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To cite this article: James Gaunt *et al* 2022 *J. Phys. A: Math. Theor.* **55** 474004

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# BV quantization of dynamical fuzzy spectral triples

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Received 17 March 2022; revised 25 September 2022

Accepted for publication 18 November 2022

Published 1 December 2022



CrossMark

## Abstract

This paper provides a systematic study of gauge symmetries in the dynamical fuzzy spectral triple models for quantum gravity that have been proposed by Barrett and collaborators. We develop both the classical and the perturbative quantum BV formalism for these models, which in particular leads to an explicit homological construction of the perturbative quantum correlation functions. We show that the relevance of ghost and antifield contributions to such correlation functions depends strongly on the background Dirac operator  $D_0$  around which one perturbs, and in particular on the amount of gauge symmetry that it breaks. This will be illustrated by studying quantum perturbations around (a) the gauge-invariant zero Dirac operator  $D_0 = 0$  in a general  $(p, q)$ -model, and (b) a simple example of a non-trivial  $D_0$  in the quartic  $(0, 1)$ -model.

Keywords: noncommutative geometry, BV quantization, spectral triple, Dirac operator

## 1. Introduction and summary

Noncommutative geometry provides a powerful and versatile mathematical framework that allows one to incorporate quantum effects into the small-scale structure of spacetime. Throughout the past two decades, we have witnessed the development of different, but related,

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approaches to the problem of describing generalizations of Riemannian geometry, and hence of gravity, to noncommutative spaces. The main difference in these approaches lies in how they attempt to encode the geometry/gravitational field on a noncommutative space. The most common options are metric approaches [ADMW06, BM20], vielbein approaches [AC09] and more radical approaches such as Connes’ spectral triples [Con94].

This paper is a contribution to the spectral triple approach to noncommutative (quantum) gravity. More precisely, we shall work within the framework of *fuzzy spectral triples* developed by Barrett [Bar15], which can be thought of as finite dimensional approximations of Euclidean spacetime. Very informally speaking, a spectral triple  $(\mathcal{A}, \mathcal{H}, D)$  consists of a possibly noncommutative algebra  $\mathcal{A}$  that is represented on a Hilbert space  $\mathcal{H}$ , together with a Dirac operator  $D$  on  $\mathcal{H}$ . (Further data is required for a real spectral triple, namely a chirality operator  $\Gamma$  and a real structure  $J$ , see section 2 for more details.) The physical interpretation is that  $\mathcal{A}$  is the ‘algebra of functions’ on a noncommutative space,  $\mathcal{H}$  is the space of spinor fields and the Dirac operator  $D$  encodes the noncommutative Riemannian geometry. Fuzzy spectral triples are particular examples of real spectral triples with  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$  a finite-dimensional matrix algebra and  $\mathcal{H} = \mathcal{A} \otimes V$  determined by a module  $V$  over some Clifford algebra  $\text{Cl}_{p,q}$ . See [Bar15] for the description of the fuzzy sphere in this framework and [BG19] for the fuzzy tori.

Fuzzy spectral triples can be used to develop and study (toy-)models for quantum gravity theories on noncommutative spaces [BG16]. The basic idea is to consider the Dirac operator  $D$  as a dynamical variable that gets quantized through performing path-integrals over the space  $\mathcal{D}$  of Dirac operators. More precisely, given a suitable action  $S : \mathcal{D} \rightarrow \mathbb{R}$  on the space of Dirac operators, one defines the partition function of such quantum gravity model as the integral

$$Z := \int_{\mathcal{D}} e^{-S(D)} \text{d}D \tag{1.1a}$$

and the expectation value of an observable  $\mathcal{O} : \mathcal{D} \rightarrow \mathbb{C}$  by

$$\langle \mathcal{O} \rangle := \frac{1}{Z} \int_{\mathcal{D}} \mathcal{O}(D) e^{-S(D)} \text{d}D. \tag{1.1b}$$

It is important to stress that, for fuzzy spectral triples, the space of Dirac operators  $\mathcal{D}$  is finite-dimensional. Hence, such integrals exist rigorously, subject to suitable conditions on the action  $S$ . Furthermore, using the classification of finite spectral triples [Kra98], together with the explicit characterization of Dirac operators in terms of (anti-)Hermitian matrices [Bar15], the path-integrals in (1.1) may be reformulated in terms random multi-matrix models. The original study in [BG16] was through numerical Monte Carlo simulations, however some later works made considerable progress in analyzing such models with a variety of analytical methods, see e.g. [BDG19, AK19, HKP22, KP21, KP22, PS19, PS21, PS22] and the review [HKPV22].

The present paper is about a gauge-theoretic study of the dynamical fuzzy spectral triple models that we have outlined above. Note that such models carry an obvious notion of gauge symmetry, described by certain unitary operators  $U$  on the Hilbert space  $\mathcal{H}$ , which act via the adjoint action  $D \mapsto UDU^*$  on the space of Dirac operators  $\mathcal{D}$ . These gauge transformations can be interpreted as noncommutative analogs of the diffeomorphism gauge symmetries in ordinary gravity. Taking into account such gauge symmetries in the definition of the path-integrals (1.1) is an important and non-trivial task which, to the best of our knowledge, has not been studied in the literature yet. The standard approach to define gauge-theoretic path-integrals is through homological methods, such as the Batalin–Vilkovisky (BV) formalism [BV81] and its modern incarnation developed by Costello and Gwilliam [CG16, CG21]. In the context of noncommutative geometry, the BV quantization of field theories on fuzzy spaces

has been studied in [NSS21] and the classical BV formalism for a certain type of matrix model arising from spectral triples in [IvS17, Ise19a, Ise19b].

The main achievement of the present paper is an explicit and computationally accessible description of both the classical and the perturbative quantum BV formalism for the dynamical fuzzy spectral triple models introduced in [BG16]. At the classical level, we shall construct in particular the extended BV action (involving ghosts and antifields) for such models by employing systematic techniques from derived algebraic geometry [BSS21]. At the quantum level, we provide a rigorous construction of the perturbative quantum correlation functions (including possible ghost and antifield contributions) for quantum perturbations around any background Dirac operator  $D_0 \in \mathcal{D}$  that solves the classical equations of motion associated with the action  $S$ . We then analyze such quantum correlation functions in more detail in order to understand if the ordinary path-integrals in (1.1) receive gauge-theoretic modifications through the ghosts and antifields. We observe that this depends strongly on the choice of background solution  $D_0 \in \mathcal{D}$  one uses to perturb around, or more precisely on the amount of gauge symmetry that it breaks. In the special case one perturbs around the zero Dirac operator  $D_0 = 0$ , which is gauge invariant, we prove in proposition 5.2 that the ghosts and antifields for ghosts decouple from the correlation functions, hence there are no gauge-theoretic modifications to the ordinary path-integrals in (1.1). In stark contrast, for the generic case of perturbations around a non-trivial Dirac operator  $D_0 \neq 0$  that breaks some of the gauge symmetries, there are non-trivial gauge-theoretic modifications to the quantum correlation functions. In section 6, we shall illustrate this concretely by studying a simple example, the so-called quartic  $(0, 1)$ -model from [BG16]. We observe that this model exhibits a Higgs-like mechanism due to its ‘symmetry-breaking potential’, which is identified as the origin of the non-trivial ghost and antifield contributions. Hence, our results indicate that taking gauge symmetries properly into account alters the path-integrals in (1.1), in particular in semi-classical situations where the quantum fluctuations are localized around a non-trivial classical solution  $D_0 \neq 0$ . An interesting problem for future research would be to understand the physical effects associated with these gauge-theoretic modifications and their interpretation in the context of quantum gravity.

We would like to state very clearly that our present paper studies gauge-theoretic aspects of fuzzy spectral triple models for a *fixed* matrix size  $N$ . Given the highly interesting behavior of such models in the large  $N$  limit, see e.g. the recent review [HKPV22] for an excellent overview, it is natural to ask whether gauge symmetries and BV quantization could have an impact on large  $N$  phenomena such as phase transitions. While this certainly should be expected, given the gauge-theoretic modifications to finite  $N$  correlation functions that we find in this paper, making mathematically precise statements about the large  $N$  limit in the BV formalism has been explored very little. In a recent series of papers [GGHZ22, GHZ22], the authors provide a very interesting homological perspective on the large  $N$  limit of the Gaussian unitary ensemble from random matrix theory by establishing a generalization of the Loday–Quillen–Tsygan theorem that links ordinary BV quantization (as used in the present paper) to a non-commutative/cyclic analog. An interesting problem for future research would be to adapt these techniques to fuzzy spectral triple models, with the hope to obtain a homological perspective on the results by the Western University group [HKPV22]. In this way it might be possible to determine the effect of gauge symmetries in the large  $N$  limit on phenomena such as phase transitions.

The outline of the remainder of this paper is as follows: In section 2, we provide a brief review of the framework of fuzzy spectral triples from [Bar15], their gauge symmetries and also their perturbative treatment. The classical BV formalism for such models is studied in section 3, culminating in an explicit description of the relevant antibracket and BV action, see remark 3.1. In section 4, we describe the BV quantization of these models and provide a

homological approach to compute their quantum correlation functions (4.15). The special case of perturbations around the trivial Dirac operator  $D_0 = 0$  is investigated in detail in section 5. In particular, we prove that in this case both the ghosts and the antifields for ghosts decouple from the quantum correlation functions for observables for the Dirac operator, see proposition 5.2. In section 6, we shall explain and explicitly show that such decoupling is *not* a generic feature of dynamical fuzzy spectral triples by studying quantum perturbations around a non-trivial Dirac operator  $D_0 \neq 0$  in the quartic  $(0, 1)$ -model from [BG16]. In particular, we identify and compute to leading order the ghost and antifield contributions to the 1-point and the 2-point correlation functions of this model, see examples 6.1 and 6.2.

## 2. Fuzzy spectral triples

The aim of this section is to briefly recall the concept of *fuzzy spectral triples* from [Bar15], which are finite-dimensional variants of Connes’ real spectral triples [Con94]. A real spectral triple  $(\mathcal{A}, \mathcal{H}, \pi, D, \Gamma, J)$  consists of a  $*$ -algebra  $\mathcal{A}$  with a  $*$ -representation  $\pi : \mathcal{A} \rightarrow \text{End}(\mathcal{H})$  on a Hilbert space  $\mathcal{H}$ , a self-adjoint operator  $D : \mathcal{H} \rightarrow \mathcal{H}$  (called Dirac operator), a self-adjoint operator  $\Gamma : \mathcal{H} \rightarrow \mathcal{H}$  (called chirality operator) and an anti-unitary operator  $J : \mathcal{H} \rightarrow \mathcal{H}$  (called real structure), which have to satisfy various axioms and compatibility conditions, see e.g. [Con94, vS15, Bar15] for details. Fuzzy spectral triples are a particularly simple class of finite-dimensional real spectral triples, for which these data are very explicit: For the  $*$ -algebra, we fix a natural number  $N \in \mathbb{Z}^{>0}$  and take the  $N \times N$ -matrices with complex entries

$$\mathcal{A} := \text{Mat}_N(\mathbb{C}), \tag{2.1}$$

where the  $*$ -involution is given by Hermitian conjugation. For the Hilbert space, we choose two non-negative integers  $p, q \in \mathbb{Z}^{\geq 0}$ , pick a  $(p, q)$ -Clifford module  $V = \mathbb{C}^k$  and define

$$\mathcal{H} := \mathcal{A} \otimes V \tag{2.2a}$$

together with the Hermitian inner product

$$\langle\langle a \otimes v, a' \otimes v' \rangle\rangle := \text{Tr}_{\mathcal{A}}(a^* a') \langle v, v' \rangle, \tag{2.2b}$$

where  $\text{Tr}_{\mathcal{A}}$  denotes the trace on the matrix algebra and  $\langle \cdot, \cdot \rangle$  is the standard inner product on  $V = \mathbb{C}^k$ . The  $*$ -representation  $\pi : \mathcal{A} \rightarrow \text{End}(\mathcal{H})$  of  $\mathcal{A}$  on  $\mathcal{H}$  is given by left matrix multiplication

$$\pi(a')(a \otimes v) := (a' a) \otimes v. \tag{2.3}$$

Using the  $\mathbb{C}$ -linear chirality  $\gamma : V \rightarrow V$  and the  $\mathbb{C}$ -anti-linear real structure  $C : V \rightarrow V$  of the Clifford module  $V$ , we further define a chirality operator  $\Gamma : \mathcal{H} \rightarrow \mathcal{H}$  and a real structure  $J : \mathcal{H} \rightarrow \mathcal{H}$  on the Hilbert space  $\mathcal{H}$  by setting

$$\Gamma(a \otimes v) := a \otimes \gamma(v), \quad J(a \otimes v) := a^* \otimes C(v). \tag{2.4}$$

These two operators satisfy the properties listed in [Bar15, definition 1], which depend on the KO-dimension  $s = q - p \pmod 8$ . In particular, it follows that  $\mathcal{H}$  is an  $\mathcal{A}$ -bimodule with right action given by

$$J\pi(a')^* J^{-1}(a \otimes v) = (a a') \otimes v. \tag{2.5}$$

We call the tuple  $(\mathcal{A}, \mathcal{H}, \pi, \Gamma, J)$  defined above the  $(p, q)$ -fermion space over the  $N \times N$ -matrix algebra  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$ .

**Definition 2.1.** A Dirac operator on the  $(p, q)$ -fermion space over  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$  is an operator  $D \in \text{End}(\mathcal{H})$  that satisfies the following properties (with  $s = q - p \pmod 8$  the KO-dimension):

- (a)  $D^* = D$ ;
- (b)  $D\Gamma = -(-1)^s \Gamma D$ ;
- (c)  $DJ = \epsilon' JD$ , where  $\epsilon' = 1$  for  $s = 0, 2, 3, 4, 6, 7$  and  $\epsilon' = -1$  for  $s = 1, 5$ ;
- (d)  $[[D, \pi(a)], J\pi(b)J^{-1}] = 0$ , for all  $a, b \in \mathcal{A}$ .

We denote the real vector space of Dirac operators by

$$\mathcal{D} := \{D \in \text{End}(\mathcal{H}) : \text{(a)–(d) are satisfied}\} \subseteq \text{End}(\mathcal{H}). \tag{2.6}$$

As the next step we discuss the group of automorphisms of the  $(p, q)$ -fermion space and its action on the space of Dirac operators. An automorphism of  $(\mathcal{A}, \mathcal{H}, \pi, \Gamma, J)$  is a pair  $(\varphi, \Phi)$  consisting of a  $*$ -algebra automorphism  $\varphi : \mathcal{A} \rightarrow \mathcal{A}$  and a left  $\mathcal{A}$ -module automorphism  $\Phi : \mathcal{H} \rightarrow \mathcal{H}$  relative to  $\varphi$ , i.e.  $\Phi(ah) = \varphi(a)\Phi(h)$  for all  $a \in \mathcal{A}$  and  $h \in \mathcal{H}$ . The latter has to preserve the inner product  $\langle\langle \Phi(\cdot), \Phi(\cdot) \rangle\rangle = \langle\langle \cdot, \cdot \rangle\rangle$ , the chirality operator  $\Gamma\Phi = \Phi\Gamma$  and the real structure  $J\Phi = \Phi J$ . Using that  $\mathcal{H} = \mathcal{A} \otimes V$  is a free module and that the center of  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$  consists of complex multiples of the unit  $\mathbb{1}$ , one easily checks that  $\Phi$  must be of the form

$$\Phi(a \otimes v) = \varphi(a) \otimes T(v), \tag{2.7}$$

for all  $a \otimes v \in \mathcal{H}$ , where  $T \in \text{Aut}(V)$  is an automorphism of the Clifford module  $V$  that has to preserve the inner product  $\langle T(\cdot), T(\cdot) \rangle = \langle \cdot, \cdot \rangle$ , the chirality  $\gamma T = T\gamma$  and the real structure  $CT = TC$ . Denoting by  $K \subseteq \text{Aut}(V)$  the group of all such automorphisms  $T$  of  $V$ , we find that the automorphism group of the  $(p, q)$ -fermion space is isomorphic to the product group  $\text{Aut}(\mathcal{A}) \times K$ . Note that the two factors play different roles: The group  $\text{Aut}(\mathcal{A})$  acts on the underlying  $*$ -algebra and thus plays the same role as the diffeomorphism group in commutative differential geometry, whilst the group  $K \subseteq \text{Aut}(V)$  acts only on the Clifford module  $V$  and thus may be interpreted as global, i.e.  $\mathcal{A}$ -independent, transformations of spinors.

**Definition 2.2.** We call  $\mathcal{G} := \text{Aut}(\mathcal{A}) \times K$  the *gauge group* of the  $(p, q)$ -fermion space over  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$ . This group acts from the left as automorphisms of the  $(p, q)$ -fermion space

$$\rho_{\mathcal{A}} : \mathcal{G} \times \mathcal{A} \longrightarrow \mathcal{A}, \quad (\varphi, T, a) \longmapsto \rho_{\mathcal{A}}(\varphi, T)(a) = \varphi(a), \tag{2.8a}$$

$$\rho_{\mathcal{H}} : \mathcal{G} \times \mathcal{H} \longrightarrow \mathcal{H}, \quad (\varphi, T, a \otimes v) \longmapsto \rho_{\mathcal{H}}(\varphi, T)(a \otimes v) = \varphi(a) \otimes T(v). \tag{2.8b}$$

The induced left adjoint action on the space of Dirac operators is given by

$$\rho_{\mathcal{D}} : \mathcal{G} \times \mathcal{D} \longrightarrow \mathcal{D}, \quad (\varphi, T, D) \longmapsto \rho_{\mathcal{H}}(\varphi, T) \circ D \circ \rho_{\mathcal{H}}(\varphi^{-1}, T^{-1}), \tag{2.9}$$

where  $\circ$  denotes composition of maps.

**Remark 2.3.** It is well known that  $\text{Aut}(\mathcal{A}) \cong \text{PU}(N) := U(N)/U(1)$  is isomorphic to the projective unitary group, see e.g. [vS15, Example 6.3]. The relevant isomorphism assigns to an element  $[u] \in \text{PU}(N)$  the automorphism  $\varphi_{[u]} \in \text{Aut}(\mathcal{A})$  that is defined by  $\varphi_{[u]}(a) = uau^*$ , for all  $a \in \mathcal{A}$ . One may use this isomorphism in order to write the  $\mathcal{G}$ -actions from definition 2.2 more explicitly as  $\rho_{\mathcal{A}}(\varphi_{[u]}, T)(a) = uau^*$  and  $\rho_{\mathcal{H}}(\varphi_{[u]}, T)(a \otimes v) = (uau^*) \otimes T(v)$ .

From this isomorphic perspective, it is easy to describe the infinitesimal gauge transformations. The Lie algebra  $\mathfrak{g}$  of the gauge group  $\mathcal{G}$  is given by a direct sum

$$\mathfrak{g} = \mathfrak{su}(N) \oplus \mathfrak{k}, \tag{2.10}$$

where  $\mathfrak{su}(N) \cong \mathfrak{pu}(N)$  is the Lie algebra of the projective unitary group and  $\mathfrak{k}$  denotes the Lie algebra of  $K \subseteq \text{Aut}(V)$ . (Recall that elements  $\epsilon \in \mathfrak{su}(N) \subseteq \text{Mat}_N(\mathbb{C})$  are anti-Hermitian

and trace-free  $N \times N$ -matrices.) The Lie algebra actions induced by the  $\mathcal{G}$ -actions from definition 2.2 then read explicitly as

$$\rho_{\mathcal{A}}(\epsilon \oplus k)(a) = [\epsilon, a]_{\mathcal{A}}, \tag{2.11a}$$

$$\rho_{\mathcal{H}}(\epsilon \oplus k)(a \otimes v) = [\epsilon, a]_{\mathcal{A}} \otimes v + a \otimes k(v), \tag{2.11b}$$

$$\rho_{\mathcal{D}}(\epsilon \oplus k)(D) = [\rho_{\mathcal{H}}(\epsilon \oplus k), D]_{\text{End}(\mathcal{H})}, \tag{2.11c}$$

for all  $\epsilon \oplus k \in \mathfrak{g}$ , where  $[\cdot, \cdot]_{\mathcal{A}}$  denotes the commutator on  $\mathcal{A}$  and  $[\cdot, \cdot]_{\text{End}(\mathcal{H})}$  the commutator on  $\text{End}(\mathcal{H})$ .

The last ingredient is a choice of action  $S : \mathcal{D} \rightarrow \mathbb{R}$  on the space of Dirac operators, which is typically taken to be a *spectral action* in the sense of [Con96, CC97]. The original choice in [BG16], which was motivated by simplicity, is given by  $S(D) = \text{Tr}_{\text{End}(\mathcal{H})}(\frac{g_2}{2} D^2 + \frac{g_4}{4!} D^4)$ , where  $g_2, g_4 \in \mathbb{R}$  are constants and  $\text{Tr}_{\text{End}(\mathcal{H})}$  is the trace on the endomorphisms of the Hilbert space  $\mathcal{H}$ . This choice was also used in [BDG19, KP21]. More general choices of the form  $S(D) = \text{Tr}_{\text{End}(\mathcal{H})}(f(D))$ , where  $f$  is a real-valued polynomial, were studied later in [PS19, HKP22, KP22] and even more general multi-trace actions appeared in [AK19]. For most parts of our paper, we do not have to make any explicit choice for the action and can work with the following general definition. We denote by  $\mathcal{D}^\vee$  the dual of the real vector space  $\mathcal{D}$  of Dirac operators and by  $\text{Sym } \mathcal{D}^\vee$  its symmetric algebra. Note that  $\text{Sym } \mathcal{D}^\vee$  is (isomorphic to) the algebra of polynomial functions on  $\mathcal{D}$ .

**Definition 2.4.** An *action*  $S : \mathcal{D} \rightarrow \mathbb{R}$  is a gauge-invariant and real-valued polynomial function on the space of Dirac operators, or equivalently a  $\mathcal{G}$ -invariant element  $S \in \text{Sym } \mathcal{D}^\vee$ .

To conclude this section, we shall briefly discuss the perturbative treatment of dynamical fuzzy spectral triples. Let us fix any action  $S \in \text{Sym } \mathcal{D}^\vee$  and an exact solution  $D_0 \in \mathcal{D}$  of its Euler–Lagrange equations. We can then study formal perturbations

$$D = D_0 + \lambda \tilde{D} \tag{2.12}$$

of the Dirac operator, where  $\lambda$  is a formal parameter and the perturbation  $\tilde{D} \in \mathcal{D}$  is an element of the same vector space  $\mathcal{D}$ . The latter is considered as the dynamical field in the perturbative approach. The infinitesimal gauge transformations (2.11) of the perturbation then take the form

$$\tilde{\rho}_{\mathcal{D}}(\epsilon \oplus k)(\tilde{D}) = [\rho_{\mathcal{H}}(\epsilon \oplus k), D_0]_{\text{End}(\mathcal{H})} + \lambda [\rho_{\mathcal{H}}(\epsilon \oplus k), \tilde{D}]_{\text{End}(\mathcal{H})}, \tag{2.13}$$

i.e. they act through a combination of a linear transformation  $[\rho_{\mathcal{H}}(\epsilon \oplus k), \tilde{D}]_{\text{End}(\mathcal{H})}$  and an inhomogeneous one  $[\rho_{\mathcal{H}}(\epsilon \oplus k), D_0]_{\text{End}(\mathcal{H})}$  that depends on the background solution  $D_0$ . (Note that this is of the same form as in Yang–Mills theory, where infinitesimal gauge transformations  $\chi \in C^\infty(M, \mathfrak{g})$  act on connection one-forms  $A \in \Omega^1(M, \mathfrak{g})$  according to  $\delta_\chi(A) = d\chi + [\chi, A]$ , with  $d$  the de Rham differential.) The induced action for the perturbation  $\tilde{D}$  is then defined as

$$\tilde{S}(\tilde{D}) := \frac{1}{\lambda^2} (S(D_0 + \lambda \tilde{D}) - S(D_0)), \tag{2.14}$$

where the subtraction of the constant term  $S(D_0)$  is convenient as it implies that  $\tilde{S}$  is a sum of monomials of degree  $\geq 2$ . (The degree 1 monomial vanishes because  $D_0$  is a solution of the Euler–Lagrange equations for the original action  $S$ .) The normalization  $\frac{1}{\lambda^2}$  is chosen such that the quadratic term in the action is of order  $\lambda^0$ . By construction, this defines an element  $\tilde{S} \in \text{Sym } \mathcal{D}^\vee$  that is invariant under the infinitesimal gauge transformations (2.13).

### 3. Classical BV formalism

The BV formalism is a powerful construction that allows one to assign a commutative *differential graded algebra* (dg-algebra) of classical observables to every gauge-invariant action. Crucially, this dg-algebra comes endowed with a canonical shifted Poisson structure, the so-called *antibracket*, whose perturbative quantization captures the quantum correlation functions of the theory. A modern mathematical perspective on the BV formalism is presented in the books by Costello and Gwilliam [CG16, CG21]. We also refer the reader to [NSS21] for a specialization of these techniques to finite-dimensional systems, including fuzzy field theories.

The goal of this section is to spell out in detail the dg-algebra of classical observables for the perturbative dynamical fuzzy spectral triple model from section 2. This goal can be easily achieved by specializing the general construction in [BSS21] to the following input data:

- the space of fields is the vector space  $\mathcal{D}$  of perturbations  $\tilde{D}$  of a background Dirac operator  $D_0$  (see (2.12)) that is an exact solution to the Euler–Lagrange equations of an action  $S$ .
- The infinitesimal gauge symmetries are given by the Lie algebra  $\mathfrak{g} = \mathfrak{su}(N) \oplus \mathfrak{k}$  of the gauge group from definition 2.2. They act on the fields according to (2.13).
- The perturbative dynamics is determined by the  $\mathfrak{g}$ -invariant action  $\tilde{S}$  defined in (2.14).

Applying the general result in [BSS21, section 7], one finds that the underlying  $\mathbb{Z}$ -graded commutative algebra of the BV formalism for this model is given by the graded symmetric algebra

$$\text{Obs}^{\text{cl}} := \text{Sym}(\mathcal{L}), \quad \mathcal{L} := \mathfrak{g}[2] \oplus \mathcal{D}[1] \oplus \mathcal{D}^\vee \oplus \mathfrak{g}^\vee[-1], \quad (3.1)$$

where  $W^\vee$  denotes the dual of a vector space  $W$  and the square brackets indicate shifts of the cohomological degree. (Our convention is that, for  $W$  a vector space, elements in  $W[p]$  are of degree  $-p$ .) We can describe this graded commutative algebra more explicitly by choosing a dual pair of vector space bases

$$\{e_a \in \mathcal{D}\}_{a=1}^{\dim \mathcal{D}}, \quad \{f^a \in \mathcal{D}^\vee\}_{a=1}^{\dim \mathcal{D}}, \quad \{t_i \in \mathfrak{g}\}_{i=1}^{\dim \mathfrak{g}}, \quad \{\theta^i \in \mathfrak{g}^\vee\}_{i=1}^{\dim \mathfrak{g}} \quad (3.2)$$

for  $\mathcal{D}$  and  $\mathcal{D}^\vee$  and for  $\mathfrak{g}$  and  $\mathfrak{g}^\vee$ . Then  $\text{Obs}^{\text{cl}}$  is the graded commutative algebra generated by the generators  $t_i$  in degree  $-2$ ,  $e_a$  in degree  $-1$ ,  $f^a$  in degree  $0$  and  $\theta^i$  in degree  $1$ . The physical interpretation of these generators is as follows:  $\theta^i$  are linear observables for the ghost field  $\mathbf{c} \in \mathfrak{g}$ ,  $f^a$  are linear observables for the field  $\tilde{D} \in \mathcal{D}$ ,  $e_a$  are linear observables for the antifield  $\tilde{D}^+ \in \mathcal{D}^\vee$ , and  $t_i$  are linear observables for the antifield for the ghost  $\mathbf{c}^+ \in \mathfrak{g}^\vee$ .

In order to describe the differential on (3.1), which is given abstractly as the totalization of an internal differential and the Chevalley–Eilenberg differential (see [BSS21, section 7]), it is convenient to work with these generators. Let us first recall that, with respect to our choice of bases, the Lie bracket on  $\mathfrak{g}$  and the Lie algebra action (2.13) are encoded by structure constants that we denote by

$$[t_i, t_j] = \lambda f_{ij}^k t_k, \quad \tilde{\rho}_D(t_i)(e_a) = \beta_i^b e_b + \lambda g_{ia}^b e_b, \quad (3.3)$$

where  $\beta_i^b$  describes the inhomogeneous term (depending on  $D_0$ ) in (2.13) and  $g_{ia}^b$  the linear term. Here, and throughout the whole paper, we use the standard summation convention, i.e. we suppress summations over repeated indices. The action  $\tilde{S}$  given in (2.14) also admits a basis expansion of the form

$$\tilde{S} = \sum_{n \geq 2} \frac{\lambda^{n-2}}{n!} S_{a_1 \dots a_n} f^{a_1} \dots f^{a_n} \in \text{Sym} \mathcal{D}^\vee, \quad (3.4)$$



which starts at  $n = 2$  because the background Dirac operator  $D_0$  is assumed to be an exact solution of the Euler–Lagrange equations of the given action  $S$ . The coefficients  $S_{a_1 \dots a_n}$  vanish for  $n$  greater than the polynomial degree of  $\tilde{S}$ . The differential on (3.1) is then determined by the graded Leibniz rule and the following action on the generators

$$dt_i = \beta_i^a e_a + \lambda g_{ib}^a e_a f^b - \lambda f_{ij}^k t_k \theta^j, \tag{3.5a}$$

$$de_a = \sum_{n \geq 2} \frac{\lambda^{n-2}}{(n-1)!} S_{aa_2 \dots a_n} f^{a_2} \dots f^{a_n} - \lambda g_{ja}^b e_b \theta^j, \tag{3.5b}$$

$$df^a = -\beta_j^a \theta^j - \lambda g_{jb}^a f^b \theta^j, \tag{3.5c}$$

$$d\theta^i = -\frac{\lambda}{2} f_{jk}^i \theta^j \theta^k. \tag{3.5d}$$

Observe that the differential encodes both the equation of motion and the gauge symmetries, as typical for the BV formalism. The square-zero condition  $d^2 = 0$  follows from the Lie algebra representation identities for the structure constants  $f_{ij}^k$ ,  $g_{ia}^b$  and  $\beta_i^a$  and from the fact that the action  $\tilde{S}$  is gauge-invariant.

The dg-algebra  $\text{Obs}^{\text{cl}}$  comes endowed with a canonical  $(-1)$ -shifted symplectic structure, which in our example reads concretely as

$$\omega = d^{\text{dR}} e_a \wedge d^{\text{dR}} f^a - d^{\text{dR}} t_i \wedge d^{\text{dR}} \theta^i, \tag{3.6}$$

where  $d^{\text{dR}}$  denotes the de Rham differential. The antibracket is the shifted Poisson bracket dual to  $\omega$ , which is concretely defined by  $\{a, b\} := \iota_a H \iota_b H \omega$ , for all  $a, b \in \text{Obs}^{\text{cl}}$ , where  $\iota$  denotes the contraction between vector fields and forms, and  ${}_a H$  is the shifted Hamiltonian vector field defined by  $d^{\text{dR}} a = \iota_a H \omega$ . By construction, the antibracket satisfies the graded antisymmetry property

$$\{a, b\} = -(-1)^{(|a|+1)(|b|+1)} \{b, a\}, \tag{3.7a}$$

the graded Jacobi identity

$$\begin{aligned} &(-1)^{(|a|+1)(|c|+1)} \{a, \{b, c\}\} + (-1)^{(|b|+1)(|a|+1)} \{b, \{c, a\}\} \\ &+ (-1)^{(|c|+1)(|b|+1)} \{c, \{a, b\}\} = 0, \end{aligned} \tag{3.7b}$$

the derivation property

$$\{a, bc\} = \{a, b\}c + (-1)^{(|a|+1)|b|} b \{a, c\}, \tag{3.7c}$$

as well as the compatibility condition

$$d\{a, b\} = \{da, b\} + (-1)^{|a|+1} \{a, db\} \tag{3.7d}$$

with the differential on  $\text{Obs}^{\text{cl}}$ . As a consequence of these properties, the antibracket is completely determined by its value on the generators, which is given by

$$\{t_i, \theta^j\} = \delta_i^j = -\{\theta^j, t_i\}, \quad \{e_a, f^b\} = -\delta_a^b = -\{f^b, e_a\}, \tag{3.8}$$

and zero otherwise.

**Remark 3.1.** The differential (3.5) on  $\text{Obs}^{\text{cl}}$  admits an equivalent description in terms of a concept that may be more familiar to some readers, namely that of a *BV action*. The BV extension of our action in (3.4) reads explicitly as

$$S_{\text{BV}} = \sum_{n \geq 2} \frac{\lambda^{n-2}}{n!} S_{a_1 \dots a_n} f^{a_1} \dots f^{a_n} - \lambda g_{ib}^a e_a f^b \theta^i - \frac{\lambda}{2} f_{ij}^k t_k \theta^i \theta^j - \beta_i^a e_a \theta^i. \tag{3.9}$$

Using the properties (3.7) of the antibracket, it is easy to show that the differential (3.5) is given by

$$d = \{S_{BV}, \cdot\}. \tag{3.10}$$

The square-zero condition  $d^2 = 0$  for the differential is equivalent to the classical master equation

$$\{S_{BV}, S_{BV}\} = 0 \tag{3.11}$$

for the BV action.

#### 4. Quantization and correlation functions

BV quantization consists of deforming the differential (3.5) on  $\text{Obs}^{\text{cl}}$  along the BV Laplacian, see e.g. [CG16, CG21, NSS21]. The latter is a linear map  $\Delta_{BV} : \text{Obs}^{\text{cl}} \rightarrow \text{Obs}^{\text{cl}}$  of cohomological degree +1 that is defined on the symmetric powers 0, 1 and 2 by

$$\Delta_{BV}(\mathbb{1}) = 0, \quad \Delta_{BV}(\varphi) = 0, \quad \Delta_{BV}(\varphi\psi) = (-1)^{|\varphi|} \{\varphi, \psi\}, \tag{4.1a}$$

for all generators  $\varphi, \psi \in \mathcal{L}$ , and extended to all of  $\text{Obs}^{\text{cl}}$  according to the rule

$$\Delta_{BV}(ab) = \Delta_{BV}(a)b + (-1)^{|a|} a \Delta_{BV}(b) + (-1)^{|a|} \{a, b\}, \tag{4.1b}$$

for all  $a, b \in \text{Obs}^{\text{cl}}$ . Using the algebraic properties (3.7) of the antibracket, one derives the closed expression

$$\Delta_{BV}(\varphi_1 \cdots \varphi_n) = \sum_{i < j} (-1)^{\sum_{k=1}^i |\varphi_k| + |\varphi_j|} \sum_{k=i+1}^{j-1} |\varphi_k| \{\varphi_i, \varphi_j\} \varphi_1 \cdots \check{\varphi}_i \cdots \check{\varphi}_j \cdots \varphi_n \tag{4.1c}$$

for the action of the BV Laplacian on the  $n$ th symmetric power of  $\text{Obs}^{\text{cl}}$ , where  $\check{\cdot}$  means to omit the corresponding factor. From this explicit form one easily checks that the BV Laplacian is square-zero and that it anti-commutes with the differential  $d$  on  $\text{Obs}^{\text{cl}}$ , i.e.

$$\Delta_{BV}^2 = 0, \quad d\Delta_{BV} + \Delta_{BV}d = 0. \tag{4.2}$$

The cochain complex of quantum observables is then defined as the deformation

$$\text{Obs}^{\text{qu}} := (\text{Sym}(\mathcal{L}), d^{\text{qu}} := d + \hbar \Delta_{BV}) \tag{4.3}$$

of the one of classical observables given in (3.1) and (3.5), where  $\hbar$  is a formal parameter playing the role of Planck's constant.

The quantum correlation functions are determined by the cohomology of the cochain complex (4.3) and they can be computed perturbatively via homological perturbation theory, see e.g. [Gwi12] and also [NSS21] for a review. For this we split the quantum differential

$$d^{\text{qu}} = d^{\text{free}} + \lambda d^{\text{int}} + \hbar \Delta_{BV} \tag{4.4}$$

into the free part  $d^{\text{free}}$ , which is obtained by setting  $\lambda = 0$  in (3.5), the interaction part  $d^{\text{int}}$  and the quantum part  $\Delta_{BV}$ . Since the free differential  $d^{\text{free}}$  is by definition linear in the generators, the classical free observables

$$\text{Obs}^{\text{free}} := (\text{Sym}(\mathcal{L}), d^{\text{free}}) = \text{Sym}(\mathcal{L}, d^{\text{free}}) \tag{4.5a}$$

are given by the symmetric algebra of the cochain complex

$$(\mathcal{L}, d^{\text{free}}) = \left( \mathfrak{g}[2] \xrightarrow{d^{\text{free}}} \mathcal{D}[1] \xrightarrow{d^{\text{free}}} \mathcal{D}^\vee \xrightarrow{d^{\text{free}}} \mathfrak{g}^\vee[-1] \right). \tag{4.5b}$$

Explicitly, the action of the differential on our choice of vector space bases reads as

$$d^{\text{free}} t_i = \beta_i^a e_a, \quad d^{\text{free}} e_a = S_{ab} f^b, \quad d^{\text{free}} f^a = -\beta_j^a \theta^j, \quad d^{\text{free}} \theta^i = 0. \quad (4.5c)$$

To apply the homological perturbation lemma, we choose any strong deformation retract

$$(\mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}}), 0) \begin{array}{c} \xleftarrow{\pi} \\ \xrightarrow{\iota} \end{array} (\mathcal{L}, d^{\text{free}}) \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} h \quad (4.6)$$

of the cochain complex  $(\mathcal{L}, d^{\text{free}})$  onto its cohomology. Recall that the latter consists of two cochain maps, denoted by  $\iota$  and  $\pi$ , and a cochain homotopy  $h$  satisfying the following properties

$$\pi \iota = \text{id}, \quad \iota \pi - \text{id} = d^{\text{free}} h + h d^{\text{free}}, \quad h \iota = \pi h = h^2 = 0. \quad (4.7)$$

It was shown in [Gwi12, proposition 2.5.5] that strong deformation retracts extend to the symmetric algebras

$$(\text{Sym } \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}}), 0) \begin{array}{c} \xleftarrow{\Pi} \\ \xrightarrow{I} \end{array} \text{Obs}^{\text{free}} \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} H. \quad (4.8)$$

Explicitly, the cochain maps  $I$  and  $\Pi$  are given by extending  $\iota$  and  $\pi$  to dg-algebra maps via the usual formulas

$$I([\psi_1] \cdots [\psi_n]) := \iota([\psi_1]) \cdots \iota([\psi_n]), \quad \Pi(\varphi_1 \cdots \varphi_n) := \pi(\varphi_1) \cdots \pi(\varphi_n), \quad (4.9)$$

for all  $[\psi_1], \dots, [\psi_n] \in \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}})$  and  $\varphi_1, \dots, \varphi_n \in \mathcal{L}$ . The extended cochain homotopy  $H$  is slightly more complicated to describe: from the definition of strong deformation retract, it follows that  $\iota \pi : \mathcal{L} \rightarrow \mathcal{L}$  defines a projector, i.e.  $(\iota \pi)^2 = \iota \pi$ , which allows us to decompose

$$\mathcal{L} \cong \mathcal{L}^\perp \oplus \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}}) \quad (4.10a)$$

and consequently

$$\text{Sym}(\mathcal{L}) \cong \text{Sym}(\mathcal{L}^\perp) \otimes \text{Sym } \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}}) = \bigoplus_{n \geq 0} \text{Sym}^n(\mathcal{L}^\perp) \otimes \text{Sym } \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}}), \quad (4.10b)$$

where  $\text{Sym}^n$  denotes the  $n$ th symmetric power. The cochain homotopy  $H$  is then defined as

$$H(\varphi_1^\perp \cdots \varphi_n^\perp \otimes a) := \frac{1}{n} \sum_{i=0}^n (-1)^{\sum_{j=1}^{i-1} |\varphi_j|} \varphi_1^\perp \cdots \varphi_{i-1}^\perp h(\varphi_i^\perp) \varphi_{i+1}^\perp \cdots \varphi_n^\perp \otimes a \quad (4.11)$$

on the homogeneous elements  $\varphi_1^\perp \cdots \varphi_n^\perp \otimes a \in \text{Sym}^n(\mathcal{L}^\perp) \otimes \text{Sym } \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}})$  in this decomposition. The case  $n = 0$  should be read as  $H(a) = 0$ , for all  $a \in \text{Sym } \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}})$ . The homological perturbation lemma (see e.g. [Cra04]) then provides a strong deformation retract for the quantum observables.

**Proposition 4.1.** *Denote by*

$$\delta := \lambda d^{\text{int}} + \hbar \Delta_{\text{BV}} \quad (4.12)$$

*the formal perturbation of the free differential  $d^{\text{free}}$  into the quantum differential  $d^{\text{qu}}$ , see (4.4). There exists a deformation of the strong deformation retract (4.8) into the strong deformation retract*

$$(\text{Sym } \mathbf{H}^\bullet(\mathcal{L}, d^{\text{free}}), \tilde{\delta}) \begin{array}{c} \xleftarrow{\tilde{\Pi}} \\ \xrightarrow{\tilde{I}} \end{array} \text{Obs}^{\text{qu}} \begin{array}{c} \curvearrowright \\ \curvearrowleft \end{array} \tilde{H} \quad (4.13)$$

for the quantum observables (4.3), where

$$\tilde{\delta} = \Pi(\text{id} - \delta H)^{-1} \delta I, \tag{4.14a}$$

$$\tilde{I} = I + H(\text{id} - \delta H)^{-1} \delta I, \tag{4.14b}$$

$$\tilde{\Pi} = \Pi + \Pi(\text{id} - \delta H)^{-1} \delta H, \tag{4.14c}$$

$$\tilde{H} = H + H(\text{id} - \delta H)^{-1} \delta H. \tag{4.14d}$$

The expression  $(\text{id} - \delta H)^{-1} := \sum_{k=0}^{\infty} (\delta H)^k$  is defined as a formal power series in both  $\lambda$  and  $\hbar$ .

The quantum correlation functions are defined by

$$\langle \varphi_1 \cdots \varphi_n \rangle := \tilde{\Pi}(\varphi_1 \cdots \varphi_n) = \sum_{k=0}^{\infty} \Pi((\delta H)^k(\varphi_1 \cdots \varphi_n)) \in \text{SymH}^\bullet(\mathcal{L}, \mathfrak{d}^{\text{free}}), \tag{4.15}$$

for all  $\varphi_1, \dots, \varphi_n \in \mathcal{L}$ . Note that these are elements of the symmetric algebra of the cohomology  $\text{H}^\bullet(\mathcal{L}, \mathfrak{d}^{\text{free}})$ , i.e. they are polynomial functions on the space of vacua of the theory, see e.g. [NSS21, section 3.1] for an illustration. As we shall illustrate in the next section, one can use graphical tools to facilitate the computation of the correlation functions (4.15).

### 5. Perturbations around $D_0 = 0$

In this section we prove some general results about the quantum correlation functions for perturbations around the zero Dirac operator  $D_0 = 0$ . We fix an arbitrary  $(p, q)$ -fermion space over  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$  and consider any gauge-invariant polynomial action of the form

$$S(D) = \frac{g_2}{2} \text{Tr}_{\text{End}(\mathcal{H})}(D^2) + S^{\text{int}}(D), \tag{5.1}$$

where  $g_2 \neq 0$  is a non-zero constant and the interaction term  $S^{\text{int}}$  is a sum of monomials of degree  $\geq 3$ . The zero Dirac operator  $D_0 = 0$  is an exact solution of the Euler–Lagrange equation of this action, hence it is an admissible choice for the background Dirac operator  $D_0$  in our perturbative approach. Our motivation for using the standard choice for the quadratic term in the action (5.1) is as follows: The complex vector space  $\text{End}(\mathcal{H})$  can be endowed with the Hermitian inner product  $\langle B, B' \rangle := \text{Tr}_{\text{End}(\mathcal{H})}(B^* B')$ , for all  $B, B' \in \text{End}(\mathcal{H})$ . This restricts to a real inner product on the subspace  $\mathcal{D} \subseteq \text{End}(\mathcal{H})$  of Dirac operators defined in definition 2.1, which implies that the quadratic term of the action (5.1) is non-degenerate. Hence, as we will see below, it will lead to a particularly simple propagator.

Let us focus now on the free theory underlying this model (4.5). Recalling the definition of the structure constants (3.3), we observe that for  $D_0 = 0$  the constants  $\beta_i^a = 0$  vanish, hence the cochain complex of linear observables simplifies to



$$H\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \begin{array}{|c} \vdots \\ \hline \end{array} \quad (5.7)$$

The action of the interaction part  $d^{\text{int}}$  of the differential on  $n$  lines can be expressed via the graded Leibniz rule as a sum of actions on the individual lines. The latter may be visualized in terms of interaction vertices

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \lambda \begin{array}{|c} \vdots \\ \hline \end{array} + \lambda \begin{array}{|c} \vdots \\ \hline \end{array}, \quad (5.8a)$$

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \sum_{n \geq 3} \frac{\lambda^{n-2}}{(n-1)!} \begin{array}{|c} \vdots \\ \hline \end{array} + \lambda \begin{array}{|c} \vdots \\ \hline \end{array}, \quad (5.8b)$$

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \lambda \begin{array}{|c} \vdots \\ \hline \end{array}, \quad (5.8c)$$

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \lambda \begin{array}{|c} \vdots \\ \hline \end{array}, \quad (5.8d)$$

which should be read from bottom to top and whose numerical values are given in (3.5). In these pictures, any two neighboring lines can be permuted (up to a Koszul sign determined by their cohomological degrees) because they represent elements in the graded symmetric algebra  $\text{Sym}(\mathcal{L})$ . The action of the BV Laplacian on  $n$  lines can be reduced via its algebraic properties (4.1) to a sum of pairings between two lines. Using (3.8), we observe that the latter is only non-zero when pairing between  $t_i$  and  $\theta^j$  and when pairing between  $e_a$  and  $f^b$ , which we depict as

$$\hbar \Delta_{\text{BV}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \hbar \begin{array}{|c} \vdots \\ \hline \end{array}, \quad \hbar \Delta_{\text{BV}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \hbar \begin{array}{|c} \vdots \\ \hline \end{array}. \quad (5.9)$$

Again, the ingoing lines can be permuted (up to Koszul signs that are trivial in the present case) because they represent elements in the graded symmetric algebra  $\text{Sym}(\mathcal{L})$ . Finally, the action of the dg-algebra map  $\Pi$  on  $n$  lines reduces via (4.9) to a product of actions on the individual lines, evaluating them to their cohomology classes (5.4). This is only non-zero for  $t_i$  and  $\theta^i$ , which we will depict by

$$\Pi\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \begin{array}{|c} \vdots \\ \hline \end{array}, \quad \Pi\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \begin{array}{|c} \vdots \\ \hline \end{array}. \quad (5.10)$$

**Example 5.1.** To illustrate the diagrammatic calculus, let us consider a quartic interaction term (i.e. the  $n = 4n$  term is the only non-trivial summand in (5.8b)) and compute the 2-point correlation function

$$\langle \varphi_1 \varphi_2 \rangle = \sum_{k=0}^{\infty} \Pi((\delta H)^k(\varphi_1 \varphi_2)), \quad \varphi_1, \varphi_2 \in \mathcal{L}^0 = \mathcal{D}^\vee, \quad (5.11)$$

for two generators in degree 0 to the lowest non-trivial order in the formal parameter  $\lambda$ . The first step of this iterative process is computing

$$\begin{aligned}
 \delta H(\varphi_1 \varphi_2) &= \frac{1}{2} \delta \left( \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \right) \\
 &= \frac{\hbar}{2} \left( \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \right) + \frac{\lambda^2}{3!2} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \frac{\lambda}{2} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. - \frac{\lambda}{2} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \\
 &\quad + \frac{\lambda}{2} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \frac{\lambda^2}{3!2} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \frac{\lambda}{2} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \\
 &= \hbar \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \frac{\lambda^2}{3!2} \left( \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \right) \\
 &\quad + \frac{\lambda}{2} \left( \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. - \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \right) . \tag{5.12}
 \end{aligned}$$

The negative sign in the second equality is a Koszul sign arising from the fact that  $\delta = \lambda d^{\text{int}} + \hbar \Delta_{\text{BV}}$  is of cohomological degree 1. In the third equality, we have collected the interaction terms according to their power in  $\lambda$ . The simplification of the  $\hbar$ -term is a consequence of the fact that

$$\begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. = \Delta_{\text{BV}}(h(\varphi_1) \varphi_2) = -\{h(\varphi_1), \varphi_2\} = \{\varphi_1, h(\varphi_2)\} = \Delta_{\text{BV}}(\varphi_1 h(\varphi_2)) = \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. , \tag{5.13a}$$

where the middle step is easily checked on basis elements

$$-\{h(f^a), f^b\} = S^{ac} \{e_c, f^b\} = -S^{ab} = -S^{ba} = -S^{bc} \{f^a, e_c\} = \{f^a, h(f^b)\} \tag{5.13b}$$

by using (3.8), (5.4) and symmetry of  $S^{ab}$ .

Using similar arguments and the fact that the interaction term  $S_{a_1 a_2 a_3 a_4}$  is symmetric under the exchange of indices, the second iteration is given by

$$\begin{aligned}
 (\delta H)^2(\varphi_1 \varphi_2) &= \frac{\hbar \lambda^2}{8} \left( \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \right) \\
 &\quad + \frac{\lambda^2}{4} \left( \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. - \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \\
 &\quad - \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. - \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \\
 &\quad + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. - \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. \right) \\
 &\quad + \mathcal{O}(\lambda^3) \tag{5.14}
 \end{aligned}$$

and the third by

$$(\delta H)^3(\varphi_1 \varphi_2) = \frac{\hbar^2 \lambda^2}{2} \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \left| \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right. + \mathcal{O}(\lambda^3) . \tag{5.15}$$

Applying  $\Pi$  to these expressions, we obtain that the 2-point function to order  $\lambda^2$  is given by

$$\langle \varphi_1 \varphi_2 \rangle = \hbar \text{diagram} + \frac{\hbar^2 \lambda^2}{2} \text{diagram} + \mathcal{O}(\lambda^3) \quad (5.16)$$

Observe that neither the ghosts nor the antifields for ghosts contribute to the 2-point function at order  $\lambda^2$ . We will prove below that, for the present case of perturbations around the zero Dirac operator  $D_0 = 0$ , this statement is true for *all*  $n$ -point functions of degree zero observables, *all* interaction terms and to *all* orders of the perturbation series.

**Proposition 5.2.** Consider an arbitrary  $(p, q)$ -fermion space over  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$  and any gauge-invariant action of the form (5.1). Then, for perturbations around the zero Dirac operator  $D_0 = 0$ , all  $n$ -point quantum correlation functions  $\langle \varphi_1 \cdots \varphi_n \rangle$  for degree 0 observables  $\varphi_1, \dots, \varphi_n \in \mathcal{L}^0 = \mathcal{D}^\vee$  do not receive contributions from ghosts and antifields for ghosts.

**Proof.** The proof is a simple argument using our graphical calculus. Starting from  $n$  straight lines, representing the element  $\varphi_1 \cdots \varphi_n \in \text{Sym}(\mathcal{L})$ , one observes by direct inspection that iterated applications of  $\delta H$  do not include any dotted (antifield for ghost) lines due to the explicit form of the interaction vertices (5.8) and of the cochain homotopy (5.7). Because  $\delta H$  is of cohomological degree 0, the element  $(\delta H)^k(\varphi_1 \cdots \varphi_n) \in \text{Sym}(\mathcal{L})$  is of cohomological degree 0 too, hence together with the previous observation it must contain an equal number of dashed (ghost) lines and wiggly (antifield) lines. Applying the dg-algebra homomorphism  $\Pi$  and using that it gives zero on every wiggly line, it follows that only those terms with no ghost lines contribute to the correlation function  $\langle \varphi_1 \cdots \varphi_n \rangle = \sum_{k=0}^\infty \Pi((\delta H)^k(\varphi_1 \cdots \varphi_n))$ . This completes the proof.  $\square$

### 6. Example for $D_0 \neq 0$ : the quartic (0, 1)-model

The aim of this section is to study quantized perturbations around non-zero Dirac operators  $D_0 \neq 0$  in the simplest dynamical fuzzy spectral triple model, the so-called (0, 1)-model from [Bar15]. From this we can show that, in contrast to the case of perturbations around  $D_0 = 0$  as in proposition 5.2, the quantum correlation functions for perturbations around  $D_0 \neq 0$  are in general sensitive to the ghosts and the antifields for ghosts. By working through the computational details of this simple model, it will become evident that this behavior is not accidental, but it will be present in any other model provided that one perturbs around a background Dirac operator  $D_0$  that breaks some of the gauge symmetries. (Note that the zero Dirac operator  $D_0 = 0$  is gauge invariant under (2.11).)

#### 6.1. The (0, 1)-model

Let us recall from [Bar15, BG16] that the (0, 1)-fermion space over  $\mathcal{A} = \text{Mat}_N(\mathbb{C})$  is given by the Hilbert space  $\mathcal{H} = \mathcal{A}$ , on which  $\mathcal{A}$  acts via left multiplication, with inner product  $\langle\langle a, a' \rangle\rangle = \text{Tr}_{\mathcal{A}}(a^* a')$ , chirality operator  $\Gamma(a) = a$  and real structure  $\Gamma(a) = a^*$ . Furthermore, each Dirac operator (in the sense of definition 2.1) is of the form

$$D = -i [L, \cdot], \quad (6.1)$$

where  $L \in \text{Mat}_N(\mathbb{C})$  is an anti-Hermitian and trace-free  $N \times N$ -matrix. Hence, we can identify

$$\mathcal{D} \cong \mathfrak{su}(N) \quad (6.2)$$



the space of Dirac operators on the  $(0, 1)$ -fermion space with (the underlying real vector space of) the Lie algebra  $\mathfrak{su}(N)$  of anti-Hermitian and trace-free  $N \times N$ -matrices. From remark 2.3, we obtain that the Lie algebra of infinitesimal gauge transformations of this model is given by

$$\mathfrak{g} = \mathfrak{su}(N), \tag{6.3}$$

which acts on the space of Dirac operators through the Lie bracket of  $\mathfrak{su}(N)$ , i.e.

$$\rho_{\mathcal{D}} : \mathfrak{g} \times \mathcal{D} \longrightarrow \mathcal{D}, \quad (\epsilon, L) \longmapsto \rho_{\mathcal{D}}(\epsilon)(L) = [\epsilon, L]. \tag{6.4}$$

We consider as in [BG16] the gauge-invariant quartic action  $S(D) = \text{Tr}_{\text{End}(\mathcal{H})} \left( \frac{g_2}{2} D^2 + \frac{g_4}{4!} D^4 \right)$  and assume that  $g_2 < 0$  is negative and  $g_4 > 0$  is positive in order to obtain a ‘symmetry-breaking potential’. Upon rescaling the Dirac operator  $D$ , one can assume without loss of generality that  $g_2 = -1$ . Inserting (6.1) in this action and using that  $L$  is trace-free, one can express  $S$  as a function of  $L$  and finds

$$S(L) = N \text{Tr}_{\mathcal{A}}(L^2) + \frac{g_4}{4!} \left( 2N \text{Tr}_{\mathcal{A}}(L^4) + 6 (\text{Tr}_{\mathcal{A}}(L^2))^2 \right). \tag{6.5}$$

The variation of this action reads as

$$\delta S(L) = \text{Tr}_{\mathcal{A}} \left[ \delta L \left( 2NL + \frac{g_4}{4!} (8NL^3 + 24L \text{Tr}_{\mathcal{A}}(L^2)) \right) \right], \tag{6.6a}$$

which yields the Euler–Lagrange equation

$$L + \frac{g_4}{3!} \left( L^3 - \frac{1}{N} \text{Tr}_{\mathcal{A}}(L^3) + \frac{3}{N} L \text{Tr}_{\mathcal{A}}(L^2) \right) = 0. \tag{6.6b}$$

Note that the term  $\text{Tr}_{\mathcal{A}}(L^3)$  in (6.6b) arises due to the fact that the variation  $\delta L$  is trace-free, hence the big round bracket in (6.6a) must be projected onto the space of trace-free and anti-Hermitian matrices.

In order to illustrate the main features arising for perturbations around a non-zero Dirac operator, we will consider the case where  $N$  is even and the following simple exact solution

$$L_0 = i \kappa \begin{pmatrix} \mathbb{1}_{N/2} & 0 \\ 0 & -\mathbb{1}_{N/2} \end{pmatrix}, \quad \kappa := \sqrt{\frac{3}{2g_4}} \tag{6.7}$$

of (6.6b), which we have written in block matrix notation. The novel feature of this background solution is that it breaks the  $\mathfrak{g} = \mathfrak{su}(N)$  gauge symmetry down to a Lie sub-algebra. Indeed, using also a block matrix notation for Lie algebra elements

$$\epsilon = \begin{pmatrix} \epsilon_1 & \epsilon_3 \\ -\epsilon_3^* & \epsilon_2 \end{pmatrix} \in \mathfrak{g} = \mathfrak{su}(N), \tag{6.8}$$

one finds for the Lie algebra action (6.4) on  $L_0$  that

$$\rho_{\mathcal{D}}(\epsilon)(L_0) = [\epsilon, L_0] = -2i \kappa \begin{pmatrix} 0 & \epsilon_3 \\ \epsilon_3^* & 0 \end{pmatrix}. \tag{6.9}$$

Hence, the  $\mathfrak{su}(N)$  gauge symmetry is broken down to the Lie sub-algebra  $\mathfrak{g}_0 \subset \mathfrak{g} = \mathfrak{su}(N)$  whose elements are of the form

$$\epsilon = \begin{pmatrix} \epsilon_1 & 0 \\ 0 & \epsilon_2 \end{pmatrix} \in \mathfrak{g}_0 \subset \mathfrak{g} = \mathfrak{su}(N). \tag{6.10}$$

For later use, let us note that the linearization around  $L_0$  of the Euler–Lagrange equation (6.6b) reads as

$$P(\tilde{L}) := -\frac{1}{2} \tilde{L} + \frac{g_4}{3!} L_0 [\tilde{L}, L_0] + \frac{g_4}{N} L_0 \text{Tr}_{\mathcal{A}}(\tilde{L} L_0) = 0. \tag{6.11a}$$

In block matrix notation, we can write this more explicitly as

$$P(\tilde{L}) = -\frac{1}{2} \begin{pmatrix} \tilde{L}_1 & 0 \\ 0 & \tilde{L}_2 \end{pmatrix} - \frac{3}{2N} \text{Tr}(\tilde{L}_1 - \tilde{L}_2) \begin{pmatrix} \mathbb{1}_{N/2} & 0 \\ 0 & -\mathbb{1}_{N/2} \end{pmatrix}, \quad (6.11b)$$

where the unadorned trace  $\text{Tr}$  is over  $N/2 \times N/2$ -matrices. Furthermore, the perturbative action (2.14) around  $L_0$  reads as

$$\begin{aligned} \tilde{S}(\tilde{L}) = & -\frac{N}{4} \text{Tr}_{\mathcal{A}}(\tilde{L}^2) + \frac{g^4}{4!} \left( 4N \text{Tr}_{\mathcal{A}}(L_0 \tilde{L} L_0 \tilde{L}) + 24 (\text{Tr}_{\mathcal{A}}(L_0 \tilde{L}))^2 \right) \\ & + \frac{\lambda g^4}{4!} \left( 8N \text{Tr}_{\mathcal{A}}(L_0 \tilde{L}^3) + 24 \text{Tr}_{\mathcal{A}}(L_0 \tilde{L}) \text{Tr}_{\mathcal{A}}(\tilde{L}^2) \right) \\ & + \frac{\lambda^2 g^4}{4!} \left( 2N \text{Tr}_{\mathcal{A}}(\tilde{L}^4) + 6 (\text{Tr}_{\mathcal{A}}(\tilde{L}^2))^2 \right). \end{aligned} \quad (6.12)$$

### 6.2. The complex of linear observables (4.5)

Recalling that  $\mathcal{D} \cong \mathfrak{su}(N)$  and  $\mathfrak{g} = \mathfrak{su}(N)$ , we can use the Killing form  $2N \text{Tr}_{\mathcal{A}}(XY)$ , for  $X, Y \in \mathfrak{su}(N)$ , to identify  $\mathcal{D}^\vee \cong \mathfrak{su}(N)$  and  $\mathfrak{g}^\vee \cong \mathfrak{su}(N)$ . The cochain complex (4.5) of linear observables then takes for our  $(0, 1)$ -model the form

$$\mathcal{L} = \left( \mathfrak{su}(N)[2] \xrightarrow{\text{d}^{\text{free}}} \mathfrak{su}(N)[1] \xrightarrow{\text{d}^{\text{free}}} \mathfrak{su}(N) \xrightarrow{\text{d}^{\text{free}}} \mathfrak{su}(N)[-1] \right). \quad (6.13a)$$

To avoid confusing the different  $\mathfrak{su}(N)$ -components in this complex, we shall use the following notations

$$\beta \in \mathfrak{su}(N)[2], \quad \alpha \in \mathfrak{su}(N)[1], \quad \varphi \in \mathfrak{su}(N), \quad \chi \in \mathfrak{su}(N)[-1] \quad (6.13b)$$

and recall that (in the presented order) they are interpreted as linear observables for the antifield for the ghost  $\mathbf{c}^+$ , the antifield  $\tilde{D}^+$ , the field  $\tilde{D}$  and the ghost  $\mathbf{c}$ . The free differential can be expressed very efficiently by re-writing (4.5) in terms of our block matrix notation (see (6.9) and (6.11)), which yields

$$\text{d}^{\text{free}} \beta = [\beta, L_0] = -2i \kappa \begin{pmatrix} 0 & \beta_3 \\ \beta_3^* & 0 \end{pmatrix}, \quad (6.14a)$$

$$\text{d}^{\text{free}} \alpha = P(\alpha) = -\frac{1}{2} \begin{pmatrix} \alpha_1 & 0 \\ 0 & \alpha_2 \end{pmatrix} - \frac{3}{2N} \text{Tr}(\alpha_1 - \alpha_2) \begin{pmatrix} \mathbb{1}_{N/2} & 0 \\ 0 & -\mathbb{1}_{N/2} \end{pmatrix}, \quad (6.14b)$$

$$\text{d}^{\text{free}} \varphi = [\varphi, L_0] = -2i \kappa \begin{pmatrix} 0 & \varphi_3 \\ \varphi_3^* & 0 \end{pmatrix}, \quad (6.14c)$$

$$\text{d}^{\text{free}} \chi = 0. \quad (6.14d)$$

Note that there is no relative sign between  $\text{d}^{\text{free}} \beta$  and  $\text{d}^{\text{free}} \varphi$  because the minus sign in (4.5) is compensated by the minus sign that comes from forming adjoints with respect to the Killing form. (Explicitly,  $2N \text{Tr}_{\mathcal{A}}(X[Y, \epsilon]) = -2N \text{Tr}_{\mathcal{A}}([X, \epsilon]Y)$ .)

Recalling the Lie sub-algebra  $\mathfrak{g}_0 \subset \mathfrak{g} = \mathfrak{su}(N)$  introduced in (6.10), we can further simplify the description of the complex of linear observables given in (6.13) and (6.14). For this, let us also introduce the orthogonal complement  $\mathfrak{g}_0^\perp \subset \mathfrak{g} = \mathfrak{su}(N)$  of  $\mathfrak{g}_0 \subset \mathfrak{g} = \mathfrak{su}(N)$  with respect to the Killing form. Note that, in our block matrix notation, the elements of the orthogonal complement are of the form

$$\epsilon = \begin{pmatrix} 0 & \epsilon_3 \\ -\epsilon_3^* & 0 \end{pmatrix} \in \mathfrak{g}_0^\perp \subset \mathfrak{g} = \mathfrak{su}(N). \quad (6.15)$$

Decomposing all four  $\mathfrak{su}(N)$ -components in (6.13) according to  $\mathfrak{su}(N) = \mathfrak{g}_0 \oplus \mathfrak{g}_0^\perp$ , we observe that each component of the differential in (6.14) is only non-zero on one of the two summands. This allows us to decompose the complex (6.13) as

$$\mathcal{L} = \left( \begin{array}{cccc} \mathfrak{g}_0[2] & \mathfrak{g}_0[1] & \xrightarrow{d^{\text{free}}} & \mathfrak{g}_0 & \mathfrak{g}_0[-1] \\ \oplus & \oplus & & \oplus & \oplus \\ \mathfrak{g}_0^\perp[2] & \xrightarrow{d^{\text{free}}} & \mathfrak{g}_0^\perp[1] & \mathfrak{g}_0^\perp & \xrightarrow{d^{\text{free}}} & \mathfrak{g}_0^\perp[-1] \end{array} \right). \quad (6.16)$$

The displayed non-trivial differentials are all injective and hence, via the rank nullity theorem, also surjective on the corresponding summands. This implies that the cohomology of this complex is given by

$$H^\bullet(\mathcal{L}, d^{\text{free}}) = \mathfrak{g}_0[2] \oplus \mathfrak{g}_0[-1]. \quad (6.17)$$

### 6.3. The strong deformation retract (4.6)

From (6.16), we obtain a direct sum decomposition

$$\mathcal{L} = \mathcal{L}^\perp \oplus H^\bullet(\mathcal{L}, d^{\text{free}}) \quad (6.18a)$$

into the acyclic complex

$$\mathcal{L}^\perp = \left( \begin{array}{cccc} & \mathfrak{g}_0[1] & \xrightarrow{d^{\text{free}}} & \mathfrak{g}_0 \\ & \oplus & & \oplus \\ \mathfrak{g}_0^\perp[2] & \xrightarrow{d^{\text{free}}} & \mathfrak{g}_0^\perp[1] & \mathfrak{g}_0^\perp & \xrightarrow{d^{\text{free}}} & \mathfrak{g}_0^\perp[-1] \end{array} \right). \quad (6.18b)$$

and the cohomology (6.17). The  $\pi$ -map of the desired strong deformation retract (4.6) is then simply given by projecting onto  $H^\bullet(\mathcal{L}, d^{\text{free}})$  and the  $\iota$ -map is given by inclusion. A choice of a contracting homotopy  $h$  can be found by inverting (the non-trivial parts of)  $d^{\text{free}}$ , yielding

$$h(\beta) = 0, \quad (6.19a)$$

$$h(\alpha) = -\frac{i}{2\kappa} \begin{pmatrix} 0 & \alpha_3 \\ \alpha_3^* & 0 \end{pmatrix}, \quad (6.19b)$$

$$h(\varphi) = 2 \begin{pmatrix} \varphi_1 & 0 \\ 0 & \varphi_2 \end{pmatrix} - \frac{3}{2N} \text{Tr}(\varphi_1 - \varphi_2) \begin{pmatrix} \mathbb{1}_{N/2} & 0 \\ 0 & -\mathbb{1}_{N/2} \end{pmatrix}, \quad (6.19c)$$

$$h(\chi) = -\frac{i}{2\kappa} \begin{pmatrix} 0 & \chi_3 \\ \chi_3^* & 0 \end{pmatrix}. \quad (6.19d)$$

The relevant properties in (4.7) are straightforward to verify.

6.4. Quantum correlation functions

The diagrammatic approach from section 5 to compute the quantum correlation functions (4.15) generalizes with slight adjustments to perturbations around non-zero Dirac operators. In analogy to (5.6), there are four types of lines, which we further decompose according to (6.18) into their  $\mathcal{L}^\perp$  and cohomology components. Graphically, we will write this as

$$\beta = \begin{array}{|c} \vdots \\ \hline \perp \\ \hline \vdots \end{array} = \begin{array}{|c} \vdots \\ \hline \perp \\ \hline \vdots \end{array} + \begin{array}{|c} \vdots \\ \hline 0 \\ \hline \vdots \end{array}, \quad \alpha = \begin{array}{|c} \text{wiggly} \\ \hline \end{array}, \quad \varphi = \begin{array}{|c} \vdots \\ \hline \end{array}, \quad \chi = \begin{array}{|c} \vdots \\ \hline \perp \\ \hline \vdots \end{array} = \begin{array}{|c} \vdots \\ \hline \perp \\ \hline \vdots \end{array} + \begin{array}{|c} \vdots \\ \hline 0 \\ \hline \vdots \end{array}. \quad (6.20)$$

The action of the cochain homotopy  $H$  on many lines can be expressed via (4.11) as a sum of actions on the individual lines. Note that, by definition, the number  $n$  in this formula does *not* count the cohomology components of the lines, i.e. it only counts the wiggly, straight,  $\perp$ -dashed and  $\perp$ -dotted lines. The homotopy on a single line is given in (6.19) and it is only non-zero on the  $\mathcal{L}^\perp$ -components. We graphically represent the non-vanishing components of the homotopy as

$$H\left(\begin{array}{|c} \vdots \\ \hline \perp \\ \hline \vdots \end{array}\right) = \begin{array}{|c} \vdots \\ \hline \perp \\ \hline \vdots \end{array}, \quad H\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \begin{array}{|c} \text{wiggly} \\ \hline \end{array}, \quad H\left(\begin{array}{|c} \text{wiggly} \\ \hline \end{array}\right) = \begin{array}{|c} \perp \\ \hline \text{wiggly} \\ \hline \end{array}. \quad (6.21)$$

The interaction part  $d^{\text{int}}$  of the differential for our model is given by specializing (5.8) to the perturbative action (6.12). This yields cubic and quartic interaction vertices, which we visualize as

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \lambda \begin{array}{|c} \text{wiggly} \\ \hline \end{array} + \lambda \begin{array}{|c} \text{dotted} \\ \hline \end{array}, \quad (6.22a)$$

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \text{wiggly} \\ \hline \end{array}\right) = \frac{\lambda}{2} \begin{array}{|c} \text{wiggly} \\ \hline \end{array} + \frac{\lambda^2}{3!} \begin{array}{|c} \text{wiggly} \\ \hline \end{array} + \lambda \begin{array}{|c} \text{wiggly} \\ \hline \end{array}, \quad (6.22b)$$

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \lambda \begin{array}{|c} \text{wiggly} \\ \hline \end{array}, \quad (6.22c)$$

$$\lambda d^{\text{int}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \lambda \begin{array}{|c} \text{wiggly} \\ \hline \end{array}. \quad (6.22d)$$

The numerical values of these interaction vertices with respect to a choice of bases are given in (3.5). The BV Laplacian is precisely the one from section 5, which we again visualize as

$$\hbar \Delta_{\text{BV}}\left(\begin{array}{|c} \vdots \\ \hline \end{array}\right) = \hbar \begin{array}{|c} \text{wiggly} \\ \hline \end{array}, \quad \hbar \Delta_{\text{BV}}\left(\begin{array}{|c} \text{wiggly} \\ \hline \end{array}\right) = \hbar \begin{array}{|c} \text{wiggly} \\ \hline \end{array}, \quad (6.23)$$

and the  $\Pi$ -map acts only non-trivially on the cohomology components of the lines, i.e.

$$\Pi\left(\begin{array}{c} \vdots \\ 0 \end{array}\right) = \begin{array}{c} \circ \\ \vdots \\ 0 \end{array}, \quad \Pi\left(\begin{array}{c} \vdots \\ 0 \end{array}\right) = \begin{array}{c} \circ \\ \vdots \\ 0 \end{array}. \quad (6.24)$$

These are all the ingredients one needs to compute the quantum correlation functions.

**Example 6.1.** As a simple illustration of this diagrammatic calculus, let us compute the 1-point function

$$\langle \varphi \rangle = \sum_{k=0}^{\infty} \Pi((\delta H)^k(\varphi)), \quad \varphi \in \mathcal{L}^0, \quad (6.25)$$

for a generator in degree 0 to the lowest non-trivial order in the formal parameter  $\lambda$ . The first step of this iterative process is computing

$$\begin{aligned} \delta H(\varphi) &= \delta\left(\begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array}\right) = \frac{\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \frac{\lambda^2}{3!} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \lambda \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} \\ &= \frac{\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \frac{\lambda^2}{3!} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \lambda \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \lambda \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array}, \quad (6.26) \end{aligned}$$

where in the second line we have decomposed the dashed line according to (6.18). Applying  $\Pi$  to this expression gives 0, hence we have to go to the next perturbative order to see quantum corrections. Using the algebraic property (4.11) of the homotopy  $H$  on many lines, we find

$$\begin{aligned} (\delta H)^2(\varphi) &= \delta H\left(\frac{\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \frac{\lambda^2}{3!} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \lambda \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \lambda \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array}\right) \\ &= \delta\left(\frac{\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \frac{\lambda^2}{3!} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \frac{\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} - \frac{\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \lambda \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array}\right) \\ &= \frac{\hbar\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \frac{\hbar\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} - \frac{\hbar\lambda}{2} \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \hbar\lambda \begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array} + \mathcal{O}(\lambda^2). \quad (6.27) \end{aligned}$$

Note that the fourth term gives zero because the decomposition  $\mathfrak{g} = \mathfrak{g}_0^\perp \oplus \mathfrak{g}_0$  is orthogonal with respect to the Killing form. By a similar identity as in (5.13), namely

$$\begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array}^\perp = \Delta_{\text{BV}}(h(\alpha)\chi) = \{h(\alpha), \chi\} = \{\alpha, h(\chi)\} = -\Delta_{\text{BV}}(\alpha h(\chi)) = -\begin{array}{c} \diagup \\ \bullet \\ \vdots \end{array}, \quad (6.28)$$

one finds that the second and the third term coincide. Hence, the 1-point function is given by

$$\langle \varphi \rangle = \frac{\hbar \lambda}{2} \text{diagram} + \hbar \lambda \text{diagram}^\perp + \mathcal{O}(\lambda^2) \quad (6.29)$$

Using the explicit form of the interaction part of the differential (see (3.5)), one can compute the numerical value of the ghost field contribution and one finds

$$\hbar \lambda \text{diagram}^\perp = \hbar \lambda \sum_{i=1}^{\dim(\mathfrak{g}_0^\perp)} 2N \text{Tr}_{\mathcal{A}} \left( t_i h([t_i, h(\varphi)]) \right) \quad (6.30)$$

where  $h$  are the components of the homotopy in (6.19),  $[\cdot, \cdot]$  is the Lie bracket on  $\mathfrak{g} = \mathfrak{su}(N)$  and  $\{t_i \in \mathfrak{g}_0^\perp\}$  is an orthogonal basis with respect to the Killing form, i.e.  $2N \text{Tr}_{\mathcal{A}}(t_i t_j) = -\delta_{ij}$  with the minus sign being a consequence of the Killing form being negative definite.

This ghost field contribution is in general non-zero, as one can simply show by setting  $N = 2$ . As orthogonal basis for  $\mathfrak{g} = \mathfrak{su}(2)$  we take the (appropriately normalized and anti-Hermitian) Pauli matrices

$$t_i = \frac{i}{\sqrt{8}} \sigma_i, \quad \text{for } i = 1, 2, 3, \quad (6.31)$$

which satisfy the Lie bracket relations  $[t_i, t_j] = -\frac{1}{\sqrt{2}} \epsilon_{ijk} t_k$ . The Lie sub-algebra  $\mathfrak{g}_0 \subset \mathfrak{g}$  is spanned by  $t_3$  and its orthogonal complement  $\mathfrak{g}_0^\perp$  is spanned by  $t_1$  and  $t_2$ . Because the homotopy  $h(\varphi)$  in (6.30) maps surjectively onto  $\mathfrak{g}_0$ , we can set without loss of generality  $h(\varphi) = t_3$  by choosing an appropriate  $\varphi$ . The second homotopy in (6.30) is of the type  $h(\alpha)$  from (6.19) and it acts on the basis of  $\mathfrak{g}_0^\perp$  as

$$h(t_1) = \frac{1}{2\kappa} t_2, \quad h(t_2) = -\frac{1}{2\kappa} t_1. \quad (6.32)$$

This allows us to compute

$$(6.30) \stackrel{N=2}{=} \hbar \lambda 4 \text{Tr}_{\mathcal{A}} \left( t_1 h([t_1, t_3]) + t_2 h([t_2, t_3]) \right) = \frac{\hbar \lambda}{\sqrt{2}\kappa} = \hbar \lambda \sqrt{\frac{g_4}{3}}, \quad (6.33)$$

hence the ghost field contribution does not vanish.

**Example 6.2.** With some more computational efforts, one can also compute the 2-point correlation function

$$\langle \varphi_1 \varphi_2 \rangle = \sum_{k=0}^{\infty} \Pi((\delta H)^k(\varphi_1 \varphi_2)), \quad \varphi_1, \varphi_2 \in \mathcal{L}^0 = \mathcal{D}^\vee, \quad (6.34)$$

for two generators in degree 0 to the lowest non-trivial order in the formal parameter  $\lambda$ . The final result is

$$\begin{aligned}
 \langle \varphi_1 \varphi_2 \rangle = & \hbar \text{[diagram]} + \hbar^2 \lambda^2 \left( \frac{1}{2} \text{[diagram]} + \frac{1}{2} \text{[diagram]} + \frac{1}{2} \text{[diagram]} \right. \\
 & + \text{[diagram]} + \text{[diagram]} + \frac{1}{4} \text{[diagram]} \\
 & \left. + \frac{1}{2} \text{[diagram]} + \frac{1}{2} \text{[diagram]} + \text{[diagram]} \right) + \mathcal{O}(\lambda^3) . \quad (6.35)
 \end{aligned}$$

As in the case of the 1-point function from the previous example 6.1, we observe that there are non-trivial ghost field contributions that are not present for perturbations around the trivial Dirac operator  $D_0 = 0$ , see also example 5.1.




**Data availability statement**

All data that support the findings of this study are included within the article (and any supplementary files).

**Acknowledgments**

We would like to thank John Barrett for helpful discussions about fuzzy spectral triples. We also would like to thank the anonymous referees for useful comments that helped us to improve the paper. H N is supported by a PhD Scholarship from the School of Mathematical Sciences of the University of Nottingham. A S gratefully acknowledges the financial support of the Royal Society (UK) through a Royal Society University Research Fellowship (URF\R\211015) and the Enhancement Awards (RGF\EA\180270, RGF\EA\201051 and RF\ERE\210053).

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