

1 **Title**

2 **A review of paratuberculosis in dairy herds - Part 2: On-farm control**

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19 **Abstract**

20 Bovine paratuberculosis is a chronic infectious disease of cattle, caused by *Mycobacterium*
21 *avium* subspecies *paratuberculosis* (MAP). This is the second in a two-part review of the
22 epidemiology and control of paratuberculosis in dairy herds. Several negative production
23 effects associated with MAP infection have been described, but perhaps the most significant
24 concern in relation to the importance of paratuberculosis as a disease of dairy cattle is the
25 potential link with Crohn's disease in humans. Milk is considered a potential transmission
26 route to humans and it is recognised that pasteurisation does not necessarily eliminate the
27 bacterium. Therefore, control must also include reduction of the levels of MAP in bulk milk
28 supplied from dairy farms. There is little field evidence in support of specific control
29 measures, although several studies seem to show a decreased prevalence associated with the
30 implementation of a combined management and test-and-cull programme. Improvements in
31 vaccination efficacy and reduced tuberculosis (TB) test interference may increase uptake of
32 vaccination as a control option. Farmer adoption of best practice recommendations at farm
33 level for the control of endemic diseases can be challenging. Improved understanding of
34 farmer behaviour and decision making will help in developing improved communication
35 strategies which may be more efficacious in affecting behavioural change on farm.

36

37 *Keywords:* Control; Dairy; Johne's disease; Motivation; Paratuberculosis

38

39 **Introduction**

40 Paratuberculosis is a chronic infectious disease caused by *Mycobacterium avium* subspecies
41 *paratuberculosis* (MAP), causing chronic granulomatous enteritis resulting in production
42 effects, diarrhoea and emaciation. Several negative production effects associated with MAP
43 infection have been described, but perhaps the most significant concern in relation to the
44 importance of paratuberculosis as a disease of dairy cattle is the potential link with Crohn's
45 disease in humans. Milk is considered a potential transmission route to humans and it is
46 recognised that pasteurisation does not necessarily eliminate the bacterium. Therefore,
47 control must also include reduction of the levels of MAP in bulk milk supplied from dairy
48 farms. This is the second of a two-part review of the epidemiology and control of
49 paratuberculosis in dairy herds.

50

51 **On-farm control**

52 Control of paratuberculosis is challenging and although eradication from goat herds
53 has been reported (Gavin et al., 2018), there are no published reports of eradication of the
54 organism from infected cattle herds (Barkema et al., 2018). Options for control of
55 paratuberculosis within infected herds have been necessarily ascertained through biological
56 plausibility based on known shedding routes and age susceptibility. Owing to the long
57 incubation of the disease and the poor sensitivity of diagnostic tests, field trials on the
58 efficacy of these control options are lacking. Many risk factor studies have attempted to
59 estimate the impact of various control measures on the probability of herd positivity, and/or
60 the within-herd prevalence; however, many of these studies fail to agree with the agreed risk
61 factors/control options for the disease (McAloon et al., 2017a). There are many reasons why
62 this might be the case; these studies may not be sufficiently powered to overcome
63 misclassification that occurs as a result of imperfect tests. In addition, many of these studies

64 were cross-sectional and therefore poorly designed for inferring on causality; subject to time-
65 delays and reverse causality; and have a low evidence weighting.

66

67 Reduced prevalence was demonstrated over time in nine US dairy herds associated
68 with the implementation of seven control ‘actions’: segregated calving; removal of calf
69 within 2 h; selection and hygienic collection of colostrum; feeding of pasteurised milk or
70 milk replacer only; segregation from the adult herd; culling of strong ELISA-positive; and
71 selection of replacement heifers from ELISA-negative cows (Collins et al., 2010). In other
72 instances, decreased prevalence over time has been demonstrated in herds enrolled in national
73 control programmes. A reduction in newly detected shedding animals over a 6-year period
74 was demonstrated in 25 German dairy herds (Donat, 2016a). In Minnesota, calves born from
75 12 months before the introduction of a control programme were at a lower risk of infection
76 than those born 12-24 months before the introduction of the programme in six dairy herds
77 (Ferrouillet et al., 2009).

78

79 However, these studies contained a relatively small number of herds and no control
80 herds, and it was not possible to evaluate individual aspects of the control programme, or to
81 separate the effect of testing and culling from hygiene management for example. An
82 additional difficulty in assessing the efficacy of control programmes in a field study is that to
83 demonstrate efficacy the outcome of interest is the incidence of new MAP infections, rather
84 than the prevalence. This requires that animals were uninfected prior to the beginning of the
85 observation period, which can be problematic in the context of JD.

86

87 To study the impact of controls in a more economical manner, several research groups
88 have developed infectious disease transmission models for paratuberculosis, which allow

89 researchers to study the effect of control measures in isolation (Marcé et al., 2010). From the
90 earliest transmission models, it was inferred that testing and culling strategies were likely to
91 be ineffective in controlling disease and that the greatest success was found when test and
92 cull and management control practices were combined. A US simulation found that testing
93 and culling strategies had a comparable effect to management changes in reducing prevalence
94 over time (Collins and Morgan, 1992), whereas a Danish study reported that test-and-cull
95 methods had a negligible effect on prevalence and may only be useful as an incentive for
96 farmers (Groenendaal et al., 2002). A later study reported that within-herd prevalence
97 increased despite testing and culling, and that a reduction in prevalence could only be
98 achieved with optimal management, whilst a greater improvement was made when test-and-
99 culling was combined with optimal management (Kudahl et al., 2007). Similarly, a recent
100 French modelling study has shown that calf management and test-and-cull both were required
101 to maximize the probability of stabilizing herd status, however, reduced calf exposure was
102 the most influential measure (Camanes et al., 2018). It should also be noted that models that
103 evaluate specific management options may not include indirect benefits associated with the
104 implementation of improved management that might occur such as improved biosecurity
105 generally for example.

106

107 However, more recently, models have suggested that test-and-cull may reduce
108 prevalence, and in many cases may be the most optimal economic management approach. For
109 example, a 2010 study found that test-based culling intervention generally decreased
110 prevalence over time, although it took longer than desired by producers to eliminate the
111 endemic MAP infection from a herd (Lu et al., 2010). Similarly, the same research group,
112 showed that risk-based culling could substantially reduce the prevalence of paratuberculosis
113 over time, but that it could not eliminate infection in isolation (Al-Mamun et al., 2017). In

114 terms of optimising economic return given investment in control options and effect of
115 infection on productivity, two separate models from the US and Denmark have shown that in
116 many cases no control was preferred, particularly in smaller herds and that test and culling
117 was preferable to hygiene controls in most cases (Kirkeby et al., 2016; Smith et al., 2017).

118

119 Whilst the impact of testing and culling on the prevalence of MAP infection over time
120 is not clear cut, it is likely to dramatically reduce the incidence of clinical JD on problem
121 farms. An Irish qualitative study demonstrated that clinical JD was a considerably emotive
122 disease, with substantial emotional stress on the farmer (McAloon et al., 2017b). Therefore,
123 the reduction in the incidence of clinical disease on infected farms is likely to have a
124 significant impact on both animal and farmer welfare.

125

126 Vaccination to control JD has been reviewed recently (Bastida and Juste, 2011). The
127 first report on vaccination of cattle for MAP was in the 1920s (Vallee and Rinjard, 1926).
128 Perhaps the greatest success has been demonstrated with the use of vaccination in control JD
129 in sheep (Dhand et al., 2013), where early modelling studies demonstrated a cost benefit to
130 vaccination of replacement ewe lambs (Juste and Casal, 1993). In cattle, vaccination will
131 likely delay the onset of clinical disease, reduce the number of clinical cases and reduce
132 shedding from infected animals (Bastida and Juste, 2011; Alonso-Hearn et al., 2012; Tewari
133 et al., 2014). However, studies demonstrating prevention of infection are less consistent in
134 their conclusions (Kalis et al., 2001). Nevertheless, a number of modelling studies have
135 demonstrated that vaccination may be a more economically attractive option for farmers than
136 a combined programme of test and cull, and management programmes (Cho et al., 2012; Lu
137 et al., 2013), apart from situations where there is a high frequency of TB testing (Groenendaal
138 et al., 2015).

139

140 The most problematic issue with vaccination occurs in countries with ongoing
141 tuberculosis (TB) eradication programmes. Vaccination negatively impacts the sensitivity of
142 the single intradermal comparative cervical tuberculin skin-test (SICCT) and reduces the
143 specificity of currently available MAP serological diagnostics (Coad et al., 2013). However, a
144 recent study has shown that modification of the TB skin test reagents may overcome this
145 issue (Serrano et al., 2017). Several genomics-based approaches to the development of MAP
146 vaccines with complementary diagnostics that do not suffer of these problems are currently
147 underway (Barkema et al., 2018).

148

149 Many regions and nations around the globe have developed and introduced control
150 programmes for JD. Australia, regions of the US, and Germany, Ireland, Canada, UK,
151 Denmark and the Netherlands, represent the areas with the most developed control
152 programmes which often include ongoing sampling and on-farm control plans covering
153 relevant aspects of bioexclusion and biocontainment (Geraghty et al., 2014). Some
154 programmes also include herd categorisation or assurance scores to facilitate risk-based
155 trading. Control programmes in France and Germany are implemented on a regional/state
156 basis (Fourichon and Guatteo, 2014; Donat, 2016a). Participation in national control
157 programmes is generally on a voluntary basis with the exception of the Dutch programme in
158 which participation became compulsory since 2011 (Geraghty et al., 2014). In other countries
159 such as Japan and Norway, mandatory active surveillance for JD is conducted through
160 sampling of herds on a regular basis. In Austria and Sweden, animals showing signs of
161 clinical disease are required to have a test sample collected under national legislation (Khol
162 and Baumgartner, 2012). Similarly, in Italy there is compulsory reporting of clinical cases

163 alongside a voluntary herd classification programme based on serological screening (Arrigoni
164 et al., 2014).

165

166 Countries adopting an on-farm control plan as part of their national programme have
167 generally structured this component through a veterinary administered, written Risk
168 Assessment (RA) and Management Plan (MP) based on current knowledge of MAP and JD,
169 known risk factors, biological plausibility, and expert opinion (Kalis et al., 2004). These
170 questionnaire-based RAs are used to highlight high-risk management area practices for dairy
171 producers and to recommend changes in on-farm management for JD control.

172

173 **Motivating change on farm**

174

175 Farmer adoption of best practice recommendations at farm level for the control of
176 endemic diseases can be challenging (Ritter et al., 2017). A person's behaviour, and decision
177 to adopt a given recommendation to change their behaviour, is influenced by a complex set of
178 relationships between knowledge, attitudes, perceptions, motivation, external communication,
179 and other social factors (Rosenstock, 1974; Ajzen, 1991; Leeuwis and van den Ban, 2004;
180 Boxelaar and Paine, 2005; Rehman et al., 2007). A range of sociological and psychological
181 tools and models have been developed to understand and influence decision making and
182 behaviour on farm. Several have been extrapolated from human medicine, for example the
183 Health Belief Model (Janz and Becker, 1984) or the Theory of Planned Behaviour (Ajzen,
184 1991). These models describe the process of how, based on a foundation of knowledge, a
185 range of factors influence an individual's attitude and perception of a particular behaviour
186 and their intention to perform that behaviour.

187

188 An individual's knowledge with respect to a given topic or issue provides the
189 foundation for their behaviours (Pratt and Bowman, 2008; Garforth et al., 2013), yet
190 producers do not make on-farm decisions purely based on scientific merit and logic (Kuiper
191 et al., 2005; Pratt and Bowman, 2008; Ellis-Iversen et al., 2010; Jansen et al., 2010; Garforth,
192 2011; Kristensen and Jakobsen, 2011; Lam et al., 2011; Garforth et al., 2013). For example,
193 Kuiper et al. (2005) reported that a lack of general knowledge about mastitis among Dutch
194 dairy farmers was not a key factor influencing the adoption of preventative practices. Rather,
195 external triggers (e.g. sanctions, incentives), internal beliefs and perceptions were the key
196 factors influencing producer behaviour. Whilst an understanding of JD and JD control
197 measures is important for producers, knowledge alone is likely insufficient to influence
198 behaviour (Ritter et al., 2017).

199

200 Attitude and perception are key factors influencing behavioural change (Leeuwis and
201 van den Ban, 2004; Garforth, 2011). Leeuwis and van den Ban (2004) provided a particularly
202 comprehensive model that describes the basic variables relevant to understanding a
203 producer's behaviour, which are: evaluative frame of reference, perceived environmental
204 effectiveness, perceived self-efficacy, and social relationships and perceived social pressure.

205

206 In the context of JD, the evaluative frame of reference corresponds to the factors that
207 a producer considers when rationalising a behavioural change. Producers will consider their
208 perception of the consequences of the JD control practices they are asked to implement (e.g.
209 labour, time investment, impact, required inputs, etc.) (Ritter et al., 2016). They will also
210 consider their perceptions of the risk of JD to their farm and livelihood, and the likelihood
211 that changing their behaviour will positively impact JD control. These perceptions will be
212 based on personal and professional goals and aspirations, physical resources (i.e. time,

213 money, infrastructure), personal values, and what they believe are the social norms with
214 respect to the practice.

215

216 A producer's perception of environmental effectiveness refers to whether they believe
217 that their existing socio-economic environment can support the behaviour(s) they are being
218 asked to undertake. For example, a producer considering on-farm changes for JD will
219 consider: the availability of support from their veterinarian and fellow farmers (Ritter et al.,
220 2015), availability and reliability of physical and organizational resources (e.g. colostrum
221 and/or milk replacer), and market prices (e.g. milk price, cow replacement price).

222

223 Perceived self-efficacy refers to a person's confidence in his or her own ability to
224 perform a given behaviour. More specifically, producers will consider their ability to obtain
225 and mobilize resources (i.e. money and labour), their own personal skills and competence,
226 and their ability to control or manage the risks that may arise from adopting the behaviour.

227

228 Lastly, producers will consider their social relationships and perception about the
229 social pressures being put on them to perform a behaviour. They consider what the
230 expectations are of them from other sources (e.g. friends, family, peers, organizations, etc.),
231 and the resources, penalties, and incentives that exist to persuade them to make the change.
232 Individuals are then likely to place a value on these perceptions that will be weighted based
233 on their personal feelings, relationships, and experiences with these sources. Therefore, for
234 JD control, a producer is likely to consider what their fellow producers, veterinarians,
235 industry organizations, and extension specialists expect of them with respect to JD control.
236 The value they place on these perceptions will then ultimately determine how they respond.

237

238 An individual's motivation is another important factor influencing behaviour. A
239 producer can be motivated externally or internally (Leeuwis and van den Ban, 2004).
240 External, or extrinsic, motivation relates to when a behaviour or activity is performed in order
241 to obtain a separable outcome (e.g. money) (Ryan and Deci, 2000). While incentive and
242 reward-based systems are often used to externally motivate voluntary behaviour change
243 (Nightingale et al., 2008), extrinsic motivation can also relate to the performance of a
244 behaviour to avoid a separable outcome (e.g. financial fine or penalty). In the case of
245 penalties, externally motivated behaviour change is focused on compulsory behaviours (Lam
246 et al., 2011). Interestingly, research into the impact of external motivation suggests that
247 penalty systems related to milk quality (i.e. penalties applied for milk with high bulk tank
248 somatic cell counts) are more effective than premium systems (i.e. incentives for milk with
249 low bulk tank somatic cell counts) (Valeeva et al., 2007). However, for JD, these structured
250 penalties are not in place and the potential benefits of change are not immediately obvious to
251 the farmer. In addition, these penalty systems are generally unsustainable, as the behaviour
252 will likely only last while the coercion, either positive or negative, exists (van Woerkum et
253 al., 1999).

254

255 Conversely, internal, or intrinsic, motivation refers to performing a behaviour purely
256 out of interest or for enjoyment (Ryan and Deci, 2000). Lam et al. (2011) suggested that
257 producers can be internally motivated through reasoned opinions and the use of numerous
258 communication techniques (e.g. articles in magazines, study groups, discussions between
259 producers and veterinarians), which target a producer's attitudes and perceptions. Very little
260 research has been conducted to investigate the factors that motivate dairy producers to adopt
261 on-farm changes to address JD. While numerous studies suggest that the economic losses
262 associated with JD will motivate producers (Raizman et al., 2009; Benjamin et al., 2010;

263 Bhattarai et al., 2013), little is known about other motivating factors for producers to change.
264 Additional investigations are needed to highlight the key motivating factors, which can then
265 be addressed to internally motivate producers to change their behaviour.

266

267 Whilst clinical JD may be an emotive and distressing condition for farmers to deal
268 with (McAloon et al., 2017b), herds where there is a high incidence of clinical disease
269 represent a minority of infected herds. This may perhaps further lessen the likelihood of
270 farmers widely realizing benefits from implementing on-farm changes for prevention and
271 control. However, it is important to note that more recent research has explored the use of
272 different tools and methods, based on the socio-psychological work previously referred to, for
273 motivating adoption of control measures for paratuberculosis. Trier et al. (2012),
274 Groenendaal et al. (2003), Kingham and Links (2012) and Roche et al. (2015) have reported
275 the implementation of small, producer-group-based approaches to JD extension, which have
276 been reported to be effective in improving adoption of on-farm recommendations for JD
277 control in Danish and Dutch dairy herds, Australian sheep flocks, and Canadian dairy herds,
278 respectively.

279

280 Whilst there is a growing body of literature on factors resulting in preventative
281 management changes for MAP infection, there is little on the use of vaccination. A recent UK
282 qualitative study investigating the general use of vaccination on dairy farms found, that
283 veterinarians were embedded into decision making around vaccination at farm level,
284 however, farmers were likely to vaccinate only if they had a perceived problem (Richens et
285 al., 2015), suggesting that vaccination might be used when there is an unacceptable incidence
286 of clinical disease.

287

288 It is well established that economic arguments are generally poor at influencing on-
289 farm change (Vanclay, 2004) and it has been shown that the desire of being a good farmer or
290 job satisfaction can be important motivators to improve disease prevention and control (Ritter
291 et al., 2017). As a result, our communication approaches used to motivate on-farm change
292 must be increasingly tailored to the mindset of the farmers (Barkema et al., 2018) and
293 embrace multidisciplinary methods, particularly those coming from the social and socio-
294 psychological fields.

295

296 **Conclusions**

297 Much has been learned about the epidemiology of paratuberculosis in dairy herds.
298 Continued efforts to determine the most important factors for transmission will aid in
299 prioritisation of efforts for control on farm. With improved knowledge and confidence in the
300 likely impact of various control measures, further efforts to optimally tailor communication
301 strategies will likely increase their uptake.

302

303 **Conflict of interest statement**

304 None of the authors of this paper has a financial or personal relationship with other
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