

1 **Evaluating the recently imposed English compulsory microchipping policy.**

2 **Evidence from an English Local Authority.**

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6

7 **Abstract**

8 This is the first empirical study examining the effectiveness of the newly imposed English
9 compulsory microchipping policy. A dataset of 2,974 records was retrieved from an English
10 local authority's website. Records were from the period 2010-2018 and were analysed
11 based on the three periods of the policy timeline: initial period includes data recorded prior
12 to the intent of imposing the microchipping policy (April 1, 2010 - February 2, 2013);
13 second period includes data recorded between the announcement of the intent and the
14 date of the policy coming into effect (February 3, 2013 - April 5, 2016); and the final
15 period includes data recorded after the policy came into effect (April 6, 2016 - July 4,
16 2018). A preliminary binary univariable logistic regression model analyzed the initial period
17 which revealed that microchipping was an effective means of traceability providing
18 evidence supporting the imposition of the policy. Thereafter, a multinomial logistic
19 regression model was employed for the complete dataset and all policy periods. It revealed
20 that both the period after the announcement of intent to impose the policy and the period
21 after the policy came into effect have had a significant effect on the return of stray dogs,
22 with the latter of greater magnitude. In particular for Staffordshire bull terriers and its
23 crosses, which is the leading breed in animal welfare organization and local authority
24 kennels, this study identifies the need for further research. Overall, these findings are
25 encouraging in terms of the success of the policy however, more insights are required on
26 keeping microchip details up-to-date and on the link of deprived areas, youth and the
27 ownership of Staffordshire bull terriers. Although the findings of this study may not be
28 generalized to all English local authorities, its conclusions could be used as a benchmark
29 and starting point for further investigation.

30 **Keywords:** Multinomial logistic regression; stray dogs; microchipping; local authorities;
31 Staffordshire bull terriers

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33 **1. Introduction**

34 In April 2012, the United Kingdom's department of Environment Food and Rural Affairs
35 (Defra) conducted a public consultation, which was open to both individual members of
36 the public and organizations, on a set of measures aiming to promote more responsible
37 dog ownership (Defra, 2012). One of the measures proposed was the imposition of
38 compulsory microchipping for all dogs (Defra, 2012). In February 2013, the results of the
39 consultation revealed that 96% of the respondents were in favor of the measure with the
40 view that it would improve owner accountability and dog-owner re-unification in the case
41 of lost dogs (Defra, 2013). These consultation results along with stakeholder views were
42 formulated into the Microchipping of dogs in England Regulation 2015 which also
43 determined April 6, 2016 as the date compulsory microchipping for all dogs came into
44 effect (Defra, 2013). According to Defra, 60% of all owned dogs had already been
45 microchipped in 2013 (Defra, 2013). In 2016, Defra reported that 86% of dog owners in
46 the UK had microchipped their dogs right before the law came into effect, an estimated
47 7.34 million dogs (Defra, 2016) from approximately 8.5 million owned dogs (Pet Food
48 Manufacturer's Association, 2017); with the statistic rising up to 95% a year after the
49 policy came into effect (Woodmansey, 2017). Dogs Trust (2017) annual report also noted
50 an increase in the percentage of the total number of microchipped stray dogs from 17,789
51 in the 2014-2015 period to 16,447(29% of all strays) in the 2015-2016 period. The
52 imposition of the policy led to a further increase in the number of total stray dogs that
53 already had been microchipped to 18,430 (34%) in the 2016-2017 period (Dogs Trust,
54 2017).

55 In the first annual stray report after the implementation of the policy, Dogs Trust reported
56 an overall 18% decrease of stray dog handling, with local authorities handling 66,277 stray
57 dogs in the 2016-2017 period compared to 81,050 dogs in the 2015-2016 period (Dogs
58 Trust, 2017). An overall 1% decrease in the number of dogs that were euthanized was

59 also reported between the two periods, totalling to 2,239 dogs (3% of total strays). The
60 majority of these dogs were reported of being euthanized for reasons related to health
61 and behavior or under the Dangerous Dogs Act (Dogs Trust, 2017). Only 226 dogs had a
62 confirmed report of being euthanized due to being unclaimed or having no rescue available
63 (Dogs Trust, 2017).

64 One extremely emotive and current issue is the management of Staffordshire bull terriers
65 (SBT). It is common knowledge that SBT are the leading breed in most UK animal welfare
66 organization and local authority kennels. Battersea Dogs and Cats Home (2016), one of
67 UK's largest animal welfare organization, reported SBT as the leading breed for 2016.
68 However, SBT have also been characterized as 'Status Dogs', a '*type of dog used by*
69 *individuals to intimidate and harass members of the public*' (Defra, 2010, p.4) and
70 according to Hughes et al. (2011) may be popular in youth peer groups and gangs in
71 particular in deprived areas. Recently, People for the Ethical Treatment of Animals (PETA)
72 backed the call to include SBT to the Dangerous Dogs Act, banning the breed as an
73 aggressive and dangerous one (RSPCA, 2018a). In a Defra enquiry about dangerous dogs
74 and Breed Specific Legislation (BSL) the Royal Society for the Prevention of Cruelty to
75 Animals (RSPCA) provided written evidence against BSL calling it ineffective (RSPCA,
76 2018b). Furthermore, it supported that breed is not a suitable criterion for measuring dog
77 aggression and that there is paucity in the scientific research to support BSL (RSPCA,
78 2018b). After the submission of an e-petition, the House of Commons debated and
79 concluded that SBT would not be considered for inclusion (House of Commons, 2018).

80 The main objective of the present study is to identify whether the likelihood of stray dogs
81 being reunited with their owners has increased due to the imposition of the compulsory
82 microchipping policy, with a special reference to SBT. As a secondary objective this study
83 will provide evidence that microchipping is an effective means of traceability.

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88 **2. Material and Methods**

89 2.1. Data sources

90 Under the Clean Neighbourhoods and Environment Act 2005, local authorities have the
91 sole responsibility of stray dogs (Ashfield District Council, n.d.). Furthermore, all stray
92 dogs found by the public must either be returned to their owners or to the local authority
93 in which they are found (Swale Borough Council, 2017). Stray dogs are held at local
94 authorities for seven days and if after this period they are not reunited with their owners,
95 ownership is transferred to the local authorities (Swale Borough Council, 2017).
96 Thereafter, the Clean Neighbourhoods and Environment Act (2005) allows the local
97 authorities to either sell or transfer the dog to individuals or animal welfare organizations
98 that can provide the appropriate care, or resort to euthanasia.

99 The dataset used for this study includes records of stray dogs handled by Swale Borough
100 Council, a local authority in the South East of England. This local authority was selected
101 for two reasons: firstly, for the availability of stray dog data and secondly for the socio-
102 economic demographics of the Borough. This Borough has four towns and 37 civil parishes.
103 Swale is within the most deprived 35% of local authorities in England (Swale Borough
104 Council, 2010a) with a reported most deprived ranking of 116th out of 353 English local
105 authorities in 2007 (Swale Borough Council, 2010b). In more recent publications, Swale
106 was reported having higher than the English average deprivation in 2016 (KCC, 2016).

107 In terms of data availability, this local authority was selected as it publishes, on their
108 website, records on all stray dogs they handle, with their register being updated daily
109 making this dataset as accurate as possible. This local authority exhibits continuous high-
110 quality animal service, and this is evident by its status as a holder of a Stray Dog Gold
111 Footprint Award awarded by the RSPCA for nine consecutive years (Swale Borough Council,
112 2018). Since 2015, this local authority employs two animal control officers, one full-time
113 and one part-time, who carry out duties of Dog Warden and other officers who are trained
114 to handle dogs (Swale Borough Council, 2016; Swale Borough Council, 2017). These
115 officers enter stray dog data onto the website and provide all dog details, including breed,
116 sex, coat color and age. In addition to their council website, they also maintain a social

117 media lost and found group page, which was established in 2015, where they upload
118 photographs and information of dogs in their care as well as allow owners to post lost dogs
119 photographs.

120 Data from their website was collected in three batches. The first batch of records was
121 retrieved on April 16, 2012 and included information of dogs handled between April 1,
122 2010 and April 15, 2012. The second batch of records was retrieved on May 29, 2013 and
123 included information of dogs handled between April 16, 2012 and May 29, 2013. The final
124 batch of records was retrieved on July 4, 2018 and included information of dogs handled
125 between May 24, 2014 and July 4, 2018. Data between May 30, 2013 and May 23, 2014
126 are missing from the dataset. This is due to the council updating their website and
127 changing the design layout which resulted in making those data unavailable to the public.
128 Overall, the complete dataset consists of 3,246 records of stray dogs. Of these, 2,974
129 were complete without any missing values.

130 In all batches of data, the details recorded include: breed, sex, age, coat color and fate of
131 the dog. Only in the first batch of data (April 1, 2010 – April 15, 2012) the local authority
132 also reported whether the dog had a means of traceability (i.e. microchip, or another
133 means such as a collar or a personal ID tag). Of the 873 dogs recorded during that period,
134 557 had the means of traceability complete. Means of traceability was no longer recorded
135 in the second and third batch.

136 In terms of breed, the leading breed was SBT and its crosses. From the overall 3,133 dogs
137 that had complete breed information, 914 (29.2%) were SBT or crosses of that breed. The
138 second and third leading breeds were Jack Russell terriers (328 dogs, 10.5%) and Lurchers
139 (196, 6.3%) respectively. During the examined period, Swale Borough Council handled
140 dogs from at least 62 different breeds. Given the aim of the study to examine SBT in
141 particular, it was decided to create the breed variable as a binary variable indicating if the
142 dog was SBT and its crosses or not.

143 The sex of the dogs was given for 2,932 dogs and indicates that the local authority handled
144 a somewhat balanced number between female and male dogs, with 56% of the handled
145 dogs being male. In terms of the age of the dogs, this was recorded according to the

146 categories of puppy, young, young adult, adult and senior. From the overall 3,246 records
147 of stray dogs, a total of 2,512 had their age recorded. However, when investigating the
148 data it appears that 93.6% of the dogs were recorded as being adults.

149 The coat color of the dogs was given by the local authority as part of the dogs' description
150 title. These did not appear to be standardized and therefore, the collation of these was
151 done using existing literature as guidance. In particular, Posage et al. (1998) indicated
152 that fair-color coated dogs were more desirable and Diesel et al. (2007) revealed that fair-
153 color coated dogs had a faster rate of adoption compared to black-coated dogs. Given the
154 focus on fair and black coats, it was decided to collate coat color based primarily on these
155 two groups. Hence, coat color was created as a categorical variable including fair (white,
156 cream, beige, etc.), black, black and tan, black and white and all other coat-colors. Of the
157 overall 3,246 records, 3,057 had the coat color completed, of which 43.2% were dogs
158 allocated into the 'other' category.

159 To accommodate the main research objective a categorical variable depicting the periods
160 associated with the compulsory microchipping policy timeline was created (Table 1). The
161 initial period included records of dogs handled prior to the intention to impose the policy
162 – hence including data recorded before February 3, 2013; the second period included
163 records of dogs handled after the date of announcement of the intent to impose the policy
164 but before the policy came into effect- hence including data recorded between February
165 3, 2013 and April 5, 2016); and the final period included records of dogs handled after
166 the policy came into effect- hence including data recorded from April 6, 2016 onwards.

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168 Table 1

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171 2.2 Binary univariable logistic regression analysis examining the effectiveness of 172 microchipping as a means of traceability

173 The availability of data on the means of traceability between April 1, 2010 and April 15,
174 2012 allows the preliminary examination of the effectiveness of microchipping as a method

175 of re-uniting dogs with their owners. It is hypothesized that the analysis would indicate
176 microchipping as an effective method. The fate of the dogs was constructed as a binary
177 variable depicting whether the dogs were taken to kennels or re-united with owners. This
178 construction allowed the employment of the binary logistic regression model. This model
179 was employed to examine whether a presence of a means of traceability and in particular
180 that of microchipping is a significant factor in dog-owner unification. The analysis was
181 conducted using the statistical package Stata 15 and the results are reported using the
182 odds ratio (OR).

183 2.3 Multinomial logistic regression analysis examining increased likelihood of stray dogs 184 being re-united with their owners

185 The availability of data associated with the compulsory microchipping policy timeline allows
186 the examination of the effectiveness of the imposition of the policy. The hypothesis is that
187 the imposition of the policy has had a significant positive effect on the local authority's
188 return rates. For this analysis, the fate of the dogs was constructed as an unordered
189 categorical variable using the information of outcomes given by the local authority. These
190 include whether the dog was returned by the council, returned by the public or the finder,
191 retained by the finder, claimed by the owner, taken to kennels or euthanized. Hence, in
192 this case the multinomial logistic regression model was employed. To determine which
193 variables would be included in the final model, the Wald test was conducted for each
194 independent variable. Those that were not found to be significant at the P-value <0.20
195 were not included in the final model. Finally, two-way interaction terms were added and
196 inspected for significance ($P < 0.05$). The Independence of Irrelevant Alternatives (IIA)
197 assumption was also tested with the Hausman test. This is a diagnostic test developed for
198 the multinomial logistic regression model that tests for functional misspecification and
199 omitted variables (McFadden, 1987). The IIA property suggests that the alternatives are
200 distinct (Hausman and McFadden, 1984); which in this study the alternatives are the fate
201 of the dogs. The analysis and tests were conducted using the statistical package Stata 15
202 and the model results are reported using the multinomial odds ratios (OR).

203

204 **3. Results**

205 3.1 Descriptive statistics

206 Overall, during the examined period (April 10, 2010-July 4, 2018), Swale Borough Council
207 provided a complete dataset for 2,974 of the dogs they handled. Of those, 888 dogs were
208 taken into kennels and not reunited with their owners or retained by the finder. The rate
209 of kennel intake appears to be influenced by the timeline of the imposition of the
210 compulsory microchipping policy. The rate of kennel intake between April 10, 2010 and
211 February 2, 2013, the period when there was no intent to impose such a policy, appears
212 to be 37.3% (433/1161). Once the intent to impose such a policy was announced and until
213 the day before the law came into effect, dates between February 3, 2013 and April 5,
214 2016, the rate of kennel intake appears to have decreased to 26.9% (238/883). Finally,
215 between the first day the policy came into effect, April 6, 2016, and July 4, 2018, Swale
216 Borough Council experienced a further decrease of the rate of kennel intake to 23.3%
217 (217/930).

218 3.2. Binary univariable logistic regression analysis examining the effectiveness of
219 microchipping as a means of traceability

220 An indication of the effectiveness of microchipping as a means of traceability is presented
221 in Table 2. Approximately 16.9% of the dogs that had a means of traceability recorded
222 on the register were reported as having a microchip, the rest had an alternative means of
223 traceability such as a collar or a personal ID tag. From these dogs, only 24.5% remained
224 in the kennel after the seven-day statutory period had ended. According to the results of
225 the univariable binary logistic regression model, microchipping was an effective means of
226 traceability (OR 3.83, 95% CI: 2.31-6.35, P-value <0.001).

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229 Table 2

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232 3.3. Multinomial logistic regression analysis examining increased likelihood of stray dogs
233 being re-united with their owners

234 The Wald test of the independent variables revealed that sex (P-value=0.84) was not
235 statistically significant. In addition, two categories of age ('young', P-value= 0.59; 'young
236 adult', P-value=0.94) were not found to be statistically significant but the category of
237 'adult' (P-value<0.001) and 'senior' (P-value=0.03) were found to be statistically
238 significant. Taking into account that 93.6% of the dogs were categorized as adult, it was
239 decided to not include this variable in the final model. The Wald test revealed all categories
240 of coat color (black and tan, P-value=0.39; black, P-value=0.27; black and white, P-
241 value=0.18) to not be statistically significant. Only the coat color category of 'other' was
242 found to be statistically significant (P-value<0.001). Given this variable does not have a
243 logical underpinning it was decided to not be included in the model. Breed was also not
244 found to be statistically significant (P-value=0.23) however the two-way interaction
245 between breed and policy timeline was overall found to be statistically significant (second
246 period, P-value=0.05; final period, P-value=0.25) and therefore, was retained into the
247 final model. Finally, the Hausman test revealed that the IIA assumption was not violated,
248 indicating that the model is well specified.

249 For this multinomial logistic regression model, the dependent variable of the fate of the
250 dogs was constructed based on the outcomes reported by the local authority. Of particular
251 interest is the return rates from the council as they own the microchip scanners and
252 therefore would be the return rates most affected by the policy. The results of the
253 investigation on the effectiveness of the compulsory microchipping policy are given in
254 Table 3.

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256 Table 3

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258 The chosen reference category was 'taken to kennels' therefore, the interpretation of all
259 outcomes is undertaken by comparing to the likelihood of being taken into kennels after
260 the seven-day statutory period. The results of the multinomial logistic regression reveal

261 that when comparing the second period of the policy timeline to the initial period of the
262 policy timeline dogs were more likely to be returned to owners by the council (OR 1.90,
263 95% CI: 1.34-2.69, P-value<0.001), than being taken to kennels. The comparison also
264 shows that other means of return such as to be returned by the public or the dog's finder
265 (OR 1.44, 95% CI: 1.10-1.90, P-value =0.008) and to be claimed by the owners (OR 1.44,
266 95% CI: 1.06-1.94, P-value = 0.019) were also improved.

267 When comparing the final period of the policy timeline to the initial period of the policy
268 timeline, the findings reveal that dogs were more likely to be returned by the council (OR
269 2.69, 95% CI: 1.94-3.72, P-value <0.001) than to be taken into kennels. In addition, the
270 analysis also revealed that the likelihood to be returned by the public or the dog's finder
271 (OR 1.92, 95% CI: 0.48-2.48, P-value <0.001) was also increased. However, the results
272 reveal that dogs were less likely to be claimed by the owner (OR 0.59, 95% CI: 0.42-0.85,
273 P-value=0.004).

274 When examining the fate of the most popular breed handled by the local authority, the
275 findings reveal that when comparing the initial period of the policy timeline to the final
276 period of the policy timeline SBT and its crosses were more likely to be taken to kennels
277 than to be returned by the public or the dog's finder (OR 0.48, 95% CI: 0.29-0.80, P-
278 value=0.004). Finally, the analysis did not reveal any significance between SBT and being
279 euthanized.

280

281 **4. Discussion**

282 When Defra published its Impact Assessment on the compulsory microchipping of dogs in
283 England in 2014, their evidence-based investigation relied upon evidence from other
284 countries. They cited the results of Sweden's compulsory microchipping policy and of a US
285 based study (Defra, 2014). According to Tasker (2008), after the enforcement of the
286 Swedish compulsory microchipping policy, 90% of all stray dogs were re-united with their
287 owners. Similarly, Lord et al. (2009) reported that microchipping and registering on a
288 database increased the likelihood of reunification.

289 Additional international literature includes Dingman et al. (2014) that had found that
290 microchipped dogs were by up to 21 times more likely to be returned to their owners than
291 those that had not been microchipped. The results of the present study provide empirical
292 evidence that microchipping also contributed to the increase in likelihood of stray dogs
293 being reunited with their owners in England. From the preliminary examined data,
294 microchipping appeared to be an effective means of traceability. Before the compulsory
295 microchipping policy, every dog in the UK through The Control of Dogs Order (1992) was
296 obliged to wear a collar with owners' address and phone number inscribed on it or having
297 this information on an ID tag attached to it. The results of this binary univariable logistic
298 regression did not find collar and ID tag to be statistically significant. This could potentially
299 be an indication that this obligation is not being followed by dog-owners. Hence, even if in
300 retrospect, these results agree with the findings of the international literature and further
301 justify the imposition of compulsory microchipping in England.

302 In terms of the effectiveness of the policy itself, the multinomial logistic regression model
303 revealed strong and positive results. Both the second and third periods of the policy
304 timeline have had a significant effect on the return of stray dogs. The anticipation of the
305 policy led to an increase of dogs being microchipped. Defra reported that between 2012,
306 when the government consultation was carried out, and April 6, 2016, the day the policy
307 came into effect, the number of microchipped dogs increased by 25% (Defra, 2016). The
308 year after the policy came into effect saw an additional 9% increase, reaching 95% of all
309 UK dogs being microchipped (Woodmansey, 2017).

310 When comparing the multinomial regression model results between the two latter periods,
311 the likelihood to be returned by the council compared to being taken to kennels is of
312 greater magnitude after the policy came into effect. This could be associated with the
313 higher percentage of dogs being microchipped. The same result is evident when comparing
314 the likelihood to be returned by the public or the finder compared to being taken to
315 kennels. As the public do not have access to scanners, this could potentially be attributed
316 to the local authority's presence on social media. Their establishment of social media
317 presence somewhat coincides with the compulsory microchipping policy timeline. Since

318 the beginning of 2015, Swale Borough Council established a social media presence allowing
319 citizens of their Borough to upload pictures and interact with one another facilitating the
320 online notification and re-unification of lost dogs.

321 An additional interesting finding is that the likelihood of being claimed by the owner, even
322 though was higher in the second period of the policy timeline compared to the initial period,
323 has been revealed that during the final period the likelihood reversed making it more likely
324 to be taken to kennel rather than being claimed. This could potentially be evidence aligning
325 with the 25% of dogs that Dog's Trust (2017) has reported on of their owners not wanting
326 the dog any longer. In addition, it could potentially be associated with the fact that Swale
327 Borough Council is within the most deprived 35% of local authorities in England (Swale
328 Borough Council, 2010a). According to Swale Borough Council's stray dog policy (2017)
329 owners can claim back their dogs once they have paid the associated fees. Therefore,
330 changes in the affordability of retrieving a lost dog is an area that needs further research.
331 In terms of SBT and its crosses, our dataset also revealed SBT and its crosses as the
332 leading breed handled by the local authority during the entire period of investigation
333 (2010-2018), accounting for 29.2%. The results of the multinomial regression model
334 provide initial evidence that this breed is not correlated to aggression as there was no
335 significance of the breed being euthanized. Swale Borough Council has a policy to only
336 euthanize dogs under the situations of ill-health, aggression or under the Dangerous Dogs
337 Act (Animal Control Officer, personal communication, June 10th, 2013). In the present
338 analysis being euthanized was not significant indicating that SBT are not more likely to be
339 euthanized than taken to kennels. This empirically suggests that SBT handled by Swale
340 Borough Council were not deemed aggressive.

341 The results of the multinomial regression, therefore, provide evidence against a BSL on
342 SBT but also provide evidence that SBT contribute to the stray dog population problem
343 due to it representing the leading breed. According to the multinomial regression model
344 results, microchipping policy has had a positive effect on SBT low return rates; even
345 though they are only significant at the 10% level of significance in the instance of being
346 returned by the council but significant at the 1% level of significance in the instance of

347 being returned by the public or finders. The imposition of the law appears to have reduced
348 the likelihood of the dogs to be taken into kennels compared to the previous periods.
349 Despite this, SBT are still more likely to be taken to kennels than to be reunited with their
350 owners. As previously mentioned, Swale Borough Council is within the most deprived 35%
351 of local authorities in England (Swale Borough Council, 2010a) and perhaps there is a
352 change in the affordability of retrieving an STB dog. In addition, KCC (2016) has reported
353 that young people have been particularly affected due to living in a poor environment with
354 high crime rates and low incomes. Pairing this with Hughes et al (2011) findings that SBT
355 are most popular with youth gangs, the present study raises the need for more research
356 into this breed's contribution to the overall stray dog population and to the link of deprived
357 areas, youth and SBT ownership.

358 An additional issue raised by Rohlf et al. (2010) is that even the most dedicated dog
359 owners may fail to comply with some responsibilities. One of the most cited criticisms of
360 the compulsory microchipping policy is the failure to keep owner details up-to-date (Lord
361 et al., 2007; Lancaster et al., 2015; Prior, 2018). Dogs Trust (2017) latest stray survey
362 report revealed that 69% of all microchipped dogs were not reunited with their owners
363 due to incorrect owner information records. However, within the international literature, a
364 study by Zak et al. (2018) revealed that when comparing the intake of periods before and
365 after the implementation of compulsory microchipping in the Czech Republic both the
366 number and the accuracy of details of microchipped dogs had increased. Unfortunately,
367 our dataset was unable to investigate the accuracy of microchip details. More research is
368 needed to compare rates of accurate details before and after the implementation of the
369 policy to conclude on whether the policy has instilled the importance of the accuracy of
370 microchipping details.

371 An additional potential limitation can be found in the dataset. Data between May 30, 2013
372 and May 23, 2014 have not been included due to their unavailability. However, the
373 omission of this year of data is not expected to have had a significant impact on the quality
374 of the data and the analysis. This is due to this data being in the second period of policy
375 timeline which was the transitional period between the intent to impose the policy and the

376 actual imposition of the policy. Overall the dataset for that period includes two years' worth
377 of data which scopes both close to the announcement of intent and close to the day the
378 policy came into effect. Furthermore, the recording of the age and coat color of the dogs
379 did not appear to be in a standardized manner. Grouping all dogs as adults did not allow
380 the use of these data into the final model and perhaps some useful information was
381 omitted. This is also true for coat color; a more standardized approach in recording coat
382 color could have potentially provided some useful information.

383 A final limitation of the present study is that the results are only associated with one
384 particular local authority. This implies that the results cannot be generalized to all English
385 local authorities. More data need to be collected from other English local authorities to be
386 able to provide a complete analysis. Data paucity has prohibited this approach at present.
387 However, despite an objection that the dataset is potentially not representative,
388 conclusions could be drawn and used as a benchmark and a starting point for further
389 investigation.

390 **5. Conclusion**

391 This is the first empirical study examining the effectiveness of the newly imposed English
392 compulsory microchipping policy. Data from Swale Borough Council between 2010 and
393 2018 have revealed that the policy has significantly improved the rates of return of
394 stray dogs to their owners by the council. It has also revealed improved rate of return by
395 the public or the finder. In relation to the leading breed found in animal welfare
396 organizations and local authority kennels, SBT, the analysis revealed that they were less
397 likely to be taken to kennels after the imposition of the law. This indicates that the law has
398 also improved the fate of SBT, however, more research is needed for clearer insights of
399 the link of deprived areas, youth and ownership of this breed.

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403 constructive comments and recommendations.

404

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539 **Tables**

540 Table 1: The fate of the dogs handled by the local authority organized by the policy
 541 timeline between April 1, 2010 and July 4, 2018 (percentage given in parenthesis).

	Prior to the intention to enact	Between intention to enact and date policy came into effect	After policy came into effect
	April 1, 2010 - February 2, 2013	February 3, 2013 -April 5, 2016	April 6, 2016 - July 4, 2018
Taken to Kennels	433 (37.3%)	238(26.9%)	217(23.3%)
Returned by Public or finder	333(28.7%)	306(34.6%)	405(43.5%)
Returned by Council	133(11.4%)	139(15.7%)	212(22.8%)
Retained by Finder	17(1.5%)	14(1.6%)	18(1.9%)
Claimed	231(19.9%)	180(20.4%)	74(7.9%)
Euthanized	14(1.2%)	6(0.7%)	4(0.4%)
Total number of dogs	1161	883	930
N of SBT	405 (34.88%)	258 (28.87%)	221 (23.18%)

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543 Table 2: Binary univariable logistic regression model: Evidence on the effectiveness of
 544 microchipping as a means of traceability.

	Returned to owner			
	Odds Ratios	P- value	95% Conf. Interval	
Dog identification:				
<i>No identification</i>	1.00			
<i>Microchip</i>	3.83	<0.001	2.31	6.35
<i>Collar or personal ID tag</i>	0.87	0.78	0.32	2.32
Constant	0.80	0.02	0.67	0.97
Observations	557			

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Table 3: Multinomial logistic regression model: The effect of the compulsory microchipping policy on dogs handled by an English local authority between April 1, 2010 and July 4, 2018, with the inclusion of the interaction between breed and policy timeline

	Returned by Council				Returned by Public or Finder				Retained by Finder				Claimed by Owner				Euthanized			
	Odds Ratios	P-value	95% Conf. Interval		Odds Ratios	P-value	95% Conf. Interval		Odds Ratios	P-value	95% Conf. Interval		Odds Ratios	P-value	95% Conf. Interval		Odds Ratios	P-value	95% Conf. Interval	
Policy timeline:																				
<i>Initial period</i>	1.00				1.00				1.00				1.00				1.00			
<i>Second period</i>	1.90	<0.001	1.34	2.69	1.44	0.008	1.10	1.90	1.16	0.73	0.50	2.67	1.44	0.02	1.06	1.94	1.30	0.70	0.34	4.90
<i>Final period</i>	2.69	<0.001	1.94	3.72	1.92	<0.001	0.48	2.48	1.61	0.21	0.75	3.43	0.59	0.004	0.42	0.85	0.90	0.89	0.21	3.83
SBT	1.20	0.42	0.77	1.87	1.14	0.51	0.77	1.68	0.69	0.56	0.19	2.46	0.66	0.25	0.33	1.33	1.14	0.91	0.12	11.23
SBT' fate based on policy timeline:																				
<i>Initial period</i>	0.60	0.09	0.33	1.10	0.48	0.004	0.29	0.80	0.44	0.37	0.07	2.65	0.99	0.99	0.46	2.16	2.21	0.54	0.17	28.09
<i>Second period</i>	0.55	0.07	0.29	1.05	0.73	0.24	0.43	1.24	1.11	0.91	0.19	6.37	0.85	0.69	0.37	1.92	0.83	.090	0.05	14.52
<i>Final period</i>	1.00				1.00				1.00				1.00				1.00			
Constant	0.35	<0.001	0.27	0.44	0.94	0.53	0.79	1.13	0.05	<0.001	0.03	0.09	0.62	<0.001	0.51	0.76	0.02	<0.001	0.01	0.05
Observations	2,974				2,974				2,974				2,974				2,974			