

1 **Title:** Air pressure changes in the creation and bursting of the type-1 big bubble
2 in deep anterior lamellar keratoplasty: an ex-vivo study.

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19 **Running title:** Air pressure changes in big bubble DALK.

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22 Key words: Deep anterior lamellar keratoplasty (DALK), DALK-triple, Dua's layer

23

24 **Abstract**

25 **Aim:** To measure the pressure and volume of air required to create a big bubble
26 (BB) in simulated deep anterior lamellar keratoplasty (DALK) in donor eyes and
27 ascertain the bursting pressure of the BB.

28 **Methods:** Twenty two human sclera-corneal discs were used. Air was injected
29 into the corneal stroma to create a BB and the pressure measured by means of a
30 pressure converter attached to the system via a side port. A special clamp was
31 designed to prevent air leak from the periphery of the discs. The pressure at
32 which air emerged in the corneal tissue; the bursting pressure measured after
33 advancing the needle into the bubble cavity and injecting more air; the volume
34 of air required to create a BB and the volume of the BB were ascertained.

35 **Results:** Type-1 BB were achieved in 19 and type-2 BB in 3 eyes. The maximum
36 pressure reached to create a BB was 96.25 ± 21.61 kpa; the mean type-1
37 intra-bubble pressure was 10.16 ± 3.65 kpa. The mean bursting pressure of a
38 type-1 BB was 66.65 ± 18.65 kpa while that of a type-2 BB was $14.77 \pm$
39 2.44 kpa. The volume of air required to create a type-1 BB was 0.54ml and the
40 volume of a type-1 BB was consistently 0.1ml.

41 **Conclusions:** Dua's layer baring DALK can withstand high intraoperative
42 pressures compared to Descemet's membrane baring DALK. The study suggests
43 that it could be safe to undertake procedures such as DALK-triple with a type-
44 1BB but not with a type-2 BB.

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46

47 **Introduction:**

48 Deep anterior lamellar keratoplasty (DALK) has replaced penetrating
49 keratoplasty as the procedure of choice in surgical management of eyes with
50 diseases affecting the corneal stroma and affecting sight such as scars,
51 dystrophy or ectasia. The Big Bubble (BB) technique (ref.1) is the most popular
52 technique wherein air is injected in the corneal stroma to separate either the
53 Descemet's membrane (DM) or the DM together with a layer of deep corneal
54 stroma termed the pre-Descemet's layer (Dua's layer-DL). This allows excision
55 of the affected stroma and recipient epithelium and replacement with healthy
56 stroma and epithelium from a cadaver donor.

57 When air is injected in the corneal stroma either cleaves the DL from the deep
58 stroma to create a big bubble termed type-1 or it accesses the plane between
59 DM and DL to create a thin walled bubble termed type-2. The wall of a type-1 BB
60 is made of DL and DM while of a type-2 BB is made of DM alone and is more
61 vulnerable to major tears or bursting during surgery. Often during injection of
62 air, tiny bubbles escape from the peripheral cornea, in the vicinity of the
63 trabecular meshwork in to the anterior chamber and can cause post-operative
64 raised intraocular pressure (ref. 2,3,4).

65 Dua et al have reported that DL is a strong and resilient layer with bursting
66 pressure 1.45 bars (ref. 5). Based on the above information, Zaki AA et al
67 described a combination of DALK with phacoemulsification and lens implant,
68 termed the DALK-Triple procedure. When confronted with patients requiring
69 DALK who also had dense cataracts they were able to perform cataract surgery
70 under the exposed DL of a type-1 BB. They reported that DL could withstand all
71 pressure fluctuations associated with the phacoemulsification procedure and that

72 despite stromal scarring requiring keratoplasty, the DL was remarkably clear in
73 most cases. (ref. 6). In one instance they attempted DALK-Triple under the DM
74 (type-2 BB), which burst promptly during injection of viscoelastic in the anterior
75 chamber.

76 In this study we report the pressure and volume of air required to create the BB,
77 the volume and pressure of air in the type-1 BB and the bursting pressure of the
78 type-1 BB.

79

80 **Materials and Methods:**

81 Tissue samples: Twenty two human sclero-corneal discs from eye bank donor
82 eyes that were not suitable for transplantation were used. The sclera-corneal
83 discs were maintained in organ culture in Eagle's minimum essential medium
84 with 2% foetal bovine serum for four to eight weeks post-mortem. Donor details
85 are given in table 1.

86 Experiment to measure pressure

87 Air injection: The sclero-corneal disc was placed endothelial side up in a petri
88 dish and kept moist with balanced salt solution. In fifteen samples, under an
89 operating microscope, a 30 gauge needle, bent to an angle of 135 degrees,
90 bevel up, attached to a 20 ml syringe was passed from the scleral rim into the
91 corneal stroma and advanced to the centre of the disc. The needle was passed
92 close to the endothelial surface without perforating it. Air was injected with force
93 to overcome the tissue resistance, until a big bubble was formed. The type of
94 the bubble was determined, type-1 or type-2. The position of the needle tip was
95 kept constant in the centre of the sclera-corneal disc in mid stroma.

96 Pressure measurement: An electronic pressure gauge/converter device was used
97 (Keller, K-114, Winterthur, Switzerland). The tube from the device was linked to
98 the side arm of a 3-way cannula attached between the syringe and needle. The
99 injecting needle was attached to the front end of the cannula and a 20 ml
100 syringe to the other end. The device was also connected to a personal computer
101 (PC) via a USB port. The USB link also powered the device. Pressure readings
102 were recorded in real time and transmitted as serial RS485 half-duplex signals to
103 the PC where the pressure was displayed as a continuous trace on the screen by
104 the software associated with the K-114 device. (Figure 1) The pressure recorded
105 was that in the syringe during injection of air. In validation experiments, when
106 the needle was not inserted in tissue and the piston was advanced rapidly, the
107 pressure recorded was between 0 and 1, indicating that the resistance offered by
108 the needle was not relevant to the pressures measured (data not shown).

109 The maximum pressure required to create the bubble was recorded. The plunger
110 was then released and allowed to attain a stable position. The needle tip was
111 advanced to lie inside the BB and the bubble inflated till its wall was taut. The
112 pressure recorded at this point was taken as the base line intra-bubble pressure.
113 With the needle tip in the BB, the piston was pushed further with force until the
114 BB burst. This recorded the bursting pressure of the bubble (Figure 2 a, b).

115 Experiment to measure Volume:

116 As air leaked through multiple points along the circumference of the corneal
117 periphery a clamp was designed to block the holes and stop air leak. In 7
118 samples, the sclero-corneal discs were clamped in a circular clamp of 10mm
119 diameter that prevented air escape from the periphery. A 30 gauge needle
120 attached to a one millilitre syringe (internal diameter 5 mm) filled with air was

passed in to the corneal stroma from the scleral rim as described above. During injection the maximum compression of air (position of piston) at the time air just started to appear in the corneal stroma was recorded. The piston was held in place until a type-1 BB was formed. The pressure on the piston was released and piston allowed to reverse to a stable position. The volume of air lost in the cornea was ascertained from the final position of the piston. The BB diameter was measured with a pair of surgical callipers. The needle was then advanced into the BB and all the air aspirated until the BB had completely collapsed. This provided a measure of the volume of air in the big bubble. The pressure (above atmosphere) in the syringe at the point where air started to emerge in the tissue from the needle tip was deduced by the formula $P_1V_1 = P_2V_2$, where P_1 is the initial pressure (atmospheric) and V_1 the initial volume (1ml) and P_2 is the final pressure (unknown) and V_2 the final volume (mean 0.54ml, see results).

Results:

The average age of donors was 66 years (range; 52-80 years). There were 15 females and 7 males.

Pressure measurements: Twelve type-1 and 3 type-2 BB were obtained (table 2). The mean pressure attained to create a BB was 96.25 ± 21.61 kilopascal (kpa) (range 90-130kpa). For type-1 BB the mean intra-bubble pressure was 10.16 ± 3.65 kpa (range 5.2-18kpa) and the bursting pressure was 66.65 ± 18.65 kpa (range 40-110kpa). The median bursting pressure was 68.5kpa (table 2). Accurate measurements of type-2 BB could not be obtained as when advancing the needle into the bubble cavity, while the needle was still in the stroma, the type-2 BB burst in one case and the DM disinserted (separated along its peripheral attachment to the stroma) in one sector before the bubble

could be inflated enough to make the DM taut. The mean pressure at the time the type-2 BB burst/disinserted was 14.77 +/- 2.44kpa (range 12.0-17.0kpa) (table 2).

Volume measurements: In the bubble volume experiment, the maximum compression of air required to create type-1 BB was 0.54 +/- 0.07 ml (range 0.5-0.7 ml), the volume of air lost in the cornea was 0.38 +/- 0.06 ml (range 0.3-.5 ml) and the average volume of the BB was 0.1 ml. The mean pressure in the syringe at which air started to emerge in the tissue, as calculated from the volume compression, was 131.82 +/- 50.58kpa (range 101.28 – 236.3kpa above atmosphere). The pressures measured directly with the gauge and by this method were not statistically significant ($p = 0.25$) (Figure 3).

Statistical methods: The data was normally distributed as confirmed by Levene's test. Statistical analysis between two groups was performed by the unpaired student t-test using Graphpad prism version 5.0. (Graphpad software, USA). $p < 0.05$ was considered statistically significant."

Discussion:

In DALK by the BB technique, when air is injected in the corneal stroma, a type-1 BB forms by air cleaving in the plane of deep stroma and DL, with a posterior displacement of DL and DM. The cleavage and displacement are related to the pressure of air in the corneal stroma and in the BB. As the BB expands posteriorly the intra bubble pressure is countered by the intraocular pressure, which can rise up to 70 mm of mercury (authors' unpublished observations). This counter pressure and the closed space within which the BB expands limits the posterior expansion of the BB in the eye thus rupture of a type-1BB during inflation is unlikely and has not been reported. However, when the type-1BB is

deflated and the corneal stroma anterior to it is removed, the DL + DM bulge anteriorly to assume a convex dome shape. Any pressure applied to the DL+DM from within the eye, as during the DALK-triple procedure, would cause the layers to expand outward, into the atmosphere and theoretically reach a bursting point. In this study we set out to ascertain the minimum and mean popping (bursting) pressure of the layers to establish whether it would always be safe to perform cataract surgery under DL+DM after creating a type-1BB.

The pressure converter K 114 allowed us to measure in real time the pressure at the tip of the needle during the creation of a BB. On initiation of injection, air is compressed in the syringe on account of the tissue resistance offered by the corneal stroma at the site of the tip of the needle. Once this is overcome, air starts to enter the stroma separating the lamellae and the intrastromal pressure builds up as the cornea gets completely aerated. At a critical tissue pressure, the air forces its way to the plane anterior to DL and cleaves this away from deep stroma as a type-1BB. The volume of air required to achieve the critical tissue pressure depends on the escape of air through the trabecular meshwork or through distinct peripheral holes in the stroma, during injection (ref 7, 8, 9). This confounder was eliminated by the use of the clamp, which prevented any escape of air thus giving us an accurate measure of the mean tissue pressure required to create a BB overcoming tissue resistance, which was 96.25 +/- 21.61 kpa. It has been recently demonstrated that air injected in the corneal stroma follows a consistent path regardless of the location, direction of bevel and depth of the needle tip in the stroma (ref 9)

Once a type-1BB was created the intra-bubble pressure was ascertained by advancing the needle into the cavity of the BB. This measured 10.16 +/- 3.65 kpa. In the ex-vivo situation of this study, it was possible to expand the type-1BB to its bursting point by continued forceful injection of air with the needle positioned in the cavity of the bubble. This situation would simulate increased intraocular pressure exerted on the layers during phacoemulsification carried out under the layers (DALK-triple). The lowest pressure at which a type-1BB burst was 40 kpa and the highest was 110 kpa. The mean bursting pressure was 66.65 +/- 18.65 kpa. Although we reported the bursting pressure in our original paper (ref 5) we refined the measurement by placing the needle tip in the type-1BB while increasing the pressure to bursting point. This approach eliminated any variations induced by the resistance of the stroma to the passage of air. Any effect of variable leakage of air from the periphery of the sclera-corneal was prevented by the use of the clamp. In addition, the accuracy of the measurements was enhanced by using the continuous digital pressure recording device.

A number of studies have reported the variations in intraocular pressure during phacoemulsification. By direct measurements during surgery Zhao Y et al found that the IOP fluctuated from 13-96 mm Hg (1.8-13.5 kpa) (ref. 10). Khng C et al state that IOP exceeded 60 mm Hg (8.4 kpa) and the highest IOP occurred during hydro-dissection, viscoelastic injection and intraocular lens insertion (ref. 11). Vasavada V et al compared the impact of different fluidic parameters on intraoperative IOP and found that the minimum IOP in the low and high parameters groups was 35 mm Hg (4.9 kpa) and 34.5 mm Hg (4.8 kpa) respectively, and the maximum IOP in the low and high parameters groups was

69 (9.7 kpa) and 85 (11.9 kpa) mm Hg respectively (ref. 12). In another study Kamae KK et al monitored IOP during IOL implantation and found that the mean and peak IOPs exceeded 60 mm Hg (8.4 kpa) during IOL implantation (ref. 13). In comparison, the data on bursting pressure of the DL+DM generated in this study show that the pressures attained during cataract surgery are several times less than what is required to burst the layers under which phacoemulsification can be carried out in the DALK-triple procedure. Even the lowest bursting pressure had a safety margin of over 25 kpa (177.5 mm Hg) compared to the highest pressure reached during phacoemulsification. This would indicate that DALK-triple is a viable option with regard to the risk of inadvertent rupture of the DL+DM layers intraoperatively.

When cataract and DALK surgery are required simultaneously; if the cornea is clear, one could consider performing phacoemulsification as the first step and DALK as the second step of the same procedure. However, when the cornea is scarred to an extent that visualisation is poor, a triple-DALK would be the preferred option. With triple-DALK, when air injection fails to produce a type-1BB, manual dissection allows access to the plane between the deep stroma and DL. Once the opaque cornea, related to the aeration of the stroma anterior the DL is removed, the transparent DL allows phacoemulsification to be carried out.

We were able to create both type-1 and type-2BB as reported by Dua et al 2013 however the type-1BB was more consistent occurring in 86.4% of the 22 sclero-corneal discs. The data provided in this study can help us develop an automated system whereby we can produce big bubbles in vivo with improved consistency.

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252 **CONFLICT OF INTERESTS**

253 The authors declare no conflicting interest to this work

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318 **Titles and legends to figures**

319 Figure 1: (a) Pressure converter system K-144, (b) Real pressure record over time (red
320 graph), the temperature of the pressure sensor (blue graph), the maximum pressure
321 (pink graph), the minus pressure (green line).

322 Figure 2: (a) pressure change over time (red line) in T1BB. (b) Pressure change over
323 time (red line) in T2BB.

324 Figure 3: Compares the pressure calculated from the volume compression of the syringe
325 and that measured directly with gauge ($p = 0.25$).

Table 1: Donor details for the sclera-corneal discs used in the experiments

Sample No	Eye Bank No.	Type of big bubble	Sex	Age	Date of death	Cause of death
E1955	M20599B	T1BB	F	67	08/05/2014	Stroke
E2168	M21433B	T1BB	F	60	29/12/2014	Other (unknown)
E2182	M21468A	T1BB	F	58	07/01/2015	Cancer
E2183	M21468B	T1BB	F	58	07/01/2015	Cancer
E2246	M21715A	T1BB	F	69	15/03/2015	Chronic obstructive pulmonary disease
E2187	M21447B	T1BB	F	65	02/01/2015	Pending
E2385	M22280B	T1BB	M	73	29/06/2015	Respiratory failure
E2347	M22237A	T1BB	F	52	17/06/2015	Encephalopathy
E2278	M22072B	T1BB	F	80	07/05/2015	sepsis
E2276	M22016B	T1BB	M	74	01/05/2015	Brain damage hypoxia
E2275	M22016A	T1BB	M	74	01/05/2015	Brain damage hypoxia
E2309	M21828B	T1BB	M	72	02/04/2015	Cronic obstructive pulmonary disease
E2326	M22034A	T2BB	F	75	04/05/2015	Myocardial infarction
E2348	M22237B	T2BB	F	52	17/06/2015	Encephalopathy
E2384	M22333A	T2BB	F	68	14/07/2015	Myocardial infarction
E2677	M22933B	T1BB	F	81	29/12/2015	Myocardial infarction
E2675	M22956B	T1BB	M	53	02/01/2016	Unknown
E2674	M22956A	T1BB	M	53	02/01/2016	Unknown
E2678	M22913A	T1BB	F	44	14/12/2015	Intracranial heamorrhage
E2679	M22913B	T1BB	F	44	14/12/2015	Intracranial heamorrhage
E2829	M23226B	T1BB	M	80	14/03/2016	Cancer
E2836	M23301B	T1BB	F	88	03/04/2016	Old Age

Table 2: Measurements of the big bubble

	Sample No.	Diameter(mm)	Intrabubble pressure(Kpa)	Bursting pressure(kpa)
T1BB	E1955	nm	nm	45
	E2168	7	12	60
	E2182	9	13	80
	E2183	8.5	14	73
	E2246	8.5	11.6	66
	E2187	8.5	18	40
	E2309	8.5	7.5	110
	E2275	8.5	7.5	78
	E2276	8.5	7.5	55
	E2278	nm	5.2	71
	E2347	8.5	6.8	76.8
	E2385	8.5	8.7	45
T2BB	E2326	10	nm	17
	E2348	10.5	nm	12
	E2384	10.5	nm	12.7

Abbreviations: nm, not measured; Kpa, Kilopascal.





