relations that may overcome some of these issues.

Now, let us first recall that the quadratic action for the Goldstone mode in GI comes from the unitary-gauge action

$$S = \int d^4x \sqrt{-g} \left[\frac{\Lambda^4}{4} (g^{00} + 1)^2 - \frac{\Lambda_1^2}{2} \delta K_{\mu\nu} \delta K^{\mu\nu} - \frac{\Lambda_2^2}{2} \delta K^2 \right]. \quad (5.1)$$

Comparing with eq. (2.1), here we have defined $M_2^4 = \Lambda_2^4/2$, and we assume that these operators dominate over the minimal kinetic term $M_{\text{P}}^2 \dot{H} g^{00}$ in the limit $\dot{H} \rightarrow 0$, $c_s \rightarrow 0$ with $-\dot{H} M_{\text{P}}^2 (1 - c_s^2)/c_s^2 = \Lambda^4$ kept fixed [17]. At quadratic order, and converting to conformal time, the free theory for the Goldstone mode is therefore¹⁶

$$S_{\pi\pi} = \int d^3x d\eta a^4(\eta) \left[\frac{\Lambda^4}{2} \frac{\pi'^2}{a^2(\eta)} - \frac{\tilde{\Lambda}^2}{2} \frac{(\partial^2 \pi)^2}{a^4(\eta)} \right], \quad (5.2)$$

where we have defined $\tilde{\Lambda}^2 \equiv \Lambda_1^2 + \Lambda_2^2$, and the number of scale factors is fixed by scale invariance. Now the bulk-to-boundary wavefunction propagator is [21]¹⁷

$$K(k, \eta) = - \frac{e^{\frac{i\pi}{4}} \pi(-\eta)^{\frac{3}{2}} (\tilde{c}k)^{\frac{3}{2}} H_{\frac{3}{4}}^{(1)}(-\tilde{c}^2 k^2 \eta^2)}{2^{\frac{3}{4}} \Gamma(\frac{3}{4})}, \quad (5.3)$$

where $\tilde{c}^2 = H\tilde{\Lambda}/(2\Lambda^2)$, and we drop the subscript π on the bulk-to-boundary propagator in this section since there is no possibility of confusion. In this expression $H_{3/4}^{(1)}(z)$ is the Hankel function of the first kind and order 3/4, and we have used

$$\lim_{\eta_0 \rightarrow 0^-} (-\eta_0)^{3/2} H_{\frac{3}{4}}^{(1)}(-\tilde{c}^2 k^2 \eta_0^2) = -i \frac{2^{3/4} \Gamma(\frac{3}{4})}{\pi (-\tilde{c}^2 k^2)^{3/4}}, \quad (5.4)$$

to eliminate all η_0 dependence from this propagator. One can check directly [38] that this propagator is not Hermitian analytic i.e. $K(k, \eta) \neq K^*(-k^*, \eta)$, and therefore the COT as we wrote it in section 3 does not hold. We can now compute the power spectrum and to do so we will follow the wavefunction approach since it allows us to illustrate how we deal with time integrals in GI. The quadratic wavefunction coefficient takes the form

$$\psi_2 = -2i \int_{-\infty(1-i\epsilon)}^0 d\eta a^4(\eta) \left[\frac{\Lambda^4}{2} \frac{K'(k, \eta)^2}{a^2(\eta)} - \frac{\tilde{\Lambda}^2}{2} \frac{k^4 K(\eta, k)^2}{a^4(\eta)} \right], \quad (5.5)$$

where the overall factor of 2 comes from the fact that the two “vertices” are symmetric and as always we include an overall factor of $(-i)$. We can form a contour that goes

¹⁶Recall that π has dimensions of length since it arises from the combination $(t + \pi)$.

¹⁷Expanding $\eta^2 = \eta_0^2 - 2t/(a_0^2 H)$, for $t \ll H^{-1}$, we see that the bulk-to-bulk propagator goes as $\exp(i\omega(k_{\text{phys}})t)$, where $\omega(k) = \tilde{\Lambda} k_{\text{phys}}^2/\Lambda^2$ and $k_{\text{phys}} = k/a_0$.

from $-\infty(1-i\epsilon)$ to $-\infty(1-i)$, and from $-\infty(1-i)$ to 0. Given that the contribution on the quarter-circle vanishes exponentially fast,¹⁸ and that there are no poles inside the integration contour and we do not cross branch cuts, we have

$$\psi_2 = -2i \int_{-\infty(1-i)}^0 d\eta a^4(\eta) \left[\frac{\Lambda^4 K'(k, \eta)^2}{2 a^2(\eta)} - \frac{\tilde{\Lambda}^2 k^4 K(\eta, k)^2}{2 a^4(\eta)} \right]. \quad (5.6)$$

The advantage of this contour will become manifest when we come to compute the quartic wavefunction coefficient and therefore the trispectrum. If we integrate by parts and use the equation of motion, we can reduce the quartic wavefunction coefficient to

$$\psi_2 = -2i \left[\frac{a^2(\eta)}{2} \Lambda^4 K(k, \eta) K'(k, \eta) \right]_{-\infty(1-i)}^0 = \frac{e^{\frac{i\pi}{4}} k^3 \pi \Lambda \tilde{\Lambda}^2}{(2H\tilde{\Lambda})^{\frac{1}{2}} \Gamma(\frac{3}{4})^2}, \quad (5.7)$$

from which we can extract the power spectrum which is given by

$$P_\pi(k) = \frac{(H\tilde{\Lambda})^{\frac{1}{2}} \Gamma(\frac{3}{4})^2}{k^3 \pi \Lambda \tilde{\Lambda}^2}. \quad (5.8)$$

Before writing down quartic self-interactions for the Goldstone and computing trispectra, let us first briefly recall how to derive the scaling dimensions in GI, referring the reader to [17, 20, 21] for more details. Given that the free theory does not lead to a linear dispersion relation, counting the scaling dimension of various operators is slightly more involved. We will derive these scalings at energy scales where we can ignore the background curvature. Under a rescaling of energy (time) $E \rightarrow sE$ ($t \rightarrow s^{-1}t$), the dispersion relation $\omega^2 \propto k^4$ implies that $\mathbf{k} \rightarrow s^{1/2}\mathbf{k}$ ($\mathbf{x} \rightarrow s^{-1/2}\mathbf{x}$). We then see that the quadratic action remains invariant if the scaling dimension of π is $\pi \rightarrow s^{1/4}\pi$. We will use these scalings as guidance for finding the leading order operators in the EFT expansion.

As we discussed in section 2, there are two distinct ways that a quartic self-interaction can arise: (i) from EFTI operators that contain four building blocks and therefore start at quartic order in perturbations and (ii) from EFTI operators that contain fewer than four building blocks and can therefore start at quadratic/cubic order in perturbations. For definiteness we will work with EFTI operators that start at quartic order since these are simpler to construct and already enable us to illustrate that parity odd trispectra can indeed arise in GI. Studying other operators is certainly an interesting avenue for future work, and perhaps requires a better understanding of the non-linearly realised symmetries. From eqs. (2.3), (2.4), (2.5) we see that we can construct self-interactions from $\dot{\pi}$, $\partial_i \partial_j \pi$, and their derivatives. This also follows from the fact that in flat space, a superfluid non-linearly realises broken boosts as $\delta\pi = b_i x^i + \mathcal{O}(\pi)$ (see e.g. [58–61]). The operators with the lowest scaling dimension are those with the fewest time derivatives. The leading operators with zero, one and two time derivatives are

$$\mathbf{e}^{\mu\nu\rho\sigma} n_\mu \delta K_{\alpha\beta} \delta K_{\nu\lambda} \nabla^\beta \delta K_{\gamma\rho} \delta K_{\sigma\gamma} \supset a^{-9} \epsilon_{ijk} \partial_m \partial_n \pi \partial_i \pi \partial_m \partial_l \partial_j \pi \partial_l \partial_k \pi, \quad (5.9)$$

$$(g^{00} + 1) \mathbf{e}^{\mu\nu\rho\sigma} n_\mu \delta K_{\nu\lambda} (D^2 \delta K_{\rho\lambda}) \nabla_\sigma \delta K \supset a^{-9} \dot{\pi} \epsilon_{ijk} \partial_i \partial_l \pi \partial_l \partial_j \partial^2 \pi \partial_k \partial^2 \pi, \quad (5.10)$$

$$(g^{00} + 1) \mathbf{e}^{\mu\nu\rho\sigma} n_\mu \delta K_{\nu\lambda} (n^\alpha \nabla_\alpha \delta K_{\rho\lambda}) \nabla_\sigma \delta K \supset a^{-7} \dot{\pi} \epsilon_{ijk} \partial_i \partial_l \pi \partial_l \partial_j \dot{\pi} \partial_k \partial^2 \pi, \quad (5.11)$$

¹⁸Recall that $H_\nu^{(1)}(z) \sim \sqrt{\frac{2}{\pi z}} e^{i(-\frac{\pi\nu}{2} + z - \frac{\pi}{4})}$ for large z .

where D^2 is the covariant Laplacian on the hypersurfaces of constant time and $\mathbf{e}_{\mu\nu\rho\sigma}$ is the volume form $\mathbf{e}_{\mu\nu\rho\sigma} = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma}$, with $\epsilon_{0ijk} = \epsilon_{ijk}$ and $\epsilon_{0123} = 1$. We now notice that we can isolate eq. (5.10) as the leading source of parity violation. Indeed, the other operators do not preserve the $t \rightarrow -t, \pi \rightarrow -\pi$ symmetry of the action of the free theory eq. (5.2).¹⁹ We will therefore initially concentrate on eq. (5.10) from which we will learn a lot about when we can get a non-zero signal. We will come back to the others at the end of this section. Converting to conformal time we have

$$S_{\pi\pi\pi\pi} = \frac{1}{\Lambda_{\text{PO}}^2} \int d^3x d\eta a^{-6}(\eta) \pi' \epsilon_{ijk} \partial_i \partial_l \pi \partial_l \partial_j \partial^2 \pi \partial_k \partial^2 \pi, \quad (5.12)$$

and the quartic wavefunction coefficient takes the form

$$\begin{aligned} \psi_4 &= \frac{H^6}{\Lambda_{\text{PO}}^2} \epsilon_{ijk} k_2^i k_3^j k_4^k k_2^l k_3^l k_3^2 k_4^2 \int_{-\infty(1-i)}^0 d\eta \eta^6 K'(k_1, \eta) K(k_2, \eta) K(k_3, \eta) K(k_4, \eta) \\ &\quad + 23 \text{ perms.} \\ &= \frac{\pi^4 H^6 \tilde{c}^8}{4\Gamma(\frac{3}{4})^4 \Lambda_{\text{PO}}^2} \epsilon_{ijk} k_2^i k_3^j k_4^k k_2^l k_3^l k_1^{\frac{7}{2}} k_2^{\frac{3}{2}} k_3^{\frac{7}{2}} k_4^{\frac{7}{2}} \\ &\quad \times \int_{-\infty(1-i)}^0 d\eta \eta^{13} H_{-\frac{1}{4}}^{(1)}(-\tilde{c}^2 k_1^2 \eta^2) H_{\frac{3}{4}}^{(1)}(-\tilde{c}^2 k_2^2 \eta^2) H_{\frac{3}{4}}^{(1)}(-\tilde{c}^2 k_3^2 \eta^2) H_{\frac{3}{4}}^{(1)}(-\tilde{c}^2 k_4^2 \eta^2) \\ &\quad + 23 \text{ perms. ,} \end{aligned} \quad (5.13)$$

where the overall factor of $(-i)$ in the Feynman rules is cancelled by the factor of $(+i)$ that we get from converting the nine spatial derivatives to momentum space. Here we have used

$$K'(k, \eta) = - \frac{2e^{\frac{i\pi}{4}} \pi(-\eta)^{\frac{5}{2}} (\tilde{c}k)^{\frac{7}{2}} H_{-\frac{1}{4}}^{(1)}(-\tilde{c}^2 k^2 \eta^2)}{2^{\frac{3}{4}} \Gamma(\frac{3}{4})}. \quad (5.14)$$

As a consistency check we notice that the overall powers of k and η can be expressed as $(k\eta)^{14} k^3$ so we have the correct scaling required by scale invariance. We can also check that this wavefunction coefficient won't vanish when we sum over permutations: from the time integral we only need to worry about permutations in labels (2, 3, 4) then given the overall dependence on the energies, we only need to worry about permutations in (3, 4) since only these energies appear with the same power. The integrand is symmetric in these labels, the energy dependence is also symmetric. We can then write $k_2^l k_3^l = \frac{1}{2}(k_2^l k_3^l - k_2^l k_4^l - k_2^l k_1^l - k_2^2)$, by momentum conservation, to show that the anti-symmetric structure from the epsilon tensor is cancelled by part of this final term. We will therefore get something non-zero

¹⁹One can relate this symmetry to a $t \rightarrow -t$ symmetry in unitary gauge. The transformation rules in unitary gauge follow from $g^{00} = g^{\mu\nu} \partial_\mu t \partial_\nu t$, $n_\mu = -\partial_\mu t / \sqrt{-g^{00}}$, $D_\mu = (\delta^\nu_\mu + n^\nu n_\mu) \nabla_\nu$ and $K_{\mu\nu} = \nabla_\mu n_\nu + n_\mu n^\rho \nabla_\rho n_\nu$. This is useful since it allows one to predict the transformation rules of a given operator at all orders in π . However, it is important to keep in mind that once the background of these geometric objects is covariantly subtracted to ensure tadpole cancellation, their transformation properties are spoiled. This will give rise to operators that break the $t \rightarrow -t, \pi \rightarrow -\pi$ symmetry. These will generically be suppressed in the limit of large non-Gaussianities. That is, the breaking of the symmetry is small in this limit.

when we sum over permutations, as expected. Now it is useful to explicitly extract the dependence on \tilde{c}^2 . We do this by performing a change of variables $\mathbf{k}_i \rightarrow \mathbf{k}_i/\tilde{c}$ in the integral over $\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4$ in the wavefunction. After some algebra, and using $\pi(\mathbf{k}/\tilde{c}) = \tilde{c}^3\pi(\mathbf{k})$,²⁰ we obtain

$$\psi_4 = \frac{32H^6i\pi^4}{\Lambda_{\text{PO}}^2\tilde{c}^6\Gamma(\frac{3}{4})^4}(\mathbf{k}_2 \cdot \mathbf{k}_3 \times \mathbf{k}_4)(\mathbf{k}_2 \cdot \mathbf{k}_3)k_1^{\frac{7}{2}}k_2^{\frac{3}{2}}k_3^{\frac{7}{2}}k_4^{\frac{7}{2}}\mathcal{T}(k_1, k_2, k_3, k_4) + 23 \text{ perms.}, \quad (5.15)$$

where

$$\mathcal{T}(k_1, k_2, k_3, k_4) = \int_0^{+\infty} d\lambda \lambda^{13} H_{-\frac{1}{4}}^{(1)}(2ik_1^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_2^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_3^2\lambda^2)H_{\frac{3}{4}}^{(1)}(2ik_4^2\lambda^2). \quad (5.16)$$

Here we notice the usefulness of the contour discussed at the beginning of this section. Not only is \mathcal{T} real with this choice, thereby ensuring that the wavefunction coefficient is imaginary, the mode functions are also exponentially convergent for $\lambda \rightarrow +\infty$. The reality of the integral follows from the integral representation of the Hankel function:

$$H_\nu^{(1)}(z) = \frac{e^{-\frac{i\pi\nu}{2}}}{i\pi} \int_{-\infty}^{+\infty} dt e^{iz \cosh t - \nu t}, \quad \text{valid for } 0 < \arg z < \pi, \quad (5.17)$$

and for us we have $\arg z = \frac{\pi}{2}$. The ν -dependent factors simplify to $e^{\frac{i\pi}{8}}e^{-\frac{9i\pi}{8}} = -1$ leaving us with a real \mathcal{T} . We remind the reader that having a non-vanishing trispectrum requires ψ_4 to contain an imaginary part which is indeed the case here thanks to the overall factor of i that comes from $d\eta\eta^{13} \rightarrow (i-1)^{14}d\lambda\lambda^{13}$. Note that we are able to use this Wick rotation to assess the reality of this time integral since it is IR-finite. This ensures that we don't need to introduce an IR cut-off at η_0 .

In preparation for possible future constraints on the operator in eq. (5.12), we write down the expression for the trispectrum of the comoving curvature perturbation $\zeta = -H\pi$. Using eq. (5.8), and the definition of $\tilde{c}^2 = H\tilde{\Lambda}/(2\Lambda^2)$, we find

$$B_4^\zeta = \frac{512i\pi^3\Lambda^5(H\tilde{\Lambda})^{3/2}}{\Lambda_{\text{PO}}^2\tilde{\Lambda}^6\Gamma(\frac{3}{4})^2}(\Delta_\zeta^2)^3(\mathbf{k}_2 \cdot \mathbf{k}_3 \times \mathbf{k}_4)(\mathbf{k}_2 \cdot \mathbf{k}_3)k_1^{\frac{1}{2}}k_2^{-\frac{3}{2}}k_3^{\frac{1}{2}}k_4^{\frac{1}{2}}\mathcal{T}(k_1, k_2, k_3, k_4) + 23 \text{ perms.}, \quad (5.18)$$

where we defined

$$\Delta_\zeta^2 \equiv k^3 P_\zeta(k). \quad (5.19)$$

It is useful to check that this result conforms to our expectations. To this end, we notice that the size of the trispectrum can be estimated by comparing the quartic Lagrangian to the quadratic one at horizon crossing, and using the substitutions $\partial_\eta \sim H$, $k^2 \sim H\Lambda^2/\tilde{\Lambda}$ and $\pi = \zeta/H$. Then we have

$$\frac{B_4^\zeta}{P_\zeta^2} \sim \frac{\mathcal{L}_4}{\mathcal{L}_2} \Big|_{\text{crossing}} \sim \left(\frac{H^{\frac{3}{2}}\Lambda^5}{\Lambda_{\text{PO}}^2\tilde{\Lambda}^{\frac{9}{2}}} \right) \zeta^2, \quad (5.20)$$

²⁰This follows from scale invariance of the wavefunction coefficients ψ_n .

which indeed agrees with eq. (5.8) and (5.18). We can also compute $\tau_{\text{NL}}^{\text{PO}}$, which is given by $\sim B_4^\zeta/P_\zeta^3$, in terms of the observed power spectrum of ζ , $\Delta_\zeta^2 \approx 4 \times 10^{-8}$. Using eq. (2.22) (the explicit choice of reference scale \bar{k} is irrelevant due to scale invariance) we find

$$\tau_{\text{NL}}^{\text{PO}} \approx -\frac{4 \times 10^{-7}}{\Lambda_{\text{PO}}^2} \left(\frac{\Lambda^{28}}{\bar{\Lambda}^{18}} \right)^{\frac{1}{5}}. \quad (5.21)$$

This can be made large for small Λ_{PO} , with the caveat that a too small Λ_{PO} could lead to $\tau_{\text{NL}}^{\text{PO}} \times \Delta_\zeta^2$ becoming close to 1 which would jeopardize perturbativity. Given the fact that we propose GI only as an example of how (non-perturbative) deviations from the Bunch-Davies vacuum provide a counterexample to the results of section 3, we leave a more detailed investigation of naturalness constraints to future work. It would also be interesting to carry out the analysis of [10] using the template of eq. (5.18), in order to confirm whether current observational bounds from BOSS on $\tau_{\text{NL}}^{\text{PO}}$ are actually competitive with simple bounds coming from the requirement of perturbativity.

We have learned quite a lot from this calculation. Consider the operator in eq. (5.9) which only differs from eq. (5.10) by having one less time derivative. Adding time derivatives cannot alter the reality of the wavefunction coefficient because they come in the combination $\eta\partial_\eta$ by scale invariance. The final operator that we wrote, eq. (5.11), however, has a different number of spatial derivatives compared to the other two: its had seven while the others have nine. While the time derivatives do not alter the reality of the wavefunction coefficient, spatial derivatives can. Indeed, by removing two spatial derivatives we lose two powers of η in the integrand so when we rotate we pick up a factor of i . This means that if an operator with nine spatial derivatives yields an imaginary wavefunction and therefore a non-zero correlator, an operator with seven spatial derivatives will yield a real wavefunction and therefore a vanishing correlator. We conclude that eqs. (5.9) and (5.10) yield a non-zero signal, while eq. (5.11) yields a vanishing signal.

More generally, $B_4^{\text{PO}} \neq 0$ in GI only if we have $5 + 4n$ spatial derivatives, regardless of the number of time derivatives.²¹ It is easy to convince oneself that in the case with five spatial derivatives, the necessary number of time derivatives required to find a non-zero operator ensures that the scaling dimension is never less than 3 i.e. it is never less than the scaling dimension of the operator in eq. (5.9). This is true for quartic interactions that come from four building block operators, and also those with fewer building blocks. With this in mind, let us also write down the trispectrum for curvature perturbations that comes from eq. (5.9). For a quartic action in conformal time given by

$$S_{\pi\pi\pi\pi} = \frac{1}{M_{\text{PO}}} \int d^3x d\eta a^{-5}(\eta) \epsilon_{ijk} \partial_m \partial_n \pi \partial_i \pi \partial_m \partial_l \partial_j \pi \partial_l \partial_k \pi, \quad (5.22)$$

where we have introduced the scale M_{PO} to distinguish this trispectrum from that coming

²¹One should be able to derive this result using the boostless bootstrap derivation in section 3.2, but using a modified COT that applies to Ghost Inflation. Such COT for Ghost Inflation was briefly discussed in [38].

from eq. (5.10), we find

$$\psi_4 = -\frac{8\pi^4 H^5}{\Gamma(\frac{3}{4})^4 M_{\text{PO}} \tilde{c}^6} \epsilon_{ijk} k_2^i k_3^j k_4^k k_1^m k_3^m k_1^n k_2^n k_3^l k_4^l k_1^{\frac{3}{2}} k_2^{\frac{3}{2}} k_3^{\frac{3}{2}} k_4^{\frac{3}{2}} \mathcal{T}(k_1, k_2, k_3, k_4) + 23 \text{ perms.}, \quad (5.23)$$

where now we have

$$\mathcal{T}(k_1, k_2, k_3, k_4) = \int_0^{+\infty} d\lambda \lambda^{11} H_{\frac{3}{4}}^{(1)}(2ik_1^2 \lambda^2) H_{\frac{3}{4}}^{(1)}(2ik_2^2 \lambda^2) H_{\frac{3}{4}}^{(1)}(2ik_3^2 \lambda^2) H_{\frac{3}{4}}^{(1)}(2ik_4^2 \lambda^2). \quad (5.24)$$

One can check, using the integral representation of eq. (5.17), that \mathcal{T} is purely imaginary, leading to an imaginary ψ_4 . The final expression for the trispectrum of the comoving curvature perturbation is

$$B_4^\zeta = \frac{128i\pi^3 \Lambda^5 (H\tilde{\Lambda})^{1/2}}{M_{\text{PO}} \tilde{\Lambda}^5 \Gamma(\frac{3}{4})^2} (\Delta_\zeta^2)^3 \frac{(\mathbf{k}_2 \cdot \mathbf{k}_3 \times \mathbf{k}_4)(\mathbf{k}_1 \cdot \mathbf{k}_3)(\mathbf{k}_1 \cdot \mathbf{k}_2)(\mathbf{k}_3 \cdot \mathbf{k}_4)}{k_1^{\frac{3}{2}} k_2^{\frac{3}{2}} k_3^{\frac{3}{2}} k_4^{\frac{3}{2}}} \text{Im } \mathcal{T}(k_1, k_2, k_3, k_4) + 23 \text{ perms.} \quad (5.25)$$

We can now estimate the size of the non-Gaussianities from this operator. We take the ratio between B_4^ζ from the M_{PO} operator and the one from the Λ_{PO}^2 operator in the $\tau_{\text{PO}}^{\text{NL}}$ configuration of eq. (2.20), finding

$$\frac{4 \Lambda_{\text{PO}}^2}{H M_{\text{PO}}} \approx \frac{3 \times 10^3 \Lambda_{\text{PO}}^2}{M_{\text{PO}} \Lambda^{\frac{2}{5}} \tilde{\Lambda}^{\frac{3}{5}}}, \quad (5.26)$$

where we have used eq. (5.8) to express the Hubble rate in terms of $\Delta_\zeta^2 \approx 4 \times 10^{-8}$. We emphasize that we do this comparison only to have a vague idea of the size of the trispectrum from the operator (5.9): a proper analysis following [10, 11] is needed in order to assess the importance of the difference in the shapes. Nevertheless, it is important to stress that in terms of operators with four building blocks we expect that eq. (5.25) is the leading signal, and we will investigate other operators with the same scaling dimension in the future.

6 Yes-go 3: exchanging massive spinning fields

In this section we consider a different setup in which large parity violation can be obtained in the scalar trispectrum at tree level due to the exchange of a massive spinning field, which we denote by σ , with masses in the range $0 < m_\sigma/H < 3/2$. We go back to assuming a linear dispersion relation for the Goldstone mode, and consider cubic couplings within the EFTI following the set-up of [41]. We will first introduce this formalism. We will then show that the exchange diagram in the wavefunction calculation is purely real so it does not contribute to ρ_4 and therefore does not contribute to the parity-odd trispectrum. We show this by two complementary methods: using weight-shifting operators, and using a Wick rotation of the nested time integrals (see appendix A). Conversely, we show that the factorized contribution $B_4^{\text{PO}} \sim \rho_3 \rho_3$ yields a non-zero signal.

Spinning fields in the EFTI. To treat spinning fields in the Effective Field Theory of Inflation (EFTI) we follow the nice formalism of [41] and exemplify the discussion in the case of a spin-1 field. Within this set-up one can avoid constraints on the mass of spinning fields in the form of the Higuchi bound²² [62] by allowing for sizeable couplings between spinning fields and the inflaton background. This is a natural set-up for us in this work as we are not assuming exact or approximate invariance under de Sitter boosts. We will be working with spin-1 where the mass range we choose does not actually violate the Higuchi bound, however this is primarily for illustrative purposes and our results are easily generalised to higher-spins where the allowed range of masses here is large than those allowed by the Higuchi bound. During inflation fields are classified according to their transformation under the unbroken group of rotations, but to couple them to four-dimensional fields within the EFTI, we introduce a fictitious four-vector that when coupled to gravity and the foliation ensures that the resulting EFT has the correct linear and non-linear symmetries. For spin-1, the object that transforms as a vector under all diffeomorphisms is [41]

$$\Sigma^\mu(\Sigma^i, \pi) = \left(-\frac{\Sigma^i \partial_i \pi}{1 + \dot{\pi}}, \Sigma^i \right). \quad (6.1)$$

It is convenient to make all scale factors manifest by contracting spatial indices with δ_{ij} as opposed to g_{ij} . To do so we introduce $\sigma^i = a\Sigma^i$ such that the free theory for the spin-1 field is [41]

$$S_2 = \frac{1}{2} \int d^3x d\eta a^2(\eta) \left[(\sigma'_i)^2 - c_1^2 (\partial_i \sigma_j)^2 - (c_0^2 - c_1^2) (\partial_i \sigma^i)^2 - a(\eta)^2 m_\sigma^2 (\sigma^i)^2 \right], \quad (6.2)$$

where $c_{0,1}$ are the speeds of sound of the longitudinal and transverse components of σ^i and m_σ is an arbitrary mass.²³ From now on all spatial indices are raised and lowered with δ_{ij} . Crucially, in this set-up the kinetic term of the longitudinal mode is not related to the mass parameter which is why the Higuchi bound can be avoided. We note that the symmetries of EFTI dictate that this free action must also come with interactions of the form $\pi\sigma\sigma$ since $\Sigma\Sigma \supset \sigma\sigma + \pi\sigma\sigma$. Such interactions cannot contribute to the scalar trispectrum at tree level so they are not of interest for us in this work. Converting to Fourier space, we write $\sigma_i(\mathbf{k}, \eta) = \sum_h \sigma_k^{(h)}(\eta) \epsilon_i^h(\mathbf{k})$ where we normalise the polarisation vector according to $\epsilon_i^h(\mathbf{k}) \epsilon_i^{h'}(-\mathbf{k}) = \delta_{hh'}$ (in this way eq. (6.2) is already the canonically-normalized quadratic action). We can easily see from the free theory that the mode functions will be equivalent to those of a massive scalar field in de Sitter. Assuming Bunch-Davies initial conditions, we have

$$\sigma_k^{(h)} = \frac{H\sqrt{\pi}}{2} e^{i\pi(\nu+1/2)/2} (-\eta)^{3/2} H_\nu^{(1)}(-c_h k \eta), \quad \text{with} \quad \nu \equiv \sqrt{\frac{9}{4} - \frac{m_\sigma^2}{H^2}}. \quad (6.3)$$

²²In the limit where we have full de Sitter symmetries, the Higuchi bound sets a lower bound on the mass of spinning fields by demanding that their longitudinal mode is not a ghost thereby ensuring the fields adhere to unitary representations of the de Sitter group.

²³Note that our m_σ differs from that in [41] because we wrote the action in terms of conformal time.

Therefore the bulk-to-boundary propagator for this spin-1 field is²⁴

$$K_\sigma^{(h)} = \left(\frac{\eta}{\eta_0}\right)^{3/2} \frac{H_\nu^{(2)}(-c_h k \eta)}{H_\nu^{(2)}(-c_h k \eta_0)}, \quad (6.4)$$

and the power spectrum is

$$P_\sigma^{(h)}(k) = \frac{\pi H^2}{4} (-\eta_0)^3 H_\nu^{(1)}(-c_h k \eta_0) H_\nu^{(2)}(-c_h k \eta_0), \quad (6.5)$$

which has the correct mass dimension for a canonically-normalized field. This propagator simplifies for two special choices of the mass: for the massless case $m_\sigma^2 = 0$ ($\nu = 3/2$) and the conformally-coupled case $m_\sigma^2 = 2H^2$ ($\nu = 1/2$) we have

$$m_\sigma^2 = 0 \quad \Rightarrow \quad K_\sigma = (1 - i c_h k \eta) e^{i c_h k \eta}, \quad (6.6)$$

$$m_\sigma^2 = 2H^2 \quad \Rightarrow \quad K_\sigma = \frac{\eta}{\eta_0} e^{i c_h k \eta}. \quad (6.7)$$

In these limiting cases our results from section 3 show that no parity violation can occur due to the exchange of such a massive field so in the remainder of this section we will concentrate on more general light masses where $0 < m_\sigma/H < 3/2$.

Let's now turn our attention to interactions of the form $\pi\pi\sigma$ that can contribute to the scalar trispectrum via particle exchange. To realise a parity-odd trispectrum, we need one of the two vertices to have an odd number of spatial momenta, and the other to have an even number. To construct these actions we couple Σ^μ to the building blocks of the EFTI (see e.g. eqs. (2.3), (2.4), (2.5) for those with the lowest number of derivatives). Denoting schematically by \mathcal{O} these building blocks, there are two ways we can construct $\pi\pi\sigma$ interactions: (i) operators of the form $\mathcal{O}\Sigma$ induce quadratic mixing terms where π mixes with the longitudinal mode of σ , then the non-linearly realized symmetries also demands the presence of $\pi\pi\sigma$ couplings; (ii) operators of the form $\mathcal{O}\mathcal{O}\Sigma$ start at cubic order in fluctuations so the lowest order terms are just $\pi\pi\sigma$. For the purpose of this paper, where we are aiming to provide some yes-go examples for parity violation in the scalar trispectrum, we will work with the simplest set-up where there is no quadratic mixing. This ensures that there are no other Feynman diagrams to consider beyond the single-exchange diagram shown in figure 4, where we show only the s -channel for simplicity. Furthermore, we take σ_i to be transverse since the longitudinal mode cannot contribute to parity-odd exchange. This means that we can take $\nabla_\mu \Sigma^\mu = 0$ and consequently there is no loss of generality in taking the Goldstone π to appear only via $\partial_i \partial_j \pi$, $\ddot{\pi}$ and $\partial_i \dot{\pi}$ at leading order in derivatives, since we can only use $\delta K_{\mu\nu}$ and $\nabla_\mu g^{00}$. In terms of symmetries, these are operators that are invariant under the leading part of the non-linearly realised symmetries. At zeroth order in fields, σ^i does not transform which resonates with the fact that it can be thought of as a matter field in the CCWZ construct of effective actions [41]. Indeed, only Goldstones transform at this order and only π is a Goldstone. The leading

²⁴Recall that the bulk-to-boundary propagator is fixed by $[\sigma_k^{(h)}]^*$ which is why it depends on the Hankel function of the second kind.

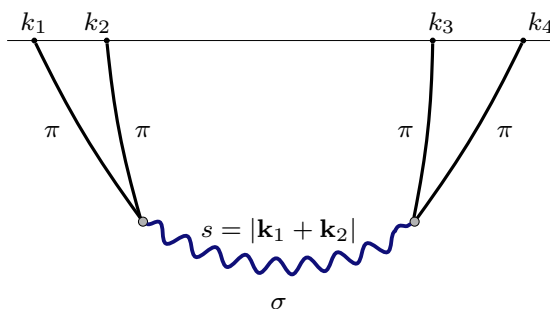


Figure 4. s -channel exchange diagram for the exchange of a massive spinning field.

cubic action in conformal time is then given by

$$S_{\pi\pi\sigma} = \int d^3x d\eta \left[\lambda_1 \partial_i \pi' \partial_i \partial_j \pi \sigma^j + \lambda_2 \pi'' \partial_i \pi' \sigma^i + \lambda_3 a^{-1} \epsilon_{ijk} \partial_i \partial_l \pi \partial_j \partial_l \pi' \sigma^k + \lambda_4 a^{-1} \epsilon_{ijk} \partial_i \pi'' \partial_j \pi' \sigma^k \right]. \quad (6.8)$$

In the presence of only the first and the second operators, the action would be invariant under parity if σ^i transformed as a vector. Conversely, the third and fourth interactions are compatible with parity only if σ^i is a pseudo-vector, $P\sigma^i(\mathbf{k})P = +\sigma^i(\mathbf{k})$. Hence, any process that involves both λ_1 or λ_2 and λ_3 or λ_4 leads to parity violation. For definiteness we will concentrate on the $\mathcal{O}(\lambda_1\lambda_3)$ contribution to the trispectrum but many of the following results hold more generally. This action comes from the following unitary-gauge operators:

$$\lambda_1 \rightarrow (\nabla_\mu \delta g^{00}) \delta K^\mu_\nu \Sigma^\nu, \quad (6.9)$$

$$\lambda_2 \rightarrow \Sigma^\mu (n^\nu \nabla_\nu \delta g^{00}) \nabla_\mu \delta g^{00}, \quad (6.10)$$

$$\lambda_3 \rightarrow \mathbf{e}^{\mu\nu\rho\sigma} n_\mu \delta K_{\nu\lambda} (n^\alpha \nabla_\alpha \delta K^\lambda_\rho) \Sigma_\sigma, \quad (6.11)$$

$$\lambda_4 \rightarrow \mathbf{e}^{\mu\nu\rho\sigma} n_\mu \nabla_\nu (n^\alpha \nabla_\alpha \delta g^{00}) (\nabla_\rho \delta g^{00}) \Sigma_\sigma. \quad (6.12)$$

Let us stress that within this set-up where we look for parity violation due to particle exchange, it is not possible to have a large parity-odd signal in combination with a small parity-even one. Indeed, parity-even signals can come from both $\mathcal{O}(\lambda_1^2)$ and $\mathcal{O}(\lambda_3^2)$ contributions to the wavefunction and if the parity-odd signal is made large by having large λ_1 or λ_3 , one of these parity-even signals will also be large. We therefore expect a detection of a parity-odd trispectrum due to particle exchange to be accompanied by a detection of a parity-even trispectrum, unless there is some kinematical configuration where the parity-even shape is small rather than the overall coupling. We will also discuss the constraints on the couplings coming from the requirements of perturbativity in a moment.

Exchange contribution to the quartic wavefunction. Let us first consider the exchange diagram wavefunction contribution ψ_4 to the trispectrum B_4^{PO} , before moving onto the factorised contribution. Within the range of masses that we are interested in, we are restricting to cases where ν is real cf. eq. (6.3), and throughout the remainder of this section

we will fix the speed of the exchanged field to unity, $c_1 = 1$, while keeping the speed of the Goldstone mode, c_s , general. The s -channel exchange diagram at $\mathcal{O}(\lambda_1\lambda_3)$ is given by

$$\begin{aligned} \psi_{4,s} &= \sum_{h=\pm 1} (-i) \times \left[-i\lambda_1 k_1^i k_2^j k_2^h \epsilon_j^h(\mathbf{s}) \right] \times \left[-\lambda_3 H \epsilon_{ijk} k_3^i k_3^j k_4^l k_4^m \epsilon_k^h(-\mathbf{s}) \right] \\ &\quad \times \int d\eta d\eta' \eta' K'_\pi(k_1, \eta) K_\pi(k_2, \eta) G_\sigma(\eta, \eta', s) K_\pi(k_3, \eta') K'_\pi(k_4, \eta') + 7 \text{ perms.} \\ &= \frac{\lambda_1 \lambda_3 H}{4} (s^2 - k_1^2 - k_2^2)(s^2 - k_3^2 - k_4^2) \epsilon_{ijk} k_3^i k_4^j k_2^m \sum_{h=\pm 1} \epsilon_m^h(\mathbf{s}) \epsilon_k^h(-\mathbf{s}) \\ &\quad \times \int d\eta d\eta' \eta' K'_\pi(k_1, \eta) K_\pi(k_2, \eta) G_\sigma(\eta, \eta', s) K_\pi(k_3, \eta') K'_\pi(k_4, \eta') + 7 \text{ perms. ,} \end{aligned} \tag{6.13}$$

where we have used momentum conservation at each vertex. Once we sum over the remaining two channels, we get the correct number of $4!$ permutations. We also sum over the helicities of the exchanged field, and we restrict to the transverse components since the longitudinal mode cannot give rise to a non-zero parity-odd wavefunction. Using known polarisation sums, see e.g. [16, 37, 63], we can eliminate all reference to the internal field. Using $\sum_{h=\pm 1} \epsilon_i^h(\mathbf{s}) \epsilon_j^h(-\mathbf{s}) = \Pi_{ij}(\mathbf{s}) = \delta_{ij} - s_i s_j / s^2$,²⁵ we then have

$$\psi_{4,s} = \frac{\lambda_1 \lambda_3 H}{4} (s^2 - k_1^2 - k_2^2)(s^2 - k_3^2 - k_4^2) \epsilon_{ijk} k_3^i k_4^j k_2^k \mathcal{I}_E(k_1, k_2, k_3, k_4, s) + 7 \text{ perms. ,} \tag{6.14}$$

where we have defined

$$\mathcal{I}_E(k_1, k_2, k_3, k_4, s) = \int d\eta d\eta' \eta' K'_\pi(k_1, \eta) K_\pi(k_2, \eta) G_\sigma(\eta, \eta', s) K_\pi(k_3, \eta') K'_\pi(k_4, \eta') . \tag{6.15}$$

We note that the second term in the polarisation sum does not contribute since $\epsilon_{ijk} k_3^i k_4^j s^k = 0$ by momentum conservation. It is useful to write the bulk-bulk propagator of the massive field explicitly in terms of the Hankel functions. We have

$$G_\sigma(\eta, \eta', s) = \frac{i\pi H^2}{4} \left[\theta(\eta - \eta') (\eta' \eta)^{3/2} H_\nu^{(2)}(-s\eta') [H_\nu^{(2)}(-s\eta) + H_\nu^{(1)}(-s\eta)] + (\eta \leftrightarrow \eta') \right] , \tag{6.16}$$

where we have used

$$\frac{P_\sigma(s)}{[(-\eta_0)^{3/2} H_\nu^{(2)}(-s\eta_0)]^2} = \frac{\pi H^2}{4} \frac{H_\nu^{(1)}(-s\eta_0)}{H_\nu^{(2)}(-s\eta_0)} \rightarrow -\frac{\pi H^2}{4} , \tag{6.17}$$

$$\text{Im } K(s, \eta) = -\frac{i}{2} \frac{(-\eta)^{3/2}}{(-\eta_0)^{3/2} H_\nu^{(2)}(-s\eta_0)} [H_\nu^{(2)}(-s\eta) + H_\nu^{(1)}(-s\eta)] , \tag{6.18}$$

and have assumed real ν which is the case for our masses of interest.

As we have explained a number of times, whether $\psi_{4,s}$ contributes to the trispectrum or not depends on the properties of \mathcal{I}_E : it must contain an imaginary part, such that $\psi_{4,s}$

²⁵This projection tensor is transverse as it should be: $s_i \Pi_{ij}(\mathbf{s}) = s_j - s_j s^2 / s^2 = 0$, and has the correct normalisation since $\delta^{ij} \Pi_{ij}(\mathbf{s}) = 2$. The 2 comes from summing over the two helicities, using $\epsilon_i^h(\mathbf{s}) \epsilon_i^{h'}(-\mathbf{s}) = \delta_{hh'}$.

is itself imaginary, for there to be a non-vanishing contribution. We will now study the properties of this nested time integral. It turns out that \mathcal{I}_E can be related to a simpler building block, namely the four-point function of a conformally-coupled field that interacts with a massive scalar field σ through the trilinear vertex $\varphi^2\sigma$. The Lagrangian of the φ - σ theory is given by

$$S = \int d^3x d\eta a^4(\eta) \left(-\frac{1}{2}(\partial_\mu\varphi)^2 - H^2\varphi^2 - \frac{1}{2}(\partial_\mu\sigma)^2 - \frac{1}{2}m_\sigma^2\sigma^2 - g\varphi^2\sigma \right), \quad (6.19)$$

where φ is a conformally-coupled field and σ is a scalar field with the same mass as σ^i . The four-point wavefunction coefficient of φ induced by the exchange of σ is given by

$$\psi_{4,s}^\varphi = -\frac{4ig^2}{\eta_0^4} \int \frac{d\eta d\eta'}{\eta^2\eta'^2} e^{i(k_1+k_2)\eta} e^{i(k_3+k_4)\eta'} G_\sigma(s, \eta, \eta'). \quad (6.20)$$

This four-point coefficient is convergent in the $\eta_0 \rightarrow 0$ limit iff $0 \leq \nu < \frac{1}{2}$. Let us define $F(u, v) = -\frac{\eta_0^4 s}{4g^2} \psi_4$ which only depends on the dimensionless quantities $u = \frac{s}{k_1+k_2}$ and $v = \frac{s}{k_3+k_4}$. A similar quantity was bootstrapped in [64]. Here we follow the conventions laid out in section 4.2 of [38], where $F(u, v)$ was found to be

$$F(u, v) = \begin{cases} \sum_{m,n=0}^{\infty} c_{mn} u^{2m+1} \left(\frac{u}{v}\right)^n + \frac{\pi}{2\cos(\pi\nu)} g(u, v), & |u| \leq |v| \\ \sum_{m,n=0}^{\infty} c_{mn} v^{2m+1} \left(\frac{v}{u}\right)^n + \frac{\pi}{2\cos(\pi\nu)} g(v, u), & |v| \leq |u| \end{cases}. \quad (6.21)$$

Here, the c_{mn} 's are a set of real numbers given by

$$c_{mn} = \frac{(-1)^n (n+1)(n+2) \dots (n+2m)}{[(n+\frac{1}{2})^2 - \nu^2][(n+\frac{5}{2})^2 - \nu^2] \dots [(n+\frac{1}{2}+2m)^2 - \nu^2]}, \quad (6.22)$$

and

$$g(u, v) = \kappa(\nu) P_{\nu-\frac{1}{2}}\left(\frac{1}{u}\right) - Q_{\nu-\frac{1}{2}}\left(\frac{1}{u}\right) + Q_{-\nu-\frac{1}{2}}\left(\frac{1}{u}\right), \quad (6.23)$$

with P_ν and Q_ν the associated Legendre functions of the first and second type, respectively, and

$$\kappa(\nu) = i\pi + \frac{\pi}{\cos(\pi\nu)} \frac{\sigma(s, \eta_0)}{\sigma^*(s, \eta_0)} = \pi \tan(\pi\nu). \quad (6.24)$$

Above, $\sigma(s, \eta)$ is the positive-frequency mode function of the massive field, which is the same as (6.3) with $c_h = 1$. Putting everything together, we see that for physical configurations, namely for $0 \leq u \leq 1$, the entire $\psi_{4,s}^\varphi$ is a real quantity. \mathcal{I}_E will inherit this reality as it is related to ψ_4 through a Hermitian weight-shifting operator.²⁶ This operator can be reconstructed from the knowledge of the type of interactions inserted at each vertex. The

²⁶Weight-shifting operators are not always Hermitian, but for our interaction vertices the weight-shifting procedure does not alter the reality of the time integral.

result is given by

$$\begin{aligned} \mathcal{I}_E(k_1, k_2, k_3, k_4, s) = & -\frac{k_1^2 k_4^2}{c_s^3} \left(\frac{\partial^3}{\partial(k_1+k_2)^3} - k_2 \frac{\partial^4}{\partial(k_1+k_2)^4} \right) \left(\frac{\partial^4}{\partial(k_3+k_4)^4} - k_2 \frac{\partial^4}{\partial(k_1+k_2)^5} \right) \\ & \times F \left(\frac{s}{c_s(k_1+k_2)}, \frac{s}{c_s(k_3+k_4)} \right). \end{aligned} \tag{6.25}$$

In this relation, s is held fixed when the partial derivatives $\frac{\partial}{\partial(k_1+k_2)}$ and $\frac{\partial}{\partial(k_3+k_4)}$ operate. The indicated weight-shifting operator in front of $F(u, v)$ turns the external conformally-coupled, massive states into massless ones, hence the name (see [16] for the systematic study of such operators in de Sitter-isometric situations and [65, 66], when de Sitter boosts are broken). It follows from the reality of this weight-shifting operator and that of the seed function $F(u, v)$ that \mathcal{I}_E has to be real. Two technical comments are in order: (i) The expression for $F(u, v)$ in (6.21) on the upper (lower) line contains a series expansion that converges only for $|u| < 1$ ($|v| < 1$). However, for a subluminal $c_s < 1$, both ratios $u = \frac{s}{c_s(k_1+k_2)}$ and $v = \frac{s}{c_s(k_3+k_4)}$ can take values beyond the unit disk where the aforementioned series expansion is not applicable.²⁷ Nevertheless, the reality of \mathcal{I}_E across the region defined by $0 < u < 1$ and $0 < v < 1$ carries over to the entire $u, v > 0$ region because $F(u, v)$ is analytic around $u = 1$ (for arbitrary v) and $v = 1$ (for arbitrary u).²⁸ (ii) Our proof so far only applies to $0 < \nu < 1/2$ for which the four-point $\psi_{4,s}$ is IR-convergent. Nevertheless, for lighter states, namely $\frac{1}{2} \leq \nu < \frac{3}{2}$, \mathcal{I}_E is still convergent and analytic as a function of $\nu > 0$. Consequently, the reality of \mathcal{I}_E follows for an arbitrary positive ν from its reality across $0 < \nu < 1/2$. In appendix A we present a complementary proof that the time integral \mathcal{I}_E is purely real by performing Wick rotations on the two time variables.

Factorised contribution to the trispectrum. Having shown that there is no exchange contribution to the trispectrum for our range of masses, we now move on to the factorised contribution for which we need to compute the two cubic wavefunction coefficients. We are considering the $\mathcal{O}(\lambda_1 \lambda_3)$ trispectrum. The cubic wavefunction coefficient due to the λ_1 vertex is given by (throughout we are suppressing the integration limits which are always the same)

$$\begin{aligned} \psi_{3,1} = & -\lambda_1 k_1^i k_2^j k_3^k \epsilon_j(\mathbf{k}_3) \int d\eta K'_\pi(k_1, \eta) K_\pi(k_2, \eta) K_\sigma(k_3, \eta) + (1 \leftrightarrow 2) \\ = & -\frac{\lambda_1}{2} (k_3^2 - k_1^2 - k_2^2) k_2^i \epsilon_i(\mathbf{k}_3) [\mathcal{I}_1(k_1, k_2, k_3) - \mathcal{I}_1(k_2, k_1, k_3)], \end{aligned} \tag{6.26}$$

where the overall factor of $(-i)$ in the Feynman rules combines with the factor of $(-i)$ we get from converting the three spatial derivatives to momentum space to give an overall factor of (-1) , and in the final line we have used momentum conservation and transversality

²⁷This situation was studied in depth in [65], and an explicit expression for the four-point function was found as a series expansion that converges outside the corresponding unit disk $|u| < 1$ (or $|v| < 1$).

²⁸This is a direct consequence of having a Bunch-Davies initial condition which implies regularity at the collinear limit (i.e. $u = 1$ or $v = 1$).

of the spin-1 field to write $k_1^i \epsilon_i(\mathbf{k}_3) = -k_2^i \epsilon_i(\mathbf{k}_3)$. We have also defined

$$\mathcal{I}_1(k_1, k_2, k_3) = \int d\eta K'_\pi(k_1, \eta) K_\pi(k_2, \eta) K_\sigma(k_3, \eta). \quad (6.27)$$

Similarly, for the cubic wavefunction coefficient due to the λ_3 vertex we have

$$\psi_{3,3} = \frac{iH\lambda_3}{2} (k_3^2 - k_1^2 - k_2^2) \epsilon_{ijk} k_1^i k_2^j \epsilon_k(\mathbf{k}_3) [\mathcal{I}_3(k_1, k_2, k_3) - \mathcal{I}_3(k_2, k_1, k_3)], \quad (6.28)$$

where we have defined

$$\mathcal{I}_3(k_1, k_2, k_3) = \int d\eta \eta K_\pi(k_1, \eta) K'_\pi(k_2, \eta) K_\sigma(k_3, \eta). \quad (6.29)$$

Note that there is an additional factor of η in \mathcal{I}_3 that distinguishes it from \mathcal{I}_1 . Now it is the combination $\rho_3 = \psi_3(\{k\}, \{\mathbf{k}\}) + \psi_3^*(\{k\}, \{-\mathbf{k}\})$ that contributes to the trispectrum and for these two wavefunction coefficients we have

$$\rho_{3,1} = -i\lambda_1 (k_3^2 - k_1^2 - k_2^2) k_2^i \epsilon_i(\mathbf{k}_3) \text{Im} [\mathcal{I}_1(k_1, k_2, k_3) - \mathcal{I}_1(k_2, k_1, k_3)], \quad (6.30)$$

$$\rho_{3,3} = -H\lambda_3 (k_3^2 - k_1^2 - k_2^2) \epsilon_{ijk} k_1^i k_2^j \epsilon_k(\mathbf{k}_3) \text{Im} [\mathcal{I}_3(k_1, k_2, k_3) - \mathcal{I}_3(k_2, k_1, k_3)]. \quad (6.31)$$

As expected, we see that for the interaction vertex with an odd number of spatial momenta, we have an imaginary ρ_3 , while the interaction with an even number of spatial momenta yields a real ρ_3 .

Now, the s -channel contribution to this factorised part of the trispectrum takes the form

$$B_{4,s}^\pi = \prod_{a=1}^4 P_\pi(k_a) \sum_{h=\pm 1} P_\sigma^h(s) \rho_{3,3}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{s}) \rho_{1,3}(\mathbf{k}_3, \mathbf{k}_4 - \mathbf{s}) + [(1, 2) \leftrightarrow (3, 4)], \quad (6.32)$$

where we only add one permutation since we have already explicitly summed over some permutations in arriving at the above expressions for $\rho_{3,1}$ and $\rho_{3,3}$, and as always we don't include the spin zero "exchange" since this will always give a vanishing result. We use an equal sign for this s -channel exchange since as we showed above, the quartic wavefunction coefficient does not contribute to the correlator. Computing this product and summing over the helicities of the exchanged field (recalling that only the δ_{ij} in the polarisation sum contributes) yields

$$B_{4,s}^\pi = \left(\prod_{a=1}^4 P_\pi(k_a) \right) iH\lambda_1 \lambda_3 P_\sigma(s) (s^2 - k_1^2 - k_2^2) (s^2 - k_3^2 - k_4^2) \epsilon_{ijk} k_3^i k_4^j k_2^k \\ \times \text{Im} [\mathcal{I}_3(k_1, k_2, s) - \mathcal{I}_3(k_2, k_1, s)] \text{Im} [\mathcal{I}_1(k_3, k_4, s) - \mathcal{I}_1(k_4, k_3, s)] + [(1, 2) \leftrightarrow (3, 4)]. \quad (6.33)$$

Let us first convince ourselves that this contribution is indeed non-zero. By construction it is invariant under $(1 \leftrightarrow 2)$ and $(3 \leftrightarrow 4)$. This is manifest for $(3 \leftrightarrow 4)$ due to the anti-symmetric nature of ϵ_{ijk} , while it can be made manifest for $(1 \leftrightarrow 2)$ by writing $\epsilon_{ijk} k_3^i k_4^j k_2^k = \epsilon_{ijk} k_3^i k_4^k (k_2^k - k_1^k)/2$. The final sum over replacing $(1, 2) \leftrightarrow (3, 4)$ cannot

yield a vanishing result since $\mathcal{I}_1(k_1, k_2, s) \neq \mathcal{I}_3(k_1, k_2, s)$. So generically we expect this contribution to the trispectrum to be non-zero.

Let's now study the time integrals in more detail. First consider the time integral for the λ_1 interaction, and we remind the reader that throughout this discussion we take ν to be real. We have

$$\mathcal{I}_1(k_1, k_2, s) = -\frac{1}{(-\eta_0)^{3/2} H_\nu^{(2)}(-s\eta_0)} \int d\eta (-\eta)^{5/2} c_s^2 k_1^2 (1 - ic_s k_2 \eta) e^{ic_s k_{12} \eta} H_\nu^{(2)}(-s\eta), \quad (6.34)$$

and therefore

$$\begin{aligned} & \mathcal{I}_1(k_1, k_2, s) - \mathcal{I}_1(k_2, k_1, s) = \\ & -\frac{c_s^2}{(-\eta_0)^{3/2} H_\nu^{(2)}(-s\eta_0)} \int d\eta (-\eta)^{5/2} [k_1^2 (1 - ic_s k_2 \eta) - k_2^2 (1 - ic_s k_1 \eta)] e^{ic_s k_{12} \eta} H_\nu^{(2)}(-s\eta). \end{aligned} \quad (6.35)$$

Here we have defined $k_{12} = k_1 + k_2$. A very similar integral,²⁹ that we can use to compute this one, was computed in appendix B of [15]. Indeed in that work it was shown that the integral³⁰

$$I_n(a, b) = \frac{H\sqrt{\pi}}{2} e^{-\frac{i\pi}{2}(\nu+1/2)} \int_{-\infty}^0 d\eta (-\eta)^{n-1/2} e^{ia\eta} H_\nu^{(2)}(-b\eta) \quad (6.36)$$

is given by

$$I_n(a, b) = (-1)^{n+1} \frac{H}{\sqrt{2b}} \left(\frac{i}{2b}\right)^n \frac{\Gamma(\alpha)\Gamma(\beta)}{\Gamma(1+n)} \times {}_2F_1\left(\alpha, \beta; 1+n; \frac{1}{2} - \frac{a}{2b}\right), \quad (6.37)$$

where we have defined $\alpha = \frac{1}{2} + n - \nu$ and $\beta = \frac{1}{2} + n + \nu$. We can therefore write

$$\mathcal{I}_1(k_1, k_2, s) - \mathcal{I}_1(k_2, k_1, s) = -\frac{2c_s^2}{H\sqrt{\pi}} \frac{e^{\frac{i\pi}{2}(\nu+1/2)}(k_1 - k_2)}{(-\eta_0)^{3/2} H_\nu^{(2)}(-s\eta_0)} [k_{12} I_3(c_s k_{12}, s) + ic_s k_1 k_2 I_4(c_s k_{12}, s)], \quad (6.38)$$

which neatly gives us a closed-form expression. Similarly, for the λ_3 interaction we have

$$\mathcal{I}_3(k_3, k_4, s) - \mathcal{I}_3(k_4, k_3, s) = -\frac{2c_s^2}{H\sqrt{\pi}} \frac{e^{\frac{i\pi}{2}(\nu+1/2)}(k_3 - k_4)}{(-\eta_0)^{3/2} H_\nu^{(2)}(-s\eta_0)} [k_{34} I_4(c_s k_{34}, s) + ic_s k_3 k_4 I_5(c_s k_{34}, s)]. \quad (6.39)$$

We can now write a more compact expression for eq. (6.33), the full s -channel trispectrum, which is given by

$$\begin{aligned} B_{4,s}^\pi = & -\left(\prod_{a=1}^4 P_\pi(k_a)\right) c_s^4 H \lambda_1 \lambda_3 (s^2 - k_1^2 - k_2^2)(s^2 - k_3^2 - k_4^2)(k_1 - k_2)(k_3 - k_4) \epsilon_{ijk} k_3^i k_4^j k_2^k \\ & \times [k_{12} I_3(c_s k_{12}, s) + ic_s k_1 k_2 I_4(c_s k_{12}, s)] [k_{34} I_4(c_s k_{34}, s) + ic_s k_3 k_4 I_5(c_s k_{34}, s)] \\ & \times \sin\left(\frac{\pi}{2}(\nu + 1/2)\right) \cos\left(\frac{\pi}{2}(\nu + 1/2)\right) + [(1, 2) \leftrightarrow (3, 4)], \end{aligned} \quad (6.40)$$

²⁹It would be interesting to use the recently developed techniques in [67] to extract the cosmological collider oscillations implied by this signal.

³⁰We are actually working with the complex conjugate of the integral that was computed in [15] since our integral contains $H_\nu^{(2)}$ rather than $H_\nu^{(1)}$.

where, as we have seen before, all η_0 dependence has cancelled out to leave us with an expression that is IR-finite. There are a few quick checks we can do on this result. We notice that it is purely imaginary, as it should be, due to the I_3 and iI_4 terms, and we see that for $\nu = 1/2$ and $\nu = 3/2$ this trispectrum vanishes thereby reproducing our results in section 3. We also see that the result is non-zero for the intermediate range of masses that we are interested in here. Finally, we see that the overall scaling with momenta is k^{-9} as it should be for external massless scalars. Once we sum over the remaining two permutations (the t and u channels), and we divide by H^4 this gives us our final result for the parity-odd trispectrum of the comoving curvature perturbation ζ due to the exchange of a massive (but light) spin-1 field during inflation:

$$\begin{aligned}
 B_4^\zeta = & - \left(\prod_{a=1}^4 P_\zeta(k_a) \right) \frac{c_s^4 \lambda_1 \lambda_3}{H^3} (s^2 - k_1^2 - k_2^2)(s^2 - k_3^2 - k_4^2)(k_1 - k_2)(k_3 - k_4) \epsilon_{ijk} k_3^i k_4^j k_2^k \\
 & \times [k_{12} I_3(c_s k_{12}, s) + i c_s k_1 k_2 I_4(c_s k_{12}, s)] [k_{34} I_4(c_s k_{34}, s) + i c_s k_3 k_4 I_5(c_s k_{34}, s)] \\
 & \times \sin\left(\frac{\pi}{2}(\nu + 1/2)\right) \cos\left(\frac{\pi}{2}(\nu + 1/2)\right) + [(1, 2) \leftrightarrow (3, 4)] + t + u. \tag{6.41}
 \end{aligned}$$

Let us now discuss the constraints on the couplings λ_1 , λ_3 from the requirements of perturbativity. For c_s close to 1, i.e. in the case that the spinning field and the Goldstone move with approximately the same speed, we can use the fact that $I_n \sim H$ (up to dimensional functions of k_a which are fixed by scale invariance and an innocuous hypergeometric function). From this we get that $B_4^\zeta \sim \lambda_1 \lambda_3 \Delta_\zeta^8 / H$, which gives $\tau_{\text{NL}}^{\text{PO}} \sim B_4^\zeta / P_\zeta^3$ of order³¹

$$\tau_{\text{NL}}^{\text{PO}} \sim \frac{\Delta_\zeta^2 \lambda_1 \lambda_3}{H}. \tag{6.42}$$

Let us then estimate the unitarity cutoff of the theory. For c_s close to 1 this is straightforward. Once we canonically normalize π we find that the λ_1 interaction is a dimension 7 operator suppressed by $\Lambda_1 \sim H^{4/3} / (\Delta_\zeta^2 \lambda_1)^{1/3}$, while the λ_3 one is a dimension 8 operator suppressed by $\Lambda_3 \sim H / (\Delta_\zeta^2 \lambda_3)^{1/4}$. Requiring that at crossing we are below the cutoff, $H \ll \Lambda_{1,3}$ leads to

$$\lambda_3 \Delta_\zeta^2 \ll 1, \quad \lambda_1 \Delta_\zeta^2 \ll H. \tag{6.43}$$

To relate this to the overall size $\tau_{\text{NL}}^{\text{PO}}$, let's focus on the regime $\Lambda_1 \sim \Lambda_3$. Using this we can re-write λ_1 in terms of λ_3 and obtain

$$\tau_{\text{NL}}^{\text{PO}} \sim \lambda_3 (\Delta_\zeta^2 \lambda_3)^{\frac{3}{4}} \quad (\text{assuming } \Lambda_1 \sim \Lambda_3). \tag{6.44}$$

From this we see that it is possible to choose λ_3 in order for the theory to be weakly coupled at horizon crossing and to have $\tau_{\text{NL}}^{\text{PO}} \gg 1$.

Let us then see what happens if $c_s \gg 1$ or $c_s \ll 1$. In both cases we need to keep track of the hypergeometric function in eq. (6.41). Let us consider first the limit $c_s \gg 1$. We are interested in ${}_2F_1(\alpha, \beta; \gamma; z)$ at large negative z . In order to isolate the scaling with c_s we can focus for simplicity on the case $\nu = 3/2$, disregarding the fact that the overall

³¹Notice that λ_3 is dimensionless while λ_1 has dimension of mass.

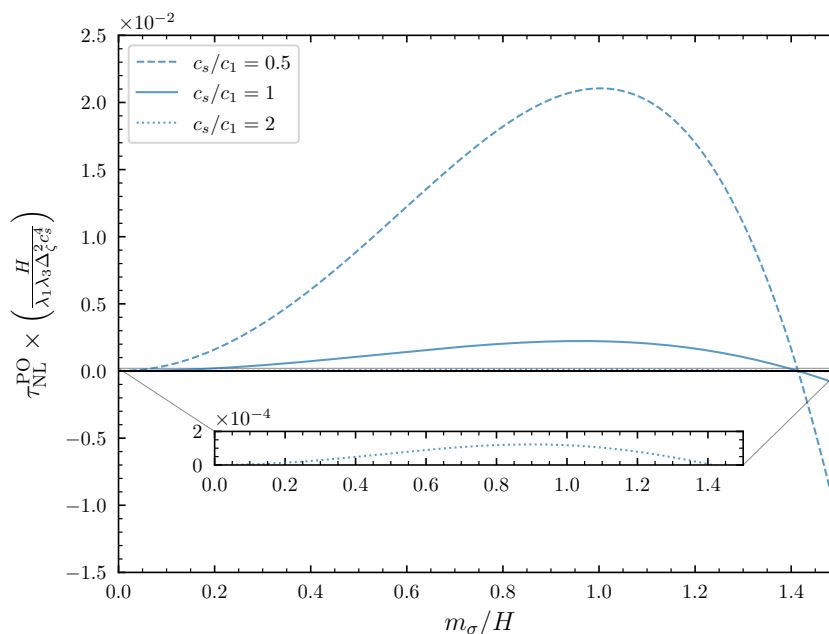


Figure 5. $\tau_{\text{NL}}^{\text{PO}}$ for the curvature perturbation ζ from the exchange of a spin-1 field with mass m_σ and interactions λ_1 and λ_3 in eq. (6.8). Notice that the trispectrum vanishes for the conformally-coupled mass $m_\sigma/H = \sqrt{2}$, in agreement with our general no-go theorems.

trispectrum is zero in this case: the scaling with c_s is unaffected by σ having a non-zero mass. Hence, we have $\beta - \alpha = 3$, and we can use the relation

$${}_2F_1(\alpha, \beta; \gamma; z) \sim \frac{2(1-z)^{-\alpha}}{\Gamma(\beta)\Gamma(\gamma-\alpha)}, \quad (6.45)$$

valid for $|z-1| > 1$, $|\arg(1-z)| < \pi$ and $\beta - \alpha \in \mathbb{N}$. From this we see that the square brackets in the second line of eq. (6.41) yield a factor of H^2/c_s^5 in the limit of large c_s , giving

$$\tau_{\text{NL}}^{\text{PO}} \sim \frac{\Delta_\zeta^2 \lambda_1 \lambda_3}{H c_s}. \quad (6.46)$$

In the case of $c_s \ll 1$, given the regularity of the hypergeometric function at $z = 1/2$, we see that

$$\tau_{\text{NL}}^{\text{PO}} \sim \frac{\Delta_\zeta^2 c_s^4 \lambda_1 \lambda_3}{H}. \quad (6.47)$$

It is more difficult to estimate, in this case, the unitarity cutoff of the theory without doing an explicit calculation. We leave this, and a more detailed discussion on how large $\tau_{\text{NL}}^{\text{PO}}$ must be in order to explain the measurement of refs. [10, 11], to a future work: we only notice that having a slow σ^i suppresses non-Gaussianities at fixed λ_1 and λ_3 . In figure 5 we plot $\tau_{\text{NL}}^{\text{PO}}$ as defined by our normalization condition of eq. (2.22) at varying mass of the exchanged spinning particle and c_s .

7 Summary and future directions

In this work we have studied signatures of parity violation from the inflationary primordial universe. Until tensor modes are detected, our best hope is to study the statistics of scalar fluctuations imprinted in primordial curvature perturbations. Parity violation cannot appear in the power spectrum and bispectrum, even at the full non-perturbative level, hence in this paper we have focused here on the scalar, parity-odd trispectrum B_4^{PO} . We have re-derived and extended previous no-go results [1] that rule out parity violation at tree-level in the presence of any number of scalars of any mass, or fields of any spin with massless de Sitter mode functions. Crucially, these no-go results assume scale invariance and a Bunch-Davies initial state. The *raison d'être* of these no-go theorems is to help us identify the classes of models in which we *can* get a non-vanishing B_4^{PO} and to determine the physical implications of a possible discovery of this signal. It is particularly timely to pursue this goal, in light of the recent measurements of the parity-odd four-point function of BOSS galaxies of refs. [10, 11]. While it is important to keep in mind that it is possible that the signal detected (to different levels of significance) by these two groups could be due to systematics instead of fundamental physics, it is equivalently important to stress that this is the first example in a long time where it is data that drives the theory, as far as the study of the inflationary universe is concerned.

To the end of identifying models where a non-vanishing parity-odd trispectrum can be obtained, we have then relaxed these assumptions and derived explicitly the parity-odd trispectra that one can generate in more general classes of models. In section 4, we showed that general deviations from scale invariance, which show up in an explicit time dependence of the coupling constants for perturbations, leads to a non-vanishing B_4^{PO} . We stress that, for a time-dependence that is logarithmic in conformal time, the resulting B_4^{PO} is actually scale-invariant, see e.g. eq. (4.11). In this case, deviations from scale invariance are present in the wavefunction, but drop out when we compute the trispectrum, which for parity-odd interactions is the imaginary part of the wavefunction. In section 5 we discussed a different scenario where a non-zero parity-odd trispectrum can arise: while maintaining scale invariance, we deviate from the massless de Sitter mode functions and consider those of Ghost Inflation (GI). In the infinite past, the GI mode functions do not reduce to the standard $e^{\pm ikt}$ mode functions in Minkowski, and as such they avoid the no-go theorems we derived in section 3. We concentrated on self-interactions for the Goldstone boson that are “invariant” under the leading part of the non-linear boost symmetry (as opposed to Wess-Zumino terms), and presented two examples that lead to a non-zero signal. The resulting B_4^{PO} are given in (5.18) and (5.25), for two different parity-odd interactions. Despite the fact that we concentrated on these operators that arise from four building block covariant ones, we have shown that other operators cannot yield interactions with a lower scaling dimension than that of eq. (5.9). Finally, we have considered the case in which massive fields are present. For scalars of any mass the primordial parity-odd trispectrum vanishes at tree-level, but in the presence of massive spinning fields it can be non-vanishing. As an example, we have computed B_4^{PO} for the exchange of massive vector and the result is in eq. (6.41) and plotted as function of mass for a specific configuration in figure 5.

There are other ways to generate a non-vanishing B_4^{PO} , which we don't discuss here. One possibility is a process in which four external scalars exchange a spinning field whose power spectrum is chiral, i.e. the power in one helicity is different from the power in the other. Such a situation arises in models of axions coupled to a U(1) gauge sector in the presence of an $f(\phi)(FF + F\tilde{F})$ coupling, as discussed e.g. in [5], however sometimes with the added feature of the breaking of statistical isotropy. Other examples of parity-odd scalar trispectra were given in [1].

Our work could be extended in a number of directions:

- Loop corrections can contribute to B_4^{PO} already in single-clock inflation with massless de Sitter mode functions. In the scale invariant limit this is an interesting setup where loop effects can be larger than tree-level ones. We will discuss this in detail in an upcoming paper.
- It would be interesting to assess what happens when we go beyond the decoupling limit i.e. where we include the effects of dynamical gravity. This can yield new exchange diagrams where curvature perturbations exchange the transverse, traceless part of the graviton, but also new contact diagrams where now the self-interactions can include inverse Laplacians which arise when we integrate out the non-dynamical parts of the metric. Such gravitational effects are expected to be too small to aid with an explanation of the signal potentially found in [10, 11], yet it is interesting to understand if such corrections can avoid our no-go theorems.
- In section 6 where we considered the exchange of a massive spinning field, we choose EFTI interactions between the Goldstone mode and the new massive field that did not come with any quadratic mixing terms. However, it would be interesting to extend our analysis to include such mixings that will introduce new exchange diagrams as was considered in [68] for parity-even bispectra. Such quadratic mixing terms come with a number of spatial derivatives that is dictated by the spin of the massive field. This leads to distinctive signatures in the squeezed limit of cosmological correlators, and potentially new shapes of parity-violating trispectra.

In summary, the no-go results and yes-go examples in our work contribute to establish the parity-odd trispectrum as a particularly sensitive probe of new physics during inflation.

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A Wick rotation for ψ_4 due to massive exchange

In this appendix we study the exchange time integral (6.15) and show that it is purely real. In turn this tells us that the associated $\psi_{4,s}$ is real and therefore does not contribute to the trispectrum.

We will actually work with a more general time integral of the form

$$\mathcal{I}_E(k_a, s) = \int d\eta d\eta' \eta^{n_L-4} \eta'^{n_R-4} f_L(k_1, k_2, \eta) f_R(k_3, k_4, \eta') G_\sigma(\eta, \eta', s), \quad (\text{A.1})$$

arising from two vertices with n_L and n_R derivatives. Here the function f_L (f_R) depends on $K_\pi(k_1, \eta)$, $K_\pi(k_2, \eta)$ ($K_\pi(k_3, \eta)$, $K_\pi(k_4, \eta)$) and their time derivatives. Using the relation

$$\frac{d^n}{d\eta^n} K_\pi(k, \eta) = e^{i c_s k \eta} (i c_s k)^n (1 - n - i c_s k \eta) \quad (\text{A.2})$$

we can, for example, write

$$f_L(k_1, k_2, \eta) = (i c_s k_1)^{n_1} (i c_s k_2)^{n_2} \text{poly}_L(i c_s k_1 \eta, i c_s k_2 \eta) e^{i c_s k_{12} \eta}, \quad (\text{A.3})$$

where $k_{12} = k_1 + k_2$, poly_L is some polynomial, and $n_1 + n_2 \leq n_L$. A similar expression can be derived for f_R , with a corresponding poly_R that depends on η' . We will assume that $n_{L,R} \geq 4$ such that there are no IR divergences at $\eta \rightarrow 0$. We can confirm this by focusing on the case of a massless exchange, $\nu = 3/2$. In this case, we have that the bulk-bulk propagator is IR-finite (see eq. (A.4) below), hence as long as we have a number of derivatives equal to or higher than 4 on each vertex the integral is guaranteed to converge at late times.

Now recall that for real ν , which we assume throughout this appendix, we can write the bulk-bulk propagator as

$$G_\sigma(\eta, \eta', s) = \frac{i\pi H^2}{2} \left[\theta(\eta - \eta') (-\eta')^{3/2} (-\eta)^{3/2} H_\nu^{(2)}(-s\eta') J_\nu(-s\eta) + (\eta \leftrightarrow \eta') \right]. \quad (\text{A.4})$$

Let’s concentrate on the case where $\eta > \eta'$ meaning that we pick up the first Heaviside theta function in the bulk-bulk propagator. We integrate η' from the far past (where we Wick rotate) up to η , then integrate η from the far past to the late-time boundary. To aid with assessing the reality of this integral, we Wick rotate to the path $\eta(\lambda_1) = i\lambda_1$, $\eta'(\lambda_1, \lambda_2) = \eta(\lambda_1) + i\lambda_2$, with λ_1, λ_2 from $+\infty$ to 0 (the path for the second contribution in the bulk-bulk propagator is readily obtained by $\eta \leftrightarrow \eta'$). With this rotation we have

exponential convergence at infinity and one does not have to worry about the inner limit of integration depending on the outer integration variable. We now have

$$\begin{aligned} \mathcal{I}_E(k_a, s) &= (c_s k_1)^{n_1} (c_s k_2)^{n_2} (c_s k_3)^{n_3} (c_s k_4)^{n_4} i^{n_1+n_2+n_3+n_4+n_L+n_R} \\ &\times \int_0^{+\infty} \int_0^{+\infty} d\lambda_1 d\lambda_2 F(k_1, k_2, k_3, k_4, c_s, \lambda_1, \lambda_2) \\ &\times H_\nu^{(2)}(-is\lambda_{12}) J_\nu(-is\lambda_1) + (\eta \rightarrow \eta'), \end{aligned} \tag{A.5}$$

where $\lambda_{12} = \lambda_1 + \lambda_2$ and F is a real function. Crucially, we then see that the reality of $\mathcal{I}_E(k_a, s)$ is determined by the combination of Hankel and Bessel functions, and the number of derivatives in each vertex. Using the following integral representations of the Hankel and Bessel functions:

$$\begin{aligned} H_\nu^{(2)}(z) &= -\frac{e^{\frac{i\pi\nu}{2}}}{i\pi} \int_{-\infty}^{+\infty} dt e^{-iz \cosh t - \nu t} \quad \text{and} \\ J_\nu(-iz) &= \frac{2^{1-\nu} (-iz)^\nu}{\sqrt{\pi} \Gamma(\nu + \frac{1}{2})} \int_0^1 dt (1-t^2)^{\nu-\frac{1}{2}} \cosh(zt), \end{aligned} \tag{A.6}$$

valid for $-\pi < \arg z < 0$ and $\text{Re } \nu > -\frac{1}{2}$,³² respectively, we see that the product $H_\nu^{(2)}(-is\lambda_{12}) J_\nu(-is\lambda_1)$ is purely imaginary. Indeed, using fact that ν is real, we have $H_\nu^{(2)}(-is\lambda_{12}) = ie^{\frac{i\nu\pi}{2}} \times (\text{Real})$ and $J_\nu(-is\lambda_1) = e^{-\frac{i\nu\pi}{2}} \times (\text{Real})$. We therefore have

$$\mathcal{I}_E(k_a, s) = i^{n_1+n_2+n_3+n_4+n_L+n_R+1} \times (\text{Real}). \tag{A.7}$$

Now to find a parity-odd ψ_4 , we need one vertex to have an even number of spatial momenta and the other to have an odd number. Let's take n_L to contain an odd number and n_R to contain an even number. It follows that $n_1 + n_2 + n_L$ is odd while $n_3 + n_4 + n_R$ is even. It then follows that $\mathcal{I}_E(k_a, s)$, and therefore ψ_4 , are purely real. This means that the parity odd quartic wavefunction coefficient due to the exchange of a massive spinning field with real ν does not contribute to the trispectrum. This proof complements the one we have in section 6 using weight-shifting operators.

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³²Both of these conditions are satisfied for us since for $z = -is\lambda_{12}$ we have $\arg z = -\frac{\pi}{2}$ and for $0 < m_\sigma^2 < 2H^2$ we always have $\text{Re } \nu > -\frac{1}{2}$.

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