1 Late Quaternary climate change in the north-eastern highlands of Ethiopia: a high

2 resolution 15,600 year diatom and pigment record from Lake Hayk

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## 20 Abstract

21 Multi-proxy analyses of an 8 m sediment core from Lake Hayk, a closed, freshwater lake in

22 the north-central highlands of Ethiopia, provide a record of changing lake level and inferred

regional climatic change for the last 15.6 cal ka years. Between ca. 15.6-15.2 cal ka BP, a

24 lowstand was synchronous with Heinrich Event 1 and an intense drought across Eastern

25 Africa. At ca. 15.2 cal ka BP a lake began to develop at the core site in response to wetter

26 conditions, at the onset of the African Humid Period (AHP). However, in contrast to other

27 lakes in eastern Africa, Hayk lake level fell around ca. 14.8 cal ka BP, indicating a climate

28 shift towards aridity. The lake began filling again at ca. 12.3 cal ka BP and reached maximum

29 water depth between ca. 12.0-10.0 cal ka BP. Lake level declined slowly during the

30 Holocene, culminating in the termination of the AHP at Hayk between ca. 5.2-4.6 cal ka BP.

31 In the late Holocene, ca. 2.2-1.3 cal ka BP, Lake Hayk was again deep and fresh with some

32 evidence of short-term lake level variability.

34 The palaeo-record from Lake Hayk indicates that while it experienced, to a broad degree, the

- 35 same glacial-interglacial dynamics and sub-millennial shifts in climate found in other
- 36 palaeolimnological records from eastern Africa, there are offsets in timing and rate of
- 37 response. These differences reflect chronological discrepancies between records, as well as
- 38 the varying climate sensitivities and site-specific factors of individual lake basins. This record
- 39 highlights the different responses by lakes in a climatically vulnerable area of Ethiopia.
- 40

41 Key words: African Humid Period, Ethiopia, lake level, diatoms, pigments, Heinrich Event,
42 Holocene, palaeolimnology.

43

#### 44 **1. Introduction**

45 Northern Ethiopia is a climatically sensitive region due to its location close to the 46 northernmost limit of the Inter Tropical Convergence Zone (ITCZ). Even slight displacement 47 in position or change in strength of the monsoon system can cause switches between aridity 48 and moisture surplus. Sedimentary records from Lakes Ashenge (Marshall et al. 2009) and 49 Tana (Lamb et al. 2007a; Marshall et al. 2011; Costa et al. 2014) indicate that the region is 50 strongly influenced by millennial-scale variations in the monsoon system. However, local 51 site-specific mechanisms may have affected the expression of climate change during the late 52 Quaternary.

53

54 Lake Hayk (also spelled Hayq and Haik), close to Lake Ashenge, provides a test of the extent 55 to which site-specific effects influence the sedimentary record of climatic events. Here a 56 multi-proxy sedimentary record from Lake Hayk is presented, focussing on diatom and 57 photosynthetic pigment analyses. We examined the lake's palaeolimnological archive in 58 order to determine the nature and timing of millennial-scale hydrological changes during the 59 late Quaternary. These include Heinrich Event 1 (ca. 17.0-16.0 ka BP), the Younger Dryas 60 Stadial (ca. 12.8-11.6 ka BP), the African Humid Period, and more contested episodes such 61 as drought events at ca. 8.2 ka BP and ca. 4.2 ka BP. There is no clear consensus on the 62 abruptness of these events and uncertainty remains regarding the timing and expression of 63 these high-magnitude transitional periods based on the diverging response of biotic and hydrological systems. Differences are found not only between sites, but also within the same 64 65 lake; palaeo-shorelines and hydrological modelling at Lake Suguta provide contrasting 66 evidence of both a gradual and abrupt termination of the AHP (Junginger et al. 2013).

68 This gap in our understanding is amplified further by the uneven spatial representation of 69 high-resolution palaeo-records from Ethiopia. Attention has only recently focused on records 70 from the north (Lamb et al. 2007a; 2007b; Marshall et al. 2009; 2011) rather than the south, 71 Main Ethiopian Rift or mountain regions. Therefore, understanding of the timing, expression 72 and mechanisms of these climatic transitions in northern Ethiopia remains limited. This 73 paleao-record sheds light on the spatial and temporal expression of regional drivers of 74 climatic and hydrological change at a site affected by both the African and Indian Monsoon 75 systems.

76

#### 77 2. Regional Setting

78 The Ethiopian climate is characterised by strong rainfall seasonality caused by the annual 79 migration of the ITCZ. This gives rise to three seasons: intense rainfall from June to 80 September (kiremt), dry conditions from October to January (bega) and lesser rains from 81 February to May (the *belg*) (Umer et al. 2004). When superimposed over the large changes in 82 elevation over short distances, a patchwork of seasonal rainfall patterns and microclimates 83 can be identified across Ethiopia. Climate data from four weather stations within 50 km of the lake record total annual rainfall as 1000-1100 mm yr<sup>-1</sup> with most rainfall (~ 79%) falling 84 85 between April and September (Darbyshire et al. 2003). The mean annual temperature is 18°C 86 with a diurnal range of 8-23°C.

87

Lake Hayk (11°20'53''N, 39°42'32''E; 1920 m altitude) lies on the eastern margin of the 88 89 north-central highlands in the South Wollo province of the Amhara region, northern Ethiopia 90 (Fig. 1). The lake lies in a graben in Tertiary volcanic bedrock. It has a surface area of 23.2 91 km<sup>2</sup>, and a catchment area of 65.0 km<sup>2</sup>. It is a deeply shelving lake with a mean depth of 37.4 92 m. In 1938 the maximum lake depth was 88.8 m but this had decreased to 81.0 m by 2000 93 (Lamb et al. 2007b). The main input to the lake is the Ankwarka River but there is no surface 94 outlet. An apparent palaeochannel indicates that the nearby Lake Hardibo previously 95 overflowed into Lake Hayk. The palaeochannel is now permanently dry and would require a 96 16-18 m rise in water level at Lake Hardibo to cause overflow again (Ghinassi et al. 2012). 97 Occasional water flow now occurs between the lakes through an artificial irrigation canal. A 98 second palaeochannel indicates that Lake Hayk overflowed during highstands into the Wazi 99 River, a tributary of the Mille River which ultimately joins the Awash River in the south of 100 the Afar Depression. It is estimated that a rise of approximately 40 m would be required to 101 make Lake Hayk overflow again (Lamb et al. 2007b). Lake level changes in the 1970s and

- 102 1980s demonstrate the lake's hydrological sensitivity to inter-annual climate change and to
- 103 human impact, largely through catchment land use (Yesuf et al. 2013).
- 104
- 105 Lake Hayk is fresh, with a conductivity of 920  $\mu$ S cm<sup>-1</sup> (Williams 1967; Gasse 1986) and pH
- 106 9 as recorded in 1969 (Baxter and Golobitsch 1970). The water balance of the lake is
- 107 relatively well understood given the availability of hydrometeorological data, field
- 108 hydrogeological investigations and groundwater modelling (Demelie et al. 2007). Total
- annual inflow from precipitation, catchment runoff and rivers into Lake Hayk is 45.2 million
- 110 m<sup>3</sup>. Evaporation is the main water loss from the lake (65%), which combined with abstraction
- 111 for irrigation, makes an annual loss of  $34.6 \times 10^6 \text{ m}^3$ . Groundwater flows play a significant
- role in the lake's hydrology, with inflow  $(5.9 \times 10^6 \text{ m}^3 \text{ yr}^{-1}, 11\%)$  about a third of outflow  $(1.4 \times 10^6 \text{ m}^3 \text{ yr}^{-1}, 11\%)$
- 113 x  $10^6$  m<sup>3</sup> yr<sup>-1</sup>, 3%) (Lamb et al. 2007b). Oxygen isotope composition of the lake water ranged
- 114 from +7.1 to +9.1 ‰ between 1975 and 2001, indicating evaporative enrichment relative to
- 115 the mean annual precipitation value of -1.2 ‰ and confirming the role of evaporation as a
- 116 major influence on lake hydrology (Lamb et al. 2007b).
- 117

#### 118 **3. Materials and Methods**

#### 119 3.1 Field Sampling

- 120 Core Hayk-01-2010 (hereafter Hayk-10) was recovered from Lake Hayk in January 2010,
- 121 using a UWITEC hammered piston corer, with a 5.8 cm diameter and 210 cm barrel. It was
- 122 operated from a raft anchored in the lakes' northern basin at 78.2 m water depth (Fig. 1).
- 123 Beginning 89 cm below the sediment surface, ca. 1200 cm was recovered as nine drives
- 124 (hence the upper section was not sampled in 2010, rather than representing a sedimentary
- hiatus; see Lamb et al. 2007b).. The cores were stored under refrigeration at Aberystwyth
- 126 University in the original plastic core liners until split longitudinally for analysis in 2013.
- 127

#### 128 3.2 Sedimentology

- 129 The Troels-Smith sediment classification scheme was applied to characterise and define the
- 130 lithostratigraphic units of core Hayk-10 (Schnurrenberger et al. 2003). Organic ( $C_{org}$ ) and
- 131 carbonate content (CO<sub>3</sub><sup>2-</sup>) was estimated at approximately 2 cm intervals using loss-on-
- 132 ignition (LOI) at 550°C and 925°C, following standard methodology (Dean 1974). Loss-on-
- 133 ignition data served as the primary tool for identifying any depth discrepancies and overlap

- between cores, in conjunction with chronological and diatom data; based on overlapping
- sections, the composite core Hayk-10 was deemed to be 822 cm in length.
- 136

#### 137 3.3 Radiocarbon chronology

138 In the absence of macrofossils and sufficient charcoal, fourteen bulk organic matter samples 139 were extracted for AMS <sup>14</sup>C dating. Samples were treated following standard techniques; 140 nine were sent to the 14CHRONO Centres' radiocarbon dating laboratory at Queens 141 University Belfast and five to the Oxford Radiocarbon Accelerator Unit at the University of 142 Oxford. Radiocarbon ages were corrected for isotope fractionation using the  $\delta^{13}$ C measured 143 by AMS. Results are reported as conventional radiocarbon years before present (yr BP) 144 relative to AD 1950 and calibrated using CALIB Rev 7.0.2 (Stuiver and Reimer 1993). The 145 median probability of age is based on weighted average, two sigma probability distributions. 146 An age-depth model was constructed based on a 0.4 span smooth spline interpolation using

- 147 the programme CLAM (Blaauw 2010).
- 148

#### 149 3.4 Diatom analysis and conductivity reconstructions

- 150 Diatom preparation and analyses followed a standard methodology with counts at non-
- 151 contiguous 0.5-2.0 cm intervals. Samples were mounted in Naphrax and counted under oil-
- 152 immersion phase-contrast light microscope at x 1000 magnification using a Leica DMRA
- 153 research microscope. At least 300 valves were counted per sample, except where diatom
- abundance was especially low. Percentage data are reported for all counts >50 valves.
- 155 Taxonomy follows Krammer and Lange-Bertalot (1988; 1991; 1999), Gasse (1986), Hustedt
- 156 (1949) and Patrick and Reimer (1966), with reference also made to other regional flora such
- 157 as Cocquyt et al. (1993) and Cocquyt (1998). Diatom concentration was estimated by adding
- a known number of microspheres to the samples.
- 159
- 160 Assemblage zones were determined using the optimal sum of squares portioning method in
- 161 the package PsimPoll 4.27 (Bennett 1995-2007). Statistically significant splits were identified
- 162 using a broken-stick model (Bennett 1996). Ordination of diatom data was carried out using
- 163 PCA on Hellinger-transformed data within Canoco 4.54.
- 164
- 165 Diatom data were used to make palaeosalinity inferences using the EDDI (European Diatom
- 166 Database at http://craticula.ncl.ac.uk/Eddi/jsp/index.jsp) combined African dataset.
- 167 Additionally, selected European sites from the EDDI database were added to the African

168 training set to provide analogues for the earlier part of the Hayk sequence, most significantly 169 for the species Cyclotella ocellata. This planktonic freshwater diatom was common (40-170 100%) in one section of the core (diatom zone Hayk-1b; 748-716 cm), but is poorly 171 represented in the EDDI African training set (maximum abundance 8.5%), where its 172 distribution is skewed to more saline sites, although unequivocally known to be freshwater. 173 Its presence in other Ethiopian and African records has also resulted in problems with 174 palaeosalinity inferences using the EDDI dataset (Chalié and Gasse 2002a; Marshall et al. 175 2009). Analogue matching was used to find sites most similar among the European EDDI 176 dataset, and these were carefully inspected before adding to the African salinity training set. 177 The frequency distribution of C. ocellata against conductivity in this hybrid training set was 178 further examined and several African sites removed that skewed this towards higher values 179 (the model was also tested on another model with only sites added and the differences in 180 performance and inference were negligible; see Loakes 2015 for further detail). Twelve 181 European sites were added to the model with up to 68.4% C. ocellata. Transfer function 182 development was carried out in C2 v.1.7.2 (Juggins 2007). The new training set comprised 183 251 sites and 852 species. Weighted averaging (WA) was used to produce the final model, as 184 WA-PLS did not improve the performance assessed against RMSEP, with WA with inverse deshrinking performing best ( $r^2 = 0.843$ ; RMSEP = 0.466 log units). 185

186

187 A simplified lake level curve, representing maximum depth at a relatively coarse temporal 188 resolution, was based on comparison of modern conductivity (measured in 1969) and lake 189 level (920  $\mu$ S cm<sup>-1</sup>, 81 m), and inferences from the sedimentology, and fossil diatom and 190 pigment assemblages. For example, modern analogues of European lakes where Cyclotella 191 ocellata (found towards the base of the Hayk sequence) is important, imply a maximum lake 192 depth < 20 m (EDDI database). Similarly, the presence of green sulphur bacterial pigments 193 (e.g. isorenieratene), and sections of finely laminated sediments, imply a water column deep 194 enough for long-term anoxic stratification (meromixis). Together with knowledge of the 195 autecology of other key diatom taxa (Aulacoseira, Stephanodiscus, long and slender 196 Fragilaria, Ulnaria and benthic/epiphytic diatoms), we inferred the most likely maximum 197 depth for core sections using this combined suit of multiproxy data.

198

#### 199 3.5 Photosynthetic pigment analysis

200 Sedimentary pigments from freeze-dried samples at non-contiguous 0.5-14.0 cm intervals and 201 weighing approximately 200 mg, were extracted following standard methodology. High

202 performance liquid chromatography (HPLC) analysis was conducted using a modification of 203 the method of Chen et al. (2001) on an Agilent 1200 Series separation module with 204 Quaternary pump, autosampler, ODS Hypersil column (205 x 4.6 mm; 5 µm particle size) 205 and the mobile phase of three solvents: solvent A (80: 20, methanol: 0.5 M ammonium 206 acetate), solvent B (9: 1, acetonitrile: water) and solvent C (ethyl acetate). Pigments were 207 identified and quantified by comparing retention times and the absorbance spectra of 208 chromatogram peaks with an authentic standard under the same separation conditions (Roy et 209 al. 2011). As commercial standards were unavailable for bacteriochlorophyll e (bchl e), 210 isorenieratene and chlorobactene, they were identified based on their relative chromatogram 211 positions and their concentrations were estimated by using calibration constants for 212 chlorophyll *a* (for bacteriochlorophyll *e*) and for lutein (isorenieratene, chlorobactene). 213 Assemblage zones were determined using the sample method as applied to the diatom

- stratigraphy, after being normalised using a  $\log(x + 1)$  transformation.
- 215

#### 216 4. Results and limnological interpretation

#### 217 4.1 Chronology and lithology

The age-depth model shown in Figure 2 is based on 14 AMS <sup>14</sup>C dates (Table 1). Hayk-10 218 219 covers the period from 15,600-1,350 cal yr BP (the period 1,350 cal yr BP to present was not 220 retrieved during coring). Sedimentation rates vary over five main periods: (1) 15,600 -221 15,450 cal yr BP (822.0 – 789.0 cm) sediment accumulation rate is relatively stable and high, 222 averaging 0.2 cm yr<sup>-1</sup>; (2) 15,450 – 12,200 cal yr BP (789.0 – 541.0 cm) sedimentation 223 decreases to 0.05 cm yr<sup>-1</sup> before increasing; (3) 12,200 - 11,800 cal yr BP (541.0 - 474.0 cm) 224 accumulation is stable averaging 0.2 cm yr<sup>-1</sup>; (4) 11,800 cal yr BP, sedimentation declines to 225  $0.01 \text{ cm yr}^{-1}$  (296.25 cm) before increasing; (5) 2,500 - 1,350 cal yr BP (200.0 - 89.5 cm) 226 sedimentation averages 0.1 cm yr<sup>-1</sup>. These rates are generally comparable to average 227 sedimentation across the larger East African Rift Lakes, such as Lakes Malawi and 228 Tanganyika, during the Holocene (0.1 cm yr<sup>-1</sup>; Johnson 1996). 229 230 Three lithostratigraphic units are defined in core Hayk-10 based on variations in composition 231

- and physical properties of the sediment layers (Fig. 3). The basal, and smallest unit (L-I, 822-
- 232 797 cm) consists of stiff black clay, intermixed with fine sand. Organic matter (6.5-7.1%),
- carbonate (4.8-6.5%) and water content (36.2-38.3%) are low in this unit. Accumulation rates
- for dry mass, organic and minerogenic matter are at maximum values. The overlying unit (L-
- II, 797-595 cm) is composed of grey gyttja intermixed with silt. Between 739.0-754.5 cm

- faint marl laminations are identifiable at irregular intervals. Organic matter remains low (4.7-
- 237 10.8%). Carbonate content varies substantially (3.0-44.7%), whilst water content increases
- 238 (37.6-75.8%). Dry mass, organic matter and minerogenic accumulation rates all plateau in
- this unit, whereas calcium carbonate accumulation is higher in the mid-zone (750-710.5 cm).
- 240 The largest unit (L-III, 595-89 cm) consists of brown gyttja intermixed with silt. Traces of
- 241 plant material are found in the top 70 cm. The unit contains irregularly spaced, thick (>1-7
- 242 mm) laminations consisting of a yellow, pulp-like material alternating with darker organics
- 243 (Fig. 3). Smear slides of this yellow material show that it contains dense mats of the long,
- slender diatom taxa *Fragilaria* and *Ulnaria*. Organic matter reaches maximum values
- between 340-298 cm (38.0-30.7%) after which it declines. Water content is highest in this
- 246 unit (74.8%). Dry mass, organic matter, minerogenic and calcium carbonate accumulation
- rates plateau in the mid-zone before increasing between 196-89cm. The top 89cm of sediment
- were collected in the field.
- 249

#### 250 4.2 Diatom and pigment data

- The Hayk-10 sediment sequence is divided into nine diatom assemblage zones with taxa categorised and interpreted by habitat (Fig. 4). A summary diagram is presented in Figure 5.
- 253

#### 254 4.2.1. Hayk-1a (822-748 cm, 15,600-15,200 cal yr BP)

255 In this zone Lake Hayk was at a lowstand, expressed by negligible concentrations of diatoms 256 and traces of benthic and aerophilous taxa. The presence of Hantzschia amphioxys provides 257 evidence of a shallow lake environment and indicates sediment reworking from marginal or 258 exposed areas in the catchment. This is in agreement with the compacted nature of the 259 sediment as well as the low water content, which suggests the core site may have been 260 exposed at times, possibly alternating between longer periods of dry, saline conditions and 261 short wet episodes, when runoff in the catchment would have been channelled down the 262 steep-sided lake basin to the core site. Diatom-inferred conductivity (800-9,000 µS cm<sup>-1</sup>) and 263 variable preservation indicate the core site was slightly oligosaline to mesosaline, which may 264 have prevented vegetation and soils from developing (Hammer 1986). It was most likely an 265 ephemeral lake, which dried out repeatedly during this time.

266

267 Pigment accumulation rate was similarly low during this period (< 450 pmol cm<sup>-2</sup> yr<sup>-1</sup>),

- 268 indicating poor preservation conditions. Over exposure to light, oxygen rich and high
- temperature conditions in a very shallow lake habitat, as well as physical reworking of the

sediments and occasional drying out may have degraded pigments in the water column and in
the uppermost sediments, preventing them from being incorporated into the fossil record.

272

#### 273 4.2.2 Hayk-1b (748-716 cm, 15,200-14,800 cal yr BP)

274 The diatom record is dominated by Cyclotella ocellata (42-100%) during Hayk-1b, indicating 275 an increase in lake depth and a degree of water permanence, caused by wetter conditions. The 276 taxon has a wide ecological tolerance in terms of trophic state, being present in ultra-277 oligotrophic to eutrophic lakes and is able to maintain itself in both deep water and littoral 278 environments, although it is only found above 50% abundance within the European database 279 in lakes < 20 m deep (Gasse et al. 1989; Cremer and Wagner 2003). Valve preservation 280 however is poor (**F** index ca. 0.3) and may be biased by differential preservation (Ryves et al. 281 2006; see Discussion).

282

283 Sedimentary pigments (12.5-225.0 nmol pigments g<sup>-1</sup> OM) are also poorly preserved. There

is a greater diversity of carotenoids as they are generally more stable than labile chlorophylls.

285  $\beta$ -carotene (most algae and plants; 0.6 nmol pigments g<sup>-1</sup> OM) is a stable carotenoid, as is

canthaxanthin (colonial cyanobacteria and herbivore tissues; 0.8 nmol pigments g<sup>-1</sup> OM),

indicating the core site may have experienced nutrient enrichment at this time. However,

288 limited preservation precludes evaluation of phytoplankton community changes in this zone.289

#### 290 4.2.3 Hayk-1c (716-553 cm, 14,800-12,300 cal yr BP)

291 The diatom record indicates an end to the wetter conditions at ca. 14,800 cal yr BP and a 292 return to shallow lake conditions at the core site, evidenced by the decline and disappearance 293 of C. ocellata (< 5%). The intermittent presence of planktonic, facultatively planktonic and 294 benthic taxa found in trace amounts suggests a fluctuating lake level; the core site may have 295 been occasionally wet and fresh at times (however diatoms present are too few to permit any 296 solid interpretation) but otherwise low, repeatedly drying out preventing the preservation of 297 diatom taxa. Sedimentary pigments likewise indicate a poor preservation environment, based on the irregular pigment content.  $\beta$ -carotene (0.6 nmol pigments g<sup>-1</sup> OM) and canthaxanthin 298 (0.8 nmol pigments g<sup>-1</sup> OM) are the only pigments to appear consistently throughout this 299 300 zone, suggesting reduced productivity due to repeated desiccation of a shallow lake.

301

#### 302 4.2.4 Hayk-2a (553-376 cm, 12,300-10,300 cal yr BP)

The transition from a shallow water body to a deeper, stable lake occurs at the start of this zone. The diatom assemblage is characterised by *C. ocellata* (24%) and *C. cyclopuncta* 

305 (18%), which are often found together today in moderately deep, oligotrophic to mesotrophic

306 lakes in Europe (EDDI; Scussolini et al. 2011).

307

The periphytic and facultatively planktonic assemblage indicate that the lake level remained low, with the core site close to the littoral zone, while the presence of aerophilous forms such as *H. amphioxys* (2%) and littoral/subaerial *Nitzschia amphibia* (4%) may indicate sediment reworking from exposed margins of the basin (Chalié and Gasse 2002b). Diatom-inferred conductivity confirms this variability in hydrology, with the lake interpreted as fresh with

313 intermittent subsaline intervals (150-1,000  $\mu$ S cm<sup>-1</sup>).

314

315 The increased diversity of sedimentary pigments (81.6 nmol pigments g<sup>-1</sup> OM) indicates that

316 preservation conditions at the core site improved. Diatoxanthin (siliceous algae; 1.0 nmol

317 pigments  $g^{-1}$  OM), bacteriochlorophyll *e*, isorenieratene and chlorobactene (green sulphur

bacteria; 1.6, 1.8 and 1.2 nmol pigments g<sup>-1</sup> OM respectively) establish at this time. This

319 indicates an availability of fresh, benthic conditions and occasional meromixis and bottom

320 water anoxia, as documented in West Greenland lakes (McGowan et al. 2008).

321

322 Between approximately 12,100-12,050 cal yr BP a unique peak occurs in the record,

323 characterised by the appearance of *Stephanodiscus parvus* (82%), which alternates in

dominance with *Aulacoseira granulata* var. *angustissima* (27-91%). Within the constraints of

dating, these seemingly multi-decadal fluctuations (ca.20 - 40 yrs) may suggest oscillations in

326 nutrient availability (primarily the Si:P ratio) expressed as dominant decadal blooms, with

327 high Si:P favouring *Aulacoseira* and low Si:P, *Stephanodiscus*. Both are planktonic taxa,

indicating a deep, fresh (DI conductivity, ca. 90  $\mu$ S cm<sup>-1</sup>) lake and both can tolerate low light

329 conditions (Kilham et al. 1986). Periods of *Aulacoseira* dominance suggest deeper mixing

330 events bringing Si into the photic zone, while *Stephanodiscus* periods suggest stable

331 stratification (reducing upwelling Si from depth).

332

*A. granulata* var. *angustissima* comes to dominate the diatom assemblage around 12,050 cal

334 yr BP (82%), signalling moderately alkaline, eutrophic conditions (Kilham et al. 1986). Its

increase in abundance in conjunction with the abrupt decline and disappearance of *S. parvus* 

indicates a greater availability of silicon in the lake, most likely a result of a major rise in lake

depth and area, deeper mixing year-round and frequent upwelling of Si to the upper waters inresponse to a wetter climate.

339

340 A deep, stratified and productive lake system is in agreement with the sedimentary pigment 341 record. High biological productivity is evidenced by the rapid increases in diatom and total pigment accumulation rates (67.9 x 10<sup>6</sup> valves cm<sup>-2</sup> yr<sup>-1</sup> and 2,455 picomol cm<sup>-2</sup> yr<sup>-1</sup> 342 343 respectively). The phytoplankton community is relatively stable in this zone with evidence of 344 chlorophytes and cyanobacteria (lutein-zeaxanthin 2.3 nmol pigments g<sup>-1</sup> OM), cryptophytes (alloxanthin 1.4 nmol pigments g<sup>-1</sup> OM), siliceous algae (diatoxanthin 1.3 nmol pigments g<sup>-1</sup> 345 346 OM), which indicate the lake was most likely productive (McGowan 2013). The high concentrations of pheophorbide a (2.5 nmol pigments  $g^{-1}$  OM) alongside low chlorophyll a 347 348 concentrations (all algae and cyanobacteria, 0.8 nmol pigments g<sup>-1</sup> OM), signal that 349 zooplankton populations may have become established (Hurley and Armstrong 1990). Green 350 sulphur bacteria (bacteriochlorophyll e, isorenieratene and chlorobactene; 1.4, 2.1 and 1.7 nmol pigments g<sup>-1</sup> OM respectively) indicate strong stratification and likely a chemocline, 351 352 with long term anoxia in the hypolimnion (Hodgson et al. 1996). Excellent diatom 353 preservation (F index = 0.99) with high sediment accumulation rates agrees with inferences 354 of meromixis and deep water anoxia, reducing degradation of organic coatings on diatom 355 frustules and enhancing silica preservation (Ryves et al. 2006).

356

Lake Hayk was most likely at its maximum extent and depth between 12.0-10.3 cal ka BP. The lake probably received overflow from Lake Hardibo at this time, assuming that Lake Hardibo was also at a highstand in response to the wetter, humid conditions. The overflow into Hayk would have caused its surface area to increase by up to three-fold (Ginassi et al. 2002), allowing wind-driven mixing to dominate nutrient dynamics. Lake Hayk would have potentially overflowed into the Wazi River, creating a hydrologically open system, requiring the lake to have been ca. 40 m higher than present (Lamb et al. 2007b).

364

#### 365 4.2.5 Hayk-2b (376-299 cm, 10,300-6,500 cal yr BP)

Between 10.3-6.5 cal ka BP a gradual water level and lake area decline is inferred at Lake

367 Hayk. A. granulata var. angustissima continues to dominate (96%) but abundance declines in

368 conjunction with increases in facultatively planktonic and benthic taxa. The increase in

- 369 Ulnaria ulna (71%) indicates availability of macrophytes in shallow benthic areas. Increases
- 370 in the planktonic Ulnaria delicatissima (51%) and facultatively planktonic Fragilaria radians

- 371 (63%) may also be indicative of lake level decline; Gasse (1986) identifies these varieties as
- important components of the plankton and bottom mud in small, shallow lakes, although it is
- also present in deeper lakes. This assemblage indicates that water level was beginning to
- decline but the lake remained alkaline and fresh, confirmed by diatom-inferred conductivity
- 375 (170  $\mu$ S cm<sup>-1</sup>).
- 376
- 377 Green sulphur bacteria continued to dominate the pigment record (bacteriochlorophyll e, 378 isorenieratene and chlorobactene; 1.0, 2.4 and 1.6 nmol pigments g<sup>-1</sup> OM respectively), 379 indicating the lake remained deep enough to be meromictic. Cryptophytes, chrysophytes and 380 dinoflagellates decline in this zone but total algal abundance remains relatively stable (9.5 381 nmol pigments g<sup>-1</sup> OM), indicating productivity did not decline. Diatom (8.2 x 10<sup>6</sup> valves cm<sup>-</sup> 382  $^{2}$  yr<sup>-1</sup>) and pigment (552 pmol cm<sup>-2</sup> yr<sup>-1</sup>) accumulation rate falls markedly from the previous 383 zone. This marks a hydrological threshold when we suggest the lake essentially ceased to overflow into the Wazi River. 384
- 385

#### 386 4.2.6 Hayk-3a (299-280 cm, 6,500-5,200 cal yr BP)

387 The diatom assemblage in Hayk-3a suggests the water level continued to fall, evidenced by 388 further declines in A. var. angustissima (53%). Green sulphur bacteria indicate that Lake 389 Hayk was still meromictic however, suggesting that lake depth had not declined to such an 390 extent that the waterbody was completely mixed. The rapid increase in abundance of F. 391 radians (83%) may indicate the point at which the permanent connection to Lake Hardibo 392 was lost, resulting in lower lake level, reduced lake area and a relative decline in deeper 393 mixing. The lake remained fresh (180  $\mu$ S cm<sup>-1</sup>), implying salts were effectively removed 394 through groundwater outflow, and somewhat alkaline (Gasse 1986).

395

Organic matter content remains high (ca. 20% OM), whilst accumulation rates for diatoms (5.5 x 10<sup>6</sup> valves cm<sup>2</sup> yr<sup>-1</sup>), total pigments (115 picomol cm<sup>-2</sup> yr<sup>-1</sup>) and dry mass (2.8 mg cm<sup>-2</sup> yr<sup>-1</sup>) are low. While productivity was likely lower, this may be exaggerated by reduced sediment focussing at lower lake level as the basin filled with sediment. Diatom preservation remains good but shows a steady decline since the early Holocene, in keeping with inferences about increasing DI-conductivity and reducing lake level, both contributing to diatom dissolution.

403

#### 404 4.2.7 Hayk-3b (280 - 257 cm, 5,200-3,950 cal yr BP)

405 A major water level decline is inferred at Lake Hayk between 5.20-3.95 cal ka BP, probably 406 as a further depth threshold was crossed in response to continued long-term decline in 407 effective moisture since the early Holocene. The littoral/benthic Gomphonema parvulum 408 (20%) and G. pumilum (5%) increase in abundance, alongside an increase in the diversity of 409 other periphytic taxa. This would suggest that as lake level declined, shallow near-shore 410 sections of the lake basin were exposed and colonised, creating a greater availability of 411 macrophyte habitat (Cocquyt 1998). The lake was most likely shallower than its modern state 412 (approximately 80-90 m maximum) and hydrologically closed (Umer et al. 2004; Lamb et al. 413 2007b).

414

415 Despite the decline in water level, Lake Hayk was still at least occasionally meromictic

416 (potentially due to being shielded from deep wind mixing within its catchment), evidenced by

417 the presence of green sulphur bacteria (isorenieratene and chlorobactene, 1.8 and 1.3 nmol

418 pigments g<sup>-1</sup> OM respectively), while pigments indicate populations of green algae,

419 cryptophyte, dinoflagellate and chrysophytes. Diatom preservation declines marginally (F

420 index of 0.8) while DI-conductivity rises persistently throughout the zone (200-300  $\mu$ S cm<sup>-1</sup>),

- 421 which is consistent with hydrological closure.
- 422

#### 423 4.2.8 Hayk-4a (257-173 cm, 3,950-2,200 cal yr BP)

424 The zone is largely dominated by *U. ulna* var. *ulna* (94%) and *U. delicatissima* (93%),

425 although *Gomphonema* taxa remain important, as well as other benthic and facultatively

426 planktonic taxa, indicating the proximity of the core site to the shore. The aerophilous *H*.

427 amphioxys (4%) and Nitzschia amphibia var. amphibia (4%) increase in abundance,

428 signalling sediment reworking and inwash from the catchment, or large scale colonisation of

429 wetter margins, washing in littoral diatom valves.

430

431 The preservation of chlorophyll *a* (1.3 nmol pigments g<sup>-1</sup> OM) indicates increased availability

432 of phosphorus and a decrease in lake depth; potentially the diversity of benthic substrates

433 would have encouraged growth of algae in the shallow regions of the lake, while also

434 improving preservation by reducing sinking depth (McGowan 2013). Fucoxanthin (siliceous

435 algae; 1.8 nmol pigments g<sup>-1</sup> OM) also indicates improved preservation as it is particularly

- 436 labile (McGowan 2013). Many chlorophyll degradation products are present however,
- 437 indicative of mixing of the water column and an oxygenated photic sediment surface (Leavitt
- 438 and Brown 1988). Overall, the diatom and algal assemblage is typical of shallow, fresh-

subsaline, mesotrophic-eutrophic eastern African lakes with an availability of epiphytic and
epilithic habitats (Gasse 1986).

441

Lake Hayk was at a Holocene lowstand, with DI conductivity reaching modern values on several occasions, with a maximum of  $1800 \ \mu\text{S} \ \text{cm}^{-1}$  at ca. 3,000 yr BP (230 cm), twice the modern value. Salt removal was less effective through reduced groundwater flows, allowing the lake to become subsaline at times. Potentially Lake Hayk may have declined to 30 m or less, where the deeply shelving morphometry gives way to a larger, shallow expanse on the lake bottom, providing suitable habitat for benthic and periphytic algae.

448

#### 449 4.2.9 Hayk-4b (173-89 cm, 2,200-1,350 cal yr BP)

450 A. granulata var. angustissima (97%) exhibits a rapid return to dominance, while the 451 abundance and diversity of periphytic taxa generally decline, signifying a return to deep 452 water with deep mixing. The disappearance of chlorophyll a also indicates an increase in lake 453 depth (reducing preservation), which in conjunction with the re-establishment of green 454 sulphur bacteria (bacteriochlorophyll e, isorenieratene and chlorobactene; 1.9, 2.1 and 1.6 455 nmol pigments g<sup>-1</sup> OM respectively) implies the reoccurrence of meromixis and an anoxic 456 hypolimnion. The rising sedimentation rate also points to a return to high lake level through 457 enhanced sediment focussing, increasing diatom accumulation rates. DI conductivity 458 indicates a return to freshwater conditions for much of the zone (ca.200  $\mu$ S cm<sup>-1</sup>), although 459 values are on the cusp of being subsaline (670  $\mu$ S cm<sup>-1</sup>) in the early part, indicating a steady 460 recovery rather than abrupt transition.

461

462 Lake depth may have been greatest at ca. 2 ka BP, when A. granulata var. angustissima 463 dominates the diatom record, and diatom accumulation rate reaches levels not seen since the 464 early Holocene. However, while there are strong similarities with conditions at the start of the 465 Holocene, fluctuations in both diatom and pigment assemblages suggest rapid changes in 466 limnological conditions and lake level. This is shown by major decreases in A. granulata var. 467 angustissima in conjunction with increases in U. ulna var. ulna (82%) and U. delicatissima 468 (4%). The phytoplankton assemblage is also indicative of short-term fluctuations in lake level 469 as peaks in dinoflagellates, chrysophytes, euglenophytes and colonial cyanobacteria occur 470 (diatoxanthin, lutein-zeaxanthin, canthaxanthin, 1,4, 2.8 and 1.5 nmol pigments g<sup>-1</sup> OM 471 respectively), synchronously with peaks in Ulnaria ulna. 472

#### 473 5. Discussion: Late Quaternary regional palaeohydrology and palaeoclimatology

- The results are examined below in the context of other Ethiopian, eastern African and
- 475 intertropical African palaeoenvironmental records from the late Pleistocene and Holocene,
- 476 listed in Table 2 and displayed graphically in Figures 6-8. When comparing records,
- 477 consideration has been given to differences and discrepancies in dating methods and
- 478 associated chronological accuracy and precision.
- 479

#### 480 5.1 The Late Pleistocene, 15.6-15.2 ka BP

481 The lowstand recorded at Lake Hayk at the start of the sequence ca. 15.6 ka BP reflects arid 482 conditions throughout eastern and southern Africa and Asia associated with Heinrich Event 1 483 (HE-1; centred on 17-16 ka BP; Stager et al. 2011; Fig. 6). The collapse of the Afro-Asian 484 Monsoon systems at this time and the regional weakening of the ITCZ have been linked to changes in Indian Ocean sea surface temperature (SST), through teleconnections from North 485 486 Atlantic cooling following the iceberg rafting event of HE-1 (Denton et al. 2010). Arid events 487 in other lakes and rivers across eastern and southern Africa and Asia, including the 488 desiccation of the River Nile, at this time have been linked to HE-1; records from Lake 489 Challa (Barker et al. 2013), Lake Bosumtwi (Peck et al. 2004), the Niger-Sanaga and Congo 490 watersheds (Weijers et al. 2007; Weldeab et al. 2007) and northern Borneo (Partin et al. 491 2007) all indicate drying in response to HE-1.

492

493 The start of this arid phase at Hayk is not known as it precedes the base of the sequence at ca. 494 15.6 ka BP, but arid events recorded in other northern Ethiopian lake records are consistent 495 with the timing of HE-1. At Lake Tana, Lamb et al. (2007a) using a simple hydrological 496 model to reconstruct rainfall, estimated that precipitation was at most 40% of modern values. 497 Lake Tana dried out sometime after 18.7 ka BP and remained closed until 15.7 ka BP when a 498 Cyperus swamp developed at the centre of the lake basin (Marshall et al, 2011). Lake 499 Ashenge may also have been exposed between 17.2-16.2 ka BP, evidenced by a relatively 500 slow accumulation rate, compacted sediments and presence of aerophilous and other lake 501 marginal diatom taxa (Marshall et al. 2009). The high rate of sediment accumulation inferred 502 at Hayk at this time (Fig. 3[a]), despite ephemeral conditions, may be due to both greater 503 minerogenic inputs from the catchment (e.g. fine sand; Fig. 3) and less certain dating control 504 (with a wide dating envelope modelled in the base of the core; Fig. 2). 505

#### 507 5.2 Start of the African Humid Period, 15.2-14.8 ka BP

508 An abrupt (ca. 100 years) shift towards wetter, more humid conditions at Lake Hayk is 509 inferred at ca. 15.2 cal ka BP, evidenced by lithological changes and by the abundant pioneer 510 freshwater diatom C. ocellata (Fig. 4). This transition coincides with the timing of rapid 511 refilling at other tropical lake sites across Ethiopia and eastern Africa caused by increased monsoon strength at the onset of the AHP at ca. 15 ka BP (Figs. 6 and 7). The rejuvenation of 512 513 the African-Indian monsoonal circulation has been attributed to the precessional increase in 514 northern hemisphere summer insolation (Adkins et al. 2006). However, the onset of wetter 515 conditions appears to vary in timing and rapidity among sites (Costa et al. 2014). This may 516 reflect the varying behaviour of individual lake systems, as well as regional and topographic

- 517 differences in air mass trajectories.
- 518

519 At Lake Ashenge an early return of wet conditions in the Ethiopian highlands is documented

520 between ca. 16.2-15.2 ka BP, inferred from lake level rise following HE-1 (Marshall et al.

521 2009; Fig 7[a]).). At Lake Tana, magnetic and geochemical data indicate abrupt lake

deepening and flooding at 15.3-15.2 ka BP (Marshall et al. 2011; Loomis et al. 2015),

523 causing the lake to overflow into the Blue Nile. This occurred at the same time as refilling at

Lakes Victoria and Albert, the sources of the White Nile (Williams 2009). As a result, flow in

525 the main River Nile re-established between 14.7-14.5 ka BP (Williams 2009; Box et al.

- 526 2011).
- 527

528 Elsewhere in eastern Africa, lake deepening at the onset of the AHP occurred in the Ziway-

529 Shala basin (14.5 ka BP; Grove et al. 1975), Lake Turkana (14 ka BP; Morrissey and Scholz

- 530 2014), Chew Bahir (14.5 ka BP; Foerster et al. 2012), palaeo-Lake Suguta (14.8 ka BP;
- 531 Garcin et al. 2009) and at Lakes Magadi (Roberts et al. 1993), Manyara (Barker et al. 2004),
- 532 Nakuru (Richardson and Dussinger 1986), Challa (Tierney et al. 2011; Barker et al. 2013)
- and Tanganyika (Gasse et al. 1989; Costa et al. 2014) around 15 ka BP (Fig. 7[a]). Refilling
- 534 was not a rapid, linear process at all sites however, and some lakes such as Lakes Ashenge

and Tanganyika show oscillations in lake level during this time.

536

537 Discrepancies in the timing of the AHP onset, due to factors other than chronological

538 uncertainty, probably reflect local manifestations of individual lake hydrology, variability in

539 precipitation, water vapour transport and convection over eastern Africa caused by shifts in

540 the position of major convergence zones such as the Congo Air Boundary. These air masses

are in turn affected by SST variability in the Atlantic and Indian Oceans, the Red Sea and

542 Mediterranean (Nicholson 2000; Tierney et al. 2011). As such, Costa et al. (2014) propose a

543 time-transgressive change in atmospheric circulation caused by a north-south migration of the 544 tropical rain belts and an east-west migration of the Congo Air Boundary, as suggested at this 545 site.

546

# 547 5.3 The Deglacial Transition, 14.8-12.3 ka BP: Evidence of Regional Climatic 548 Heterogeneity

549 Lake Hayk dried and contained little water between ca. 14.8-12.3 cal ka BP (Figs. 4, 6[h]). 550 Lake Ashenge also experienced the onset of drying later, at ca. 13.6 ka BP, evidenced by 551 maximum enriched  $\delta^{18}$ O and  $\delta^{13}$ C of authigenic carbonates and enhanced aragonite 552 precipitation, signalling a highly negative water balance and lake shallowing (Marshall et al. 553 2009). This contrasts with most records from Ethiopian and eastern African lakes, which 554 continued to refill to highstands following the beginning of the AHP (Fig. 7 [a,b]; Beuning et 555 al. 1997; Gasse et al. 2002; Barker et al. 2004; Garcin et al. 2009; Foerster et al. 2012). These 556 lakes then demonstrate a shift to aridity between 12.8-11.6 ka BP synchronous with high-557 latitude European Younger Dryas Stadial. The Younger Dryas proper does not appear at Lake 558 Hayk at 12.8 cal yr BP; instead the lake refilled and deepened (Figs. 6 and 7). Potentially, the 559 dry period documented at ca. 14.8-12.3 cal ka BP is the Younger Dryas with anomalous 560 dating. Blaauw et al. (2011) estimate age offsets of between 200 – 450 years for dating of bulk organic carbon samples from Lake Challa. However, whilst dating errors may explain in 561 562 part the age offsets identified in this record, the differences in the timing and length of the dry 563 period beginning 14.8 cal ka BP are considerable and it seems unlikely to be caused purely 564 by a dating issue.

565

566 Alternatively, Lake Hayk may show evidence of regional climatic heterogeneity, as

567 documented in other Ethiopian and African sites (Fig. 7[a, b]). At Lake Albert Berke et al.

568 (2014) used the TEX<sub>86</sub> temperature proxy,  $\delta^{13}C_{wax}$  and  $\delta D$  analysis to reconstruct the climatic

- 569 expression of the deglacial transition prior to the Younger Dryas. Between 13.8-11.5 ka BP,
- 570 significant aridity and cooling (around 3°C) occurred leading to a decline in lake level (Berke
- et al. 2014), reflecting Indian Ocean SST cooling, weakening the monsoon and reducing
- 572 precipitation in this part of eastern Africa (see below). Cooling is similarly documented at
- 573 Lakes Tanganyika (Tierney et al. 2008) and Malawi (Powers et al. 2005) between 13.8-13.6
- 574 ka BP, resulting in reduced lake levels. The cooling and drying documented prior to the

575 Younger Dryas indicate a complex pattern of spatial and temporal change, coinciding with 576 step-wise cooling in Greenland and the warm, wet Bølling-Allerød interstadial (ca. 14.7-12.8 577 ka BP) and the Antarctic Climatic Reversal cold interval (ACR, ca. 14.8-12.0 ka BP) (Alley 578 and Clark 1999). Beal et al. (2011) suggest that the ACR may have impacted the Agulhas 579 Current by reducing the exchange of water between the Indian and Atlantic Oceans around 580 Africa, subsequently weakening the Atlantic Meridional Overturning Circulation (AMOC). 581 Reconstructions of the AMOC using  ${}^{231}Pa/{}^{230}Th$ , temperature proxies and  $\delta^{13}C$  values of 582 benthic foraminifera indicate reduced circulation strength at ca. 14 ka BP (Ritz et al. 2013). 583 Although not as significant as at the Younger Dryas, this reduction may have been sufficient 584 to cause anomalies in Indian Ocean SST and consequently weakened the Indian Ocean 585 monsoon. This in turn triggering the cooling and drying observed at subtropical African lake

- sites as precipitation in the region decreased.
- 587

588 The aridity observed at Lake Hayk beginning ca. 14.7 cal ka BP (Figs. 4, 6[h]) coincides with 589 the proposed changes to the Indian Ocean monsoon system caused by the ACR and

590 disturbance to the AMOC. It is likely that the drying reflects changes to the subtropical

591 (northern and southern) monsoon alongside local, site-specific mechanisms affecting

592 moisture delivery. This occurred during a period of complex climate change that remains

593 poorly constrained in eastern African palaeo-records.

594

#### 595 5.4 Resumption of the African Humid Period, 12.3-5.2 ka BP

596 While much of the rest of tropical Africa and the Arabian Peninsula remained dry until end of 597 the Younger Dryas at ca. 11.6 ka BP, at Hayk permanent lake formation begins ca. 12.3 cal 598 ka (Figs. 4, 6, 7[c, d]). This signifies that the reactivation of the monsoon system across 599 Africa and the Arabian Peninsula was first seen in central and northern Ethiopia (as the 600 Ziway-Shala lakes also experience rising lake levels at this time; Benvenuti et al. 2002). 601 Whilst there are uncertainties with dating methods, the earlier timing (ca. 700 years) of 602 moisture increase at Hayk is beyond the likely error given the number of dates in this part of 603 the sediment sequence, especially as such errors would be common to other records using 604 bulk dates.

605

606 Regionally, other Ethiopian and eastern African lakes exhibit similar signals to Lake Hayk as

607 highstands occurred between 13-5 ka BP in response to the resumption of the AHP (Figs. 6,

608 7[c, d]; Bergner et al. 2003; Barker et al. 2004; Junginger et al. 2013). Lakes Tana,

Tanganyika and Nakuru document highstands in the early Holocene (Fig. 6; Richardson and Dussinger 1986; Gasse et al. 1989; Costa et al. 2014). At Lake Challa the most positive water balance occurred at ca. 11.5-9.8 ka BP, after which the lake level and aquatic productivity remained high (Tierney et al. 2011; Barker et al. 2013). Increasingly wet and humid conditions are also documented at sites in North Africa (Giraudi et al. 2012) and the Mediterranean (Bar-Matthews et al. 2000) at the start of the Holocene, including the formation of the eastern Mediterranean sapropels (Rholing and Hilgen 1991).

616

617 There does not appear to be any significant change or variability in the palaeolimnological 618 record at Lake Hayk in response to the drought event at ca. 8.2 ka BP. With improved dating 619 an arid episode pertaining to the event may become identifiable and constrained, as there are 620 subtle but distinct diatom assemblage changes at this time (Fig. 5). However, even if 621 identified, it did not have such an extreme effect on the lake system as other arid events such 622 as HE-1 or the Younger Dryas Stadial. Potentially, the drought may not be recorded because 623 the event was not an abrupt occurrence caused by catastrophic melt water outburst, but was 624 rather a fluctuation in a long-term background climatic anomaly (Rohling and Palike 2005). 625 Most subtropical African lakes do not exhibit a clear climatic response to the 8.2 ka BP event 626 (Fig. 8[a]). Where a fluctuation is evident (several hundred years either side of 8.2 ka BP, 627 within the margin of dating errors), it more likely reflects long-term changes in eastern 628 African climate. For example, Marshall et al. (2011) argues that the decline in rainfall at Lake 629 Tana from ca. 8.5 ka BP onwards is due to the gradual migration of the tropical rain belts 630 southward. This has also been identified by Stager et al. (2003) in a study of the White Nile 631 and would account for the long-term decline in water level at Hayk from the early to mid-632 Holocene, rather than an abrupt shift centred at 8.2 ka BP.

633

#### 634 5.5 Termination of the African Humid Period, 5.2-3.9 ka BP

The diatom and pigment records indicate Lake Hayk experienced a seemingly rapid
termination of the African Humid Period, following a long-term decline in lake depth (Figs.
4, 5, 8[b]). Between ca. 10.0-6.4 cal ka BP a gradual decline in lake depth is observed in the
diatom data, but it is not until ca. 5.2 cal ka BP that major changes in the diatoms and
phytoplankton are observed; a substantial increase in benthic diatom taxa occurs, signalling
an increasingly arid climate.

642 It is tentatively interpreted therefore, that the AHP termination, in terms of diatom and

- 643 pigment response, was relatively abrupt, spanning ca. 600 cal years between ca. 5.2-4.6 cal ka
- 644 BP. This is broadly synchronous with regional records and closest to that of Lake Turkana,

which is placed at  $5,270 \pm 300$  yr BP (Tierney and deMenocal 2013) and Lake Edward,

646 which is also placed at 5.2 ka BP (Ivory and Russell 2018). This reflects the north to south

647 diminishing strength of the summer monsoon, and progressively less northward penetration

- of the ITCZ (Shanahan et al. 2015).
- 649

650 However, despite this latitudinal decline in precipitation, lake responses to the termination do 651 not mirror this north to south trend (Table 2; Fig. 8[b]). The earliest recorded responses are 652 identified at Qunf cave, Oman (Fleitmann et al. 2007) and Lake Victoria (Stager et al. 1997) 653 and the later signals from the River Nile (Williams 2009), Lake Chad (Armitage et al. 2015), 654 Lake Tritrivakely (Gasse and Van Campo 1998) and the Gulf of Aden (Tierney and 655 deMenocal 2013). Therefore, there is no discernible geographic pattern in terms of climatic 656 response to the termination of the AHP across Africa (Fig. 8[b]). This contrasts to the 657 conclusion of Shanahan et al. (2015) of a time-transgressive termination which occurred later 658 at lower latitudes as the rain-belts migrated southwards. The termination at Lake Hayk is 659 neither significantly early nor late in comparison to other records from Ethiopia, the Horn of 660 Africa or subtropical Africa, but in combination with these other records, emphasises the 661 heterogeneous pattern of regional response to this climatic event across the African continent. 662

663 The theoretical duration of the AHP termination ranges from 280 – 490 years in the Gulf of 664 Aden records and ~1000 years at Chew Bahir (Foerster et al. 2012). Palaeo-Lake Suguta 665 similarly shows a gradual transition (Junginer and Trauth 2013). The termination at Lake 666 Hayk lasted around 600 years, making it neither exceptionally rapid nor long. The non-linear 667 response of palaeorecords suggests the abruptness of the termination is caused by feedback 668 mechanisms that enhance or suppress the transition. These mechanisms are not well 669 understood but may be site-specific positive feedbacks caused by vegetation and soil 670 moisture coupled with albedo, ocean temperature-moisture feedback, or differences in lake 671 morphometry (Garcin et al. 2012; Junginger and Trauth 2013). Clearly more research is 672 needed on well-dated, high-resolution records form a suite of lakes and other sites in eastern 673 Africa to address this uncertainty and provide high quality palaeodata for climate modelling 674 hindcasts.

#### 676 5.6 The Late Holocene, 3.9-1.3 ka BP

677 Following the AHP termination a lowstand occurred at Hayk from ca. 3.9-2.2 cal ka BP (Figs 678 4, 6[h]). The lake became subsaline at times in response to a negative water balance. There is 679 no evidence of the 4.2 arid event at Lake Hayk, despite suggestion by Ghinassi et al. (2012) 680 that a lowstand identified in fluvial and coastal deposits in the Ankarka River area and 681 stromatolitic deposits in Uarababo area of the lake were due to the event. This is more likely 682 due to the lowstand beginning at ca. 3.9 cal ka BP, or the termination of the AHP. 683 684 Similarly to Lakes Ashenge, Turkana and Chew Bahir (Fig. 6), Lake Hayk saw an increase in 685 lake depth and wetter conditions at ca. 2.2 cal ka BP, evidenced by the rise of planktonic 686 diatoms in both records, and the surface connection to Lake Hardibo may have become re-687 established at times. This wet period lasted until at least ca. 1.3 cal ka BP, when the core 688 Hayk-10 ends and is most likely the same highstand identified in the Uarababo and Ankarka 689 River districts from 2.6-0.95 ka BP (Ghinassi et al. 2012). There is tentative evidence of a 690 drop in water level at Hayk at ca. 1.5 cal ka BP. This may coincide with a similar shift 691 observed at Lake Ashenge at 1.5 ka BP, signalling a move towards aridity (Marshall et al. 692 2009).

693

694 The relatively rapid shifts in aridity-humidity at Lake Hayk during the late Holocene may 695 reflect higher frequency variability in the El Niño Southern Oscillation regime (ENSO) 696 caused by cooling of the Pacific tropical deep waters (Foerster et al. 2012; Fig. 6[a, h]). In 697 particular, a period of heightened ENSO activity centred from 2.5-1.6 cal ka BP (Fig. 6) may 698 account for the variability seen in the upper Lake Hayk record, as ENSO events are linked to 699 more recent increases in regional rainfall across eastern Africa (Nicholson 2000). The Hayk-700 10 sequence ends at ca. 1.3 cal ka, although sedimentation continues to the present day 701 (Lamb et al. 2007b).

702

#### 703 **6.** Conclusion

The palaeolimnological records obtained from Lake Hayk using a multi-proxy approach have successfully provided high-resolution evidence of millennial to multi-decadal variability in the lake. Changes identified since ca. 15.6 cal ka BP includes variability in productivity and trophic state, stratification and overturn, catchment weathering and erosion, preservation, lake level and conductivity.

- 710 At the millennial scale, the palaeolimnological records indicate that local climate at Hayk has
- been sensitive to high-latitude glacial conditions, which have been paced by variations in
- insolation, and changes in Earth's orbital precession. Hayk experienced the same transitions
- from arid to humid and humid to arid as experienced by other lake sites across eastern Africa
- since the Last Glacial Maximum, including high-magnitude events such as HE-1 (ca. 18.0-
- 715 15.0 ka BP), the onset and termination of the African Humid Period (ca. 15.0-5.0 ka BP) and
- 716 the Younger Dryas Stadial (ca. 12.8-11.6 ka BP).
- 717

718 Though broadly synchronous, there are discrepancies in the precise timing and expression of 719 climatic events and comparison of Lake Hayk sedimentary record to other sites from the 720 region shows variability in the nature of climate shifts. Minor discrepancies may be attributed 721 to chronological uncertainties and have been identified where possible. Beyond this, response 722 to climatic change reflects the inherent climatic sensitivity of individual lake basin 723 characteristics. Such differences are the likely cause of discrepancies between the Hayk, Tana 724 and Ashenge records, despite their proximity to one another, as well as discrepancies between 725 Hayk and other sites across Ethiopia and eastern Africa. Given such local, site-specific 726 factors, synthesising records from across a landscape is vital for identifying the full nature of 727 regional Quaternary climate change. The Lake Hayk palaeo-record therefore has an important role to play in bridging knowledge gaps in the currently under-represented, data-sparse and 728 729 climatically vulnerable north of Ethiopia. 730

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#### 1080 Figure captions

**Figure 1**. (a) Location of Lake Hayk (1) on the eastern margin of the north-central highlands

1082 in northern Ethiopia. Lakes Tana (2) and Ashenge (3) are also indicated. (b) Aerial view of

1083 Lake Hayk. The red circle shows the location of core Hayk-01-2010. The blue circle indicates

1084 the approximate location of core HYK99-1 extracted in 1999 by Lamb et al. (2007b) and

1085 Darbyshire et al. (2003). The black box indicates the position of the Istifanos monastery,

1086 located on an island in the 9<sup>th</sup> century, which has since become attached to the mainland

- 1087 (Google Earth 2018).
- 1088

Figure 2. Age-depth model of fourteen bulk AMS <sup>14</sup>C dates using CLAM. Depth refers to
depth below the top of the sediment core. The individual age distribution for each date, as
relative area under probability distribution, is shown in blue. The black line indicates the
most likely age-depth distribution, whilst the grey envelope represents the model's

1093 chronological uncertainty.

1094

**Figure 3**. (a) The three main lithostratigraphical units (L-I-III) identified in Hayk-01-2010. Organic, carbonate and water content are expressed as percentages of the total wet weight of the sediment, sedimentation accumulation rate as cm yr<sup>-1</sup>, dry mass, organic matter, calcium carbonate (CaCO<sub>3</sub>) and minerogenic accumulation rates as mg cm<sup>-2</sup> yr<sup>-1</sup>. (b) Photograph of a section of sediment from core 1B. The pulp-like dark yellow deposits identified are dense mats of the long, slender diatom taxa, *Fragilaria* and *Ulnaria*.

1101

Figure 4. Summary diagram of key diatom species and photosynthetic pigments. Diatom data
are shown as a percentage (%) and pigments as concentration (nmol pigments g<sup>-1</sup> OM).

1105 Figure 5. Summary diagram of stratigraphic data from Lake Hayk. Sediment accumulation 1106 rate is expressed as cm yr<sup>-1</sup>. Diatom data are shown as a percentage (%), concentration as x 1107 10<sup>5</sup> g<sup>-1</sup>, accumulation rate as x 10<sup>6</sup> valves cm<sup>-2</sup> yr<sup>-1</sup> and log diatom-inferred (DI) conductivity as µS cm<sup>-1</sup>. Conductivity recorded in 1969 (920 µS cm<sup>-1</sup>) is indicated by the red line (Baxter 1108 1109 and Golobitsh 1970). Total pigment concentration is shown as nmol pigments g<sup>-1</sup> OM and 1110 accumulation rate as picomol cm<sup>-2</sup> yr<sup>-1</sup>. A simple lake level curve, representing maximum 1111 depth, is based on modern lake level data and interpretation of diatom and pigment proxies. 1112 Maximum lake level in 2000 (81.0 m; Lamb et al. 2007b) is indicated by the red line.

- 1114 Figure 6. Comparison of the onset and termination of eastern African lake levels and other
- 1115 palaeo-records, arranged in an *approximate* south to north order. Increasing lake level is to
- 1116 the right of all profiles. (a) Paleo-ENSO record from Laguna Pallcacocha, southern Ecuador
- 1117 (events per century; Moy et al. 2002). (b) Lake Tanganyika (height above present lake level;
- 1118 Gasse et al. 1989). (c) Lake Challa  $\delta D$  leaf wax (Tierney et al. 2011); (d) Kilimanjaro aeolian
- 1119 dust record (NIF3 dust 10<sup>5</sup>; Thompson et al. 2002); (e) Nakuru lake level (Richardson and
- 1120 Dussinger 1986); (f) Turkana lake level (Brown and Fuller 2008); (g) Chew Bahir potassium
- 1121 (K, cps) content (Foerster et al. 2012); (h; this study) Hayk diatom-inferred (DI)
- 1122 conductivity (μS cm<sup>-1</sup>); (i) Tana DI conductivity (Marshall 2006); (j) Ashenge DI
- 1123 conductivity (Marshall et al. 2009); (k) Dongge cave oxygen-isotope record (Dykoski et al.
- 1124 2005). Key time periods identified are indicated: **HE-1**-Heinrich Event 1, **AHP**-African
- 1125 Humid Period and YD-Younger Dryas. Note the reversed axes for Lakes Challa, Chew Bahir,
- 1126 Hayk, Tana, Ashenge and the Dongge Cave record.
- 1127
- 1128 Figure 7. Site map of records showing average hydrological conditions between (a) 15-14 ka
- 1129 BP; (**b**) the onset of the African Humid Period (AHP), 14-13 ka BP; (**c**) Younger Dryas
- 1130 Stadial, 12.8-11.6 ka BP; (d) The African Humid Period (AHP); 11.6-5.5 ka BP. Base map
- 1131 from Google Maps (2018). See Table 2 for references.
- 1132
- 1133 Figure 8. Site map of records showing hydrological signal for (a) 8.2 ka BP and (b) African
- 1134 Humid Period (AHP) termination, 8.0-4.0 ka BP. Base map from Google Maps (2018). See
- 1135 Table 2 for references.
- 1136
- 1137

Laboratory	Laboratory reference	Depth below top of sediment sequence (cm)	Conventional age, <sup>14</sup> C yrs BP	Calibrated age, cal yr BP, weighted average, 2 sigma calibration (relative area under probability distribution)	Calibrated age, cal yr BP, median probability (to nearest 10 yrs)
<sup>14</sup> CHRONO Centre	UBA-27072	12.5	$1583 \pm 32$	1404 - 1545 (1.00)	1470
ORAU	OxA-30960	100	$2485\pm32$	2432 - 2728 (0.99)	2580
ORAU	OxA-30883	140	$2795 \pm 31$	2837 - 2965 (0.93)	2900
<sup>14</sup> CHRONO Centre	UBA-25092	172	$3563 \pm 36$	3816 - 3934 (0.74)	3860
ORAU	OxA-30885	183	$4068 \pm 33$	4496 - 4645 (0.68)	4560
ORAU	OxA-30886	196	$4914 \pm 35$	5592 - 5715 (1.00)	5640
ORAU	OxA-30887	240	$7650 \pm 45$	8386 - 8540 (1.00)	8440
<sup>14</sup> CHRONO Centre	UBA-27073	314.5	$9643 \pm 79$	10749 - 11204 (1.00)	10970
<sup>14</sup> CHRONO Centre	UBA-27074	396.5	$10102 \pm 44$	11587 - 11840 (0.69)	11710
<sup>14</sup> CHRONO Centre	UBA-25093	429	$10393 \pm 45$	12061 - 12423 (0.98)	12270
<sup>14</sup> CHRONO Centre	UBA-25094	442	$10287 \pm 46$	11926 - 12241 (0.85)	12060
<sup>14</sup> CHRONO Centre	UBA-27075	447.5	$10254 \pm 62$	11756 - 12239 (0.96)	12000
<sup>14</sup> CHRONO Centre	UBA-27076	657.5	$12846 \pm 67$	15120 - 15596 (1.00)	15320
<sup>14</sup> CHRONO Centre	UBA-25095	717.5	$12873 \pm 60$	15157 - 15614 (1.00)	15360

 
 Table 1. AMS radiocarbon chronology of Lake Hayk, core Hayk-01-2010.
 

Site	Country/ Location	Map ID	Authority Stager et al. (1997)	Approximate AHP termination (cal kyr BP) 7.2
Lake Victoria	Rift Lake, 1°16'S	1		
Lake Albert	Rift Lake, 1°38' N	2	Berke et al. (2014)	-
Lake Tanganyika	Rift Lake, 6°42'S	3	Tierney et al. (2010)	6.2
Lake Malawi	Rift Lake, 12°03'S	4	Konecky et al. (2011)	6.2
Lake Challa	Kenya, 3°19'S	5	Barker et al. (2013)	5.5
Lake Turkana	Kenya, 3°36'N	6	Morrissey and Scholz (2014)	5.2
Lake Tana	Ethiopia, 12°01'N	7	Marshall et al. (2011)	6.3
Lake Chad	Chad, 13°06'N	8	Armitage et al. (2015)	5
Lake Tritrivakely	Madagascar, 19°47'S	9	Gasse and Van Campo (1998)	4
Soreq cave	Israel, 31°45'N	10	Bar-Matthews et al. (1997)	7
paleo- Lake Suguta	Kenya, 2°11'N	11	Junginger et al. (2013)	6.7
Chew Bahir	Ethiopia, 4°47'N	12	Foerster et al. (2012)	5
Ziway-Shala basin	Ethiopia, 7°58'N	13	Benvenuti et al. (2002)	5
Lake Hayk	Ethiopia, 11°20'N	14	This study	5.2
Lake Ashenge	Ethiopia, 12°34'N	15	Marshall et al. (2009)	5.6
Socotra Island	Yemen, 12°30'N	16	Shakun et al. (2007)	-
Gulf of Guinea	West Africa, 4°07'N	17	Armitage et al. (2015)	4.9
River Nile	Egypt, 30°49'N	18	Williams (2009)	4.3
Lake Naivasha	Kenya, 0°45'S	19	Bergner et al. 2003	-
Ebro desert	Spain,41°50'N	20	Davis and Stevenson (2007)	N/A
Lake Accesa	Italy, 42°59'N	21	Peyron et al. (2011)	N/A
Tenaghi Philippon	NE Greece	22	Peyron et al. (2011)	N/A
Antalaya	Turkey, 36°53'N	23	Weninger et al. (2006)	N/A
Gulf of Aden	Arabian Sea, 12°21'N	24	Tierney and deMenocal (2013)	5
Saqqara necropolis	ara necropolis Egypt, 29°51'N		Welc and Marks (2014)	5
Qunf cave	Oman, 17°10'N	26	Fleitmann et al. (2007)	7.8
Lake Yoa	Chad, 19°03'N	27	Kröpelin et al. (2008)	5.6

**Table 2**. Palaeo-records from Africa, the Mediterranean and Europe and their approximate termination of the African Humid Period.

## Figure 1a, b



<u>500 km</u>

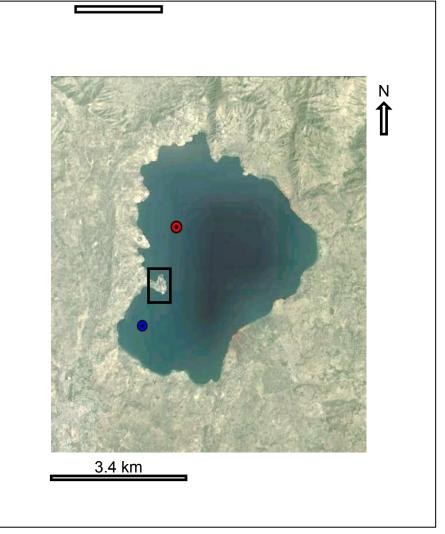
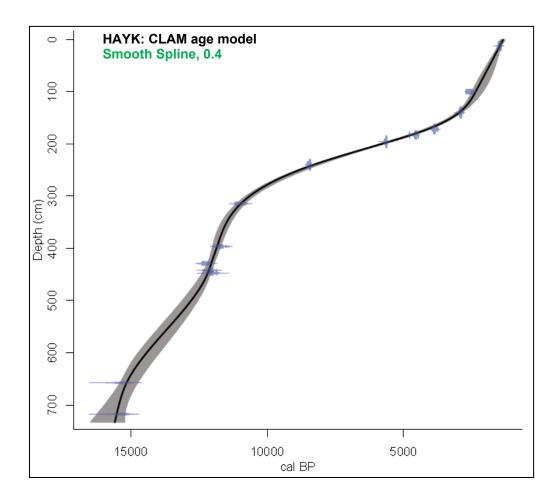
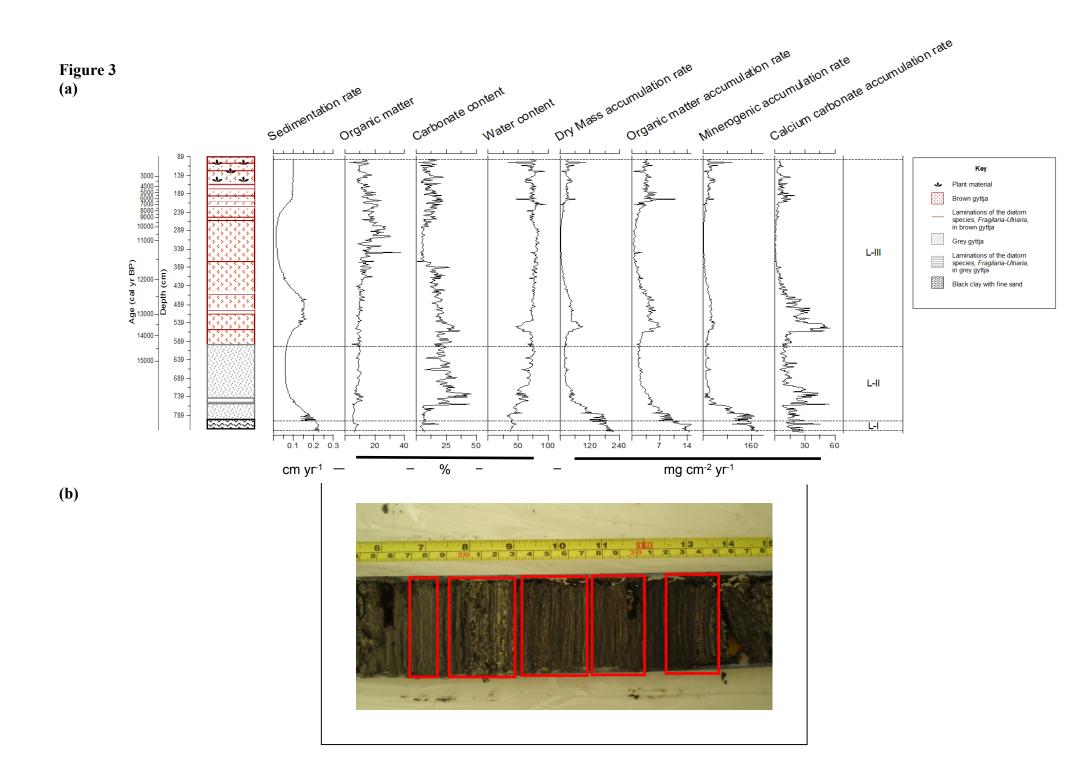
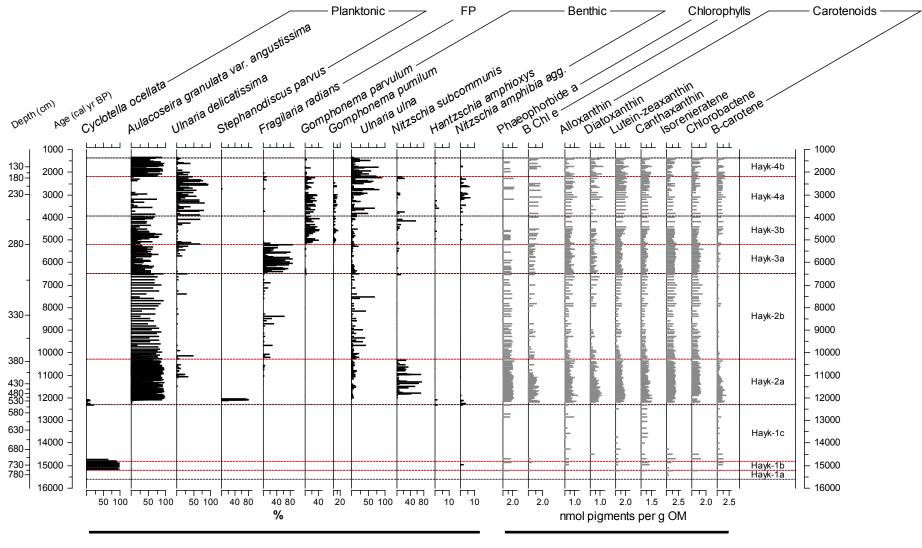


Figure 2



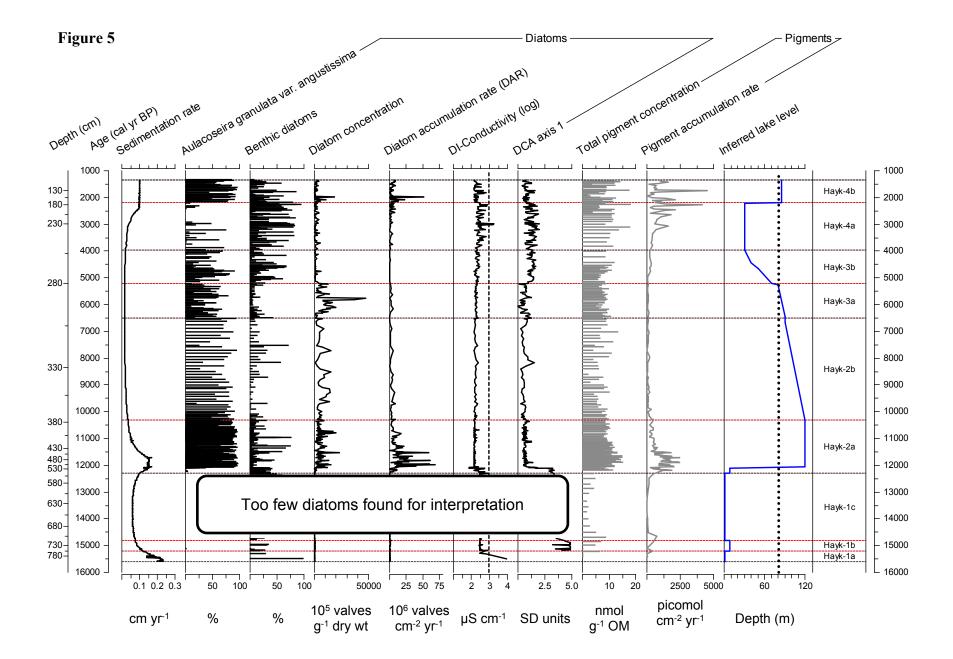


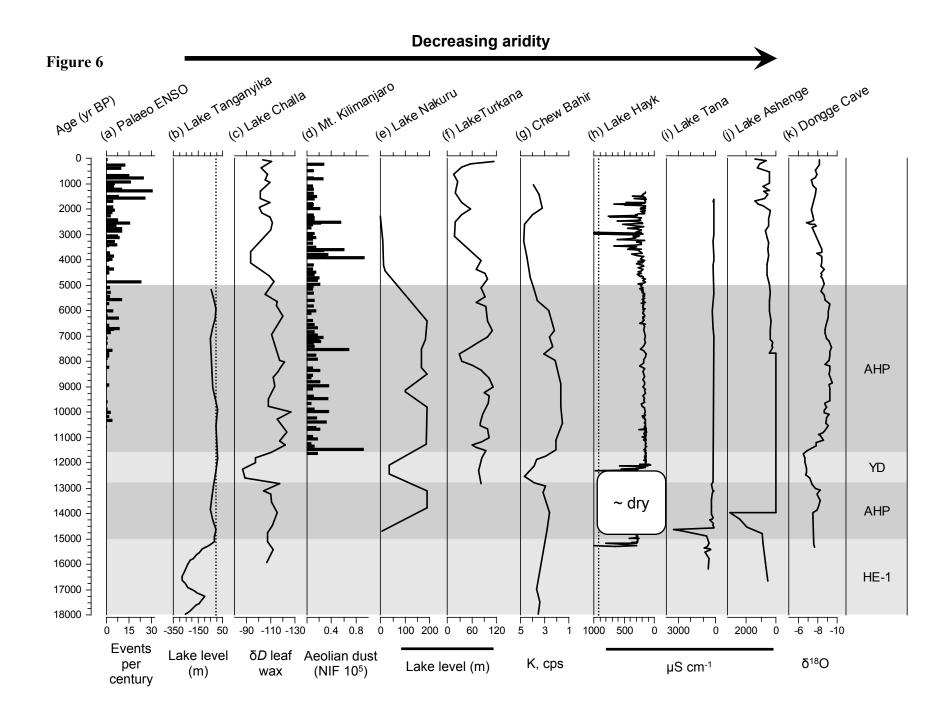




Diatoms

Pigments





#### Figure 7 (a)

15 – 14 kyr BP, onset of the AHP



2000 km



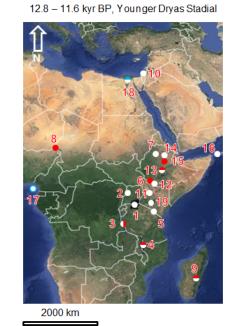
**(b)** 

14 – 13 kyr BP, pre-Younger Dryas period

2000 km

000 km

E



(c)

AHP, 11.6 – 5.5 kyr BP, African Humid Period

(d)



2000 1011

Positive P-E/lake highstand Negative P-E/lake lowstand Decreasing P/lake depth Increasing P/lake depth Uncertain signal High river runoff Low river runoff Uncertain estuary signal

# Figure 8

# **(a)**

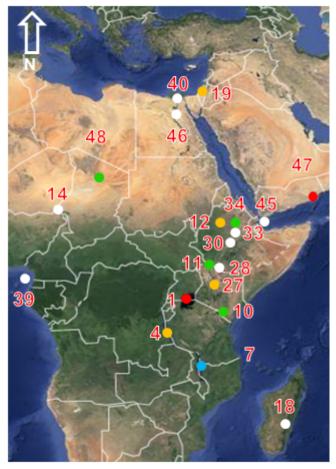


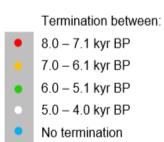


- No signal
- Clear signal
- Unclear signal
- Uncertain riverine signal

2000 km

AHP termination





**(b)** 

2000 km