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2	Paternally expressed imprinted genes under positive Darwinian
3	selection in Arabidopsis thaliana
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- 39

41 Abstract

42 Genomic imprinting is an epigenetic phenomenon where autosomal genes display uniparental expression depending on whether they are maternally or paternally 43 inherited. Genomic imprinting can arise from parental conflicts over resource 44 allocation to the offspring, which could drive imprinted loci to evolve by positive 45 selection. We investigate whether positive selection is associated with genomic 46 imprinting in the inbreeding species Arabidopsis thaliana. Our analysis of 140 genes 47 regulated by genomic imprinting in the A. thaliana seed endosperm demonstrates 48 49 they are evolving more rapidly than expected. To investigate whether positive selection drives this evolutionary acceleration, we identified orthologs of each 50 imprinted gene across 34 plant species and elucidated their evolutionary trajectories. 51 52 Increased positive selection was sought by comparing its incidence among imprinted genes with non-imprinted controls. Strikingly, we find a statistically significant 53 enrichment of imprinted paternally expressed genes (iPEGs) evolving under positive 54 selection, 50.6% of the total, but no such enrichment for positive selection among 55 imprinted maternally expressed genes (iMEGs). This suggests that maternally- and 56 57 paternally-expressed imprinted genes are subject to different selective pressures. Almost all positively selected amino acids were fixed across 80 sequenced A. thaliana 58 accessions, suggestive of selective sweeps in the A. thaliana lineage. The imprinted 59 genes under positive selection are involved in processes important for seed 60 development including auxin biosynthesis and epigenetic regulation. Our findings 61 support a genomic imprinting model for plants where positive selection can affect 62 paternally-expressed genes due to continued conflict with maternal sporophyte 63 tissues, even when parental conflict is reduced in predominantly inbreeding species. 64

66 Introduction

67 Rapid evolution under Positive Selection (PS) is a feature of many reproductive proteins in both plants and animals, occurring either as a result of adaptive radiation 68 or of sexual conflict within and between genomes (Clark, et al. 2006). For example, 69 tests of selective pressure have shown that genes expressed in the highly reduced 70 male gametophyte of flowering plants (the pollen grain) display elevated PS 71 (Arunkumar, et al. 2013; Gossmann, et al. 2014). These increased levels of PS are 72 observed in genes expressed in the pollen tube but not the sperm cell, and are 73 74 interpreted to be a consequence of conflict driven by competition between pollen 75 grains for access to ovules (Bernasconi, et al. 2004). Conflict is also expected to occur at loci regulated by genomic imprinting, in which genes are monoallelically expressed 76 77 under epigenetic regulation in a parent-of-origin specific manner, in violation of the Mendelian rules of genetic inheritance (Haig 1997; Wilkins 2011). Indeed, genomic 78 79 imprinting is widely considered to have evolved due to conflict between parentallyderived genomes over resource allocation to developing offspring which lead to genes 80 81 evolving different optimal expression levels depending upon whether they are 82 maternally- or paternally-derived (Willson and Burley 1983; Wilkins and Haig 2003b; Haig 2004). Imprinting has been reported from both mammals and flowering plants, in 83 which it principally occurs in the endosperm (Gehring and Satyaki 2017), the second 84 product of double fertilization which provides maternally-derived resources to the 85 developing embryo in the seed (Walbot and Evans 2003). Imprinting leads to the 86 occurrence of imprinted maternally expressed genes (iMEGS) and imprinted paternally 87 expressed genes (iPEGS) (Haig and Westoby 1991; Garnier, et al. 2008; Köhler, et al. 88 2012). Kin conflict between iPEGs and iMEGs in plants is expected to arise from 89 differences in the optimal level of offspring resource allocation, and resulting offspring 90 91 size, between the maternal and paternal genomes as selection on the maternal genome favours equal provision to all offspring (and iMEGs near-equal provision; see 92 93 (Trivers 1974)) while the paternal genome promotes growth of its own offspring alone (Haig 2000; Costa, et al. 2012; Haig 2013; Willi 2013). 94

Such conflict can have different consequences at the molecular level, including conflict
 relating to expression level and rapid evolution of nucleotide sequence (or epigenetic

signatures) associated with gene expression (Haig, et al. 2014). At the level of the 97 coding sequence, one prediction is that conflict can lead to positive selection on pairs 98 99 of reciprocally imprinted genes expressed from the maternally and paternally 100 inherited genomes, each having antagonistic effects on offspring growth (Wilkins and Haig 2001; Mills and Moore 2004). We illustrate this occurring inside the endosperm 101 of the seed (yellow) in fig. 1A, within which iMEGs and iPEGs mutually interact. Some 102 support for this particular form of parental conflict has been found in mammals, for 103 example at the Igf-2 and callipyge loci (Georges, et al. 2003; Reik, et al. 2003; Crespi 104 and Semeniuk 2004). Signatures of positive selection have also been detected at the 105 106 imprinted MEDEA locus in the flowering plant Arabidopsis lyrata (Spillane, et al. 2007; 107 Miyake, et al. 2009) which may support the hypothesis that imprinting can cause 108 positive selection on coding sequences of the loci concerned. On the other hand, conflict can have other molecular effects, including selection for stable equilibria of 109 110 iMEG and iPEG expression levels (Haig 2014), and co-evolutionary scenarios between 111 iMEGs and cytoplasmic factors (Wolf and Hager 2006), as shown in fig. 1B. It has also been suggested that conflict could occur between iPEGs and the tissues of the 112 113 maternal sporophyte (Willi 2013): the genes of the seed coat (SC) are also maternallyderived and could therefore act in a manner antagonistic to iPEGs - this scenario of 114 115 'indirect conflict' between the genes of the maternal seed coat (which we denote scMEGs) and iPEGs in the endosperm is shown in fig. 1C. It has been alternatively 116 suggested that imprinting in plants could be related to the biology of gene expression 117 in triploid endosperm, for example as a dosage control mechanism, although a recent 118 119 study of gene expression in triploid embryos did not support this (Fort, et al. 2017).

120 Genomic imprinting also occurs in the model plant, Arabidopsis thaliana (L.) Heynh, which is the sister species to A. lyrata, at MEDEA and several hundred other loci 121 (Gehring, et al. 2011; Hsieh, et al. 2011; McKeown, et al. 2011; Wolff, et al. 2011). 122 Furthermore, a subset of imprinted genes which are expressed early in A. thaliana 123 seed development (four days after pollination) display accelerated evolutionary rates 124 compared to non-imprinted genes (Wolff, et al. 2011) as measured by D_N/D_S . The rate 125 of nonsynonymous mutations per nonsynonymous site (D_N) and the rate of 126 synonymous mutations per synonymous site (D_s) is assumed to follow the neutral 127

evolutionary process and the ratio, such that D_N/D_S (also denoted ω), is therefore 128 approximate to the selective pressure on the protein product of a gene. A value of 129 ω >1 signifies positive selection (PS) at a site, ω ~1 implies neutral evolution, while ω <1 130 131 indicates purifying selection. It should be noted that positive selection typically only acts at a subset of amino acid sites while other sites are typically still under purifying 132 selection, so ω is still generally <1 at the level of the whole gene even when PS has 133 occurred. Hence, comparisons between sets of candidate genes and relevant control 134 sets are needed to identify elevated levels of ω . Enrichment for sites with $\omega>1$ in the 135 dataset of Wolff et al. (Wolff, et al. 2011) when compared with controls in this way 136 137 was therefore interpreted as a possible signature for conflict-driven selection within 138 plant imprinted genes.

139 Evidence of elevated rates of adaptive substitution have also been reported for imprinted genes of the outcrossing Brassicaceae species, Capsella rubella 140 141 (Hatorangan, et al. 2016). This suggests that increased PS could be a general 142 phenomenon for imprinted genes, supporting models of the parental conflict theory in which conflict leads to rapid evolution of coding sequences. However, it is important 143 to note that elevated D_N/D_S values can be caused by other factors such as variable 144 effective population size, N_e (Kryazhimskiy and Plotkin 2008; Jensen and Bachtrog 145 2011) and selection on silent sites (Chamary, et al. 2006). It is also unclear whether 146 potential PS in A. thaliana or C. rubella is acting equally on iMEGs or iPEGs as would 147 148 be consistent with models of parental conflict involving direct interactions between 149 the proteins which they encode (fig. 1A): iMEGs and iPEGs both showed higher D_N/D_S 150 in the study of (Wolff, et al. 2011), although in C. rubella increased accumulation of nearly neutral non-synonymous variants was restricted to iPEGs (Hatorangan, et al. 151 2016). Nor has it been shown whether past positive selection has led to fixation within 152 current plant populations, as would be expected if the selection acting on amino acids 153 is functionally significant for protein function. 154

To determine whether genomic imprinting in the seed endosperm is associated with positive selection in plant genomes, we analyzed the selective pressures acting on a comprehensive group of all confirmed imprinted genes of *A. thaliana* (Gehring, et al. 2011; Hsieh, et al. 2011; McKeown, et al. 2011; Wolff, et al. 2011). Specifically, we

addressed the following questions: 1) What selective pressures are imprinted genes 159 160 evolving under in A. thaliana? 2) If imprinted genes are evolving under positive 161 selection, does this lead to overall positive selection in iMEGs and/or iPEGs being elevated compared to similar sets of biallelically expressed genes? And 3) Is there 162 evidence for fixation of positively selected sites in imprinted genes across sequenced 163 A. thaliana accessions? Our findings in relation to these questions extend our 164 understanding of the evolutionary drivers of genomic imprinting and the 165 consequences of parental conflict during reproduction. 166

168 **Results**

169 Imprinted Arabidopsis thaliana genes are rapidly evolving

Genomic imprinting has been predicted to evolve due to parental conflicts over 170 171 provision of maternal resources to offspring, which has been hypothesised to lead to positive selection at loci involved in this conflict. The model eudicot Arabidopsis 172 173 thaliana has been reported to display genomic imprinting on at least 436 genes in its seed endosperm (Gehring et al., 2011; Hsieh et al., 2011; McKeown et al., 2011; Wolff 174 et al., 2011), with growing consensus over a core set which appear to be stably 175 176 imprinted in many accessions (Gehring and Satyaki 2017; Schon and Nodine 2017; 177 Wyder, et al. 2017). The identification of genes subject to monoallelic expression in the seed endosperm can be confounded by parent-of-origin specific expression 178 patterns that can also arise during early seed development from gametophytic 179 deposition of mRNA in the fertilised egg cell (zygote) or fertilised central cell 180 (endosperm), or from maternal-expression from genes expressed in the sporophytic 181 seed coat, which may be present as contaminants during RNA-seq analyses. To 182 determine the selective pressures acting on imprinted genes, while avoiding these 183 184 confounding scenarios, we focused our analyses on those genes with strong evidence for uniparental expression in seeds due to imprinting. We classified these as genes 185 identified from RNA-seq-based studies (Gehring, et al. 2011; Hsieh, et al. 2011; Wolff, 186 et al. 2011) which are expressed from the paternal genome (iPEGs), and which 187 therefore cannot be due to contamination from maternal tissues; and those iMEGs for 188 189 which experimental validation of monoallelic expression and/or epigenetic regulation in the endosperm has been performed in planta (Vielle-Calzada, et al. 1999; Kinoshita, 190 191 et al. 2004; Köhler, et al. 2005; Tiwari, et al. 2008; Gehring, et al. 2009; Hsieh, et al. 192 2011; McKeown, et al. 2011; Shirzadi, et al. 2011; Wolff, et al. 2011). This produced a set of 140 high-confidence imprinted genes (supplementary table S1A,1B) of which 63 193 were iPEGs and 77 were iMEGs. By comparing the A. thaliana and A. lyrata orthologs, 194 we determined that both iPEGs and iMEGs within the 140 imprinted genes had mean 195 values of ω significantly higher than that of the background representing all other 196 remaining A. thaliana genes (table 1; U-test: iPEGs p=9.9e-07, iMEGs p=1.9e-06). This 197 198 provides large-scale empirical evidence that rapid evolution previously observed in

imprinted genes detected in seed offspring at 4 days after pollination from one set of
 reciprocal crosses (Wolff, et al. 2011) applies more generally to the imprinted genes of
 A. thaliana.

202

203 Imprinted genes are evolving under positive selection in A. thaliana

PS can be detected at the population genomic level by assessing allele frequency and 204 coalescence time as variation subject to PS is expected to go to fixation (Nielsen 2005; 205 Sabeti, et al. 2006). Genes can display elevated ω for a range of reasons other than PS, 206 however, such as reduced functional constraint or pseudogenization. To test whether 207 208 the increase in ω observed across the imprinted iMEGs and iPEGs was due to positive 209 selection, we analyzed the evolutionary rates of iMEGs and iPEGs in the context of 210 clusters of orthologous genes from across the plant kingdom. This analysis was 211 conducted using an in-house plant database containing ortholog clusters from 34 212 sequenced plant species, either Embryophyte or Chlorophyte (supplementary fig. S1). 213 To further ensure the robustness of our analysis, we only considered clusters for which orthologous genes could be identified from at least six species, in addition to A. 214 215 thaliana (see Methods), following recommended best practice for PAML analyses derived from simulation studies (Anisimova, et al. 2001). Applying this filter, suitable 216 clusters for PAML (codeML) analyses were obtained for 64 of the 140 imprinted genes 217 (30 iMEGs and 34 iPEGs; fig. 2; supplementary table S1B). Sequence alignment quality 218 219 is also critical for correct sequence analysis (Markova-Raina and Petrov 2011) so all alignments were also assessed using the norMD score as a proxy for alignment quality 220 (Thompson, et al. 2001) - see Methods for details. Two genes (iPEG AT4G11400, iMEG 221 222 AT5G53870) that had poor sequence alignment quality (norMD score <0.6) were excluded from further analyses. 223

Applying standard codeML models to the remaining 62 imprinted genes, we identified 30 that are evolving under PS (table 2; fig. 3A; supplementary table S1). For 6 of the 30 positively selected imprinted genes, the PS was specific to the *A. thaliana* lineage (i.e. lineage-specific PS; supplementary table S1A), while for 16 imprinted genes positive selection was detected at individual codons in cross-lineage comparisons (i.e. sitespecific PS, supplementary table S1A). Eight imprinted genes displayed both lineage-

specific and site-specific PS (fig. 3A). To ensure that these results have not been biased 230 by any of the assumptions inherent in PAML, we also performed a HyPhy analysis 231 (Pond and Muse 2005) on these 62 genes, using a combination of FEL (Fixed Effects 232 233 Likelihood), SLAC (Single-Nucleotide Ancestor Counting), and MEME (Mixed Effects Model of Evolution) packages, as described in the Methods. From these analyses, we 234 determined that PS is also predicted to be occurring on all 30 genes identified by 235 PAML (supplementary table S2). HyPhy and codeml-based models such as PAML differ 236 fundamentally in how they estimate site-specific rates: PAML models use random 237 effects likelihood while HyPhy models use fixed-effects likelihood, hence the 238 239 congruence between the results of the two approaches provides strong confirmation 240 of the robustness of the PS signature at the 30 imprinted loci.

Recently, a methodology has been published for directly estimating possible 241 confounding of imprinting gene analysis by contamination with maternal tissues 242 (Schon and Nodine 2017). Two of the datasets, of Gehring et al. (2011) and Hsieh et 243 244 al. (2011), were analysed by Schon and Nodine who suggested that 20 iMEGs from these studies used in our analysis should be considered 'low-confidence' (although 245 variation in gene expression patterns under different growth conditions could itself 246 confound these conclusions). The RNA-seq dataset of Wolff et al. (2011) was not 247 analysed by the Schon and Nodine (2017), so we performed the tissue-enrichment 248 test of Schon and Nodine on the datasets used by Wolff et al. (2011) to determine 249 expression pattern (Belmonte et al., 2012). We conclude that these datasets do not 250 251 suffer from significant levels of cross-tissue contamination (supplementary fig. S2): 252 only the suspensor showing any potential contamination from non-suspensor specific transcripts while none of the endosperm datasets used to identify imprinted genes 253 showed any enrichment for other tissues, including the maternal seed coat. We 254 conclude that the remaining 57/77 iMEGs used in our PAML and HyPhy analyses are 255 'high-confidence' imprinted genes, while a further 20 may be due to the presence of 256 maternally-derived transcripts (supplementary table S3). These include four genes 257 which are under positive selection according to both codeML and HyPhy, 10 others 258 259 which showed no evidence for PS and 6 which were not tested due to lack of sufficient orthology clusters. We conclude that positive selection acts upon 19 iPEGs and 11 260

iMEGs, and that all of the iPEGs and at least 7 of the iMEGs are high-confidence imprinted genes. Taken together, these results indicate that positive selection acts on

- 263 protein-coding genes regulated by genomic imprinting in the seeds of *A. thaliana*.
- 264

265 Imprinted genes are preferentially affected by positive selection

266 The large number of imprinted genes subject to positive selection suggested that genes epigenetically regulated by genomic imprinting could be under stronger positive 267 selection than biallelically-expressed genes. To test this hypothesis, we compared the 268 269 extent of positive selection in imprinted genes to that observed in randomly sampled 270 gene sets from across the whole genome (supplementary table S4A). Genomic 271 imprinting in plants mainly occurs in the seed endosperm, which can be subject to different selective pressures related to its triploid genome dosage independent of 272 273 imprinting (Baroux, et al. 2002). Hence, we also conducted analysis of positive 274 selection for random samples of known endosperm-specific A. thaliana genes (Belmonte, et al. 2013) (supplementary table S4B). For iPEGs, the odds ratio score for 275 lineage-specific positive selection indicated 3.3- and 2.6- fold enrichment in positive 276 277 selection in imprinted genes compared to whole-genome and endosperm controls, respectively. These ratios equate to a significant enrichment of lineage-specific 278 positive selection in iPEGs when compared with either the genome-wide or 279 endosperm-specific controls (Fisher's test, p=0.014 and p=0.041 respectively; Fig. 3B). 280 281 Strikingly, no enrichment was found for iMEGs in either lineage-specific (p=0.531 vs. genome-wide controls, p=0.688 vs. endosperm genes) or site-specific selective 282 pressure variation (p=0.542 vs. genome-wide controls, p=0.764 vs. endosperm genes) 283 (Fig. 3C), whether lower-confidence iMEGs were included or not. To determine if the 284 285 bias in enrichment of position selection in iPEGs as compared to iMEGs is due to statistical threshold effect we identified an additional set of imprinted genes where 286 the significance level following LRT fell just below the cut off p-value of 0.05 (but 287 above 0.10): out of the set of six imprinted genes identified with this relaxed criteria, 288 only one imprinted gene is annotated as an iMEG, while the other five were iPEGs, 289 therefore we can discount any potential bias of this results due to thresholding. We 290 further tested the strength of the difference between the selective pressures acting on 291 292 iMEGs and iPEGs by performing a chi-squared test directly on the ω -values as

extracted from the branch site models (using likelihood ratio tests values from (Morgan, et al. 2010)). We conclude that iPEGs, but not iMEGs, are subject to higher levels of positive selective pressure, revealing a difference in the evolutionary trajectory of imprinted genes depending on the parental genome from which they are expressed.

298

299 Most imprinted genes exhibit fixation of positively selected sites

If the sites determined to be under positive selection in the A. thaliana lineage 300 301 improved plant fitness, then we could expect that these substitutions would be fixed 302 or exist at high frequency within A. thaliana populations due to full or partial selective 303 sweeps (Patwa and Wahl 2008). Hence, we tested the percentage conservation of A. thaliana-specific amino acid sites under either lineage-specific PS or site-specific PS 304 305 (supplementary tables S5, S6). For almost all imprinted genes subject to lineage-306 specific PS, the associated sites showed 100% conservation across the 80 A. thaliana 307 accessions for which full sequence data was available (posterior probability >0.95) (supplementary table S7) (Cao, et al. 2011), with no difference observed between 308 309 iMEGs and iPEGs. Only two imprinted genes (AT1G48910 and AT1G55050) displayed nonsynonymous mutations at the otherwise conserved positively selected position. 310 AT1G48910 encodes YUCCA 10, which is a flavin monooxygenase involved in auxin 311 biosynthesis predicted to have roles in morphogenetic development of pollen grains, 312 313 while AT1G55050 is a widely-conserved gene of unknown function. If variation at the amino acids subject to positive selection confers phenotypic effects, this requires 314 distinct A. thaliana populations with known population histories to test for differing 315 intra-specific selection signatures driven by local environments (Huber, et al. 2014). 316 317 We consider that positive selective pressures at imprinted loci in the A. thaliana lineage has been sufficiently strong, (i.e. with a selective advantage for these 318 319 alternative amino acids), to cause the fixation of these amino acid variants.

320

321 Positive selection on the imprinted *NRPD1a* gene involved in sRNA regulation

We noted that the imprinted genes subject to lineage-specific positive selection included *NRPD1a*, which encodes a component of the RNA Pol IV complex response for transcribing small RNA and, subsequently, transcriptional balance between

maternally and paternally inherited genomes in endosperm (supplementary table S8) 325 (Kanno, et al. 2005; Eamens, et al. 2008; Erdmann, et al. 2017). It has previously been 326 reported that nucleotide substitution rate of the Pol IV polymerase subunit encoded 327 328 by NRPD1a is 20 times higher than that observed in the equivalent subunit of Pol II 329 (Luo and Hall 2007), supporting a scenario whereby the *NRPD1a* gene is under positive 330 selection and suggesting a possible functional relationship between sRNA processing and (imprinted) genes under positive selection. We assessed if positive selection at 331 NRPD1a might be due to selection occurring more generally on sRNA-processing 332 333 genes, perhaps because of their roles in controlling the balance of maternal and 334 paternal gene expression, and not due to the imprinting status of this gene 335 specifically. However, when we analysed the selective pressures acting on 23 nonimprinted genes encoding components of the sRNA processing pathway, none 336 337 displayed any signature of positive selection (supplementary table S8). We consider 338 that the positive selection acting on NRPD1a is associated with its status as an 339 imprinted gene involved in small RNA production and, likely, with subsequent control of gene expression in the endosperm. 340

341

342 iMEGs and iPEGs have similar evolutionary ages

One potential confounding factor in our analysis would be if iMEGs and iPEGs had 343 different evolutionary ages. To address this possibility, we determined the 344 345 evolutionary ages of the 140 imprinted genes using a phylostratigraphy approach (Domazet-Loso, et al. 2007) (fig. 4). Nine Age Classes (AC) were defined for available 346 plant genome sequences (http://www.phytozome.net/) where AC 0 includes the 347 youngest genes (i.e. those which have evolved since the divergence of A. thaliana) and 348 AC 9 the oldest, or most conserved. We then assigned imprinted genes to different 349 age classes using an e-value cutoff of $<10^{-3}$ (supplementary table S9). Notably, no 350 significant difference was observed between the age distributions of iMEGs and iPEGs 351 (Fisher's exact test, p=0.7), suggesting that differences in age are unlikely to explain 352 the differing levels of PS observed in these categories. 353

Interestingly, 11 of the imprinted *A. thaliana* genes have been shown to have homologs regulated by imprinting in the sister species, *A. lyrata* (table S1A), according

to the analysis of (Klosinska, et al. 2016). These include three iMEGs and eight iPEGs, 356 including three iPEGs which we find to be under PS; these three all belonged to the 357 most conserved age classes (8 or 9; table S9) so may be good candidates for highly 358 359 conserved imprinting. In contrast, a total of seven imprinted genes did not show any sequence similarity outside Brassicaceae (fig. 4), i.e. they were Brassicaceae-specific 360 orphans according to our previous definition (Donoghue, et al. 2011). Of these 361 Brassicaceae-specific imprinted orphan genes, one (AT4G31060) was found in A. 362 thaliana only and so represents the most recently-arisen imprinted gene known for 363 this species. The fact that some imprinted genes date from the evolution of the 364 365 angiosperms may indicate roles for these genes in the accompanying double 366 fertilization event by which the endosperm evolved (Gehring, et al. 2011), although this remains to be tested. 367

We found that the imprinted gene set as a whole showed enrichment for participation 368 369 in the At- α whole genome duplication (WGD; 52 imprinted genes, Fisher's test, 370 p=0.02), whereas only 21 genes were found to have participated in either the At- β or At-y WGD events (Fisher's test, p=0.14) (fig. 4). The At- α WGD pre-dated the 371 diversification of core Brassicaceae from Aethionema (Franzke, et al. 2011), while At- β 372 and At-y are older WGD events predating the emergence of Brassicaceae within the 373 Eurosids (Bowers et al., 2003). These findings are in agreement with the models of Qiu 374 et al., who suggested that many imprinted genes are descended from loci formed by 375 WGD during the evolution of Brassicales (Qiu, et al. 2014). However, there was again 376 377 no difference in this distribution between iMEGs and iPEGs across different WGD events. In summary, we found no evidence for differing evolutionary histories or 378 recent iPEG diversification that could confound our molecular evolutionary 379 380 comparison between iPEGs and iMEGs.

381

382 Most imprinted genes are functionally constrained

Even if imprinted genes have been subject to positive selection in their evolutionary histories, it is possible that their recent evolution has been more constrained, for example by purifying selection. To estimate the relative roles of ancestral PS (*i.e.* predating the most recent common ancestor of *A. thaliana* and *A. lyrata*) PS and

recent selective constraint, we performed McDonald-Kreitman tests (McDonald and 387 Kreitman 1991) on our entire set of 140 imprinted orthologs from A. lyrata and A. 388 thaliana (this included the imprinted genes for which orthologs were identified in 389 390 fewer than six other plant species, and which we had not been able to analysis by PAML or HyPhy). Unambiguous A. lyrata orthologs were detected for 110 out of the 391 140 total imprinted A. thaliana genes (56 iPEGs and 54 iMEGs) on the basis of BLASTP 392 alignments (supplementary table S10A). This approach assumed that the number of 393 substitutions fixed between A. thaliana and A. lyrata was driven by ancestral positive 394 selection and neutral substitution at nonsynonymous sites (D_N) , and by neutral 395 396 processes only at synonymous ones (D_s). As a result, a large D_{N/D_s} ratio may indicate 397 PS. We compared these D_N and D_S counts to the numbers of nonsynonymous (P_N) and 398 synonymous (P_s) polymorphisms within the population of 80 genome-sequenced A. thaliana accessions to determine the fixation index (FI) such that $FI=(D_N/D_S)/(P_N/P_S)$. 399 400 Both P_N and P_S reflect a combination of neutral and deleterious alleles and thus 401 represent an expected value for a neutral D_{N/D_S} if no ancestral PS has occurred. If FI>1, then ancestral adaptation through beneficial non-synonymous changes in the most 402 403 recent common ancestor of A. thaliana and A. lyrata can be concluded to have occurred; alternately, if FI<1, then it implies that purifying selection on the ancestral 404 lineage was the predominant selective force. For the 110 imprinted genes, we found 405 that D_N/D_S (1.139) approximated P_N/P_S (1.196) with FI=0.952 (table 3) and conclude 406 that there is no evidence of relaxed selective constraints. (We note that neither D_N/D_s 407 and P_N/P_S ratios of these imprinted gene sets were biased by outliers (Daub, et al. 408 2014)). To further examine the recent selective pressures acting on A. thaliana 409 410 imprinted genes, we also performed Direction of Selection (DoS) analysis which can produce more accurate estimates of selection, especially for highly conserved genes. 411 In agreement with the results of the McDonald-Kreitman test, DoS analysis did not 412 indicate any evidence of relaxed selective constraints (supplementary table S10B) 413 according to the Tarone and Greenland Neutrality Index (NI_{TG}=1.237; table 3). Here, NI 414 >1 indicates that negative selection is preventing fixation of harmful mutations. 415

416 We also compared these values to those of the *A. thaliana* genome as a whole and 417 found no evidence for imprinted genes differing from the genome-wide pattern (fig.

5). This suggests that the imprinted genes have been subject to similar selective 418 processes as other genes since the divergence of thaliana-lyrata (supplementary fig. 419 S3): the same relative proportions showed patterns of PS ($D_N/D_S >> P_N/P_S$), ancestral 420 421 purifying selection (low $D_N/D_S)$, neutrality $(D_N/D_S^P_N/P_S),$ or potential pseudogenization evidenced by relaxed selective constraint (high P_N/P_s and high 422 D_N/D_S) (Yang, et al. 2011; Wang, et al. 2012). In contrast to the PAML and HyPhy 423 analysis of selection from before the thaliana-lyrata divergence, no difference was 424 apparent between iMEGs and iPEGS (supplementary fig. S3). Both McDonald-Kreitman 425 and DoS analysis identified signatures of purifying selection on the same group of 13 426 427 genes (12% of the total, supplementary table S10A and B) while six putative 428 pseudogenes were discovered (5% of the total, supplementary table S11): as 429 expected, none of these showed any evidence of PS. As imprinted pseudogenes could 430 potentially bias the overall analysis, their effect was assessed by comparing the 431 baseline FI (0.952) to the expected fixation index (eFI, 1.205) determined from the 432 expected contingency table values of D_N , D_S , P_N , P_S for each of the 110 imprinted genes (Axelsson and Ellegren 2009). This higher eFI suggested population-level mutations 433 434 were negatively correlated with purifying selection, presumably due to deleterious alleles segregating within the 80 accessions and supporting previous reports of high P_N 435 values in A. thaliana (Huber et al. 2014). This is also important as relaxed selective 436 constraints (evident from a high level of within-A. thaliana nonsynonymous changes) 437 would have confounded our interspecies tests for positive selection, and because 438 previous work has shown that the average effect of nonsynonymous changes in A. 439 thaliana is slightly deleterious (Bustamante, et al. 2002). 440

Comparison of the results of PAML and HyPhy analysis, McDonald-Kreitman tests and 441 DoS demonstrates that the imprinted genes subject to positive selection in 442 interspecies analysis using at least six genomes do not show any strong evidence of 443 positive selection since the divergence of A. thaliana and A. lyrata. We conclude that 444 genes with different evolutionary trajectories are regulated by genomic imprinting in 445 A. thaliana, including some subject to pseudogenization while non-pseudogenized 446 447 genes show signatures of ancestral PS with stronger signatures of PS predating the 448 thaliana-lyrata split. Estimating the timing of these events with greater accuracy, and

determining their effects in extant populations, will provide a basis for future
determination of the selective pressures involved in the evolution of imprinted genes
in plants.

452

453 **Discussion**

Evolutionary trajectories of genes in mammals and angiosperms can be influenced by 454 their association with tissues involved in maternal provisioning, creating the possibility 455 456 for conflict over resource allocation and positive selection (PS) on the loci involved, among other molecular signatures (fig. 1). In this study we have concentrated on the 457 molecular signatures of conflict acting on coding sequences of imprinted genes in 458 459 which alleles are expressed at different levels depending on whether they are maternally- or paternally-derived (denoted iMEGs and iPEGs respectively; (Köhler, et 460 al. 2012)). The phenotypes associated with certain imprinted genes under PS in 461 animals (Igfr) and plants (AIMEDEA) supports the possibility of conflict-driven PS 462 463 (Spillane, et al. 2007; Miyake, et al. 2009; Wawrzik, et al. 2010; McCole, et al. 2011). However, we have previously demonstrated that there is no strict concordance 464 between evidence of positive selection and imprinting status in mammals (O'Connell, 465 et al. 2010), and how conflict affects imprinted plant genes in general remains 466 unknown. 467

In this study, we have performed a comprehensive ortholog-based analysis of 468 469 selective pressures on genes subject to genomic imprinting in the seed endosperm of 470 A. thaliana and have demonstrated signatures of elevated PS (tables 1 and 2; figs. 2 and 3; fig. S1). To ensure these conclusions are robust, we have considered and 471 472 accounted for the effects of possible endosperm-specific effects and of differences in gene age (fig. 4) and have accounted for potential confounding by genes expressed 473 474 uniparentally from maternal tissues (fig. S2). As approaches for inferring selection pressures may be limited by their own inherent assumptions, we took a multiple-475 476 methodology approach. For example, PAML makes the assumption that selective pressures do not change on the branches where it is inferred, while HyPhy allows 477 478 branch-specific selection to change across all branches. We used two methodologies 479 for our ortholog-based analyses (PAML and HyPhy) and for our analysis of extant A.

thaliana populations (McDonald-Kreitman and Direction of Selection tests). In fact, the 30 imprinted genes founds to be under PS by PAML analysis were confirmed in every case confirmed as such by at least two HyPhy methods (tables S1, S2), while similar conclusions were derived from both McDonald-Kreitman and DoS approaches (table 3). We also note that it is not currently feasible to assess such changes at gene regulatory sequences across lineages, so our estimates for selection levels across loci, based as they are on coding-sequences alone, may in fact be underestimates.

487 It should be noted that some assumptions still remain within our analyses. For 488 example, all Dn/Ds based methods for estimating selective pressure variation from 489 sequence data assume that Ds is a proxy for neutral evolution, *i.e.* silent sites are not under selective pressure, even though we know for example that exon splice sites can 490 be subject to selection to function the spliceosomal machinery (albeit mostly in intron-491 rich genomes (Warnecke, et al. 2008)). To control for this, we made use of non-492 493 imprinted controls, both from genome-wide data and from genes specifically 494 expressed in the endosperm in which genomic imprinting occurs in flowering plants (supplementary table S4). The robustness of the results from these analyses is 495 furthermore supported by the robustness of the phylogeny used, which is 496 uncontroversial (fig. 4; https://phytozome.jgi.doe.gov/pz/portal.html), and on the 497 number of species used in each alignment, which was set at a minimum of six, 498 following experimentally-determined best practice (Anisimova et al., (2001)). 499

500 Combining together these analyses, and their comparison with relevant controls, we 501 conclude that accelerated evolution and preferential tendency to PS are general 502 features of imprinted genes in *A. thaliana*.

503

504 Fixation of selected sites and significance of mating system

505 Extant plant lineages have undergone multiple transitions between self-fertilising and 506 out-crossing reproduction. It is expected that parental conflict will be minimized by 507 increased levels of self-fertilization, which reduces or eliminates the genetic 508 divergence between maternally- and paternally-derived genomes (Haig 1997, 2013; 509 Gehring and Satyaki 2017), as well as slightly reducing the efficacy of purifying 510 selection across the genome (Payne and Alvarez-Ponce 2018). Consistent with this,

previous investigations of the imprinted maternally expressed gene (iMEG) MEDEA 511 found that MEDEA was under positive selection in the outcrossing Brassicaceae 512 species, Arabidopsis lyrata, while its non-imprinted paralog SWINGER was not; but 513 514 that neither gene was under positive selection in the largely inbreeding congener, A. thaliana (Spillane, et al. 2007; Miyake, et al. 2009). This was interpreted as a 515 consequence of reduced genomic conflict due to inbreeding (Garnier, et al. 2008; 516 McKeown, et al. 2013). The findings of our present study indicate that almost all of the 517 positively selected sites are now fixed across populations in extant A. thaliana which 518 may indicate that conflict has been reduced in this largely self-pollinated species: 519 520 while the levels of outcrossing in A. thaliana can reach 18% in natural populations in 521 exceptional cases, it is generally much lower (Bomblies, et al. 2010).

The fixation of sites under positive selection in imprinted genes of A. thaliana is 522 consistent with hypotheses that imprinting may in some cases be a relic of its 523 outbreeding past (Brandvain and Haig 2005), perhaps because loss of imprinting to 524 525 protect against deleterious recessive mutations only occurs very slowly (Wilkins and Haig 2003b). In other words, the signatures of selection detected by non-synonymous 526 changes to coding sequences retain evidence of past conflict even after any such 527 equilibrium has been reached: our PAML analysis is in fact identifying sites which have 528 changed under positive selection but are now at a stable equilibrium, and which no 529 longer show signatures of such pressures in current populations (whether measured 530 by McDonald-Kreitman tests or by Direction of Selection tests; tables S10 and S11). 531 532 Whether amino acid changes at these sites have also become fixed across other plant 533 lineages with different levels of inbreeding would be an interesting test of this hypothesis, and will be possible to test empirically when once genomic data from 534 multiple accessions of sufficient numbers of outcrossing and inbreeding plant species 535 becomes available. It should also be noted that clonal interference arising from 536 inbreeding is expected to marginally reduce the efficiency of selection across the 537 genome (Neher, et al. 2013) and potentially mask signatures of positive selection, 538 although rates of neutral evolution at silent sites should not be affected (Good, et al. 539 540 2014), provided that the beneficial alleles co-occur in the same period of selection.

Therefore, clonal interference would mean tests for positive selection would be moreprone to false negatives rather than false positives.

In addition, we have compared our rates of positive selection in imprinted loci to the genome-wide pattern for *A. thaliana*, which also adjusts for any potential confounding effects of inbreeding. Whether fixed or not, imprinted genes which have been under PS are likely to have been important for plant fitness and represent strong candidates for future functional investigations.

548

549 Imbalance between selective pressures acting on iMEGs and iPEGs

Imprinted genes in mammals can undergo different evolutionary trajectories 550 551 (O'Connell, et al. 2010; McCole, et al. 2011). Our results from this study in plants 552 demonstrate that differential selective pressures act on imprinted genes that are expressed from either the maternal or the paternal genomes. Specifically, iPEGs 553 display higher D_N/D_S values, and are significantly more likely to be subject to PS. This 554 finding of asymmetric selection pressures on iPEGs vs iMEGs does not fit neatly with 555 expectations of kin conflict which predict that any PS driven by intra-genomic conflict 556 should likely act on both genomes due to the mutual antagonism between the parents 557 over resource allocation to the offspring, possibly on pairs of reciprocally imprinted 558 559 genes encoding physically interacting offspring growth regulators (Moore and Haig 1991; Mills and Moore 2004). 560

Our identification of PS in iPEGs also lacks concordance with theories that propose 561 that imprinting results from maternal-offspring co-adaptation or cytonuclear co-562 evolution as illustrated in fig. 1B (Wolf and Hager 2006), in line with the lack of 563 experimental support for this model (Haig 2013; Haig 2014). Although co-evolutionary 564 scenarios can lead to rapid evolution of genes (Wolf and Brandvain 2014), both of 565 566 these scenarios would be expected to preferentially affect iMEGs (assuming maternal cytonuclear inheritance). Nor is PS in iPEGs due to genome dosage effects in the 567 568 endosperm, as the levels of positive selection for iPEGs are significantly higher than biallelically-expressed endosperm genes (fig. 3). We can also rule out the possibility 569 that PS in iPEGs could be an artifact of these genes being younger than iMEGs, 570 because (1) there is no significant age difference between iPEGs and iMEGs, and (2) PS 571

does not affect the more recently evolved iPEGs (figs. 2 and 5). We do note that levels of PS in the endosperm-expressed control set are slightly greater than the background control set (fig. 3B), which could indicate the existence of unreported iPEGs within this dataset, or other causes related to the role of the endosperm in seeds. Finally, our results do not support an evolutionary scenario where imprinted genes arise as a result of pseudogenization following gene duplication (Wolff et al., 2011), as we could only identify six possible examples of this (fig. 2).

The finding that A. thaliana iPEGs are preferentially affected by PS compared with 579 580 iMEGs provides an interesting parallel with the evolutionary flexibility of iPEGs 581 observed in comparisons to A. thaliana's sister species, Arabidopsis lyrata. Analysis of 582 A. lyrata endosperm found that iPEGs were more highly expressed in A. lyrata than A. thaliana, while expression levels of iMEGs were more highly conserved (Klosinska, et 583 584 al. 2016). These changes were also associated with greater variation in CHG 585 methylation and histone modification marks between at least some conserved iPEGs 586 in the two species (Klosinska, et al. 2016). Furthermore, a study in Capsella rubella showed that iPEGs display higher levels of non-synonymous substitution, a possible 587 588 indicator of PS (Hatorangan, et al. 2016), suggesting that this pattern may not be 589 restricted to the Arabidopsis genus either but may be a common feature of imprinting in, at least, the Brassicaceae. One possible explanation for the differences between 590 selective pressures acting on iMEGs and iPEGs is that kin conflict more commonly 591 592 involves interactions between iPEGs and genes expressed in maternal tissues such as the sporophytic seed coat (which are also involved in maternal provisioning (Orozco-593 Arroyo, et al. 2015)), rather than with iMEGs in the endosperm. This would lead to 594 595 conflict that was indirect in nature, rather than involving physical interactions between antagonistic pairs of iMEGs and iPEGs (McVean and Hurst 1997). Intriguingly, 596 an analysis of parental conflict in A. lyrata populations with different levels of 597 598 outbreeding suggested that conflict involving indirect interactions between paternal 599 factors and the female sporophyte ('the kinship model') was favoured in more selffertile populations, while direct interactions between proteins encoded by imprinted 600 genes in the endosperm tended to be lost as outcrossing reduced (Willi 2013). This 601 602 would also fit with the discovery that genes which are strongly expressed in the seed 603 coat of A. thaliana can also evolve under positive selection (Schon and Nodine 2017).

We also note that antagonism between the developing endosperm and another maternal tissue, the nucellus, has been proposed as a key characteristic of seed development in *A. thaliana* (Xu, et al. 2016). Analysis of the genetic interactions between maternal seed coat or nucellus with iPEGs which regulate seed size (such as *ADMENTOS;* (Kradolfer, et al. 2013)) will therefore be required to clarify whether parental conflict occurs in *A. thaliana* and related species, and if so by what mechanism.

Further possible explanations for the differences in selective pressures acting on 611 612 iMEGs and iPEGs could include differential breadth of expression patterns (including in 613 somatic tissues) or wider interaction networks which could theoretically place iMEGs 614 under greater constraints due to risk of pleiotropic interactions. Alternatively PS could also be due to so-called 'arms races' between siblings that do not share the same 615 616 paternal parent (Sadras and Denison 2009), which is more likely among paternally-617 derived 'patrigenes' than maternally-derived 'matrigenes' (Haig 2013). It has been 618 shown that PS in flowering plants can be driven by pre-fertilization sexual conflict between male genomes during pollen tube competition (Gossmann, et al. 2014), in a 619 620 manner analogous to competition between animal sperm (Torgerson, et al. 2002), such that positive selection at iPEGs could be triggered by conflict between the 621 paternal genomes of endosperm tissues within seeds developing on the same plant 622 (or in the same fruit). Paternal genetic variation is known to influence resource 623 allocation in embryos by up to 10% in A. thaliana (House, et al. 2010), which could be 624 sufficient to drive conflict between paternal alleles. Finally, if this pattern was also 625 conserved in monocots, it could explain reports that paternally-derived expression-626 QTLs (eQTLs) have major roles in determining transcription levels in hybridized maize 627 628 seed (Swanson-Wagner, et al. 2009). Finally, the most active evolutionary signatures acting at iPEGs in different species of Brassicaceae (this study; (Hatorangan, et al. 629 630 2016; Klosinska, et al. 2016)), in which multiple shifts of mating system have occurred, could suggest that shifting patterns of paternal relatedness, and hence, patrigenic 631 phenotypic optima for seed size, could lead to continual evolutionary pressure 632 manifested in different ways, such as changes to transcription level, epigenetic marks, 633 and changes to the nucleotide and amino sequence. More generally, models of 634 635 imprinting and conflict suggest that matrigenes typically favour phenotypes

intermediate to those favoured by patrigenes and maternal alleles (Burt and Trivers 1998; Wilkins and Haig 2002, 2003a; Haig 2013), in which case, positive selection for conflict with maternal tissues would be stronger on paternally expressed imprinted genes than on maternally expressed ones. If so, the same trend might be expected to be common across seed plants: analysis of selective pressures acting on imprinted genes in a more distantly related group such as the cereals could be instructive in testing this hypothesis.

Given these different, and non-mutually exclusive possibilities, careful analysis of the 643 644 functions of the genes and codons subject to PS will be needed to clarify the 645 underlying impacts of the patterns we observe on the biology of the plant. Although 646 experimental characterization for many genes has yet to be fully performed, we note that one of the iPEGs we have identified to be under PS is NRPD1a, which encodes a 647 648 subunit of RNA Pol IV, while other sRNA genes are not subject to PS (table S8). RNA Pol 649 IV is involved in control of transposable elements via RNA directed DNA methylation 650 (RdDM) and has recently also been identified as a regulator of allelic dosage in the endosperm (Erdmann, et al. 2017). Interestingly, the largest subunits of PolV (NRPE1), 651 652 which is also implicated in the activity of 24-nt sRNAs in RNA-directed DNA methylation (RdDM), has also been reported to evolve rapidly through restructuring of 653 intrinsically disordered repeats within its Argonaute-binding platform (Trujillo, et al. 654 2016). In the case of NRPD1a, this subunit is involved in physically binding 655 transposable elements including those expressed in maternal tissues in seeds 656 (Mosher, et al. 2009). Hence, it is possible that PS could be driven by conflict between 657 paternally-expressed proteins and maternally-controlled transposable elements, or to 658 659 interactions with the maternally-derived genomes of the endosperm in the case of 660 dosage control (Erdmann, et al. 2017). Interestingly, NRPD1a does not appear to be an iPEG in A. lyrata, although two other genes encoding subunits of complexes involved 661 in the RdDM pathway are (Klosinska, et al. 2016). Further functional characterization 662 of the positively selected subunits will be needed to distinguish these possibilities. 663

We note that positive selection has been reported from the iMEG *MEDEA* in the predominantly outcrossing *A. lyrata*, but that this selective pressure has been lost in the inbreeding *A. thaliana* lineage (Spillane, et al. 2007). This lends further support to the hypothesis that positive selection persists between iPEGs and the maternal

sporophyte but not between iPEGs and iMEGs during the transition to self-fertilization
 (Willi 2013). Analysis of signatures of selective pressure on the components of the FIS
 complex across multiple plant species will be essential for clarifying the effects of
 parental conflict in imprinting, endosperm development and speciation.

672

673 **Conclusions**

The study of imprinted genes in both plants and mammals has identified examples of 674 positive Darwinian selection (Spillane, et al. 2007; O'Connell, et al. 2010; Wawrzik, et 675 al. 2010). Our study demonstrates that while imprinted genes expressed in the 676 endosperm of Arabidopsis thaliana are rapidly evolving due to positive selection, such 677 positive selection is preferentially associated with imprinted paternally expressed 678 genes (iPEGs). This raises the possibility that ongoing intra-genomic conflicts between 679 paternally-expressed imprinted genes (iPEGs), or between iPEGs and genes 680 681 functioning in the maternal sporophyte, could be evolutionary drivers and maintainers of imprinting in plants. The iPEG and iMEG genes we have identified under positive 682 selection are involved in processes such as auxin biosynthesis (e.g. YUCCA10, TAR1) 683 and epigenetic regulation involving small RNAs and chromatin remodelling (NRPD1a). 684 Overall, our results identify the subset of imprinted genes, both iPEGs and iMEGs, 685 686 which are strong candidates for having functional effects that are antagonistic with other molecular factors, in a manner that results in their evolution under positive 687 688 selection.

691 Methods

692 Identification of imprinted genes and orthologs

An A. thaliana imprinted gene set was compiled from a number of high-throughput 693 expression screens (Gehring, et al. 2011; Hsieh, et al. 2011; McKeown, et al. 2011; 694 Wolff, et al. 2011), supplemented by other studies (Vielle-Calzada, et al. 1999; 695 Kinoshita, et al. 2004; Köhler, et al. 2005; Jullien, et al. 2006; Tiwari, et al. 2008; 696 Gehring, et al. 2009; Gerald, et al. 2009) to yield 140 high-confidence imprinted genes 697 (supplementary table S1). Orthologs were identified across 34 plant species for which 698 699 assembled whole genome sequences were publically available (fig. 4). Peptide and 700 CDS sequences for 32 species were downloaded from Phytozome v8.0 (Goodstein, et al. 2012); Cajanus cajan sequences were accessed from (Varshney, et al. 2012) and 701 Lotus japonicus from the PlantGDB database (Dong et al., 2004). In all cases, the 702 longest transcript was used as the representative transcript for each gene. To 703 minimize the number of false positives and ensure tight clustering of genes families, 704 we detected orthologous relationships between sequences using OrthoMCL (Li, et al. 705 2003; Chen, et al. 2007). We also chose to use maximum likelihood methods based on 706 707 codon models of sequence evolution as these are considered to be more robust than alternative methods such as sliding window approaches (Schmid and Yang 2008). As 708 the power of maximum likelihood methods increases with greater taxonomic 709 representation and breadth (Anisimova, et al. 2001), we considered only the 62 710 imprinted genes for which orthologous genes could be identified from at least six 711 712 other species (in addition to A. thaliana itself). As controls, random sets of 100 genes were generated representing the entire A. thaliana genome, and a subset of 713 endosperm-specific genes derived from (Belmonte, et al. 2013) (Supplementary table 714 715 S4). To ensure a valid comparison with the imprinted dataset, only genes belonging to orthology clusters present in at least six other species (Anisimova, et al. 2001) were 716 included in these control sets. 717

718

719 Multiple sequence alignments

720 Multiple sequence alignments for each gene family were constructed using MUSCLE 721 (Edgar 2004) and MAFFT (Katoh and Toh 2008) and were compared in AQUA (Muller,

et al. 2010). RASCAL (Thompson, et al. 2003) was used to refine the alignments and 722 norMD (Thompson, et al. 2001) was used to assess their quality. Alignments with a 723 norMD score <0.6 were considered as low quality. Poorly aligned sequences were 724 725 removed from alignments with norMD <0.6 and norMD was recalculated: if the norMD score subsequently increased to >0.6, the alignment was retained for further 726 analysis. Nucleotide sequence alignments were generated for each family using the 727 amino acid alignment and original nucleotide sequence files, using in-house software. 728 Recombinant sequences were also removed identified using RDP3 (Martin, et al. 2010) 729 730 with two substitution-based methods – GENECONV (Sawyer 1989) and MaxChi (Smith 731 1992) – and two phylogenetic-based methods – BOOTSCAN (Martin, et al. 2005) and 732 SiScan (Gibbs, et al. 2000). Sequences were considered as recombinant if a recombination event was significantly predicted by at least one substitution-based 733 734 method and at least one phylogenetic-based method. The percentage of gaps in the 735 alignments were calculated using TrimAL (Capella-Gutierrez, et al. 2009) (-sgc option) 736 and predicted sites of positive selection which overlapped with regions of poor alignment (gaps > 40%) were discarded. 737

738

739 Tree building

Models for protein sequence evolution were generated using modelgenerator (Keane, et al. 2006). Phylogenetic trees were inferred using RAxML (Randomized Axelerated Maximum Likelihood) version 7.2.6 (Stamatakis 2006) with 1000 bootstrap replicates and the rapid bootstrapping algorithm. The codeML analysis was run on all clades of interest for genes with >80 sequences in their orthology clusters (supplementary table S12A) and on control genes from genome-wide and endosperm-expressed datasets (supplementary table S12B).

747

748 Selective pressure analysis

Selective pressure analysis was conducted using PAML version 4.4e (Yang 2007). Both lineage-specific models (Yang 1998; Yang and Nielsen 2002) and site-specific models (Yang and Swanson, 2002) were evaluated using likelihood ratio test (LRT). Sequences were considered to exhibit lineage-specific selective pressure if the likelihood ratio test for ModelA was significant in comparison to both ModelA null and M1Neutral,

where M1Neutral is a neutral model that allows two site classes: $\omega_0=0$ and $\omega_1=1$. 754 Model A assumes the two site classes are the same in both foreground and 755 background lineages ($\omega_0=0$ and $\omega_1=1$) and ω_1 was calculated from the data. Model A 756 757 null is the null hypothesis for this model and allows sites to be evolving under either purifying selection, or to be neutrally evolving in the background lineages. For site-758 specific analyses, LRTs were conducted to compare models M7 and M8a with model 759 M8. The test compared the neutral model M7, which assumes a β distribution for ω 760 over sites and the alternative model M8 (β and ω), which adds an extra site class of 761 762 positive selection. M8a is the null hypothesis of M8 where the additional category is 763 neutral, i.e. ω =1. An automated CodeML wrapper (VESPA, (Webb, et al. 2017)) was 764 used to prepare all the codeML files, to parse the PAML output and perform the likelihood ratio test. After ML estimates of model parameters were obtained, we used 765 766 two bayesian approaches to infer the posterior probability of the positively selected 767 sites: Bayes Empirical Bayes (BEB) and Naïve Empirical Bayes (NEB). BEB reduces the 768 rate of false positives when analyzing small datasets and retains the power of NEB when analyzing large datasets (Yang and Nielsen 2002). Therefore if NEB and BEB 769 770 were both predicted the results from BEB were preferred.

771

Use of HyPhy to estimate rates of Darwinian selection

A second positive selection pressure analysis of genes which were predicted to be 773 under positive selective pressure by PAML was conducted using HyPhy version 2.2.4 774 (Pond and Muse 2005). We employed the following three approaches from the HyPhy 775 package: FEL (Fixed effects Likelihood), SLAC (Single-Nucleotide Ancestor Counting), 776 and MEME (Mixed Effects Model of Evolution). FEL tests for both positive and negative 777 selection per individual site, and can identify individual sites that have undergone 778 pervasive diversifying selection while SLAC is an approximate method similar to FEL 779 (Kosakovsky Pond and Frost 2005). We also applied the MEME model from the HyPhy 780 781 package which tests for episodic selection at individual sites and on specific branches: MEME does not assume that the strength and direction of selection is constant across 782 all lineages (Murrell, et al. 2012). Only sites resolved as being under PS by at least two 783 784 methods were considered confirmed by HyPhy.

786 Tests including population-level variation

A. lyrata orthologs of 140 imprinted A. thaliana genes were identified using reciprocal 787 best hits (RBH) of which 110 were also derived as the best hits of the A. thaliana genes 788 789 in reciprocal BLAST. A. thaliana and A. lyrata CDS were aligned as described above. 80 accession SNP data for A. thaliana was downloaded from the 1001 genome project 790 (http://1001genomes.org/data/MPI/MPICao2010/releases/current/genome matrix) 791 and SNPs mapped to the reference genome using a custom-made python script. 792 McDonald-Kreitman tests were performed on each imprinted gene using a python 793 script that uses egglib library to calculate D_N , D_S , P_N and P_S values and calculated the 794 795 ratio using Fisher's exact test. Fixation indices (FI) were determined as FI= $(D_N/D_S)/(P_N/P_S)$ with expected fixation index (eFI) calculated as reported previously 796 797 (Axelsson and Ellegren 2009). Genes with zero D_N/D_s and P_N/P_s were not considered 798 for FI calculations. Direction of selection (DoS) ((Stoletzki and Eyre-Walker 2011) was 799 calculated using $D_N/(D_N+D_S)-P_N/(P_N+P_S)$; the Tarone and Greenland Neutrality Index 800 (NI_{TG}) was calculated using the Distribution of Fitness Effect (DoFE) package.

801

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Tables

Table 1

Gene Class	Mean ω (D_N/D_s)	Median ω (D_N/D_s)
iPEGs	0.4265±0.053	0.3339
iMEGs	0.5045±0.061	0.3314
whole genome	0.2436±0.002	0.1814

Table 1. D_N/D_S ratios (ω) of iPEGs and iMEGs compared to whole genome.

Table 2

	iMEGs	iPEGs	Total
Total number of genes tested	30	32	62
Genes subject to lineage- specific selection only	2 (6.7%)	4 (12.5%)	6 (0.9%)
Genes subject to site-specific selection only	7 (23.3%)	9 (28.1%)	16 (25.8%)
Genes subject to both lineage- and site-specific selection	2 (6.7%)	6 (18.8%)	8 (12.9%)
TOTAL	11 (36.7%)	19 (59.4%)	30 (48.4%)

Table 2: Numbers of iMEGs and iPEGs determined to be under positive selection.

Table 3.			
Parameter	Polymorphism		Divergence
Non-synonymous substitutions (D _N)	1988		4740
Synonymous substitutions (D _s)	1662		4161
Ratio of Non-synonymous			
/Synonymous (<i>D_N/D_s</i>) substitutions	1.196		1.139
Fixation Index (<i>FI</i>) ¹		0.952	
Expected Fixation Index (<i>eFI</i>) ²		1.205	
Neutrality Index $(NI_{TG})^3$		1.237	
α^4		-0.210	

835

Table 3. Calculations derived from McDonald-Kreitman analyses of genes regulated by 836 genomic imprinting in the A. thaliana endosperm; values were derived from 837 838 comparisons between 80 sequenced A. thaliana accessions, using A. lyrata as 839 outgroup. Full gene-by-gene results from which these figures were derived are 840 presented in Suppl. table S7. ¹Observed fixation index, calculated according to FI= $(D_N/D_S)/(P_N/P_S)$. ²Expected fixation index (*eFI*). ³The Tarone and Greenland Neutrality 841 Index (NI_{TG}). ⁴Proportion of fixed nonsynonymous mutations driven by fixed positive 842 selection fixed in A. thaliana, $\alpha = (FI-eFI)/eFI$. 843

846 Figure legends

847

Fig. 1. Summary of scenarios for selection on imprinted plant genes. Schematic of A. 848 thaliana seed summarising the impacts of genomic imprinting on genetic selection as 849 predicted by major hypotheses for genomic imprinting. In each case, the diploid F1 850 851 embryo is shown in dark green, surrounded by the triploid F1 endosperm, shown in yellow) in which imprinting occurs, and the diploid seed coat (SC) which is part of the 852 853 maternal sporophyte, shown in light green. (A) Intra-Genomic conflict in which 854 antagonism between matrigenes and patrigenes over resource allocation results in 855 physical interactions between iMEGs and iPEGs (Spillane, et al. 2007). (B) Coadaptation models predict that any selective pressure should be concentrated on 856 iMEGs which are co-inherited with cytoplasmic genomes in A. thaliana (Wolf and 857 Brandvain 2014). (C) Indirect conflict or "Kinship Model" predicts that conflict 858 859 between iPEGs and genes expressed in maternal tissues (e.g. seed coat, scMEG, or other sporophyte tissues) leads to positive selection on iPEGs (Willi 2013). 860

861

Fig. 2. Size of orthology clusters to which imprinted *A. thaliana* genes belong.
Orphans are defined according to (Donoghue, et al. 2011); genes present in orthology
clusters >6 were considered for further selective pressure variation analysis.

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Fig. 3. Summary of the number of genes under positive selection in the dataset. (A) Numbers of imprinted *A. thaliana* genes under site and/or lineage specific PS; (B, C) the percentages of *A. thaliana* iMEGs and iPEGs subject to lineage-specific (B) or sitespecific (C) PS compared to the percentages in control sets of endosperm-expressed ('Endosperm') or genome-wide ('Genome') biallelic genes; control gene-sets are listed in supplementary table S4.

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Fig. 4: Phylogeny of the 34 species included in our analyses and the age distribution of iMEGs and iPEGs. (A) shows the frequency of age class (AC) for the iMEGs and iPEGs tested. ACO, *A. thaliana* specific; AC1, *A. lyrata*; AC2, Brassicaceae; AC3, Brassicales-Malvales; AC4, Rosid; AC5, Eudicot; AC6, Angiosperm; AC7, Tracheophyte;

AC8, Embryophyte; AC9, Viridiplantae. (B) Consensus phylogenetic relationships of all
34 species; the phylogenetic position of the age classes and the known whole genome
duplication events for the species included in the study are also highlighted (Vanneste,
et al. 2014).

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Fig. 5. Distribution of D_N/D_S and P_N/P_S ratios for imprinted genes compared to all protein-coding genes in *A. thaliana*. X-axis depicts Pn/Ps ratios, Y-Axis represents D_N/D_S ratios. Green dots = genes under purifying selection; red dots = genes under positive selection; yellow dots = genes under neutral evolution; black triangles = *A. thaliana* imprinted genes; blue triangles = pseudogenes with high D_N/D_S and high P_N/P_S . No clustering was observed.

890 Supplementary material legends

891 Suppl. fig. S1. Schematic of workflow for identifying positive Darwinian selection.

892 Suppl. fig. S2. Tissue specific transcript enrichment of previously published datasets.

- The test for tissue specific transcript contamination (Schon and Nodine 2017) was applied to the datasets generated by (Wolff, et al. 2011), indicating that only the suspensor datasets exhibited an enrichment for non-tissue specific transcripts. Endosperm datasets exhibited no significant enrichments for other tissues.
- 897 Suppl. fig. S3. Distribution of D_N/D_s and P_N/P_s ratios for imprinted genes compared
- 898 to all protein-coding genes in *A. thaliana* disaggregated between iPEGs and iMEGs.
- 899 Suppl. table S1. 140 experimentally-validated genes subject to genomic imprinting in
- 900 the A. thaliana endosperm and original references.
- 901 Suppl. table S2. Confirmation of positive selection by HyPhy.
- 902 Suppl. table S3. Comparison of imprinted genes with methodology of Schon and
- 903 Nodine (2017) for identifying potential maternal contaminants.
- 904 Suppl. table S4. Results of selection analysis for control datasets.
- 905 Suppl. table S5. Details of all sites subject to positive selection in imprinted genes of
- *A. thaliana.* Genes whose imprinted status is predicted to be 'low-confidence'
 according to the methodology of Schon and Nodine (2017) are indicated.
- Suppl. table S6. Amino acids encoded by sites subject positive selection in imprinted
 genes of *A. thaliana*.
- 910 Suppl. tables S7. Percentage of fixation of lineage-specific positively selected sites in
- 911 Ath 80 population data identified by codeml. Data for all sites with posterior
 912 probability >0.5 is displayed.
- Suppl. table S8. Results of tests for positive selection on genes of the sRNA
 processing pathway.
- 915 Suppl. table S9. Age classes of iMEGs and iPEGs (as shown in fig. 3).
- 916 Suppl. table S10. Results of all McDonald-Kreitman (10A) and Direction of Selection
- 917 (DoS, 10B) tests.

- 918 Suppl. table S11. High Dn/Ds and High Pn/Ps of A. thaliana imprinted genes
- 919 identified as pseudogenes.
- 920 Suppl. table S12. Gene trees for (A) imprinted genes and (B) non-imprinted control
- 921 genes tested for positive selection.
- 922

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*assumes maternal cytonuclear inheritance

Figure 1

198x117mm (300 x 300 DPI)



Figure 2



Figure 3



Figure 4

128x96mm (300 x 300 DPI)



Figure 5