

A tolerability and patient acceptability pilot study of a novel antimicrobial urinary catheter for long-term use

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60**1 Abstract:****2 Aims:**

3 We have developed a novel antimicrobial urinary catheter (AUC) impregnated with
4 rifampicin, triclosan, and sparfloxacin and demonstrated that it has long-term (~84days)
5 protection against bacterial colonisation in vitro. This study aimed to assess the safety and
6 patient acceptability of this device in long-term catheter users.

8 Methods:

9 Adults who use longterm (>28days) indwelling urinary catheters with capacity to consent
10 were invited to receive the AUC at their next catheter change. The primary outcome
11 measure was adverse events (AE) attributable to antimicrobial impregnation of the catheter.
12 Secondary outcome measures included severity of related AEs, patient acceptability, early
13 removal of the trial catheter, and degree of microbial colonisation of trial catheters. Except
14 for the last, outcomes were assessed by telephone interviews. Original and trial catheters
15 were collected, and the lumens and balloons were separated and analysed for
16 microbiological colonisation.

18 Results:

19 Thirty participants were recruited. 84 AEs were reported, and only one was rated as
20 'probably' related to antimicrobial impregnation. The AE was mild and resolved within 48
21 hours. 82.14% of participants rated the catheter as no different or better than their usual
22 catheter. Two participants chose to remove the AUC early due to it feeling shorter. There
23 were significantly fewer bacterial isolates attached to the balloons of trial catheters
24 compared to the matched original catheters.

26 Conclusions:

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3 27 The AUC has an advantageous safety profile and was acceptable to the majority of
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5 28 participants. Information gained from this trial will support a larger randomised controlled
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7 29 study of efficacy.
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10 31 **Keywords:** urinary catheters, catheter-related urinary tract infections, anti-infective agents,
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12 32 safety, clinical trial
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16 34 **Introduction:**

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18 35 Catheter-associated urinary tract infections (CAUTI) are costly for health care
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20 36 systems as well as distressing for those who suffer from repeated infections and blockages.
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22 37 Long-term indwelling catheter users, who require catheterisation for over 28 days, are
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24 38 particularly at risk of CAUTI¹. Two antimicrobial catheters, a silver-alloy coated catheter and
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26 39 a nitrofurantoin-coated catheter have been commercially available, but a robust randomised
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28 40 controlled trial has demonstrated that neither significantly reduces CAUTI even in short-term
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30 41 catheter users. Also the patients who received the nitrofurantoin-coated catheter
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32 42 experienced greater discomfort than with the control catheter². Therefore, there is no
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34 43 commercially available anti-CAUTI technology for those who require catheterisation for over
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36 44 28 days.
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38 45 We have previously developed a silicone urinary catheters impregnated, not coated,
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40 46 with rifampicin, sparfloxacin, and triclosan and demonstrated seven to 12 weeks of
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42 47 protective activity against colonisation by major uropathogens, including multi-drug resistant
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44 48 strains³. The long-term duration of activity is conferred by the migration of the antimicrobials
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46 49 through the silicone to the intraluminal, extraluminal and balloon surfaces. Particularly, in
47
48 50 light of the discomfort experienced with the nitrofurantoin-coated catheter, this study aims to
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50 51 understand primarily the tolerability of this novel antimicrobial urinary catheter (AUC) in the
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52 52 target patient population. Specifically, this was determined by the rate of adverse events
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54 53 (AEs) attributable to the antimicrobials or the antimicrobial impregnation process. Other
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56 54 secondary outcomes included patient acceptability, trial withdrawal, severity of AEs, time to
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3 55 occurrence of AEs, microbial colonisation of the AUC. The trial was not intended to
4 56 determine efficacy in reducing CAUTI, but instead to determine in human participants for the
5 57 first time, the tolerability and acceptability of a novel antimicrobial-impregnated catheter for
6
7 58 long-term use.
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12 60 **Materials and Methods:**

13 61 *Patient and public involvement*

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15 62 Lay members who were either longterm catheter users or carers were recruited to a
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17 63 research management committee to meet several times yearly to review the trial protocol,
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19 64 trial progress, and trial results. All travel expenses were covered and lay members received
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21 65 payment for attendance.
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27 67 *Manufacture of trial devices*

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29 68 Two-hundred and five all-silicone, two-way urinary catheters in sizes 12-20Ch
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31 69 standard and female lengths were impregnated according to a previously published method³⁻
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33 70 ⁵. Briefly, with any plastic ports and connectors removed, and catheters were immersed in a
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35 71 chloroform solution containing 0.2% w/v rifampicin (Sigma-Aldrich), 1.0% w/v triclosan
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37 72 (Irgacare MP, BASF) and 1.0% sparfloxacin (Sigma-Aldrich) for one hour. The catheters
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39 73 were removed and the chloroform was left to evaporate off under constant air flow for at
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41 74 least 12 hours. Surface residues were removed by rinsing in ethanol and the catheters left to
42
43 75 dry. The catheters were packaged in individual plastic sleeves within Tyvek packaging with a
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45 76 clear front and opaque back. The catheters were sterilised by ethylene oxide and removal of
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47 77 ethylene oxide residuals was verified by gas chromatography by a Varian gas
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49 78 chromatograph using a 10 volt detector and 1 μ L injection volume.

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51 79 Chloroform removal was verified by gas-chromatography-mass spectrometry in
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53 80 which catheter segments were immersed in acetone to extract the chloroform. Analysis was
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55 81 carried out using a JEOL AccuTOF GCX (Jeol Ltd.) mass spectrometer and an Agilent
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3 82 7890B (Agilent Technologies Inc.) gas chromatograph. An extended ion current trace at 82.9
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5 83 mass to charge ratio (m/z) was used to detect chlorine isotopes.

6
7 84 High-performance liquid chromatography (HPLC) was employed to verify antimicrobial
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9 85 contents of the manufactured trial catheters. Please see Supporting Information Method 1 for
10
11 86 the full method of drug content analysis. Briefly, the antimicrobials were extracted in
12
13 87 chloroform, resolubilised in methanol, and analysed by an Agilent 1100 HPLC machine with
14
15 88 a variable wavelength UV detection (Agilent Technologies Inc).

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18 19 90 *Participants and setting*

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21 91 Adults (age 16 years or greater) who were catheterised with a long-term indwelling
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23 92 urinary catheter and who required another long-term indwelling urinary catheter were initially
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25 93 considered for inclusion. Please see Table 1 for the full inclusion and exclusion criteria.
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27 94 Participants were recruited from the community and hospital settings through letters of
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29 95 invitation and screening as participants came through Urology clinics

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32 33 97 *Study design*

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35 98 This single-centre, non-randomised trial with the aim of evaluating the safety of a CE-
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37 99 marked medical device with modifications was carried out between November, 2016 and
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39 100 February, 2018. Eligible participants who provided informed consent were catheterised with
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41 101 the AUC (trial catheter) at their next scheduled catheter change date. They were
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43 102 catheterised with the AUC for their normal catheterisation length, which ranged from 28-84
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45 103 days. Participants were interviewed by telephone at 24, 48, and 72 hours post-
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47 104 catheterisation and then once weekly for the rest of the trial duration. The original catheter
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49 105 and trial catheter were collected upon removal for laboratory analysis.

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51 106 The primary outcome measure was the rate of adverse events (AE) attributable to
52
53 107 the antimicrobials or the impregnation process. All AEs were recorded in the case report and
54
55 108 a score of severity and a score of relatedness to the AUC was given to each adverse event
56
57 109 by the research nurse and adjudicated by the principal investigator. AEs were detected by

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3 110 patient self-reporting during the telephone interview and followed up by a review of the
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5 111 patient's notes. Relationship causality was classified as unrelated, unlikely, possible,
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7 112 probable or definite according to the algorithm given by the World Health Organisation⁶.
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9 113 Further classification grouped AEs as non-serious or serious. An AE was classified as
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11 114 serious (SAE) if it was fatal, life threatening, resulted in hospitalisation or prolonged
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13 115 hospitalisation or resulted in persistent or significant disability or incapacity.

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15 116 Secondary outcome measures included time to occurrence of adverse events,
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17 117 patient acceptability which was captured by the telephone interviews, whether the trial
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19 118 device was removed before the planned end date of the trial (trial withdrawal), and
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21 119 microorganism colonisation of trial and original catheters.

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24 121 *Laboratory analysis of removed catheters*

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26 122 Catheters were analysed within 24 hours of removal. The balloon was separated
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28 123 from the lumen using a sterile scalpel and placed into a sterile Universal container and
29
30 124 covered with phosphate buffered saline (PBS). The remaining ports on the catheter were
31
32 125 also removed with a sterile scalpel and discarded. The remaining luminal tubing was filled
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34 126 with 1-2 mL of PBS, clamped using sterile, straight-jawed surgical clamps, and placed in a
35
36 127 sterile container. The balloon and lumen components were sonicated for five minutes at 30
37
38 128 kHz to detach the bacteria into the surrounding PBS. The luminal tubing ends were cleaned
39
40 129 with an alcoholic pre-injection swab and the lumen sonicate was drained into a sterile Bijou
41
42 130 bottle (Sterilin). The balloon and lumen sonicates were plated onto cysteine-lactose
43
44 131 electrolyte-deficient medium (Oxoid), and incubated overnight at 37°C. If culture - positive,
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46 132 the colonies were quantified and general microbiological identification performed. If culture -
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48 133 negative the plates were incubated for a further 24 hours.

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51 135 *Statistical analysis*

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54 136 Data were analysed and graphs were prepared using GraphPad Prism 7.01
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56 137 (GraphPad Software Inc., LaJolla California, USA).

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3 138 **Results:**

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5 139 *Manufacture of trial devices*

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7 140 Trial catheters were validated as being free of chloroform, and ethylene oxide
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9 141 sterilisation residuals were within the acceptable range. HPLC verified that the trial catheters
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11 142 were impregnated with 0.080% w/w ($\pm 0.013\%$ w/w (IQR)) rifampicin, 1.084% w/w ($\pm 0.138\%$
12
13 143 w/w) triclosan, and 0.704% w/w (0.155% w/w) sparfloxacin.

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17 145 *Participant Demographics*

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19 146 Thirty participants were recruited and were catheterised with the AUC. Please see
20
21 147 Figure 1 for a STROBE flow diagram of recruitment and participation. The majority of
22
23 148 participants were male and except for one patient were catheterised urethrally (Table 2). The
24
25 149 mean duration of catheterisation with the trial catheter was 56.03 days with a range of 1-84
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27 150 days. There were a total of 1681 days of participants catheterised with the AUC.

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31 152 *Primary outcome: safety*

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33 153 Eighty-four adverse events were reported by participants (0-11 AEs per participant).
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35 154 The majority (72.62%) of AEs were 'unrelated' or 'unlikely' to be related to the antimicrobial
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37 155 impregnation process. The AEs considered to be 'possibly' related to the AUC (26.19%)
38
39 156 included blockage of the catheter, CAUTI episodes, and stinging after catheterisation as
40
41 157 these are AEs associated with all urinary catheterisation (Table 3). The exception to this was
42
43 158 one participant who experienced increased stinging following catheterisation that the
44
45 159 participant had not experienced with previous catheters. This AE was classified as 'probably'
46
47 160 related to antimicrobial impregnation of the catheters due to the noticeable difference
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49 161 between the AUC and the normal catheters. The stinging subsided within 48 hours and the
50
51 162 participant then went on to consider the AUC no different from their normal catheter.

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53 163 Patient medical history was recorded at baseline and eight participants had a history
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55 164 of frequent CAUTIs. Four participants each experienced one CAUTI while using the AUC.

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3 165 This provided a preliminary indication as to the potential efficacy of the AUC, but will need
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5 166 further systematic investigation.
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9 168 *Secondary outcome: severity of AEs*

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11 169 None of the AEs with any relationship to antimicrobial impregnation of the catheters
12
13 170 was considered serious or severe as seen in Table 3. All SAEs were determined to be
14
15 171 'unrelated' to antimicrobial impregnation of the urinary catheters. The participants were not
16
17 172 withdrawn from the trial as a result of the SAEs and there were no restrictions on treatments
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19 173 received.
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22 175 *Secondary outcome: time to occurrence of AEs*

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24 176 There was no difference between time to AE in the AE causality relationship groups
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26 177 (p=0.5252. Log rank test).
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30 179 *Secondary outcome: patient acceptability*

31
32 180 82.14% of participants rated the AUC as no different or better than their previous
33
34 181 catheters and 89.3% of participants reported the same amount of pain or less pain from the
35
36 182 AUC at the last recorded interview (Table 4).
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38 183 A full thematic analysis of the free responses can be found as Supporting Information
39
40 184 Table 1. At the last interview, three patients reported reduced infections, six commented on
41
42 185 increased comfort, three wanted to keep the AUC for longer, and two wanted to have a
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44 186 second AUC. Impacts on mental health included getting better quality of sleep due to less
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46 187 need to empty the bladder via a valve and increased confidence in their catheter not
47
48 188 becoming infected.
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52 190 *Secondary outcome: trial withdrawal*

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54 191 Nine of the 30 participants ended the trial earlier than expected. Seven were
55
56 192 withdrawn because of catheter expulsion, a burst balloon, or balloon deflation. Bladder
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3 193 stones were confirmed for the patient who had a burst balloon. One catheter was changed
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5 194 by a district nurse due to concerns that it may have been pulled out of position. The
6
7 195 remaining two participants voluntarily ended the trial early, both due to what they felt was a
8
9 196 shorter catheter length. However, both had received standard length catheters, which were
10
11 197 400mm in length. No catheters were removed over safety concerns.
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15 199 *Secondary outcome: colonisation of original and trial catheters*

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17 200 Twenty-nine of the 30 original and 28 of the 30 AUCs were collected. The three lost
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19 201 catheters fell out in the community and could not be retrieved. The original catheters and
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21 202 AUCs were matched (n=27 pairs) and there were significantly fewer (p=0.0088, two-tailed t-
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23 203 test) species of microorganisms attached to the AUC balloons compared to the matched
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25 204 control catheter. The pairs were well matched for the duration of catheterisation (p=0.8428,
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27 205 two-tailed t-test).

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29 206 The lumens of two trial catheters were culture - negative and no lumens of original
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31 207 catheters were culture - negative. One possible concern at the start of the study was that
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33 208 eradication of organisms sensitive to the activity of the AUC would allow replacement by
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35 209 other organisms. However, this was not seen in the catheter analyses. For example, *E.*
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37 210 *faecalis* is not sensitive to the activity of the AUC yet there was no overgrowth of *E. faecalis*
38
39 211 in the AUCs. In fact, five fewer AUC balloons and two fewer lumens contained *E. faecalis*
40
41 212 compared to the control catheters. In general, the presence of all groups of organisms was
42
43 213 reduced in the AUCs, with the exception of *Pseudomonas spp.* in which there were two more
44
45 214 AUC lumens colonised with *Pseudomonas spp.* compared to the control catheters. The main
46
47 215 limitation of this analysis is that the numbers are small and future studies will be needed to
48
49 216 monitor the colonising microorganism populations. It is important to emphasise that, though
50
51 217 reduction in CAUTI was mentioned by three participants in the free comments (Supporting
52
53 218 Information Table 1), this trial was not designed to quantify efficacy.

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55
56 220 **Discussion:**

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2
3 221 Antimicrobial urinary catheters were produced and validated for use in this study,
4
5 222 which is the first human trial of this device. 84 AEs in 30 participants across 1681
6
7 223 catheterisation days were recorded, and of those only one was identified as being 'probably'
8
9 224 related to antimicrobial impregnation. This event was worse stinging than usual at
10
11 225 catheterisation and it resolved within 48 hours. The safety profile of the AUC appears
12
13 226 favourable. Patient acceptability was positive with 82% of participants rating the AUC as 'no
14
15 227 different' or better than their previous urinary catheters. Of the remaining 18% (5
16
17 228 participants) responding "a bit worse", two felt that their trial catheter was too short, despite it
18
19 229 being of identical length to their standard catheter; one changed the type of drainage device
20
21 230 and experienced disconnections; one experienced increased urinary urgency, and one
22
23 231 experienced pain on passing urine using a catheter valve.

24
25 232 Microbiological analysis of the participant's original and trial catheters demonstrated
26
27 233 a significant reduction of the number of species attached to the balloon of the AUCs.
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29 234 Importantly, the use of the AUC did not increase the prevalence of MDR organisms or
30
31 235 increase the prevalence of microorganisms that are non-susceptible to the activity of the
32
33 236 AUC.

34
35 237 The CATHETER trial was a multi-centre randomised controlled trial of anti-septic
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37 238 (silver-alloy and nitrofurazone coated) catheters for short-term use. Patients receiving
38
39 239 experimental catheters reported increased discomfort following catheterisation (silver
40
41 240 catheter 28.7%, nitrofurazone catheter 38.9%) and this was a motivation for our obtaining data
42
43 241 on safety and patient acceptability before undertaking larger studies. Our findings regarding
44
45 242 comfort and acceptability compared very favourably with these. Haematuria and septicaemia
46
47 243 were two recorded significant clinical events included in the CATHETER trial⁷. Haematuria
48
49 244 and blockage of the catheter due to a blood clot were recorded during this trial, but there
50
51 245 were alternative reasons for the presence of blood such as taking aspirin and the presence
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53 246 of an enlarged prostate which is a recognised cause of haematuria⁸. During adjudication of
54
55 247 AE causality, as haematuria was not present without other predisposing factors and was no

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3 248 worse than previous episodes, the episodes were determined as 'possibly' related as it is
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5 249 related to catheterisation but not necessarily catheterisation with the AUC.

6
7 250 The ESCALE trial, a trial of silver-alloy catheters in spinal cord injury patients,
8
9 251 reported more AEs possibly related to catheterisation with the experimental catheters
10
11 252 compared to standard catheters, including itching which was not reported in the control
12
13 253 group⁹. Other AEs captured by the ESCALE trial included haematuria, rash, blockage, and
14
15 254 suprapubic pain⁹. Rash related to catheterisation and suprapubic pain were not reported by
16
17 255 patients in our AUC safety trial. Blockage was reported during this trial.

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19 256 Other unique AEs reported here included the sensation of needing to void, burning at
20
21 257 the beginning and end of passing urine using a catheter valve, difficulty connecting the
22
23 258 catheter bag to the catheter, and the catheter drainage system 'pushing off' the catheter
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25 259 connection. While these were mild events and mostly associated with the catheter drainage
26
27 260 systems, they were still reported by participants as part of their catheter management. The
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29 261 base silicone urinary catheters that were impregnated with antimicrobials may have been
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31 262 from a different manufacturer than their normal catheter, which could have affected what the
32
33 263 catheter user perceives as 'normal' for their catheter.

34
35 264 Although the follow-up was short, any AEs relating to the composition of the catheter
36
37 265 material are likely to have manifested in the time period studied. Further studies will confirm
38
39 266 long-term tolerability as well as clinical efficacy and will benefit from a control arm for
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41 267 comparison. Other limitations include that this was an unblinded study and this may have
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43 268 introduced an element of bias of the participant's in reporting. Although multi-centre trials
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45 269 provide a better generalisability of the results and therefore increased external validity, the
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47 270 participants represented many health conditions and were managed throughout several
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49 271 districts once catheterised with the trial catheter. Therefore, they were managed as standard
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51 272 according to their local policies and guidelines, which were not influenced by the clinical trial.

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53 273 In this trial participants were excluded if they not did have sensation in the urethra
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55 274 and/or bladder as they would be unable to self-report some symptoms and also for their
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57 275 safety. If the AUC were to cause irritation, allergy or discomfort both the participant and

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3 276 research team would be unaware. This by extension excluded patients with spinal cord injury
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5 277 or cauda equina syndrome. Likewise, many patients with impaired cognitive capacity may
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7 278 require a urinary catheter but were not eligible to participate due to the possible inability to
8
9 279 self-report new symptoms or adhere to the telephone interview schedule. These exclusion
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11 280 criteria were put in place to protect patients and to preserve the accuracy of the data
12
13 281 collected. They will be included in a further randomised controlled trial of efficacy.
14
15 282

16
17 283 **Conclusions:**

18
19 284 The AUC has an advantageous safety profile and was an acceptable alternative
20
21 285 catheter to the majority of trial participants. Information gained from this trial will support
22
23 286 future regulatory applications for commercialisation and larger randomised controlled studies
24
25 287 of efficacy of the AUC.
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30 290 **References:**

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49 323 **Table Legends:**

50 324 Table 1: Inclusion and exclusion criteria

51 325 Table 2: Participant demographics of consented participants

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327 Table 3: Description of adverse events (AEs), their relationship to antimicrobial impregnation
328 of trial catheters and their severity

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330 Table 4: Replies from last recorded telephone interview of participants

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332 **Figure Legends:**

333 Figure 1: STROBE flow diagram of participants involved throughout the trial pathway

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For Peer Review

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3 1 **Abstract:**

4
5 2 *Aims:*

6
7 3 We have developed a novel antimicrobial urinary catheter (AUC) impregnated with
8
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17 34 **Introduction:**

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19 35 Catheter-associated urinary tract infections (CAUTI) are costly for health care
20
21 36 systems as well as distressing for those who suffer from repeated infections and blockages.
22
23 37 Long-term indwelling catheter users, who require catheterisation for over 28 days, are
24
25 38 particularly at risk of CAUTI¹. Two antimicrobial catheters, a silver-alloy coated catheter and
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27 39 a nitrofurantoin-coated catheter have been commercially available, but a robust randomised
28
29 40 controlled trial has demonstrated that neither significantly reduces CAUTI even in short-term
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31 41 catheter users. Also the patients who received the nitrofurantoin-coated catheter
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33 42 experienced greater discomfort than with the control catheter². Therefore, there is no
34
35 43 commercially available anti-CAUTI technology for those who require catheterisation for over
36
37 44 28 days.

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39 45 We have previously developed a silicone urinary catheters impregnated, not coated,
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41 46 with rifampicin, sparfloxacin, and triclosan and demonstrated seven to 12 weeks of
42
43 47 protective activity against colonisation by major uropathogens, including multi-drug resistant
44
45 48 strains³. The long-term duration of activity is conferred by the migration of the antimicrobials
46
47 49 through the silicone to the intraluminal, extraluminal and balloon surfaces. Particularly, in
48
49 50 light of the discomfort experienced with the nitrofurantoin-coated catheter, this study aims to
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51 51 understand primarily the tolerability of this novel antimicrobial urinary catheter (AUC) in the
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53 52 target patient population. Specifically, this was determined by the rate of adverse events
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55 53 (AEs) attributable to the antimicrobials or the antimicrobial impregnation process. Other
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57 54 secondary outcomes included patient acceptability, trial withdrawal, severity of AEs, time to
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3 55 occurrence of AEs, microbial colonisation of the AUC. The trial was not intended to
4
5 56 determine efficacy in reducing CAUTI, but instead to determine in human participants for the
6
7 57 first time, the tolerability and acceptability of a novel antimicrobial-impregnated catheter for
8
9 58 long-term use.

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12 60 **Materials and Methods:**

13 61 *Patient and public involvement*

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15 62 Lay members who were either longterm catheter users or carers were recruited to a
16
17 63 research management committee to meet several times yearly to review the trial protocol,
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19 64 trial progress, and trial results. All travel expenses were covered and lay members received
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21 65 payment for attendance.
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23 66

24 67 *Manufacture of trial devices*

25
26 68 Two-hundred and five all-silicone, two-way urinary catheters in sizes 12-20Ch
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28 69 standard and female lengths were impregnated according to a previously published method³⁻
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30 70 ⁵. Briefly, with any plastic ports and connectors removed, and catheters were immersed in a
31
32 71 chloroform solution containing 0.2% w/v rifampicin (Sigma-Aldrich), 1.0% w/v triclosan
33
34 72 (Irgacare MP, BASF) and 1.0% sparfloxacin (Sigma-Aldrich) for one hour. The catheters
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36 73 were removed and the chloroform was left to evaporate off under constant air flow for at
37
38 74 least 12 hours. Surface residues were removed by rinsing in ethanol and the catheters left to
39
40 75 dry. The catheters were packaged in individual plastic sleeves within Tyvek packaging with a
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42 76 clear front and opaque back. The catheters were sterilised by ethylene oxide and removal of
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44 77 ethylene oxide residuals was verified by gas chromatography by a Varian gas
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46 78 chromatograph using a 10 volt detector and 1 μ L injection volume.
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50 80 Chloroform removal was verified by gas-chromatography-mass spectrometry in
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52 81 which catheter segments were immersed in acetone to extract the chloroform. Analysis was
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54 carried out using a JEOL AccuTOF GCX (Jeol Ltd.) mass spectrometer and an Agilent
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82 7890B (Agilent Technologies Inc.) gas chromatograph. An extended ion current trace at 82.9
83 mass to charge ratio (m/z) was used to detect chlorine isotopes.

84 High-performance liquid chromatography (HPLC) was employed to verify antimicrobial
85 contents of the manufactured trial catheters. Please see Supporting Information Method 1 for
86 the full method of drug content analysis. Briefly, the antimicrobials were extracted in
87 chloroform, resolubilised in methanol, and analysed by an Agilent 1100 HPLC machine with
88 a variable wavelength UV detection (Agilent Technologies Inc).

89

90 *Participants and setting*

91 Adults (age 16 years or greater) who were catheterised with a long-term indwelling
92 urinary catheter and who required another long-term indwelling urinary catheter were initially
93 considered for inclusion. Please see Table 1 for the full inclusion and exclusion criteria.

94 Participants were recruited from the community and hospital settings through letters of
95 invitation and screening as participants came through Urology clinics

96

97 *Study design*

98 This single-centre, non-randomised trial with the aim of evaluating the safety of a CE-
99 marked medical device with modifications was carried out between November, 2016 and
100 February, 2018. Eligible participants who provided informed consent were catheterised with
101 the AUC (trial catheter) at their next scheduled catheter change date. They were
102 catheterised with the AUC for their normal catheterisation length, which ranged from 28-84
103 days. Participants were interviewed by telephone at 24, 48, and 72 hours post-
104 catheterisation and then once weekly for the rest of the trial duration. The original catheter
105 and trial catheter were collected upon removal for laboratory analysis.

106 The primary outcome measure was the rate of adverse events (AE) attributable to
107 the antimicrobials or the impregnation process. All AEs were recorded in the case report and
108 a score of severity and a score of relatedness to the AUC was given to each adverse event
109 by the research nurse and adjudicated by the principal investigator. AEs were detected by

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2
3 110 patient self-reporting during the telephone interview and followed up by a review of the
4
5 111 patient's notes. Relationship causality was classified as unrelated, unlikely, possible,
6
7 112 probable or definite according to the algorithm given by the World Health Organisation⁶.
8
9 113 Further classification grouped AEs as non-serious or serious. An AE was classified as
10
11 114 serious (SAE) if it was fatal, life threatening, resulted in hospitalisation or prolonged
12
13 115 hospitalisation or resulted in persistent or significant disability or incapacity.

14
15 116 Secondary outcome measures included time to occurrence of adverse events,
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17 117 patient acceptability which was captured by the telephone interviews, whether the trial
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19 118 device was removed before the planned end date of the trial (trial withdrawal), and
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21 119 microorganism colonisation of trial and original catheters.

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23 120

24 121 *Laboratory analysis of removed catheters*

25
26 122 Catheters were analysed within 24 hours of removal. The balloon was separated
27
28 123 from the lumen using a sterile scalpel and placed into a sterile Universal container and
29
30 124 covered with phosphate buffered saline (PBS). The remaining ports on the catheter were
31
32 125 also removed with a sterile scalpel and discarded. The remaining luminal tubing was filled
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34 126 with 1-2 mL of PBS, clamped using sterile, straight-jawed surgical clamps, and placed in a
35
36 127 sterile container. The balloon and lumen components were sonicated for five minutes at 30
37
38 128 kHz to detach the bacteria into the surrounding PBS. The luminal tubing ends were cleaned
39
40 129 with an alcoholic pre-injection swab and the lumen sonicate was drained into a sterile Bijou
41
42 130 bottle (Sterilin). The balloon and lumen sonicates were plated onto cysteine-lactose
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44 131 electrolyte-deficient medium (Oxoid), and incubated overnight at 37°C. If culture - positive,
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46 132 the colonies were quantified and general microbiological identification performed. If culture -
47
48 133 negative the plates were incubated for a further 24 hours.

49
50 134

51 135 *Statistical analysis*

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54 136 Data were analysed and graphs were prepared using GraphPad Prism 7.01
55
56 137 (GraphPad Software Inc., LaJolla California, USA).

1
2
3 138 **Results:**

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5 139 *Manufacture of trial devices*

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7 140 Trial catheters were validated as being free of chloroform, and ethylene oxide
8
9 141 sterilisation residuals were within the acceptable range. HPLC verified that the trial catheters
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11 142 were impregnated with 0.080% w/w ($\pm 0.013\%$ w/w (IQR)) rifampicin, 1.084% w/w ($\pm 0.138\%$
12
13 143 w/w) triclosan, and 0.704% w/w (0.155% w/w) sparfloxacin.

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15 144

16
17 145 *Participant Demographics*

18
19 146 Thirty participants were recruited and were catheterised with the AUC. Please see
20
21 147 Figure 1 for a STROBE flow diagram of recruitment and participation. The majority of
22
23 148 participants were male and except for one patient were catheterised urethrally (Table 2). The
24
25 149 mean duration of catheterisation with the trial catheter was 56.03 days with a range of 1-84
26
27 150 days. There were a total of 1681 days of participants catheterised with the AUC.

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31 152 *Primary outcome: safety*

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33 153 Eighty-four adverse events were reported by participants (0-11 AEs per participant).
34
35 154 The majority (72.62%) of AEs were 'unrelated' or 'unlikely' to be related to the antimicrobial
36
37 155 impregnation process. The AEs considered to be 'possibly' related to the AUC (26.19%)
38
39 156 included blockage of the catheter, CAUTI episodes, and stinging after catheterisation as
40
41 157 these are AEs associated with all urinary catheterisation (Table 3). The exception to this was
42
43 158 one participant who experienced increased stinging following catheterisation that the
44
45 159 participant had not experienced with previous catheters. This AE was classified as 'probably'
46
47 160 related to antimicrobial impregnation of the catheters due to the noticeable difference
48
49 161 between the AUC and the normal catheters. The stinging subsided within 48 hours and the
50
51 162 participant then went on to consider the AUC no different from their normal catheter.

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53 163 **Patient medical history was recorded at baseline and eight participants had a**
54
55 164 **history of frequent CAUTIs. Four participants each experienced one CAUTI while**

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3 165 **using the AUC. This provided a preliminary indication as to the potential efficacy of**
4 **the AUC, but will need further systematic investigation.**
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9 168 *Secondary outcome: severity of AEs*

10
11 169 None of the AEs with any relationship to antimicrobial impregnation of the catheters
12
13 170 was considered serious or severe as seen in Table 3. All SAEs were determined to be
14
15 171 'unrelated' to antimicrobial impregnation of the urinary catheters. The participants were not
16
17 172 withdrawn from the trial as a result of the SAEs and there were no restrictions on treatments
18
19 173 received.

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21 174

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23 175 *Secondary outcome: time to occurrence of AEs*

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25 176 There was no difference between time to AE in the AE causality relationship groups
26
27 177 (p=0.5252. Log rank test).

28
29 178

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31 179 *Secondary outcome: patient acceptability*

32
33 180 82.14% of participants rated the AUC as no different or better than their previous
34
35 181 catheters and 89.3% of participants reported the same amount of pain or less pain from the
36
37 182 AUC at the last recorded interview (Table 4).

38
39 183 A full thematic analysis of the free responses can be found as Supporting Information
40
41 184 Table 1. At the last interview, three patients reported reduced infections, six commented on
42
43 185 increased comfort, three wanted to keep the AUC for longer, and two wanted to have a
44
45 186 second AUC. Impacts on mental health included getting better quality of sleep due to less
46
47 187 need to empty the bladder via a valve and increased confidence in their catheter not
48
49 188 becoming infected.

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51 189

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53 190 *Secondary outcome: trial withdrawal*

54
55 191 Nine of the 30 participants ended the trial earlier than expected. Seven were
56
57 192 withdrawn because of catheter expulsion, a burst balloon, or balloon deflation. Bladder

1
2
3 193 stones were confirmed for the patient who had a burst balloon. One catheter was changed
4
5 194 by a district nurse due to concerns that it may have been pulled out of position. The
6
7 195 remaining two participants voluntarily ended the trial early, both due to what they felt was a
8
9 196 shorter catheter length. However, both had received standard length catheters, which were
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11 197 400mm in length. No catheters were removed over safety concerns.
12

13 198

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15 199 *Secondary outcome: colonisation of original and trial catheters*

16
17 200 Twenty-nine of the 30 original and 28 of the 30 AUCs were collected. The three lost
18
19 201 catheters fell out in the community and could not be retrieved. The original catheters and
20
21 202 AUCs were matched (n=27 pairs) and there were significantly fewer (p=0.0088, two-tailed t-
22
23 203 test) species of microorganisms attached to the AUC balloons compared to the matched
24
25 204 control catheter. The pairs were well matched for the duration of catheterisation (p=0.8428,
26
27 205 two-tailed t-test).

28
29 206 The lumens of two trial catheters were culture - negative and no lumens of original
30
31 207 catheters were culture - negative. One possible concern at the start of the study was that
32
33 208 eradication of organisms sensitive to the activity of the AUC would allow replacement by
34
35 209 other organisms. However, this was not seen in the catheter analyses. For example, *E.*
36
37 210 *faecalis* is not sensitive to the activity of the AUC yet there was no overgrowth of *E. faecalis*
38
39 211 in the AUCs. In fact, five fewer AUC balloons and two fewer lumens contained *E. faecalis*
40
41 212 compared to the control catheters. In general, the presence of all groups of organisms was
42
43 213 reduced in the AUCs, with the exception of *Pseudomonas spp.* in which there were two more
44
45 214 AUC lumens colonised with *Pseudomonas spp.* compared to the control catheters. The main
46
47 215 limitation of this analysis is that the numbers are small and future studies will be needed to
48
49 216 monitor the colonising microorganism populations. It is important to emphasise that, though
50
51 217 reduction in CAUTI was mentioned by three participants in the free comments (Supporting
52
53 218 Information Table 1), this trial was not designed to quantify efficacy.

54 219

55
56 220 **Discussion:**

1
2
3 221 Antimicrobial urinary catheters were produced and validated for use in this study,
4
5 222 which is the first human trial of this device. 84 AEs in 30 participants across 1681
6
7 223 catheterisation days were recorded, and of those only one was identified as being 'probably'
8
9 224 related to antimicrobial impregnation. This event was worse stinging than usual at
10
11 225 catheterisation and it resolved within 48 hours. The safety profile of the AUC appears
12
13 226 favourable. Patient acceptability was positive with 82% of participants rating the AUC as 'no
14
15 227 different' or better than their previous urinary catheters. Of the remaining 18% (5
16
17 228 participants) responding "a bit worse", two felt that their trial catheter was too short, despite it
18
19 229 being of identical length to their standard catheter; one changed the type of drainage device
20
21 230 and experienced disconnections; one experienced increased urinary urgency, and one
22
23 231 experienced pain on passing urine using a catheter valve.

24
25 232 Microbiological analysis of the participant's original and trial catheters demonstrated
26
27 233 a significant reduction of the number of species attached to the balloon of the AUCs.
28
29 234 Importantly, the use of the AUC did not increase the prevalence of MDR organisms or
30
31 235 increase the prevalence of microorganisms that are non-susceptible to the activity of the
32
33 236 AUC.

34
35 237 The CATHETER trial was a multi-centre randomised controlled trial of anti-septic
36
37 238 (silver-alloy and nitrofurazone coated) catheters for short-term use. Patients receiving
38
39 239 experimental catheters reported increased discomfort following catheterisation (silver
40
41 240 catheter 28.7%, nitrofurazone catheter 38.9%) and this was a motivation for our obtaining data
42
43 241 on safety and patient acceptability before undertaking larger studies. Our findings regarding
44
45 242 comfort and acceptability compared very favourably with these. Haematuria and septicaemia
46
47 243 were two recorded significant clinical events included in the CATHETER trial⁷. Haematuria
48
49 244 and blockage of the catheter due to a blood clot were recorded during this trial, but there
50
51 245 were alternative reasons for the presence of blood such as taking aspirin and the presence
52
53 246 of an enlarged prostate which is a recognised cause of haematuria⁸. During adjudication of
54
55 247 AE causality, as haematuria was not present without other predisposing factors and was no

1
2
3 248 worse than previous episodes, the episodes were determined as 'possibly' related as it is
4
5 249 related to catheterisation but not necessarily catheterisation with the AUC.

6
7 250 The ESCALE trial, a trial of silver-alloy catheters in spinal cord injury patients,
8
9 251 reported more AEs possibly related to catheterisation with the experimental catheters
10
11 252 compared to standard catheters, including itching which was not reported in the control
12
13 253 group⁹. Other AEs captured by the ESCALE trial included haematuria, rash, blockage, and
14
15 254 suprapubic pain⁹. Rash related to catheterisation and suprapubic pain were not reported by
16
17 255 patients in our AUC safety trial. Blockage was reported during this trial.

18
19 256 Other unique AEs reported here included the sensation of needing to void, burning at
20
21 257 the beginning and end of passing urine using a catheter valve, difficulty connecting the
22
23 258 catheter bag to the catheter, and the catheter drainage system 'pushing off' the catheter
24
25 259 connection. While these were mild events and mostly associated with the catheter drainage
26
27 260 systems, they were still reported by participants as part of their catheter management. The
28
29 261 base silicone urinary catheters that were impregnated with antimicrobials may have been
30
31 262 from a different manufacturer than their normal catheter, which could have affected what the
32
33 263 catheter user perceives as 'normal' for their catheter.

34
35 264 Although the follow-up was short, any AEs relating to the composition of the catheter
36
37 265 material are likely to have manifested in the time period studied. Further studies will confirm
38
39 266 long-term tolerability as well as clinical efficacy and will benefit from a control arm for
40
41 267 comparison. Other limitations include that this was an unblinded study and this may have
42
43 268 introduced an element of bias of the participant's in reporting. Although multi-centre trials
44
45 269 provide a better generalisability of the results and therefore increased external validity, the
46
47 270 participants represented many health conditions and were managed throughout several
48
49 271 districts once catheterised with the trial catheter. Therefore, they were managed as standard
50
51 272 according to their local policies and guidelines, which were not influenced by the clinical trial.

52
53 273 In this trial participants were excluded if they not did have sensation in the urethra
54
55 274 and/or bladder as they would be unable to self-report some symptoms and also for their
56
57 275 safety. If the AUC were to cause irritation, allergy or discomfort both the participant and

1
2
3 276 research team would be unaware. This by extension excluded patients with spinal cord injury
4
5 277 or cauda equina syndrome. Likewise, many patients with impaired cognitive capacity may
6
7 278 require a urinary catheter but were not eligible to participate due to the possible inability to
8
9 279 self-report new symptoms or adhere to the telephone interview schedule. These exclusion
10
11 280 criteria were put in place to protect patients and to preserve the accuracy of the data
12
13 281 collected. They will be included in a further randomised controlled trial of efficacy.
14
15 282

16 283 **Conclusions:**

17
18 284 The AUC has an advantageous safety profile and was an acceptable alternative
19
20 285 catheter to the majority of trial participants. Information gained from this trial will support
21
22 286 future regulatory applications for commercialisation and larger randomised controlled studies
23
24 287 of efficacy of the AUC.
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26 288

27 289 **References:**

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49 323 **Table Legends:**

50
51 324 Table 1: Inclusion and exclusion criteria

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53
54 325 Table 2: Participant demographics of consented participants

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3 327 Table 3: Description of adverse events (AEs), their relationship to antimicrobial impregnation
4 of trial catheters and their severity

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6 329

7
8 330 Table 4: Replies from last recorded telephone interview of participants

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12 332 **Figure Legends:**

13
14 333 Figure 1: STROBE flow diagram of participants involved throughout the trial pathway

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For Peer Review

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2
3 Response to Reviewers
4 Manuscript ID: NAU-18-0409

5
6 Reviewer 2:

7
8 3. Why is the data from the normal catheters included in table 3? It would be nice to
9 compare the two for all these outcomes.

10
11 Answer: Table 3 presents the adverse events recorded during catheterisation with the
12 antimicrobial-impregnated urinary catheter and not the normal catheters.

13
14 Reply: This is a rather unsatisfying answer. You have evaluated normal catheters for bacterial
15 evaluation and compared in large sections the antimicrobial catheters with normal catheters
16 (culturing etc.) and mirror tolerability between the two (being either patient reported or else). The
17 primary aim of your study is safety and part of this is tolerability of this new catheter, but why is this
18 then not systematically evaluated / reported by comparing them with the normal catheter, while
19 you did do it for many other aspects of your study? Evaluation of the applicability of the new
20 antimicrobial catheter will be based on an established balance between efficacy (reduced CAUTI and
21 tolerability compared to normal catheters. Because there is no evaluation of efficacy of the catheter
22 (amount of CAUTI'S) in this study, there should therefore have been be a systematic focus on
23 tolerability by comparing table 3 parameters with a normal catheter in my opinion.
24

25
26 **Reply to reviewer: Please find additional information in the manuscript (Lines**
27 **164-167) regarding efficacy data from baseline and with catheterisation with**
28 **the antimicrobial catheter.**

29
30 **A comparison of tolerability between normal and antimicrobial catheters by**
31 **patient self-reporting was carried out at each telephone interview. This**
32 **information was summarised in Table 4.**

33
34 **According to the trial protocol, eligible participants were consented and after**
35 **consent their normal catheter was removed and collected for analysis. The**
36 **participant was catheterised with the antimicrobial catheter and followed up**
37 **according to the telephone interview schedule. Therefore, normal catheters**
38 **were not followed up in the same systematic manner as the aim of this study**
39 **was to understand the safety profile of the AUC 'Specifically, this was determined**
40 **by the rate of adverse events (AEs) attributable to the antimicrobials or the antimicrobial**
41 **impregnation process' (lines 53-54). As we know which AEs are attributable to a**
42 **normal urinary catheter, we determined that there was only one AE that was**
43 **possibly related to antimicrobial impregnation, which was heightened stinging**
44 **immediately following catheterisation. Comparison of patient responses when**
45 **using the AUC with their experience of the previous, plain catheter (Table 4)**
46 **showed that 42.31% found it an improvement and 39.29% found it no**
47 **different. In this way, we consider that we have done as the Reviewer**
48 **requested, in that we have a comparison of the AUC with the previous plain**
49 **catheter. To express this in terms of individual responses for each patient**
50 **would consume a large amount of space but would not, in our view, add useful**
51 **data.**
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3 A safety and patient acceptability pilot study of a novel antimicrobial urinary catheter for
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5 long-term use
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8 **Supporting Information**

9 *Method 1:*

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12 Three 1.0 cm segments from five catheters were individually immersed in 2.0 mL
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14 chloroform to extract the drugs. This was repeated twice more and the extracts in chloroform
15
16 were pooled together and the chloroform was removed under constant air flow. The extracts
17
18 were re-solubilised in 100% methanol (HPLC grade, Fisher Scientific). Analysis was carried
19
20 out by an Agilent 1100 HPLC machine with a variable wavelength UV detector (Agilent
21
22 Technologies Inc.) connected to a Chemstation operating system. Chromatographic
23
24 separations were performed using an Eclipse XDB-C8 (5µm, i.d. 4.6mm x 150 mm) column
25
26 (Agilent Technologies). The mobile phase was a mixture of 10% acetonitrile (HPLC grade,
27
28 Fisher Scientific) and aqueous sodium dihydrogen phosphate (Sigma-Aldrich) adjusted to
29
30 15mM and pH 2.5. The organic phase was 100% methanol. A gradient method was
31
32 employed and maintained a flow rate of 1.0 mL/min and an injection volume of 5.0 µL with
33
34 each run lasting eight minutes. The starting solvent concentration was 90% aqueous phase,
35
36 10% methanol increasing to 90% methanol after one minute and decreasing to 10%
37
38 methanol at four minutes. Eluted drugs were first read at a wavelength of 254 nm to detect
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40 rifampicin and sparfloxacin, and then 279 nm to detect triclosan. The retention times were
41
42 approximately 3.0, 3.6, and 4.5 minutes for sparfloxacin, rifampicin, and triclosan,
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44 respectively.
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Table 1: Thematic analysis of free text responses to the question ‘How would you rate this catheter compared to your usual?’ for the first and last telephone interviews along with the number of free responses fitting into each sub-theme

First Interview		Number	Last Interview		Number
Main Themes	Sub-Themes		Main Themes	Sub-Themes	
No noticeable difference to previous catheters	Too soon to make a judgement	6	No noticeable difference to previous catheters	Cannot tell a difference	9
	Cannot tell a difference	8	Catheter maintenance	Infection reduction	3
Catheter maintenance	Urine flow	4		Leakage and bypassing	2
	Leakage and bypassing	3		Catheter valve issues	2
Catheter comfort	Stinging and soreness	6	Catheter comfort	Improved comfort	6
	Improved sensation	3		Discomfort	3
Mental Health	Beneficial impact on mental health	2		Catheter material	1
			Mental Health	Beneficial impact on mental health	2
			Participant satisfaction	Desire to keep the AUC	3
Desire to have a second AUC	2				

Table 1:

Inclusion Criteria	
Age: 16 years old or greater	
Currently fitted with a urinary catheter for at least 28 days and will require another urinary catheter for 28 days or greater	
Able to understand written English and speak English fluently	
Able to verbally respond and to speak on the telephone	
Exclusion Criteria	
Pregnant or likely to become pregnant	
Adults lacking the ability to consent for themselves	
Allergy to: <ul style="list-style-type: none"> - Rifampicin - Sparfloxacin or any other fluoroquinolone antibiotics - Triclosan - Silicone 	
History of uncontrolled/unmanageable autonomic dysreflexia	
Significantly impaired sensation of the bladder and/or urethra	

Table 2:

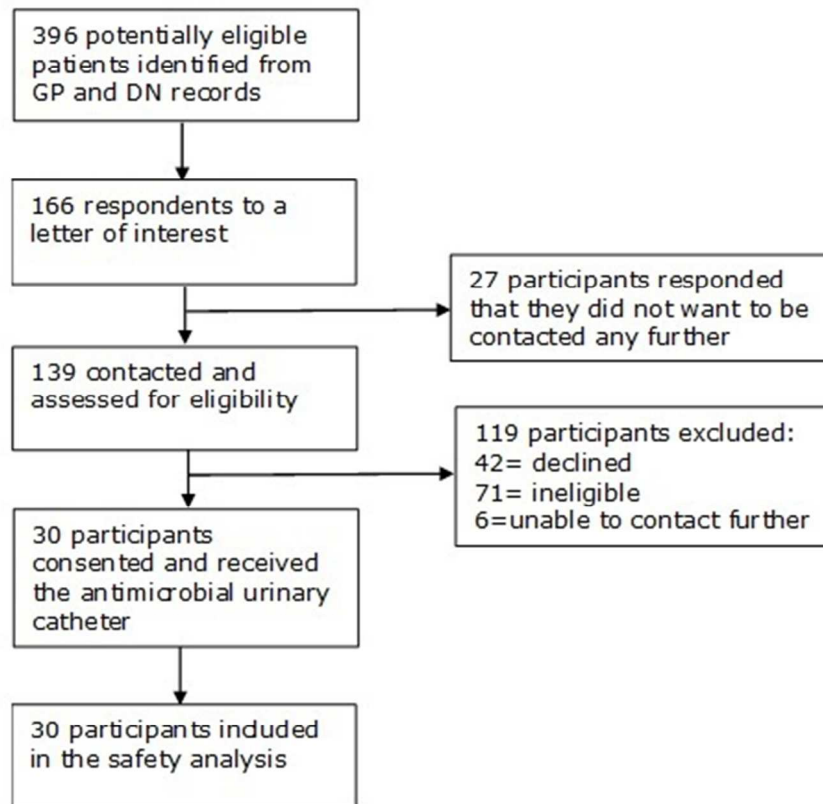
Trial Participants (n=30)		
Age (years)		
	Mean	71.4
	Range	43 - 92
Gender		
	Female	4/30 (13.3%)
	Male	26/30 (86.7%)
Catheterisation Route		
	Urethral	29/30 (96.7%)
	Suprapubic	1/30 (3.3%)
Reason for catheterisation		
	Acute retention	12/30 (40.0%)
	Chronic retention	11/30 (36.7%)
	Chronic retention with incontinence	2/30 (6.6%)
	Incontinence	2/30 (6.6%)
	Neurogenic bladder	1/30 (3.3%)
	Urethral stricture	1/30 (3.3%)
	Immobility	1/30 (3.3%)
Catheter lumen size		
	12 Ch	7/30 (23.3%)
	14 Ch	18/30 (60.0%)
	16 Ch	5/30 (16.7%)

Table 3:

AE relationship to antimicrobial impregnation of the trial catheters	Number of AEs			
	Mild	Moderate	Severe	Total
AE 'Unrelated'	37	14	5	56 (66.67%)
AE 'Unlikely'	4	1	0	5 (5.95%)
Pelvic infection	1			1
Dizzy after catheterisation	1			1
Catheter fell out spontaneously	1			1
Dizziness/generally unwell		1		1
Catheter displaced due to bag being full and falling off catheter strap	1			1
AE 'Possibly'	19	3	0	22 (26.19%)
CAUTI	2	2		4
Blockage	4	1		5
Burning at the beginning and end of passing urine via the flip flow valve	2			2
Early catheter change due to perceived shorter length	2			2
Catheter expulsion	1			1
Bypassing catheter	1			1
Sensation of needing to void	1			1
Catheter bag/valve pushing off connection	1			1
Haematuria	1			1
Stinging	1			1
Testicular ache	1			1
Difficulty connecting the catheter bag to catheter	1			1
Small sore on foreskin	1			1
AE 'Probably'	1	0	0	1 (1.19%)
Heightened stinging following catheterisation	1			1

Table 4:

Telephone interview question	Participant Responses	
	Response	Percentage of responses
'How would you rate this catheter compared to your usual catheter?'	Much better	35.17%
	A bit better	7.14%
	No different	39.29%
	A bit worse	17.86%
	Much worse	0.0%
'Have you had any pain from the catheter'	Less than usual	32.14%
	About the same	57.14%
	More than usual	10.71%



132x171mm (96 x 96 DPI)