1	Microorganisms attached to the lumens and balloons of indwelling urinary catheters and
2	correlation with symptoms, antibiotic use, and catheter specimen of urine results
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31	<u>Abstract:</u>

Purpose: To quantify and identify microorganisms attached to the lumens and balloons
 of removed urethral urinary catheters and relate this to patient-specific information.
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Methodology: Indwelling urethral urinary catheters were collected from patients at a
large teaching hospital in the UK. The balloon and lumen were separated, sonicated, and
microorganisms were enumerated from the sonicate. Catheter specimen urine results
were retrospectively reviewed.

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40 Results: Sixty-one catheters were analysed. The most commonly isolated organisms were Escherichia coli and Enterococcus faecalis. 19.7% of patients received antibiotics 41 42 while catheterised and 25% of those had a multi-drug resistant (MDR) organism 43 attached to the lumen. Conversely, only 2.04% of catheters from patients not known to 44 be receiving antibiotics had a MDR organism present. All lumens were colonised 45 irrespective of antibiotic use. Symptom presentation did not correlate with numbers of 46 colonising organisms or species. Despite heavy colonisation, only 8/61 patients were 47 symptomatic.

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Conclusion: This study demonstrated that indwelling urinary catheters in place for 10
days or greater were universally colonised and there was no correlation of colonisation
with symptom presentation. Symptomatic presentation remains for the most important
factor for defining CAUTI.

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58 Introduction:

59 Infection is a well-recognised and costly complication of use of indwelling urinary 60 catheters and is associated with increased mortality and increased length of hospital

stay(1, 2). The common causative agents of CAUTI reported in the USA include

62 Escherichia coli, Klebsiella pneumoniae, Enterococcus spp., Proteus mirabilis,

Pseudomonas aeruginosa, Candida spp., and staphylococci(3) with approximately 20%
of organisms isolated being multidrug-resistant (MDR)(4).

65 However, there has been considerable confusion between symptomatic UTI 66 (CAUTI) requiring antibiotic treatment and asymptomatic bacteriuria (CAASB) found 67 commonly in catheterised patients but not requiring treatment. This has led to over-use 68 of antibiotics with concomitant concern regarding resistance and adverse events (5). 69 Most of the data on causative bacteria of both CAUTI and CAASB are derived from urine 70 samples taken from the catheter (despite these being discouraged in catheterised 71 patients) and little is known about the bacteria that colonise catheters(6). Though some 72 authors have examined catheters, there has not, to our knowledge, been a systematic, 73 quantitative study of the contents of removed urinary catheters. Therefore, this 74 pragmatic study aims to quantify organisms attached the lumens and balloons of 75 indwelling urethral urinary catheters and correlate this with patient data on antibiotic 76 usage, symptom presentation, and catheter specimen urine (CSU) results.

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78 <u>Methods:</u>

79 Setting and sample collection

80 Between June 2015 and July 2016, indwelling urethral urinary catheters that remained in situ for ten days or greater from male and female patients over 16 years of 81 82 age were collected from patients at Nottingham University Hospitals NHS Trust, 83 Nottingham, UK. Catheters were collected from urology theatres, male urology ward, 84 neurosurgery wards, and a neurorehabilitation centre by clinical staff when removed 85 according to clinical need. Clinical staff were instructed to remove the catheter according 86 to standard local protocols and if possible drain, but not flush, the lumen of any residual 87 urine. Clinical staff were provided with an audit form to complete with patient details 88 including the date the catheter was inserted, if the patient was prescribed antibiotics to 89 their knowledge, and if they were symptomatic for CAUTI. Catheters were collected from

90 areas where experienced ward staff were familiar with CAUTI and its diagnosis. Local 91 guidelines state antibiotics for CAUTI should be started immediately and the catheter 92 changed during the treatment course, which is 7 days. The catheters were then placed in 93 a resealable bag and stored in the specimen fridge (approx. +4°C) with information 94 about antibiotics received and current symptoms of CAUTI. The catheters were analysed 95 within 24 hours of removal.

96 An audit to retrospectively review catheter specimen urine (CSU) results during 97 the period of catheterisation of the patients who had catheters included in this study was 98 approved by Nottingham University Hospitals NHS Trust (Audit ID: 17-309Q) in 99 December 2017. The period of catheterisation was available in the notes and according 100 to the audit form provided by the clinical staff. All CSU results from each patient's period 101 of catheterisation with the collected catheter were obtained retrospectively from 102 microbiology reports available on a hospital-wide information system, NotIS (Nottingham 103 Information System).

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105 Analysis of catheters

106 The catheter was removed from the specimen bag using sterile forceps and 107 placed onto a sheet of sterile autoclaved aluminium foil. Stainless steel, straight-jawed 108 surgical clamps clamped the catheter on the lumen downstream of the balloon and on 109 the lumen upstream of the drainage and balloon inflation ports. The balloon was then detached from the catheter body using a sterile scalpel and placed into a sterile universal 110 111 container. Phosphate buffered saline (PBS, Oxoid, Basingstoke, UK) was added to the 112 Universal container so that it covered the entire surface of the balloon section. The drainage and balloon inflation ports were separated from the catheter body and 113 114 discarded. This left the lumen section with its ends clamped. Opening both ends of the 115 clamps briefly, 1-2 mL PBS were introduced to fill the lumen depending on the size of the 116 catheter. The ends were quickly reclamped and the catheter placed into a resealable 117 freezer bag.

118 The balloon section and catheter lumen were sonicated for five minutes at 30 kHz 119 to detach microorganisms. After sonication, both ends of the catheter body section were 120 cleaned with an alcohol pre-injection swab (Mölnlycke Healthcare, Göteborg, Sweden). 121 The lumen sonicate was drained into a sterile Bijou bottle (Sterilin, Newport, UK). 200µL 122 of the balloon and lumen sonicates and appropriate dilutions were spread onto cysteine-123 lactose electrolyte deficient (CLED) agar (Oxoid, Basingstoke, UK) and incubated overnight in air at 37°C. Colonies were enumerated and general microbiological 124 125 identification performed, including use of the API identification system (bioMérieux, 126 Marcy-l'Étoile, France) and MALDI-ToF (Microflex LT Mass Spectrometer, Bruker 127 Daltronics). All isolates were tested for resistance according to EUCAST guidelines(7, 8). 128 If culture negative, the plates were incubated for a further 24 hours. 129 130 Statistics 131 Data were analysed in GraphPad Prism 7.01 (La Jolla, California, USA). Normality was

assessed by histogram, and some data not normally distributed were log-transformed.
Correlation was assessed using the Pearson correlation. Significance was defined as
p<0.05 and was calculated by unpaired t-test and one-way ANOVA depending on the
number of comparisons.

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137 <u>Results:</u>

138 *Catheter Collection Demographics*

139 Sixty-one urethral urinary catheters were analysed. The mean age of patients 140 from who the catheters were collected from was 68 years and 10/61 were female. The 141 reasons for catheterisation were predominantly for management of urinary retention 142 (64.62%), management of neurological or neurosurgical issues (21.31%), and 143 transurethral resection of the prostate (16.39%), which correspond with specialties of 144 the departments from which they originated. The types of catheters also varied with the 145 majority being all-silicone for long-term use (50.8%), PTFE-coated (23.33%), all-silicone 146 for short-term use (15.00%), latex (3.33%), hydrogel-coated latex (3.3.3%), and

hydrogel-coated silicone (3.33%). There was no significant difference in the quantity
(p=0.4803, one-way ANOVA) of organisms attached to lumens of the different catheter
types. However, there were more species of microorganisms per catheter attached to
PTFE catheters than to all-silicone catheters (p=0.0073). The lumen sizes ranged from
12Ch to 20Ch.

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153 Identification of microorganisms attached to the lumens and balloons

154 107 and 103 organisms were isolated from the lumens and balloons, respectively, 155 of 58 urinary catheters. Three lumens (4.9%) and three balloons (4.9%), not from the 156 same catheter, were culture - negative. The same microorganisms were isolated from 157 the lumen and the balloon of the same catheter in 65.6% of collected catheters. The 158 most commonly isolated organisms in both the balloons and lumens were *E. coli* and *E.* 159 *faecalis* (Table I).

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161 Drug Resistance and Antibiotic Exposure

162 Sixteen urinary catheters (26.2%) were from patients known to be receiving 163 antibiotics and 12 of these were known to be receiving antibiotics for treatment of 164 CAUTI, the other four receiving antibiotics prophylactically for other conditions and for 165 treatment of other infections. Antibiotics prescribed for treatment of CAUTI included iv 166 piperacillin-tazobactam, amoxicillin clavulanic acid, trimethoprim and pivmecillinam. 167 Antibiotics for the four receiving antibiotics prophylactically and for other infections 168 include iv piperacillin-tazobactam, amoxicillin clavulanic acid, vancomycin and 169 meropenem. Of the 16 catheters from patients known to be receiving antibiotics, all 170 lumens were colonised by at least one microorganism and 15/16 of the balloons were 171 colonised. Antibiotics did not significantly reduce colonisation of catheter lumens 172 (p=0.7153) or balloons (p=0.4516).

Four multi-drug resistant organisms were isolated, including two extendedspectrum beta-lactamases (ESBL)-producing *E. coli* and two meticillin-resistant *S. epidermidis.* MDR organisms were isolated from 25% of catheters from patients known

to be receiving antibiotics and from 2.04% of catheters from patients not known to be
receiving antibiotics (p=0.0216, Fisher's exact test).

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179 Length of catheterisation and colonisation of catheter lumens

180 Information regarding the exact duration of catheterisation was available for 48/61 catheters. There was no correlation between the duration of catheterisation and 181 182 the number of microorganism species (Figure 1a) or the quantity of each microorganism 183 (Figure 1b) in the lumen for catheters from patients symptomatic for CAUTI and 184 asymptomatic. 8/61 patients were symptomatic for CAUTI (despite 12 being treated for 185 CAUTI, only 8 had symptoms recorded) and length of catheterisation was available for 186 7/8. There was no significant difference between the number of isolates (p=0.7741) or 187 the quantity (CFU/mL) (p=0.0976) of microorganisms isolated from the lumens of 188 catheters from symptomatic and asymptomatic patients underlining the importance of 189 symptoms, not microbiological culture, in the diagnosis of CAUTI.

190

191 CSU and Catheter Colonisation

192 Three of the 61 patient notes were unavailable and were not included in this 193 analysis. 28 CSU samples from 22 patients were taken during the period of 194 catheterisation from which the catheter was collected and processed as standard by the 195 microbiology department. Generally, there was a poor consensus between the laboratory 196 CSU results and the organisms attached to the catheter lumens and balloons in that 197 additional organisms (the majority of which were E. faecalis) were excluded from the 198 reports despite catheter colonisation in significant numbers. Only 28.6% of the 199 laboratory CSU reports matched the contents of the lumen and balloon. This suggests 200 that CSU results do not reflect what is present on the catheter.

Antibiotic choice does not appear to be influenced by CSU results. Two of the 12 patients prescribed antibiotics for CAUTI never had a CSU sent, and an additional 2/12 had a CSU sent but the results were 'no growth'. An additional patient was prescribed

204 cephalexin for an ESBL *E. coli* and another patient was prescribed pivmecillinam despite
205 the results of a pivmecillinam resistant *Proteus spp*. in the report.

206

207 Discussion:

208 A novel method was developed for analysing the attached bacteria in the lumens 209 and balloons separately without sampling the catheter external surface. The most 210 commonly isolated organisms from the lumens and balloons of indwelling urinary 211 catheters were Enterobacteriaceae and enterococci. Of interest, Proteus mirabilis is 212 frequently cited (9) as a major problem in catheter users, but was isolated from only 213 3/61 catheters collected, of which only two were blocked by mineral encrustations. In 214 total, five catheters were blocked and E. coli and E. faecalis were the most common 215 organisms isolated from the blocked catheter lumens, which is interesting as neither 216 produces urease, which is cited as the cause of catheter blockage(10).

217 60.7% of lumens and 57.4% of balloons were colonised by two or more 218 organisms. This proportion is slightly lower than that found by Warren et al, who 219 reported that 77% of their catheter urine specimens were polymicrobial, though they did 220 not examine the catheters(11). 34.4% of the bladder contents as represented by the 221 balloon isolates were different from those in the lumen. Additional organisms may be 222 detected in catheter urine culture as these can be released into the passing urine, and 223 culture results may adversely influence treatment. This can be seen from the lack of 224 correlation between the catheter contents and the CSU results. This is in agreement with 225 a study by Montgomerie et al who investigated the colonisation of the urethral meatus 226 and urine cultures in those that perform intermittent catheterisation, and found that 227 there was no correlation between urethral colonisation and urine samples (12). Quite 228 often the lack of consistency comes from an additional organism not being recorded. For 229 example, one catheter grew 10⁷ and 10⁶ CFU/mL *E. coli* and E. *faecalis* respectively from 230 the catheter lumen and balloon, whereas only E. coli was recorded in the CSU report 231 despite both present in large quantities. The patient was treated with iv piperacillin-232 tazobactam when both isolates were sensitive to nitrofurantoin. Therefore, the

usefulness of CSUs is debatable given that they do not necessarily represent the
microenvironment in the catheter lumen and more importantly, the catheter balloon, and
do not appear to guide antibiotic prescribing. This then revisits the importance of
symptom presentation as being the guiding factor for diagnosis and treatment of CAUTI.

237 These data, which show no effect of antibiotics on the reduction of attached 238 bacteria in the catheter, support the Scottish Intercollegiate Guidelines Network (13) and 239 the Infectious Disease Society of America (14) recommendations for changing the 240 catheter before starting antibiotic treatment for CAUTI. Antibiotics do not reduce 241 attached biofilm bacteria and are likely to be a driver of antibiotic resistance. The higher 242 proportion in this study of MDR isolates from catheters of patients receiving antibiotics 243 may due to the influence of antibiotics, or it may be that MDR organisms more often 244 cause symptoms and therefore require antibiotic treatment.

245 The relationship between the time the catheter remains in situ and increased rate 246 of bacteriuria, incurring an increased risk of 5% per day, is well-established in the 247 literature (15). From 10 days onwards, 96.7% of the catheter lumens and balloons were 248 colonised in our study. There was no evidence of the number or quantity of species 249 increasing from 10 days to 119 days, which was the longest duration of catheterisation 250 in this study. It appears that by day 10, colonisation of the catheter may be established 251 and thus there may not be capacity within the catheter to support additional 252 microorganisms. In a study by Ganderton et al (16), there was no relationship between 253 duration of catheterisation and amount of biofilm. In our study, there was also no 254 difference between the number of species or the quantity of each isolate in those 255 patients who were symptomatic and those who were asymptomatic. This again 256 reinforces the emphasis for diagnosis to be placed on symptom presentation and not 257 solely on the microbiological results, which may not accurately reflect the bladder 258 contents as seen from the CSU results.

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- 261 KB(<u>https://orcid.org/0000-0001-5893-0882</u>): conceptualisation, methodology, investigation,
- 262 writing- original draft, writing review and editing
- 263 SK: Investigation, writing review and editing
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- 265 RP: conceptualisation, methodology, resources, writing review and editing
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- and editing, supervision
- 268
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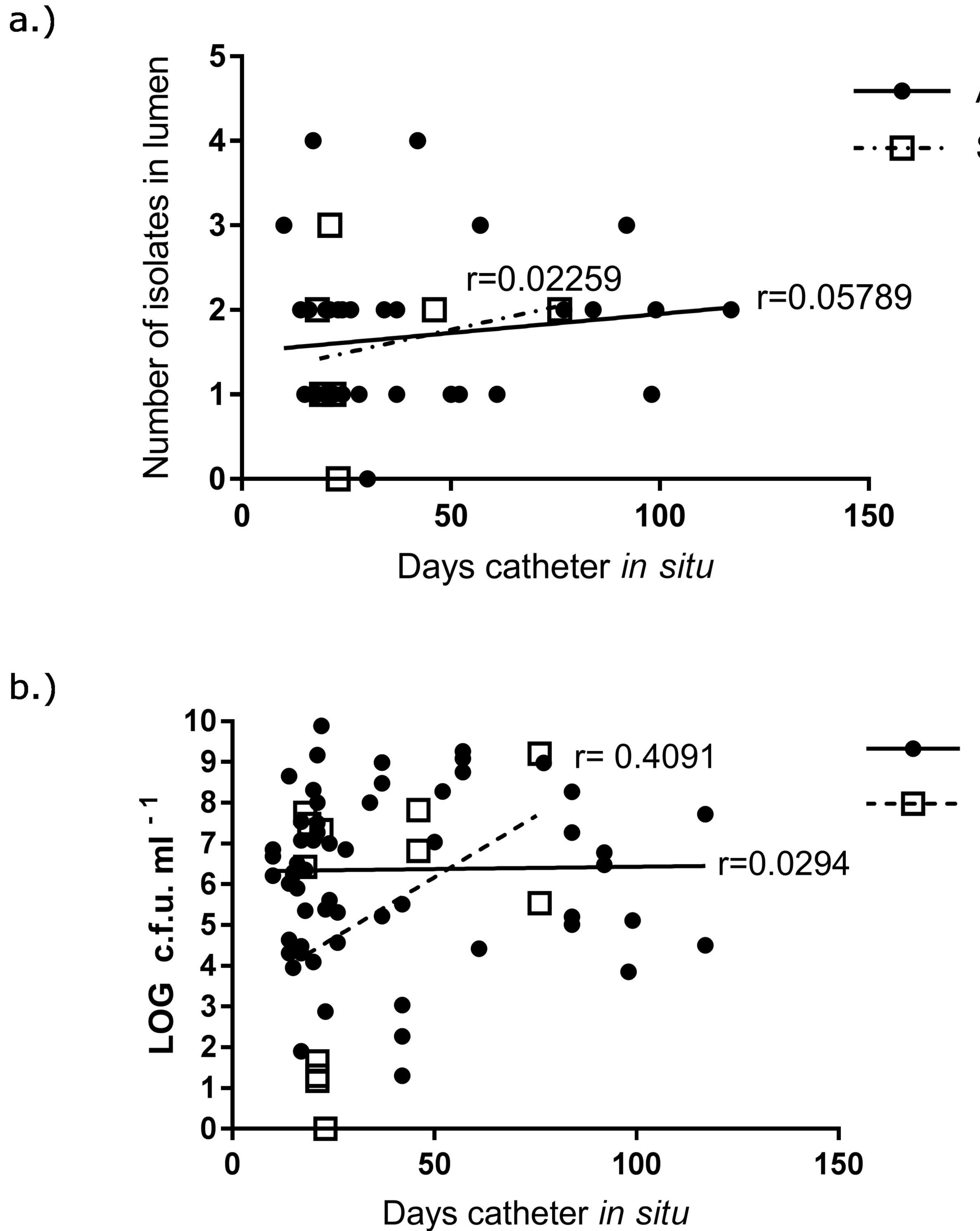
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 325 microscopy of bacterial biofilms on indwelling bladder catheters. Eur J Clin Microbiol
 326 Infect Dis. 1992;11(9):789-96.
- 327
- 328 Figure legends:
- 329 Figure 1: Correlation of A.) The number of isolates in each catheter lumen and B.) the
- 330 quantity (CFU/mL) of each isolate in each catheter plotted against length of time the
- catheter was in situ. The Pearson correlation coefficient was computed and indicated no
- 332 correlation of variables.
- 333
- 334 <u>Tables:</u>
- 335 Table I: Inventory of microorganisms isolated from the balloons and lumens of indwelling
- 336 urethral urinary catheters in situ for 10 days or greater.

Organisms isolated from catheter	lumens	Organisms isolated from catheter	Organisms isolated from catheter balloons	
Enterobacteriaceae	47	Enterobacteriaceae	40	
Escherichia coli	24	E. coli	20	
Klebsiella pneumoniae	5	Klebsiella pneumoniae	5	
Enterobacter cloacae	4	Enterobacter cloacae	4	
Klebsiella oxytoca	3	Klebsiella oxytoca	3	
Proteus mirabilis	3	Morganella morganii	2	
Morganella morganii	2	Citrobacter koseri	1	
Serratia liquefaciens	2	Citrobacter koseri/amalonaticus	1	
Hafnia alvei	1	Hafnia alvei	1	
Pantoea spp.	1	Pantoea spp.	1	
Citrobacter koseri	1	Proteus mirabilis	1	
Citrobacter koseri/amalonaticus	1	Serratia liquefaciens	1	
Enterococci	18	Enterococci	20	
Enterococcus faecalis	17	Enterococcus faecalis	19	
Enterococcus faecium	1	Enterococcus faecium	1	
Pseudomonas spp.	16	Pseudomonas spp.	12	
Staphylococci	14	Staphylococci	19	
Staphylococcus epidermidis	4	Staphylococcus epidermidis	9	
Staphylococcus aureus	3	Staphylococcus aureus	3	
Staphylococcus capitis	2	Staphylococcus caprae	2	
Staphylococcus haemolyticus	2	Staphylococcus haemolyticus	2	
Staphylococcus caprae	1	Staphylococcus xylosus	1	
Staphylococcus lugdunensis	1	Staphylococcus hominis	1	
Staphylococcus saprophyticus	1	Staphylococcus saprophyticus	1	
Staphylococcus xylosus	1			

		Yeasts	7
Yeasts	6	Candida albicans	4
Candida albicans	4	Candida glabrata	1
Candida guilliermondii	1	Candida guilliermondii	1
Candida parapsilosis	1	Candida parapsilosis	1
Others	6	Others	5
Micrococcus spp.	2	Streptococcus agalactiae	1
Corynebacterium propinquum	1	Streptococcus bovis	1
Streptococcus agalactiae	1	Streptococcus gordonii	1
Streptococcus bovis	1	Streptococcus intermedius	1
Streptococcus intermedius	1	Corynebacterium propinquum	1
Total:	107	Total:	103



Asymptomatic Symptomatic

Asymptomatic Symptomatic