Helicobacter pylori eradication for primary prevention of peptic ulcer bleeding in older patients prescribed aspirin (HEAT): a randomised placebo-controlled trial in primary care.

5 Authors: Prof CJ Hawkey 1 Prof Anthony J Avery² Prof Carol AC Coupland² Colin J Crooks¹ Jennifer S Dumbleton¹ Prof FD Richard Hobbs ³ Prof Denise Kendrick² Prof Michael Moore⁴ Clive Morris 5 Prof Gregory Rubin⁶ Murray D Smith 7 Diane Stevenson¹ on behalf of the HEAT Trialists (listed at the end) ¹STAR (Simple Trials for Academic Research) Unit, School of Medicine University of Nottingham, United Kingdom, ²Centre for Academic Primary Care, School of Medicine, University of Nottingham, Nottingham, United Kingdom, ³University of Oxford, Nuffield Dept. of Primary Care Health Sciences, Oxford, United Kingdom, ⁴University of Southampton, Primary Care Research Centre, Southampton, United Kingdom, ⁵TCR Nottingham, Langley Mill, United Kingdom, ⁶Population Health Sciences Institute, Newcastle University, Newcastle, UK ⁷Community and Health Research Unit, University of Lincoln, Lincoln, United Kingdom Correspondence to Prof Chris Hawkey STAR Unit University of Nottingham Queens Medical Centre Nottingham NG7 1AW Email cj.hawkey@nottingham.ac.uk

ABSTRACT

Background:

Peptic ulcers in patients on aspirin are associated with Helicobacter pylori infection. We investigated whether H. pylori eradication would protect against aspirin associated ulcer bleeding

Methods:

The Helicobacter Eradication Aspirin Trial (HEAT) was a randomised placebo-controlled trial (EudraCT 2011-003425-96), conducted in UK primary care using routinely collected clinical data. Consenting patients aged >60 years prescribed aspirin \leq 325 mg but not ulcerogenic or gastroprotective medication underwent C13 urea breath testing for *H. pylori*. Those with a positive test were randomised to receive either a combination of clarithromycin 500mg, metronidazole 400 mg and lansoprazole 30mg, or placebos twice daily for seven days. Follow up was by scrutiny of electronic data in primary and secondary care. The primary outcome, time to hospitalisation due to definite or probable peptic ulcer bleeding, was analysed by Cox proportional hazards methods

Findings:

Between 14 September 2012 and 22 November 2017, 30,166 patients underwent breath testing, 5367 had a positive result, 5352 were randomised to an ITT population of 2677 (eradication) and 2675 (placebo) and followed up for median 5 years. Statistical analysis of the primary outcome showed a significant departure from proportional hazards assumptions (p=0.0068), requiring analysis over separate time periods. There was a significant reduction in the primary outcome in the eradication arm in the first 2.5 years (hazard ratio 0.35 (95% CI 0.14 to 0.89, p = 0.028) with 6 episodes, (rate 0.92 [95% CI 0.41 to 2.04])/1000 person years) vs control: 17 episodes, (rate 2.61 [95% CI 1.62 to 4.19]/1000 person years) attributable to reduced gastric and duodenal ulcer bleeding. This advantage remained significant when adjusted for the competing risk of death (p = 0.028) but was lost with longer follow up (HR 1.31, 0.55 to 3.11)

Interpretation:

H. pylori eradication protects against aspirin associated peptic ulcer bleeding, but this may not be sustained.

299 words Funding: NIHR Health Technology Assessment (HTA)

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131 <u>Introduction</u>

Aspirin is widely recommended for the secondary prevention of thrombotic vascular disease¹⁻⁵. Its use is limited 132 principally by increased bleeding particularly from the gastrointestinal tract^{7,8}. Whether there is net benefit from 133 134 aspirin in primary prevention is currently the subject of debate^{4,5}. The risks of upper gastrointestinal (UGI) 135 bleeding can be mitigated in part by acid suppression with proton pump inhibitors (PPIs) and probably Histamine H2 receptor antagonists (H2RA)⁸⁻¹⁰. However, although anti-inflammatory doses of aspirin are 136 137 intrinsically ulcerogenic, the much lower doses used for prevention of thrombosis are less damaging¹¹. There is 138 evidence that *Helicobacter pylori* may play a central role in development of peptic ulceration¹²⁻¹⁵ and ulcer 139 bleeding¹⁶⁻¹⁸ in these patients but these data are largely observational and do not establish a causal role.

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141 These studies suggest eradication of *H. pylori* as a therapeutic target but randomised controlled trials have been 142 limited to secondary prevention of recurrent ulcer bleeding and have yielded discordant results^{19,20}. One trial of

143 250 participants reported a six month incidence of ulcer rebleeding following *H. pylori* eradication (1.9%) not

significantly different from that with PPI co-prescription $(0.9\%)^{19}$ whilst another trial of 123 participants

reported 12 month rebleeding rates significantly greater than those with PPI co-prescription (14.8 % vs

146 $1.6\%)^{20}$. The American College of Gastroenterology guidelines suggest testing for *H. pylori* when starting 147 prophylactic low-dose aspirin, while acknowledging that the evidence base for this recommendation is weal

- prophylactic low-dose aspirin, while acknowledging that the evidence base for this recommendation is weak,observational, and based on indirect extrapolation. In view of these uncertainties, we conducted a large
- randomised controlled trial of *H. pylori* eradication for the prevention of ulcer bleeding in patients aged ≥ 60
- 150 who were prescribed aspirin.
- 151 152 Methods
- 153 Design

The *Helicobacter* Eradication Aspirin Trial (HEAT) was a double-blind randomised placebo-controlled trial
 (EudraCT number 2011-003425-96) that investigated the hypothesis that a 7-day course of *H. pylori* eradication
 therapy would reduce the incidence of subsequent peptic ulcer bleeding in infected patients. The study was

sponsored by the University of Nottingham and funded by the Health Technology Assessment programme of

158 the UK's National Institute for Health Research (NIHR). It was undertaken in accordance with International

159 Conference on Harmonization guidelines and the Declaration of Helsinki and was approved by East Midlands –

- **160** Leicester Central Research Ethics Committee (REC 11/EM/0434)
- 161

162 HEAT was conducted using novel real-world methodology developed by the Simple Trials for Academic Research (STAR) group in Nottingham. Following pilot funding from the Medical Research Council a network 163 of collaborating general practitioner (GP) investigators was developed^{22,23}. The trial was coordinated from four 164 165 UK research centres: Nottingham, Birmingham/Oxford, Durham, and Southampton. Participating GP 166 investigators used a bespoke digital tool to screen for patients meeting eligibility criteria and contacted them via a highly secure automated online mail management system²⁴ to invite trial participation. To maintain data 167 168 security, the patient's NHS number was encrypted (using the AES-256 encryption standard), with the NHS 169 number itself as the unique encryption key to allow decryption. Interested patients contacted the trial team who 170 arranged an in-person screening visit hosted by HEAT specific or generic NIHR research nurses at their general 171 practice to check suitability, obtain consent and carry out an *H. pylori* breath test (Supplementary Information).

172173 Participants

Men and women age ≥ 60 years, who were taking aspirin ≤ 325 mg daily and who had had four or more 28-day prescriptions for aspirin in the past year were eligible for enrolment if they had a positive *H. pylori* C13 urea breath test at the agreening wight. Ongoing additional was of other activity and the start was allowed. Betients who were an

test at the screening visit. Ongoing additional use of other anti-platelet agents was allowed. Patients who were on

177 non-steroidal anti-inflammatory drugs (NSAIDs) or gastroprotective drugs at their baseline screening visit were 178 excluded from participation, but these drugs could be started during follow up if clinically indicated. Patients with

excluded from participation, but these drugs could be started during follow up if clinically indicated. Patients with allergy or intolerance to *H. pylori* eradication treatment or who needed to continue taking drugs with a clinically

- significant interaction with *H. pylori* eradication treatment were excluded from the trial (Supplementary Information
 Table S1).
- 182

183 *H. pylori* status was determined using the Helicobacter Test INFAI²⁵, conducted by trained research nurses

during the patient's screening visit (Supplementary Information). Samples were posted to INFAI and analysed
 via a dedicated workstream. Patients with a negative or borderline *H. pylori* breath test were not eligible for the
 trial but these patients and their GPs were informed of their result.

187188 Randomisation and masking

189 Patients meeting eligibility criteria who had an unequivocally positive breath test were randomly assigned to

receive active *H. pylori* eradication treatment or placebos on a 1:1 basis. Randomisation was carried out by
 Nottingham Clinical Trials Unit using a validated, web-based system with separate sequences for each regional

192 centre using permuted blocks of randomly varying size.. The participants, their GPs and health care providers,

193 the research nurses, trial team, Adjudication Committee and analysis team were all blinded throughout the trial

to the treatment allocation until after the analysis was complete. The Nottingham Clinical Trials unit retained

- the key to unmask the data throughout the trial. Individual unmasked data could be supplied to the trial
- 196 pharmacist for safety reasons.197
- 198 Interventions

199 Active treatment consisted of twice daily lansoprazole 30mg, clarithromycin 500mg and metronidazole 400mg,

taken for one week²⁶. Control patients received placebos corresponding to each of the active treatments to be

taken twice daily for one week. Active and placebo treatments (Supplementary Information) were stored and

202 dispensed from a dedicated pharmacy unit maintained by the coordinating centre in Nottingham and were

posted to patients upon receipt of a positive breath test result, together with a returnable report form recording

the date of receipt, timing of doses taken and any adverse events.

205206 Patients made no more trial visits after screening but were contacted annually to prompt reporting of any events.

207 They remained under trial follow up until the end of the trial (June 30, 2020) or until they died (from any cause)

208 or withdrew permission for further use of their data. Those who asked to disengage from annual contact

remained part of the trial database. Patients who moved to a different general practice remained in trial follow

- 210 up. A randomly selected 10% sample of participants were sent a repeat *H. pylori* breath test between February 211 5^{th} and September 6^{th} 2019 to be done at home²⁷ to assess the antibacterial efficacy of the eradication treatment.
- 211 5 and September 6 2017 to be done at nome to assess the antibacterial effects of the eradication freatment

212 Events during follow-up were identified from searches of Hospital Episode Statistics (HES), Office of National

- 213 Statistics (ONS) mortality data, GP databases using MIQUEST software^{27,28}, and from patient and GP
- 214 spontaneous reports. For patients who moved to practices not participating in HEAT, follow up information was 215 available using nationally held HES and ONS data but these patients were censored at the date of moving
- available using nationally held HES and ONS data but these patients were censored at the date of moving
 practice for outcomes that relied on primary care data. All plausible episodes which mentioned GI bleeding or
- practice for outcomes that refield on primary care data. An plausible episodes which mentioned of bleeding of peptic ulcer in any of these data sources were evaluated by a blinded adjudication committee comprising three
- 217 peptic ucer in any of mese data sources were evaluated by a binded adjudication committee comprising linee 218 specialist clinicians (Supplementary Information). A complete listing of data from HES, supplied annually,
- 219 specialist chinerals (Supplementary Information). A complete fisting of data from FES, supplied annuary,219 covered the period from trial start (September 14, 2012) to finish (June 30, 2020). Primary care data were
- 219 covered the period from that start (september 14, 2012) to finish (Jule 30, 2020). Finiary care data were 220 uploaded from individual practices intermittently. GPs were asked to do an end of study upload, but this was not
- always possible in part because of disruption by the Covid pandemic: data from those practices used to
- determine secondary outcomes using primary care data were censored from the date of their last upload.
- 223 Outcomes

The primary endpoint was the first episode of hospitalisation or death due to definite or probable peptic ulcer

bleeding, as determined by the adjudication committee, guided by the criteria of the TARGET study. These use the clinical presentation, its severity, and the endoscopic findings to generate a series of likelihood scenarios²⁹

(Details in Supplementary Information). Secondary endpoints included time to first episode of hospitalisation or

228 death due to gastric or duodenal ulcer bleeding (oesophageal ulcer bleeds excluded), all other causes of

- clinically significant GI bleeding, thrombotic cardiovascular outcomes, detected uncomplicated ulcers, number
- of GP consultations for dyspepsia and time to first prescription for PPI medication or other anti-ulcer/dyspepsia
- 231 medication (H2 receptor antagonist, antacid, alginate). Uncomplicated ulcers were those detected in the absence
- of clinically significant bleeding. Cardiovascular events were based on unadjudicated ICD10 codes recorded in

HES or ONS for myocardial infarction (MI), cerebrovascular accident (CVA) and sudden cardiac deaths

234 (Supplementary information).

- 235
- Adverse events (AEs) and death

- 237 Because patients only received 1 week of already well characterised treatment, and in conjunction with MHRA,
- we set a four-week window for the routine collection of suspected treatment-related adverse events reported by
- patients on the report form sent in each treatment pack. Serious AEs reported by GPs outside this window were also collected as well as all deaths recorded by ONS.
- 241
- 242 Statistical analysis

An intention to treat (ITT) analysis was carried out including all randomised patients irrespective of whether

- they took the treatment, or the number of doses taken but excluding one patient who died and three patients
 who experienced ulcer bleeding between the screening visit and the randomisation date and one patient not
 properly consented. Kaplan Meier survival curves were plotted for time to first event outcomes, censoring at the
- 247 date of first event, death, trial withdrawal or study end date.
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A Cox proportional hazards model, adjusted for regional centre as a fixed effect, was used to calculate hazard ratios (HR) and 95% confidence intervals comparing treatment arms for the primary endpoint. The assumption of proportional hazards was examined by a Schoenfeld test based on scaled Schoenfeld residuals and assessed graphically by a log minus log plot³⁰. Where there was clear evidence of violation of the proportional hazards assumption, hazard ratios were calculated for separate periods of follow up split at the median time to event after randomisation. The number needed to treat (NNT) to avoid one ulcer bleed was calculated using the time to event method of Altman et al³¹

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Sensitivity analyses assessed the effect of adjusting for age and sex and including ulcerogenic and
 gastroprotective drugs as time varying exposures in the model. . A between treatment arm and age interaction
 was assessed for significance using a likelihood ratio. A Fine-Gray model was used to estimate the
 subdistribution HR for the association of eradication and the primary outcome accounting for the competing risk
 of death³². A per protocol analysis was carried out restricted to patients who reported that they had taken eight

- 262 or more doses of trial medication.
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The time to event secondary outcomes were analysed using Cox proportional hazard models. The numbers of GP-recorded dyspepsia consultations during follow-up were compared between treatment arms using negative binomial regression to calculate rate ratios and 95% confidence intervals accounting for overdispersion. Time to first prescription for PPI medication or other antiulcer/dyspepsia medication (H2 receptor antagonist, antacid, alginate) during follow-up were compared between treatment arms using Cox proportional hazards models. The point prevalence of prescriptions for aspirin, PPIs and H2 receptor antagonists were estimated at 6 monthly time points throughout the study follow-up period.

HEAT was intended to be event driven. Based on published data we assumed an ulcer bleeding rate of 8 per
1000 patient years in the control arm²². To detect a hazard ratio of 0.5 comparing the intervention with the
control arm, with a 5% two-sided significance level and 90% power a total of 87 events would be required, ,
with 145,000 person years of exposure. Due to a shortfall in both the anticipated proportion of patients that were *H. pylori* positive and in the primary endpoint rate, recruitment and follow up periods were lengthened. Due to
concern that competing risks (including death) would become the dominant influence with an excessively long
follow up period, the trial was stopped when 44 primary endpoints had occurred.

279 280 Funding:

NIHR Health Technology Assessment (reference no 09/55/52). The funder played no role with regard to data collection, analysis, interpretation, writing of the manuscript or the decision to submit

284 Results:

The trial was conducted in 1,208 GP practices across the whole of the UK (approximately 13% of the total

- number of GP practices), predominantly in England and Wales. Between 14 September 2012 and 22 November
- 2017 participating GPs sent 188,875 invitation letters; 30,166 patients (16.0%) gave consent to trial
- participation, of whom 5367 (17.8%) had a positive *H. pylori* breath test, and 5357 were randomised (between 1 and 33 patients from each of 1055 practices), with 5352 patients in the ITT population (Figure 1).
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Mean age at consent was 73.6 (SD 6.9) years and 72.8% of participants were male. Treatment arms were well

- balanced for ulcer risk factors and demographic features (Table 1). Coronary heart disease was the mostcommon co-morbidity among aspirin indications, followed by diabetes mellitus and a history of stroke or
- transient ischaemic attack (Table 2). Ten percent of patients were prescribed nitrates. Fewer than 2% had a
- history of peptic ulcer. Use of drugs capable of influencing ulcer development prescribed in the 90 days prior to
- the date of randomisation are shown in Table 2. In the 10% retest sample of patients at a median of 3.95 (IQR

297 2.76, 5.28) years after randomisation, 146 in the eradication arm (90.7%) had a negative breath test vs 41
298 (24.0%) in controls (p<0.001).

Randomised patients were followed up for a total of 26,668 person years (median 5.0 years IQR 3.9 to 6.4) until they withdrew consent, died, or reached the end of the study (June 30, 2020). During this time there were 141
episodes of clinically significant GI bleeding: 44 patients had first episodes which were adjudicated as definite or probable peptic ulcer bleeds, 18 in the active eradication arm and 26 in controls (Table 3)

305 Figure 2 shows Kaplan Meier survival curves for the primary outcome, with early separation between the 306 treatment arms. A Schoenfeld test showed a significant departure from the Cox proportional hazards assumption 307 (p=0.0068). This was due to a marked difference between the treatment arms early in the study, but not later 308 (Figure 2, and Supplementary Figure S2). Accordingly we fitted one Cox model with time split in the data at the 309 median of 2.5 years, because this resulted in similar numbers in the first and second period, which minimised 310 loss of statistical power. This resulted in the Cox proportional hazards assumptions being met (p=0.54 for the 311 overall model). There were 23 episodes of ulcer bleeding adjudicated as a primary outcome in the first 2.5 312 years, 6 in the active eradication arm and 17 in controls and 21 after 2.5 years, 12 in the active eradication arm 313 and 9 in controls. The hazard ratio (HR) in the first 2.5 years was 0.35 (0.14 to 0.89, p= 0.028, Table 3), with a 314 rate of 0.92 [0.41 to 2.04]/1000 person years in the eradication treatment arm and 2.61 [95% CI 1.62 to 4.19]/ 315 1000 person years in the control arm and an NNT of 238 (95%CI 184 to 1661). In the period after 2.5 years 316 there were 9 episodes in the control arm (rate 1.33 [0.69 to 2.56] /1000 person years) and 12 in the eradication 317 treatment arm (rate 1.75 [0.99 to 3.08] / 1000 person years, with an HR of 1.31 [0.55 to 3.11], p = 0.540, Table 318 3). Results were similar after adjustment for age and sex (Table 3). There was no significant interaction with 319 age, although the low number of events limited our power for subgroup analyses.

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322 A Fine-Gray model used to adjust for the competing risk of death showed the difference between the eradication 323 arm and control arm remained significant in the first 2.5 years (subdistribution HR within 2.5 years 0.35 [0.14-324 0.89], p = 0.028, Supplementary Table S2 and Figure S3). In the per protocol analysis of the 4369 patients who 325 had taken at least 8 eradication doses, there were 34 peptic ulcer bleeds adjudicated as primary outcomes, with 326 18 occurring in the first 2.5 years (3 in the eradication treatment arm, 15 in the control arm), HR 0.21 [0.06 to 327 (0.71], p = 0.013). (Supplementary Table S3, and Figure S4). The first episode of ulcer bleeding adjudicated as a 328 primary outcome in the per protocol eradication treatment arm occurred at 525 days after randomisation vs 6 329 days for controls. A gastric ulcer was the underlying lesion in 22 (51 %) of patients experiencing a primary 330 outcome (16 in the control arm, six in the eradication treatment arm (Supplementary Table S4), 331

332 Analysis of secondary outcomes

333 In an analysis restricted to hospitalisation due to gastric and duodenal ulcer bleeding the Cox proportional 334 hazards assumption was also not met (Schoenfeld test p = 0.0115): there was a significant difference in outcome 335 between treatment arms (HR 0.31, 0.11 to 0.85, p = 0.023) over the first 2.5 years but not thereafter (HR 1.10, 336 0.43 to 2.86, p = 0.84, Table 4, Supplementary Table S5 and Figure S5). For other secondary outcomes (other 337 causes of clinically significant GI bleeding, clinically detected uncomplicated ulcers, thrombotic cardiovascular 338 episodes) Cox proportional hazards assumptions were met: there were no significant differences between the 339 treatment arms (Table 4, Supplementary Tables S8-S12 and Figures S4-S8). In the eradication arm 149 had a 340 cardiovascular secondary outcome during follow-up, including 54 patients with CVA, 85 with MI, and 10 with 341 both. In the control arm 169 patients had this outcome including 67 with CVA, 100 with MI, and 2 with both. 342

343 Prescriptions of aspirin fell progressively in both treatment arms during follow up (by 12.7% in the eradication 344 arm and 12.3% in the control arm over the first 2.5 years) (Figure 3). The median duration of pre-trial aspirin 345 prescription in patients who did not or did reach a primary outcome was 856 days (IQR 409,1298) vs 909 346 (388,1125) in controls, and 853 (419,1348) vs 815 (383,1181) in eradication patients respectively. 347 The point prevalence of PPI prescription increased (by 9.7% and 10.1% respectively in the eradication and 348 control arms) over the first 2.5 years (Figure 3). Patients in the eradication arm were more likely to be 349 prescribed NSAIDs (p=0.022) or PPIs (p=0.049 during follow-up (Table 4). Of the 44 patients with a primary 350 outcome, 35 (79.5%) were still prescribed aspirin, 11 (25.0%) were prescribed a PPI and one patient in each 351 treatment arm was prescribed an NSAID at the time of presentation. There were too few primary outcome 352 events to power an analysis restricted to patients only prescribed aspirin, but analyses adjusted for time varying 353 use of PPIs, H2RAs, antiplatelet medication, antacids and NSAIDs showed an unchanged pattern of results (HR 354 over the first 2.5 years 0.33 [0.12 to 0.90], p = 0.03, Table 3, Supplementary Table S13). None of the patients

hospitalised for peptic ulcer bleeding had taken non-aspirin anti-platelet or anticoagulant medication in the year prior to presentation. 357

Exploratory analyses of the unexpectedly high number of patients in the control group with a negative end of study breath test. This may in part relate to home testing but there were showed apparent differences in drug exposure. Thirteen of the 41 control patients with a negative test (32%) had received clarithromycin during follow up compared to 9 of 127 (7%) of those with a positive breath test. Twelve of 39 breath test negative patients (31%) had been prescribed a PPI within the prior 90 days vs 10 of 127 (8%) with a positive test

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There were 5307 patient reports of possible treatment related side effects that were like those already known (Supplementary Table S14). The commonest was taste disturbance. Three patients were hospitalised for a serious AE thought possibly related to study medication (detailed in Supplementary Table S15). In total 657 patients died during follow up (306 in the eradication treatment arm and 351 in the control arm). Only 2 of the 657 deaths were recorded by ONS as due to peptic ulcer (one due to bleeding). Fourteen patients with a primary outcome died during follow-up (6 eradication, 8 controls), at a median of 3.94 years (IQR 3.31 to 5.45) and 1.52 years (0.50 to 2.62) respectively after their presentation with peptic ulcer bleeding.

- 371
- 372 Discussion

In this large trial of patients taking low doses of aspirin chronically, we achieved high rates of *H. pylori*eradication and showed evidence of benefit with a 65% reduction in hospitalisation due to peptic ulcer bleeding

375 over 2.5 years in patients in the eradication arm compared with the control arm. This was attributable to

- 375 over 2.5 years in patents in the eradication and compared with the control and. This was attributable to 376 differences in gastric and duodenal ulcer bleeding. However, this advantage appeared to be lost subsequently.
- 377 There was no significant difference in the incidence of uncomplicated ulcers or thrombotic cardiovascular
- 378 events and the incidence of dyspepsia was low. As expected a substantial number of patients died but competing
- risks analysis showed our results for eradication treatment remained significant if adjusted for ongoing death
- rates. The large number of adverse events reported was expected, reflecting the active collection of data.
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HEAT extends understanding of the effects of *H. pylori* eradication beyond the 12 months for which there were
previous direct data and into the realm of primary prophylaxis. However, relatively few patients experienced
ulcer bleeding and only two of the 657 patients who died had a death certificate citing peptic ulcer as the cause.
A trend toward a lower death rate following eradication treatment was unexpected..

HEAT was a real-world study. Changes in prescribing including withdrawal of aspirin, or commencement of
gastroprotective or ulcerogenic drugs were allowed as clinically indicated or recommended by consensus
guidelines. However, differences between the treatment arms remained significant in analyses allowing for such
drug use.. The number of control patients with a negative end of study breath test was higher than expected.
Home breath testing has been shown to be reliable²⁷ but may yield false negatives but it is also plausible that
exposure to clarithromycin and PPIs contributed, due to incidental eradication or suppression of *H. pylori*.

The loss of ulcer protection with time appears to be a real phenomenon that cannot be attributed to increasing use of gastroprotective drugs which would have an opposite effect. Possible causes could be enhanced acid secretion³³ or reduced release of protective prostaglandins³⁴ following *H. pylori* eradication. Another possibility is that *H. pylori* eradication uncovers a population of idiopathic ulcers with a high relapse rate³⁵.

- Results for our main secondary analysis, involving bleeds from gastric and duodenal ulcers only, support the
 conclusion that our results are attributable to a reduced incidence of bleeding from gastric and duodenal ulcers,
 consistent with evidence that *H. pylori* does not promote and may even protect against oesophageal ulceration³⁶.
- 402 There were no differences between the treatment arms in the other secondary endpoints including
- 403 uncomplicated ulcers. This probably relates to the different scenarios surrounding detection of bleeding and
- 404 uncomplicated ulcers. Presentation with ulcer bleeding is involuntary because it is an emergency situation
- whereas the less pressing symptomology of an uncomplicated ulcer means some will go undetected, particularlyif dyspepsia is not a prominent symptom, as was the case in HEAT.
- 407
- 408 Our results should be treated with some caution, given that the assumptions of proportional hazards were
- 409 violated, requiring analysis over two time periods. We split follow up at the median of 2.5 years defined a priori
- 410 to increase precision of estimates and minimise loss of power. This resulted in data that met the Cox
- 411 proportional hazards assumption and revealed a significant difference between the treatment arms in the first
- 412 period of follow up. The study was designed to be event driven and the sample size was based on a background
- 413 rate of 8/1000 years of exposure over 2.5 years²², but we observed a rate of only 2.67 /1000 person years in the
- 414 control arm in the first 2.5 years of follow up. This is consistent with ONS mortality data showing a 2.5-to-3.4-
- fold reduction (from 1628 peptic ulcer deaths in 2001 to 641 in 2019 and 531 in 2020) during this century³⁷,... In

addition, with changing guidelines, there has been a sharp decline in aspirin prescribing volumes amounting to
a 35% reduction from a height of 33.4 million prescriptions in 2009 to 21.7 million prescriptions in 2019³⁸.

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419 Our study had several strengths and limitations. It has authenticity as a pragmatic evaluation of the impact of H. 420 pylori eradication in a large real-world cohort of patients chronically prescribed low dose aspirin. Its size, the 421 high follow up rate for the primary outcome due to using national HES and ONS data, the very low number of 422 withdrawals and effective blinding will have substantially reduced potential sources of bias. The ability to 423 mount a study based on routine clinical data is a strength but at the potential loss of some precision. Its 424 simplicity, which was fundamental to success involved some compromises, with potential confounding by use 425 of other drugs. Access to comprehensive prescribing data is a mitigating strength and adjusting for drug use did 426 not alter our results. We cannot confirm drug use as opposed to prescription, nor allow for over the counter use. 427 The low rate of outcome events which led to the study being terminated before the planned number of primary 428 outcome events had occurred is a limitation. In studying patients already taking aspirin we may have selected a 429 low-risk population and excluded higher risk patients who had already bled when first prescribed aspirin. 430 Establishment of a methodology for large outcomes studies in primary care widely supported by GPs is a 431 strength, allowing use for other large studies including the ongoing ATTACK study in chronic kidney disease³⁹. 432

433 Our findings have potential clinical utility and can inform guideline development. However, the low rate of 434 outcomes in HEAT, the likelihood that this may in part be related to use of protective treatments and the 435 evidence that protection may be transient do not make a strong case to extend use of H. pylori eradication in the 436 UK beyond high-risk patients. In the population of patients we studied, on average 238 (95% CI 184 to 1661) of 437 them would need to be treated to avoid one hospitalisation for peptic ulcer bleeding. There may be a stronger 438 case in countries with high persistent prevalence of H. pylori. A case can be made for a test and treat approach 439 at the time of first prescription when there is probably a period of increased risk of peptic ulceration and 440 gastrointestinal bleeding^{6,40}. We did not find a difference in duration of prior aspirin prescription in patients who 441 did or did not reach a primary endpoint. A previous cohort study reported that the risk of gastrointestinal 442 bleeding in the first year after initiation of low dose aspirin was approximately double that seen in the 443 subsequent seven years⁶. A recent study of two cohorts (UK Biobank and the German ESTHER cohort) found 444 an increase in the incidence of gastric and duodenal ulcers in new but not prevalent users of aspirin⁴⁰. 445

446 Conversely, the low background rate of ulcer bleeding we found, together with availability of both *H. pylori*447 eradication and acid suppression as prophylaxis, should also inform assessment of the balance of risks and
448 benefits of aspirin and may support a more liberal use. This should be factored into ongoing re-evaluations of
449 the role of aspirin in cardiovascular disease^{4,5} and possible extension into the prevention of colorectal and other
450 cancers.⁴¹

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- 607 Figure legends
- 608 Figure 1 Trial profile: CONSORT Diagram of patient distribution

Figure 2 Kaplan Meier curves for survival free of upper gastrointestinal ulcer bleeding. Inset shows data for first2.5 years on an expanded scale

- 611 Figure 3 Six monthly point prevalence of aspirin, PPI and H2RA prescribing. Per cent of patients in each
- 612 treatment arm on specified medication.
- 613 PPI: Proton pump inhibitor
- 614 H2RA: H2 receptor antagonist
- 615
- 616
- 617 <u>Evidence in Context</u>
- 618 Evidence before this study

619 Recent meta-analyses have shown that peptic ulcers and ulcer bleeding in patients prescribed low dose aspirin

 $(\leq 325 \text{ mg daily})$ are strongly associated with *Helicobacter pylori*. This is compatible with the hypothesis that low dose aspirin acts to enhance bleeding from ulcers caused by *H. pylori* through its anti-haemostatic activity

622 *H. pylori* eradication can prevent acute aspirin induced endoscopic injury, but data on secondary prevention of

623 recurrent ulcer bleeding are contradictory. There have been no controlled trials of the effect of *H. pylori*

- 624 eradication for primary prevention and none conducted in primary care
- 625

626 <u>Added value of this study</u>
627 This trial has shown that *H. pylori* eradication can be reliably achieved in large populations of unselected older

patients using aspirin \leq 325 mg in primary care. This is associated with a significant reduction in the risk of hospitalisation for ulcer bleeding, although this benefit is lost over time, something that has not been seen before

- 630
- 631 <u>Implications of all the evidence</u>

632 The establishment of *H. pylori* eradication as an alternative or addition to antisecretory protection adds to the 633 gastroprotective strategies available for safe aspirin prescribing. The phenomenon of apparent lost protection 634 warrants further investigation. Our findings should provoke a re-evaluation of strategies for the safe prescribing 635 of aspirin and of the balance of risks and benefits of its use in cardiovascular disease and cancer prevention. The 636 trial also establishes a methodology that can be applied to the evaluation in primary care of other significant

637 clinical issues.

- 639 <u>The HEAT Trialists: Members and functions</u>
- 640 <u>Trial Steering Committee</u>
- 641 Professor David Mant, University of Oxford (Chair)

- 642 Professor Alex Ford, University of Leeds
- 643 Professor Tom MacDonald, University of Dundee
- 644 Mr Mike Bradburn, University of Sheffield
- 645 Ms Claire Ward, Lay representative
- 646 Ms Angela Shone, Sponsor representative, University of Nottingham
- 647 Ms Jennifer Dumbleton, University of Nottingham
- 648 Professor Chris Hawkey, University of Nottingham
- 649 Professor Richard Hobbs, University of Oxford
- 650 Professor Denise Kendrick, University of Nottingham651
- 652 Independent Data Monitoring Committee:
- 653 Professor Richard Logan, University of Nottingham
- 654 Professor Kenneth McColl, University of Glasgow
- 655 Professor Jon Deeks, University of Birmingham
- 656
- 657

658 Adjudication Committee:

- 659 Professor Andrew Goddard, University of Derby
- 660 Dr Richard Stevens, University of Oxford
- 661 Dr Sarmed Sami, University College London
- 662
- 663 <u>Regional support</u>
- 664 Professor Margaret Cupples, Belfast
- 665 Dr John Haughney, Glasgow
- 666 667 <u>HEAT Trial Executive Team</u>
- 668 Jennifer Dumbleton, Nottingham
- 669 Monique Morar, Nottingham
- 670 Diane Stevenson, Nottingham
- 671 Vic Shepherd, Nottingham
- 672 Joanne Del Buono Nottingham
- 673 Wendy O'Brien Southampton
- 674 Sharon Mckechnie Southampton
- 675 Rachel Iles Oxford / Birmingham
- 676 Mina Davoudianfar Oxford / Birmingham
- 677 Andrew Moreton Durham
- 678
- 679 Trial pharmacist
- 680 Sheila Hodgson, Nottingham
- 681
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Contributors

This study was conceived by CH and developed with AA, DK, FDRH (Hobbs), MM and GR, who were collaborators on the preparatory pilot studies, funded by MRC. They worked with JD, CACC (Coupland) and MS to finalize the detailed protocol. CACC and CC did the statistical analysis. JD and DS ran the study and wrote the two methodological papers. CM ran the software for the study within the secure NHS N3 network. CH wrote the first draft of the manuscript with input from CACC, CC, and JD. All authors participated in the interpretation of the data, and critical review of the manuscript. All authors have read and approved the final version.

Declaration of interests

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Data sharing.

We intend our data to be freely available, following publication subject to principles of confidentiality. Individual authors will notify CH as chief investigator of all approaches for sharing of data not in the public domain. CH will discuss with other authors where he judges there may be controversial or sensitive issues and if data are requested for other analyses, where it is likely that a protocol and signed data access agreement will be required.